

# Inviscid limit for SQG in bounded domains

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**ABSTRACT.** We prove that the limit of any weakly convergent sequence of Leray-Hopf solutions of dissipative SQG equations is a weak solution of the inviscid SQG equation in bounded domains.

## 1. Introduction

The behavior of high Reynolds number fluids is a broad, important and mostly open problem of nonlinear physics and of PDE. Here we consider a model problem, the surface quasi-geostrophic equation, and the limit of its viscous regularizations of certain types. We prove that the inviscid limit is rigid, and no anomalies arise in the limit.

Let  $\Omega \subset \mathbb{R}^2$  be a bounded domain with smooth boundary. Denote

$$\Lambda = \sqrt{-\Delta}$$

where  $-\Delta$  is the Laplacian operator with Dirichlet boundary conditions. The dissipative surface quasi-geostrophic (SQG) equation in  $\Omega$  is the equation

$$\partial_t \theta^\nu + u^\nu \cdot \nabla \theta^\nu + \nu \Lambda^s \theta^\nu = 0, \quad \nu > 0, \quad s \in (0, 2], \quad (1.1)$$

where  $\theta^\nu = \theta^\nu(x, t)$ ,  $u^\nu = u^\nu(x, t)$  with  $(x, t) \in \Omega \times [0, \infty)$  and with the velocity  $u^\nu$  given by

$$u^\nu = R_D^\perp \theta^\nu := \nabla^\perp \Lambda^{-1} \theta^\nu, \quad \nabla^\perp = (-\partial_2, \partial_1). \quad (1.2)$$

We refer to the parameter  $\nu$  as “viscosity”. Fractional powers of the Laplacian  $-\Delta$  are based on eigenfunction expansions. The inviscid SQG equation has zero viscosity

$$\partial_t \theta + u \cdot \nabla \theta = 0, \quad u = R_D^\perp \theta. \quad (1.3)$$

The dissipative SQG (1.1) has global weak solutions for any  $L^2$  initial data:

**THEOREM 1.1.** *For any initial data  $\theta_0 \in L^2(\Omega)$  there exists a global weak solution  $\theta$*

$$\theta \in C_w(0, \infty; L^2(\Omega)) \cap L^2(0, \infty; D(\Lambda^{\frac{s}{2}}))$$

*to the dissipative SQG equation (1.1). More precisely,  $\theta$  satisfies the weak formulation*

$$\int_0^\infty \int_\Omega \theta \varphi(x) dx \partial_t \phi(t) dt + \int_0^\infty \int_\Omega u \theta \cdot \nabla \varphi(x) dx \phi(t) dt - \nu \int_0^\infty \int_\Omega \Lambda^{\frac{s}{2}} \theta \Lambda^{\frac{s}{2}} \varphi(x) dx \phi(t) dt = 0 \quad (1.4)$$

*for any  $\phi \in C_c^\infty((0, \infty))$  and  $\varphi \in D(\Lambda^2)$ . Moreover,  $\theta$  obeys the energy inequality*

$$\frac{1}{2} \|\theta(\cdot, t)\|_{L^2(\Omega)}^2 + \nu \int_0^t \int_\Omega |\Lambda^{\frac{s}{2}} \theta|^2 dx dr \leq \frac{1}{2} \|\theta_0\|_{L^2(\Omega)}^2 \quad (1.5)$$

*and the balance*

$$\frac{1}{2} \|\theta(\cdot, t)\|_{D(\Lambda^{-\frac{1}{2}})}^2 + \nu \int_0^t \int_\Omega |\Lambda^{\frac{s-1}{2}} \theta|^2 dx dr = \frac{1}{2} \|\theta_0\|_{D(\Lambda^{-\frac{1}{2}})}^2 \quad (1.6)$$

*for a.e.  $t > 0$ . In addition,  $\theta \in C([0, \infty); D(\Lambda^{-\varepsilon}))$  for any  $\varepsilon > 0$  and the initial data  $\theta_0$  is attained in  $D(\Lambda^{-\varepsilon})$ .*

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We refer to any weak solutions of (1.1) satisfying the properties (1.4), (1.5), (1.6) as a “Leray-Hopf weak solution”.

REMARK 1.2. Theorem 1.1 for critical dissipative SQG  $s = 1$  was obtained in [6].

REMARK 1.3. Note that  $C_c^\infty(\Omega)$  is not dense in  $D(\Lambda^2)$  since the  $D(\Lambda^2)$  norm is equivalent to the  $H^2(\Omega)$  norm and  $C_c^\infty(\Omega)$  is dense in  $H_0^2(\Omega)$  which is strictly contained in  $D(\Lambda^2)$ .

The existence of  $L^2$  global weak solutions for inviscid SQG (1.3) was proved in [8]. More precisely, (see Theorem 1.1, [8]) for any initial data  $\theta_0 \in L^2(\Omega)$  there exists a global weak solution  $\theta \in C_w(0, \infty; L^2(\Omega))$  satisfying

$$\int_0^\infty \int_\Omega \theta \partial_t \varphi dx dt + \int_0^\infty \int_\Omega u \theta \cdot \nabla \varphi dx dt = 0 \quad \forall \varphi \in C_c^\infty(\Omega \times (0, \infty)), \quad (1.7)$$

and such that the Hamiltonian

$$H(t) := \|\theta(t)\|_{D(\Lambda^{-\frac{1}{2}})}^2 \quad (1.8)$$

is constant in time. Moreover, the initial data is attained in  $D(\Lambda^{-\varepsilon})$  for any  $\varepsilon > 0$ .

Our main result in this note establishes the convergence of weak solutions of the dissipative SQG to weak solutions of the inviscid SQG in the inviscid limit  $\nu \rightarrow 0$ .

THEOREM 1.4. *Let  $\{\nu_n\}$  be a sequence of viscosities converging to 0 and let  $\{\theta_0^{\nu_n}\}$  be a bounded sequence in  $L^2(\Omega)$ . Any weak limit  $\theta$  in  $L^2(0, T; L^2(\Omega))$ ,  $T > 0$ , of any subsequence of  $\{\theta^{\nu_n}\}$  of Leray-Hopf weak solutions of the dissipative SQG equation (1.1) with viscosity  $\nu_n$  and initial data  $\theta_0^{\nu_n}$  is a weak solution of the inviscid SQG equation (1.3) on  $[0, T]$ . Moreover,  $\theta \in C(0, T; D(\Lambda^{-\varepsilon}))$  for any  $\varepsilon > 0$ , and the Hamiltonian of  $\theta$  is constant on  $[0, T]$ .*

REMARK 1.5. The same result holds true on the torus  $\mathbb{T}^2$ . The case of the whole space  $\mathbb{R}^2$  was treated in [1].

REMARK 1.6. With more singular constitutive laws  $u = \nabla^\perp \Lambda^{-\alpha} \theta$ ,  $\alpha \in [0, 1)$ ,  $L^2$  global weak solutions of the inviscid equations were obtained in [3, 15]. Theorem 1.4 could be extended to this case. It is also possible to consider  $L^p$  initial data in light of the work [12].

It is worth noting that in order for a general weak solution  $\theta$  of the inviscid SQG to conserve the Hamiltonian, the Onsager-type critical condition requires  $\theta \in L_{t,x}^3$  (see [14] for  $\Omega = \mathbb{T}^2$ ). On the other hand, the vanishing viscosity solutions obtained in Theorem 1.4 conserve the Hamiltonian, even though they are only in  $L_t^\infty L_x^2$ . In [4], a result in the same spirit has been obtained regarding the energy conservation of weak solutions of the Euler equation on the torus  $\mathbb{T}^2$ .

As a corollary of the proof of Theorem 1.4 we have the following weak rigidity of inviscid SQG in bounded domains:

COROLLARY 1.7. *Any weak limit in  $L^2(0, T; L^2(\Omega))$ ,  $T > 0$ , of any sequence of weak solutions of the inviscid SQG equation (1.3) is a weak solution of (1.3). Here, weak solutions of (1.3) are interpreted in the sense of (1.7).*

REMARK 1.8. On tori, this result was proved in [14]. If the weak limit occurs in  $L^\infty(0, T; L^2(\Omega))$  and the sequence of weak solutions conserves the Hamiltonian then so is the limiting weak solution.

The paper is organized as follows. Section 2 is devoted to basic facts about the spectral fractional Laplacian and results on commutator estimate. The proofs of Theorems 1.1 and 1.4 are given respectively in sections 3 and 4. Finally, an auxiliary lemma is given in Appendix A.

## 2. Fractional Laplacian and commutators

Let  $\Omega \subset \mathbb{R}^d$ ,  $d \geq 2$ , be a bounded domain with smooth boundary. The Laplacian  $-\Delta$  is defined on  $D(-\Delta) = H^2(\Omega) \cap H_0^1(\Omega)$ . Let  $\{w_j\}_{j=1}^\infty$  be an orthonormal basis of  $L^2(\Omega)$  comprised of  $L^2$ -normalized eigenfunctions  $w_j$  of  $-\Delta$ , i.e.

$$-\Delta w_j = \lambda_j w_j, \quad \int_{\Omega} w_j^2 dx = 1,$$

with  $0 < \lambda_1 < \lambda_2 \leq \dots \leq \lambda_j \rightarrow \infty$ .

The fractional Laplacian is defined using eigenfunction expansions,

$$\Lambda^s f \equiv (-\Delta)^{\frac{s}{2}} f := \sum_{j=1}^{\infty} \lambda_j^{\frac{s}{2}} f_j w_j \quad \text{with } f = \sum_{j=1}^{\infty} f_j w_j, \quad f_j = \int_{\Omega} f w_j dx$$

for  $s \geq 0$  and  $f \in D(\Lambda^s)$  where

$$D(\Lambda^s) := \{f \in L^2(\Omega) : (\lambda_j^{\frac{s}{2}} f_j) \in \ell^2(\mathbb{N})\}.$$

The norm of  $f$  in  $D(\Lambda^s)$  is defined by

$$\|f\|_{D(\Lambda^s)} := \|(\lambda_j^{\frac{s}{2}} f_j)\|_{\ell^2(\mathbb{N})}.$$

It is also well-known that  $D(\Lambda)$  and  $H_0^1(\Omega)$  are isometric. In the language of interpolation theory,

$$D(\Lambda^\alpha) = [L^2(\Omega), D(-\Delta)]_{\frac{\alpha}{2}} \quad \forall \alpha \in [0, 2].$$

As mentioned above,

$$H_0^1(\Omega) = D(\Lambda) = [L^2(\Omega), D(-\Delta)]_{\frac{1}{2}},$$

hence

$$D(\Lambda^\alpha) = [L^2(\Omega), H_0^1(\Omega)]_\alpha \quad \forall \alpha \in [0, 1].$$

Consequently, we can identify  $D(\Lambda^\alpha)$  with usual Sobolev spaces (see Chapter 1, [17]):

$$D(\Lambda^\alpha) = \begin{cases} H_0^1(\Omega) \cap H^\alpha(\Omega) & \text{if } \alpha \in (1, 2], \\ H_0^\alpha(\Omega) & \text{if } \alpha \in (\frac{1}{2}, 1], \\ H_{00}^{\frac{1}{2}}(\Omega) := \{u \in H_0^{\frac{1}{2}}(\Omega) : u/\sqrt{d(x)} \in L^2(\Omega)\} & \text{if } \alpha = \frac{1}{2}, \\ H^\alpha(\Omega) & \text{if } \alpha \in [0, \frac{1}{2}). \end{cases} \quad (2.1)$$

Here and below  $d(x)$  denote the distance from  $x$  to the boundary  $\partial\Omega$ .

Next, for  $s > 0$  we define

$$\Lambda^{-s} f = \sum_{j=1}^{\infty} \lambda_j^{-\frac{s}{2}} f_j w_j$$

if  $f = \sum_{j=1}^{\infty} f_j w_j \in D(\Lambda^{-s})$  where

$$D(\Lambda^{-s}) := \left\{ \sum_{j=1}^{\infty} f_j w_j \in \mathcal{D}'(\Omega) : f_j \in \mathbb{R}, \sum_{j=1}^{\infty} \lambda_j^{-\frac{s}{2}} f_j w_j \in L^2(\Omega) \right\}.$$

The norm of  $f$  is then defined by

$$\|f\|_{D(\Lambda^{-s})} := \|\Lambda^{-s} f\|_{L^2(\Omega)} = \left( \sum_{j=1}^{\infty} \lambda_j^{-s} f_j^2 \right)^{\frac{1}{2}}.$$

It is easy to check that  $D(\Lambda^{-s})$  is the dual of  $D(\Lambda^s)$  with respect to the pivot space  $L^2(\Omega)$ .

LEMMA 2.1 (Lemma 2.1, [15]). *The embedding*

$$D(\Lambda^s) \subset H^s(\Omega) \quad (2.2)$$

*is continuous for all  $s \geq 0$ .*

LEMMA 2.2. *For  $s, r \in \mathbb{R}$  with  $s > r$ , the embedding  $D(\Lambda^s) \subset D(\Lambda^r)$  is compact.*

PROOF. Let  $\{u_n\}$  be a bounded sequence in  $D(\Lambda^s)$ . Then  $\{\Lambda^r u_n\}$  is bounded in  $D(\Lambda^{s-r})$ . Choosing  $\delta > 0$  smaller than  $\min(s-r, \frac{1}{2})$  we have  $D(\Lambda^{s-r}) \subset D(\Lambda^\delta) = H^\delta(\Omega) \subset L^2(\Omega)$  where the first embedding is continuous and the second is compact. Consequently the embedding  $D(\Lambda^{s-r}) \subset L^2(\Omega)$  is compact and thus there exist a subsequence  $n_j$  and a function  $f \in L^2(\Omega)$  such that  $\Lambda^r u_{n_j}$  converge to  $f$  strongly in  $L^2(\Omega)$ . Then  $u_{n_j}$  converge to  $u := \Lambda^{-r} f$  strongly in  $D(\Lambda^r)$  and the proof is complete.  $\square$

A bound for the commutator between  $\Lambda$  and multiplication by a smooth function was proved in [6] using the method of harmonic extension:

THEOREM 2.3 (Theorem 2, [6]). *Let  $\chi \in B(\Omega)$  with  $B(\Omega) = W^{2,d}(\Omega) \cap W^{1,\infty}(\Omega)$  if  $d \geq 3$ , and  $B(\Omega) = W^{2,p}(\Omega)$  with  $p > 2$  if  $d = 2$ . There exists a constant  $C(d, p, \Omega)$  such that*

$$\|[\Lambda, \chi]\psi\|_{D(\Lambda^{\frac{1}{2}})} \leq C(d, p, \Omega) \|\chi\|_{B(\Omega)} \|\psi\|_{D(\Lambda^{\frac{1}{2}})}.$$

Pointwise estimates for the commutator between fractional Laplacian and differentiation were established in [8]:

THEOREM 2.4 (Theorem 2.2, [8]). *For any  $p \in [1, \infty]$  and  $s \in (0, 2)$  there exists a positive constant  $C(d, s, p, \Omega)$  such that for all  $\psi \in C_c^\infty(\Omega)$  we have*

$$|[\Lambda^s, \nabla]\psi(x)| \leq C(d, s, p, \Omega) d(x)^{-s-1-\frac{d}{p}} \|\psi\|_{L^p(\Omega)}$$

*holds for all  $x \in \Omega$ .*

This pointwise bound implies the following commutator estimate in Lebesgue spaces.

THEOREM 2.5. *Let  $p, q \in [1, \infty]$ ,  $s \in (0, 2)$  and  $\varphi$  satisfy*

$$\varphi(\cdot) d(\cdot)^{-s-1-\frac{d}{p}} \in L^q(\Omega).$$

*Then the operator  $\varphi[\Lambda^s, \nabla]$  can be uniquely extended from  $C_c^\infty(\Omega)$  to  $L^p(\Omega)$  such that there exists a positive constant  $C = C(d, s, p, \Omega)$  such that*

$$\|\varphi[\Lambda^s, \nabla]\psi\|_{L^q(\Omega)} \leq C \|\varphi(\cdot) d(\cdot)^{-s-1-\frac{d}{p}}\|_{L^q(\Omega)} \|\psi\|_{L^p(\Omega)} \quad (2.3)$$

*holds for all  $\psi \in L^p(\Omega)$ .*

(2.3) is remarkable in that the commutator between an operator of order  $s \in (0, 2)$  and an operator of order 1 is an operator of order 0.

### 3. Proof of Theorem 1.1

We use Galerkin approximations. Denote by  $\mathbb{P}_m$  the projection in  $L^2(\Omega)$  onto the linear span  $L_m^2$  of eigenfunctions  $\{w_1, \dots, w_m\}$ , i.e.

$$\mathbb{P}_m f = \sum_{j=1}^m f_j w_j \quad \text{for } f = \sum_{j=1}^{\infty} f_j w_j. \quad (3.1)$$

The  $m$ th Galerkin approximation of (1.1) is the following ODE system in the finite dimensional space  $L_m^2$ :

$$\begin{cases} \dot{\theta}_m + \mathbb{P}_m(u_m \cdot \nabla \theta_m) + \nu \Lambda^s \theta_m = 0 & t > 0, \\ \theta_m = P_m \theta_0 & t = 0, \end{cases} \quad (3.2)$$

with  $\theta_m(x, t) = \sum_{j=1}^m \theta_j^{(m)}(t) w_j(x)$  and  $u_m = R_D^\perp \theta_m$  satisfying  $\operatorname{div} u_m = 0$ . Note that (3.2) is equivalent to

$$\frac{d\theta_l^{(m)}}{dt} + \sum_{j,k=1}^m \gamma_{jkl}^{(m)} \theta_j^{(m)} \theta_k^{(m)} + \nu \lambda_l^s \theta_l^{(m)} = 0, \quad l = 1, 2, \dots, m, \quad (3.3)$$

with

$$\gamma_{jkl}^{(m)} = \lambda_j^{-\frac{1}{2}} \int_{\Omega} \left( \nabla^\perp w_j \cdot \nabla w_k \right) w_l dx.$$

The local existence of  $\theta_m$  on some time interval  $[0, T_m]$  follows from the Cauchy-Lipschitz theorem. On the other hand, the antisymmetry property  $\gamma_{jkl}^{(m)} = -\gamma_{jlk}^{(m)}$  yields

$$\frac{1}{2} \|\theta_m(\cdot, t)\|_{L^2(\Omega)}^2 + \nu \int_0^t \int_{\Omega} |\Lambda^{\frac{s}{2}} \theta_m|^2 dx dr = \frac{1}{2} \|\mathbb{P}_m \theta_0\|_{L^2(\Omega)}^2 \leq \frac{1}{2} \|\theta_0\|_{L^2(\Omega)}^2 \quad (3.4)$$

for all  $t \in [0, T_m]$ . This implies that  $\theta_m$  is global and (3.4) holds for all positive times. The sequence  $\theta_m$  is thus uniformly bounded in  $L^\infty(0, \infty; L^2(\Omega)) \cap L^2(0, \infty; D(\Lambda^{\frac{s}{2}}))$ . Upon extracting a subsequence, we have  $\theta_m$  converge to some  $\theta$  weakly-\* in  $L^\infty(0, \infty; L^2(\Omega))$  and weakly in  $L^2(0, \infty; D(\Lambda^{\frac{s}{2}}))$ . In particular,  $\theta$  obeys the same energy inequality as in (3.4). On the other hand, if one multiplies (3.3) by  $\lambda_l^{-1/2} \theta_l^{(m)}$  and uses the fact that  $\gamma_{jkl}^{(m)} \lambda_l^{-1/2} = -\gamma_{ljk}^{(m)} \lambda_j^{-1/2}$ , one obtains

$$\frac{1}{2} \|\theta_m(\cdot, t)\|_{D(\Lambda^{-\frac{1}{2}})}^2 + \nu \int_0^t \int_{\Omega} |\Lambda^{\frac{s-1}{2}} \theta_m|^2 dx dr = \frac{1}{2} \|\mathbb{P}_m \theta_0\|_{D(\Lambda^{-\frac{1}{2}})}^2. \quad (3.5)$$

We derive next a uniform bound for  $\partial_t \theta_m$ . Let  $N > 0$  be an integer to be determined. For any  $\varphi \in D(\Lambda^{2N})$  we integrate by parts to get

$$\begin{aligned} \int_{\Omega} \partial_t \theta_m \varphi dx &= - \int_{\Omega} \mathbb{P}_m \operatorname{div}(u_m \theta_m) \varphi dx - \int_{\Omega} \nu \Lambda^s \theta_m \varphi dx \\ &= \int_{\Omega} (u_m \theta_m) \cdot \nabla (\mathbb{P}_m \varphi) dx - \int_{\Omega} \nu \theta_m \Lambda^s \varphi dx. \end{aligned}$$

The first term is controlled by

$$\left| \int_{\Omega} (u_m \theta_m) \cdot \nabla (\mathbb{P}_m \varphi) dx \right| \leq \|u_m \theta_m\|_{L^1(\Omega)} \|\nabla \mathbb{P}_m \varphi\|_{L^\infty(\Omega)} \leq C \|\mathbb{P}_m \varphi\|_{H^3(\Omega)}.$$

According to Lemma A.1, for  $N$  and  $k$  satisfying  $N > \frac{k}{2} + 1$  there exists a positive constant  $C_{N,k}$  such that

$$\|\mathbb{P}_m \varphi\|_{H^k(\Omega)} \leq C_{N,k} \|\varphi\|_{D(\Lambda^{2N})} \quad \forall m \geq 1, \quad \forall \varphi \in D(\Lambda^{2N}). \quad (3.6)$$

With  $k = 3$  and  $N = 3$  we have

$$\left| \int_{\Omega} (u_m \theta_m) \cdot \nabla (\mathbb{P}_m \varphi) dx \right| \leq C \|\varphi\|_{D(\Lambda^6)}.$$

On the other hand,

$$\left| \int_{\Omega} \nu \theta_m \Lambda^s \varphi dx \right| \leq C \|\theta_m\|_{L^2(\Omega)} \|\varphi\|_{D(\Lambda^2)}.$$

We have proved that

$$\left| \int_{\Omega} \partial_t \theta_m \varphi dx \right| \leq C \|\varphi\|_{D(\Lambda^6)} \quad \forall \varphi \in D(\Lambda^6).$$

Because  $L^2(\Omega) \times D(\Lambda^6) \ni (f, g) \mapsto \int_{\Omega} f g dx$  extends uniquely to a bilinear form on  $D(\Lambda^{-6}) \times D(\Lambda^6)$ , we deduce that  $\partial_t \theta_m$  are uniformly bounded in  $L^\infty(0, \infty; D(\Lambda^{-6}))$ . Note that we have used only the uniform regularity  $L^\infty(0, \infty; L^2(\Omega))$  of  $\theta_m$ . We have the embeddings  $D(\Lambda^{\frac{s}{2}}) \subset D(\Lambda^{(s-1)/2}) \subset D(\Lambda^{-6})$  where the first one is compact by virtue of Lemma 2.2, and the second is continuous. Fix  $T > 0$ . Aubin-Lions' lemma (see [16]) ensures that for some function  $f$  and along some subsequence  $\theta_m$  converge to  $f$  weakly in  $L^2(0, T; D(\Lambda^{\frac{s}{2}}))$  and strongly in  $L^2(0, T; D(\Lambda^{(s-1)/2}))$ . Apriori, both  $f$  and the subsequence depend on both  $T$ . However, we already know that  $\theta_m \rightarrow \theta$  weakly in  $L^2(0, \infty; D(\Lambda^{\frac{s}{2}}))$ . Therefore,  $f = \theta$  and the convergences to  $\theta$  hold for the whole sequence. Similarly, applying Aubin-Lions' lemma with the embeddings  $L^2(\Omega) \subset D(\Lambda^{-\varepsilon}) \subset D(\Lambda^{-6})$  for sufficiently small  $\varepsilon > 0$  we obtain that  $\theta_m \rightarrow \theta$  strongly in  $C([0, T]; D(\Lambda^{-\varepsilon}))$ . Integrating (3.2) against an arbitrary test function of the form  $\phi(t)\varphi(x)$  with  $\phi \in C_c^\infty((0, T))$ ,  $\varphi \in D(\Lambda^6)$  yields

$$\int_0^T \int_{\Omega} \theta_m \varphi(x) dx \partial_t \phi(t) dt + \int_0^T \int_{\Omega} u_m \theta_m \cdot \nabla \mathbb{P}_m \varphi(x) dx \phi(t) dt - \nu \int_0^T \int_{\Omega} \Lambda^{\frac{s}{2}} \theta_m \Lambda^{\frac{s}{2}} \varphi(x) dx \phi(t) dt = 0.$$

By Lemma A.1,

$$\|(\mathbb{I} - \mathbb{P}_m)\varphi\|_{L^\infty(\Omega)} \leq C\|(\mathbb{I} - \mathbb{P}_m)\varphi\|_{H^3(\Omega)} \rightarrow 0 \quad \text{as } m \rightarrow \infty.$$

The weak convergence of  $\theta_m$  in  $L^2(0, T; D(\Lambda^{\frac{s}{2}}))$  allows one to pass to the limit in the two linear terms. The strong convergence of  $\theta_m$  in  $L^2(0, T; L^2(\Omega))$  together with the weak convergence of  $u_m$  in the same space allows one to pass to the limit in the nonlinear term and conclude that  $\theta$  satisfies the weak formulation (1.4) with  $\varphi \in D(\Lambda^6)$ . In fact,  $\theta \in L^2(0, \infty; D(\Lambda^{\frac{s}{2}})) \subset L^2(0, \infty; L^p(\Omega))$  for some  $p > 2$ , hence  $u\theta \in L^2(0, \infty; L^q(\Omega))$  for some  $q > 1$ . In addition, if  $\varphi \in D(\Lambda^2)$  then  $\nabla \varphi \in L^r$  for all  $r < \infty$ , and thus the nonlinearity  $\int_{\Omega} u\theta \cdot \nabla \varphi dx$  makes sense. Then because  $D(\Lambda^2)$  is dense in  $D(\Lambda^6)$ , (1.4) holds for  $\varphi \in D(\Lambda^2)$ .

We now pass to the limit in (3.5). The strong convergence  $\theta_m \rightarrow \theta$  in  $C(0, T; D(\Lambda^{-\varepsilon}))$  gives the convergence of the first term. On the other hand, the strong convergence  $\theta_m \rightarrow \theta$  in  $L^2(0, T; D(\Lambda^{(s-1)/2}))$  yields the convergence of the second term. The right hand side converges to  $\frac{1}{2}\|\theta_0\|_{D(\Lambda^{-\frac{1}{2}})}^2$  since  $\mathbb{P}_m \theta_0$  converge to  $\theta_0$  in  $L^2(\Omega)$ . We thus obtain (1.6).

Since  $\theta_m \rightarrow \theta$  in  $C([0, T]; D(\Lambda^{-\varepsilon}))$  we deduce that

$$\theta_0 = \lim_{m \rightarrow \infty} \mathbb{P}_m \theta_0 = \lim_{m \rightarrow \infty} \theta_m|_{t=0} = \theta|_{t=0} \quad \text{in } D(\Lambda^{-\varepsilon}).$$

For a.e.  $t \in [0, T]$ ,  $\theta_m(t)$  are uniformly bounded in  $L^2(\Omega)$ , and thus along some subsequence  $m_j$ , a priori depending on  $t$ , we have  $\theta_{m_j}(t)$  converge weakly to some  $f(t)$  in  $L^2(\Omega)$ . But we know  $\theta_m(t) \rightarrow \theta(t)$  in  $D(\Lambda^{-\varepsilon})$ . Thus,  $f(t) = \theta(t)$  and  $\theta_m(t) \rightharpoonup \theta(t)$  in  $L^2(\Omega)$  as a whole sequence for a.e.  $t \in [0, T]$ . Recall that  $\frac{d}{dt} \theta_m$  are uniformly bounded in  $L^\infty(0, T; D(\Lambda^{-6}))$ . For all  $\varphi \in D(\Lambda^6)$  and  $t \in [0, T]$  we write

$$\langle \theta_m(t), \varphi \rangle_{L^2(\Omega), L^2(\Omega)} = \langle \theta_m(0), \varphi \rangle_{L^2(\Omega), L^2(\Omega)} + \int_0^t \langle \frac{d}{dt} \theta_m(r), \varphi \rangle_{D(\Lambda^{-6}), D(\Lambda^6)} dr.$$

Because  $\frac{d}{dt} \theta_m$  converge to  $\frac{d}{dt} \theta$  weakly-\* in  $L^\infty(0, T; D(\Lambda^{-6}))$ , letting  $m \rightarrow \infty$  yields

$$\langle \theta(t), \varphi \rangle_{L^2(\Omega), L^2(\Omega)} = \langle \theta_0, \varphi \rangle_{L^2(\Omega), L^2(\Omega)} + \int_0^t \langle \frac{d}{dt} \theta(r), \varphi \rangle_{D(\Lambda^{-6}), D(\Lambda^6)} dr$$

for a.e.  $t \in [0, T]$ . Taking the limit  $t \rightarrow 0$  gives

$$\lim_{t \rightarrow 0} \langle \theta(t), \varphi \rangle_{L^2(\Omega), L^2(\Omega)} = \langle \theta_0, \varphi \rangle_{L^2(\Omega), L^2(\Omega)}$$

for all  $\varphi \in D(\Lambda^6)$ . Finally, since  $D(\Lambda^6)$  is dense in  $L^2(\Omega)$  and  $\theta \in L^\infty(0, T; L^2(\Omega))$  we conclude that  $\theta \in C_w(0, T; L^2(\Omega))$  for all  $T > 0$ .

#### 4. Proof of Theorem 1.4

First, using approximations and commutator estimates we justify the commutator structure of the SQG nonlinearity derived in [8].

LEMMA 4.1. *For all  $\psi \in H_0^1(\Omega)$  and  $\varphi \in C_c^\infty(\Omega)$  we have*

$$\int_{\Omega} \Lambda \psi \nabla^\perp \psi \cdot \nabla \varphi dx = \frac{1}{2} \int_{\Omega} [\Lambda, \nabla^\perp] \psi \cdot \nabla \varphi \psi dx - \frac{1}{2} \int_{\Omega} \nabla^\perp \psi \cdot [\Lambda, \nabla \varphi] \psi dx. \quad (4.1)$$

Here, the commutator  $[\Lambda, \nabla^\perp] \psi \cdot \nabla \varphi$  is understood in the sense of the extended operator defined in Theorem 2.5.

PROOF. Let  $\psi_n \in C_c^\infty(\Omega)$  converging to  $\psi$  in  $H_0^1(\Omega)$ . Integrating by parts and using the fact that  $\nabla^\perp \cdot \nabla \varphi = 0$  gives

$$\int_{\Omega} \Lambda \psi_n \nabla^\perp \psi_n \cdot \nabla \varphi dx = - \int_{\Omega} \psi_n \nabla^\perp \Lambda \psi_n \cdot \nabla \varphi dx,$$

Because  $\psi_n$  is smooth and has compact support inside  $\Omega$ ,  $\nabla^\perp \psi_n \in D(\Lambda)$ , and thus we can commute  $\nabla^\perp$  with  $\Lambda$  to obtain

$$\begin{aligned} & \int_{\Omega} \Lambda \psi_n \nabla^\perp \psi_n \cdot \nabla \varphi dx \\ &= - \int_{\Omega} \psi_n [\nabla^\perp, \Lambda] \psi_n \cdot \nabla \varphi dx - \int_{\Omega} \psi_n \Lambda \nabla^\perp \psi_n \cdot \nabla \varphi dx \\ &= - \int_{\Omega} \psi_n [\nabla^\perp, \Lambda] \psi_n \cdot \nabla \varphi dx - \int_{\Omega} \nabla^\perp \psi_n \cdot \Lambda (\psi \nabla \varphi) dx \\ &= - \int_{\Omega} [\nabla^\perp, \Lambda] \psi_n \cdot \nabla \varphi \psi_n dx - \int_{\Omega} \nabla^\perp \psi_n \cdot [\Lambda, \nabla \varphi] \psi_n dx - \int_{\Omega} \nabla^\perp \psi_n \cdot \nabla \varphi \Lambda \psi_n dx. \end{aligned}$$

Noticing that the last term on the right-hand side is exactly the negative of the left-hand side, we deduce that

$$\int_{\Omega} \Lambda \psi_n \nabla^\perp \psi_n \cdot \nabla \varphi dx = \frac{1}{2} \int_{\Omega} [\Lambda, \nabla^\perp] \psi_n \cdot \nabla \varphi \psi_n dx - \frac{1}{2} \int_{\Omega} \nabla^\perp \psi_n \cdot [\Lambda, \nabla \varphi] \psi_n dx.$$

The commutator estimates in Theorems 2.3 and 2.5 then allow us to pass to the limit in the preceding representation and conclude that (4.1) holds.  $\square$

Now let  $\nu_n \rightarrow 0^+$  and let  $\theta_0^{\nu_n}$  be a bounded sequence in  $L^2(\Omega)$ . For each  $n$  let  $\theta_n \equiv \theta^{\nu_n}$  be a Leray-Hopf weak solution of (1.1) with viscosity  $\nu_n$  and initial data  $\theta_0^{\nu_n}$ . In view of the energy inequality (1.5),  $\theta_n$  are uniformly bounded in  $L^\infty(0, \infty; L^2(\Omega))$  and satisfies

$$\int_0^\infty \int_{\Omega} \theta_n \varphi(x) dx \partial_t \phi(t) dt + \int_0^\infty \int_{\Omega} u_n \theta_n \cdot \nabla \varphi(x) dx \phi(t) dt - \nu_n \int_0^\infty \int_{\Omega} \Lambda^{\frac{s}{2}} \theta_n \Lambda^{\frac{s}{2}} \varphi(x) dx \phi(t) dt = 0 \quad (4.2)$$

for all  $\phi \in C_c^\infty((0, \infty))$  and  $\varphi \in D(\Lambda^2)$ . Fix  $T > 0$ . Assume that along a subsequence, still labeled by  $n$ ,  $\theta_n$  converge to  $\theta$  weakly in  $L^2(0, T; L^2(\Omega))$ . We prove that  $\theta$  is a weak solution of the inviscid SQG equation. We first prove a uniform bound for  $\partial_t \theta_n$  provided only the uniform regularity  $L^\infty(0, T; L^2(\Omega))$  of  $\theta_n$ . To this end, let us define for a.e.  $t \in [0, T]$  the function  $f_n(t) \in H^{-3}(\Omega)$  by

$$\langle f_n(t), \varphi \rangle_{H^{-3}(\Omega), H_0^3(\Omega)} := \int_{\Omega} (u_n(x, t) \theta_n(x, t) \cdot \nabla \varphi(x) - \nu_n \theta_n(x, t) \Lambda^s \varphi(x)) dx$$

for all  $\varphi \in H_0^3(\Omega) \subset D(\Lambda^2)$ , where  $H_0^\mu(\Omega)$  is the closure of  $C_c^\infty(\Omega)$  in  $H^\mu(\Omega)$  for any  $\mu > 0$ . Indeed, we have

$$\left| \int_{\Omega} (u_n(x, t) \theta_n(x, t) \cdot \nabla \varphi(x) - \nu_n \theta_n(x, t) \Lambda^s \varphi(x)) dx \right| \leq C(\|\theta_n(t)\|_{L^2(\Omega)}^2 + 1) \|\varphi\|_{H^3(\Omega)}.$$

This shows that  $f_n$  are uniformly bounded in  $L^\infty(0, T; H^{-3}(\Omega))$ . Then for any  $\phi \in C_c^\infty((0, T))$ , it follows from (4.2) that

$$\int_0^T \theta_n \partial_t \phi dt = - \int_0^T f_n \phi dt$$

in  $H^{-3}(\Omega)$ . In other words,  $\partial_t \theta_n = f_n$  and the desired uniform bound for  $\partial_t \theta_n$  follows. Fix  $\varepsilon \in (0, \frac{1}{2})$ . Aubin-Lions' lemma applied with the embeddings  $L^2(\Omega) \subset D(\Lambda^{-\varepsilon}) \subset H^{-3}(\Omega)$  then ensures that  $\theta_n$  converge to  $\theta$  strongly in  $C(0, T; D(\Lambda^{-\varepsilon}))$ . Consequently  $\psi_n$  converge to  $\psi := \Lambda^{-1} \theta$  strongly in  $C(0, T; D(\Lambda^{1-\varepsilon}))$ .

Now we take  $\phi \in C_c^\infty((0, \infty))$  and  $\varphi \in C_c^\infty(\Omega)$ . Because of Lemma 4.1, the weak formulation (1.4) gives

$$\begin{aligned} & \int_0^T \int_\Omega \theta_n \varphi(x) dx \partial_t \phi(t) dt + \frac{1}{2} \int_0^T \int_\Omega [\Lambda, \nabla^\perp] \psi_n \cdot \nabla \varphi(x) \psi_n dx \phi(t) dt \\ & - \frac{1}{2} \int_0^T \int_\Omega \nabla^\perp \psi_n \cdot [\Lambda, \nabla \varphi(x)] \psi_n dx \phi(t) dt - \nu_n \int_0^T \int_\Omega \theta_n \Lambda^s \varphi(x) dx \phi(t) dt = 0, \end{aligned}$$

where  $\psi_n := \Lambda^{-1} \theta_n$  are uniformly bounded in  $L^\infty(0, T; H_0^1(\Omega))$ . The weak convergence  $\theta_n \rightharpoonup \theta$  in  $L^2(0, T; L^2(\Omega))$  readily yields

$$\lim_{n \rightarrow \infty} \int_0^T \int_\Omega \theta_n \varphi(x) dx \partial_t \phi(t) dt = \int_0^T \int_\Omega \theta \varphi(x) dx \partial_t \phi(t) dt$$

and

$$\lim_{n \rightarrow \infty} \nu_n \int_0^T \int_\Omega \theta_n \Lambda^s \varphi(x) dx \phi(t) dt = 0.$$

Next we pass to the limit in the two nonlinear terms. Applying the commutator estimate in Theorem 2.3 we have

$$\begin{aligned} & \left| \int_0^T \int_\Omega \nabla^\perp \psi_n \cdot [\Lambda, \nabla \varphi] \psi_n dx \phi dt - \int_0^T \int_\Omega \nabla^\perp \psi \cdot [\Lambda, \nabla \varphi] \psi dx \phi dt \right| \\ & \leq \left| \int_0^T \int_\Omega \nabla^\perp (\psi_n - \psi) \cdot [\Lambda, \nabla \varphi] \psi dx \phi dt \right| + \|\phi \nabla^\perp \psi_n\|_{L^2(0, T; L^2(\Omega))} \|[\Lambda, \nabla \varphi] (\psi_n - \psi)\|_{L^2(0, T; L^2(\Omega))} \\ & \leq \left| \int_0^T \int_\Omega \nabla^\perp (\psi_n - \psi) \cdot [\Lambda, \nabla \varphi] \psi dx \phi dt \right| + C \|\psi_n - \psi\|_{L^2(0, T; D(\Lambda^{\frac{1}{2}}))}. \end{aligned}$$

The first term converges to 0 due to the weak convergence of  $\psi_n$  to  $\psi$  in  $L^2(0, T; H_0^1(\Omega))$  and the fact that  $[\Lambda, \nabla \varphi] \psi \in D(\Lambda^{\frac{1}{2}}) \subset L^2(\Omega)$  in view of Theorem 2.3. The second term also converges to 0 due to the strong convergence of  $\psi_n$  to  $\psi$  in  $C(0, T; D(\Lambda^{1-\varepsilon}))$  with  $\varepsilon \in (0, \frac{1}{2})$ . Finally, we apply the commutator estimate in Theorem 2.5 to obtain

$$\begin{aligned} & \left| \int_0^T \int_\Omega [\Lambda, \nabla^\perp] \psi_n \cdot \nabla \varphi \psi_n dx \phi dt - \int_0^T \int_\Omega [\Lambda, \nabla^\perp] \psi \cdot \nabla \varphi \psi dx \phi dt \right| \\ & \leq \|\nabla \varphi [\Lambda, \nabla^\perp] (\psi_n - \psi)\|_{L^2(0, T; L^2(\Omega))} \|\phi \psi_n\|_{L^2(0, T; L^2(\Omega))} \\ & \quad + \|[\Lambda, \nabla^\perp] \psi \cdot \nabla \varphi\|_{L^2(0, T; L^2(\Omega))} \|\phi (\psi_n - \psi)\|_{L^2(0, T; L^2(\Omega))} \\ & \leq C \|\psi_n - \psi\|_{L^2(0, T; L^2(\Omega))} \end{aligned}$$

which converges to 0. Putting together the above considerations leads to

$$\int_0^T \int_\Omega \theta \varphi(x) dx \partial_t \phi(t) dt + \int_0^T \int_\Omega u \theta \cdot \nabla \varphi(x) dx \phi(t) dt = 0, \quad \forall \phi \in C_c^\infty((0, T)), \varphi \in C_c^\infty(\Omega).$$

Therefore,  $\theta$  is a weak solution of the inviscid SQG equation on  $[0, T]$ .



Finally, let us show the Hamiltonian conservation of  $\theta$ . We have the energy balance (1.6) for each  $\theta_n$ . If  $s \leq 1$ , then the uniform boundedness of  $\theta_n$  in  $L^\infty(0, T; L^2(\Omega))$  implies

$$\lim_{n \rightarrow \infty} \nu_n \int_0^t \int_\Omega |\Lambda^{\frac{s-1}{2}} \theta_n|^2 dx dr = 0, \quad t \in [0, T]. \quad (4.3)$$

In addition,  $\theta_n \rightarrow \theta$  strongly in  $C(0, T; D(\Lambda^{-\varepsilon})) \subset C(0, T; D(\Lambda^{-\frac{1}{2}}))$ . Letting  $\nu = \nu_n \rightarrow 0$  in the balance (1.6) we conclude that the Hamiltonian of  $\theta$  is constant on  $[0, T]$ . Consider next the case  $s \in (1, 2]$ . Then since  $\frac{s-1}{2} \in (0, \frac{s}{2})$  it follows by interpolation that

$$\|\Lambda^{\frac{s-1}{2}} \theta_n\|_{L^2(\Omega)}^2 \leq \|\theta_n\|_{L^2(\Omega)}^{2(1-\lambda)} \|\Lambda^{\frac{s}{2}} \theta_n\|_{L^2(\Omega)}^{2\lambda} \leq C \|\Lambda^{\frac{s}{2}} \theta_n\|_{L^2(\Omega)}^{2\lambda}$$

for some  $\lambda \in (0, 1)$  depending only on  $s$ . Thus, for any  $\delta > 0$ ,

$$\nu_n \int_0^t \|\Lambda^{\frac{s-1}{2}} \theta_n\|_{L^2(\Omega)}^2 dt \leq C t \nu_n \delta^{-\frac{\lambda}{1-\lambda}} + C \delta \nu_n \int_0^T \|\Lambda^{\frac{s}{2}} \theta_n\|_{L^2(\Omega)}^2 dr, \quad t \in [0, T].$$

Because of (1.5) the energy dissipation quantities  $\nu_n \int_0^t \int_\Omega |\Lambda^{\frac{s}{2}} \theta_n|^2 dx dt$ ,  $t \in [0, T]$ , are uniformly bounded. Sending  $\nu_n \rightarrow 0$  and then  $\delta \rightarrow 0$  yields (4.3) for this case. This completes the proof.

### Appendix A. A bound on $\mathbb{P}_m$

Recall the definition (3.1) of  $\mathbb{P}_m$ . The following lemma is essentially taken from [8]. We include the proof for the sake of completeness.

**LEMMA A.1.** *Let  $\Omega \subset \mathbb{R}^d$ ,  $d \geq 2$ , be a bounded domain with smooth boundary. For every  $N$  and  $k \in \mathbb{N}$  satisfying  $N > \frac{k}{2} + \frac{d}{2}$  there exists a positive constant  $C_{N,k}$  such that*

$$\|\mathbb{P}_m \varphi\|_{H^k(\Omega)} \leq C_{N,k} \|\varphi\|_{D(\Lambda^{2N})} \quad (A.1)$$

for all  $m \geq 1$  and  $\varphi \in D(\Lambda^{2N})$ ; moreover, we have

$$\lim_{m \rightarrow \infty} \|(\mathbb{I} - \mathbb{P}_m) \varphi\|_{H^k(\Omega)} = 0. \quad (A.2)$$

**PROOF.** As  $\varphi \in D(\Lambda^{2N})$ , we have  $\Delta^\ell \varphi \in H_0^1(\Omega)$  for all  $\ell = 0, 1, \dots, N-1$ . This allows repeated integration by parts with  $w_j$  using the relation  $-\Delta w_j = \lambda_j w_j$ . Using Hölder's inequality and the fact that  $w_j$  is normalized in  $L^2$ , we obtain

$$|\varphi_j| \leq \lambda_j^{-N} \|\Delta^N \varphi\|_{L^2}, \quad \varphi_j = \int_\Omega \varphi w_j dx.$$

By elliptic regularity estimates and induction, we have for all  $k \in \mathbb{N}$  that

$$\|w_j\|_{H^k(\Omega)} \leq C_k \lambda_j^{\frac{k}{2}}.$$

We know from the easy part of Weyl's asymptotic law that  $\lambda_j \geq C j^{\frac{2}{d}}$ . Consequently, with  $N > \frac{k}{2} + \frac{d}{2}$  we deduce that

$$\begin{aligned} \sum_{j=1}^{\infty} |\varphi_j| \|w_j\|_{H^k(\Omega)} &\leq C_k \|\Delta^N \varphi\|_{L^2} \sum_{j=1}^{\infty} \lambda_j^{-N+\frac{k}{2}} \\ &\leq C_k \|\varphi\|_{D(\Lambda^{2N})} \sum_{j=1}^{\infty} j^{(-N+\frac{k}{2})\frac{2}{d}} \\ &= C_{N,k} \|\varphi\|_{D(\Lambda^{2N})} \end{aligned}$$

where  $C_{N,k} < \infty$  depends only on  $N$  and  $k$ . Because

$$(\mathbb{I} - \mathbb{P}_m)\varphi = \sum_{j=m+1}^{\infty} \varphi_j w_j,$$

this proves both (A.1) and (A.2). The proof is complete.  $\square$

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