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# Exploiting Frequency Response for the identification of Microphone using Artificial Neural Networks

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#### **ABSTRACT**

Microphone identification addresses the challenge of identifying the microphone signature from the recorded signal. An audio recording system (consisting of microphone, A/D converter, codec, etc.) leaves its unique traces in the recorded signal. Microphone system can be modeled as a linear time invariant system. The impulse response of this system is convoluted with the audio signal which is recorded using "the" microphone. This paper makes an attempt to identify "the" microphone from the frequency response of the microphone. To estimate the frequency response of a microphone, we employ sine sweep method which is independent of speech characteristics. Sinusoidal signals of increasing frequencies are generated, and subsequently we record the audio of each frequency. Detailed evaluation of sine sweep method shows that the frequency response of each microphone is stable. A neural network based classifier is trained to identify the microphone from recorded signal. Results show that the proposed method achieves microphone identification having 100% accuracy.

#### 1 Introduction

Microphone identification is a process that identifies microphone using its unique artifacts. It can be used as proof of ownership and to authenticate audio recordings [1], [2]. In audio forensic analysis, computing impulse response/frequency response for a microphone is an important task that can be used for microphone identification. The main objective of finding frequency response of the microphone is to classify microphones to link the audio recording to the microphone [3]. Recently,

microphone identification became an active research area. Multiple attempts have been made to improve its accuracy. For example, Kraetzer's et al.'s microphone identification algorithm has classified 76% of the microphones accurately [4], Aggarwal et al.'s statistical machine learning based approach reported an efficiency of 90% [5], and Cuccovillo et al.'s open set microphone classification scheme claimed an efficiency of 93% [1]. However, in all these methods impulse response approximation is dependent on the speech characteristics. Essentially, from the recorded signal, these methods

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estimate clean speech that leads to the estimation of impulse response of the microphone. In estimating the channel response of the microphone, these methods infer the log-spectral magnitude of the channel by subtracting the spectrum from the closest matching template of clean speech average spectrum, in a frame of observed speech [6]. According to best of our knowledge, there doesn't exist any work that estimates the impulse response independent of speech characteristics.

The proposed method analyzes uniqueness of device specific attributes in frequency domain. Sinusoidal audio waves of different frequencies are generated and output against each audio is recorded. The output of audio of each frequency (in frequency domain) is divided by the input (in frequency domain), and in this way frequency response of each audio sinusoidal wave is found and frequency response of microphone is computed. In this study, the environment in which the audio is recorded is kept the same.

In this paper, we propose a method to find the frequency response and classify microphones based upon the frequency response. To validate the proposed approach, six microphones are used in this research study. Out of six, four microphones with labels  $(M_1, M_2, M_3)$  and  $M_4$ ) belong to the one manufacturer with same make and model and the other two with labels ( $M_5$  and  $M_6$ ) belong to the second manufacturer with same make and model are used to find the impulse response of each microphone. The first model of the microphone selected is SM58 and the other is ST95MK. Audio for each sinusoidal wave is recorded simultaneously by using Zoom R-16 device. Experimental results show that microphones have different impulse response even when all the microphones belong to the same manufacturer and have same make and model.

The rest of the paper is structured as follows. Section-2 explains existing state of the art microphone impulse response estimation from the speech signal. Section-3 outlines the details of experimental settings, dataset used. Experimental results of microphone identification are listed in section-4, it also outlines the details of finding the frequency response of a microphone which is independent of speech signal. Concluding remarks and future directions are discussed in section-5.

#### 2 Related work

In microphone identification, two types of methods are used: informed identification and blind identification. In informed identification [7], [8], there is past information about the microphone characteristics, and by using this information it is recognized whether the given audio belongs to the same microphone or not. The second type of microphone identification is blind identification [1], [2], [6], [9], [10], [11], [12], that doesn't involve any prior knowledge about the microphone. Another type of microphone identification has two categories: intraclass identification and interclass identification. Intraclass identification means that the microphones which are to be identified are of the same make and model belonging to same manufacturer. The second type of microphone identification is interclass in which microphones are of different makes or models. Literature review of microphone identification shows that microphones not only have different characteristics in interclass but also in the intraclass microphone identification. The same idea of identifying transmitter based upon frequency response has also been used in automotive security to detect the transmitter (electronic control unit) based upon frequency response [13].

Detailed review of literature shows that focus of the existing studies remained on the estimation of the impulse response from speech, hence the impulse response is dependent on speech characteristics. Most of the existing methods extract feature set from speech recordings to compute impulse response of underlying microphone. The blind channel estimation method, proposed in [1], is used to compute the feature vector from the input recording. The audio is divided into small frames. For each frame, a feature vector consisting of RASTA-MFCC coefficients is computed. The Gaussian mixture model (GMM)-based modelling is used to estimate the underlying parameters for each coefficient. The estimated GMM parameters  $\pi_i$ ,  $\mu_i$ ,  $\Sigma_i$  are used to compute a relative probability matrix  $P_X$  which is used to find average log spectrum of the speech [1], [2]. The estimated microphone impulse response can be computed as the difference of average log spectrum of the test file and the estimate of the original clean speech [1].

#### 3 Experimental setup and dataset

Fig.(1) shows the experimental setup used in this research. We find the frequency response of microphones

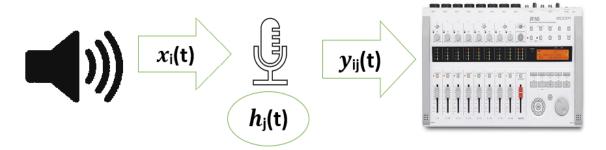


Fig. 1: Experimental setup to determine frequency response

by generating the sinusoidal frequency audio waves, and recording the audio waves by microphone whose frequency response is to be estimated. The frequency of the sinusoidal wave form is increased in equal steps and response to each frequency is recorded using a microphone. Details of dataset used, experimental setup, and experimental results are provided in the following subsections.

#### 3.1 Dataset

The frequency response of the proposed scheme is evaluated using a dataset consisting of 80 frequencies for each microphone. The first audio recording is a sinusoidal wave form of frequency 100Hz, the next audio is of 200Hz, hence with an increment of 100Hz, 80 audio frequencies are generated till 8000Hz. The recording of each frequency tone was of 50 seconds duration. Hence the total duration of signal to compute impulse response for one microphone recording is 4,000 seconds. The impulse response was computed 40 times for each microphone.

#### 3.2 Experimental Setup

Four SM58 microphones (same make and model) - labeled as  $M_1$ ,  $M_2$ ,  $M_3$  and  $M_4$  and two microphones ST95MK (same make and model)- labeled as  $M_5$  and  $M_6$ — are used to capture audio waves using  $Zoom\ R$ -16 digital audio recorder simultaneously in the same acoustic environment. Table-1 shows the details of microphone used in our research. All recordings are made in a small office with surface area covered predominantly with carpet and dry wall. For the dataset collection, the  $Zoom\ R$ -16 recorder was set to 44.1 kHz sampling rate. The resolution used was 16 bits/sample.

With the assumption that the microphone behaves like a linear time invariant system, the output for each audio signal is divided by the input signal in frequency domain and the frequency response of the microphone is found.

Table 1: Technical specifications of channels

Label	Manufacturer	Model		
$M_1$	Shure	SM58		
$M_2$	Shure	SM58		
<i>M</i> <sub>3</sub>	Shure	SM58		
$M_4$	Shure	SM58		
$M_5$	AudioTechnica	ST95MK		
$M_6$	AudioTechnica	ST95MK		

#### 3.3 Frequency response estimation

Whenever an input signal x(t) passes through a linear time invariant system h(t), the output signal y(t) is the convolution of x(t) with h(t). Let the impulse response of  $j^{th}$  microphone be  $h_j(t)$  and let  $x_i(t)$  be an audio input to the microphone  $M_j$  with impulse response  $h_j(t)$ , where  $h_j(t)$  is to be evaluated. The signal  $x_i(t)$  travels through the microphone [14], then  $y_{ij}(t)$  which is the response of  $j^{th}$  microphone to an audio signal  $x_i(t)$  can be expressed as,

$$y_{ij}(t) = x_i(t) * h_j(t)$$
 (1)

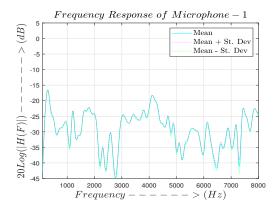
Let  $H_j(F)$  be the Fourier transform of  $h_j(t)$ ,  $Y_{ij}(F)$  be the Fourier transform of  $y_{ij}(t)$ ,  $X_i(F)$  be the Fourier transform of  $x_i(t)$ . The frequency response of the  $j^{th}$  microphone can be estimated as,

$$H_j(F) = \frac{Y_{ij}(F)}{X_i(F)} \tag{2}$$

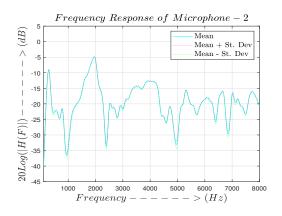
#### 4 Experimental results

## 4.1 Evaluation of frequency response of Microphones

Fig.(2) shows the frequency response of microphone  $M_1$  in dB scale. The frequency response of the microphone is computed ten times in the same environment. To test the stability of microphone frequency response, the mean and standard deviation of the frequency response are computed. The following figure consists of three statistical quantities measured vs frequency (in Hz). The first statistical quantity is the mean of 10 readings of frequency response. The second statistical quantity in the following figure is the mean plus standard deviation and the third quantity is the mean minus standard deviation.



**Fig. 2:** Frequency response of Mic in dB scale  $(M_1)$ 

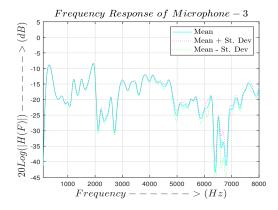


**Fig. 3:** Frequency response of Mic in dB scale  $(M_2)$ 

These statistical quantities are computed at all the frequencies starting from 100Hz to 8000Hz with an in-

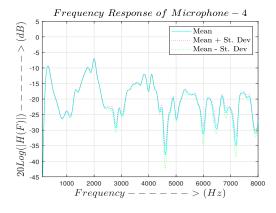
cremental frequency of 100Hz. From the fig.(2), it is observed that this method of computing frequency is very stable as the mean of the frequency response, mean plus standard deviation and mean minus standard deviation graphs are very close to each other. From this figure, it can be concluded that the frequency response of the microphone is stable. It can also be observed that the microphone frequency response has a very low gain on frequencies around 3,000Hz and high gain on low frequencies and frequency around 4,000Hz.

Fig.(3) shows the frequency response of microphone  $M_2$ . The frequency response of microphone  $M_2$  is sketched in dB scale. The figure has three statistical quantities same as for microphone  $M_1$ .



**Fig. 4:** Frequency response of Mic in dB scale  $(M_3)$ 

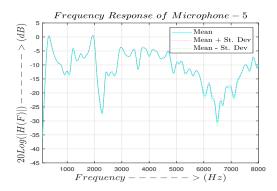
Fig.(4) shows the frequency response of microphone  $M_3$ . It is observed that frequency response of  $M_3$  is different than  $M_1$  and  $M_2$ .



**Fig. 5:** Frequency response of Mic in dB scale  $(M_4)$ 

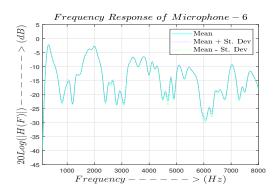
Fig.(5) shows the frequency response of microphone

 $M_4$ . Experimental results show that microphones  $M_1$ ,  $M_2$ ,  $M_3$  and  $M_4$ , which have the same make and model, same manufacturer have different frequency responses. The reason for this is that at microscopic level, each component cannot have the same type and number of atoms. Therefore, the physical characteristics of each microphone is different.



**Fig. 6:** Frequency response of Mic in dB scale  $(M_5)$ 

Fig.(6) shows the frequency response of microphone  $M_5$  in dB scale vs frequency. From the fig.(6), it is observed that this method of computing frequency is very stable as the mean of the frequency response, mean plus standard deviation, and mean minus standard deviation graphs are very close to each other. From this figure, it is concluded that the frequency response of the microphone is stable. It is also noticed that the microphone frequency response has a very low gain on frequencies around 2200Hz and 6500Hz. It has high gain on low frequencies and frequency around 1800Hz.



**Fig. 7:** Frequency Response of Mic in dB scale  $(M_6)$ 

Fig.(7) shows the frequency response of microphone  $M_6$  on dB scale vs frequency. The frequency response of microphone  $M_5$  and  $M_6$  are different even though

both the microphones belong to the same manufacturer and have the same make and model.

## 4.2 Microphone Identification Using Neural Networks

The purpose of this experiment is to validate that different microphones even from the same make and model introduce different artifacts while transmitting same message over the same channel used. Fig.(8) shows the block diagram of the proposed algorithm used to identify microphones. Audio of different sinusoidal waves is recorded from each microphone and the recording is stored using Zoom R-16 device, the frequency response is estimated and stored in the database after classification during training phase. In the testing phase, the frequency response of the test microphone is estimated and trained model is used for classification of each audio file in the testing dataset to identify the microphone. To achieve this goal, the dataset for all the six microphones transmitting the same message is used. In this experiment, the microphone is the only variable and the rest of the variables are kept the same.

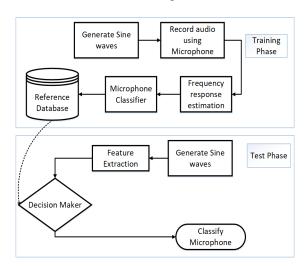


Fig. 8: Microphone Identification using ANN

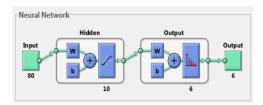
Scaled conjugate gradient back propagation algorithm is used for microphone classification. Let  $p_1, p_2, \dots, p_k$  be a set of non-zero vectors weight vectors in  $\Re^N$  [15]. The set is said to be conjugate system with respect to non-singular matrix A if the following holds,

$$p_i^T A p_i = 0 \quad (i \neq j, i = 1, 2, \dots, k)$$
 (3)

The set of points w in  $\Re^N$  satisfying,

$$w = w_1 + \alpha_1 p_1 + \alpha_2 p_2 + ... + \alpha_k p_k, \alpha_i \in \Re$$
 (4)

where,  $w_1$  is a point in weight space and  $p_1, p_1, \ldots, p_k$  is a subset of conjugate system, is called a k-plane [15]. This algorithm adjusts the weights  $w_i$  such that the error is minimized. The iterations continue to find the set of  $w_i$  till error is minimized and the local minimum is reached. The main purpose of scaled conjugate gradient back propagation algorithm is to find the weights  $w_i$  in the training phase and then weights  $w_i$  for classification in testing phase. A multilayer neural network is trained with "scaled conjugate gradient back propagation" training algorithm with 80 input variables (which represents the microphone frequency response) is used. The frequency response is computed 40 times for each microphone.



**Fig. 9:** Neural Network architecture of Microphone classifier

There are 6 outputs from neural network where each output refers to the microphone to which the signal belongs. Stopping criteria of the Epochs = 1000, gradient = 7.5e-7, and one hidden layer with 10 hidden nodes is included. Shown in fig.(9) is the architecture of the multilayer NN trained for channel classification.

**Table 2:** Confusion matrix for Microphone Classifier

Target Class										
-	-	$M_1$	$M_2$	$M_3$	$M_4$	$M_5$	$M_6$	Acc. %		
Pred. Class	$M_1$	40	0	0	0	0	0	100		
	$M_2$	0	40	0	0	0	0	100		
	<i>M</i> <sub>3</sub>	0	0	40	0	0	0	100		
	$M_4$	0	0	0	40	0	0	100		
	$M_5$	0	0	0	0	40	0	100		
	$M_6$	0	0	0	0	0	40	100		
S	Acc. %	100	100	100	100	100	100	100		

Table-2 shows the classification performance of the proposed system in terms of confusion matrix of microphone (M) classification for the training, validation

and testing phase. It is observed that the microphone classification achieves an overall detection rate of 100% accuracy in testing, validation and test phase.

#### 5 Conclusion

Microphone identification is an important area in audio forensics. Existing research has focused on the computation of frequency response using the characteristics of speech for microphone identification. Unlike previous studies, this paper presented an approach of using sinusoidal audio waves of different frequencies to compute frequency response. We tested the stability of our method of estimating frequency response. Experimental results showed that different microphones have different gains at different frequencies. Moreover, our results also showed that microphones which belongs to same manufacturer and have same make and model have different frequency responses. our empirical results of also showed that the microphones are identified with 100% accuracy using artificial neural network. In future work, given any speech signal, we will apply inverse filtering on speech signal by using the results of frequency response in this study to identify the microphone.

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