# Random Sketching, Clustering, and Short-Term Memory in Spiking Neural Networks

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# 15 — Abstract -

We study input compression in a biologically inspired model of neural computation. We demon-16 strate that a network consisting of a random projection step (implemented via random synaptic 17 connectivity) followed by a sparsification step (implemented via winner-take-all competition) can 18 reduce well-separated high-dimensional input vectors to well-separated low-dimensional vectors. By 19 augmenting our network with a third module, we can efficiently map each input (along with any 20 small perturbations of the input) to a unique representative neuron, solving a neural clustering 21 problem. 22 Both the size of our network and its processing time, i.e., the time it takes the network to 23

<sup>24</sup> compute the compressed output given a presented input, are independent of the (potentially large) <sup>25</sup> dimension of the input patterns and depend only on the number of distinct inputs that the network <sup>26</sup> must encode and the pairwise relative Hamming distance between these inputs. The first two steps <sup>27</sup> of our construction mirror known biological networks, for example, in the fruit fly olfactory system <sup>28</sup> [9, 29, 17]. Our analysis helps provide a theoretical understanding of these networks and lay a <sup>29</sup> foundation for how random compression and input memorization may be implemented in biological <sup>30</sup> neural networks.

Technically, a contribution in our network design is the implementation of a *short-term* memory. Our network can be given a desired *memory time*  $t_m$  as an input parameter and satisfies the following with high probability: any pattern presented several times within a time window of  $t_m$  rounds will be mapped to a single representative output neuron. However, a pattern not presented for  $c \cdot t_m$ rounds for some constant c > 1 will be "forgotten", and its representative output neuron will be released, to accommodate newly introduced patterns.

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# **1** Introduction

In this work we study brain-like networks that receive potentially complex and high-42 dimensional inputs (e.g., from sensory neurons representing odors, faces, or sounds) and 43 encode these inputs in a very compressed way. Specifically, we consider networks with n44 input neurons and k output neurons, where n may be very large. When presented with up 45 to k sufficiently different but otherwise arbitrary input patterns, the goal of the network is 46 to represent the inputs in such a way that they can be recognized when presented again: 47 each input should be uniquely mapped to a single representative output neuron that fires if 48 that input pattern is reintroduced. Further, any small perturbations of a presented input 49 should be recognized by the same representative neuron. We call the above problem the 50 neural clustering problem. 51

Clustering, input memorization, and compression are fundamental problems in biological 52 neural networks. Our work is also inspired by the important novelty detection problem 53 [25, 41]. Novelty detection requires detecting inputs that differ significantly from previously 54 seen inputs. It is easy to see that this problem can be solved with a neural clustering network, 55 in which all sufficiently far inputs are mapped to different representative neurons and all 56 sufficiently close inputs are mapped to the same neuron. A novel input is detected whenever 57 a new representative neuron is assigned. The novelty detection problem has been considered 58 recently in the fruit fly olfactory system [16], where it is believed to be solved using a random 59 projection based method. The high level structure of this method closely resembles the initial 60 stages of our clustering algorithm, and we see a major contribution of our work as providing 61 a theoretical understanding of how random projection can be implemented in biologically 62 inspired neural networks. For further discussion about the connection to fruit fly novelty 63 detection see Section 1.2. 64

# 65 1.1 Our Results

We study the neural clustering problem in a biologically inspired model of stochastic spiking 66 neural networks (stochastic SNNs), which was previously defined in [33, 34, 35]. In these 67 networks, computation proceeds in discrete rounds with each neuron either firing (spiking) in 68 a round or remaining silent. Each neuron spikes randomly, with probability determined by 69 its membrane potential. This potential is induced by spikes from neighboring neurons, which 70 can have either an excitatory or inhibitory effect (increasing or decreasing the potential). In 71 general, the input to an SNN is a stream of binary vectors, corresponding to spikes of the 72 input neurons. In our setting we will consider a single binary vector as the input pattern 73 and assume that each input vector is presented for a certain number of consecutive rounds 74 before changing. This allows the network time to stabilize to the correct output associated 75 with the given input. 76

We demonstrate that clustering can be solved efficiently in these networks, where the cost is measured by (i) the number of *auxiliary neurons*, besides the input and output neurons, that are required to solve the clustering task and (ii) the number of rounds required to converge to the correct output for a given input, which corresponds to the number of rounds for which the input must be presented for before moving to the next input.

In the clustering problem, we consider a (potentially large) set of *n*-length patterns that are clustered around k base patterns. It is then required to map all patterns in the same cluster to a unique output in [k].

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**Clustering with Output Reassignment.** We also want our network to be reusable,

with a *memory duration*  $t_m$  that is given as an input parameter. Instead of considering a 87 single infinite input stream with at most k distinct patterns (or clusters of patterns), our 88 memory module allows one having many distinct patterns, as long as their presentation times 89 are sufficiently spaced out. That is, in any window of  $\Theta(t_m)$  rounds, the network is presented 90 at most k distinct patterns. To handle distinct patterns in each  $\Theta(t_m)$ -round window, the 91 network must *forget* patterns that have not been introduced for a while and release their 92 allocated outputs so that they can be assigned to new inputs. Specifically, for some fixed 93 constant c, our network remembers a pattern (its cluster) for at least  $t_m$  rounds and at most 94  $c \cdot t_m$  round. The output of any pattern not introduced for  $c \cdot t_m$  rounds is released with 95 high probability and can be reassigned to represent another input. 96

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The Neural Clustering Problem. We now formally define the neural clustering 98 problem, which is parametrized by several parameters: the input dimension n, the number of 99 distinct input patterns k, the memory duration  $t_m$ , a bound on the relative distance of input 100 patterns  $\Delta$ , and the allowed failure probability  $\delta$ . We require that every pattern introduced 101 as input, remains the input pattern for at least  $t_p = poly(k, 1/\Delta, log(1/\delta))$  (i.e., independent 102 of n) consecutive rounds. The  $t_p$  parameter is the processing time or mapping time, i.e., the 103 time it takes for the network to converge to the output neuron. Throughout, we will assume 104 that all patterns have p non-zero entries. We conjecture that this assumption can be easily 105 removed however keep it to simplify our arguments. 106

Define the relative Hamming distance between two inputs  $\overline{X}_i, \overline{X}_j \in \{0, 1\}^n$  to be:

$$\mathcal{RD}(\overline{X}_i, \overline{X}_j) = \frac{||\overline{X}_i - \overline{X}_j||_1}{\max\{||\overline{X}_i||_1, ||\overline{X}_j||_1\}} \ .$$

In the basic clustering problem, the network is introduced to a possibly large number of 107 distinct patterns that are *clustered* around k-centers. That is, in every window of  $t_m$  rounds, 108 the patterns introduced are clustered around a base-set of k patterns  $\overline{X}_1, \ldots, \overline{X}_k \in \{0, 1\}^n$ 109 such that the relative difference between each pair in the base-set is at least  $\Delta$ , and any other 110 pattern introduced is sufficiently close to one of the patterns in the base-set (with relative 111 distance  $\leq \Delta/\alpha$  for some  $\alpha = O(1)$ . In the clustering problem the network maps similar 112 patterns to the same unique output  $q_i$  for  $i \in [k]$  (i.e., the cluster name) and non-similar 113 patterns to distinct names. Formally: 114

**Definition 1** (Clustering Input Condition). An infinite input sequence  $\overline{Z}_1, \overline{Z}_2, ...$  is a wellbehaved clustering input sequence with input size n, output size k, memory duration  $t_m$ , relative distance parameter  $\Delta$ , closeness parameter  $\alpha$ , and input persistence time  $t_p$  if:

For any set of  $t_m$  rounds  $T = \{t, t+1, ..., t+(t_m-1)\}$  there exist  $\overline{X}_1, \overline{X}_2, ..., \overline{X}_k \in \{0, 1\}^n$ such that  $\mathcal{RD}(\overline{X}_i, \overline{X}_j) \ge \Delta$  for all  $i \ne j$  and for all  $i \in T$ ,  $\mathcal{RD}(\overline{Z}_i, \overline{X}_j) \le \Delta/\alpha$  for some  $j \in [k]$ .

If 
$$\overline{Z}_i \neq \overline{Z}_{i-1}$$
, then  $\overline{Z}_i = \overline{Z}_{i+1} = \dots = \overline{Z}_{i+t_p}$ 

▶ Definition 2 (Clustering Network). A network  $\mathcal{N}$  solves the clustering problem for input size n, output size k, memory duration  $t_m$ , relative distance parameter  $\Delta$ , closeness parameter  $\alpha$ , input duration  $t_p$ , and failure probability  $\delta$  if, on a well-behaved input sequence for the same parameters (Definition 1), on any fixed window of  $t_m$  rounds, with probability  $\geq 1 - \delta$ :

Each input pattern  $\overline{Z}$  is mapped to some output  $q_j$  for  $j \in [k]$ . That is, whenever the input changes to  $\overline{Z}$  round i (so  $\overline{Z}_{i-1} \neq \overline{Z}$  but  $\overline{Z}_i = \overline{Z}$ ), there is a unique output neuron  $q_j$  that fires at round  $i + t_p$  and continues to fire as long as the input remains fixed to  $\overline{Z}$ . Any pair of far patterns  $\overline{Z}, \overline{Z}'$  with  $\mathcal{RD}(\overline{Z}, \overline{Z}') \geq \Delta$  introduced within the  $t_m$  time window

<sup>130</sup> are mapped to different outputs.



**Figure 1** High level illustration of the clustering network. Right: The input pattern  $\bar{X} \in \{0, 1\}^n$  is mapped to an intermediate sparser vector in two steps: random projection and WTA sparsification. Left: In the clustering network, the input  $\bar{X}$  is mapped by applying  $O(\log(k/\delta))$  parallel repetitions of the random projection + WTA mapping. As a result,  $\bar{X}$  is mapped to a vector  $\bar{Z}$  with  $O(\frac{\log(k/\delta)}{\Delta})$  neurons. This vector is mapped to the output unit vector in  $\{0, 1\}^k$  via a sequential mapping module.

Any pair of closed-patterns  $\overline{Z}, \overline{Z}'$  with  $\mathcal{RD}(\overline{Z}, \overline{Z}') \leq \Delta/\alpha$  introduced within the same  $t_m$ time window will be mapped to the same output neuron.

Our goal is to design a clustering network that uses small number of auxiliary neurons and requires small input persistence time  $t_p$ . We show the following theorem.

**Theorem 3.** For any parameters  $n, k, t_m, \delta$  and  $\Delta$ , there is a network  $\mathcal{N}$  with

<sup>136</sup>  $O\left(\frac{\log(1/\Delta)^3\log(t_m/\delta)\log(1/\delta)}{\Delta^{3/2}}\right)$  auxiliary neurons that solves the clustering problem with these

<sup>137</sup> parameters, input persistence time  $t_p = O\left(\frac{\log(1/\Delta)^2 \log(t_m/\delta)}{\Delta}\right)$  and closeness parameter <sup>138</sup>  $\alpha = O(\log(1/\Delta)^4).$ 

Note that the number of auxiliary neurons and the convergence time of Theorem 3 are 139 independent of the input dimension n, which may potentially be very large. The spiking 140 neural network construction that achieves Theorem 3 involves in three steps. The first two 141 steps reduce the input from n neurons to  $m \ll n$  neurons, while approximately preserving the 142 relative distances between inputs. These steps use a biologically inspired construction that 143 mirrors circuits seen, for example, in the fruit fly olfactory system [9, 29, 17]. In particular 144 the first step maps the input to a set of intermediate neurons via random projection, and 145 the second step sparsifies the outputs of these intermediate neurons to yield a sparse code 146 representing the input. The final sequential mapping step then solves the clustering problem 147 given these m intermediate neurons as inputs, avoiding the high cost of directly solving the 148 problem on the *n*-dimensional input. See Figure 1 for an illustration. 149

# 150 **1.2** Comparison to Previous Work

# 151 1.2.1 Broader Agenda: Algorithmic Theory for Brain Networks

<sup>152</sup> Understanding how the brain works, as a computational device, is a central challenge of <sup>153</sup> modern neuroscience and artificial intelligence. Different research communities tackle this <sup>154</sup> problem in different ways, ranging from studies that examine neural network structure as a <sup>155</sup> clue to computational function [43, 3], to functional imaging that studies neural activation <sup>156</sup> patterns [40, 31], to theoretical work on simplified models of neural computation [23, 36], <sup>157</sup> to the engineering of complex neural-inspired machine learning architectures [21, 27]. This

paper joins a recent line of work [44, 37, 45, 38, 33, 30, 46, 34, 28, 32, 39, 10, 22] that 158 approach this problem using techniques from distributed computing theory and other branches 159 of theoretical computer science. The ultimate goal of this research direction is to develop 160 an algorithmic theory for brain networks, based on stochastic graph-based neural network 161 models. To understand neural behavior from a theory of computing point of view, we design 162 networks to solve abstract problems that are inspired by tasks that seem to be solved in 163 actual brains. We believe that the rigorous analysis of such networks in terms of static costs 164 (e.g., the number of neurons), and dynamic costs (e.g., the time to converge to a solution) 165 will lead to a better understanding of how these tasks may be performed in biological neural 166 networks. 167

# **1.68 1.2.2 Connections to Sparse Recovery**

Our work is closely related to sparse recovery (compressed sensing), where the goal is to map 169 high-dimensional but sparse vectors (with dimension n and  $s \ll n$  nonzero entries) into a 170 much lower dimensional space, such that the vectors can be uniquely identified and efficiently 171 recovered [19]. We can see that this goal is essentially identical to that of our first two network 172 layers, before the sequential mapping step. Two different s-sparse binary vectors have relative 173 hamming distance  $\geq 1/s$ . Additionally there are  $k = O(n^s)$  s-sparse binary vectors in n 174 dimensions. Thus, as a Corollary of Lemma 12, our first two layers can uniquely compress 175 all such vectors with high probability into dimension  $m = \tilde{O}\left(\frac{\log k}{\Delta^{1/2}}\right) = O(s^{3/2}\log n).$ 176

It is known that optimal sparse recovery reducing the dimension to  $O(s \log n)$  can be 177 achieved using random projections [13]. However, unlike in our setting, these random 178 projections have real valued outputs, which cannot be directly represented by binary spiking 179 neurons. The case when output of the random projection is thresholded to be a binary value 180 has been studied extensively, under the name 'one-bit compressed sensing' [6]. In this setting, 181 it is known that dimension  $\hat{\Theta}(s^2 \log n)$  can be achieved and is required for general sparse 182 recovery [1]. If the input vectors are restricted to be binary (as in our case), dimension 183  $O(s^{3/2} \log n)$  is possible [24, 1]. Our results match this bound up to logarithmic factors. 184

# 1.2.3 Connections to Fruit Fly Novelty Detection via Bloom Filters

Recently, Dasgupta et al. [16] demonstrated that the fruit fly olfactory circuit implements a 186 variant of a classic Bloom filter [5] to assess the novelty of odors. A bloom filter is a data 187 structure that maintains a set of items, allowing for membership queries, with the possibility 188 of occasional false positives. The filter has m bits and r random hash functions mapping 189 the input space to integers in 1, ..., m. When an item is inserted, it is hashed using these r 190 functions and the bits corresponding to the hashed values are set to 1. A membership query 191 is answered by hashing the input in question and checking that all r bits corresponding to 192 its hashed values are set to 1. 193

Such a filter can be used to implement novelty detection – a novel pattern is detected whenever an insertion operation sets a new bit to 1 or an membership query returns false. Dasgupta et al. [16] demonstrate that a such a scheme is used in the fly olfactory circuit. The hashing step consists of a random projection followed by winner-take-all sparsification, which maps each input into a r-sparse binary vector. The r entries of this vector represent the r hash function outputs. This step closely resembles the first two layers of our clustering network.

Our third layer operates differently than a bloom filter, associating each sparsified intermediate vector to with unique output via sequential mapping rather than simply marking

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the bits corresponding to its entries. However, it can implement the same functionality (and correspondingly can implement novelty detection). Specifically, to implement insertion

and deletion operations we can make the following modifications to the sequential mapping sub-network:

- The input layer contains an extra neuron that is set to 1 if the operation is insertion, and 0 if the operation is a membership query.
- In the sequential mapping step the output layer fires only if this extra neuron fires. In this
   way, new outputs will only be mapped during insertion operations and not membership
   queries.
- For query operations, we add an output neuron that fires only if there exists an index  $j \in [k]$  for which many memory modules  $m_{ji}$ , as well as an association neuron  $a_{ji}$  fire.
- Novelty detection can be implemented via an additional output neuron that responds when an insertion causes a new output to be mapped or when a query operation returns false.

# <sup>217</sup> **2** Computational Model and Preliminaries

<sup>218</sup> We start by defining our model of stochastic spiking neural networks.

**Network Structure.** A Spiking Neural Network (SNN)  $\mathcal{N} = \langle X, Q, A, w, b \rangle$  consists of n input neurons  $X = \{x_1, \ldots, x_n\}$ , m output neurons  $Q = \{q_1, \ldots, q_m\}$ , and  $\ell$  auxiliary neurons  $A = \{a_1, \ldots, a_\ell\}$ . The directed, weighted synaptic connections between X, Q, and A are described by the weight function  $w : [X \cup Q \cup A] \times [X \cup Q \cup A] \rightarrow \mathbb{R}$ . A weight w(u, v) = 0indicates that a connection is not present between neurons u and v. Finally, for any neuron  $v, \beta(v) \in \mathbb{R}_{\geq 0}$  is the activation bias – as we will see, roughly, v's membrane potential must reach  $\beta(v)$  for a spike to occur with good probability.

The in-degree of every input neuron  $x_i$  is zero. That is, w(u, x) = 0 for all  $u \in [X \cup Q \cup A]$ and  $x \in X$ . Additionally, each neuron is either *inhibitory* or *excitatory*: if v is inhibitory, then  $w(v, u) \leq 0$  for every u, and if v is excitatory, then  $w(v, u) \geq 0$  for every u.

Neuron Chains. In our implementation, we make use of *chains* of neurons to create a delay in a response. For a neuron u, and integer  $\ell$ , let  $C_{\ell}(u)$  be a directed path of length  $\ell$ starting with u. All neurons on the chain are excitatory. We then say that a chain  $C_{\ell}(u)$  is connected to v if each neuron  $w \in C_{\ell}(u)$  has an outgoing edge to v.

The SNN Model. An SNN evolves in discrete, synchronous rounds as a Markov chain. 233 The firing probability of every neuron at time t depends on the firing status of its neighbors 234 at time t-1, via a standard sigmoid function, with details given below. For each neuron u, 235 and each time  $t \ge 0$ , let  $u^t = 1$  if u fires (i.e., generates a spike) at time t. Let  $u^0$  denote the 236 initial firing state of the neuron. Our results will specify the initial input firing states  $x_i^0 = 1$ 237 and assume that  $u^0 = 0$  for all  $u \in [Q \cup A]$ . The firing state of each input neuron  $x_i$  in each 238 round is the input to the network, and our results will specify to which sequences of input 239 firing patterns they apply. 240

For each non-input neuron u and every  $t \ge 1$ , let pot(u, t) denote the membrane potential at round t and p(u, t) denote the corresponding firing probability  $(\Pr[u^t = 1])$ . These values are calculated as:

$$pot(u,t) = \sum_{v \in X \cup Q \cup A} w_{v,u} \cdot v^{t-1} - \beta(u) \text{ and } p(u,t) = \frac{1}{1 + e^{-\operatorname{pot}(u,t)/\lambda}}$$
(1)

where  $\lambda > 0$  is a *temperature parameter*, which determines the steepness of the sigmoid. It is easy to see that  $\lambda$  does not affect the computational power of the network. A network can

<sup>248</sup> be made to work with any  $\lambda$  simply by scaling the synapse weights and biases appropriately. <sup>249</sup> For simplicity we assume throughout that  $\lambda = \frac{1}{\Omega(\log(n \cdot k \cdot \Delta \cdot t_m \cdot 1/\delta))}$ , where  $n, k, \delta, \Delta, t_m$  are the <sup>250</sup> parameters of the clustering problem, defined in Section 1.1. Thus by (1), if  $\operatorname{pot}(u, t) \geq 1$ , <sup>251</sup> then  $u^t = 1$  w.h.p. and if  $\operatorname{pot}(u, t) \leq -1$ ,  $u^t = 0$  w.h.p. , where w.h.p. denotes with <sup>252</sup> probability at least  $1 - (1/\delta \cdot n \cdot k \cdot \Delta \cdot t_m)^{-c}$  for some constant c.

The remainder of the paper is devoted to proving Theorem 3. Our analysis considers the three stages of the network in sequence: random projection, sparsification, and sequential mapping to the final outputs.

# **3** Layer 1: Random Projection

The goal of this step is to reduce the input size from n input neurons to  $m \ll n$  neurons while ensuring that the relative distance between any two n-length input vectors is approximately preserved after the mapping. In this way, we can solve the clustering problem working with the much smaller m neuron representation instead of the original n neuron input. While there are many ways in which distance may be preserved, we consider one in particular, based on the membrane potentials induced on the intermediate neurons by the inputs:

▶ Definition 4 (Distance Preserving Dimensionality Reduction). Consider  $\overline{X}_1, \ldots, \overline{X}_k \in \{0, 1\}^n$ with  $\mathcal{RD}(\overline{X}_i, \overline{X}_j) \ge \Delta$  for  $i \ne j$ . Consider a network  $\mathcal{N}$  mapping n input neurons to m intermediate neurons, which are split into b buckets each containing m/b neurons.  $\mathcal{N}$  is distance preserving for  $\overline{X}_1, \ldots, \overline{X}_k$  if, for any two  $\overline{X}_i, \overline{X}_j$ , and any round t, in the large majority of buckets, the identity of the neuron that in round t + 1 has the highest membrane potential below a fixed threshold  $\tau$  is different if  $\overline{X}_i$  is presented at round t than if  $\overline{X}_j$  were presented. <sup>1</sup>

Our network satisfies Definition 4 with parameters  $m = \tilde{O}\left(\frac{1}{\sqrt{\Delta}}\right)$  and  $b = \tilde{O}(1)$ . We 270 implement the dimensionality reduction step via random projection. We note that random 271 projection has been studied extensively as a dimensionality reduction tool in computer 272 science, with applications in data analysis [4, 7, 12], fast linear algebraic computation [42, 11], 273 and sparse recovery [8]. See [47] for a survey. In neuroscience, it is thought that random 274 projection may play a key role in neural dimensionality reduction [20, 2]. Random projection 275 for example, underlies sparse coding of inputs in the fruit fly olfactory circuit [9, 17]. Random 276 connections have also been studied in theoretical models for memory formation, in which 277 inputs are mapped to representative output neurons [45, 38, 28]. 278

We start with describing the construction and then analyzing its properties. The main outputs of this section are Corollaries 9 and 11 which show that, with high probability, the identities of the neurons with maximum membrane potential below some threshold  $\tau$  in each bucket of the intermediate layer share little overlap for far inputs (with relative distance  $\geq \Delta$ ) and significant overlap for close inputs (with relative distance  $\leq \Delta/\alpha$  for  $\alpha = O(\log(1/\Delta)^4)$ ). That is, the network satisfies the distance preserving dimensionality reduction guarantee of Definition 4 for far inputs, along with an analogous guarantee for close inputs.

Our mapping can be understood as an example of *local sensitive hashing* [18, 15, 17]. In each bucket, the input is hashed to the identity of the maximum potential neuron below  $\tau$  in that bucket. Near inputs have many hash collisions, and thus there is significant overlap in the identities of the mapped neurons. Far input have fewer collisions and thus less overlap.

<sup>&</sup>lt;sup>1</sup> We formally define how the membrane potential is calculated in Section 2. 'Large majority' will be a constant fraction of the buckets significantly larger than 1/2, which will be specified in our bounds.

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**Layer Description.** The random projection layer consists of  $m \cdot \ell$  intermediate auxili-291 ary neurons for  $m = \Theta\left(\frac{\log(1/\Delta)}{\sqrt{\Delta}}\right)$  and  $\ell = \Theta(\log(t_m/\delta))$ . The layer is subdivided into  $\ell$ 292 buckets  $b_1, ..., b_\ell$  containing *m* neurons each. Each input neuron has an excitatory connection 293 to each neuron in the intermediate layer with weight sampled as a Chi-squared random 294 variable (with one degree of freedom). We denote this random weight matrix connecting 295 the two layers by  $A \in \mathbb{R}^{m \cdot \ell \times n}$ . For  $b \in 1, ..., \ell$ , we let  $A_b \in \mathbb{R}^{m \times n}$  denote the rows of A 296 corresponding to the intermediate neurons in bucket b. In typical applications of random 297 projection, the entries of A are most commonly either Gaussian or Rademacher random 298 variable. Here we use Chi-squared random variables as they are non-negative, a requirement 299 in our setting where the outgoing edge weights from each neuron (corresponding to the 300 entries in A) must be either all positive or all negative. 301

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Layer Analysis. When the input neurons X fire with input pattern  $\overline{X}_i \in \{0,1\}^n$  at time t, by (1) the vector of membrane potentials of the intermediate neurons at time t+1 is given by A  $\overline{X}_i \in \mathbb{R}^{m \times \ell}$ . Our analysis will focus on the properties of this vector of potentials, which can be viewed as a real valued compressed representation of the input  $\overline{X}_i$ . Later, we will show how these properties lead to desirable properties of the spiking patterns of the intermediate neurons.

For technical reasons, we will not focus on the actual largest entry of  $A_b \overline{X}_i$ , but on the largest entry bounded by some fixed threshold  $\tau$  which can still be identified via a minor modification to a traditional WTA circuit. We begin with a preliminary lemma showing that a Chi-squared distribution (the distribution of each entry in  $A_b \overline{X}_i$ ) is roughly uniform around its mean. We give a proof in Appendix A.

▶ Lemma 5 (Chi-squared uniformity). Let  $\mathcal{D}_p$  be the Chi-squared distribution with p degrees of freedom. For any c with  $1 \le c < p^{1/2}$  there are constants  $c_{\ell}, c_u$  (depending on c) such that, for any interval  $[r_1, r_2] \subseteq [p - cp^{1/2}, p + cp^{1/2}]$ , we have:  $\frac{c_{\ell}(r_2 - r_1)}{p^{1/2}} \le \Pr_{x \sim \mathcal{D}_p} [x \in [r_1, r_2]] \le \frac{c_u(r_2 - r_1)}{p^{1/2}}$ . That is,  $\mathcal{D}_p$  is roughly uniform on the range  $[p - cp^{1/2}, p + cp^{1/2}]$ .

We also use the fact that the Chi-squared distribution decays far from its mean, which follows from standard sub-exponential concentration bounds.

**Lemma 6** (Chi-squared decay). Let  $\mathcal{D}_p$  be the Chi-squared distribution with p degrees of freedom. For any  $c \leq 1$  there is a constant  $c_1$  (depending on c) such that:

$$\lim_{x \to \mathcal{D}_p} \Pr\left[ x \notin [p - c_1 p^{1/2}, p + c_1 p^{1/2}] \right] \le c.$$

Using the near-uniform distribution property of Lemma 5, we can show that with good 324 probability, for every compressed vector  $A_b \overline{X}_i \in \mathbb{R}^m$  the gap between the two largest entries 325 (bounded by the threshold) is  $\Omega(p^{1/2}/m)$  – since there are m entries roughly uniformly 326 distributed in a range of size  $O(p^{1/2})$ . This gap will be necessary for the neuron with the 327 largest membrane potential (and hence the highest firing probability) to be reliably identified 328 in the second sparsification layer of our network. We remark that in non-neural applications 329 of random projection such a gap would not be necessary: the largest entry in the bucket can 330 be typically be identified exactly. 331

<sup>332</sup> The complete proof is given in Appendix A.

▶ Lemma 7 (Sufficient Gap). Consider our construction with bucket size  $m = c_1$ . Let  $\overline{X} \in \{0,1\}^n$  be any input vector with  $\|\overline{X}\| = p$  for  $p \ge 5$ . Let  $\tau = p + 2p^{1/2}$  and for any

 $b \in [\ell]$  define:

$$i_{1,b}(\overline{X}) = \underset{i \in [m]: [A_b\overline{X}](i) \le \tau}{\operatorname{arg\,max}} [A_b\overline{X}](i) \quad and \quad i_{2,b}(\overline{X}) = \underset{i \in [m] \setminus i_{1,b}(\overline{X}): [A_b\overline{X}](i) \le \tau}{\operatorname{arg\,max}} [A_b\overline{X}](i),$$

where we set  $i_{1,b}(\overline{X}), i_{2,b}(\overline{X}) = 0$  in the case that no index satisfies the constraint. For sufficiently large constants  $c_1, c_2$ , with probability  $\geq 99/100$  over the random choice of  $A_b$ ,  $i_{1,b}(\overline{X}) \neq 0$ ,  $[A_b \overline{X}](i_{1,b}(\overline{X})) \geq p$ , and either  $[A_b \overline{X}](i_{1,b}(\overline{X})) - [A_b \overline{X}](i_{2,b}(\overline{X})) \geq \frac{p^{1/2}}{c_2m}$  or  $i_{2,b}(\overline{X}) = 0$ .

Along with Lemma 7 we prove that, with good probability, the neuron with the maximum potential below  $\tau$  in each bucket differs for any two far inputs.

▶ Lemma 8 (Low Collision Probability – Far Inputs). Let  $\overline{X}_1, \overline{X}_2 \in \{0,1\}^n$  be two vectors with  $\|\overline{X}_1\| = \|\overline{X}_2\| = p^2$  and  $\mathcal{RD}(\overline{X}_1, \overline{X}_2) \ge \Delta$ . Assume that  $p \ge c$  for some sufficiently large constant c. Consider our construction with bucket size  $m = \frac{c_1 \log(1/\Delta)}{\sqrt{\Delta}}$ . Then for sufficiently large constants  $c_1, c_2$ , for any  $b \in [\ell]$ , defining  $i_{1,b}(\cdot)$  and  $i_{2,b}(\cdot)$  as in Lemma 7, with probability  $\ge 0.9165$ :

 $i_{1,b}(\overline{X}_1) \neq i_{1,b}(\overline{X}_2).$ 

For both j = 1, 2:  $i_{1,b}(\overline{X}_j) \neq 0$ ,  $[A_b\overline{X}_j](i_{1,b}(\overline{X}_j)) \geq p$ , and

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$$[A_b\overline{X}_j](i_{1,b}(\overline{X}_j)) - [A_b\overline{X}_j](i_{2,b}(\overline{X}_j)) \ge \frac{p^{1/2}}{c_{2,m}} \text{ or } i_{2,b}(\overline{X}_j) = 0$$

See Appendix A for the complete proof of Lemma 8. Intuitively, if  $\overline{X}_1$  and  $\overline{X}_2$  each 347 have Hamming weight p and relative distance  $\Delta$  they differ on  $\Omega(\Delta p)$  entries. If just the 348 shared entries of these vectors fired as inputs, by Lemma 5 each intermediate neuron in 349 the bucket of size m would have its potential distributed roughly uniformly in a range of 350 width  $O([(1 - \Delta)p]^{1/2}) = O(p^{1/2})$ . On average these potentials would be spaced out by 351  $O(p^{1/2}/m)$ . By setting  $m = \tilde{O}(1/\sqrt{\Delta})$  we have average spacing  $\tilde{O}(\Delta^{1/2}p^{1/2})$ . This is a small 352 enough spacing, so that when we consider the  $\Omega(\Delta p)$  non-shared neurons in the inputs, their 353 contribution to the potential will be large enough to significantly reorder the potentials of 354 the intermediate neurons, so that the neuron with maximum potential is unlikely to be the 355 same for the two different inputs. 356

From Lemma 8 we can show that our network satisfies the distance preserving dimensionality reduction guarantee of Definition 4, along with the additional condition that the gap between the membrane potentials of the neurons with the largest potentials under  $\tau = p + 2p^{1/2}$  is sufficiently large, so that these neurons can be distinguished reliably in the second sparsification layer:

▶ Corollary 9 (Overall Success – Far Inputs). For  $m = O\left(\frac{\log(1/\Delta)}{\sqrt{\Delta}}\right)$ , and  $\ell = O(\log(t_m/\delta))$ , for any window of  $t_m$  rounds, with probability  $\geq 1 - \delta$ , for all pairs of inputs  $\overline{X}_1, \overline{X}_2$  presented during these rounds with  $\mathcal{RD}(\overline{X}_1, \overline{X}_2) \geq \Delta$ , on at least 91/100 ·  $\ell$  of the  $\ell$  buckets, letting  $\tau = p + 2p^{1/2}$  and defining  $i_{1,b}(\cdot)$  and  $i_{2,b}(\cdot)$  as in Lemma 7:  $i_{1,b}(\overline{X}_1) \neq i_{1,b}(\overline{X}_2)$ 

367

For both 
$$j = 1, 2$$
:  $i_{1,b}(X_j) \neq 0$ ,  $[A_b X_j](i_{1,b}(X_j)) \ge p$ , and

 $[A_b\overline{X}_j](i_{1,b}(\overline{X}_j)) - [A_b\overline{X}_j](i_{2,b}(\overline{X}_j)) = \Omega\left(\frac{p^{1/2}}{m}\right) \text{ or } i_{2,b}(\overline{X}_j) = 0.$ 

<sup>&</sup>lt;sup>2</sup> When  $\overline{X}$  is binary we often drop the subscript and just use  $\|\overline{X}\|$  to denote the  $\ell_1$  norm which is equal to the number of nonzero entries,  $|\operatorname{supp}(\overline{X})|$ .

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**Proof.** By Lemma 8 and a Chernoff bound, since  $\ell = \Theta(\log(t_m/\delta)) = \Theta(\log(t_m^2/\delta))$ , for any 369 fixed pair of inputs with  $\mathcal{RD}(\overline{X}_1, \overline{X}_2) \geq \Delta$ , the conditions hold on at least  $91/100 \cdot \ell$  buckets 370 with probability  $\geq 1 - \delta/t_m^2$ . The corollary follows since at most  $t_m$  inputs can be presented 371 in  $t_m$  rounds, and so we can union bound over at most  $t_m^2$  pairs of far inputs. 372

We can give a complementary statement to Lemma 8: if  $\overline{X}_1$  and  $\overline{X}_2$  are close to each 373 other, it is relatively likely that the index of the largest value of  $A_b \overline{X}_1$  and  $A_b \overline{X}_2$  are the 374 same. We defer the proof to Appendix A. 375

▶ Lemma 10 (High Collision Probability – Close Inputs). Let  $\overline{X}_1, \overline{X}_2 \in \{0, 1\}^n$  be two vectors 376 with  $\mathcal{RD}(\overline{X}_1, \overline{X}_2) \leq \Delta/\alpha$ . Consider our construction with bucket size  $m = \frac{c_1 \log(1/\Delta)}{\sqrt{\Delta}}$ . Then 377 for sufficiently large constants  $c_1, c_2$  and  $\alpha = O(\log(1/\Delta)^4)$ , for any  $b \in [\ell]$ , defining  $i_{1,b}(\cdot)$ 378 and  $i_{2,b}(\cdot)$  as in Lemma 7, with probability  $\geq 0.97$ : 379

$$i_{1,b}(X_1) = i_{1,b}(X_2)$$

381

 $For both \ j = 1, 2: \ i_{1,b}(\overline{X}_j) \neq 0, \ [A_b\overline{X}_j](i_{1,b}(\overline{X}_j)) \ge p, \ and \\ [A_b\overline{X}_j](i_{1,b}(\overline{X}_j)) - [A_b\overline{X}_j](i_{2,b}(\overline{X}_j)) \ge \frac{p^{1/2}}{c_2 \cdot m} \ or \ i_{2,b}(\overline{X}_j) = 0.$ 382

Lemma 10 yields an analogous corollary to Corollary 9, which follows via a Chernoff 383 bound and a union bound over at most  $t_m^2$  pairs of close inputs that may be presented over 384  $t_m$  rounds. 385

► Corollary 11 (Overall Success – Close Inputs). For  $m = O\left(\frac{\log(1/\Delta)}{\sqrt{\Delta}}\right)$ ,  $\ell = O(\log(t_m/\delta))$ , 386 and  $\alpha = O(\log(1/\Delta)^4)$ , for any window of  $t_m$  rounds, with probability  $\geq 1 - \delta$ , for all pairs of 387 inputs  $\overline{X}_1, \overline{X}_2$  presented during these rounds with  $\mathcal{RD}(\overline{X}_1, \overline{X}_2) \leq \Delta/\alpha$ , on at least  $96/100 \cdot \ell$ 388 of the  $\ell$  buckets, letting  $\tau = p + 2p^{1/2}$  and defining  $i_{1,b}(\cdot)$  and  $i_{2,b}(\cdot)$  as in Lemma 7: 389  $= i_{1,b}(\overline{X}_1) = i_{1,b}(\overline{X}_2)$ 390

For both j = 1, 2:  $i_{1,b}(\overline{X}_j) \neq 0$ ,  $[A_b\overline{X}_j](i_{1,b}(\overline{X}_j)) \ge p$ , and 391

$$[A_b\overline{X}_j](i_{1,b}(\overline{X}_j)) - [A_b\overline{X}_j](i_{2,b}(\overline{X}_j)) = \Omega\left(\frac{p^{1/2}}{m}\right) \text{ or } i_{2,b}(\overline{X}_j) = 0.$$

#### 4 Layer 2: Sparsification via Winner Takes All 393

Corollaries 9 and 11 show that the random projection step preserves significant information 394 about input distance, encoded in the membrane potentials of the intermediate neurons, which 395 correspond to the entries of A  $\overline{X}$  when the network is given input  $\overline{X}$ . These membrane 396 potentials cause the intermediate neurons to fire randomly, as Bernoulli processes with 397 different rates. The goal of our second layer is to convert this random behavior to a uniquely 398 identifying sparse code for each input. We achieve this through a winner-takes-all (WTA) 399 based sparsification process, which is thought to play a major role in neural computation 400 [26, 14, 37]. A separate winner-take-all instance is applied to each bucket, 'selecting' the 401 neuron with the highest membrane potential below  $\tau$  by inducing its corresponding neuron 402 in the sparsification layer to fire with high probability while all other neurons in the bucket 403 do not fire.Let  $\overline{Y} \in \mathbb{R}^m$  denote the vector of membrane potentials of a single bucket of the 404 intermediate layer:  $\overline{Y} = A_b \overline{X}$ . Our WTA layer maps each  $\overline{Y}$  into a binary unit-vector  $\overline{Z}$  of 405 the same length, in which the only firing neuron corresponds to the neuron with the largest 406 potential in  $\overline{Y}$  that is bounded by the threshold parameter  $\tau$ . As explained in Section 3, the 407 random projection step produces  $\ell = O(\log(t_m/\delta))$  random compressed vectors, one for each 408 of the  $\ell$  buckets. Each such copy is an input to an independent WTA circuit and thus, in 409 this section, we focus on our construction restricted to just a single bucket, bearing in mind 410 that in fact our network consists of  $\ell$  repetitions of this module. 411

The first part of the WTA circuit is devoted to *reading*: the circuit collects firing statistics 412 for a period of  $T = O(m^2)$  rounds to obtain a good estimate of the neuron in the bucket 413 that 1) has potential  $\leq \tau$  and 2) has the largest firing rate. This neuron corresponds to the 414 neuron with the highest potential in  $\overline{Y}$  bounded by  $\tau$ . This is done by augmenting each 415 neuron i in the bucket with a directed chain  $H_i$  of neurons of length T. The  $j^{th}$  neuron in 416 the chain triggers the firing of the  $(j+1)^{th}$  neuron with high probability. As a result, after T 417 rounds, the number of firing neurons in the chain  $H_i$  is equal to the number of times *i* fired 418 within the last T rounds, with high probability. We thus refer to this  $H_i$  chain as the history 419 chain of the  $i^{th}$  neuron in the bucket. The second part of the circuit first excludes all neurons 420 with potential  $\geq \tau$  and then applies a standard WTA circuit to pick the neuron remaining 421 that fires the most in this T-length time interval. See Fig. 2 for an illustration of the overall 422 clustering network and the WTA module. The main result of this section is as follows. 423

Lemma 12. For every pair of input patterns  $X_i, X_j$  presented over a period of  $t_m$  rounds, with probability at least  $1 - \delta$  the following hold:

<sup>426</sup> (I) If  $\mathcal{RD}(\overline{X}_i, \overline{X}_j) \ge \Delta$ , then  $\operatorname{supp}(\overline{Z}_i) \setminus \operatorname{supp}(\overline{Z}_j) \ge 0.9 \cdot \ell$ .

 $_{427}(II) \quad If \ \mathcal{RD}(\overline{X}_i, \overline{X}_j) \leq \Delta/\alpha, \ then \ \mathrm{supp}(\overline{Z}_i) \cap \mathrm{supp}(\overline{Z}_j) \geq 0.9 \cdot \ell.$ 

<sup>428</sup> We first give a detailed description of the specification step via WTA (see Figure 2). We <sup>429</sup> focus on a single bucket, bearing in mind that in fact our network consists of  $\ell$  repetitions of <sup>430</sup> this module.

431

**Reading via History Chain.** Every neuron  $i \in \{1, ..., m\}$  in the bucket is connected to a chain  $H_i$  of length  $T = \Theta(\log(1/\delta) \cdot m^2)$  of neurons where the  $j^{th}$  neuron in this chain fires in round t with high probability iff its incoming neighbor on that chain fires in round t - 1. This is done by setting the bias value of each neuron to 1 and the edge weights to be 1/2. As a result we get that the number of firing neurons in this chain equals to the number of times i fires within the last T rounds with high probability.

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**Omitting the Neurons Exceeding the Threshold Value.** For every neuron  $i \in \{1, \ldots, m\}$  we introduce an inhibitor copy  $r_i$  that has the same incoming weights as iand therefore also has the same potential. We set the bias of  $r_i$  such that with high probability it fires iff its potential exceeds the threshold value  $\tau$ . We then connect  $r_i$  to all neurons in the chain  $H_i$  with large negative weight. As a result, if the potential of neuron i exceeds  $\tau$ with high probability all neurons in  $H_i$  will not fire.

445

Selecting the Maximum Firing Rate with Pairwise Comparisons. For every ordered 446 pair of neurons  $i, j \in [1, m]$ , we have a designated (threshold gate) neuron  $y_{i,j}$  that fires iff 447 the  $i^{th}$  neuron in the bucket fires more than the  $j^{th}$  neuron within the last T rounds. To 448 accomplish this, each of the neurons in the chain  $H_i$  (respectively,  $H_j$ ) is connected to  $y_{i,j}$ 449 with a positive (respectively, negative) edge weight of  $\pm 1$ . Hence, the total weighted sum 450 incoming to  $y_{i,j}$  is exactly the difference between R(i) and R(j) where  $\overline{R}(i), \overline{R}(j)$  are the 451 number of times that the  $i^{th}$  and  $j^{th}$  neurons fired in the last T rounds. We set the bias of 452  $y_{i,j}$  such that it fires with high probability iff  $\overline{R}(i) - \overline{R}(j) \geq 1$ . The  $i^{th}$  output neuron in 453  $\overline{Z}$  computes the AND-gate of the threshold-gates  $y_{i,1}, \ldots, y_{i,m}$ . That is,  $z_i$  fires in round t 454 only if every  $y_{i,1}, \ldots, y_{i,m}$  fired in round t-1. The AND-gate can be implemented by set-455 ting the incoming edge weight from each  $y_{i,j}$  to  $z_i$  to be 1/m, and the bias of  $\overline{Z}_i$  to 1-1/(2m). 456 457

<sup>458</sup> Analysis. The requirement from the WTA module is that the firing frequency vector <sup>459</sup>  $\overline{R}$  has its largest entry in the same position as the largest entry of  $\overline{Y}$  that is  $\leq \tau$ . If this is the

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case, the WTA circuit indeed selects the neuron corresponding to the largest firing rate  $\leq \tau$ , and the only entry in the support of  $\overline{Z}$  is the one corresponding to this entry. For the largest entry in  $\overline{R}$  to reflect the largest entry in  $\overline{Y} \leq \tau$  with probability  $\geq 1 - \delta$ , the gap between the largest and second largest firing rates must be  $\Omega\left(\sqrt{\log(1/\delta)/T}\right)$ . Using the gap condition of Corollary 9 we will show that this gap is  $\Omega(1/m)$ , letting us set  $T = O(\log(1/\delta) \cdot m^2)$ . The desired gap is achieved in a large fraction of the buckets, this implies that the WTA picks the maximal entry in most of the buckets as well.

<sup>467</sup>  $\triangleright$  Claim 13. Let  $\overline{Y}$  be a vector with  $i = \arg \max_{j:\overline{Y}(j) \leq \tau} \overline{Y}(j)$  and  $\overline{Y}(i) - \overline{Y}(j) = \Omega(p^{1/2}/m)$ <sup>468</sup> for every<sup>3</sup>  $j \neq i$  with  $\overline{Y}(j) \leq \tau$ . Then in the output vector  $\overline{Z}$ ,  $\overline{Z}(i) = 1$  and  $\overline{Z}(j) = 0$  for <sup>469</sup> every  $j \neq i$  with probability at least 99/100. If  $\overline{Y}$  is first introduced in round t, the desired <sup>470</sup> output vector  $\overline{Z}$  fires in round t + T + 2 w.h.p.

<sup>471</sup> The proof of Claim 13 and the complete proof of Lemma 12 is given in Appendix B.



**Figure 2** Left: Overall network description, the input pattern  $\overline{X}$  is mapped to unique output neuron in [1, k] via three main steps. Right: Description of the WTA circuit. For clarity we only show the connections for the second output neuron, but same holds for all k output neurons. Every input neuron i in  $\overline{Y}$  is connected to a history chain  $H_i$  of length T that is used to collect firing statistics. For each pair of input neurons i, j, there is a threshold gate  $q_{i,j}$  that fires only if i fired at least T/2m more times than j within T rounds. Each history neuron in  $H_i, H_j$  is connected with weight 1 (respectively -1) to  $q_{i,j}$  and the bias of  $q_{i,j}$  is T/2m. Finally, each output neuron  $q_i$  computes the AND gate of  $q_{i,1}, \ldots, q_{i,m}$ , i.e., fires only if all these gates fire in the previous round. As a result a winner  $q_i$  is selected only if  $y_i - y_j = \Omega(1/m)$  for every  $j \neq i$ .

# 472 **5** Layer 3: Sequential Mapping

We conclude by discussing the final sequential mapping layer of our network, which maps the binary patterns  $\overline{Z}_i$  of length  $r = O(\ell \cdot m)$  to a single output neuron. The inputs to the third layer are the r neurons  $Z = \{z_1, z_2, \ldots, z_r\}$  and its outputs are the k output neurons  $Q = \{q_1, q_2, \ldots, q_k\}$ . The r-length patterns will be mapped to their unique output neuron in a sequential manner, where at each given round, a newly introduced pattern will be mapped to the available output with the smallest index. The mapping will satisfy the following properties: (1) patterns  $\overline{Z}_i, \overline{Z}_j$  that correspond to far input patterns  $\overline{X}_i, \overline{X}_j$  respectively will

<sup>&</sup>lt;sup>3</sup> This required gap is based on Lemma 7/Corollary 9.



**Figure 3** Schematic description.

be mapped to distinct outputs, (2) patterns  $\overline{Z}_i, \overline{Z}_j$  that correspond to *close* input patterns presented within the same time window of  $\Theta(t_m)$  rounds will be mapped to the same outputs. Recall that  $t_m$  is the memory duration which is a parameter of the network. A key component in our network is the *memory module* that remembers the association between each previously introduced pattern and its selected output for  $\Theta(t_m)$  rounds. Roughly speaking, our network has two intermediate layers: an *association* layer and a *memory* layer (see Figure 3), which we describe below.

We first describe the construction by considering the case where a new pattern  $\overline{Z}$  is introduced (and no close pattern to it was introduced before). When  $\overline{Z}$  is presented to the network for the first time, it activates the association layer which contains r neurons  $a_{i,1}, \ldots, a_{i,r}$  for each output  $q_i$ . Let  $\operatorname{supp}(\overline{Z})$  be the non-zero entries of  $\overline{Z}$ . Since<sup>4</sup>  $|\operatorname{supp}(\overline{Z})| \leq$  $\ell$  it can activate at most  $\ell \cdot k$  many neurons  $a_{i,j}$  for every  $j \in \operatorname{supp}(\overline{Z})$  and  $i \in \{1, \ldots, k\}$ . Every output  $q_i$  is connected to its association neurons  $a_{i,1}, \ldots, a_{i,r}$  and fires only if many of them fire.

Our construction will make sure that the number of active association neurons of a taken 494 output (i.e., output already mapped to other pattern, far from  $\overline{Z}$ ) will be small, which will 495 prevent the firing of these outputs when a far pattern is presented. This will be provided 496 due to the *memory module* appended to each output  $q_i$  which remembers the pattern (in 497 fact the cluster of patterns) that were mapped to  $q_i$  in the past. For each j in the support 498 of the pattern associated with  $q_i$ , the memory module corresponding to  $q_i$  and  $z_j$  inhibits 499 all other association neurons associated with  $q_i$ , while activating the association  $a_{ij}$ . This 500 association will be remembered – by the memory module – for at least  $c_1 \cdot t_m$  rounds and at 501 most  $c_2 \cdot t_m$  rounds, for  $c_1 < c_2$  with high probability. 502

For every available output  $q_i$ , all its association neurons  $a_{i,j}$  for  $j \in \text{supp}(\overline{Z})$  will start firing once  $\overline{Z}$  is presented, which will in turn activate  $q_i$ . To select exactly one output neuron among all the available ones, the output layer is connected via a lateral inhibition, where every neuron  $q_i$  inhibits all  $q_j$  for  $j \ge i + 1$ .

<sup>507</sup> Overall, our sequential mapping module satisfies:

**Theorem 14** (The Sequential Mapping Module). There exists a sequential mapping module with r input neurons,  $\tilde{\Theta}(r \cdot k)$  auxiliary neurons, and k output neurons that for every pattern  $\overline{Z}$  that is introduced in round t satisfy the following with probability  $1 - \delta$ :

(1) The pattern  $\overline{Z}$  is mapped to one of the outputs  $q_1, \ldots, q_k$  in round t + 6.

(2) Any pair of close patterns  $\overline{Z}, \overline{Z}'$  introduced within a span of  $c_1 \cdot t_m$  rounds are mapped to the same output neuron.

<sup>&</sup>lt;sup>4</sup> As the WTA module picks at most one winning entry in each of the  $\ell$  buckets.

(3) Any pair of far patterns  $\overline{Z}, \overline{Z}'$  introduced within a span of  $c_1 \cdot t_m$  rounds are mapped to different output neurons.

In addition, if a pattern  $\overline{Z}$  (or a pattern close to it) is not introduced for  $t_m$  rounds, then its unique mapped output  $q_j$  is released after  $c \cdot t_m$  rounds, for some constant  $c \ge 1$ .

## 518 5.1 Complete Network Description of the Sequential Mapping

<sup>519</sup> Next we precisely describe the neurons and edge weights of the sequential mapping sub-<sup>520</sup> network.

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The association layer. For each neuron  $z_i$  in the input layer, and each neuron  $q_j$  in the output layer, we introduce an *association neuron* denoted as  $a_{j,i}$ . The neuron  $a_{j,i}$  has positive and negative incoming edges from the memory modules that is described in the next paragraph. It also has a *positive* incoming edge from the neuron  $z_i$  with weight  $w(z_i, a_{j,i}) = 2\ell$ , and bias  $\beta(a_{j,i}) = (19/10)\ell - 1$ . We set the connections to this neuron in a way that guarantees it fires only if  $z_i$  fired in the previous round, and no other (far) pattern is already mapped to  $q_j$ .

The memory modules. For each neuron  $z_i$  in the input layer and output neuron  $q_i$ 529 we introduce a *memory of association* module  $M_{j,i}$  which remembers the association of 530 neuron  $z_i$  and  $q_j$ . The memory module  $M_{j,i}$  contains  $c \cdot \log(\frac{1}{\delta'})$  excitatory neurons denoted 531 as  $M_{i,i}^+$  where  $\delta' = \delta/\ell$  and c is chosen to be a sufficiently large constant. For every  $m \in M_{i,i}^+$ 532 we introduce a *feedback* neuron  $f_m$  which starts exciting m once the memory module is being 533 activated. In addition, we introduce a delay chain  $C_j^M = C_5(q_j)$  that starts at the output  $q_j$ 534 and ends at each of the neurons  $m \in M_{i,i}^+$ . Finally, the memory module contains two head 535 neurons, an excitatory neuron  $m_{i,i}^+$  and an inhibitory neuron  $m_{i,i}^-$ . 536

Each excitatory neuron  $m \in M_{j,i}^+$  has positive incoming edges from  $a_{j,i}, q_j, C_j^M$ , as well as from the corresponding feedback neuron  $f_m$  with the following weights and bias

$$w(a_{j,i},m) = 2\lambda, \quad \forall u \in C_j^M \quad w(u,m) = 2 \quad , \quad w(f_m,m) = \lambda \cdot (\chi + 2) + 9 \quad \beta(m) = 9 + 2 \cdot \lambda \; ,$$

where  $\chi = \log(t_m - 1)$ . Note that if the feedback neuron  $f_m$  fired in the previous round, the 537 memory neuron m fires with probability at least  $\frac{1}{1+e^{-\chi}} = 1 - 1/t_m$ . The feedback neuron 538  $f_m$  for  $m \in M_{j,i}^+$  has positive incoming edges from m and  $m_{j,i}^+$  with weights  $w(m, f_m) =$ 539 2,  $w(m_{j,i}^+, f_m) = 2$ , and bias  $\beta(f_m) = 3$ . Hence, w.h.p.  $f_m$  fires iff m and  $m_{j,i}^+$  fired in 540 the previous round. The excitatory head neuron  $m_{j,i}^+$  has *positive* incoming edges from all  $m \in M_{j,i}^+$  with weights  $w(m, m_{j,i}^+) = 2$  and bias  $\beta(m_{j,i}^+) = c \cdot \log(1/\delta') + 1$ . The head neuron 541 542  $m_{j,i}^-$  is an inhibitory copy of  $m_{j,i}^+$  with the same incoming edges, bias and potential function. 543 Each association neuron  $a_{j,i}$  has a *positive* incoming edge from the head memory neuron 544  $m_{i,i}^+$  with weight  $w(m_{i,i}^+, a_{j,i}) = \ell$ . In addition,  $a_{j,i}$  has negative incoming edges from the in-545 hibitory memory neurons  $m_{i,i'}^-$  for every  $i' = \{1, 2, \dots, k\} \setminus \{i\}$  with weights  $w(m_{i,i'}^-, a_{j,i}) = -1$ . 546 Note that w.h.p.  $a_{j,i}$  fires in round t only if  $z_i = 1$  in round t - 1. In case where there 547 are at least  $1/10\ell$  memory modules  $m_{j,i'}$  that inhibit  $a_{j,i}$ , it fires only if its own memory 548 module, namely,  $M_{j,i}$  is active. To prevent a situation of partial memory where only part of 549 the memory modules associated with a pattern are released, if at most  $0.9\ell$  of the memory 550 modules  $M_{j,1}, \ldots, M_{j,r}$  are active, we activate the inhibition of these firing modules. For 551 that purpose, for every output  $q_i$ , we introduce 3 deletion neurons  $d_1^i, d_1^2, d_3^i$ . The neurons 552  $d_i^1, d_i^2$  detect this situation and the inhibitor  $d_i^3$  kills the partial memory. The deletion neuron 553  $d_j^1$  has incoming edges from all head neurons  $m_{j,i}^+$  for  $i = 1 \dots r$  with weights  $w(m_{j,i}^+, d_j^1) = 2$ 554 and bias  $\beta(d_j^1) = 1$ . Hence, w.h.p.  $d_j^1$  fires in round t iff at least one memory module fired 555 in round t-1. The second deletion neuron has incoming edges from all the inhibitor head

<sup>557</sup> neurons  $m_{j,i}^-$  for  $i = 1 \dots r$  with weights  $w(m_{j,i}^-, d_j^2) = -1$  and bias  $\beta(d_j^2) = -0.9\ell + 1$ . Thus, <sup>558</sup> w.h.p.  $d_j^2$  fires in round t iff at most  $0.9\ell$  memory module fired in round t - 1. The third <sup>559</sup> deletion neuron  $d_j^3$  has incoming edges from  $d_j^1$  and  $d_j^2$  with weights  $w(d_j^1, d_j^3) = w(d_j^2, d_j^3) = 2$ <sup>560</sup> and bias  $\beta(d_j^3) = 3$ . Hence,  $d_j^3$  fires in round t iff  $d_j^1$  and  $d_j^2$  fired in round t - 1. In <sup>561</sup> addition, the head neurons  $m_{j,i}^+, m_{j,i}^-$  have a *negative* incoming edge from  $d_j^3$  with weight <sup>562</sup>  $w(d_j^3, m_{j,i}^+) = w(d_j^3, m_{j,i}^-) = -2c \log(1/\delta')$ .

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**History neurons.** If an input pattern  $\overline{Z}$  is already mapped to an output neuron, our 564 goal is to map every pattern close to  $\overline{Z}$  to the same output. To make sure that close patterns 565  $\overline{Z}, \overline{Z}'$  are indeed mapped to the same output, for each output neuron  $q_i$  we introduce an 566 inhibitory history neuron  $h_j$ . The role of the history neuron is to take care of a situation 567 where a pattern  $\overline{Z}$  is mapped to output  $q_i$ , but when a close pattern  $\overline{Z}'$  is presented later on, 568 an output  $q_i$  for i < j is free. Recall that in our construction, each pattern is mapped to 569 the first available output. To do that, the network parameters of the history neurons are 570 defined as follows. Each history neuron  $h_j$  has *positive* incoming edges from all associated 571 excitatory memory neurons  $m_{i,i}^+$  for  $i = 1 \dots r$  with weights  $w(m_{i,i}^+, h_j) = 1$ . In addition, it 572 has a *positive* incoming edge from the output neuron  $q_i$  with weight  $w(q_i, h_i) = \ell$  and bias 573  $\beta(h_j) = -(3/2)\ell - 1$ . Thus, the history neuron  $h_j$  fires if the output neuron  $q_j$  fired and 574 at least a large fraction of the memory modules corresponding to  $q_j$  are active (the latter 575 indicates that  $q_j$  is indeed taken). The history neuron  $h_j$  then inhibits all the preceding 576 output neurons  $q_1, \ldots, q_{j-1}$ , preventing the input pattern from being mapped to a different 577 output. 578

579

The output layer. The output layer Q consists of excitatory neurons. In order to map the input pattern sequentially, for each  $q_j \in Q$  we introduce an inhibitor output neuron  $q_j^$ which inhibits the output neurons  $q_{j'}$  for  $j' \in \{j + 1, ..., k\}$ . The neuron  $q_j$  is connected to  $q_j^-$  via a delay chain of length 3 denoted as  $C_j^I = C_3(q_j)$ . The neuron  $q_j^-$  has incoming edges from  $C_j^I$  with weights 2, and a negative bias of  $\beta(q_j^-) = 5$ . Hence, w.h.p.  $q_j^-$  fires iff  $q_j$  fired for 3 consecutive rounds.

Each output neuron  $q_j$  has *positive* incoming edges from the association neuron  $a_{j,i}$  for every  $i = \{1, 2, ..., k\}$ . In addition,  $q_j$  has *negative* incoming edges from all preceding neurons  $q_i^-$  for i < j and all successive history neurons  $h_i$  where i > j. The weights and bias are given by

$$w(a_{j,i}, q_j) = 2 \quad \forall i \in [r], \quad w(q_i^-, q_j) = -3\ell \quad \forall i < j, \quad w(h_i, q_j) = -3\ell \quad \forall i > j, \quad \beta(q_j) = \ell - 1$$

Note that  $q_j$  fires in round t only if at least  $(1/2)\ell$  association neurons fired in round t-1, and no history or inhibitor output neuron inhibit it.

As in previous sections, we assume that before the first round no neuron fires (i.e  $v^0 = 0$ for every neuron v in the network). Figure 4 illustrates the structure of the network and Figure 5 demonstrates the network flow with two inputs.

## 591 5.2 Network Dynamics

<sup>592</sup> Before providing the detailed analysis of the network, we give a more detailed description <sup>593</sup> of the network behavior in the two orthogonal cases: mapping close patterns to the same <sup>594</sup> output and mapping far patterns to distinct outputs.

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<sup>596</sup> Introduction of a New Pattern  $\overline{X}_j$ . A pattern  $\overline{X}_j$  is introduced in round t where <sup>597</sup>  $q_1, \ldots, q_{j-1}$  are already allocated. We will describe how  $\overline{X}_j$  is mapped to  $q_j$ . First, in Step



**Figure 4** Left: an illustration of the network. The green edges correspond to edges with positive weight where the red edges correspond to negative weights. For simplicity we omitted the history and deletion neurons as well as the rest on the association and memory modules. Right: The memory module and the feedback loop mechanism.

<sup>598</sup> (1),  $\overline{X}_j$  is mapped to a vector  $\overline{Y}_j = R_1(\overline{X}_j)$ . In Step (2),  $\overline{Y}_j$  is mapped to a *binary* vector <sup>599</sup>  $\overline{Z}_j$  which is the input to the sequential mapping sub-network. Let t' be the time in which <sup>600</sup>  $\overline{Z}_j$  fires. This will cause the firing of the association layer in the following manner. Let <sup>601</sup>  $\overline{X}_1, \ldots, \overline{X}_{j-1}$  be the patterns mapped to  $q_1, \ldots, q_{j-1}$ .

For every allocated neuron  $q_i$ ,  $i \leq j - 1$ , and every entry  $i_1 \in \operatorname{supp}(Z_j) \setminus \operatorname{supp}(Z_i)$ , their association neuron  $a_{i,i_1}$  is inhibited by the memory modules  $M_{i,i_2}$  for every  $i_2 \in \operatorname{supp}(Z_i)$ . Thus, for every allocated neuron  $q_i$ , when introducing  $Z_j$ , at most  $|\operatorname{supp}(Z_i) \cap \operatorname{supp}(Z_j)| \leq$ 

- $0.1 \cdot \ell$  association neurons  $a_{i,j'}$  are active.
- Since an output  $q_i$  fires only if at least  $1/2\ell$  association neurons are active,  $q_i$  would not fire.
- For every free output  $q_i$  for  $i \in \{j, ..., k\}$ , all the association neurons  $a_{i,i_1}$  for every  $i_1 \in \text{supp}(Z_j)$  are now active. Hence, in the next round, all  $q_j, ..., q_k$  fire.
- Since we have a lateral inhibition,  $q_j$  inhibits<sup>5</sup> all other  $q_i$  for  $i \in \{j + 1, \dots, k\}$ .
- <sup>611</sup> Only at the point where  $q_{j+1}, \ldots, q_k$  are inhibited, the memory modules  $M_{j,i_1}$  of the <sup>612</sup> winner output  $q_j$  start being active, for every  $i_1 \in \text{supp}(Z_j)$ . This memory module <sup>613</sup> continues firing from that point on for  $\Theta(t_m)$  rounds, even when  $X_j$  is not introduced.
- Each activated module  $M_{j,i_1}$  for every  $i_1 \in \operatorname{supp}(Z_j)$  inhibits each of the other association neurons  $a_{j,i_2}$  for every  $i_2 \neq i_1$ . In addition, each  $M_{j,i_1}$  excites its own association neuron  $a_{j,i_1}$  for  $i_1 \in \operatorname{supp}(Z_j)$ , thus canceling the inhibition from the other  $M_{j,i_2}$  modules. As a result, the only inhibited association neurons are  $a_{j,i_2}$  for  $i_2 \notin \operatorname{supp}(Z_j)$ .

**Re-Introduction of a Close-Pattern**  $\overline{X}_j$ . We now consider the situation where  $\overline{X}_j$  is introduced in round t, and a close-pattern  $\overline{X}_{j'}$  was introduced in the past (e.g., in a window of  $\Theta(t_m)$  rounds). We would like to show that  $\overline{X}_j$  will be mapped to the exact same output neuron  $q_{j'}$  as  $\overline{X}_{j'}$ .

For every allocated neuron  $q_i$  and every entry  $i_1 \in \text{supp}(Z_j) \setminus \text{supp}(Z_i)$ , their association neuron  $a_{i,i_1}$  is inhibited by the memory modules  $M_{i,i_2}$  for every  $i_2 \in \text{supp}(Z_i)$ .

Thus, for every allocated neuron  $q_i$  for  $i \neq j'$ , when introducing  $Z_j$ , at most  $|\operatorname{supp}(Z_i) \cap$ supp $(Z_j)| \leq 0.1 \cdot \ell$  association neurons  $a_{i,i_1}$  are active. As a result,  $q_{i_1}$  will not fire.

<sup>626</sup> In contrast, for the desired output neuron  $q_{j'}$ , only  $|\operatorname{supp}(Z_j) \setminus \operatorname{supp}(Z_{j'})|$  association <sup>627</sup> neurons are inhibited, while the remaining ones, namely,  $a_{j',i_1}$  for  $i_1 \in \operatorname{supp}(Z_j) \cap \operatorname{supp}(Z_{j'})$ <sup>628</sup> are active. Since  $|\operatorname{supp}(Z_j) \cap \operatorname{supp}(Z_{j'})|$  is sufficiently large,  $q_{j'}$  will fire.

 $<sup>^5\,</sup>$  In fact, its inhibitor copy will do this inhibition.



**Figure 5** Left: network's state where first pattern (1, 1, 0) is presented. Since all outputs are free at that point, the pattern is mapped to the first output  $q_1$ , which activates all its memory modules. Right: network description when the second input (1, 0, 1) is presented. Because the memory modules  $M_{1,1}$  and  $M_{1,2}$  are active, the association neuron  $a_{1,3}$  is inhibited and this  $q_1$  will not fire. As a result, (1, 0, 1) is mapped to  $q_2$ , activating corresponding memory modules  $M_{2,1}$  and  $M_{2,3}$ .

<sup>629</sup> Due to lateral inhibition of  $q_{j'}$ , all other free outputs  $q_{i'}$  for  $i' \ge j' + 1$  will not fire.

It remains to show that all other *free* outputs  $q_i$  for  $i \leq j' - 1$  will not be active. Recall that these outputs have a lateral inhibition on  $q_{j'}$  that starts inhibiting  $q_{j'}$  within a small number of rounds since the activation of  $q_i$ . It is therefore important to neutralize these outputs before their inhibition on  $q_{j'}$  comes into play. Indeed this is the reason for introducing the delay to the lateral inhibition mechanism.

To indicate the fact that  $q_{j'}$  was already allocated to a pattern close to  $X_j$ , we have a history neuron  $h_{j'}$  that works as follows. It gets input from all the memory modules of  $q_{j'}$ , as well as from  $q_{j'}$  itself. Since the close patterns  $X_j$  and  $X_{j'}$  have many entries in common, sufficiently many memory modules of  $q_{j'}$  will activate  $h_{j'}$ . For a free output  $q_i$  for  $i \leq j' - 1$ , the memory modules of  $q_i$  are not active and hence the history neuron would not be active.

The history neuron  $h_{j'}$  then inhibits all prior outputs  $q_i$  for  $i \leq j' - 1$  just before their lateral inhibition chain affects  $q_{j'}$ . In addition, the inhibition on  $q_i$  also occurs before the memory modules of  $q_i$  start being active. That is, since we want to remember only the association to the correct output  $q_{j'}$ , we delay the activation of the memory model. The latter starts only after  $q_{j'}$  fires for a consecutive constant number of rounds.

# 646 5.2.1 Correctness

<sup>647</sup> The following definitions are useful in our context.

**Definition 15.** A pattern  $\overline{Z}$  is mapped to an output neuron  $q_j$  in round t if when presenting  $\overline{Z}$  to the sequential mapping network in round t - 1,  $q_j$  is the only firing output neuron in round t.

**Definition 16.**  $M_{j,i}$  is active in round t, if its head neurons  $m_{j,i}^+$ ,  $m_{j,i}^-$  fired in round t.

In order to prove the main Theorem 14, we start by establishing useful auxiliary claims and observations.

<sup>654</sup>  $\triangleright$  Observation 17. For every output neuron  $q_j$  if the number of active memory modules  $M_{ij}$ <sup>655</sup> in round t is between 1 and  $0.9\ell$ , then w.h.p. there are no active memory modules in round <sup>656</sup> t + 3.

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**Proof.** For output neuron  $q_j$  if the number of active memory modules  $M_{ij}$  in round t is at least 1 w.h.p. the deletion neuron  $d_j^1$  fires in round t + 1. If the number of active memory modules  $M_{ij}$  is also less than  $0.9\ell$  then w.h.p.  $d_j^2$  fires in round t + 1 and therefore  $d_j^3$  fires in round t + 2, inhibiting all memory modules  $M_{ij}$  for  $i = 1, \ldots, r$ .

<sup>661</sup>  $\triangleright$  Observation 18. Given that the deletion neurons of output  $q_j$  did not fire in round t-1, <sup>662</sup> w.h.p. a memory module  $M_{j,i}$  is active in round t iff at least  $(c/2) \log(1/\delta')$  neurons  $m \in M_{j,i}^+$ <sup>663</sup> fired in round t-1.

**Proof.** Recall that a memory module  $M_{j,i}$  is *active* in round t if the excitatory neuron  $m_{j,i}^+$  fired. The potential function of  $m_{j,i}^+$  is given by

$$pot(m_{j,i}^+, t) = \sum_{m \in M_{j,i}^+} 2 \cdot m^{t-1} - 2c \log(1/\delta') (d_j^3)^{t-1} - c \log(1/\delta') + 1$$

If at least  $\frac{c}{2}\log(1/\delta')$  neurons in  $M_{j,i}^+$  fired in round t-1, the potential of  $m_{j,i}^+$  in round t is at least 1 and the probability that  $m_{j,i}^+$  fire in round t is at least  $\frac{1}{1+e^{-1/\lambda}} \ge 1 - \Theta(\frac{\delta}{n \cdot k \cdot \Delta \cdot t_m \cdot \log 1/\delta})$ . On the other hand, if less than  $\frac{c}{2}\log(1/\delta')$  neurons in  $M_{j,i}^+$  fired, the potential of  $m_{j,i}^+$  is at most -1 and the probability that  $m_{j,i}^+$  fired in round t is at most  $\frac{1}{1+e^{1/\lambda}} \le \Theta(\frac{\delta}{n \cdot k \cdot \Delta \cdot t_m \cdot \log 1/\delta})$ .

 $\sim$  Claim 19. If  $\overline{Z}_1, \overline{Z}_2$  are close and  $\overline{Z}_2, \overline{Z}_3$  are close, then  $\overline{Z}_1, \overline{Z}_3$  are close.

**Proof.** Let  $\overline{X}_1, \overline{X}_2, \overline{X}_3$  be the corresponding input patterns, where  $\overline{Z}_i = R_2(\overline{X}_i)$  for  $i \in \{1, 2, 3\}$ . By the definition of the clustering instance, every pair of patterns  $\overline{X}_i, \overline{X}_j$  are either with relative distance at least  $\Delta/2$  (i.e., if these patterns belong to different clusters), or have relative distance at most  $\Delta/\alpha$  (i.e., if they belong to the same cluster) for  $\alpha = \Omega(\log(1/\Delta))$ .

<sup>673</sup> By Lemma 12, input patterns  $\overline{X}_i, \overline{X}_j$  that belong to different (resp., same) clusters are <sup>674</sup> mapped to far (resp., close) vectors  $\overline{Z}_i, \overline{Z}_j$ . We therefore have that  $\overline{X}_1, \overline{X}_2$  are in the same <sup>675</sup> cluster, and also  $\overline{X}_2, \overline{X}_3$  are in the same cluster, concluding that  $\overline{X}_1, \overline{X}_2, \overline{X}_3$  are all in the <sup>676</sup> same cluster.

<sup>677</sup>  $\triangleright$  Claim 20. For every  $j \in [k]$  and  $i \in [\ell]$  w.h.p. the memory module  $M_{j,i}$  is active in round <sup>678</sup> t given that it was not active in round t-3, only if  $C_i^M$  and  $a_{j,i}$  fired in round t-2.

**Proof.** By Observation 18  $M_{j,i}$  is activated in round t only if at least  $(c/2) \log(1/\delta')$  neurons  $m \in M_{j,i}^+$  fire in round t-1. Since  $M_{j,i}$  was not active in round t-3 all feedback neurons  $f_m$  for  $m \in M_{j,i}^t$  was not active in round t-2 and the potential of each  $m \in M_{j,i}^+$  in round t-1 is  $\sum_{u \in C_j^M} 2 \cdot (u)^{t-2} + 2\lambda \cdot (a_{ij})^{t-2} - 9 - 2\lambda$ . Hence, if  $C_j^M$  and  $a_{j,i}$  fired in round t-2, in the next round the potential of each  $m \in M_{j,i}^+$  is at least 1 and m fires in round t-1with probability at least  $1 - \Theta(\frac{\delta}{n \cdot k \cdot \Delta \cdot t_m \cdot \log 1/\delta})$ . Thus, by Chernoff bound w.h.p. at least  $(c/2) \log(1/\delta')$  neurons  $m \in M_{j,i}^+$  fired in round t-1.

On the other hand if  $C_j^M$  and  $a_{j,i}$  did not fire together in round t-2, the potential of every  $m \in M_{j,i}^t$  in round t-1 is at most  $-2\lambda$  and m fires with probability at most  $\frac{1}{1+e^2}$ . Using Chernoff bound and choosing c to be sufficiently large, we conclude that  $(c/2) \log(1/\delta')$ neurons  $m \in M_{j,i}^+$  fire in round t-1 with probability at most  $\delta'$ .

<sup>690</sup>  $\triangleright$  Observation 21. For every output  $q_j$  at each round w.h.p. the number of memory modules <sup>691</sup>  $M_{j,i}$  that are active is at most  $\ell$ .

<sup>692</sup> **Proof.** Since every pattern has at most  $\ell$  non zero entries, in each round at most  $\ell$  association <sup>693</sup> neurons  $a_{j,i}$  fire. By Claim 20, at each round at most  $\ell$  memory modules  $M_{j,i}$  are activated

for the first time. If in round t - 3 more then  $0.1\ell$  memory modules were active, the only association neurons firing in round t - 2 correspond to the activated memory modules and therefore w.h.p. no new modules are activated in round t. Else, by Observation 17 w.h.p. the deletion neuron  $d_j^3$  kills the active memory modules and no memory module is active in round t.

<sup>699</sup> Using the same arguments, since the deletion neurons erase the partial memory, we can also <sup>700</sup> conclude that for every output neuron all its active memory modules correspond to the same <sup>701</sup> input pattern.

<sup>702</sup>  $\triangleright$  Observation 22. For each output neuron  $q_i$  in each round if it has active memory modules, <sup>703</sup> there exists an input pattern  $\overline{Z}$  s.t if  $M_{i,j}$  is active then  $j \in \text{supp}(\overline{Z})$ .

<sup>704</sup>  $\triangleright$  Claim 23. If  $\overline{Z}$  is mapped to  $q_j$  in round t, with probability greater than  $1 - \delta$  at least  $0.8\ell$ <sup>705</sup> memory modules  $M_{j,i}$  where  $i \in \text{supp}(\overline{Z})$  are active for  $c_1 \cdot t_m$  consecutive round starting <sup>706</sup> from round t + 8.

<sup>707</sup> **Proof.** Let  $\overline{Z}$  be a pattern mapped to  $q_j$  in round t. Recall that we assume persistence and <sup>708</sup> therefore w.h.p.  $\overline{Z}$  is also mapped to  $q_j$  in rounds t + 1 to t + 8.

First we argue that at least  $0.8\ell$  of the association neurons  $a_{i,i}$  for  $i \in \sup(\overline{Z})$  fire in 709 round t + 6. From Observation 17 either there where no memory modules corresponding 710 to  $q_j$  active before  $\overline{Z}$  was introduces or at least  $0.9\ell^{-6}$ . If there where no memory modules 711 active, all association neurons  $a_{i,i}$  for  $i \in \sup(\overline{Z})$  fire starting round t+1 ahead as long 712 as  $\overline{Z}$  persist. Otherwise, since  $q_i$  fired in round t+7, we conclude that at least  $0.5\ell$ 713 association neurons  $a_{j,i}$  fired in round t+6. The association neurons that fired are 714 from the support of  $\overline{Z}$  and together with Observation 22 we conclude that the pattern 715 previously mapped to  $q_i$  is close to  $\overline{Z}$  and at least  $0.8\ell$  association neurons fired in rounds 716 t + 6 (due to Lemma 12). 717

For  $i \in sup(\overline{Z})$  for which  $a_{ij}$  fired in rounds t + 6, we now calculate the probability that  $M_{ij}$  is active in round t + 8. By Observation 18 its enough to calculate the probability that at least  $(c/2) \log(1/\delta')$  neurons  $m \in M_{j,i}^+$  fired in round t + 7. The potential function of every  $m \in M_{j,i}^+$  is given by

$$pot(m,t) = \sum_{u \in C_i^M} 2 \cdot (u)^{t-1} + 2\lambda \cdot (a_{ij})^{t-1} + (9 + \lambda \cdot (2 + \chi)) \cdot (f_m)^{t-1} - 9 - 2\lambda$$

Since  $q_j$  fires in rounds t to t + 7, the delay chain  $C_j^M$  fired in round t + 6, and the probability m fires in round t + 7 is at least  $1 - \Theta(\frac{\delta}{n \cdot k \cdot \Delta \cdot t_m \cdot \log 1/\delta})$ . Using Chernoff bound with probability greater than  $1 - \delta/3\ell$  at least  $\frac{c \log(1/\delta')}{2}$  neurons in  $M_{j,i}$  fire in round t + 7 and the head memory neuron  $m_{j,i}^+$  fires in round t + 8.

Next we calculate the probability that  $m \in M_{j,i}^+$  fires  $c_1 t_m$  consecutive rounds starting round t + 8 given that  $m_{j,i}^+$  fires in round t + 8. Since  $m_{j,i}^+$  fired in round t + 8, for every  $m \in M_{j,i}^+$  that fired in round t + 8, the feedback neuron  $f_m$  is activated in round t + 9 and mfires in round t + 10 with probability at least  $1 - 1/t_m$ . Hence, the probability  $m \in M_{j,i}^+$  fires in rounds t + 8, t + 9 and  $c_1 t_m$  consecutive rounds is at least  $(1 - \Theta(\frac{\delta}{n \cdot k \cdot \Delta \cdot t_m \cdot \log 1/\delta}))^2 \cdot (\frac{1}{e^{c_1}})$ . We chose  $c_1$  such that this is greater than 1/2. Thus, using Chernoff bound and a large enough c (depending on  $c_1$ ) the probability that at least  $\frac{c \log(1/\delta')}{2}$  neurons  $m \in M_{j,i}^+$  fire in rounds t + 8, t + 9 and then for  $c_1 t_m$  consecutive rounds is at least  $1 - \delta'/3 = 1 - \delta/3\ell$ .

<sup>&</sup>lt;sup>6</sup> up too  $\pm 3$  rounds, but since we assume persistence its ok.

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Summing things up, the probability  $M_{j,i}$  is active for  $c_1 \cdot t_m$  consecutive rounds from round t + 8 ahead is at least the probability that  $m_{j,i}^+$  fired in round t + 8 and  $\frac{c \log(1/\delta')}{2}$ neurons in  $M_{j,i}^+$  fires  $c_1 t_m$  consecutive rounds starting round t + 8. By union bound this probability is greater than

$$1 - 3 \cdot (\delta/3\ell) = 1 - \delta/\ell.$$

Thus, we conclude that the probability all  $0.8\ell$  modules  $M_{j,i}$  s.t  $a_{j,i}$  fired in round t + 6 are active for  $c_1 \cdot t_m$  consecutive rounds is greater than  $1 - \delta$ .

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<sup>733</sup> We are now ready to prove the correctness of the sequential mapping step.

#### 734 Proof of Theorem 14

<sup>735</sup> **Proof.** We start by proving the 3 main properties of the network. Given a pattern  $\overline{Z}$ <sup>736</sup> introduced in round t we will show:

<sup>737</sup> (1)  $\overline{Z}$  is mapped to one of the outputs  $q_1, \ldots, q_k$  in round t+6

(2) For any pattern  $\overline{Z}'$  which is *close* to  $\overline{Z}$  and was introduced within a span of  $c_1 \cdot t_m$ rounds from  $t, \overline{Z}$  and  $\overline{Z}'$  are mapped to the same output neuron.

(3) For any pattern  $\overline{Z}'$  which is far from  $\overline{Z}$  and was introduced within a span of  $c_1 \cdot t_m$ rounds from  $t, \overline{Z}$  and  $\overline{Z}'$  are mapped to a different output neuron.

By induction on the order of arrival of the patterns. Let  $\overline{Z}$  be the first pattern arrived in round 0. We show that  $\overline{Z}$  is mapped to the first (available) neuron  $q_1$  in round 6. For every  $i \in \sup(\overline{Z})$  the potential function of the association neuron  $a_{1,i}$  is given by:

$$pot(a_{1,i},t) = 2\ell(z_i)^{t-1} + \ell(m_{1,i}^+)^{t-1} - \sum_{j \neq i} (m_{1,j}^-)^{t-1} - (19/10)\ell - 1$$

Since  $\overline{Z}$  is the first pattern seen, no neuron has fired in round zero and  $\text{pot}(a_{1,i}, 1) = (1/10)\ell - 1 > 1$ , and w.h.p. each  $a_{1,i}$  for  $i \in \sup(\overline{Z})$  fires in round 1.

Since  $q_1$  is the first output, no preceding output neuron inhibits it, and its potential is:

$$pot(q_1, t) = \sum_{i=1}^r (2 \cdot a_{1,i})^{t-1} - \sum_{i=2}^k 3\ell h_i - \ell + 1 .$$

<sup>744</sup> By Claim 13, w.h.p. each input pattern  $\overline{Z}$  (to the sequential mapping network) has at <sup>745</sup> least 0.98 $\ell$  non-zero entries (and at most  $\ell$ ). Therefore, at least 0.98 $\ell$  association neurons <sup>746</sup>  $a_{1,i}$  excite  $q_1$  in round 2. Recall that the history neurons  $h_i$  fire only if at least 1/2 of the <sup>747</sup> corresponding memory modules are active in the previous round. Hence w.h.p. in round 1, <sup>748</sup> no history neuron fires.

We conclude that  $q_1$  fires in round 2 w.h.p. By Claim 20 every memory module  $M_{i,j}$ 749 becomes active only after having  $q_i$  firing for 5 consecutive rounds (due to the delay chain 750  $C_i^M$ ). For that reason, no memory module fires before round 5. Since the memory neurons 751 are not active,  $a_{1,i}$  keeps firing in rounds 1 to 6, and  $q_1$  keeps firing in rounds 2 to 7. Since 752  $q_1$  is connected to  $q_1^-$  via a delay chain  $C_1^I$  of length 3, starting round 5 (and as long as  $q_1$ 753 fires), the inhibitor  $q_1^-$  inhibits all other output neuron  $q_i$  for  $i \ge 2$ . Thus, for every  $i \ge 2$  the 754 potential of  $q_i$  in round 6 is at most  $\ell - 2\ell - 1/2\ell + 1 < -1$ . As a result, for  $i \ge 2$  neuron  $q_i$ 755 does not fire starting round 6. 756

We next argue that at this point, no memory modules are yet active and consequently the history neurons are inactive as well. This is due to the fact that the delay in the inhibition

of  $q_i$  by  $q_1$  is *shorter* then the delay chain  $C_i^M$  that starts at  $q_i$  and ends at the memory modules. Thus  $q_i$  is inhibited before its memory modules are activated. We conclude that if  $\overline{Z}$  is observed, starting from round 6, the output neuron  $q_1$  is the only active output neuron, and  $\overline{Z}$  is *mapped* to  $q_1$ .

Assume the claim holds for the first i-1 presented patterns, we next consider the  $i^{th}$  pattern  $\overline{Z}$  presented in round t.

We first show that for every  $\overline{Z}'$  that is far from  $\overline{Z}$  introduced in round  $t' \in [t-c_1 \cdot t_m, t-1]$ , the pattern  $\overline{Z}$  will be mapped to a different output. By the induction assumption,  $\overline{Z}'$ is mapped in round t' + 6 to some output neuron,  $q_j$ . Since  $\overline{Z}'$  was introduced within  $c_1 \cdot t_m$  rounds, by Claim 23 at least  $0.8\ell$  many memory modules  $M_{j,i}$  for  $i \in \sup(\overline{Z}')$  are active it round t.

Let  $\overline{X}$ ,  $\overline{X}'$  be the inputs corresponding to  $\overline{Z}$  and  $\overline{Z}'$  respectively. From Lemma 12,  $\| \sup(\overline{Z}) \cap \sup(\overline{Z'}) \| \le 0.1\ell$ . By Observation 21, the number of active memory modules  $M_{j,i}$  in round t is at most  $\ell$ . Thus, the number of active memory modules  $M_{j,i}$  in round t for  $i \in \sup(\overline{Z})$  is at most  $0.2\ell + 0.1\ell = 0.3\ell$ .

For every association neuron  $a_{j,i}$  whose memory module  $M_{j,i}$  is inactive in round t, there are at least  $0.8\ell$  memory modules that inhibit it. Therefore its potential is  $2\ell - 8/10\ell - (19/10)\ell + 1 < -1$ , and w.h.p. it does not fire in round t + 1. Overall, at most  $0.3\ell$ association neurons  $a_{j,i}$  start firing from round t + 1 and as long as the pattern persists. We conclude that  $q_j$  will stop firing from round t + 2.

Next we show that two close patterns  $\overline{Z}'$  and  $\overline{Z}$ , introduced within a span of  $c_1 \cdot t_m$ 779 rounds are mapped to the same output. First note that by Claim 19, all patterns that 780 are close to  $\overline{Z}$  are close to each other. Hence, by the induction assumption, all patterns 781 close to  $\overline{Z}$  introduced within the last  $c_1 t_m$  rounds were mapped to the same output. 782 We now consider a pattern  $\overline{Z}'$  close to  $\overline{Z}$  introduced in round  $t' \in [t - c_1 \cdot t_m, t - 10]$ . 783 By the induction assumption, the pattern  $\overline{Z}'$  was mapped to output  $q_j$  in round t' + 6. 784 By claim 23,  $0.8\ell$  many memory modules  $M_{j,i}$  are active in round t' + 7 < t onward 785 (i.e., for  $\Theta(t_m)$  rounds). Combining with Lemma 12 because  $\overline{Z}$  is close to  $\overline{Z}'$ , at least 786  $0.7\ell$  many memory modules  $M_{j,i}$ ,  $i \in \sup(\overline{Z})$  are active in round t. Since there are at 787 most  $\ell$  many active memory modules associated with  $q_i$  in round t, the potential of the 788 association neuron  $a_{j,i}$  for which the memory neuron is active in round t+1 is at least  $2\ell + \ell - (\ell - 1) - 1.9\ell - 1 = 0.1\ell > 1$ . We have that at least  $0.7\ell$  many association neuron 790  $a_{j,i}$  fire in round t+1, leading to the firing of  $q_j$  in round t+2. 791

Next, because at least  $0.8\ell$  memory modules  $M_{j,i}$  are active from round t+3 ahead, the 792 history neuron  $h_j$  fires, and by that inhibits all output neurons  $q_i$  for  $i \leq j-1$  starting 793 from round t + 4. Recall that every inhibitor neuron  $q_i^-$  for  $i \leq j - 1$  starts firing only 794 after the delay chain  $C_i^I$  fired, i.e. after 3 rounds that  $q_i$  fired. Hence the history neuron 795  $h_j$  inhibits every  $q_i$  for  $i \leq j-1$ , just before  $q_i^-$  starts firing. We next show that no 796 other  $q_i$  fires for  $i \ge j + 1$ . This holds since  $q_i^-$  inhibits any such  $q_i$  in round t + 6 via 797 the delay chain  $C_i^I$ . Finally, we show that  $q_j$  continues firing as long the pattern persists. Because at least  $0.5\ell$  of the association neurons  $a_{j,i}$  are firing, and no preceding inhibitor 799  $q_i^-$  is currently firing (thanks to the history neuron  $h_i$ ), it remains to show that no other 800 history neuron  $h_i$  for  $i \neq j$  inhibits  $q_j$ . By the induction assumption, all patterns close 801 to  $\overline{Z}$  were mapped to  $q_i$ . Hence if for some other output  $q_i$  for  $i \neq j$ , at least  $0.5\ell$  of its 802 associated memory modules are active, by Observation 17 at least  $0.9\ell$  memory modules 803 are active. Since the pattern  $\overline{Z}''$  that was mapped to  $q_i$  is far from  $\overline{Z}$ , we have that at 804 most  $0.2\ell$  many memory modules  $M_{i,i'}$  for  $i' \in sup(\overline{Z})$  are active, thus  $q_i$  does not fire 805 and consequently  $h_i$  does not fire. 806

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We now consider the case of a newly presented pattern, i.e., no close pattern to it has 807 been presented in the last  $\Theta(t_m)$  rounds. We will show that in such a case,  $\overline{Z}$  will be 808 mapped to the left-most available output  $q_i$ , where by available we mean that no memory 809 module  $M_{j,i}$  is active in round t. Let  $q_{i_1}, q_{i_2} \dots q_{i_s}$  be the available output neurons in 810 round t. Hence all the association neurons  $a_{i_1,i}$  for  $i \in \sup(\overline{Z})$  start firing in round t+1. 811 This is because no memory module  $M_{i_1,j}$  is active. Thus, in round t+2 the output 812 neuron  $q_{i_1}$  starts firing. As for the unavailable neurons  $q_{i_2}$ , by Observation 17 at least 813  $0.9\ell$  memory modules  $M_{ji}$  are active and by Observation 22 they are associated with a 814 pattern  $\overline{Z''}$  which was mapped to  $q_j$ . The pattern  $\overline{Z''}$  is far from  $\overline{Z}$  ( $\overline{Z}$  is a new pattern) 815 and therefore at most  $0.2\ell$  memory module  $M_{j,i}$  for  $i \in \sup(\overline{Z})$  are active in round t. 816 Hence, at most  $0.2\ell$  association neurons  $a_{j,i}$  fire starting round t+1 and w.h.p.  $q_j$  will 817 not fire starting round t + 2 (and also no history neuron inhibits  $q_{i_1}$ ). Since we assume 818 persistence, and due to the delay in the activation of the memory modules,  $q_{i_1}$  fires also 819 in rounds t + 3 and t + 4, and in round t + 5 the inhibitor  $q_{i_1}^-$  starts firing, inhibiting all 820 the successive output neurons  $q_j$  for  $j \ge i_1 + 1$ . 821

<sup>822</sup> In order to finish the proof of Theorem 14 we will prove th following Lemma.

▶ Lemma 24 (Reset, Clearance of Memory). Let  $\overline{Z}$  be a pattern last introduced in round t and mapped to  $q_j$ . If no close pattern  $\overline{Z}'$  is introduced in rounds  $[t, t + c_2 \cdot t_m]$ , then  $q_j$  is released in some round  $\tau \leq t + c_2 t_m$ , i.e., all memory modules  $M_{j,i}$  stop firing with probability greater than  $1 - \delta$ .

**Proof.** Let  $\overline{Z}$  be a pattern last introduced in round t and mapped to  $q_i$ . By Observation 17 827 if in some round  $\tau$  there are less than  $0.9\ell$  memory modules corresponding to  $q_i$  firing, after 828 3 round 0 memory modules are active and w.h.p.  $q_i$  is released. As long as there are at least 829  $0.9\ell$  memory modules firing, since all patterns introduced in rounds t to  $t + c_2 t_m$  are far 830 from  $\overline{Z}$  by the same arguments used in Lemma 14 starting from round t+1 less than  $0.5\ell$ 831 association neurons associated with  $q_j$  fire and  $q_j$  will not fire for  $c_2 t_m$  consecutive rounds 832 starting from round t + 2 (as long as it is not already released). Thus, from round t + 7833 ahead w.h.p. all neurons in the delay chain  $C_i^M$  do not fire. 834

Therefore, the probability neuron  $m \in M_{j,i}^+$  fires in round  $\tau \in [t+8, t+c_2t_m]$  given that  $f_m$  did not fire in round  $\tau - 1$  is at most  $\Theta(\frac{\delta}{\log 1/\delta \cdot n \cdot k \cdot \Delta \cdot t_m})$ . Moreover, by union bound the probability that there exists a neuron  $m \in M_{j,i}^+$  that fired in some round  $\tau \in [t+8, t+c_2t_m]$ given that  $f_m$  did not fire in round  $\tau - 1$  is at most  $\delta/2\ell$ .

Next we calculate the probability at least half of the neurons  $m \in M_{i,i}^+$  fire for  $c_2 t_m$ 839 consecutive rounds. Because the delay chain  $C_j^M$  do not fire starting round t+7 the potential of 840 each neuron  $m \in M_{j,i}^+$  in round  $t' \in [t+7, t+c_2t_m]$  is bounded by  $\lambda \cdot (\chi+2) = \lambda(\log(t_m-1)+2)$ . 841 Hence, the probability  $m \in M_{j,i}^+$  fires in round t' is at most  $1 - \frac{1}{e^2(t_m-1)+1} < 1 - \frac{1}{e^2t_m}$ . We 842 conclude that the probability a neuron  $m \in M_{j,i}^+$  fires for  $c_2 t_m$  consecutive rounds is at most 843  $e^{c_2/e^2}$  which for  $c_2 > e^2 log(3)$  is less than 1/3. Using Chernoff bound and a sufficient large c 844 (constant depending on  $c_2$ ) the probability that at least  $(c/2)\log(1/\delta')$  neurons in  $M_{i,i}^+$  fire 845 for  $c_2 \cdot t_m$  consecutive rounds starting round t + 7 is at most  $\delta/2\ell$ . 846

If  $m_{j,i}^+$  fires for  $c_2t_m$  consecutive rounds starting round t + 8, be Observation 18 at each round at least 1/2 of the neurons in  $M_{j,i}^+$  fired. Given that no neuron  $m \in M_{j,i}^+$  fires in round  $\tau \in [t + 8, t + c_2t_m]$  unless  $f_m$  fired in round  $\tau - 1$ , the head neuron  $m_{j,i}^+$  fires for  $c_2t_m$  consecutive rounds only if at least 1/2 of the neurons in  $M_{j,i}^+$  fires for  $c_2t_m$  consecutive rounds. Thus we conclude that  $m_{j,i}^+$  fired for  $c_2t_m$  consecutive rounds starting round t + 8with probability at most  $\delta/(2\ell) + \delta/(2\ell) = \delta/\ell$ . Note that by Observation 21 at most  $\ell$ 

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854	prob	ability at least $1 - \delta$ the output neuron $q_j$ is release in round $\tau < t + c_2 t_m$
855	This	concludes Theorem 14 and therefore also Theorem 3. $\checkmark$
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memory neurons  $M_{ij}$  are active at each round and using union bound we conclude that with

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# **Additional Proofs: Random Projection**

We first prove Lemma 5, that a Chi-squared distribution is nearly uniform within a constant
 number of standard deviations from its mean.

<sup>969</sup>  $\triangleright$  Lemma 5. Let  $\mathcal{D}_p$  be the Chi-squared distribution with p degrees of freedom. For any <sup>970</sup> c with  $1 \le c < p^{1/2}$  there are constants  $c_\ell, c_u$  (depending on c) such that, for any interval <sup>971</sup>  $[r_1, r_2] \subseteq [p - cp^{1/2}, p + cp^{1/2}]$ , we have:

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$$\frac{c_{\ell}(r_2 - r_1)}{p^{1/2}} \le \Pr_{x \sim \mathcal{D}_p} \left[ x \in [r_1, r_2] \right] \le \frac{c_u(r_2 - r_1)}{p^{1/2}}$$

<sup>974</sup> That is,  $\mathcal{D}_p$  is roughly uniform on the range  $\left[p-cp^{1/2}, p+cp^{1/2}\right]$ .

**Proof.** It is well known that  $\mathcal{D}_p$  has mean p, density  $d(x) = \frac{1}{2^{p/2}\Gamma(p/2)}x^{p/2-1}e^{-x/2}$ . Since we assume  $p^{1/2} > c \ge 1$  we have  $p \ge 2$  and the distribution has mode p-2. Additionally, we have  $p - cp^{1/2} > 0$ . So for  $x \in [p - cp^{1/2}, p + cp^{1/2}]$  we can bound:

$$d(x) \le d(p-2) = \frac{1}{2^{p/2} \Gamma(p/2)} (p-2)^{p/2-1} e^{-p/2+1} \le \frac{1}{\Gamma(p/2)} \cdot \left(\frac{p}{2e}\right)^{p/2-1} e^{-p/2} d(p-2) = \frac{1}{2^{p/2} \Gamma(p/2)} \left(\frac{p}{2e}\right)^{p/2-1} e^{-p/2} d(p-2) d(p-2) = \frac{1}{2^{p/2} \Gamma(p/2)} \left(\frac{p}{2e}\right)^{p/2-1} e^{-p/2} d(p-2) d(p-2$$

By Stirling's approximation,  $\Gamma(p/2) \ge \sqrt{\frac{2\pi}{p/2}} \left(\frac{p}{2e}\right)^{p/2}$  which gives:

$$_{32}^{31} \qquad d(x) \le \sqrt{\frac{p}{4\pi}} \cdot \frac{2e}{p} = \frac{e}{\sqrt{\pi} \cdot p^{1/2}}.$$
(2)

On the other side, since  $p - cp^{1/2} > 0$ , and since the density of the Chi-squared distribution is monotonically decreasing as x moves further from the mode p - 2 either left or right:

$$d(x) \ge \min(d(p - cp^{1/2}), d(p + cp^{1/2})).$$
(3)

<sup>987</sup> We lower bound each term in the minimum.

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$$d(p - cp^{1/2}) = \frac{1}{2^{p/2}\Gamma(p/2)} (p - cp^{1/2})^{p/2 - 1} e^{-p/2 + (c/2)p^{1/2}}$$

$$= \frac{1}{2\Gamma(p/2)} \left(\frac{p}{2e}\right)^{p/2 - 1} \cdot \left(1 - \frac{c}{p^{1/2}}\right)^{p/2 - 1} \cdot e^{(c/2)p^{1/2} - 1}$$

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Again using Stirling's approximation, and a similar argument to the proof of (2), for some 991 constant  $c_1$ ,  $\frac{1}{2\Gamma(p/2)} \left(\frac{p}{2e}\right)^{p/2-1}$  is lower bounded by  $\frac{c_1}{p^{1/2}}$ . Thus, 992

993 
$$d(p-cp^{1/2}) \ge \frac{c_1}{p^{1/2}} \cdot \left(1 - \frac{c}{p^{1/2}}\right)^{p/2-1} \cdot e^{(c/2)p^{1/2}-1}$$

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997 998

994 
$$\geq \frac{c_1}{p^{1/2}} \cdot \left(1 - \frac{c}{p^{1/2}}\right)^{\left(\frac{p^{1/2}}{c} - 1\right) \cdot (c/2)p^{1/2}} \cdot e^{(c/2)p^{1/2} - 1} \cdot \left(1 - \frac{c}{p^{1/2}}\right)^{(c/2)p^{1/2} - 1}$$
995 
$$\geq \frac{c_1}{p^{1/2}} \frac{1}{e^{(c/2)p^{1/2}}} \cdot e^{(c/2)p^{1/2} - 1} \cdot \left(1 - \frac{c}{p^{1/2}}\right)^{(c/2)p^{1/2} - 1}$$

996 
$$\geq \frac{c_1}{ep^{1/2}} \cdot \left(1 - \frac{c}{p^{1/2}}\right)^{\left(\frac{p^{1/2}}{c} - 1\right) \cdot (c^2/2) + (c^2/2)}$$

 $\geq \frac{c_1 \cdot e^{c^2/2}}{ep^{1/2}} \cdot \left(1 - \frac{c}{p^{1/2}}\right)^{c^2/2 - 1} \geq \frac{c'}{p^{1/2}}$ 

for some constant c' that depends on c. We give a similar bound for  $p + cp^{1/2}$ . 999

$$d(p+cp^{1/2}) = \frac{1}{2\Gamma(p/2)} \left(\frac{p}{2e}\right)^{p/2-1} \cdot \left(1+\frac{c}{p^{1/2}}\right)^{-p/2-1} \cdot e^{(c/2)p^{1/2}-1}$$

$$\geq \frac{c_1}{p^{1/2}} \cdot \left(1+\frac{c}{p^{1/2}}\right)^{-p/2-1} \cdot e^{(c/2)p^{1/2}-1}$$

$$= \frac{c_1}{p^{1/2}} \cdot \left(1+\frac{c}{p^{1/2}}\right)^{-\frac{p^{1/2}}{c} \cdot (c/2)p^{1/2}} \cdot e^{(c/2)p^{1/2}-1} \cdot \left(1+\frac{c}{p^{1/2}}\right)^{-1}$$

 $p^{1/2} \left( p^{1/2} \right)$   $\geq \frac{c}{ep^{1/2}} \cdot \left( 1 + \frac{c}{p^{1/2}} \right)^{-1} \geq \frac{c'}{p^{1/2}}$ 

1003 1004

1006 100

for some c'. Combining (4) and (5) with (3) and (2) gives that there exist constants  $c_{\ell}, c_{u}$ such that for all  $x \in [p - cp^{1/2}, p + cp^{1/2}],$ 

$$\frac{c_\ell}{p^{1/2}} \le d(x) \le \frac{c_u}{p^{1/2}}$$

Thus for any  $r_1, r_2$ : 1005

$$\sum_{k=0}^{\infty} \frac{c_{\ell}(r_2 - r_1)}{p^{1/2}} \le \Pr_{x \sim \mathcal{D}_p} \left[ x \in [r_1, r_2] \right] \le \frac{c_u(r_2 - r_1)}{p^{1/2}},$$

completing the lemma. 1008

We next give a complete proof of Lemma 7. 1009

#### Proof of Lemma 7 A.1 1010

Since each  $[A_b\overline{X}](i)$  is a Chi-squared random variable with p degrees of freedom, which has 1011 median  $\leq p$ , each  $[A_b\overline{X}](i)$  is upper bounded by  $p \leq \tau = p + 2p^{1/2}$  with probability  $\geq 1/2$ . 1012 Thus, by Lemma 5 applied with c = 2, conditioned on  $[A_b\overline{X}](i) \leq p + 2p^{1/2}$ , there is some  $c_\ell$ 1013 with: 1014

<sup>1015</sup> Pr 
$$\left[ [A_b \overline{X}](i) \in \left[ p, p + 2p^{1/2} \right] | [A_b \overline{X}](i) \le p + 2p^{1/2} \right] \ge \frac{c_\ell \cdot 2p^{1/2}}{p^{1/2}} = 2c_\ell.$$

4

(5)

(4)

Thus, for large enough constant  $c_1$  and  $m = c_1$ , with probability at least  $\frac{199}{200}$ , we have  $i_{1,b}(\overline{X}) \neq 0$  and  $[A_b\overline{X}](i_{1,b}(\overline{X})) \geq p$ . Call this event  $\mathcal{E}_1$ . Condition on the event that  $\mathcal{E}_1$ occurs and, in particular, that  $[A_b\overline{X}](i_{1,b}(\overline{X})) = x$  for any  $x \in [p, p + 2p^{1/2}]$ . Call this event  $\mathcal{E}_{1,x}$ . Then for all  $j \neq i_{1,b}(\overline{X})$ ,  $[A_b\overline{X}](j)$  is an independent Chi-squared random variable with p degrees of freedom conditioned on either 1)  $[A_b\overline{X}](j) \leq x$  or 2)  $[A_b\overline{X}](j) \geq p + 2p^{1/2}$ . Since  $[A_b\overline{X}](j) \leq p \leq x$  with probability at least 1/2, this conditioning at most doubles the density at any one value. Thus, by Lemma 5,

$$\Pr\left[[A_b\overline{X}](j) \in \left[x - \frac{p^{1/2}}{c_2m}, x\right] | \mathcal{E}_{1,x}\right] \le \frac{2c_u \cdot \frac{p^{1/2}}{c_2m}}{p^{1/2}}$$

<sup>1026</sup> By a union bound, we thus have:

$$\Pr\left[\exists j: \ [A_b\overline{X}](j) \in \left[x - \frac{p^{1/2}}{c_2m}, x\right] \middle| \mathcal{E}_{1,x}\right] \le \frac{2c_u \cdot \frac{p^{1/2}}{c_2}}{p^{1/2}} = \frac{2c_u}{c_2}.$$

Setting  $c_2$  sufficiently large ensures that this quantity is bounded by  $\frac{1}{200}$ . Thus, by a union bound with the probability that  $\mathcal{E}_1$  occurs, with probability  $\geq \frac{99}{100}$ :  $i_{1,b}(\overline{X}) \neq 0$  and 2) no  $[A_b\overline{X}](j)$  falls in  $\left[x - \frac{p^{1/2}}{mc_2}, x\right] = \left[[A_b\overline{X}](i_{1,b}(\overline{X})) - \frac{p^{1/2}}{mc_2}, [A_b\overline{X}](i_{1,b}(\overline{X}))]\right]$ . This completes the proof.

# **A.2** Proof of Lemma 8

<sup>1034</sup> We first use the relative distance assumption to give a basic claim:

<sup>1035</sup>  $\triangleright$  Claim 25. Write  $\overline{X}_1, \overline{X}_2$  as  $\overline{X}_1 = \chi + \delta_1$  and  $\overline{X}_2 = \chi + \delta_2$  where  $\chi \in \{0, 1\}^n$  is the <sup>1036</sup> common vector with  $\chi(i) = 1$  iff  $\overline{X}_1(i) = \overline{X}_2(i) = 1$ . Note that since  $||X_1|| = ||X_2|| = p$  we <sup>1037</sup> have  $||\delta_1|| = ||\delta_2||$ . Letting  $\Delta = \mathcal{RD}(\overline{X}_1, \overline{X}_2)$ ,

$$\lim_{\substack{1038\\1039}} \frac{\|\delta_1\|}{p} = \frac{\Delta}{2}$$

1

<sup>1040</sup> **Proof.** We can write:

$$\Delta = \mathcal{RD}(\overline{X}_1, \overline{X}_2) = \frac{\|\overline{X}_1 - \overline{X}_2\|}{p} = \frac{\|\delta_1 - \delta_2\|}{p} = \frac{\|\delta_1\| + \|\delta_2\|}{p}.$$

1043 The claim follows since  $\|\delta_1\| = \|\delta_2\|$ .

<sup>1044</sup>  $\triangleright$  Claim 26. For  $i \in [2]$  and  $j \in [m] \cup 0$  let  $\mathcal{E}_j$  be the event that  $j = i_{1,b}(\overline{X}_1)$ . With <sup>1045</sup> probability  $\geq 999/1000$  over the choice of  $A_b \chi$ , for all j we have:

<sup>1046</sup> 
$$\Pr[\mathcal{E}_j \mid A_b \chi] \le \frac{1}{16}.$$

**Proof.** Let  $\Delta = \mathcal{RD}(\overline{X}_1, \overline{X}_2)$  and assume for simplicity that  $\Delta \leq 1$  (we will latter see that it is easy to remove this assumption). By Claim 25,  $\|\delta_1\| = \|\delta_2\| \leq \frac{p}{2}$  and thus  $\|\chi\| \geq \frac{p}{2}$ . For a constant  $c_3$  (to be set later) sub-divide the range  $[\|\chi\| - c_3 p^{1/2}, \|\chi\| + c_3 p^{1/2}]$  into  $\frac{1}{\Delta^{1/2}}$  subranges of width:

$$2c_3p^{1/2}\Delta^{1/2} = 2\sqrt{2}c_3\|\delta_1\|^{1/2},$$

where the equality follows from Claim 25. By Lemma 5 (applied with the constant cin the Lemma set to  $c_3$ ) for any  $i \in [m]$ ,  $[A_b\chi](i)$  falls into each range with probability  $\Theta(c_3 \cdot \Delta^{1/2})$ . Thus, by a standard Chernoff bound, for  $m = \frac{c_1 \log 1/\Delta}{\sqrt{\Delta}}$  for sufficiently large

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<sup>1051</sup>  $c_1$ , with probability 1999/2000 over the choice of  $A_b$ , at least  $c_4$  indices of  $A_b\chi$  fall within <sup>1052</sup> each bucket where  $c_4$  is a constant to be set later. Note that  $c_1$  depends on  $c_3, c_4$ . Call the <sup>1053</sup> event that  $c_4$  indices fall into each bucket  $\mathcal{E}_{full-buckets}$ . Additionally, as argued in Lemma <sup>1054</sup> 7, for sufficiently large m, the maximum value  $[A_b\bar{X}_1](i_{1,b}(\bar{X}_1))$  below  $p + p^{1/2}$  satisfies <sup>1055</sup>  $[A_b\bar{X}_1](i_{1,b}(\bar{X}_1)) \geq p$  with probability at least 1999/2000. Thus, with probability 1999/2000 <sup>1056</sup> over the choice of  $A_b$ ,

$$\Pr\left[[A_b \bar{X}_1](i_{1,b}(\bar{X}_1)) \ge p \middle| A_b \chi\right] \ge 1999/2000.$$
(6)

Let  $\mathcal{E}_{good}$  be the event that both  $\mathcal{E}_{full-buckets}$  and (6) hold.  $\mathcal{E}_{good}$  holds with probability  $\geq 999/1000$  over the choice of  $A_b$ . First note that conditioning on  $\mathcal{E}_{good}$ ,  $\Pr[\mathcal{E}_0 | A_b \chi] \leq \frac{1}{2000}$ , easily giving the claim for j = 0. We now consider  $j \in [m]$ . We consider any bucket,

$$R = \left\{ j : [A_b \chi](j) \in \left[ r, r + 2\sqrt{2}c_3 \|\delta_1\|^{1/2} \right] \right\},\$$

where r is be some integer multiple of  $2\sqrt{2}c_3 \|\delta_1\|^{1/2}$ . Roughly, since each index in R has a very similar value in  $A_b \chi$ , each has nearly the same likelihood of being the largest entry in  $A_b X_1$  below  $\tau = p + 2p^{1/2}$ . Since  $\mathcal{E}_{full-buckets}$  occurs, there are at least  $c_4$  of these indices and thus if  $c_4$  is large, none has very high probability of being the largest entry. Formally, we will show that, assuming  $\mathcal{E}_{good}$  holds, for each  $j \in R$ ,

$$\Pr_{1064} \qquad \Pr[\mathcal{E}_j \mid A_b \chi] \le \frac{1}{16}.$$
(7)

Since this bound holds for all buckets in the range  $[\|\chi\| - c_3 p^{1/2}, \|\chi\| + c_3 p^{1/2}]$ , it will give the claim after arguing that no index with  $A_b\chi$  falling outside this range is likely to have  $\mathcal{E}(1,j)$  occur either.

Indices in Buckets: Each entry of  $A_b \delta_1$  is identically distributed as an independent Chisquared random variable with  $\|\delta_1\|$  degrees of freedom. Additionally,  $A_b \delta_1$  is independent of  $A_b \chi$  since  $\delta_1$  and  $\chi$  have disjoint supports. Consider  $j \in R$  with  $\Pr[[A_b \bar{X}_1](j) \ge \tau | A_b \chi] \ge$ 15/16. In this case, since  $\mathcal{E}(1, j)$  can only hold if  $[A_b \bar{X}_1](j) \le \tau$ , (7) trivially holds.

Next consider  $j \in R$  with  $\Pr[[A_b \bar{X}_1](j) \ge \tau | A_b \chi] \le 15/16$ . By Lemma 6 there is some cwith:

1075 
$$\Pr\left[[A_b\delta_1](j) \ge \|\delta_1\| + c\|\delta_1\|^{1/2}\right] = \frac{1}{64}$$

or equivalently since  $[A_b\overline{X}_1](j) = [A_b\chi](j) + [A_b\delta_1](j)$ :

$$\Pr\left[[A_b\overline{X}_1](j) \ge [A_b\chi](j) + \|\delta_1\| + c\|\delta_1\|^{1/2} |A_b\chi| = \frac{1}{64},\right]$$

1080 Setting  $r_2 = \min([A_b\chi](j) + \|\delta_1\| + c\|\delta_1\|^{1/2}, \tau)$  we thus have that

$$\Pr[[A_b \overline{X}_1](j) \in [r_2, \tau] | A_b \chi] \le \frac{1}{64}.$$
(8)

Additionally, by Lemma 5 there is some  $r_1$  with  $r_2 - r_1 = \Theta(||\delta_1||^{1/2})$  such that:

1084 
$$\Pr\left[[A_b\overline{X}_1](j) \in [r_1, r_2] \middle| A_b\chi\right] = \frac{1}{32}$$

Since for all  $j' \in R$ ,  $|[A_b\chi](j) - [A_b\chi](j')| \le 2\sqrt{2}c_3 \|\delta_1\|^{1/2} = O(\|\delta_1\|^{1/2})$  we have  $r_2 = [A_b\chi](j') + O(\|\delta_1\|^{1/2})$  and thus again by Lemma 5, for all  $j' \in R$ :

$$\Pr\left[\left[A_b\overline{X}_1\right](j')\in[r_1,r_2]\middle|A_b\chi\right]=\Omega(1).$$

<sup>1090</sup> If we set the constant  $c_4$  large enough, since assuming  $\mathcal{E}_{good}$ ,  $|R| \ge c_4$  we have:

$$\Pr\left[\exists j' \in R \setminus j : [A_b \overline{X}_1](j') \in [r_1, r_2] \middle| A_b \chi\right] \ge \frac{31}{32}.$$

If this event holds, we can only have  $\mathcal{E}(1,j)$  occur if  $[A_b \overline{X}_1](j)$  falls in  $[r_2,\tau]$ , which by (8) occurs with probability  $\leq \frac{1}{64}$  conditioned on  $A_b \chi$ . Thus by a union bound we have:

<sup>1095</sup> 
$$\Pr[\mathcal{E}_j \mid A_b \chi] \le \frac{1}{16},$$

 $_{1097}$  giving (7) in this case.

Indices Outside Buckets: We now consider indices not falling in any bucket: that is, 1098 j with  $[A_b\chi](j) \leq \|\chi\| - c_3 p^{1/2}$  or  $[A_b\chi](j) \geq \|\chi\| + c_3 p^{1/2}$ . For the later, to have  $\mathcal{E}_b(1,j)$ 1099 occur we must have  $[A_b \overline{X}_1](j) \le \tau = p + 2p^{1/2}$  and thus  $[A_b \delta_1](j) \le \|\delta_1\| - (c_3 - 2)p^{1/2} \le c_3 + 2p^{1/2}$ 1100  $\|\delta_1\| - (c_3 - 2)\sqrt{2}\|\delta_1\|$ . By Lemma 6, this occurs with probability < 1/16 for all  $\|\delta_1\|$ 1101 as long as we set  $c_3$  large enough. Similarly, for j with  $[A_b\chi](j) \leq ||\chi|| - c_3 p^{1/2}$ , with 1102 probability  $\geq 15/16$ , we will have  $[A_b\delta_1](j) \leq ||\delta_1|| + c_3 p^{1/2}$  and thus  $[A_b\overline{X}_1](j) \leq p$ . Since 1103 assuming  $\mathcal{E}_{good}$ , the maximum value of  $A_b \overline{X}_1$  bounded by  $\leq \tau$  is  $\geq p$ , if  $[A_b \overline{X}_1](j) \leq p$ , 1104  $\mathcal{E}_b(1,j)$  will not occur. Thus completes the argument in this case, giving that for all j with 1105  $[A_b\chi](j) \le \|\chi\| - c_3 p^{1/2} \text{ or } [A_b\chi](j) \ge \|\chi\| + c_3 p^{1/2}, \Pr[\mathcal{E}_b(1,j) \mid A_b\chi] \le \frac{1}{16}.$ 1106

**Removing Bound on**  $\Delta$ : Finally, we note that we can remove the assumption that  $\Delta \leq 1$ . If  $\Delta \geq 1$  we can simply have  $\chi$  encompass some of the non-shared entries in  $\overline{X}_1$  until  $\|\chi\| \geq \frac{p}{2}$ and  $\|\delta_1\| \leq \frac{p}{2}$  as desired. The bound will go through as argued up to constants, since we will still have  $\frac{\|\delta\|_1}{p} = \Theta(\Delta)$  as in Claim 25 (note that we always have  $\Delta \leq 2$ ).

<sup>1112</sup> We can now complete the proof of Lemma 8. We have:

$$\Pr[i_{1,b}(\overline{X}_1) = i_{1,b}(\overline{X}_2) \mid A_b \chi] = \sum_{j=0}^m \Pr\left[i_{1,b}(\overline{X}_1) = i_{1,b}(\overline{X}_2) = j \mid A_b \chi\right]$$

$$\sum_{j=0}^m \Pr\left[i_{1,b}(\overline{X}_1) = i_{1,b}(\overline{X}_2) = j \mid A_b \chi\right]$$

 $= \sum_{j=0} \Pr\left[i_{1,b}(\overline{X}_1) = j \mid A_b \chi\right] \cdot \Pr\left[i_{1,b}(\overline{X}_2) = j \mid A_b \chi\right]$ 

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where the second line follows from the fact that  $A_b \overline{X}_1$  and  $A \overline{X}_2$  are independent conditioned on  $A_b \chi$  since  $\delta_1, \delta_2$  are disjoint vectors. By Claim 26, with probability  $\geq 999/1000$  over the choice of  $A_b \chi$  we can bound (9) by:

<sup>1119</sup> 
$$\Pr[i_{1,b}(\overline{X}_1) = i_{1,b}(\overline{X}_2) \mid A_b \chi] \le \sum_{j=0}^m \Pr[i_{1,b}(\overline{X}_2) = j \mid A_b \chi] \cdot 1/16 = 1/16$$
 (10)

where the last line follows simply since  $\sum_{j=0}^{m} \Pr\left[i_{1,b}(\overline{X}_2) = j \mid A_b \chi\right] = 1$ . Since (10) holds with probability  $\geq 999/1000$  over the choice of  $A_b \chi$ , overall  $\Pr[i_{1,b}(\overline{X}_1) = i_{1,b}(\overline{X}_2)] \leq 1/16 + 1/1000$ .

Applying Claim 7 and a union bound gives that  $i_{1,b}(\overline{X}_1) \neq i_{1,b}(\overline{X}_2)$  and the gaps between the largest and second largest entries of  $A_b \overline{X}_1$  and  $A_b \overline{X}_2$  (bounded by  $\tau$ ) are both at least  $\frac{p^{1/2}}{c_2 \cdot m}$  (or there is at most one such entry), and  $[A_b \overline{X}_1](i_1(\overline{X}_1)), [A_b \overline{X}_2](i_1(\overline{X}_2)) \geq p$  with probability  $\geq 1 - (1/16 + 1/1000) - 2/100 = .9165$ , giving the lemma.

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(9)

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#### A.3 Proof of Lemma 10 1128

We now give the deferred proof of Lemma 10, which shows that two close inputs are likely to 1129 have the same intermediate neuron with the maximum potential  $< \tau$  in each bucket. We 1130 restate the lemma below. 1131

 $\triangleright$  Lemma 10. Let  $\overline{X}_1, \overline{X}_2 \in \{0, 1\}^n$  be two vectors with  $\mathcal{RD}(\overline{X}_1, \overline{X}_2) \leq \Delta/\alpha$ . Consider our construction with bucket size  $m = \frac{c_1 \log(1/\Delta)}{\sqrt{\Delta}}$ . Then for sufficiently large constants  $c_1, c_2$  and 1132 1133  $\alpha = O(\log(1/\Delta)^4)$ , for any  $b \in [\ell]$ , defining  $i_{1,b}(\cdot)$  and  $i_{2,b}(\cdot)$  as in Lemma 7, with probability 1134  $\geq 0.97:$ 1135

 $= i_{1,b}(\overline{X}_1) = i_{1,b}(\overline{X}_2).$ 1136

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For both j = 1, 2:  $i_{1,b}(\overline{X}_j) \neq 0$ ,  $[A_b\overline{X}_j](i_{1,b}(\overline{X}_j)) \geq p$ , and  $[A_b\overline{X}_j](i_{1,b}(\overline{X}_j)) - [A_b\overline{X}_j](i_{2,b}(\overline{X}_j)) \geq \frac{p^{1/2}}{c_2 \cdot m}$  or  $i_{2,b}(\overline{X}_j) = 0$ . 1138

**Proof.** By Lemma 7, with probability  $\geq 99/100$ , for all  $i \in [m] \setminus i_{1,b}(\overline{X}_1)$  with  $[A_b \overline{X}_1](i) \leq \tau$ : 1139

$$[A_b \,\overline{X}_1](i_{1,b}(\overline{X}_1)) - [A_b \,\overline{X}_1](i) = \Omega\left(\frac{p^{1/2}}{m}\right),$$
(11)

By a similar argument, with probability  $\geq 99/100$ , for all  $i \in [m]$ , 1142

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$$|\tau - (A_b \overline{X}_1)_i| = \Omega\left(\frac{p^{1/2}}{m}\right).$$
 (12)

Additionally, by standard sub-exponential concentration (as used in Lemma 6) with probability  $\geq 99/100$ , for both j = 1, 2 and all  $i \in m$  we have  $[A_b \delta_i](i) \in ||\delta_i|| \pm O(\log m \cdot 1)$  $\|\delta_i\|^{1/2}$ ). Note that  $\log m = O(\log(1/\Delta))$ . Additionally, by Claim 25, since  $\mathcal{RD}(\overline{X}_1, \overline{X}_2) \leq \mathcal{RD}(\overline{X}_1, \overline{X}_2)$  $\Delta/\alpha$  for  $\alpha = O(\log(1/\Delta)^4)$ , we have for both  $i = 1, 2, \frac{\|\delta_i\|}{p} \leq \frac{\Delta}{2\alpha} = O\left(\frac{\Delta}{\log(1/\Delta)^4}\right)$ . This gives that

$$O(\log m \cdot \|\delta_1\|^{1/2}) = O\left(\frac{\Delta^{1/2} p^{1/2}}{\log(1/\Delta)}\right) = O\left(\frac{p^{1/2}}{m}\right)$$

 $[\mathbf{A}_b \,\overline{X}_2](i_{1,b}(\overline{X}_1)) - [\mathbf{A}_b \,\overline{X}_1](i) =$ 

So for both j = 1, 2 and all  $i \in m$ ,  $(A_b \delta_j)_i \in ||\delta_i|| \pm O\left(\frac{p^{1/2}}{m}\right)$ . So by (11) we have for all 1145  $i \neq i_{1,b}(\overline{X}_1)$  with  $[A_b \overline{X}_1](i) \leq \tau$ : 1146

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 $[A_b \,\overline{X}_1](i_{1,b}(\overline{X}_1)) - [A_b \,\delta_1](i_{1,b}(\overline{X}_1)) + [A_b \,\delta_2](i_{1,b}(\overline{X}_1)) - [A_b \,\overline{X}_1](i) = \Omega\left(\frac{p^{1/2}}{m}\right).$ 

By (12) we also have, 1150

$$[A_b \,\overline{X}_2](i_{1,b}(\overline{X}_1)) = [A_b \,\overline{X}_1](i_{1,b}(\overline{X}_1)) - [A_b \,\delta_1)](i_{1,b}(\overline{X}_1)) + [A_b \,\delta_2](i_{1,b}(\overline{X}_1)) \le \tau.$$

and similarly, for all  $i \neq i_{1,b}(\overline{X}_1)$  with  $[A_b \overline{X}_1](i) \geq \tau$ : 1153

$$[A_b \overline{X}_2](i) \ge \tau.$$

That is,  $i_{1,b}(\overline{X}_1)$  is the largest entry of  $A_b \overline{X}_2$  under  $\tau$ , and thus  $i_{1,b}(\overline{X}_1) = i_{1,b}(\overline{X}_2)$ . 1156

Applying Lemma 7 and a union bound gives the second claim with overall probability 1157 1 - 1/100 - 1/100 - 1/100 = 97/100.1158 1159

# **B** Detailed Analysis of the Sparsification Step via WTA

## <sup>1161</sup> Proof of Claim 13

Proof. Let  $i = \arg \max_{j:\overline{Y}(j) \leq \tau} \overline{Y}(j)$ . For every neuron  $j \in \{1, \ldots, m\}$  in the input vector  $\overline{Y}$ , let  $\overline{R}(j)$  be the random variable that counts the number of rounds in which j fires in a window of  $T = \Theta(m^2 \log m)$  rounds. By the construction described above in which all j with  $\overline{Y}(j) \geq \tau$  are inhibited with very strong weight,  $\overline{R}(j) = 0$  w.h.p. for all such j. Thus we focus on j with  $\overline{Y}(j) \leq \tau$ . We show that if  $\overline{Y}(i) - \overline{Y}(j) = \Omega\left(\frac{p^{1/2}}{m}\right)$  for  $j \neq i$ , then  $\overline{R}(i) \gg \overline{R}(j)$ with probability at least  $1 - \Theta(1/m)$ .

First, let  $\overline{P}$  be the vector of firing probabilities of each intermediate neuron induced by 1168 the potentials in  $\overline{Y}$  (ignoring the entries that have been zero'd out since  $\overline{Y}(j) \ge \tau$ ). By (1) 1169 we have  $\overline{P}(i) = \frac{1}{1+e^{-\overline{Y}(i)}}$ . Letting  $s(x) = 1/(1+e^{-x})$ , we have  $s'(x) \in \left[\frac{1}{2}, \frac{3}{4}\right]$  for  $x \in [0, 1]$ 1170 and can see that if  $\overline{Y}(i) - \overline{Y}(j) = \Omega(1/m)$ , then also  $s(\overline{Y}(i)) - s(\overline{Y}(j)) = \Omega(1/m)$ . That is, 1171 a gap of  $\Omega(1/m)$  between  $\overline{Y}(i)$  and  $\overline{Y}(j)$  translates to a gap of  $\Omega(1/m)$  between the firing 1172 probabilities  $\overline{P}(i)$  and  $\overline{P}(j)$ . To ensure that  $\overline{Y}(i), \overline{Y}(j)$  are in [0, 1] we can simply rescale the 1173 weights of the random connection matrix A by  $\frac{1}{2p}$  and shift them by p by adding a bias of p to 1174 each intermediate neuron. By Corollary 9, before this shift and scaling,  $\overline{Y}(i) \in [p, p + 2p^{1/2}]$ , 1175 so afterwards,  $\overline{Y}(i) \in [0,1]$ . For all  $j \neq i$ , since by Corollary 9 we had  $\overline{Y}(i) - \overline{Y}(j) = \Omega\left(\frac{p^{1/2}}{m}\right)$ 1176 we still have  $\overline{Y}(i) - \overline{Y}(j) = \Omega\left(\frac{1}{m}\right)$  as required and thus  $\overline{P}(i) - \overline{P}(j) = \Omega\left(\frac{1}{m}\right)$ . 1177

By Chernoff bound, with probability of at least 1 - c/m,

$$\overline{R}(i) \ge T \cdot \overline{P}(i) - \sqrt{T \cdot \overline{P}(i) \cdot c \log m} \text{ and } \overline{R}(j) \le T \cdot \overline{P}(j) + \sqrt{T \cdot \overline{P}(j) \cdot c \log m}).$$

<sup>1178</sup> Hence, with probability 1 - 2c/m we get that

$$\overline{R}(i) - \overline{R}(j) \geq T \cdot (\overline{P}(i) - \overline{P}(j)) - \sqrt{T \cdot \overline{P}(i) \cdot c \log m}) - \sqrt{T \cdot \overline{P}(j) \cdot c \log m}.$$

$$\geq T \cdot (\overline{P}(i) - \overline{P}(j)) - 2\sqrt{T \cdot \overline{P}(i) \cdot \log m} = \Omega(T/m) - O(\sqrt{T \cdot \log m}).$$

1180

$$= \Omega(T/m)$$
,

1182 by taking  $T = c' \cdot m^2 \log m$  for a sufficiently large constant c'.

Since the incoming weight of each neuron  $y_{i,j}$  is  $\overline{R}(i) - \overline{R}(j) = \omega(1)$ , we get that  $y_{i,j}$  fires with probability of  $1 - \Theta(1/m)$ . By doing a union bound over all m - 1 neurons, and taking large enough constants, we get that with probability at least 99/100, all neurons  $y_{i,j}$  fire for every  $j \neq i$ . Hence,  $z_i$  is the only firing neuron in  $\overline{Z}$ .

Recall that in Step (1), every input vector  $\overline{X}_i$  is projected into  $\ell$  vectors  $\overline{Y}_{i,b} = A_b \cdot \overline{X}_i$ for every  $b \in \{1, \ldots, m\}$ . On each such vector  $\overline{Y}_{i,b}$  we apply the WTA circuit and get a vector  $\overline{Z}_{i,b}$ . Let  $\overline{Z}_i = \overline{Z}_{i,1} \circ \overline{Z}_{i,2} \circ \ldots \circ \overline{Z}_{i,\ell}$  for  $\ell = O(\log(t_m/\delta))$ , where  $\circ$  denotes vector concatenation.

<sup>1191</sup> We conclude this section by showing that the relative gap between input patterns  $\overline{X}_i, \overline{X}_j$ <sup>1192</sup> is reflected in their output vectors of the WTA circuit. By combining Claim 13 with Cor. 9 <sup>1193</sup> and 11, we prove Lemma 12 which completes the correctness of Step (II).

# <sup>1194</sup> Proof of Lemma 12

First observe that for every input  $\overline{X}_i$ , there are at most  $\ell$  non-zero entries in  $\overline{Z}_i$  since the threshold gates fire only if there is a sufficient gap in the firing rates. (I) For a fixed pair  $\overline{X}_i, \overline{X}_j$ of far patterns, let  $B_{i,j}$  be the set of all buckets b where  $\arg \max_{r \in [m]: \overline{Y}_{i,b}(r) \leq \tau} \overline{Y}_{i,b}(r) \neq$ 

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arg max<sub> $r \in [m]: \overline{Y}_{j,b}(r) \leq \tau \overline{Y}_{j,b}(r)$  and the gap between largest and second largest entries in both vectors  $\overline{Y}_{i,b}$  and  $\overline{Y}_{j,b}$  is  $\Omega(p^{1/2}/m)$ . By Cor. 9, with probability  $1 - \delta$ , for every pair of far patterns  $\overline{X}_i, \overline{X}_j, |B_{i,j}| \geq 0.9 \cdot \ell$ .</sub>

By Claim 13, if  $\overline{Y}_{i,b}$  has a desired gap between the largest entry and other entries then, with probability p = 99/100,  $\overline{Z}_{i,b}$  has exactly one winning entry corresponding to arg max( $\overline{Y}_{i,b}$ ). In expectation the vectors  $\overline{Z}_{i,b}$  differ in  $p \cdot |B_{i,j}|$  buckets. Thus by applying Chernoff bound overall  $k^2$  pairs, in 0.9 $\ell$  of the buckets, the WTA picks a distinct winner for the  $\overline{X}_i$  and  $\overline{X}_j$  patterns. Thus,  $\operatorname{supp}(\overline{Z}_i) \setminus \operatorname{supp}(\overline{Z}_j) \geq 0.9\ell$ .

(II) For a fixed pair  $\overline{X}_i, \overline{X}_j$  of close patterns, let  $B_{i,j}$  be the set of all buckets b where arg max $_{r \in [m]: \overline{Y}_{i,b}(r) \leq \tau} \overline{Y}_{i,b}(r) = \arg \max_{r \in [m]: \overline{Y}_{j,b}(r) \leq \tau} \overline{Y}_{j,b}(r)$  and the gap between largest and second largest entries in both vectors  $\overline{Y}_{i,b}$  and  $\overline{Y}_{j,b}$  is  $\Omega(p^{1/2}/m)$ . By Cor. 11 with probability  $1 - \delta$ , for every pair of close patterns  $\overline{X}_i, \overline{X}_j, |B_{i,j}| \geq 0.91 \cdot \ell$ . By applying Claim 13 and Chernoff bound overall  $k^2$  pairs, in at least  $0.9 \cdot \ell$  of the buckets, the selected winner is the same with probability of  $1 - \delta$ , implying that  $\operatorname{supp}(\overline{Z}_i) \cap \operatorname{supp}(\overline{Z}_j) \geq 0.9 \cdot \ell$ .