

1           **AMPS: REAL-TIME MESH CUTTING WITH AUGMENTED**  
2           **MATRICES FOR SURGICAL SIMULATIONS\***

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4           **Abstract.** We present the augmented matrix for principal submatrix update (AMPS) algorithm,  
5 a finite element solution method that combines principal submatrix updates and Schur complement  
6 techniques, well-suited for interactive simulations of deformation and cutting of finite element meshes.  
7 Our approach features real-time solutions to the updated stiffness matrix systems to account for  
8 interactive changes in mesh connectivity and boundary conditions. Updates are accomplished by an  
9 augmented matrix formulation of the stiffness equations to maintain its consistency with changes to  
10 the underlying model without refactorization at each timestep. As changes accumulate over multiple  
11 simulation timesteps, the augmented solution algorithm enables tens or hundreds of updates per  
12 second. Acceleration schemes that exploit sparsity, memoization and parallelization lead to the  
13 updates being computed in real-time. The complexity analysis and experimental results for this  
14 method demonstrate that it scales linearly with the number of nonzeros of the factors of the stiffness  
15 matrix. Results for cutting and deformation of 3D elastic models are reported for meshes with up to  
16 50,000 nodes, and involve models of surgery for astigmatism and the brain.

17           **Key words.** finite element, surgery simulation, real-time, deformable model, cutting

18           **AMS subject classifications.** 65F50, 65F10, 65F05, 65Y20

19           **1. Introduction.** We present an algorithm that enables us to deform and cut  
20 solid finite element models in real time by solving the resulting time-varying equa-  
21 tions fast. Modifications of the mesh topology and changes in the boundary conditions  
22 are the basic operations of many simulation scenarios, particularly surgical simula-  
23 tions. A real-time finite element solution method for mesh cutting is computationally  
24 demanding, first because graphic and haptic rendering require accurate solutions at  
25 real-time update rates, and second because connectivity changes due to cutting and  
26 remeshing modify the underlying matrix equations. Such modifications invalidate pre-  
27 vious factorizations or inverse computations for the stiffness matrix, requiring either  
28 computationally expensive update procedures or a solution via an iterative method.

29           Interactive simulations often involve unpredictable cutting paths to allow flexi-  
30 bility to the user inputs. This feature requires that the internal deformation of a  
31 solid model be computed and tracked so that accurate cut surfaces are exposed as  
32 cuts progress into the potentially heterogeneous interior of a model. The cutting of  
33 a 3D mesh results in pushing and pulling forces being applied to the nodes, and new  
34 Dirichlet boundary conditions being imposed on the nodes by different fixation sce-  
35 narios. Consequently an algorithm to compute the displacements of all nodes under  
36 these changes in real time is essential to make the simulations practical.

37           Observing that the aforementioned changes to the meshes result in a principal  
38 submatrix update and a change in dimensions to the underlying equations, we propose  
39 a new solution approach to reflect both the update and the dimension change in a  
40 modified augmented matrix formulation. This approach, called Augmented Matrix for  
41 Principal Submatrix update (AMPS), is similar to other augmented matrix methods  
42 in that the matrix is represented in a block matrix form in which the (1,1) block

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43 is the fixed original matrix and the other blocks are either zero or vary according  
 44 to the changes. The Schur complement operation is then applied to decouple the  
 45 augmentation from the remaining part of the system, and the Schur complement  
 46 system is solved in two phases. Our current solution combines a one-time sparse  
 47 matrix-factorization for the (1,1) block with an explicit computation of a principal  
 48 submatrix of the inverse of the original matrix and a direct solution of the Schur  
 49 complement system. Sparsity in the matrix, solution vector, and the right-hand-side  
 50 vector are carefully exploited throughout the computations and intermediate results  
 51 are stored for subsequent changes in later cutting steps. The time complexity of the  
 52 algorithm shows that performance scales well with model size and various cutting  
 53 lengths, while supporting arbitrary cutting of any valid finite element mesh.

54 Different algorithms for mesh generation [5, 10, 15, 18], collision detection [20,  
 55 23, 26], and mesh refinement [8, 19, 21] can be paired with our solution algorithm  
 56 to produce a complete simulation platform. The scope of this paper does not in-  
 57 clude algorithms for simulation tasks other than solving the finite element system  
 58 of equations. A feature of the solution algorithm presented is its flexibility to work  
 59 with structured and unstructured meshes as well as a number of different methods  
 60 for adapting mesh geometry to respect a cut surface.

61 The three main contributions of this work are:

- 62 • An augmented matrix formulation of the stiffness system of equations from a  
 63 finite element model, specific for principal submatrix updates and dimension  
 64 changes resulting from both continuous unpredictable cutting and imposition  
 65 of new boundary conditions. This formulation keeps the original stiffness  
 66 matrix as a submatrix to eliminate the necessity of re-factorization whenever  
 67 a change occurs.
- 68 • A direct solution approach that provides fast and accurate solutions to both  
 69 the updated portion and unchanged portion, when the percentage of mesh  
 70 elements affected by topological changes is small.
- 71 • Acceleration of the solution method by exploiting sparsity, memoization and  
 72 parallelization. We analyze the time complexity of the accelerated solution  
 73 method using concepts from graph theory.

74 This paper is organized as follows. Section 2 reviews previous work on the real-  
 75 time solution of physics-based models and finite element equations. Section 3 presents  
 76 our new augmented method with principal submatrix update for assembling a finite  
 77 element system of equations and accounting for changes in mesh connectivity and  
 78 boundary conditions via updates to stiffness matrix factors. Section 4 presents speed  
 79 and accuracy results from finite element deformation and cutting experiments with  
 80 models of various size. Finally, Section 5 discusses conclusions and directions for  
 81 future work.

82 **2. Previous Work.** The augmented matrix algorithm described in this paper  
 83 is related to earlier algorithms designed by us and our colleagues in [24, 25]. In  
 84 the first paper, we formed an augmented system to replace columns in the original  
 85 matrix, and solved the Schur complement system using GMRES implicitly and the  
 86 rest of the system directly using precomputed  $LDL^T$  factors of the original matrix.  
 87 Unfortunately the symmetry present in the system was destroyed during this method  
 88 for updating the solution, and two closures needed to be computed to exploit the  
 89 sparsities in both the matrix and the right-hand-side vector. The convergence of the  
 90 iterative solver depended on the condition of the Schur complement of the system,  
 91 and a preconditioner was sometimes needed for faster convergence. However, since

92 the Schur complement was not computed explicitly, it was difficult to find an effective  
 93 preconditioner fast enough to provide real-time updates.

94 We overcome these shortcomings by following an approach similar to that pre-  
 95 sented in the second paper [25]. By observing that the only change to the original  
 96 matrix is within a principal submatrix, with our co-authors we showed that symmetry  
 97 could be preserved during the update of the solution. We presented two approaches to  
 98 solve the Schur complement system, an iterative method and a direct method. How-  
 99 ever, the application to contingency analysis of power grids considered there retained  
 100 the size of the system for any contingency scenario. Thus the augmented system  
 101 considered there was for applications in which the matrix update did not change the  
 102 dimension of the matrix. This is not the case with surgical simulations, in which  
 103 new vertices are added to the mesh along the cutting surface. The additional ver-  
 104 tices increase the overall dimension of the modified system. Therefore an extension  
 105 is presented in this paper to generalize the augmented matrix approach to systems  
 106 where their dimensions change. We also improve the computation of the principal  
 107 submatrix of the matrix inverse to further accelerate the solution.

108 In [25], CHOLMOD [6], an algorithm to update or downdate the Cholesky factor  
 109 of the matrix with low-rank matrices, was compared to our augmented matrix for-  
 110 mulation. It was shown that our approach outperformed CHOLMOD for the power  
 111 contingency application. However, SuiteSparse, the software package that includes  
 112 CHOLMOD, does not provide functionality to increase the dimension of the modified  
 113 system. Therefore, we cannot compare our method with CHOLMOD for the surgical  
 114 simulation application in this paper.

115 Other related papers were surveyed in the two aforementioned papers and hence  
 116 we do not repeat them here. Specifically, in [25] we have provided a summary of the  
 117 state of the art in algorithms that update the solution in surgical simulations.

118 Linear elastic models are useful in biomechanical contexts when the deformations  
 119 are small and limited forces are applied, e.g., eye surgery for astigmatism. Here we  
 120 list a few more papers that employ linear elastic models for surgery and for the study  
 121 of biomechanical properties. Chabanas et al. [3] examined the applicability of a linear  
 122 elastic model for maxillofacial surgery, compared it to other models, and concluded  
 123 that the linear model is appropriate for some types of surgery simulations. Liu et  
 124 al. [16] used a linear elastic model for soft tissues during minimally invasive surgery.  
 125 Using data collected by their probe during surgery, they used the model to estimate  
 126 the elastic modulus of different areas of tissue and demonstrated the ability to detect  
 127 firm tumors. Crouch et al. [5] modeled prostate brachytherapy using a linear elastic  
 128 material model. Andraeus et al. [1] have modeled the interaction between bone tissue  
 129 and resorbable biomaterial as linear elastic materials with voids. Juszczak et al. [12]  
 130 have shown that the femur can be modeled under physiological loading conditions  
 131 using linear elasticity.

132 **3. Methods.** Navier’s equation of equilibrium, which describes the deformation  
 133 of an isotropic, homogeneous elastostatic body, is

$$134 \quad (3.1) \quad f + \mu \nabla^2 a + (\lambda + \mu) \nabla \nabla \cdot a = 0.$$

135 In this equation  $f$  represents applied force,  $a$  represents displacement, and  $\lambda$  and  $\mu$  are  
 136 elastic moduli constants that describe material properties [14]. They are related to the  
 137 Young’s modulus  $E$  and the the Poisson ratio  $\nu$  by the equations  $\mu = E / (2(1 + \nu))$ ,  
 138 and  $\lambda = \nu E / ((1 + \nu)(1 - 2\nu))$ . We have used  $E = 10$  kPa and  $\nu = 0.3$ . The  
 139 results presented in this paper were generated for finite element models that represent

140 approximate solutions to this equation. Our computational method also applies when  
 141 the material model is anisotropic or inhomogeneous. The relationship between the  
 142 strong form of Navier's equation, shown in Equation 3.1, and the weak form of the  
 143 equation is reviewed in [22], and the matrix equation of the finite element model is  
 144 derived in [2].

145 The system of stiffness equations associated with a finite element model of an  
 146 organ or tissue is

$$147 \quad (3.2) \quad K_0 a_0 = f_0,$$

148 where the stiffness matrix  $K_0$  has dimension  $n$ . The finite element mesh then un-  
 149 dergoes a series of discrete cutting steps, and the mesh and the associated stiffness  
 150 equations are updated after each cutting step. New nodes and elements might be  
 151 inserted or some nodes and elements deleted at each step. At step  $t$ , we have the  
 152 system

$$153 \quad (3.3) \quad K_t a_t = f_t,$$

154 where  $K_t$  is now of order  $(n + d_t) \times (n + d_t)$ , and the displacement vector  $a_t$  and  
 155 the force vector  $f_t$  are of order  $(n + d_t)$ . By considering the difference between the  
 156 original  $n \times n$  stiffness matrix  $K_0$  and the modified stiffness matrix  $K_t$  after cutting  
 157 at step  $t$ , we observe that  $K_t$  can be expressed as the result of a principal submatrix  
 158 update to  $K_0$  augmented by an identity matrix of size  $d_t$ :

$$159 \quad (3.4) \quad K_t = \underbrace{\begin{bmatrix} K_0 & \\ & I_{d_t} \end{bmatrix}}_{\bar{K}_t} - \underbrace{\begin{bmatrix} H_t & \\ & I_{d_t} \end{bmatrix}}_{\bar{H}_t} \underbrace{\left( E_t + \begin{bmatrix} 0_{m_t} & \\ & I_{d_t} \end{bmatrix} \right)}_{\bar{E}_t} \underbrace{\begin{bmatrix} H_t^\top & \\ & I_{d_t} \end{bmatrix}}_{\bar{H}_t^\top},$$

160 where  $H_t$  comprises the  $m_t$  columns of the identity matrix of order  $n$  whose indices  
 161 correspond to the rows and columns of  $K_0$  to be updated; and  $E_t$  is an  $(m_t + d_t) \times$   
 162  $(m_t + d_t)$  principal submatrix update to  $\bar{K}_t$ . Here  $H_t$  has dimension  $n \times m_t$ ,  $\bar{H}_t$  has  
 163 dimension  $(n + d_t) \times (m_t + d_t)$ ; and  $\bar{E}_t$  has dimension  $(m_t + d_t) \times (m_t + d_t)$ , the same  
 164 as that of  $E_t$ . Note that  $\bar{H}_t^\top \bar{H}_t = I_{(m_t + d_t)}$ . Here,  $m_t$  is the number of its rows and  
 165 columns replaced at step  $t$ , and  $d_t$  is the change in dimension of the modified matrix  
 166 at step  $t$ . In the context of the finite element model used in the surgical simulation,  
 167  $m_t$  corresponds to the degrees of freedom (DOFs) of the modified vertices and their  
 168 neighbors, and  $d_t$  corresponds to the DOFs of the newly added vertices with respect  
 169 to the original system. In general,  $m_t \gg d_t$ . An example is shown in Figure 1.

170 For the sake of simplicity, the subscripts  $t$  are dropped hereafter throughout the  
 171 paper except in Section 3.3 where the subscript  $t$  is necessary.

172 We can express  $a$  as the sum of two independent terms:

$$173 \quad (3.5) \quad a = a^{(1)} + \bar{H} a^{(2)},$$

174 where  $a^{(1)}$  is an  $(n + d)$ -vector and  $a^{(2)}$  is an  $(m + d)$ -vector such that

$$175 \quad (3.6) \quad \bar{H}^\top a^{(1)} = 0;$$

176 it follows that  $\bar{H}^\top a = a^{(2)}$ . Substituting Equation 3.5 into Equation 3.3, we have

$$177 \quad (3.7) \quad K a^{(1)} + K \bar{H} a^{(2)} = f.$$

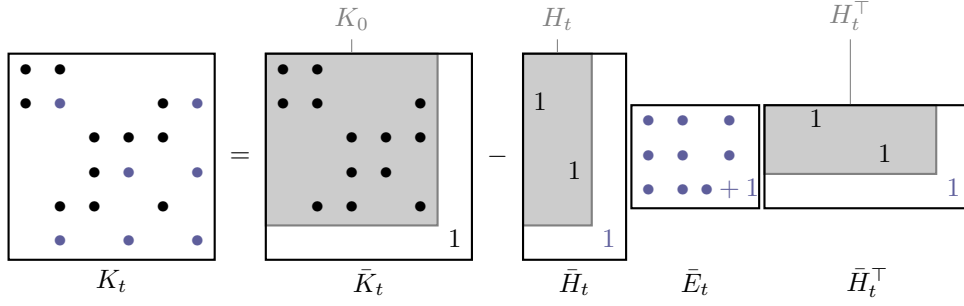


FIG. 1. Example of a modified  $(6 \times 6)$ -matrix  $K_t$  formed by a principal submatrix update  $E_t$  of size  $3 \times 3$  colored in blue to the original  $(5 \times 5)$ -matrix  $K_0$  with dimension change. Here,  $n = 5$ ,  $m_t = 2$  and  $d_t = 1$ .

178 Substituting Equation 3.4 into Equation 3.7, we have

$$179 \quad \bar{K}a^{(1)} - \bar{H}\bar{E}\bar{H}^\top a^{(1)} + (\bar{K}\bar{H} - \bar{H}\bar{E}\bar{H}^\top \bar{H}) a^{(2)} = f,$$

$$180 \quad (3.8) \quad \bar{K}a^{(1)} + (\bar{K}\bar{H} - \bar{H}\bar{E}) a^{(2)} = f.$$

182 We have made use of Equation 3.6 and the orthogonality of  $\bar{H}$  to obtain the second  
183 equation from the first. If we denote  $a^{(3)} = -\bar{E}a^{(2)}$ , then Equation 3.8 becomes

$$184 \quad (3.9) \quad \bar{K}a^{(1)} + \bar{K}\bar{H}a^{(2)} + \bar{H}a^{(3)} = f.$$

185 Premultiplying Equation 3.8 by  $\bar{H}^\top$  yields

$$186 \quad (3.10) \quad \bar{H}^\top \bar{K}a^{(1)} + (\bar{H}^\top \bar{K}\bar{H} - \bar{E}) a^{(2)} = \bar{H}^\top f.$$

187 Assembling Equations 3.6, 3.9 and 3.10, we can form an augmented system of equa-  
188 tions

$$189 \quad (3.11) \quad \begin{bmatrix} \bar{K} & \bar{K}\bar{H} & \bar{H} \\ \bar{H}^\top \bar{K} & \bar{H}^\top \bar{K}\bar{H} - \bar{E} & 0 \\ \bar{H}^\top & 0 & 0 \end{bmatrix} \begin{bmatrix} a^{(1)} \\ a^{(2)} \\ a^{(3)} \end{bmatrix} = \begin{bmatrix} f \\ \bar{H}^\top f \\ 0 \end{bmatrix}.$$

190 Using  $\bar{K}$  as the block pivot, Equation 3.11 can be reduced to a smaller system involving  
191 the Schur complement of  $\bar{K}$ ,  $S_1$ . After multiplying that system with  $-1$  we obtain:

$$192 \quad (3.12) \quad \underbrace{\begin{bmatrix} \bar{E} & I \\ I & \bar{H}^\top \bar{K}^{-1} \bar{H} \end{bmatrix}}_{S_1} \begin{bmatrix} a^{(2)} \\ a^{(3)} \end{bmatrix} = \begin{bmatrix} 0 \\ \bar{H}^\top \bar{K}^{-1} f \end{bmatrix},$$

193 in which

$$194 \quad (3.13) \quad \bar{K}^{-1} = \begin{bmatrix} K_0^{-1} & \\ & I_d \end{bmatrix}.$$

195 Note that the matrix  $S_1$  is symmetric. Equation 3.12 can be further reduced with a  
196 second Schur complement using the  $(1,2)$ -block of  $S_1$  as the block pivot:

$$197 \quad (3.14) \quad \underbrace{(I - \bar{H}^\top \bar{K}^{-1} \bar{H} \bar{E})}_{S_2} a^{(2)} = \bar{H}^\top \bar{K}^{-1} f.$$

198 Note that the matrix  $S_2$  is not symmetric. If  $f$  only differs from  $f_0$  at the newly added  
199 vertices, i.e.,

$$200 \quad (3.15) \quad f - \begin{bmatrix} f_0 \\ 0_d \end{bmatrix} = \begin{bmatrix} 0_n \\ \bar{f} \end{bmatrix},$$

201 then the right-hand-side vector of Equation 3.14 can be simplified to

$$202 \quad (3.16) \quad \bar{H}^\top \bar{K}^{-1} f = \begin{bmatrix} H^\top a_0 \\ \bar{f} \end{bmatrix},$$

203 where  $a_0$  is the solution to the original system 3.2 and  $\bar{f}$  is the force applied to the  $d$   
204 newly added vertices.

205 After solving Equation 3.14 for  $a^{(2)}$  using a direct solver, we can solve for  $a$   
206 in the modified system 3.3 directly using the following observation. Premultiplying  
207 Equation 3.8 by  $\bar{K}^{-1}$  and rearranging the terms yields

$$208 \quad (3.17) \quad a^{(1)} = \bar{K}^{-1} f + (\bar{K}^{-1} \bar{H} \bar{E} - \bar{H}) a^{(2)}.$$

209 Again, if  $f$  satisfies the condition of Equation 3.15, Equation 3.17 can be simplified  
210 to

$$211 \quad (3.18) \quad a^{(1)} = \begin{bmatrix} a_0 \\ \bar{f} \end{bmatrix} + (\bar{K}^{-1} \bar{H} \bar{E} - \bar{H}) a^{(2)}.$$

212 Substituting Equation 3.18 into Equation 3.5 yields

$$213 \quad (3.19) \quad a = \begin{bmatrix} a_0 \\ \bar{f} \end{bmatrix} + \bar{K}^{-1} \bar{H} \bar{E} a^{(2)},$$

214 thus completing the solution.

215 An alternative Schur complement formulation is possible. One can use the  $(2, 1)$ -  
216 block in Equation 3.12 as the block pivot for the Schur complement and get

$$217 \quad (\bar{E} \bar{H}^\top \bar{K}^{-1} \bar{H} - I) a^{(3)} = \bar{E} \bar{H}^\top \bar{K}^{-1} f.$$

$$218 \quad (3.20) \quad = \begin{bmatrix} 0 \\ \bar{f} \end{bmatrix} + \begin{bmatrix} E_{11} \\ E_{12}^\top \end{bmatrix} H^\top a_0,$$

219

220 assuming that the condition in Equation 3.15 is satisfied. Again the coefficient matrix  
221 is not symmetric. After solving for  $a^{(3)}$  using Equation 3.20, the solution  $a$  can be  
222 obtained as follows:

$$223 \quad (3.21) \quad a = \begin{bmatrix} a_0 \\ \bar{f} \end{bmatrix} - \bar{K}^{-1} \bar{H} a^{(3)}.$$

224 **3.1. Improving numerical accuracy.** We can improve the numerical accuracy  
225 of the solutions by avoiding numerical errors as follows. From the third row block of  
226 Equation 3.11, we have

$$227 \quad (3.22) \quad \bar{H}^\top a^{(1)} = 0.$$

228 Premultiplying Equation 3.5 by  $\bar{H}^\top$  yields

$$229 \quad (3.23) \quad \bar{H}^\top a = \bar{H}^\top a^{(1)} + \bar{H}^\top \bar{H} a^{(2)} = a^{(2)}.$$

230 Note that the components of  $a$  picked out by  $\bar{H}^\top$  correspond to  $a^{(2)}$ , which are arith-  
 231 metically identical to the same components computed using Equation 3.19 but with  
 232 higher accuracy. If we denote  $\mathcal{H}$  as the set of indices for which the rows and columns  
 233 of  $K_0$  are updated including the newly added ones, combining the two equations, we  
 234 have

$$235 \quad (3.24) \quad a[i] = \begin{cases} (\bar{H}a^{(2)})[i] & \text{for } i \in \mathcal{H}, \\ \left( \begin{bmatrix} a_0 \\ \bar{f} \end{bmatrix} + \bar{K}^{-1}\bar{H}\bar{E}a^{(2)} \right)[i] & \text{for } i \notin \mathcal{H}. \end{cases}$$

236 Skipping the computations of those components in  $a$  that are in  $\mathcal{H}$  also improves the  
 237 performance of the algorithm.

238 **3.2. Computing the Schur Complement Matrix.** Our augmented algo-  
 239 rithm involves solving Equations 3.14 and 3.24. Unlike [24] both equations are solved  
 240 using a direct solver. The Schur complement matrix  $S_2$  in Equation 3.14 can be  
 241 expressed in block matrix form using Equations 3.4, 3.13 and 3.16 to obtain

$$242 \quad (3.25) \quad \left( \begin{bmatrix} I_m & \\ & 0_d \end{bmatrix} - \begin{bmatrix} H^\top K_0^{-1} H & \\ & I_d \end{bmatrix} E \right) a^{(2)} = \begin{bmatrix} H^\top a_0 \\ \bar{f} \end{bmatrix}.$$

243 Solving Equation 3.25 involves computing the principal submatrix of the inverse  
 244  $H^\top K_0^{-1} H$ . Assuming that  $K_0 = L_0 D_0 L_0^\top$  is a factorization of  $K$ , we have  
 245  $H^\top K_0^{-1} H = H^\top L_0^{-\top} D_0^{-1} L_0^{-1} H$ . If we denote  $V \equiv L_0^{-1} H$ , then  $H^\top K_0^{-1} H =$   
 246  $V^\top D^{-1} V$ , which can be computed by first solving for  $V$  using forward substitu-  
 247 tion, then scaling  $V$  to obtain  $U \equiv D_0^{-1} V$  and finally premultiplying  $U$  by  $V^\top$ . The  
 248 computation of the rest of the matrix in Equation 3.25 is straightforward.

249 **3.3. Memoization.** For an efficient computation of the principal submatrix of  
 250 the inverse  $H_t^\top K_0^{-1} H_t$  at step  $t$ , we observe that since the vertices removed during  
 251 the cutting are accumulating and  $H$  is the submatrix of the identity corresponding to  
 252 the replaced rows and columns in  $K_0$ , the matrix  $H_{t-1}$  at the previous step  $t-1$  is a  
 253 submatrix of the first  $m_{t-1}$  columns of matrix  $H_t$  at step  $t$ , i.e.,

$$254 \quad (3.26) \quad H_t = [ H_{t-1} \mid H_{\Delta t} ],$$

255 where  $H_{\Delta t}$  is the  $(m_t - m_{t-1})$  columns of the identity matrix corresponding to the  
 256 newly removed columns at step  $t$ . Consequently, the matrix  $V_{t-1}$  is also the first  $m_{t-1}$   
 257 columns of  $V_t$  since each column of  $V_t$  is independently solved, i.e.,

$$258 \quad (3.27) \quad V_t = [ V_{t-1} \mid V_{\Delta t} ],$$

259 where  $V_{\Delta t} = L_0^{-1} H_{\Delta t}$ , which are the only columns of  $V_t$  that need to be computed.  
 260 Furthermore, the top-left  $(m_{t-1} \times m_{t-1})$  submatrix of  $H_t^\top K_0^{-1} H_t$  is identical to

261  $H_{t-1}^\top K_0^{-1} H_{t-1}$  because

$$\begin{aligned}
 262 \quad H_t^\top K_0^{-1} H_t &= V_t^\top D_0^{-1} V_t = \begin{bmatrix} V_{t-1}^\top \\ V_{\Delta t}^\top \end{bmatrix} D_0^{-1} [ V_{t-1} \mid V_{\Delta t} ] \\
 263 \quad &= \begin{bmatrix} V_{t-1}^\top D_0^{-1} V_{t-1} & V_{t-1}^\top D_0^{-1} V_{\Delta t} \\ V_{\Delta t}^\top D_0^{-1} V_{t-1} & V_{\Delta t}^\top D_0^{-1} V_{\Delta t} \end{bmatrix} \\
 264 \quad (3.28) \quad &= \begin{bmatrix} H_{t-1}^\top K_0^{-1} H_{t-1} & V_{t-1}^\top D_0^{-1} V_{\Delta t} \\ V_{\Delta t}^\top D_0^{-1} V_{t-1} & V_{\Delta t}^\top D_0^{-1} V_{\Delta t} \end{bmatrix}. \\
 265
 \end{aligned}$$

266 Furthermore, it can be observed from Equation 3.28 that  $H_t^\top K_0^{-1} H_t$  is also symmet-  
 267 ric and only the lower or upper triangular part needs to be computed and stored,  
 268 and subsequent updates can be done sequentially by trapezoidal augmentations to  
 269  $\text{tril}(H_{t-1}^\top K_0^{-1} H_{t-1})$ :

$$\begin{aligned}
 270 \quad (3.29) \quad \text{tril}(H_t^\top K_0^{-1} H_t) &= \begin{array}{c} \left. \begin{array}{c} \text{tril}(H_{t-1}^\top K_0^{-1} H_{t-1}) \\ \text{tril}(H_{\Delta t}^\top K_0^{-1} H_t) \end{array} \right\} \begin{array}{l} m_{t-1} \\ m_t - m_{t-1} \end{array} \end{array}, \\
 \end{aligned}$$

271 where  $\text{tril}(\bullet)$  is the lower triangular part of the matrix and the augmentation part,  
 272  $\text{tril}(H_{\Delta t}^\top K_0^{-1} H_t)$ , can be computed as

$$\begin{aligned}
 274 \quad (3.30) \quad \text{tril}(H_{\Delta t}^\top K_0^{-1} H_t)[i, j] &= (V_{\Delta t}[i, *])^\top D_0^{-1} (V_t[j, *]) \\
 275 \quad &\text{for } i \in [m_{t-1} + 1, m_t]; j \in [1, i]. \\
 276
 \end{aligned}$$

277 It is obvious that Equation 3.30 can be computed in parallel for all  $i$ 's and  $j$ 's since  
 278 they are independent of each other.

279 **3.4. Dimension Shrinking.** In the case of the imposition of Dirichlet boundary  
 280 conditions, the dimension of the system is shrunk instead of expanded, unlike the case  
 281 of cutting. The authors in [24] have shown that an augmented matrix system similar  
 282 to Equation 3.11 is equivalent to the modified system of equations:

$$\begin{aligned}
 283 \quad (3.31) \quad \begin{bmatrix} K_0 & H \\ H^\top & 0 \end{bmatrix} \begin{bmatrix} a^{(1)} \\ a^{(2)} \end{bmatrix} &= \begin{bmatrix} f \\ 0 \end{bmatrix},
 \end{aligned}$$

284 where  $H$  is the matrix whose column  $j$  correspond to the indicator vector of the  $j$ -th  
 285 Dirichlet degree of freedom,  $a^{(2)} = -H^\top f_0$  is the newly unknown force and  $f$  is given  
 286 by

$$\begin{aligned}
 287 \quad (3.32) \quad f[i] &= \begin{cases} f_0[i] - \sum_{j \in \mathcal{H}} K_0[i, j] a_0[j] & j \notin \mathcal{H}, \\ - \sum_{j \in \mathcal{H}} K_0[i, j] a_0[j] & j \in \mathcal{H}. \end{cases}
 \end{aligned}$$



288 Similar to Equation 3.11, we can reduce Equation 3.31 to a smaller system using  $K_0$   
 289 as the pivot:

290 (3.33) 
$$H^\top K_0^{-1} H a^{(2)} = H^\top K_0^{-1} f.$$

291 Note that the matrix on the left-hand side is the principal submatrix of the inverse  
 292  $HK_0^{-1}H^\top$ , which can be efficiently computed as described in previous subsections.  
 293 The right-hand side can be computed using  $V \equiv L_0^{-1}H$  as

294 (3.34) 
$$H^\top K_0^{-1} f = V^\top \underbrace{D_0^{-1} L_0^{-1} f}_g.$$

295 After computing  $a^{(2)}$ ,  $a^{(1)}$  can be computed using the first row block of Equation 3.31  
 296 as

297 
$$\begin{aligned} a^{(1)} &= K_0^{-1} \left( f - H^\top a^{(2)} \right) \\ &= L_0^{-\top} D_0^{-1} L_0^{-1} \left( f - H^\top a^{(2)} \right) \\ &= L_0^{-\top} \left( g - D_0^{-1} V a^{(2)} \right), \end{aligned}$$

298  
 299 (3.35)  
 300

301 in which  $g$  is already computed in Equation 3.34 and can be reused.

302 **3.5. Complexity Analysis.** The time complexity of principal submatrix up-  
 303 dates using the symmetric augmented formulation can be summarized in Table 1.  
 304 Both per cut and total update times are provided. The one-time factorization costs  
 305 assume meshes with good separators for both 2D and 3D-meshes, of size  $O(n^{1/2})$  and  
 306  $O(n^{2/3})$ , respectively. In the table, variables with subscript  $t$  are the values at step  
 307  $t$ , those with subscript  $\Delta t$  are the newly added values at step  $t$ , whereas their hatted  
 308 counterparts are their maxima over all  $t$ . Recall that  $n$  is the size of the original  
 309 matrix  $K_0$ ,  $m$  is the size of the principal submatrix update  $H$ ,  $d$  is the dimension  
 310 change. In addition,  $\mathcal{H}$  is the set of indices of the nonzero rows of  $H$ ,  $|L_0|$  is the  
 311 number of nonzeros in  $L_0$ , and  $v = \max_j |V_{*,j}|$  is the maximum number of nonzeros  
 312 in any column of  $V$ , which is equivalent to the maximum closure size of any vertex in  
 313  $\mathcal{H}$  in the graph of  $G(L_0)$ . For a detailed discussion on the concepts of closure and the  
 314 relations between sparse matrix computations and its corresponding graph, we refer  
 315 the readers to [24]. The authors have also included there the theorems used to prove  
 316 the upper bounds of the complexity of the AMPS algorithms.

317 The overall time complexity of the algorithm is dominated by either Step 2 (com-  
 318 puting tril ( $H_{\Delta t}^\top K_0^{-1} H_t$ )) or Step 6 (solving for  $a$ ). The update steps in the AMPS  
 319 algorithm have an overall time complexity of

320 (3.36) 
$$O(\hat{m}^2 \hat{v} + c \cdot |L_0|).$$

321 Note that the second term of the time complexity comes from the final triangular  
 322 solve, which is common among all direct methods. Moreover, our algorithm only  
 323 requires one triangular solve, compared to two triangular solves in a typical direct  
 324 solver.

325 **3.6. Parallelization.** We can observe that Steps 1–4 in the update steps in  
 326 Table 1 are easily parallelizable from the facts that in Step 1 each columns of  $V_{\Delta t}$  are  
 327 independently solved, both Steps 2 and 3 involve matrix-matrix multiplications, and

Computation		Complexity
Amortized initialization:		
1	Compute LDL <sup>⊤</sup> factorization of $K_0$	$O(n^2)$ for 3D meshes; $O(n^{3/2})$ for 2D meshes
2	Compute $a_0 = K_0^{-1} f_0$	$O( L_0 )$
Real-time update steps:		
	per step	total
1	Solve for $V_{\Delta t}$	$O(\sum_{h \in \mathcal{H}_{\Delta t}} \text{closure}_{L_0}(h))$
2	Compute $\text{tril}(H_{\Delta t}^\top K_0^{-1} H_t)$	$O(\sum_{h \in \hat{\mathcal{H}}} \text{closure}_{L_0}(h))$ $O(\hat{m}^2 \hat{v})$
3	Form $S_2$	$O(m_t^2(m_t + d_t) + m_t)$
4	Form R.H.S. of Equation 3.16	$O(m_t)$
5	Solve for $a^{(2)}$ in Equation 3.14	$O(\hat{m}^2(\hat{m} + \hat{d}))$ $O(\hat{m})$
6	Solve for $a$ in Equation 3.24	$O(c \cdot (\hat{m} + \hat{d})^3)$ $O(c \cdot (\hat{m} \hat{v} +  L_0 ))$

TABLE 1  
Summary of the algorithm and its time complexity

328 in Step 4 the R.H.S. of Equation 3.16 is formed by mapping. The parallelization of  
 329 Step 5 and 6 is non-trivial, which is out of the scope of this paper. The parallel time  
 330 complexity of the update steps in the algorithm for  $p$  processors is

$$331 \quad (3.37) \quad O\left(\frac{\hat{m}^2 \hat{v}}{p} + c \cdot |L_0|\right).$$

332 **3.7. Relation to previous augmented formulation.** In earlier work [24] we  
 333 have presented a hybrid unsymmetric augmented algorithm to perform surgical sim-  
 334 ulations using finite element models. In this earlier formulation, the system was  
 335 augmented in an unsymmetric manner:

$$336 \quad (3.38) \quad \begin{bmatrix} \bar{K} & J \\ \bar{H}^\top & 0 \end{bmatrix} \begin{bmatrix} a^{(1)} \\ a^{(2)} \end{bmatrix} = \begin{bmatrix} f \\ 0 \end{bmatrix},$$

337 where  $J$  consists of the  $(m + d)$  columns of  $K$  to replace the corresponding columns  
 338 of  $\bar{K}$ . Note that we use  $\bar{H}^\top$  here for matrices with more columns than rows instead.  
 339 Equation 3.38 was then split into two parts to solve for  $a^{(1)}$  and  $a^{(2)}$  respectively:

$$340 \quad (3.39a) \quad \bar{H}^\top \bar{K}^{-1} J a^{(2)} = \bar{H}^\top \bar{K}^{-1} f \quad \text{and}$$

$$341 \quad (3.39b) \quad a^{(1)} = \bar{K}^{-1} (f - J a^{(2)}),$$

343 in which the first equation is solved by using GMRES whereas the second one is solved  
 344 using a direct solver.

345 Since  $J$  is a submatrix of  $K$ , it can be expressed in terms of  $K$  as

$$346 \quad (3.40) \quad J = K \bar{H}.$$

347 Substituting Equation 3.4 into Equation 3.40 yields

$$\begin{aligned}
 348 \quad J &= (\bar{K} - \bar{H}\bar{E}\bar{H}^\top) \bar{H} \\
 349 \quad (3.41) \quad &= \bar{K}\bar{H} - \bar{H}\bar{E}.
 \end{aligned}$$

351 Substituting Equation 3.41 into Equations 3.39a and 3.39b yields

$$352 \quad (3.42a) \quad (I - \bar{H}^\top \bar{K}^{-1} \bar{H} \bar{E}) a^{(2)} = \bar{H}^\top \bar{K}^{-1} f \quad \text{and}$$

$$353 \quad (3.42b) \quad a^{(1)} = \bar{K}^{-1} f - \bar{H} a^{(2)} + \bar{K}^{-1} \bar{H} \bar{E} a^{(2)},$$

355 in which the first equation is identical to Equation 3.14 and the second equation is  
 356 identical to Equation 3.17. Hence the two augmented formulations are mathematically  
 357 equivalent.

358 **4. Results.** The augmented matrix method for principal submatrix updates was  
 359 evaluated through finite element cutting experiments with five model types. This  
 360 section provides relevant implementation details and presents experimental data. We  
 361 compare the performances of the following three approaches:

- 362 • AMPS algorithm presented in Section 3;
- 363 • Unsymmetric augmented matrix methods presented in [24] using a GMRES  
 364 iterative solver, without preconditioning, and with two kinds of precondition-  
 365 ers: sparse approximate inverse (SPAI) and the diagonal matrix  $D_0$  from the  
 366 initial LDL<sup>⊤</sup> factorization of the initial stiffness matrix; and
- 367 • Jacobi preconditioned or nonpreconditioned conjugate gradient (CG) itera-  
 368 tive solver applied on  $Ka = f$ .

369 For the latter two approaches, only the best performing versions are included in the  
 370 figures.

371 **4.1. Implementation.** All experiments were conducted on a compute node with  
 372 two 16-core Intel Xeon Processors E5-2698 v3 (“Haswell”) at 2.3 GHz, and each core  
 373 equipped with 64 KB L1 cache (32 KB instruction cache, 32 KB data cache) and 256  
 374 KB L2 cache; as well as a 40-MB shared L3 cache per socket. In addition, there are  
 375 128 GB DDR4 2133 MHz memory. All data represent times averaged over 20 runs  
 376 unless overall time exceeds 30 minutes, in which case we averaged over 10 runs.

377 The precomputed LDL<sup>⊤</sup> factorizations of the stiffness matrices were computed  
 378 using OBLIO, a sparse direct solver library [7]. All other basic linear algebra sub-  
 379 routines including matrix-vector products, dense matrix factorization and solves, as  
 380 well as the GMRES iterative solver used in the unsymmetric augmented matrix meth-  
 381 ods and the CG solver used for comparison purposes were from the Intel Math Kernel  
 382 Library (MKL) [11]. The remainder of the code, including the computation of the clo-  
 383 sure in  $K_0$  induced by  $H_{\Delta t}$ , the matrices  $V_{\Delta t}$  and tril( $H_{\Delta t} K_0^{-1} H_t$ ) in Equation 3.30,  
 384 and the overall algorithm, was written by the authors in C++.

385 Since the closure of a set of indices in the graph of a triangular matrix can be found  
 386 effectively column by column, and OBLIO uses supernodes in matrix factorization, the  
 387 matrices  $K_0$ ,  $L_0$  and  $V$  were stored in compressed sparse column matrix (CSC) format  
 388 for efficient column access. The diagonal matrix  $D_0$  is stored in a vector of size  $n$ . The  
 389 principal submatrix update  $E$ , the principal submatrix of the inverse  $H^\top K_0^{-1} H$  and  
 390 the Schur complement  $S_2$  were stored in dense matrix format for fast computations.  
 391 The matrix  $H$  and its transpose were represented as an array of indices and their  
 392 multiplications with other matrices were done by index mappings. All vectors were  
 393 stored in dense format.

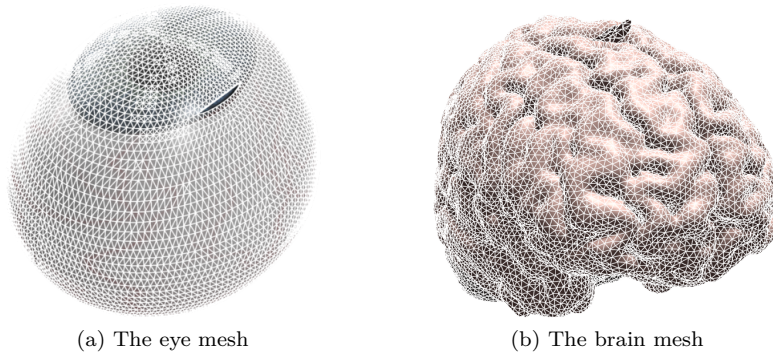


FIG. 2. Renderings of (a) the eye mesh and (b) the brain mesh

Mesh	$ V $	Estimated condition number	Factorization time (s)
Beam	100 – 25,600	$1.14 \times 10^3 - 3.29 \times 10^{12}$	0.02 – 1.62
Brick	250 – 18,081	$2.19 \times 10^3 - 1.18 \times 10^5$	0.1 – 5.42
Eye	17,821	$7.73 \times 10^6$	1.6
Brain	50,737	failed to estimate	7.77

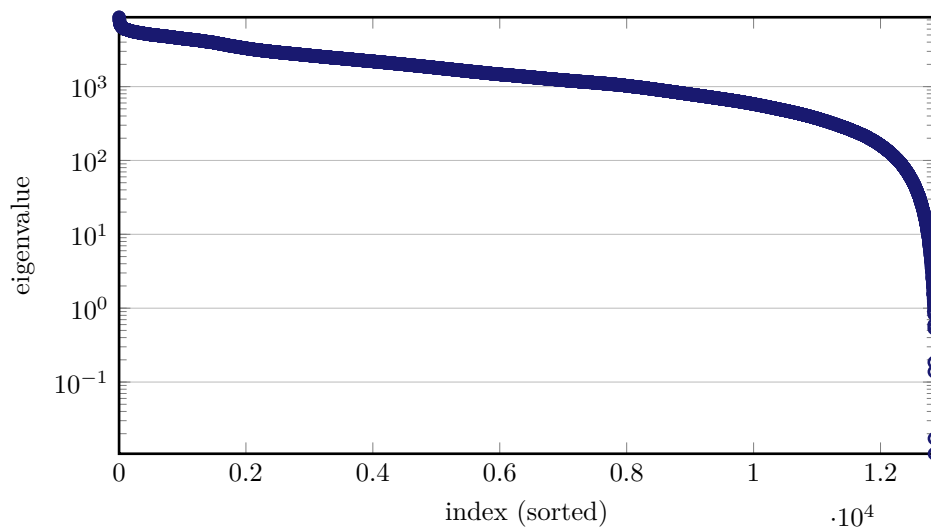
TABLE 2  
Numerical properties of the meshes.

FIG. 3. Eigenspectrum of the eye mesh of 4,444 nodes.

394 **4.2. Model Meshes.** Four types of solid tetrahedral meshes were used for per-  
 395 formance evaluation. Table 2 lists for each mesh its number of vertices, the estimated  
 396 condition number computed using Matlab's `condest` function, and the factorization  
 397 times computed using OBLIO. Since the models are 3-dimensional, the total degrees  
 398 of freedom (DOFs) in each system are 3 times the number of vertices minus the DOFs  
 399 constrained by the Dirichlet boundary conditions  $\mathcal{D}$ , which is also the dimension of

400 the matrix, i.e.,  $n = 3|V| - |\mathcal{D}|$ .

- 401 1. *Elongated Beam*: A group of five elongated rectangular solids with varying  
402 lengths were generated. Nodes were placed at regularly spaced grid points on  
403 a  $5 \times 5 \times h$  grid, where  $h$  ranged from 4 to 1024. Each block mesh was anchored  
404 at one end of the solid. All elements had good aspect ratios and were arranged  
405 in a regular pattern. However, models with greater degrees of elongation  
406 produced more poorly conditioned systems of equations, as fixation at only  
407 one end meant that longer structures were less stable. Thus, experiments  
408 with this group of meshes illuminates the way solver performance varies with  
409 stiffness matrix conditioning.
- 410 2. *Brick*: A group of five rectangular brick solids with varying mesh resolutions  
411 were generated. Each of the models had the same compact physical dimension  
412 of  $1 \times 1 \times 2$ . An initial good-quality mesh was uniformly subdivided to produce  
413 meshes of increasingly fine resolution. These meshes allowed us to examine  
414 solver performance relative to node count for fixed model geometry. Similar  
415 to the beam meshes, zero-displacement boundary conditions were applied to  
416 one face of the block.
- 417 3. *Eye*: A human eye model [4] with a clear corneal cataract incision was used in  
418 a simulation of corrective surgery for astigmatism. Zero displacement bound-  
419 ary conditions were applied to the posterior portion of the globe. Figure 3  
420 shows the eigenspectrum of an eye mesh of 4,444 nodes, a downsampled mesh  
421 of the eye model. As it can be seen, the model has a wide range of eigenvalues,  
422 and hence a large condition number.
- 423 4. *Brain*: A human brain model (contributed by INRIA to the AIM@SHAPE  
424 Shape Repository) was used to demonstrate applicability to surgical simu-  
425 lation on an organ of complicated structure. Zero displacement boundary  
426 conditions were applied to the interior portion of the brain. The condition  
427 number could not be estimated with Matlab due to insufficient memory.

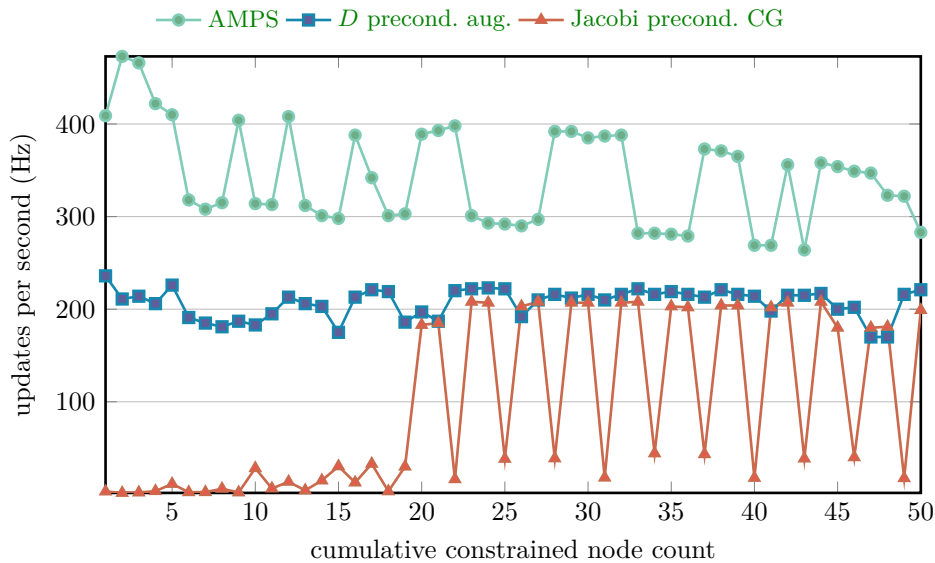
428 The eye and the brain mesh renderings are shown in Figures 2 and the renderings of  
429 other meshes can be found in [24].

430 On average, the nodes in the brick meshes have a higher degree of connectivity  
431 than those in the elongated beam meshes. This is due to a greater proportion of  
432 surface nodes present in the beam models versus interior nodes in the brick models.  
433 The increased connectivity leads to a higher percentage of nonzeros in the stiffness  
434 matrix factors and larger sizes for the closures referenced in Table 1. These differences  
435 have a significant impact on the relative performance of the solution methods.

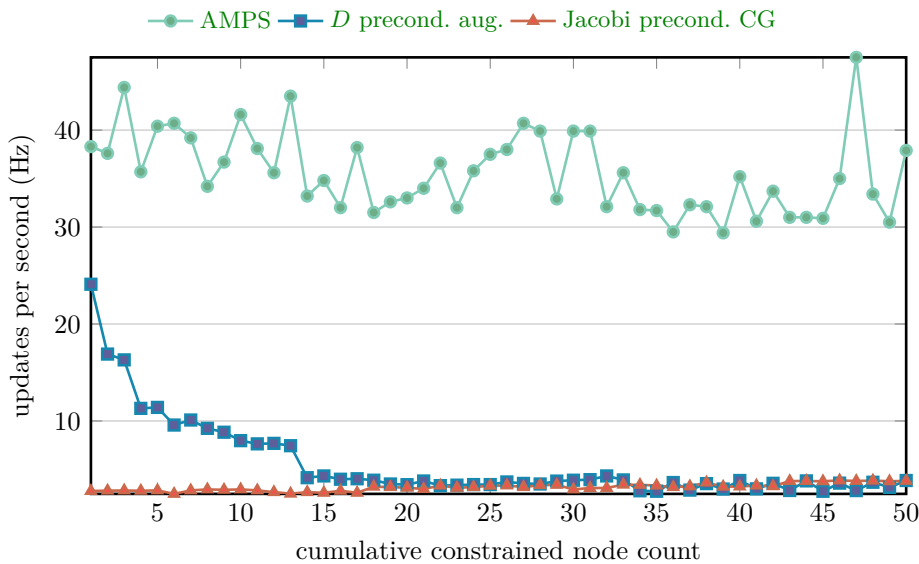
436 **4.3. Experiments.** Performance was examined through two types of experi-  
437 ments: deformation of intact meshes through changes in boundary conditions, and  
438 deformation of meshes undergoing cutting.

439 **4.3.1. Deformation of Intact Meshes.** In this group of experiments, we ap-  
440 plied an increasing number of non-zero essential boundary conditions to mesh nodes  
441 to create deformation. Figure. 4 shows how solution time varied with the number of  
442 constrained nodes for instances of the beam and brick meshes.

443 For the beam mesh, AMPS outperformed the unsymmetric augmented matrix  
444 method by a factor of 1.65 and the CG method by 3.63, while maintaining a high av-  
445 erage update rate of 343 Hz (updates/sec) throughout. The unsymmetric augmented  
446 matrix method came second, maintaining update rates around 200 Hz. CG performed  
447 the worst, providing updates in the range of 1.6–33 Hz for the first 19 cutting steps,  
448 and experienced a zig-zag pattern afterwards caused by the connectivity pattern of



(a) Deformation of Beam Mesh: 6,400 Nodes

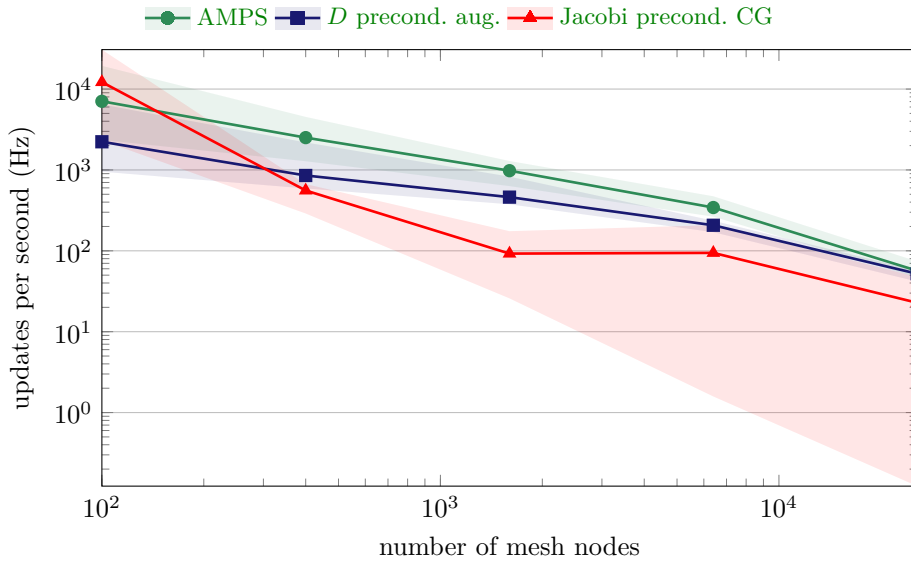


(b) Deformation of Brick Meshes: 9,537 Nodes

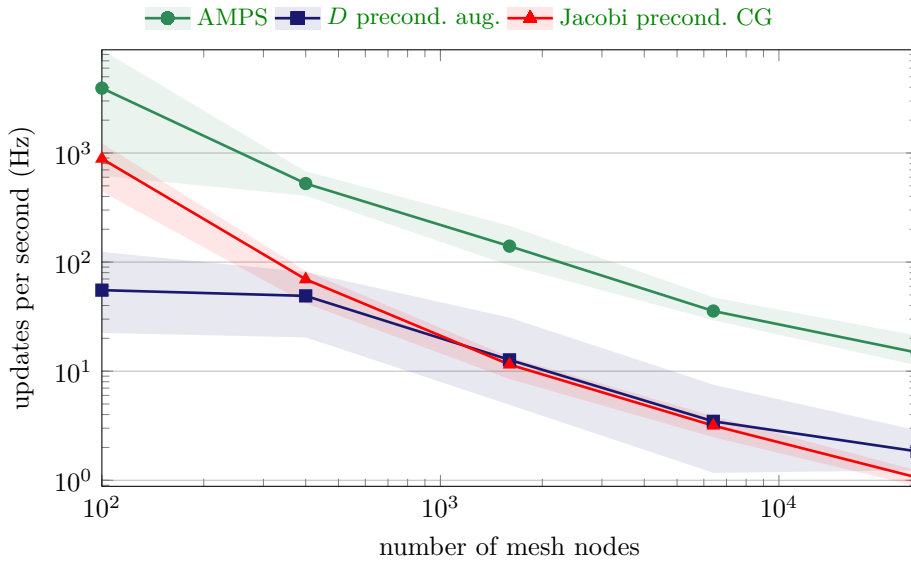
FIG. 4. Deformation update rates are shown for AMPS, the preconditioned augmented and CG methods as constraints are progressively added to an increasing number of nodes in (a) beam and (b) brick meshes.

449 nodes in the tetrahedral brick mesh as explained in [24]. This pattern also appeared  
 450 in the results of the cutting experiments of the beam and brick meshes, as well as the  
 451 eye mesh as they have a structural pattern in the ellipsoidal shapes.

452 For brick meshes, AMPS vastly outperformed the unsymmetric augmented ma-  
 453 trix method by a factor of 6.37, and the CG method by 11.2. AMPS maintained



(a) Deformation of Beam Meshes



(b) Deformation of Brick Meshes

FIG. 5. Average update rates and ranges are shown for the deformation experiments of the series of (a) beam and (b) brick meshes. AMPS results are shown in green, SPAI preconditioned augmented method results are shown in blue, and Jacobi preconditioned CG results are shown in red.

454 relatively stable average update rates at 35.6 Hz. The unsymmetric augmented ma-  
 455 trix method outperformed CG as constraints were applied to the first dozen,  
 456 but performance degrades as the number of constrained nodes increased, eventually  
 457 resulting in similar update rates between the augmented method and CG. Overall,  
 458 the unsymmetric augmented matrix method achieved an average update rate of 5.59



459 Hz while the preconditioned CG method only had an average update rate of 3.17 Hz.

460 Figure 5 is a log-log plot that shows how solution times varied for different sizes  
 461 of beam and brick meshes. The lines show the trend of the average times for various  
 462 methods and the shaded areas are the ranges of the solution times. These graphs  
 463 show that AMPS ran faster than both the augmented and CG methods for the beam  
 464 meshes except for the very smallest instance that had only 100 nodes. It can also  
 465 be observed that CG has the largest ranges among all methods especially for the  
 466 larger beam meshes. This means that the CG solution times increased a lot while  
 467 the deformation progressed. For the brick meshes, AMPS also outperformed both  
 468 the augmented and CG methods with smaller solution time ranges than the other  
 469 methods.

470 **4.3.2. Deformation of Meshes Undergoing Cutting.** In this group of exper-  
 471 iments we made an advancing planar cut into the volume of each mesh. As a  
 472 cut progressed, a copy of each node along the cut path was added to the mesh, and  
 473 connectivity was modified so that elements on opposite sides of the cut became sep-  
 474 arated. The newly added node causes the linear system to increase in dimension,  
 475 and the remeshing associated with the duplicated node and all its neighboring nodes  
 476 results in a principal submatrix update to the stiffness matrix. In the results, the cut  
 477 node count corresponds to the number of duplicated nodes resulting from the cut.  
 478 Opposing force vectors were applied to selected surface nodes to pull the cut faces  
 479 apart. Figure 2 shows the the eye mesh at the initial stages of cutting.

480 While the other methods behaved differently for the cutting and deformation  
 481 experiments for the beam and brick meshes, AMPS performed similarly in the two  
 482 experiments as shown in Figure 6a compared to Figure 4. AMPS outperformed the  
 483 nonpreconditioned unsymmetric augmented matrix method by a factor of 6.06, and  
 484 Jacobi preconditioned CG method by 216 in the beam cutting experiments, providing  
 485 updates in the range 167–479 Hz. The unsymmetric augmented method provided  
 486 0.83–209 Hz whereas preconditioned CG needed more than 1 second for most of the  
 487 cutting steps except for the first one, and failed to converge to any solution after  
 488 the 18th step.  $D$  preconditioned and SPAI preconditioned variants ran 15.6 and  
 489 12.5 times slower than AMPS respectively. On the other hand, AMPS performed on  
 490 par with CG for the brick mesh cutting experiment, providing 52.2 Hz and 44.8 Hz  
 491 update rates; while the unsymmetric augmented matrix method underperformed for  
 492 this mesh, providing only an average of 12.5 Hz update rate, as shown in Figure 6b.  
 493 The  $D$  preconditioned and SPAI preconditioned variants ran 11.4 and 13.1 times  
 494 slower than AMPS for the cutting of the brick mesh.

495 Figure 8 shows the breakdown of the solution times for individual steps of the  
 496 AMPS algorithm for the brain mesh of 50,737 nodes. The most computational expen-  
 497 sive step was the triangular solve for the final solution  $a$ , accounting for roughly 80%  
 498 of the time, followed by the computation of the principal submatrix of the inverse,  
 499 accounting for roughly 20% of the time. The remaining steps are less significant. The  
 500 valleys in the area plot are due to the fact that at some cuts no additional neighboring  
 501 vertices were included in  $\mathcal{H}_{\Delta t}$  and thus  $\text{tril}(H_{\Delta t}^T K_0^{-1} H_t)$  is empty and the principal  
 502 submatrix of the inverse of  $K_0$  need not be updated.

503 Results from the eye and brain mesh cutting experiments are shown in Figure 7.  
 504 Here we show that for the astigmatism surgical simulation experiment AMPS vastly  
 505 outperformed the  $D$  preconditioned unsymmetric augmented matrix method by a  
 506 factor of 10.8 and Jacobi preconditioned CG method by 12.2. For the brain model,  
 507 AMPS ran 10.2 times faster than the SPAI preconditioned unsymmetric augmented



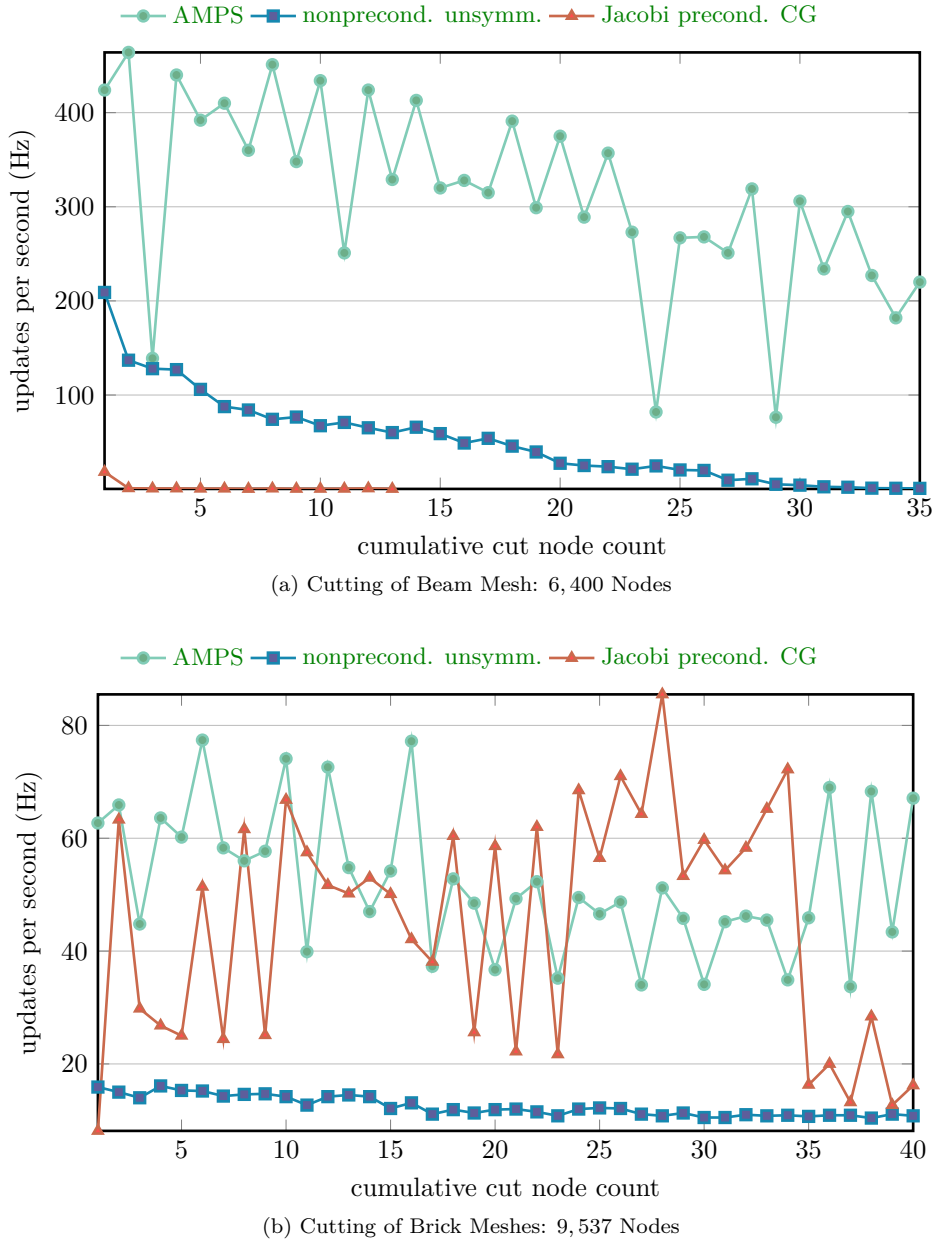
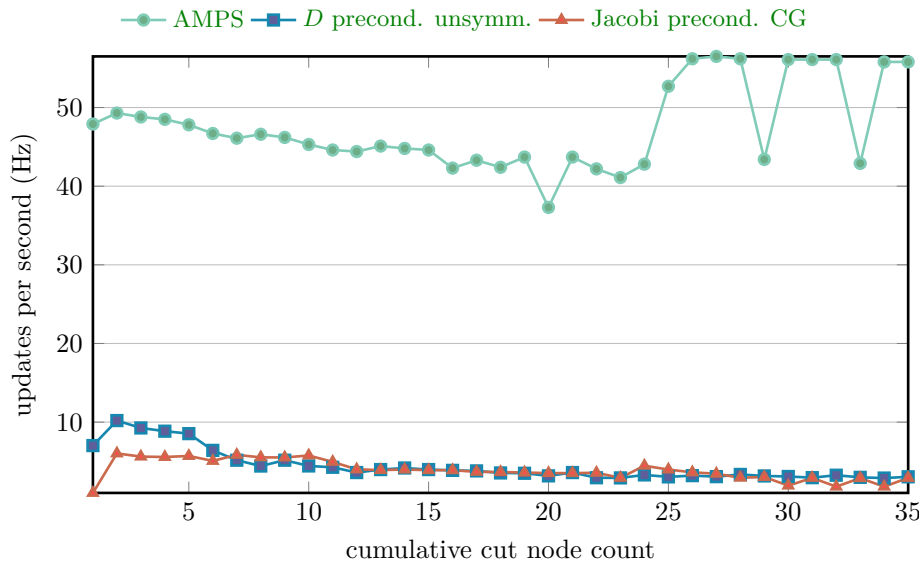


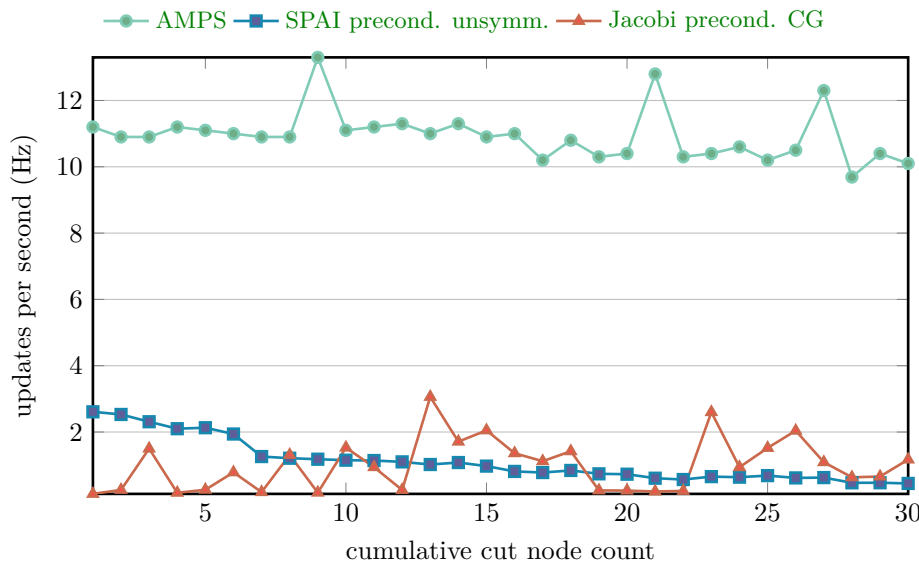
FIG. 6. Update rates are shown for AMPS, the preconditioned augmented and CG methods as a cut is advanced through (a) a beam mesh and (b) a brick mesh.

508 matrix method, 18.5 times faster than the  $D$  preconditioned variant, 11.5 times faster  
 509 than the nonpreconditioned variant, and 11.5 times faster than Jacobi preconditioned  
 510 CG method. The average update rates of 47.5 Hz and 11.4 Hz achieved by AMPS on  
 511 both the eye and brain meshes respectively make interactive stimulation feasible.

512 Figure 9 shows the brain mesh cutting experiments using AMPS on a single  
 513 core versus 32 cores. Speedups vary for different cuts due to the various numbers



(a) Astigmatism Surgical Simulation of Eye Mesh: 17,821 Nodes



(b) Cutting of Brain Meshes: 50,737 Nodes

FIG. 7. Timing results are provided for (a) the eye mesh of 17,821 nodes and (b) the brain mesh of 50,737 nodes.

514 of new neighboring nodes of the node being cut. For cuts that do not involve new  
 515 neighboring nodes, the single-core results are even better than those using 32 cores  
 516 due to the multi-core overheads. The geometric mean of the speedups is 1.58.

517 Since AMPS uses direct solver in both augmented part and the whole solutions,  
 518 the solution accuracy of AMPS is only affected by the rounding errors amplified by the  
 519 matrix condition number. Hence, AMPS not only provided faster update times than

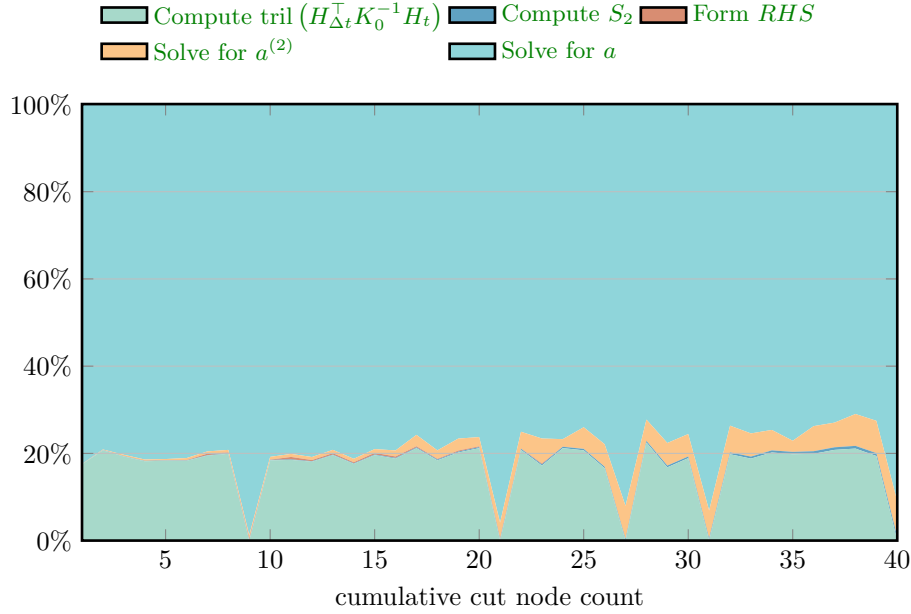


FIG. 8. The breakdown of computation time to steps of AMPS for the brain mesh of 50,737 nodes.

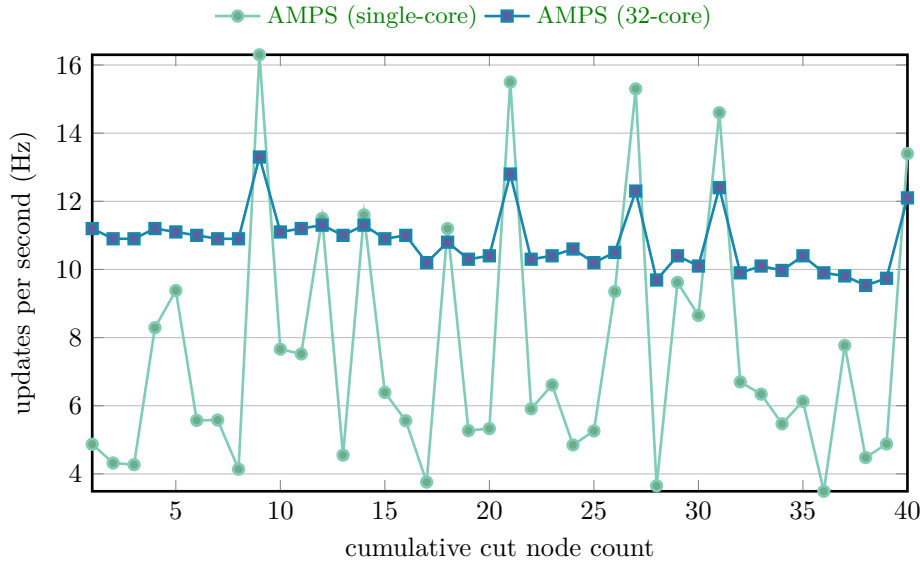


FIG. 9. Single-core and 32-core results are provided for the brain mesh of 50,737 nodes.

520 both the unsymmetric augmented matrix method and CG methods, but also higher  
 521 accuracy. Table 3 compares the relative residual norms of the computed solutions of  
 522 the tested methods. The absolute tolerances listed were set such that the computed  
 523 relative residual norms were less than  $10^{-3}$ . If lower tolerances were set, the number  
 524 of iterations and thus the solution time would increase. It can be observed that the

Mesh	$ V $	AMPS	SPAI precondition. unsymm. aug.	Jacobi precondition. CG
Beam	25,600	$7 \times 10^{-11}$	$1 \times 10^{-4}(10^{-4})$	failed to converge
Brick	18,081	$3 \times 10^{-14}$	$5 \times 10^{-5}(10^{-5})$	$5 \times 10^{-5}(10^{-8})$
Eye	17,821	$4 \times 10^{-14}$	$7 \times 10^{-4}(10^{-5})$	$1 \times 10^{-5}(10^{-7})$
Brain	50,737	$9 \times 10^{-14}$	$7 \times 10^{-5}(10^{-4})$	$1 \times 10^{-5}(10^{-5})$

TABLE 3

Comparison of relative residual norms ( $\|K\hat{a} - \hat{f}\|_2/\|\hat{f}\|_2$ ). Absolute tolerances for the iterative solvers are listed in parentheses.

solutions computed by AMPS are much more accurate than the others.

**5. Conclusions and Future Work.** When meshes are cut, new nodes and elements are inserted during the remeshing, and new boundary conditions are imposed. These changes result in principal submatrix updates to the stiffness system of equations, and we have demonstrated that the solutions of the modified systems can be computed in real-time with high accuracy even for large meshes. Our new AMPS algorithm has outperformed an earlier unsymmetric augmented method and CG in almost every deformation and cutting experiment, often by a factor of ten or more. We have also observed that unlike the unsymmetric augmented method, for most meshes, the update rates of AMPS do not deteriorate while the number of constrained nodes increases, or the cutting is being advanced in the meshes (Figure 7). These properties of AMPS are crucial for making real-time surgical simulation feasible as it requires accurate, fast and stable updates to the meshes. Refactorization would not be needed when AMPS is applied.

As we observed from the experimental results, the computation time for the augmentation is no longer the dominating factor of the total solution time for large meshes. More time was spent on the triangular solves in the solution. Hence, in the future one could incorporate the parallelization of the triangular solves into the AMPS algorithm. For more complicated and larger meshes, GPU and distributed parallelism could also be explored.

The surgical simulations community has found the linear elastic model to be useful for biomechanical modeling when deformations are small and limited forces are applied, although linear elasticity does not adequately model organs and tissue types under heavier loading scenarios. Nonlinear models are not considered in this article, but could be investigated in the future for a broader range of surgical simulation problems, since there is evidence that viscoelastic and hyperelastic material models are often appropriate for modeling soft tissues [9, 13, 17].

552

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