Resolving rapidly chirped external fields with Dirac vacuum

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We study the dynamical response of the Dirac vacuum state to a very strong time-dependent electric field pulse, whose frequency is chirped in time. The resulting field-induced electron-positron pair-creation process can be used to examine various proposals for time-dependent frequency spectra of the external field. It turns out that the Dirac vacuum can be used as sensitive probe that can respond to the instantaneous values of the frequency at each moment of time by producing electrons with a characteristic energy. This almost instantaneous response feature of the vacuum state permits us to introduce a generalized rate equation. It is based on the concept of a time-dependent decay rate and can provide semianalytical solutions to predict the number of created electron-positron pairs during the interaction with arbitrarily chirped electric field pulses.

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I. INTRODUCTION

To examine the nonlinear response of dynamical systems 19 to an external time-dependent field E(t) from a spectral 20 perspective can be very advantageous, especially if the ex-21 citation field is monochromatic and the resulting process 22 is stationary [1]. The traditional spectrum associated with 23 E(t) is usually given here by the Fourier transformation 24 as $S_T(\omega) \equiv |\int_{-\infty}^{\infty} d\tau \exp(-i\omega\tau) E(\tau)|^2$, where the required 25 time integration covers the complete historical record of 26 the field and therefore contains information about the entire 27 pulse. It is obvious that the future behavior of E(t) can-28 not affect the dynamical response at an earlier time even 29 though it enters the calculation in the Fourier transform. This 30 paradox becomes especially apparent if the signal field is 31 nonmonochromatic and changes its instantaneous frequency 32 during the interaction. A system cannot experience a particu-33 lar frequency that is provided by the signal in the future. 34

In order to better describe these temporal changes in the 35 36 frequency, various ideas have been proposed in the literature to introduce the so-called *time-dependent* spectra. An earlier 37 proposal [2,3] dates back to the 1950s, when Page (and 38 later Lampard) introduced the so-called instantaneous power 39 spectrum. It captures only those spectral features that are 40 associated with the history of the applied signal up to a certain 41 time t, described by $\int_{-\infty}^{t} d\tau \exp(-i\omega\tau) E(\tau)$. Here the upper 42 integration limit is given by t and not by ∞ . In order to em-43 phasize the instantaneous character, Page proposed to define 44 an instantaneous power spectrum via the time derivative 45

$$S_{\rm PL}(\omega;t) \equiv d/dt \left| \int_{-\infty}^{t} d\tau \exp(-i\,\omega\,\tau) E(\tau) \right|^{2}.$$
 (1.1)

⁴⁶ Due to this derivative, $S_{PL}(\omega; t)$ can take negative values, ⁴⁷ which Page pointed out have to be there in order to partially ⁴⁸ compensate for unavoidable high frequencies associated with ⁴⁹ earlier times. This also guarantees that the total energy provided by E(t) up to any time, i.e., $\int_{-\infty}^{t} d\tau S_{PL}(\omega; \tau)$, is always positive for all frequencies.

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An alternative proposal termed "physical spectrum" was 52 introduced in 1977 by Eberly and Wodkiewicz [4]. They 53 considered in particular the measurement of light pulses and 54 defined their spectrum to be directly related to the counting 55 rate of the photoelectric detector after the light has been trans-56 mitted through a Fabry-Perot filter with a characteristic spec-57 tral transmission function. This filter introduces in addition 58 to the filter's resonance frequency ω also a finite bandwidth. 59 In the temporal domain, this filter, given by $H(t, \omega, \Gamma)$, re-60 stricts the signal and one obtains 61

$$S_{\rm EW}(\omega;t,\Gamma) \equiv \left| \int_{-\infty}^{\infty} d\tau \, H(\tau-t,\omega,\Gamma) E(\tau) \right|^2.$$
(1.2)

Using a moving time window is also inherent to the wellknown Gabor transformation [5], where the window function is a Gaussian, i.e.,

$$S_G(\omega; t, w) \equiv \left| \int_{-\infty}^{\infty} d\tau \exp(-i\omega\tau) \right|_{-\infty} \times \exp[-(\tau - t)^2/(2w^2)]E(\tau) \right|_{-\infty}^2.$$
(1.3)

It is invertible and can also provide information on how the phase content of E(t) can change in local sections of the signal as a function of time.

The dynamical significance of these four different definitions of spectra can be examined by their interaction with materials. In the quantum case, the spectrum can sometimes be mapped to the electron's kinetic energy distribution after the photoionization of atoms or molecules. Here the initial state is usually a single or a superposition of discrete energy states, which are then coupled to an energy range of the continuum states.

In this work, we consider a quantum field theoretical 76 system where the initial state is given by a fully occupied 77

continuum, which can then be coupled by the applied field to 78 a second manifold of continuum states. This situation can be 79 realized by the quantum vacuum state, which is represented 80 by the initially occupied Dirac sea states. We will examine 81 if this vacuum can also act as an agent that can map the 82 time-dependent spectral features of the applied pulse to the 83 energies of the created electron-positron pairs. While neither 84 the Schwinger effect [6] nor the two-photon and multiphoton 85 Breit-Wheeler effect [7] have been observed directly in an 86 experiment [8] yet (without any prior presence of electrons), 87 the possibility to create electron-positron pairs from the 88 vacuum is one of the most striking predictions of quantum 89 electrodynamics. Due to recent progress in the development 90 of high-intensity laser systems, the research area of studying 91 appropriate electromagnetic field configurations to break 92 down the quantum vacuum has triggered some significant 93 interest [9,10]. 94

The main contribution of this work is threefold. First, we 95 will suggest that among the candidates for a time-dependent 96 spectrum, the proposal by Page seems to be in the pertur-97 bative regime most relevant to characterize the pair-creation 98 process as it provides a direct relationship to the observed 99 temporal features of the kinetic energy distribution of the 100 created positrons. Second, depending on the external field 101 frequency for quasimonochromatic excitations, we examine 102 different scaling domains of the final particle yield with the 103 field amplitude and construct simple analytical expressions 104 for the power law behavior as well as the transition between 105 the E_0^4 and E_0^2 scaling regimes, where E_0 is the amplitude 106 of the field. Finally, we subject the vacuum to a chirped laser 107 pulse [11–15] in both regimes and introduce a fully analytical 108 framework based on the concept of a (time-dependent) vac-109 uum decay rate. It is based on a first-order equation in time 110 that can predict the temporal growth of the total particle yield 111 for chirped force fields. 112

This article is structured as follows. In Sec. II we compare 113 the various definitions for the time-dependent frequency spec-114 tra for a concrete example of chirped pulse of finite duration. 115 We suggest that the Page-Lampard spectrum plays a key role 116 for the pair-creation process in the perturbative regime. In 117 Sec. III we study the perturbative scaling of the final particle 118 yield and nonperturbative deviations for quasimonochromatic 119 fields. In Sec. IV we introduce a rate-based theory to analyt-120 ically predict the particle yield for chirped external fields. In 121 Sec. V we provide an outlook on open questions and future 122 challenges. 123

II. TIME-DEPENDENT ENERGY SPECTRA

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A. Spectral features

For the numerical studies in this work we have used an oscillatory electric field pulse of duration T, which is characterized by the turn-on and turn-off durations T_{on} and T_{off} , the maximum amplitude E_0 , an initial frequency ω_0 and a linear chirp parameter b. It is given by

$$E(t) = E_0 f(t) \sin[(\omega_0 + bt/T)t].$$
 (2.1)

As shown in Fig. 1(a), the temporal envelope f(t) is given by the three sections: the turn-on region f(t) = $\sin^2[\pi t/(2T_{on})]$ for $0 \le t \le T_{on}$, the plateau region f(t) = 1

for $T_{on} \leq t \leq T - T_{off}$ and finally the turn-off f(t) =134 $\cos^2[\pi(t-T+T_{\text{off}})/(2T_{\text{off}})]$ for $T-T_{\text{off}} \leq t \leq T$. For a 135 better comparison, in all of our calculations we have 136 kept the specific parameters $T_{on} = T_{off} = 0.01$ a.u. and T =137 0.025 a.u., such that the plateau region of duration 0.005 a.u. 138 extends from 0.01 a.u. $\leq t \leq 0.015$ a.u. In view of the rela-139 tivistic applications of this work, we have used the atomic unit 140 system, where 1 a.u. of time corresponds to 2.42×10^{-17} sec 141 and the electron's mass is 1.a.u., such that the frequency and 142 energy have the same unit as c^2 (with the speed of light c =143 137.036 a.u.). The rather extended turn-on and turn-off dura-144 tions relative to the extension of the plateau were necessary in 145 order to keep the energy spectrum (for b = 0) sharply local-146 ized around $\omega = \omega_0$ with an energy width proportional to 1/T. 147

The linear-in-time increase of the frequency is described by the chirp parameter b. The time derivative of the phase $(\omega_0 + bt/T)t$ in Eq. (2.1) increases from its initial value ω_0 (at t = 0) to its final value $\omega_0 + 2b$. We will see below that it is physically quite meaningful to associate the quantity $\omega_{inst}(t) \equiv \omega_0 + 2(b/T)t$ with an "instantaneous frequency". 153

The traditional spectrum associated with the chirped E(t) is given $S_T(\omega) \equiv |\int_{-\infty}^{\infty} d\tau \exp(-i\omega\tau)E(\tau)|^2$. It is displayed 154 155 in the bottom of Fig. 1(b) for $\omega_0 = 2c^2$ and $b = 1c^2$. We find a wide distribution that covers the range from about 156 157 $\omega = 2.5 c^2$ to $\omega = 3.5 c^2$. Due to the turn-on and off periods, 158 the amplitudes of the early low-frequencies ($\omega = c^2$) and late 159 frequencies ($\omega = 4 c^2$) are attenuated. For comparison, we 160 have also included the corresponding narrow single-peaked 161 Lorentzian distribution for b = 0 (with $\omega_0 = 2.5 c^2$). As we 162 have outlined in the introduction, this kind of spectrum repre-163 sents the global features of the entire pulse and therefore does 164 not necessarily uncover appropriately any temporal details 165 during the interaction. 166

In order to better account for the time-dependent features 167 associated with chirping, we can examine here in more de-168 tail two of the three definitions of time-dependent spectra 169 that were mentioned in the introduction. The Gabor and 170 the Eberly-Wodkiewicz time-dependent spectra are similar 171 as they exploit temporal window functions, which introduce 172 a parameter w or Γ . Due to their similarity we focus here 173 only on the Gabor spectrum, which is based on a Gaussian 174 shaped window function of width w as introduced in Eq. (1.3). 175 The numerical value of this width w has to be chosen ap-176 propriately. If w is too large, $S_G(\omega; t)$ becomes proportional 177 $S_T(\omega)$ and the spectrum is very wide. If w is chosen too 178 small, the spectrum becomes also very wide as the effective 179 time signal is too narrow to resolve any frequency. In our 180 calculations (where $b = 1 c^2$), we have chosen an optimal 181 value of $w = [T/(2c^2)]^{1/2}$, which minimizes the spectral 182 width for our particular pulse given by Eq. (2.1) and therefore 183 provides the best possible frequency resolution at any time. 184 This particular estimate for w can be derived, if we assume 185 that the field in Eq. (1.3) is of constant amplitude and simply 186 given by $\sin[(\omega_0 + bt/T)t]$. Here the Gabor spectrum can be 187 determined analytically as being proportional to a Gaussian in 188 frequency, which is centered around $\omega_{inst} = \omega_0 + 2bt/T$ and 189 has a frequency width proportional to $[(a^2 + b^2/T^2)/a]^{1/2}$ 190

$$S_G(\omega; t, w) \sim \exp[-a \, 4^{-1} (a^2 + b^2 / T^2)^{-1} \\ \times (\omega - \omega_0 - 2bt / T)^2], \qquad (2.2)$$

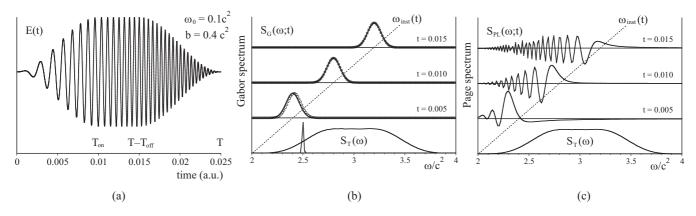


FIG. 1. (a) Sketch of the temporal behavior of the chirped electric field pulse E(t) used in this work (for better visualization of the chirping, it is graphed for $\omega_0 = 0.1 c^2$ and $b = 0.4 c^2$). (b) The open circles are the Gabor spectrum $S_G(\omega; t)$ taken at times t = 0.005, 0.010 and 0.015 a.u. for E(t) with $\omega_0 = 2c^2$ and $b = c^2$. The continuous lines are the analytical approximations of Eq. (2.2). The bottom graph is the traditional spectrum $S_T(\omega)$ of E(t). For comparison, we also show the spectrum $S_T(\omega)$ for the quasimonochromatic limit b = 0 and $\omega_0 = 2.5 c^2$. (c) The Page-Lampard $S_{PL}(\omega, t)$ spectrum taken at the same times t = 0.005, 0.010 and 0.015 a.u. The temporal parameters of E(t) were given by $T_{on} = 0.01 a.u.$, T = 0.025 a.u., $\omega_0 = 2 c^2$ and $b = c^2$).

where the inverse width parameter is $a \equiv 1/(2w^2)$. One can easily see that the particular choice a = b minimizes the frequency width, which then leads to $w = [T/(2c^2)]^{1/2}$, as mentioned above.

In Fig. 1(b) we have displayed the (normalized) Gabor 195 spectra for three different moments in time, t = 0.005, 0.01, 196 and 0.015 a.u. They nicely reflect the central frequencies 197 provided by E(t) at the three instants of time. Even though 198 the analytical estimates of Eq. (2.2) did not include any 199 temporal variations of the amplitude, they reflect the true 200 Gabor spectrum very well. For comparison, we have in-201 cluded the predictions of Eq. (2.2) by the continuous lines 202 in Fig. 1(b). To guide the eye, we have also included the 203 location of the instantaneous frequency ω_{inst} by the dashed 204 line. 205

In contrast to the Gabor and Eberly-Wodkiewicz spectra, which remove any temporal information outside the window region, the Page-Lampard spectrum considers the entire signal up to a time t. As pointed out in the original work by Page [2,3], if we perform the time derivative in Eq. (1.1), the instantaneous power spectrum $S_{\rm PL}(\omega;t)$ can also be written for computational convenience as

$$S_{\rm PL}(\omega;t) \equiv 2E(t) \int_{-\infty}^{t} d\tau \cos[\omega(\tau-t)]E(\tau). \qquad (2.3)$$

In Fig. 1(c), we present the Page-Lampard spectrum for the same chirped electric field at the same three moments in time. We see that it is qualitatively completely different from the other definitions for time-dependent spectra. $S_{PL}(\omega;t)$ is oscillatory and extends over a much larger frequency range, which is roughly given by $\omega_{\min} = \omega_0$ to $\omega_{\max} = \omega_{inst}(t)$.

A key question is, of course, which of the two timedependent types of spectra is physically more meaningful to describe the dynamics of pair-creation triggered by a chirped E(t). In order to address this question, we will discuss first in Sec. II B how the pair-creation process is being modeled numerically.

B. Interaction of E(t) on the quantum vacuum

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In order to focus on the results of this article, we refer 227 the reader to numerous references [16-19] that detail how 228 computational quantum field theory can be used to solve the 229 time-dependent Dirac equation. These solutions allow us to 230 predict the time-dependent growth of the number density of 231 created electron-positron pairs N(t) from the vacuum and 232 their momentum distributions N(p, t). The underlying theory 233 is briefly sketched in Appendix A. Following Dirac's main 234 idea (which is fully equivalent to a quantum field theoretical 235 description [20]), the vacuum can be represented by a set of 236 initially occupied energy eigenstates of the Dirac Hamiltonian 237 with negative energy [21]. As the applied field is spatially 238 homogeneous, each initial Dirac sea state is coupled to only a 239 unique single state with positive energy of the same canonical 240 momentum. In other words, the vacuum decay process can be 241 mapped onto the dynamics of mutually decoupled two-level 242 systems, each characterized by momentum *p*. 243

There is, however, a crucially important difference between 244 the usual two-level systems of atomic, molecular physics, and 245 quantum optics [22–25], which—due to parity conservation— 246 does not reveal any resonances if an even-order multiple of the 247 photon frequency ω_0 matches the energy difference between 248 upper and lower level. In contrast, the particular two-level 249 system derived from the Dirac equation has time-dependent 250 diagonal couplings, which permit even-order resonances as 251 we will discuss in Sec. III. 252

As derived in Appendix A, the time dependence of the 253 total number per unit length L of created electron-positron 254 pairs is obtained from the sum of all upper-level popula-255 tions associated with each two-level system, i.e., N(t) =256 $L^{-1}\Sigma_p |C_{p;u}(t)|^2$. This means that the momentum distribution 257 of the created particles is directly proportional to $|C_{p;u}(t)|^2$ 258 and the energy distribution can be calculated as $\rho(e_p, t) \equiv$ 259 $|dp/de_p||C_{p,u}(t)|^2$, where $dp/de_p = e_p c^{-1}(e_p^2 - c^2)^{-1/2}$ is 260 the corresponding Jacobian to transform from momentum p261 to energy $e_p = (c^4 + c^2 p^2)^{1/2}$. 262

In the contour plot of Fig. 2 we analyze the energy-time dependence of the temporal change of this energy density, i.e., 264

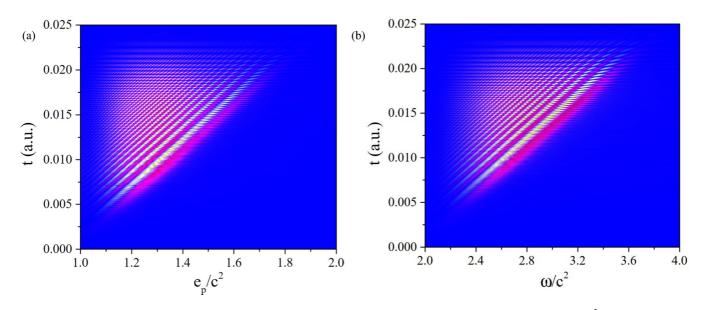


FIG. 2. (a) Contour plot of the temporal derivative of the energy spectrum of the created number of positron $|C_{p;u}(t)|^2$ as a function of the positron energy e_p . (b) The Page-Lampard spectrum $S_{PL}(\omega, t)$ of the external electric force field E(t) (All parameters are the same as in Fig. 1, $T_{on} = 0.01$ a.u., $T_{off} = 0.01$ a.u., T = 0.025 a.u., $\omega_0 = 2 c^2$ and $b = c^2$, $E_0 = 0.005 c^3$.

 $d\rho(e_p, t)/dt$. At any time, the temporal growth of the energy 265 density of the created particles is largest for those energies 266 that match half of the value of the instantaneous frequency of 267 the chirped force field. The Gabor spectra for this pulse [see 268 Fig. 1(b) would (incorrectly) suggest that only those energies 269 should change their density that are close to the instantaneous 270 value of the frequency, $\omega_{inst}(t) = \omega_0 + 2bt/T$. However, the 271 data for $d\rho(e_p, t)/dt$ at time t suggest that $d\rho(e_p, t)/dt$ is 272 quite oscillatory for all energies less than $\omega_{inst}(t)$. In contrast, 273 this feature was predicted by the instantaneous Page-Lampard 274 spectra. 275

For a direct comparison, we have shown again the spectrum $S_{PL}(\omega; t)$ for the pulse as a contour plot in Fig. 2(b). The quantitative agreement is quite remarkable and clearly suggests that among all definitions of time-dependent frequency spectra, the proposal by Page seems to be physically most meaningful to describe the electron-positron pair-creation process under chirped pulses for these parameter ranges.

The remarkable similarity between the temporal change of the energy distribution of the created positrons and the timedependent Page-Lampard spectrum can be confirmed analytically. As we pointed out in the appendices, the perturbative solution for the amplitude $C_{p,u}(t)$ can be constructed. If we square its absolute value and take its temporal derivative, we obtain the expression

$$d/dt |C_{p;u}(t)|^{2} = 2c^{4}/e_{p}^{2}A(t) \int_{0}^{t} d\tau A(\tau) \cos[2e_{p}(t-\tau)].$$
(2.4)

This means that up to the prefactor c^4/e_p^2 in Eq. (2.4), the temporal change of the kinetic energy spectrum of the created positrons takes the identical functional form as the Page-Lampard spectrum of the vector potential A(t), except that we have to replace in the integrand the frequency ω by $2e_p$. This replacement is meaningful as for sufficiently large ω excites positrons with energy $e_p = \omega/2$.

C. Perturbative scaling and nonperturbative deviations for monochromatic fields

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Before we examine the more interesting case of the vac-299 uum's response to chirped fields, let us develop first an ap-300 proximate but fully analytical theory to predict the temporal 301 growth for laser pulses that are not chirped, i.e., b = 0. As 302 the underlying physical mechanisms associated with various 303 frequency regions are more different as one might expect, 304 we discuss them separately. To provide a semianalytical sim-305 plified description for the temporally induced pair-creation 306 process is in general very difficult. However, if we assume 307 that the electric field amplitude E_0 is not too large and the total 308 pulse duration of the applied field T is not too long, we would 309 expect that the dependence of the final yield N(T) (after the 310 pulse is turned off) might follow a simple power law, $N(T) \sim$ 311 $E_0^{2\alpha}$, where the exponent α is a function of the electric field's 312 frequency ω_0 . 313

To examine the numerical value of the exponent for the 314 process, we have computed the final yield N(T) as a function 315 of the applied field's frequency ω_0 for two electric field 316 amplitudes E_0 and $2E_0$. We have repeated the simulation for 317 100 frequency values ranging from $\omega_0 = 0.6 c^2$ to a maximum 318 of $\omega_0 = 4c^2$. As these two electric fields differ by a factor of 2, 319 we can then estimate the effective exponent via the logarithm 320 of the ratio 321

$$\alpha(\omega_0) = \log(4)^{-1} \log[N(T; 2E_0)/N(T; E_0)].$$
(3.1)

In Fig. 3 we have graphed $\alpha(\omega_0)$. In the low-frequency 322 region from about $2c^2/3 < \omega_0 < c^2$ we would expect that the 323 yield is proportional to $\sim E_0^6$, corresponding to $\alpha(\omega_0) = 3$. 324 This integer reflects the minimum number of photons (with 325 energy ω_0), which need to be absorbed to excite the lowest 326 energetic state with $e_p = c^2$ from the lower continuum states with energy $e_p \leq -c^2$. The next region (= II) ranging from $c^2 < \omega_0 < 2c^2$ requires the absorption of two photons and 327 328 329 therefore the yield should scale quadratic with the intensity, 330

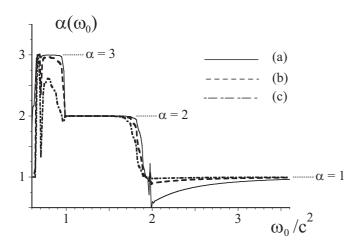


FIG. 3. The effective power law exponent $\alpha(\omega_0)$ [defined in Eq. (3.1)] as a function of the electric field's frequency ω_0 . It was obtained numerically from the three ratios of the final particle yields N(T) computed for (a) $E_0 = 0.02 c^3$ and $0.01 c^3$, (b) $E_0 = 0.01 c^3$ and $0.005 c^3$ and (c) $E_0 = 0.005 c^3$ and $0.0025 c^3$. [$T_{on} = 0.01 a.u.$, $T_{off} = 0.01 a.u.$, T = 0.025 a.u.]

i.e., $\sim E_0^4$, corresponding to $\alpha(\omega_0) = 2$. Finally, region I for $\omega_0 > 2 c^2$ has the largest cross section, here the yield is expected to grow linearly with the intensity, $\alpha(\omega_0) = 1$. The computed staircaselike pattern in Fig. 3 confirms the different power law scaling regions.

The observed shifts with regard to the transitions between 336 different scaling regions are an unavoidable consequence of 337 the finite temporal width of the pulse and the resulting nonzero 338 width of the spectral distribution (around ω_0). For example, 339 the spectrum for $\omega_0 = 1.8 c^2$, contains many frequencies $\omega >$ 340 $2c^2$, that would lead to the $\alpha = 1$ process, that (at least in the 341 perturbative regime) would dominate any other weaker $\alpha = 2$ 342 processes. So even though the center frequency belongs here 343 to the $\alpha = 2$ regime, the final number of particles scale still 344 linearly with the intensity E_0^2 . 345

These threshold shifts illustrate the crucial importance of a 346 relatively long turn-on and -off time required for the electric 347 field to trigger a response other than $\alpha = 1$. To have a concrete 348 example, for $\omega_0 = c^2$, Fig. 3 would reveal a basically con-349 stant graph $\alpha(\omega_0) = 1$ for the entire range of all frequencies 350 down to $\omega_0 = 0$, if we had repeated the same simulations 351 using the same total pulse duration (T = 0.025 a.u.) in this 352 case) but had reduced the turn-on and turn-off durations to 353 zero $T_{\rm on} = T_{\rm off} = 0$. Even though, the pulse E(t) contains 354 about $T/(2\pi/\omega_0) \approx 75$ oscillations, it is far from sufficiently 355 monochromatic in order to lead to a quartic scaling of N(T)356 with E_0 , which we would normally associate with $\omega_0 = c^2$ for 357 truly monochromatic fields with infinite duration. 358

D. The perturbative region I with $\alpha = 1$

The quadratic scaling of the final number density N(T) as a function of the electric field strength E_0 in region I suggests that the instantaneous change of N(t) for a field pulse $E_0 f(t)$ could be modeled by a rate equation

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$$dN(t)/dt = E_0^2 f(t)^2 \kappa_{\rm I}(\omega_0), \qquad (3.2)$$

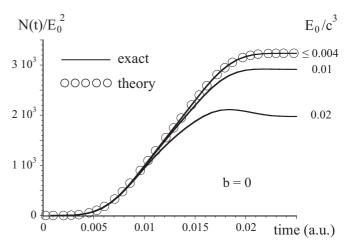


FIG. 4. The temporal growth of the number of created particles N(t) for several electric field amplitudes E_0 in region I for $\omega_0 = 2.2 c^2$. The open circles are the semianalytical theory based on the rate Eq. (3.2). [$\omega_0 = 2.2 c^2$, $T_{on} = 0.01 a.u.$, $T_{off} = 0.01 a.u.$, T = 0.025 a.u.]

where the rate constant $\kappa_{I}(\omega_{0})$ is exclusively a function of the 364 external field's main frequency ω_0 . In order to determine nu-365 merically this "cross-section" $\kappa_{I}(\omega_{0})$, we have computed the 366 time-dependent growth of N(t). As an example, in Fig. 4 we 367 show N(t) as a function of time for the frequency $\omega_0 = 2.2 c^2$. 368 We see that during the plateau region $(T_{on} < t < T - T_{off})$, 369 when the amplitude $E_0 f(t)$ is constant $(= E_0)$, N(t) grows 370 basically linearly in time in addition to the (nearly invisible) 371 very small oscillations. Using linear regression of N(t) for 372 this regime (sampled over 20000 temporal points) we can 373 therefore determine the average slope. When we divide this 374 slope by E_0^2 , we obtain the desired $\kappa_{\rm I}(\omega_0)$. 375

In Fig. 5 we have graphed the numerical value of this slope as a function of 300 values for the frequency ω_0 . We see that it decreases basically monotonically with increasing ω_0 . This means that we would obtain the largest number of 379

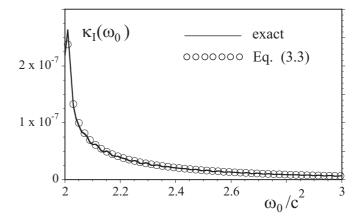


FIG. 5. The scaled cross section $\kappa_{\rm I}(\omega_0)$ for the high-frequency region I as a function of the frequency ω_0 . The open circles are the perturbative result according to Eq. (3.3). [$T_{\rm on} = 0.01$ a.u., $T_{\rm off} = 0.01$ a.u., T = 0.025 a.u.]

electron-positron pairs if the external field is tuned exactly to 380 the threshold value $\omega_0 = 2 c^2$. 381

In Appendix **B** we apply the usual time-dependent pertur-382 bation theory in E_0 . As this requires only the solution to a 383 single ordinary differential equation it is possible to obtain 384 a fully analytical estimate for the scaling of $\kappa_1(\omega_0)$ with the 385 frequency ω_0 . 386

$$\kappa_{\rm I}(\omega_0) = c^5 [(\omega_0/2)^2 - c^4]^{-1/2} / (2\,\omega_0^3). \tag{3.3}$$

This suggests a singularity for the threshold value $\omega_0 = 2 c^2$. 38 As the derivation of Eq. (3.3) required several approximations, 388 we have to test its validity by comparing it with the exact nu-389 merical data obtained from the actual numerically determined 390 slopes of N(t). The open circles in Fig. 5 are the analytical 391 predictions by Eq. (3.3). We find a very good match. 392

Now that we have an analytical expression for $\kappa_{I}(\omega_{0})$, 393 we can use the rate Eq. (3.2) as a much more efficient tool 394 to predict the time-dependent growth for any electric field 395 pulse shape given by f(t). In order to test the accuracy of 396 this approach, we added to the data of Fig. 4 the theoretical 397 prediction based on the solution to Eq. (3.2). We see that for 398 all electric field amplitudes that are less than about $E_0/c^3 =$ 399 4×10^{-3} , the agreement is superb. If the electric field is larger, 400 we begin to enter the nonperturbative region, where the actual 401 (scaled) $N(t)/E_0^2$ is smaller and higher-order perturbative 402 corrections such as level shifts or multiphoton absorptions 403 lead to a lower cross section $\kappa_{\rm I}(\omega_0)$. 404

E. The perturbative region II where $\alpha = 2$

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One could expect that a similar procedure as done for 406 region I could also be applied for the lower-frequency region 407 II, where $c^2 < \omega_0 < 2c^2$. Here we would expect that a similar 408 rate equation given by 409

$$dN(t)/dt = E_0^4 f(t)^4 \kappa_{\rm II}(\omega_0)$$
(3.4)

could describe the dynamics. While in region I the yield N(t)410 increases basically monotonically during the field's plateau 411 region after the turn-on, in region II the function N(t) is 412 unfortunately significantly more complicated. We have shown 413 a typical example in Fig. 6. 414

This function is highly oscillatory with a superimposed 415 envelope that is also nonmonotonic. The specific features of 416 this graph are so complicated as they reveal the simultaneous 417 presence of two different scaling laws. By comparing N(t)418 for a wide variety of electric field amplitudes, we found that 419 the magnitude of the oscillations scale quadratically in E_0 , 420 whereas the final value (after the pulse is turned off) scales 421 quartically, i.e., $N(T) \sim E_0^4$. 422

This complicated behavior is another manifestation of the 423 inherent fundamental difficulty [26] to cleanly separate be-424 tween the fully reversible dressing and level shift effects and 425 those irreversible mechanisms, which scale $\sim E_0^4$ and actually 426 do contribute to the final growth of the created particles. 427

In order to illustrate the transition between the different 428 scaling behaviors, we have graphed the logarithm of the ratio 429 of N(t) for two electric fields ($E_0 = 0.05 c^3$ and $0.025 c^3$) that 430 differ by a factor of 2. The transition from $\alpha = 1$ for early 431 times to $\alpha = 2$ for the time after the interaction is obvious 432 from the graph in the inset of Fig. 6. 433

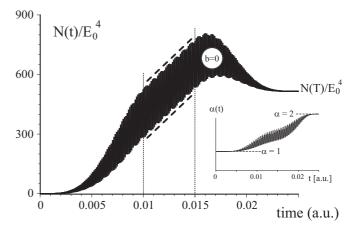


FIG. 6. The temporal growth N(t) of the number of created particles as a function of time in region II for $E_0 = 0.1 c^3$ and $\omega_0 =$ $1.3 c^2$. In the inset we show the scaling of the intensities exponent $\alpha(t) \equiv \log[N(t; 2E_0)/N(t; E_0)]/\log(4)$ obtained from the ratio for $E_0 = 0.05 c^3$ and $E_0 = 0.025 c^3$. To guide the eye, we have added the dashed lines in the constant amplitude portion $T_{on} < t < T - T_{off}$. $[T_{\rm on} = 0.01 \text{ a.u.}, T_{\rm off} = 0.01 \text{ a.u.}, T = 0.025 \text{ a.u.}]$

As the upper and lower envelope of N(t) during the field's 434 plateau region increase linearly in time and have the same 435 slope, we have computed this slope. If we divide it by E_0^4 we can finally compute κ_{II} from the data. The result for $\kappa_{II}(\omega_0)$ is shown in Fig. 7 for different frequencies ω_0 . 438

In contrast to the behavior of $\kappa_{\rm I}$ (which was associated with 439 the higher frequency region I), we find that κ_{II} does not take 440 its largest coupling at the two-photon threshold value $\omega_0 = c^2$. 441 The maximum is clearly shifted towards higher frequencies. 442

In order to have also an approximate but analytical estimate 443 of this rate, we have applied in Appendix B the corresponding 444 time-dependent perturbation theory. As we have remarked 445 earlier, the usual two-level system of quantum optics does 446 not reveal any two-photon resonance as observed here. This 447 means that the nature of the perturbation theory applied to the 448 Dirac's two-level dynamics is entirely different. We refer the 449

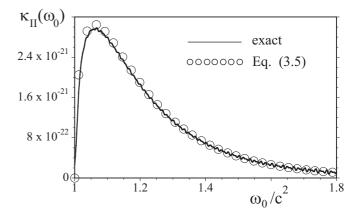


FIG. 7. The scaled cross section $\kappa_{II}(\omega_0)$ for the lower-frequency region II as a function of the frequency ω_0 . The open circles are the perturbative result according to Eq. (3.5). $[T_{on} = 0.01 \text{ a.u.}, T_{off} =$ 0.01 a.u., T = 0.025 a.u.]

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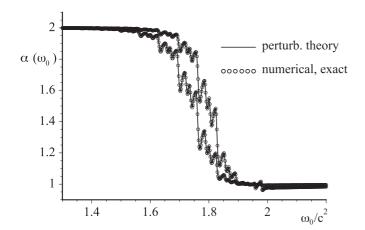


FIG. 8. The effective power law exponent $\alpha(\omega_0)$ [defined in Eq. (3.1)] as a function of the electric field's frequency ω_0 in the transition region for $1.3 < \omega_0/c^2 < 2.2$. It was obtained numerically from the three ratios of the final particle yields N(T) computed for (a) $E_0 = 0.005 c^3$ and $0.0025 c^3$ (top curve) and (b) $0.0025 c^3$ and $0.00125 c^3$ (bottom curve). The open circles are the analytical prediction based on Eq. (3.6). [$T_{on} = 0.01 a.u., T_{off} = 0.01 a.u., T = 0.025 a.u.$]

reader here to the interesting discussion in Appendix C and
state here only the main result,

$$\kappa_{\rm II}(\omega_0) = c^7 (\omega^2 - c^4)^{1/2} / (4\omega^9). \tag{3.5}$$

This expression shows that—in contrast to $\kappa_{I}(\omega_{0})$ —this 452 cross section does not have any singularity as it decreases 453 to zero for exactly $\omega_0 = 1 c^2$. As we show in Fig. 7 by the 454 open circles, the agreement with the exact data for all electric 455 fields of amplitude $E_0 < 0.1 c^3$ is again superb. In order to 456 see any deviations, we have repeated the simulations for large 457 fields that clearly lead to a lower (scaled) particle yield than 458 predicted by lowest-order perturbation theory. 459

F. The transition region between I and II with effective noninteger power laws

While the frequency regions I and II were characterized by 462 integer exponents α , the most interesting transition domain 463 occurs between these two cases, i.e., for frequencies close 464 to $\omega_0 = 1.8 c^2$. In order to examine this transition, we have 465 first computed again the final particle yield for two electric 466 field amplitudes $E_0 = 0.005 c^3$ and $E_0 = 0.0025 c^3$ for a wide 467 range of frequencies $1.3 c^2 < \omega_0 < 2.2 c^2$. Under the (invalid) 468 assumption that also in the transition regime the yield has 469 a simple power law scaling, i.e., $N(T) \sim E_0^{2\alpha}$, we can again 470 compute an effective exponent α via the logarithm of the ratio 471 $\alpha(\omega_0) \equiv \log(4)^{-1} \log[N(T; 2E_0)/N(T; E_0)]$ as introduced in 472 Eq. (3.1). 473

In Fig. 8 we show this exponent α as a function of the 474 frequency ω_0 . Quite interestingly, as the frequency increases, 475 the exponent does not decrease from $\alpha = 2$ to $\alpha = 1$ in a 476 monotonic manner as one could have expected. In fact, the 477 overall decrease is superimposed by interesting structures 478 comprised of numerous small local minima and maxima. 479 As these data are computationally difficult to obtain, one 480 could conjecture that these unexpected structures are merely 481

manifestations of numerical inaccuracies. However, we have repeated these simulations for several numerical space-time grids and found the data to be perfectly converged.

Motivated by the accuracy of the perturbative analysis discussed in the appendices, we have generalized these calculations for the transition regime including first-, as well as, second-order terms in E_0 .

After a lengthy calculation, we find for the momentum amplitude of the created positrons $C_{p;u}(t)$ the expression given by the two-fold integral 490

$$C_{p;\mu}(t) = i c^{2} / (e_{p}) \exp(-i e_{p} t) \int^{t} d\tau A(\tau)$$

$$\times \exp(2i e_{p} \tau) [1 - 2i c p / e_{p} \int^{\tau} A(\tau') d\tau']. \quad (3.6)$$

If at the final time T (after the interaction) we sum the 492 squared absolute values over all final momenta, we obtain 493 again the total number of created positrons, i.e., N(T) =494 $\sum_{p} |C_{p;u}(T)|^2$. The logarithm of the ratio of N(T) for two 495 electric field amplitudes would then give us a fully analytical 496 (albeit rather complicated) expression for the effective expo-497 nents α as a function of ω_0 . In Fig. 8 above, the solid lines 498 superimposed on the numerical data (open circles) represent 499 the corresponding prediction based on Eq. (3.6). The perfect 500 agreement is quite remarkable and confirms our numerical 501 finding that the transition between the two $\alpha = 2$ and $\alpha = 1$ 502 perturbative regimes is indeed highly nontrivial. 503

We should remark that our non-integer exponent α was 504 computed from a specific pair of yield associated with 505 two particular electric field amplitudes E_0 . The expression 506 $N(T) \sim E_0^{2\alpha}$ for a non-integer does not mean necessarily a 507 strictly universal scaling for all E_0 . In the transition region, 508 N(T) depends mainly on the sum of terms proportional to 509 E_0^2 as well as E_0^4 , where the corresponding ω_0 -dependent 510 prefactors determine the kind of weighted contribution of each 511 power. In fact, we have repeated the data in Fig. 8 from a 512 different pair of amplitudes E_0 (= 0.0025 c^3 and 0.00125 c^3) 513 and observed a very similar transition region. However, the in-514 teresting substructures (small maxima and minima) occurred 515 at different values of the frequency ω_0 . 516

The physical causes of these interesting structures are presently unknown but could be examined in a follow up work. As the data were based on the ratios of the final yields, it is possible that they reflect also information about the temporal details of the finite pulses such as the turn-on and off times, about the duration of the plateau region in between, or about the pulse shapes used.

III. PAIR CREATION UNDER RAPIDLY CHIRPED ELECTRIC FIELD PULSES

We have repeated the same simulations as in the prior 526 sections, but this time we have rather rapidly chirped the 527 electric field, i.e., we used $b \neq 0$. Motivated by the remarkable 528 accuracy of the simple rate equation to predict the tempo-529 ral yield of the particle number density N(t) for a pulsed 530 electric field in region I as well as region II, we can now 531 explore if this approach can be even generalized to account 532 for a chirped electric field. The analysis in terms of the 533

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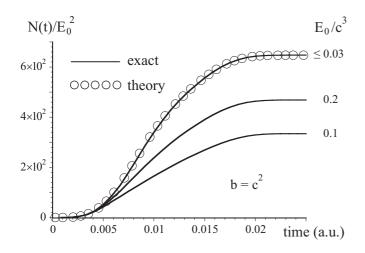


FIG. 9. The temporal growth N(t) of the number of created particles as a function of time in region I for chirping strength $b = c^2$ and $\omega_0 = 2 c^2$. The open circles are the semianalytical predictions according to the time-dependent rate Eq. (4.1). $[T_{on} = 0.01 \text{ a.u.}, T_{off} = 0.01 \text{ a.u.}, T = 0.025 \text{ a.u.}]$

time-dependent spectra of E(t) in Sec. II has suggested that the time-dependent instantaneous frequency $\omega_{inst}(t) \equiv \omega_0 + 2bt/T$ is an important characteristic of E(t).

If the vacuum is able to recognize within a very short time-scale this time-changing frequency, one could consider generalizing the rate equation for both region I (with $\alpha = 1$) and II (with $\alpha = 2$) to

$$dN(t)/dt = E_0^{2\alpha} f(t)^{2\alpha} \kappa_{\alpha}[\omega_{\text{inst}}(t)], \qquad (4.1)$$

where we have introduced the concept of a time-dependent coupling strength $\kappa_{\alpha}(t)$.

In Fig. 9 we compare the predictions of the numerical solution N(t) based on Eq. (4.1) for region I, i.e., $\omega_0 = 2c^2$ and $b = c^2$ with the exact time evolution. For $E_0 < 0.03 c^3$ the agreement is superb during the entire interaction. As the frequency (and therefore the coupling strength) changes rapidly even during the field's plateau region, we no longer have a constant-slope region for N(t).

The temporal growth of N(t) for $b = c^2$ covers the large 550 frequency range from $\omega = 2c^2$ to $\omega = 4c^2$. We found that for 551 all amplitudes below the value of $E_0 = 0.03 c^3$, the solution 552 to the time-dependent rate Eq. (4.1) describes the true growth 553 N(t) very well. We consider the feasibility of this approach to 554 the vacuum decay to be one of the major results of this work. 555 Despite the fact that the instantaneous frequency doubles 556 during the short interaction and the pulse has a nontrivial turn-557 on and turn-off shape, all details of the entire time evolution 558 of N(t) can be obtained semianalytically with remarkable 559 accuracy, based on the simple analytical form of $\kappa_{\rm I}$ given by 560 Eq. (3.3) and Eq. (4.1). 561

Quite universally, the agreement is even maintained for region II, where the final yield increases quartically with the amplitude E_0 . As we have seen in Sec. III B, due to the simultaneous presence of several scaling laws in region II it is very difficult to provide an unambiguous direct physical meaning to the time dependence of N(t). We therefore have compared the final yield after the interaction N(T) with the

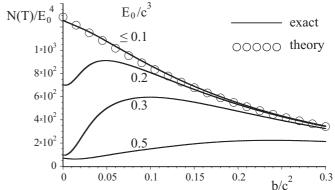


FIG. 10. The final number of created particles N(T) after the interaction as a function of the chirping parameter b for $\omega_0 = 1.1 c^2$ for four amplitudes E_0 . The open circles are the semianalytical predictions according to the time-dependent rate Eq. (4.1). $[T_{on} = 0.01 \text{ a.u.}, T_{off} = 0.01 \text{ a.u.}, T = 0.025 \text{ a.u.}]$

solution of the time-dependent rate equation at the final time T in Fig. 10.

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We have repeated the simulations for 100 pulses with various degrees of chirping and find for all fields with $E_0 < 0.1 c^3$ an excellent agreement with the predictions of Eqs. (3.5) and (4.1). Quite interestingly, due to the level shifts in the nonperturbative regime ($E_0 > 0.1 c^3$), we find that the final yield depends nonmonotonically on the degree of chirping. 576

IV. SUMMARY AND OPEN QUESTIONS

The traditional linear and nonlinear susceptibilities dis-578 cussed in classical electromagnetism are proportionality 579 constants relating the amplitude of external field to the re-580 sulting polarization of the (usually dielectric) medium. The 581 introduced frequency-dependent functions $\kappa_{I}(\omega_{0})$ and $\kappa_{II}(\omega_{0})$ 582 serve a similar role as they describe the vacuum's instability 583 towards an external field. Using perturbation theory, it is 584 possible to construct simple but accurate analytical expres-585 sions for these nonlinear response functions. It might be very 586 interesting to generalize these expressions for even smaller 587 frequency regimes, with the ultimate goal to find a connection 588 with the zero-frequency limit, where the (intrinsically nonper-589 turbative) Schwinger mechanism dictates the vacuum's decay. 590 To establish this connection is in our opinion a fascinating but 591 not fully understood question. A better understanding of this 592 low-frequency limit is also of fundamental interest for future 593 laser configurations, which are more likely to operate in the 594 lower frequency domain. 595

This initial work is meant to provide a first proof of 596 fundamental principles and methods rather than mimicking 597 precise laboratory conditions of possible future experiments. 598 A realistic pulse would likely have a central frequency much 599 less than the electron's rest mass. Due to the higher required 600 perturbative order, the resulting analytical expressions for the 601 instantaneous pair-creation rates for lower central frequen-602 cies are naturally more complicated. In addition, numerical 603 convergence of time-dependent solutions to quantum field the-604 ory is harder to obtain for smaller frequencies of the applied 605

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laser field. For pair-creation processes, an important time scale 606 is about $1/(2 \text{ mc}^2)$, associated with the mass gap. Due to the 607 fixed temporal grid spacing, it is numerically challenging to 608 resolve this very rapid time scale, while at the same time 609 having sufficiently long total interaction times, as required 610 by laser fields with small frequencies. Without any further 611 approximations, satisfying both of these two requirements si-612 multaneously would require a horrendous number of temporal 613 grid points, which naturally lead to much longer CPU times 614 and also to numerical convergence that is more difficult to 615 maintain. 616

By examining the vacuum's response to chirped external 617 fields that scan through a very large frequency range within 618 only a small number of cycles, we have shown that an instan-619 taneous frequency based rate equation approach can provide 620 reliable estimates of the time-dependent growth of the total 621 particle yield. This approach was perturbative and we have 622 shown its limitations for extremely strong fields. Its pertur-623 bative validity is likely based on the feature of the quantum 624 vacuum to almost instantly respond to any temporal variation 625 in the spectrum of the field. This would suggest that these truly 626 intrinsic time scales of the vacuum state are extremely short. If 627 the chirping parameter is even much larger, we would expect 628 that the proposed approach might begin to fail, especially 629 when the vacuum's own intrinsic time scales become relevant. 630 To examine these intrinsic time scales, is another worthwhile 631 open challenge, especially, as the vacuum is thought of as 632 being free of any matter. It's presence is usually responsible 633 for the oocurence of dynamical time scales. 634

While the analysis presented here was focused on pos-635 itively chirped fields, one may wonder if the conclusions 636 hold also for those fields where the instantaneous frequency 637 decreases as a function of time. For a finite pulse, a negative 638 chirp can be related to the corresponding temporally reversed 639 field with positive chirp. A recent article [27] examined the 640 effect of time-reversed external force fields and suggested 641 that the final electron-positron yield after the interaction is 642 identical for positive and negative chirp if the external field 643 is spatially homogeneous. This resembles the situation con-644 sidered in the present work. Quite interestingly, if the external 645 force field has also a nontrivial spatial dependence, then the 646 final yield for positive and negative chirp can be different. 647

Finally, we should mention that we have examined here only the electric response properties of the fermionic Dirac 649 vacuum, modeled by a fully occupied Dirac sea. The true 650 vacuum state of quantum electrodynamics, i.e., the lowest 651 energetic eigenstate of the Hamiltonian describing the fully 652 coupled interaction of electrons, positrons and photons, might 653 possibly reveal additional response features to external ex-654 citations. We are certainly just at the early stages of our 655 understanding. 656

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APPENDIX A

In one spatial dimension and the temporal gauge, the Dirac Hamiltonian is given by 665

$$H = c \,\sigma_1 [P - qA(t)/c] + c^2 \,\sigma_3, \tag{A1}$$

where P is the momentum operator and we assume the 666 coupling to a positron with charge q = 1. The two 2 \times 2 Pauli 667 matrices are denoted by σ_1 and σ_3 and $A(t) = -c \int^t d\tau E(\tau)$ 668 is the vector potential. As the external field E(t) is 669 assumed to be spatially homogeneous in this work, the 670 total canonical momentum is conserved and each initial 671 Dirac sea state is coupled to only a single state in the 672 upper energy continuum state with the same momentum p. 673 In other words, the vacuum decay can be represented by 674 an infinite set of mutually independent two-level systems 675 with energies $-[c^4 + c^2 p^2]^{1/2}$ and $e(p) = [c^4 + c^2 p^2]^{1/2}$. 676 The lower (labeled d) and upper (labeled u) energy 677 eigenstates $|p; d\rangle$ and $|p; u\rangle$ of *H* for A(t) = 0 take the spatial 678 representation by the two-component spinors, $\langle x | p; u \rangle =$ 679 $N\{[e_p + c^2]^{1/2}, [e_p - c^2]^{1/2} p/|p|\} \exp[i p x] \text{ and } \langle x|p;d \rangle = N\{-[e_p - c^2]^{1/2} p/|p|, [e_p + c^2]^{1/2}\} \exp[i p x], \text{ where } N$ 680 681 is the corresponding normalization factor. Using the 682 functional form of the two energy eigenstates, the four 683 coupling matrix elements take the form $\langle p; u | \sigma_1 | p; u \rangle =$ $c p/e_p \equiv a_p, \langle p; d | \sigma_1 | p; d \rangle = -a_p$ and $\langle p; d | \sigma_1 | p; u \rangle = \langle p; u | \sigma_1 | p; d \rangle = c^2/e_p \equiv b_p$. The corresponding time-685 686 dependent amplitudes in each two-level state $|\Psi_p(t)\rangle =$ 687 $C_{p;d}(t)|p;d\rangle + C_{p;u}(t)|p;u\rangle$ have to fulfill [28] 688

$$i d C_{p;u}(t)/dt = [e_p - A(t)a_p]C_{p;u}(t) - A(t)b_p C_{p;d}(t),$$
(A2a)

$$i d C_{p;d}(t)/dt = -A(t)b_p C_{p;u}(t) - [e_p - A(t)a_p]C_{p;d}(t).$$

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As we will need it for below, let us perform a unitary 689 transformation to another basis set [28], that is based on the 690 instantaneous lower (D) and upper (U) energy eigenstates 691 $|p;D_t\rangle$ and $|p;U_t\rangle$. These are defined based on the full Dirac 692 Hamiltonian, $H(t)|p; U_t\rangle = e_p(t)|p; U_t\rangle$ and $H(t)|p; D_t\rangle =$ 693 $-e_p(t)|p;D_t\rangle$, where the instantaneous energy eigenvalue 694 takes the form $e_p(t) \equiv [[e_p - A(t)a_p]^2 + [A(t)b_p]^2]^{1/2}$. For 695 a fixed momentum p, the state $|\Psi_p(t)\rangle = C_{p;d}(t)|p;d\rangle +$ 696 $C_{p;u}(t)|p;u\rangle$ can be equally expressed based on the 697 superposition $|\Psi_p(t)\rangle = C_{p;D}(t)|p;D_t\rangle + C_{p;U}(t)|p;U_t\rangle.$ 698 The corresponding expansion coefficients $C_{p;D}$ and $C_{p;U}$ are 699 given by the solution to 700

$$i d C_{p;U}(t)/dt = \alpha_p(t) C_{p;U}(t) + \beta_p(t) C_{p;D}(t),$$
 (A3a)

$$i d C_{p;D}(t)/dt = \beta_p^*(t) C_{p;U}(t) - \alpha_p(t) C_{p;D}(t),$$
 (A3b)

where the two matrix elements are given by

$$\alpha_p(t) = [[e_p - A(t)a_p]^2 + [A(t)b_p]^2]^{1/2}, \quad (A4a)$$

$$\beta_p(t) = i \, dA/dt \, c^2 / [2\alpha_p(t)^2].$$
 (A4b)

In order to avoid an infinite number of created electronpositron pairs, we constrain the length of our interaction region to *L*, noting that the actual number of created pairs N(t) created by a spatially constant electric field E(t) 705 naturally has to increase linearly proportional to *L*. This number is computed here by the sum over all of upper state populations, which diverges with increasing *L*. We therefore introduce the number density, N(t), defined as N(t)/L.

In the free basis, this corresponds to $N_{\text{free}}(t) =$ 710 $L^{-1}\Sigma_p |C_{p;u}(t)|^2$ and with regard to the instantaneous energy 711 basis, it is given by $N(t) = L^{-1} \Sigma_p |C_{p;U}(t)|^2$. While, in 712 principle, $N_{\text{free}}(t)$ and N(t) match only after the pulse E(t) is 713 turned off [28], in the perturbative limit they are similar. We 714 note that the traditional quantum Vlasov equation [29–33] 715 is equivalent to the projection on the instantaneous energy 716 states. 717

APPENDIX B

In this Appendix we derive the perturbative form of the 719 cross section $\kappa_{\rm I}$ for the high-frequency region. As the differ-720 ences between Eqs. (A2) and (A3) show up only in higher or-721 ders of E_0 , both equations lead to the same cross section. For a 722 given momentum p, we assume that in lowest order, Eq. (A2a) 723 simplifies to $i dC_{p;d}(t)/dt = -e_p C_{p;d}(t)$. The corresponding 724 solution $C_{p;d}(t) = \exp(i e_p t)$ is then inserted into the rhs of 725 Eq. (A2a). If we neglect the time-dependent on-diagonal term, 726 the equation can be integrated leading to 727

$$C_{p;u}(t) = i b_p \int^t d\tau \exp[-i e_p(t-\tau)] A(\tau) \exp(i e_p \tau).$$
(B1)

⁷²⁸ If we assume a monochromatic field $A(\tau) = c E_0/\omega_0[\exp(i\omega_0 t) + \exp(-i\omega_0 t)]/2$, and neglect the term ⁷³⁰ with a too rapidly oscillating phase, we obtain

$$C_{p;u}(t) = i b_p \exp(-i e_p t) c E_0 / \omega_0 \int^t d\tau \exp(i 2e_p \tau) \times \exp(-i \omega_0 t) / 2,$$
(B2)

⁷³¹ which can be integrated to

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$$C_{p;u}(t) = -b_p \exp(-i e_p t) c E_0/(2\omega_0)$$

$$\times \{\exp[-i (\omega_0 - 2e_p)t] - 1\}/(\omega_0 - 2e_p). \quad (B3)$$

Therefore, the population in the upper level for each two-level system is given by

$$|C_{p;u}(t)|^{2} = c^{6} E_{0}^{2} / (4\omega_{0}^{2}) e_{p}^{-2} \sin^{2}[(e_{p} - \omega_{0}/2)t] / (e_{p} - \omega_{0}/2)^{2},$$
(B4)

where we have also used $b_p = c^2/e_p$. In order to obtain the total population, we have to sum over all individual populations associated with all positive and negative momenta $N(t) = L^{-1}\Sigma_p |C_{p;u}(t)|^2$ where $p_n = n(2\pi/L)$. If we convert the summation to a continuous integral, we obtain

$$N(t) = 1/(2\pi) c^{6} E_{0}^{2} / (4\omega_{0}^{2}) \int dp \, e_{p}^{-2} \sin^{2}[(e_{p} - \omega_{0}/2)t] / (e_{p} - w_{0}/2)^{2}.$$
(B5)

As the next step, we approximate the energy denominator e_p^{-2} by the resonant value $(\omega_0/2)^2$, which allows us to factor this (now *p* independent) term out of the integral. Due to the inherent symmetry between positive and negative momentum states, we can restrict the summation to positive values. If we use the integral $\int dx \sin^2[xt]/x^2 = \pi t$, we obtain the expression 741 742 743 744 745

$$\mathbf{N}(t) = \frac{1}{(2\pi)} E_0^2 c^5 [(\omega_0/2)^2 - c^4]^{-1/2} / (2\omega_0^3) \pi t.$$
 (B6)

As a result we obtain for the scaled variable $\kappa_{\rm I}(\omega_0) \equiv \frac{746}{2} E_0^{-2} dN/dt$ the final expression 747

$$\kappa_{\rm I}(\omega_0) = c^5 [(\omega_0/2)^2 - c^4]^{-1/2} / (2\,\omega_0^3)$$

APPENDIX C

The derivation for the rate in region I of Appendix **B** is 749 similar to the one often employed in quantum optics or atomic 750 physics, see the Fermi Golden rule. The derivation of κ_{II} , 751 however, provides some interesting physical insight. It is well-752 known from quantum optics that (due to parity conservation) 753 the traditional two-level system of atomic physics [22–25,34] 754 does not permit any resonance if the energy difference $2e_p$ 755 of the two levels is equal to an even multiple of the laser's 756 frequency ω_0 . As we have argued above, the two-level system 757 derived from the Dirac equation is conceptually different 758 due to the additional time-dependent on-diagonal coupling 759 elements. In order to better distinguish mathematically be-760 tween the different dynamical roles of the (same) field A(t) =761 $A_0 \cos(\omega_0 t)$ associated with the diagonal and the off-diagonal 762 couplings, we have temporarily renamed the on-diagonal 763 coupling field $A_{on}(t) = A_{on} \cos(\omega_0 t)$. If we introduce the two 764 probability amplitudes $D_{p;d}$ and $D_{p;u}$ defined as 765

$$D_{p;u}(t) \equiv \exp\left(i\int^{t} d\tau [e_{p} - A_{\text{on}}(\tau)a_{p}]\right)C_{p;u}(t), \quad (C1a)$$
$$D_{p;d}(t) \equiv \exp\left(-i\int^{t} d\tau [e_{p} - A_{\text{on}}(\tau)a_{p}]\right)C_{p;d}(t), \quad (C1b)$$

then the equations of motion for the variables read

$$i d D_{p;u}(t)/dt = -b_p \exp\left(2i \int^t d\tau [e_p - A_{on}(\tau) a_p]\right)$$

× $A(t) D_{p;d}(t),$ (C2a)
 $i d D_{p;d}(t)/dt = -b_p \exp\left(-2i \int^t d\tau [e_p - A_{on}(t) a_p]\right)$
× $A(t) D_{p;u}(t).$ (C2b)

This means that the time dependence of the effective force 767 field that couples the variables $D_{p;u}(t)$ and $D_{p;d}(t)$ is no longer 768 solely proportional to A(t), but to the more complicated form 769 $\exp(2i\int^t d\tau [e_p - A_{on}(\tau)a_p])A(t)$, which contains odd as well 770 as *even* order harmonics if the field A(t) is monochromatic. 771 This immediately explains why the Dirac-like two-level sys-772 tem is sensitive to the even-order resonances. If we perform 773 the integral in the exponent $\exp(2i\int^{t} d\tau [e_p - A_{on}(\tau)a_p]) =$ 774 $\exp(2ie_p t - 2i(a_p A_{\rm on}/\omega_0)\sin(\omega_0 t)))$, then we can apply the 775 Jacobi-Anger expansion for exponentiated trigonometric 776 functions in terms of the *n*-th Bessel functions of the first 777

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⁷⁷⁸ kind, given by $\exp(ix \sin t) = \sum_n J_n(x) \exp(int)$. As a result, we obtain

$$i d D_{p;u}(t)/dt = -b_p \exp(2ie_p t) \sum_n J_n(-2a_p A_{\rm on}/\omega_0) \exp(i n \,\omega_0 t) A(t) D_{p;d}(t),$$
(C3a)

$$dD_{p;d}(t)/dt = -b_p \exp\left(-2ie_p t\right) \sum_n J_n(2a_p A_{\text{on}}/\omega_0) \exp\left(in\,\omega_0 t\right) A(t) D_{p;u}(t).$$
(C3b)

As we are only interested in the lowest-order perturbative effect due to A_{on} , we can expand the Bessel function up to first order as $J_0(x) = 1$, $J_1(x) = x/2$ and $J_{-1}(x) = -x/2$. We obtain

$$\Sigma_n J_n (-2a_p A_{\rm on}/\omega_0) \exp\left(i n \,\omega_0 t\right) = 1 - a_p A_{\rm on}/\omega_0 \exp\left(i \,\omega_0 t\right) + a_p A_{\rm on}/\omega_0 \exp\left(-i \,\omega_0 t\right),\tag{C4a}$$

$$\sum_{n} J_n (2a_p A_{\text{on}}/\omega_0) \exp\left(i n \,\omega_0 t\right) = 1 + a_p A_{\text{on}}/\omega_0 \exp\left(i \,\omega_0 t\right) - a_p A_{\text{on}}/\omega_0 \exp\left(-i \,\omega_0 t\right). \tag{C4b}$$

We then use $A(t) = A_0 [\exp(i\omega_0 t) + \exp(-i\omega_0 t)]/2$ and retain among the eight terms only those ones with the smallest phase factor, which for our frequency range is $\pm (2e_p - 2\omega_0)t$. We, therefore, obtain

$$i d D_{n;u}(t)/dt = -b_p a_p A_{0n}/\omega_0 A_0 \exp\left[i 2(e_p - \omega_0)t\right]/2D_{n;d}(t),$$
(C5a)

$$i d D_{p;d}(t)/dt = -b_p a_p A_{\rm on}/\omega_0 A_0 \exp\left[-i 2(e_p - \omega_0)t\right]/2D_{p;u}(t).$$
(C5b)

Similarly as in Appendix B, in perturbation theory, we can assume $D_{p;d}(t) = 1$ such that we can integrate the first equation from t = 0 to t and obtain

$$D_{p;u}(t) = b_p a_p A_{\text{on}} / \omega_0 A_0 \{ \exp\left[i \, 2(e_p - \omega_0)t\right] - 1 \} / [4(e_p - \omega_0)], \tag{C6}$$

⁷⁸⁵ such that we obtain for the upper population

$$D_{p;u}(t)|^{2} = |C_{p;u}(t)|^{2} = b_{p}^{2} a_{p}^{2} A_{\text{on}}^{2} / \omega_{0}^{2} A_{0}^{2} \left\{ \sin^{2}[(e_{p} - \omega_{0})t] / [4(e_{p} - \omega_{0})^{2}] \right\}.$$
(C7)

We would like to stress again that here the two-photon-like resonance is proportional to $A_{on}^2 A_0^2$ and therefore completely absent for the traditional two-level system (for which A_{on} is zero). If we replace $b_p = c^2/e_p$, $a_p = c p/e_p$, $A_{on} = A_0 = cE_0/\omega_0$, then this simplifies to $|C_{p;u}(t)|^2 = c^{10}p^2 E_0^4 e_p^{-4} \omega_0^{-6} \operatorname{Sin}^2[(e_p - \omega_0)t)/[4(e_p - \omega_0)^2]$. Finally, if we sum over all final populations (as we did in Appendix B) we obtain

$$N(t) = (1/\pi)c^7 \left(\omega_0^2 - c^4\right)^{1/2} / \left(4\omega_0^9\right) E_0^4 \pi t.$$
(C8)

As a result we obtain for the scaled variable $\kappa_{\rm II}(\omega_0) \equiv E_0^{-4} dN/dt$ the final desired expression

$$\kappa_{\rm II}(\omega_0) = c^7 (\omega_0^2 - c^4)^{1/2} / (4\omega_0^9).$$

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