

# Cliques with many colors in triple systems

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Erdős and Hajnal constructed a 4-coloring of the triples of an  $N$ -element set such that every  $n$ -element subset contains 2 triples with distinct colors, and  $N$  is double exponential in  $n$ . Conlon, Fox and Rödl asked whether there is some integer  $q \geq 3$  and a  $q$ -coloring of the triples of an  $N$ -element set such that every  $n$ -element subset has 3 triples with distinct colors, and  $N$  is double exponential in  $n$ . We make the first nontrivial progress on this problem by providing a  $q$ -coloring with this property for all  $q \geq 9$ , where  $N$  is exponential in  $n^{2+cq}$  and  $c > 0$  is an absolute constant.

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## 1. Introduction

The Ramsey number  $r_k(n; q)$  is the minimum integer  $N$  such that for any  $q$ -coloring of the  $k$ -tuples of an  $N$ -element set  $V$ , there is a subset  $A \subset V$  such that all of the  $k$ -tuples of  $A$  have the same color. Estimating  $r_3(n; 2)$  is one of the most central problems in combinatorics. The best known bounds, due to Erdős, Hajnal and Rado [5, 4], state that there are positive constants  $c$  and  $c'$  such that

$$(1) \quad 2^{cn^2} < r_3(n; 2) < 2^{2^{c'n}}.$$

Erdős conjectured that the upper bound is closer to the truth, namely,  $r_3(n; 2)$  grows double exponentially in  $\Theta(n)$ , and he even offered a \$500 reward for a proof. His conjecture is supported by the fact that a double exponential growth rate is known when we have 4 colors [3, 4], that is, for fixed  $q \geq 4$

$$(2) \quad r_3(n; q) = 2^{2^{\Theta(n)}}.$$

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In this paper, we study the following generalization of  $r_3(n; q)$ . For integers  $n > q \geq t \geq 2$ , let  $f(n; q, t)$  denote the maximum integer  $N$  such that there is a  $q$ -coloring of the triples of an  $N$ -element set  $V$  with the property that every subset of  $V$  of size  $n$  induces at least  $t$  distinct colors. Thus when  $t = 2$ , we have

$$f(n; q, 2) = r_3(n; q) - 1,$$

and for  $q \geq t \geq 3$ , we have  $f(n; q, t) < r_3(n; q)$ . When  $t = 3$ , Conlon, Fox, and Rödl raised the following problem [2].

**Problem 1.1** (Conlon-Fox-Rödl). *Is there an integer  $q \geq 3$  and a positive constant  $c$  such that  $f(n; q, 3) > 2^{2^{cn}}$  holds for all  $n > 2$ ?*

A simple application of the Probabilistic Method (see [1]) shows that  $f(n; q, 3) > 2^{cn^2}$ , where  $c = c(q)$ . Our main result is the following.

**Theorem 1.2.** *There is an absolute constant  $c > 0$  such that for all integers  $n > q \geq 9$ ,*

$$f(n; q, 3) \geq 2^{n^{2+c \cdot q}}.$$

For larger values of  $t$ , we show the following.

**Theorem 1.3.** *Given integers  $q \geq t \geq 2$ , there is an  $n_0 = n_0(q, t)$  such that for all integers  $n > n_0$ ,*

$$f(n; q, t) \geq 2^{n^{\log(q/(t-1))/4}}.$$

Both proofs are based on a stepping-up argument introduced by Erdős and Hajnal [3]. We start with the proof of Theorem 1.3 in the next section, as it is a direct application of the stepping-up method. The proof of Theorem 1.2 combines a more general stepping-up argument with induction, and is given in Section 3. Throughout this paper, all logarithms are in base 2.

## 2. Forcing many colors

In this section, we prove Theorem 1.3. We will need the following lemma.

**Lemma 2.1.** *Given integers  $q \geq t \geq 2$ , there is an integer  $m_0$  such that the following holds. For every  $m \geq m_0$ , there is a  $q$ -coloring  $\phi$  of the pairs of  $U = \{0, 1, \dots, \lfloor (q/(t-1))^{m/4} \rfloor - 1\}$  such that every subset of size  $m$  induces at least  $t$  distinct colors.*

*Proof.* Given  $q \geq t \geq 2$ , let  $m_0 = m_0(q, t)$  be a sufficiently large integer that will be determined later. Color the pairs of  $U = \{0, 1, \dots, \lfloor (q/(t-1))^{m/4} \rfloor$  uniformly independently at random with colors  $\{\alpha_1, \dots, \alpha_q\}$ . Let  $X$  denote

the number of subsets  $A \subset U$  of size  $m$  that have less than  $t$  distinct colors among their pairs. Then we have

$$\begin{aligned} \mathbb{E}[X] &\leq \binom{|U|}{m} \binom{q}{t-1} \left(\frac{t-1}{q}\right)^{\binom{m}{2}} \\ &\leq \left(\frac{q}{t-1}\right)^{m^2/4} q^{t-1} \left(\frac{t-1}{q}\right)^{m^2/2} \\ &= q^{t-1} \left(\frac{q}{t-1}\right)^{-m^2/4}. \end{aligned}$$

By setting  $m_0 = m_0(q, t)$  sufficiently large, we have for all  $m \geq m_0$ ,  $\mathbb{E}[X] < 1$ . Hence, there is a  $q$ -coloring  $\phi : \binom{U}{2} \rightarrow \{\alpha_1, \dots, \alpha_q\}$  such that every subset  $A \subset U$  of size  $m$  has at least  $t$  distinct colors among its pairs.  $\square$

*Proof of Theorem 1.3.* Given  $q \geq t \geq 2$ , let  $n_0 = n_0(q, t)$  be a sufficiently large integer that will be determined later. Set  $M = \lfloor (q/(t-1))^{m/4} \rfloor$ ,  $U = \{0, 1, \dots, M-1\}$ , and let  $\phi : \binom{U}{2} \rightarrow \{\alpha_1, \dots, \alpha_q\}$  be a  $q$ -coloring of the pairs of  $U$  with the properties described in Lemma 2.1. Set  $V = \{0, 1, \dots, 2^M - 1\}$ . In what follows, we will use  $\phi$  to define a  $q$ -coloring  $\chi : \binom{V}{3} \rightarrow \{\alpha_1, \dots, \alpha_q\}$  of the triples of  $V$  with the desired properties.

For each  $v \in V$ , write  $v = \sum_{i=0}^{M-1} v(i)2^i$  with  $v(i) \in \{0, 1\}$  for each  $i$ . For  $u \neq v$ , let  $\delta(u, v) \in U$  denote the largest  $i$  for which  $u(i) \neq v(i)$ . Notice that we have the following stepping-up properties (see [6]).

**Property I:** For every triple  $u < v < w$ ,  $\delta(u, v) \neq \delta(v, w)$ .

**Property II:** For  $v_1 < \dots < v_r$ ,  $\delta(v_1, v_r) = \max_{1 \leq j \leq r-1} \delta(v_j, v_{j+1})$ .

Using  $\phi : \binom{U}{2} \rightarrow \{\alpha_1, \dots, \alpha_q\}$ , we define  $\chi : \binom{V}{3} \rightarrow \{\alpha_1, \dots, \alpha_q\}$  as follows. For vertices  $v_1 < v_2 < v_3$  in  $V$  and  $\delta_i = \delta(v_i, v_{i+1})$ , we define  $\chi(v_1, v_2, v_3) = \alpha_j$  if and only if  $\phi(\delta_1, \delta_2) = \alpha_j$ . We now need the following lemma.

**Lemma 2.2.** *For  $m \geq 2$  set  $n = 2^m$ . Then for any set of  $n$  vertices  $v_1, \dots, v_n \in V$ , where  $v_1 < \dots < v_n$ , there is a subset  $B \subset \{\delta(v_i, v_{i+1}) : 1 \leq i \leq n-1\}$  with at least  $m$  distinct elements such that for each pair  $(\delta_r, \delta_s) \in \binom{B}{2}$ , there is a triple  $v_i < v_j < v_k$  in  $\{v_1, \dots, v_n\}$  such that  $\chi(v_i, v_j, v_k) = \phi(\delta_r, \delta_s)$ .*

*Proof.* We proceed by induction on  $m$ . The base case  $m = 2$  follows from Property I. For the inductive step, assume that the statement holds for all  $m' < m$ . Let  $v_1, \dots, v_n \in V$  such that  $v_1 < \dots < v_n$  and  $n = 2^m$ . Let  $\delta_i = \delta(v_i, v_{i+1})$ , for  $i = 1, \dots, n-1$ . Set  $\delta_w = \max\{\delta_i : 1 \leq i \leq n-1\}$

and notice that, by Properties I and II above,  $\delta_w > \delta_i$  for all  $i \neq w$ . Set  $S = \{v_1, \dots, v_w\}$  and  $T = \{v_{w+1}, \dots, v_n\}$ . Then either  $|S|$  or  $|T|$  has size at least  $2^{m-1}$ . Without loss of generality, we can assume that  $|S| \geq 2^{m-1}$  since a symmetric argument would follow otherwise. By the induction hypothesis, there is a subset  $B_0 \subset \{\delta_1, \dots, \delta_{w-1}\} \subset U$  with at least  $m-1$  distinct elements and for each pair  $(\delta_r, \delta_s) \in \binom{B_0}{2}$ , there is a triple  $v_i < v_j < v_k$  in  $S$  such that

$$\chi(v_i, v_j, v_k) = \phi(\delta_r, \delta_s).$$

Set  $B = \{\delta_w\} \cup B_0$ , which implies  $|B| \geq m$ . Then notice that for each pair  $(\delta_w, \delta_r)$ , where  $\delta_r \in B_0$ , by Property I above, we have

$$\chi(v_r, v_{r+1}, v_{w+1}) = \phi(\delta_w, \delta_r).$$

Hence  $B \subset U$  has the desired properties, and this completes the proof of the claim.  $\square$

Set  $n_0 = \lceil 2^{m_0} \rceil$  where  $m_0$  is defined in Lemma 2.1. Then for all  $n > n_0$  we have  $m > m_0$ . Thus, by Lemma 2.1 and Lemma 2.2, any set of  $n$  vertices in  $V$  induces at least  $t$  distinct colors with respect to  $\chi$ . Since  $|V| = 2^{(q/(t-1))^{m/4}}$  and  $n = 2^m$ , we have  $|V| = 2^{n^{\log(q/(t-1))/4}}$ .  $\square$

### 3. Forcing three colors

In this section, we prove Theorem 1.2. We will need the following lemma.

**Lemma 3.1.** *Let  $r > 3$  and set  $V_3 = \{0, 1, \dots, \lfloor 2^{r^2/24} \rfloor - 1\}$ . Then there is a 3-coloring  $\phi_3 : \binom{V_3}{3} \rightarrow \{\beta_1, \beta_2, \beta_3\}$  of the triples of  $V_3$  such that every subset of size  $r$  induces at least three distinct colors.*

We omit the proof of Lemma 3.1 as it follows by the same probabilistic argument used for Lemma 2.1. Hence, Lemma 3.1 implies that  $f(n; 3, 3) \geq 2^{n^2/24}$ . Together with the following recursive formula, Theorem 1.2 quickly follows.

**Theorem 3.2.** *For integers  $n > q \geq 9$ , we have*

$$f(n; q, 3) \geq (f(\lfloor n/\log n \rfloor, q-6, 3))^{n^{1/4}/2}.$$

We will also need the following lemma, whose proof is also omitted since it follows from the same probabilistic argument as in Lemma 2.1.

**Lemma 3.3.** *Let  $s > 3$  and set  $V_2 = \{0, 1, \dots, \lfloor 2^{s^2/4} \rfloor\}$ . Then there is a 3-coloring  $\phi_2 : \binom{V_2}{2} \rightarrow \{\alpha_1, \alpha_2, \alpha_3\}$  of the pairs of  $V_2$  such that every subset of size  $s$  induces at least three distinct colors.*

*Proof of Theorem 3.2.* Given  $n > q \geq 9$ , let  $r = \lfloor n/\log n \rfloor$  and  $s = \lfloor \log n \rfloor$ . Set  $N_2 = \lfloor 2^{s/4} \rfloor$ ,  $N_3 = f(r; q-6, 3)$ , and

$$V_2 = \{0, 1, \dots, N_2 - 1\} \quad \text{and} \quad V_3 = \{0, 1, \dots, N_3 - 1\}.$$

Using Lemma 3.3, we obtain  $\phi_2 : \binom{V_2}{2} \rightarrow \{\alpha_1, \alpha_2, \alpha_3\}$  such that every subset of  $V_2$  of size  $s$  induces at least three colors. Likewise, by definition of  $f(r, q-6, 3)$ , we obtain  $\phi_3 : \binom{V_3}{3} \rightarrow \{\beta_1, \dots, \beta_{q-6}\}$  such that every subset of  $V_3$  of size  $r$  induces at least three distinct colors. We now apply the following more general stepping-up procedure.

Set  $N = N_3^{N_2}$  and  $V = \{0, 1, \dots, N - 1\}$ . For each  $v \in V$ , write  $v = \sum_{i=0}^{N_2-1} v(i)(N_3)^i$  with  $v(i) \in V_3$  for each  $i$ . For  $u, v \in V$  with  $u < v$ , let  $\delta(u, v) \in V_2$  denote the largest  $i$  for which  $u(i) \neq v(i)$ . Notice that we no longer have Property I from the previous stepping-up procedure, but we do have the following properties.

**Property II:** For  $v_1 < \dots < v_r$ ,  $\delta(v_1, v_r) = \max_{1 \leq j \leq r-1} \delta(v_j, v_{j+1})$ .

**Property III:** For  $v_1 < v_2 < v_3$  such that  $\delta(v_1, v_2) = \delta(v_2, v_3) = i$ ,  $v_1(i) < v_2(i) < v_3(i)$ .

Using  $\phi_2$  and  $\phi_3$ , we define  $\chi : \binom{V}{3} \rightarrow \{\gamma_1, \dots, \gamma_q\}$  as follows. For vertices  $v_1 < v_2 < v_3$  in  $V$ , let  $\delta_1 = \delta(v_1, v_2)$  and  $\delta_2 = \delta(v_2, v_3)$ . Then for  $i \in \{1, 2, 3\}$ ,

- set  $\chi(v_1, v_2, v_3) = \gamma_i$  if and only if  $\delta_1 > \delta_2$  and  $\phi_2(\delta_1, \delta_2) = \alpha_i$ ,
- set  $\chi(v_1, v_2, v_3) = \gamma_{3+i}$  if and only if  $\delta_1 < \delta_2$  and  $\phi_2(\delta_1, \delta_2) = \alpha_i$ ,

and for  $i \in \{1, \dots, q-6\}$ ,

- set  $\chi(v_1, v_2, v_3) = \gamma_{6+i}$  if and only if  $\delta_1 = \delta_2 = j$  and we also have  $\phi_3(v_1(j), v_2(j), v_3(j)) = \beta_i$ ,

Notice that  $n \geq \max\{s \cdot r, 2^s\}$ . We claim that any set of  $n$  vertices  $v_1, \dots, v_n \in V$  induces at least 3 distinct colors with respect to  $\chi$ . For sake of contradiction, let  $A = \{v_1, \dots, v_n\} \subset V$  such that  $v_1 < \dots < v_n$  and  $\chi(v_i, v_j, v_k) \in \{\gamma_x, \gamma_y\}$  for all triples  $(v_i, v_j, v_k) \in \binom{A}{3}$ . Set  $\delta_i = \delta(v_i, v_{i+1})$  for  $i = 1, \dots, n-1$ . The proof now falls into the following cases.

*Case 1.* Suppose  $\gamma_x, \gamma_y \in \{\gamma_1, \gamma_2, \gamma_3\}$ . Then we have  $\delta_1 > \delta_2 > \dots > \delta_{n-1}$ . However,  $\delta_i \in U = \{0, 1, \dots, \lfloor 2^{s/4} \rfloor - 1\}$  and  $n = 2^s$  which is a contradiction. A similar argument follows if  $\gamma_x, \gamma_y \in \{\gamma_4, \gamma_5, \gamma_6\}$ .

*Case 2.* Suppose  $\gamma_x, \gamma_y \in \{\gamma_7, \dots, \gamma_{q-6}\}$ . Then we must have  $\delta_1 = \dots = \delta_{n-1} = i$  and  $v_1(i) < \dots < v_{n-1}(i)$ . Since  $n \geq r$ , by definition of  $\chi$  and  $\phi_3$ , the set  $\{v_1, \dots, v_n\}$  induces at least three distinct colors, contradiction.

*Case 3.* Suppose  $\gamma_x \in \{\gamma_1, \gamma_2, \gamma_3\}$  and  $\gamma_y \in \{\gamma_4, \gamma_5, \gamma_6\}$ . Then in this case, for any triple  $v_i < v_j < v_k$ , we have  $\delta(v_i, v_j) \neq \delta(v_j, v_k)$  and

$$\phi_2(\delta(v_i, v_j), \delta(v_j, v_k)) = \alpha_z$$

for some fixed  $z$ . Set  $\delta_w = \max\{\delta_i : 1 \leq i \leq n-1\}$  and notice that, by Property II above,  $\delta_w > \delta_i$  for all  $i \neq w$ . Therefore, a straight-forward adaptation of Lemma 2.2 gives us the following claim.

**Claim 3.4.** *For  $s \geq 2$ , any set of  $2^s$  vertices  $v_1, \dots, v_{2^s} \in V$ , with the properties described above, there is a subset  $B \subset \{\delta(v_i, v_{i+1}) : 1 \leq i \leq 2^s-1\}$  with at least  $s$  distinct elements such that  $\phi_2(\delta_i, \delta_j) = \alpha_z$  for every pair  $(\delta_i, \delta_j) \in \binom{B}{2}$ .*

However, this contradicts Lemma 3.3.

*Case 4.* Suppose  $\gamma_x \in \{\gamma_1, \dots, \gamma_6\}$  and  $\gamma_y \in \{\gamma_7, \dots, \gamma_q\}$ . Without loss of generality, we can assume that  $\gamma_x = \gamma_1$  and  $\gamma_y = \gamma_7$  since a symmetric argument would follow otherwise. Notice that there is an integer  $w_1 \in \{1, \dots, r\}$  such that  $\delta(v_1, v_{w_1}) > \delta(v_{w_1}, v_{w_1+1})$ . Indeed, otherwise if  $\delta_1 = \dots = \delta_r$ , by the definition of  $\chi$  and the properties of  $\phi_3$  described above, the set  $\{v_1, \dots, v_r\}$  induces at least three distinct colors with respect to  $\chi$ , contradiction.

The same argument shows that there must be an integer  $w_2 \in \{w_1 + 1, \dots, w_1 + r\}$  such that  $\delta(v_{w_1}, v_{w_2}) > \delta(v_{w_2}, v_{w_2+1})$ . Since  $n \geq s \cdot r$ , a repeated application of the argument above shows that there are integers  $w_1 < \dots < w_{s-1}$ , such that

$$\delta(v_1, v_{w_1}) > \delta(v_{w_1}, v_{w_2}) > \delta(v_{w_2}, v_{w_3}) > \dots > \delta(v_{w_{s-1}}, v_{w_{s-1}+1}).$$

By Property II,  $\chi$  colors every triple in  $\{v_1, v_{w_1}, \dots, v_{w_{s-1}}, v_{w_{s-1}+1}\}$  with color  $\gamma_1$ . However, this implies that the set

$$S = \{\delta(v_1, v_{w_1}), \delta(v_{w_1}, v_{w_2}), \dots, \delta(v_{w_{s-2}}, v_{w_{s-1}}), \delta(v_{w_{s-1}}, v_{w_{s-1}+1})\} \subset U,$$

has the property that  $|S| = s$  and  $\phi_2 : \binom{S}{2} \rightarrow \alpha_1$ , which is a contradiction. Since  $|V| = N_3^{N_2}$ ,

$$f(n; q, 3) \geq |V| \geq (f(\lfloor n/\log n \rfloor; q-6, 3))^{n^{1/4}/2}.$$

This completes the proof of Theorem 3.2. □

Combining Theorem 3.2 with the fact that  $f(n; 3, 3) > 2^{n^2/24}$  gives the following.

**Theorem 3.5.** *For fixed  $q \geq 3$  and for all  $n > 3$  we have*

$$f(n; q, 3) > 2^{n^{2+\frac{1}{4}} \lfloor \frac{q-3}{6} \rfloor - o(1)}.$$

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