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Mental time travel in the rat

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24 **Abstract**

25 I outline the perspective that searching the contents of memory is a form of mental time
26 travel in nonhumans that is relatively tractable because it focuses on the contents of
27 memory. I propose that an animal model of mental time travel requires three elements:
28 (1) the animal remembers multiple events using episodic memory, (2) the order of
29 events in time is included in the representation, and (3) the sequence of events can be
30 searched to find a target that occurred at a particular time. I review experiments
31 suggesting that rats represent multiple items in episodic memory (Element 1) in order of
32 occurrence (Element 2) and engage in memory replay to search representations in
33 episodic memory in sequential order to find information at particular points in the
34 sequence (Element 3). The cognitive building blocks needed for mental time travel may
35 be quite old in the evolutionary timescale.

36 **Introduction**

37 Mental time travel (MTT) is the human capacity to reexperience the past and
38 imagine the future (1-3). Episodic memory involves recalling the past and in humans is
39 described as the phenomenological conscious experience of projecting oneself
40 (autonoesis) in time (chronesthesia) (4, 5). Because there are no agreed upon empirical
41 approaches to investigate subjective experiences in nonhumans, efforts to develop
42 animal models of episodic memory have focused on the contents of episodic memory
43 (6). Although theoretical perspectives about MTT in people focus on autonoetic
44 consciousness (4) and chronesthesia (5), these types of subjective experiences may
45 not be assessed in nonhumans. Therefore, comparative studies of mental time travel

46 take a behavioral perspective, asking what an animal capable of MTT can do via its
47 behavior (6).

48 According to the central hypothesis of animal models of episodic memory, at the
49 moment of a memory assessment, the animal remembers back in time to a specific
50 earlier event (7-13). We have conducted a number of tests of this hypothesis and
51 described evidence for what-where-when memory (14), source memory (15), binding of
52 episodic memories (16), memory of multiple items in context (17), replay of episodic
53 memories (18, 19), and answering unexpected questions after incidental encoding (19,
54 20). These lines of evidence suggest that rats are a suitable model of episodic memory
55 (10).

56 Here, I outline the perspective that rats are capable of MTT. I review research
57 that demonstrates that rats can search representations in episodic memory to find
58 specific event memories, rules out the use of memory trace strength and working
59 memory in this approach, shows that this ability is hippocampal dependent, and shows
60 that rats replay incidentally encoded episodic memories.

61 **Animal models of episodic memory: Overview**

62 Whether animals can remember back in time to an earlier event or episode is a
63 fundamental question in comparative cognition (21, 22). Thus, the suitability of
64 nonhumans as a model of episodic memory has been investigated and debated for over
65 two decades. Tulving (23) initially defined episodic memory as memory for the spatial
66 and temporal characteristics of an event. Subsequently, Tulving focused on the
67 conscious experience of episodic memory (3-5). The initial definition of episodic
68 memory is suitable for studies in animals because it focuses on the content of episodic

69 memory (e.g., what, where, when), and avoids the human subjective experiences that
70 cannot be evaluated in animals. Clayton and Dickinson (24) were the first to show that
71 animals retrieve episodic memories. Cases of episodic memory have subsequently
72 been shown in other species, e.g., (25-34).

73 To validate an animal model of episodic memory, it is necessary to rule out non-
74 episodic hypotheses (29). To establish that an animal remembers a a unique episode, it
75 must be shown that it is not using judgments of familiarity of the event. Episodic
76 memory involves memory of an event and the contextual details surrounding the
77 episode. Familiarity is the impression that an item is known without remembering the
78 contextual details associated with the event (4).

79 When developing animal models of episodic memory, we do not expect all
80 aspects of human episodic memory to be included in any one model. I favor this
81 terminology because the focus is on developing a model of selected aspects of human
82 cognition. In the sections that follow, I describe our efforts to develop an animal model
83 to document that rats replay a stream of episodic memories. I outline the perspective
84 that this research may be used to develop an animal model of MTT.

85 **An animal model of mental time travel**

86 I outline the development of an animal model of MTT and weigh the constraints,
87 strengths, and weaknesses of such an approach. At the end of this review, I offer
88 suggestions for future research.

89 A major shift in thinking about mental time travel proposed here is the focus on
90 searching the content of multiple representations in episodic memory. MTT cannot exist
91 in a framework without multiple representations. For example, early work in the

92 development of animal models of episodic memory focused on the question – does a
93 particular animal have a representation of a single earlier event. It is natural to begin the
94 development of such a model by focusing on a *single* event (35). However, episodic
95 memory in people is characterized by a multitude of episodic memories, and MTT in
96 people necessarily involves remembering a target event amid many temporally nearby
97 event memories. In the early stages of development, it was unknown if the
98 documentation of episodic memory of a single event represented a major limitation of
99 animal models of episodic memory. Clayton and colleagues (25) were the first to
100 document that a nonhuman represented multiple events using episodic memory. Crystal
101 and colleagues (16) initially showed that rats can remember two events with several
102 overlapping features using episodic memory. Subsequently, we showed (17) that rats
103 remember at least 30 events by documenting that rats remember odors and the
104 contexts in which these odors occurred. For a review of the methods used in these
105 studies with rats see (10).

106 An animal may represent multiple events in episodic memory, but the
107 representations may not preserve the temporal flow of the events. Moreover, an animal
108 may have rudimentary information about the order of events but may be unable to
109 search these representations to find a target that occurred at a specific time. I outline
110 the perspective that an animal model of MTT requires three elements. Element 1: the
111 animal remembers multiple events using episodic memory; Element 2; the order of
112 events in time is included in the representation; and Element 3: the sequence of events
113 can be searched to find a target that occurred at a particular time. I propose that rats
114 represent multiple items in episodic memory (Element 1) in order of occurrence

115 (Element 2), and they replay their memory by searching their episodic memory to find
116 items at targetted locations in the sequence (Element 3) (10, 18, 19). In the sections
117 that follow, I review experiments that show that rats meet the three criteria outlined
118 above. The theme of the experiments that follow involves ruling out non-episodic
119 solutions to the memory problem.

120 It is worth emphasizing that the proposed animal model of MTT represents a shift
121 in thinking about MTT. The focus here is on the contents of memory. Namely, do
122 animals use episodic memory to represent multiple events, their order, and are they
123 capable of searching these representations to find a target that occurred at a particular
124 time. The model captures the content of MTT (the events, their order, and searching in
125 time), but the focus on content imposes constraints on inferences about non-content-
126 based processes. Notably, the model does not include subjective experiences. Thus,
127 the model is silent on the role of the self being situated in the flow of events and the
128 feeling of knowing that the events occurred in one's personal experience. Nevertheless,
129 a strength of this approach includes the potential to model the decline in the contents of
130 episodic memory that occurs in human diseases of memory (e.g., Alzheimer's disease)
131 (36). A limitation of this approach includes the absence of information about subjective
132 changes in experiences that may accompany declines in memory content in human
133 diseases of memory.

134 **Replay of episodic memories**

135 People can replay of the flow of past events in sequential order (37-39). In our
136 experiments (18), rats received a list of odors (Figure 1a). Household spices and oils
137 were infused on plastic lids, which covered cups with food at random locations in

138 distinctive arenas. In list encoding, the length of the list was unpredictable (5-12
139 items/list); the large number of items in the list satisfies Element 1. When a list ended,
140 the rat was placed in one of two distinctive memory-assessment contexts, where two
141 items from the list were presented (referred to as list memory assessments). In one
142 context, the correct (rewarded) choice was the second to the last item from the earlier
143 list. The correct choice was the fourth from the last item in the other context . The
144 incorrect choice (referred to as a foil) was randomly selected from a different position in
145 the list. Because the list length changed randomly during list encoding, the rat could not
146 know at the time of presentation which items would be the correct or incorrect choices in
147 the subsequent memory assessment. If the rat replayed the sequence of episodic
148 memories (Elements 2 and 3), it would choose the correct item in second- and fourth-
149 last contexts. The rats passed an initial test of episodic memory replay; accuracy was
150 above chance in second- and fourth-last memory assessments (Figure 1d).

151 **Ruling out memory trace strength**

152 An animal model of MTT requires that the animal uses episodic memory to solve
153 the memory problem. Our approach to strengthening the claim that rats rely on episodic
154 memory focuses on showing that other aspects of memory are not sufficient to explain
155 choices in the memory task. When an odor is presented, it produces a memory trace,
156 but the retrievability of that memory decreases as a function of time; this type of account
157 is referred to as *familiarity*. The age of the memory provides a cue that may be used to
158 solve the task without episodic memory (29, 40). For example, items that occur earlier in
159 the list will typically have smaller memory trace strengths at the time of a memory
160 assessment. Because the likelihood of memory retrieval depends on the similarity

161 between encoding and retrieval contexts (41), small changes in the retrieval context are
162 assumed to occur over time. Accordingly, the probability of retrieving the memory
163 declines as a function of time due to moment-to-moment changes in context (42-44).

164 If the animal remembers multiple events using episodic memory (Element 2), we
165 should be able to dissociate episodic memory replay and non-episodic memory
166 hypotheses (Element 1, Figure 1b). Rats represent multiple items in episodic memory
167 (Element 1) in order of occurrence (Element 2) and engage in memory replay (Element
168 3) according to the episodic memory replay hypothesis. Accordingly, it is proposed that
169 the rat searches episodic memory to find a target that occurred at a specific time
170 (Element 3). Alternatively, according to a non-episodic memory hypothesis, when an
171 event occurs, it produces a memory trace whose probability of retrieval declines as a
172 function of time. Accordingly, the rat may learn to select the choice item that matches
173 the typical memory trace strength of a second- or fourth-last item in each memory-
174 assessment context. By using memory trace strength, it could identify the second-last
175 (large trace) and the fourth-last (smaller trace) items in the corresponding context;
176 depending on their positions in the list, the incorrect choice would have memory trace
177 strength that is too high or too low relative to that of the second- or fourth-last items.
178 According to this non-episodic memory hypothesis, the rat would choose an item that
179 matches the typical memory strength for the current context while avoiding values
180 above and below the expected level, without replaying episodic memories. Because
181 episodic memory and familiarity are confounded, we developed an approach to
182 dissociate familiarity and episodic memory. The time between list items was doubled
183 (Figure 1b), which impacts the profile of memory trace strengths of items but obviously

184 does not impact the sequential order of items. To dissociate processes, the incorrect
185 choice (i.e., foil) in the memory assessment had the typical memory strength of a
186 correct item. Consequently, the incorrect choice was attractive because its delay
187 matched that of a second (or fourth) last item; therefore, a rat using memory trace
188 strength would choose the foil (leading to below-chance performance). In contrast, an
189 animal using episodic memory replay would choose the second (or fourth) last item
190 correctly (leading to above-chance performance). We observed above chance accuracy
191 (Figure 1d) in both second- and fourth-last dissociation tests, which rules out memory
192 trace strength; similarly, these data rule out the use of judgments of familiarity, the age
193 of memories, and timed intervals between the event and the memory assessment.
194 These data are consistent with the hypothesis that rats replay episodic memories.

195 **Ruling out working memory**

196 An animal model of MTT requires that the animal uses episodic memory to solve
197 the memory problem. Working memory is defined as the active maintenance of
198 information despite ongoing information processing or distraction (45). Working memory
199 resources would be required to retain and rapidly update the last items in memory when
200 each new item is presented. It is unlikely that rats relied on working memory in the
201 absence of episodic memory to solve the list task for four reasons (18). First, because
202 episodic memory, but not working memory, is considered long-term memory (46-48), we
203 examined list memory after a 60-minute delay. List memory survived a 60-minute
204 retention-interval challenge (Figure 1d), consistent with episodic memory but not with
205 working memory.

206 Second, episodic memory is resistant to interference from new information
207 whereas working memory is displaced by new information. We evaluated resistance to
208 interference by presenting odors in a new-old memory task in a distinctive context after
209 encoding a list; rats were trained to select a new odor (not previously presented) while
210 avoiding old odors (recently presented), which documents that they smelled and
211 remembered odors after presentation of the earlier list task. Thus, we showed that list
212 memory withstands interference from memory of other odors (Figure 1c-d). Third,
213 working memory is susceptible to manipulations of memory load (49, 50). We evaluated
214 acquisition and terminal performance when rats searched for second- and fourth-last
215 odors. Although we manipulated memory load, performance did not decline as memory
216 load increased (Figure 1d-e), which is inconsistent with the use of working memory.
217 Fourth, because episodic memory depends on the hippocampus, we showed that replay
218 of episodic memories is hippocampal dependent as described in the next section.

219 **Reliance on hippocampus**

220 Because the hippocampus is critical for episodic memory (51-53), we inhibited
221 neurons in the dorsal hippocampus using DREADDs (Designer Receptor Exclusively
222 Activated by Designer Drug). The selective and reversible impairment of list-memory
223 performance following temporary suppression of hippocampal neurons did not impact
224 other aspects of memory; hippocampal-independent assessments of memory included
225 new-old recognition memory for odors and a simple odor discrimination. These data
226 support the hypothesis that list-memory performance requires episodic memory (Figure
227 1c,f).

228 **Incidental encoding and an unexpected question**

229 **Overview**

230 People remember events even though they were apparently unimportant when
231 they occurred. Although events are not always known to be important when they occur,
232 people may remember details about such incidentally encoded information using
233 episodic memory. In most experiments using animals, information may be explicitly
234 encoded in anticipation of an expected test of memory. Accordingly, an animal may use
235 explicitly encoded information to generate a *planned action* (10, 20, 26). Hence, when
236 the test occurs, the planned action can occur *without remembering back in time to the*
237 *earlier episode*.

238 Notably, a powerful solution to this problem is provided by the combination of
239 *incidental encoding* and an *unexpected question* (10, 20, 26, 30-33). To describe
240 information as encoded incidentally is to highlight that it is not yet known that the
241 information is needed for a future test. To describe the test of memory as unexpected is
242 to highlight that predicting the later test is not possible when the information was
243 originally encountered. Consequently, one cannot generate a planned action when an
244 unexpected test occurs after incidental encoding. Thus, the only solution in this situation
245 is to retrieve an episodic memory of the incidentally encoded event. Answering an
246 unexpected question after incidental encoding of a single event has been demonstrated
247 in pigeons (26), rats (20), dogs (30-32), dolphins (33), and Eurasian jays (54). In earlier
248 work, we showed that rats can answer an unexpected question after incidental encoding
249 of a single event (20); for review, see (10).

250 **Replay of incidentally encoded episodic memories**

251 We tested the hypothesis that rats encode multiple pieces of seemingly
252 unimportant information (Element 1) in order of occurrence (Element 2) and later they
253 replayed a series of episodic memories (Element 3) when information was needed to
254 solve an unexpected memory assessment. Rats were initially trained in two tasks
255 (Figure 2a-b). In the list encoding task, rats were trained to select odors that were the
256 third to last item in a list (Figure 2a). Lists consisted of 4-11 odors presented one at a
257 time in a distinctive encoding context; the large number of items in the list satisfies
258 Element 1. Next, in the list memory assessment, the rat was presented with two odors
259 from the list in a distinctive memory-assessment context. The rats were rewarded for
260 choosing the odor that was the third-last item in the list; the rats were not rewarded for
261 choosing the other odor, which was randomly selected from a different ordinal position
262 in the list. Explicit encoding of information for the purpose of taking an expected test of
263 memory cannot be ruled out because the rats were trained in this task.

264 In the second task, rats foraged for food in a radial maze with eight runways
265 (Figure 2b). Food was covered by *unscented* plastic lids at the end of each arm. Food
266 was initially available in four arms (study phase) followed by food in not-yet visited
267 locations with access to all 8 arms (test phase). When foraging in a study phase, the rat
268 likely anticipates foraging in the subsequent test phase. The contents of memory from
269 foraging are maze locations (55-58).

270 Our approach in a critical test allowed rats to forage in the radial maze with
271 incidental exposure to scented lids unexpectedly covering food cups. Next, the rats
272 received a memory assessment for odors that were encountered in the maze. However,
273 it is possible that the rats treated odors in the new condition as they had in the earlier

274 training condition (i.e., stimulus generalization), which provides a non-episodic memory
275 solution. To address this issue, we designed a control condition to determine if rats
276 generalize or fail to generalize in a novel situation. The rationale for the control condition
277 is that it is unlikely that rats generalized in the novel situation in the critical test If they
278 fail to generalize in the control condition. In the control condition (Figure 2c), the rats
279 received a list of odors in the previously trained list encoding context (List 1), a list of
280 odors in a novel context (List 2; yet another arena with distinct features), and a memory
281 assessment where the choice was the third-last odors from Lists 1 and 2. According to
282 stimulus generalization, rats automatically encode odors for the purpose of taking an
283 anticipated memory test and would choose the odor from List 2 (because the third-last
284 odor is literally in List 2). By contrast, a failure of stimulus generalization would occur if
285 rats do not automatically encode odors for the purpose of taking an upcoming memory
286 test. In this situation, the rats may choose the List-1 odor because it occurred in the
287 arena that was used during training of the original list encoding task. This latter option is
288 not possible if rats automatically encode odors for the purpose of taking a test using
289 stimulus generalization because the actual third-last item occurred in List 2. As shown in
290 Figure 2e, all of the rats chose the odor from List 1 and none chose the odor from List 2.
291 These data document a failure of stimulus generalization. Because rats did not
292 automatically encode odors for the purpose of an upcoming test in the control condition,
293 it is unlikely that they automatically encode odors in the critical test.

294 In a critical test, an opportunity for incidental encoding was provided on a single
295 occasion when rats foraged in the radial maze with *scented lids* covering the food cups
296 (Figure 2d). Next, the rats were immediately and unexpectedly provided with a single list

297 memory assessment with a choice between the third-last odor from the radial maze and
298 another odor from the maze. Prior to the critical test, rats did not encounter scented lids
299 while foraging in the radial maze and did not receive a list memory assessment after
300 foraging in the radial maze. Notably, based on earlier training, the rats could not predict
301 that the odors in the radial maze were important or that they would later be asked about
302 the maze odors. To answer the unexpected question after incidental encoding, the rat
303 would need to use episodic memory to replay the sequence of odors encountered in the
304 maze. In contrast, rats without this ability would choose randomly.

305 When rats unexpectedly found odors during radial-maze foraging and their
306 memory for the order of these odors was assessed immediately, the rats correctly
307 answered the unexpected question (Figure 2f. Radial maze test). The critical test was
308 conducted once per rat to ensure that the data were obtained before any new learning
309 could occur. These results are consistent with the hypothesis that rats can replay a
310 stream of multiple sequential events (Elements 1 and 2) that were not known to be
311 important when the events occurred and report this information when unexpectedly
312 asked to search episodic memories (Element 3). In a complimentary experiment, when
313 rats found odors during radial-maze foraging and their memory for the order of these
314 odors was assessed after a 15-minute delay, they also correctly answered the
315 unexpected question (Elements 1-3, Figure 2g). These data are consistent with the
316 hypothesis that rats replayed long-term episodic memories after incidental encoding.

317 **Future research on an animal model of mental time travel**

318 As noted above, a theme of the experiments reviewed here involves using
319 experimental techniques to rule out non-episodic memory solutions to the memory

320 problems. Remembering the order of stimulus presentations alone does not imply that
321 episodic memories are formed and that these memories are replayed. The conclusion
322 that rats search representations in episodic memory to find specific event memories is
323 bolstered by experiments that rule out the use of memory trace strength, working
324 memory, and expectations of a memory test in this approach, and by experiments that
325 show that this ability is hippocampal dependent. Moreover, the focus on the content of
326 memory is devoid of subjective experiences such as re-living the experience, being
327 situated in the flow of events, and other aspects of the full recollective experience.

328 This review highlights evidence that multiple events are represented using
329 episodic memory (Element 1), the order of events are represented (Element 2), and
330 representations are searched to find a target at a particular time (Element 3). However,
331 episodic memory involves memory for items and the contexts in which they occurred
332 (17, 52, 53). People can use MTT to remember events that occurred in different
333 contexts (e.g., I can remember breakfast at home, a conversation in my office, a lecture
334 at the end of the day). Thus, a case for a richer model of MTT would show that the flow
335 of events includes not just the events but the context in which these events occurred in
336 future research. Future research may explore the abilities (or limitations) of replaying a
337 sequence of events that were interleaved across multiple contexts. Another avenue for
338 future research involves parametric exploration of the abilities (or limits) of the replay of
339 episodic memory. For example, although we have used lists of up to 12 items and
340 shown that rats can search for targets 2, 3, or 4 items from the end of the list (18, 19), it
341 is unknown if substantially larger lists and targets may be replayed. Some earlier work
342 suggests we have not reached the limits of memory in our approach. For example, rats

343 remember at least 30 items-in-context using episodic memory (17). Moreover, memory
344 for odors in rats is remarkably robust. For example, we have shown that rats remember
345 at least 100 odors (59) (see also (60)), although these studies did not evaluate the
346 contributions of episodic memory). A further future direction involves exploring the
347 longevity of episodic replay; we have used relatively long delays (e.g., 15-60 minutes)
348 without substantial declines in performance (17-19). Similarly, we have used relatively
349 modest interference (4 odors) without evidence of performance declines (18, 19). These
350 observations may suggest that the temporal limits may be quite extended in time, which
351 may be explored in future research. Establishing the limits of MTT in animals may
352 provide insights about similarities or differences to MTT in people.

353 **Conclusions**

354 I propose that searching the contents of memory is an animal model of MTT.
355 Rats incidentally encode multiple pieces of seemingly unimportant information, and later
356 they replayed a stream of episodic memories when that information was needed to
357 solve an unexpected problem. Our findings suggest that the cognitive building blocks
358 needed for MTT (i.e., replaying a stream of episodic memories) is relatively old from an
359 evolutionary perspective. It is widely assumed that fundamental elements of human
360 memory do not occur in non-primates (e.g., (61-63)). Testing this hypothesis requires
361 exploring cognitive processes in non-primates. We have modeled a number of critical
362 aspects of human cognition in rats, such as: what-where-when memory (14), source
363 memory (15), binding of episodic memories (16), memory of many items and the
364 contexts in which they occurred (17), retrieval practice (64), prospective memory (65),
365 and replay of incidentally encoded episodic memories (18, 19). Overall, our research

366 supports the hypothesis that rats may be used to model key elements of human
367 memory. All of these approaches focus on the contents of memory. In conditions in
368 which non-episodic memory solutions are ruled out, searching the contents of memory
369 is an animal model of MTT that is relatively tractable because it too focuses on the
370 contents of memory.

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508 **Figure captions**

509

510 **Figure 1.** Rats replay a stream of multiple episodic memories. Schematic depiction of
511 (a) list encoding and memory assessments, (b) dissociation of episodic-memory and
512 memory-trace-strength accounts of list-memory performance, and (c) an interference
513 test. Because the same time scale is used in (a) and (b), it may be noted that the
514 second-last item in (b) occurred at the time of the fourth-last item in (a), and the fourth-
515 last item in (b) occurred at the time of the eighth-last item in (a). Data from (18) showing
516 (d) reliance on episodic memory rather than memory trace strength and overall high
517 accuracy in list memory task, including after a 60-min delay and interference, (e)
518 equivalent performance in acquisition under varying memory loads, and (f) list-memory
519 performance is impaired by inhibition of hippocampal neurons while sparing other
520 aspects of memory. (d-f) Error bars represent 1 SEM. Adapted and reproduced with
521 permission from Panoz-Brown, D., Iyer, V., Carey, L.M., Sluka, C.M., Rajic, G.,
522 Kestenman, J., Gentry, M., Brotheridge, S., Somekh, I., Corbin, H.E., Tucker, K.G.,
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524 episodic memories in the rat. *Current Biology*, 28(10), 1628-1634.e1627. ©2018

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526 **Figure 2.** Replay of episodic memories after incidental encoding of multiple events in an
527 unexpected assessment of memory. Schematic depiction of (a) list encoding and
528 memory assessment, (b) foraging for food (encountered below unscented lids on a
529 radial maze), (c) a control condition that pits explicit encoding of an initial list in the
530 trained list-encoding context (List 1) against stimulus generalization in a novel context

531 (List 2), and (d) a critical test in which rats forage on the radial maze but encounter food
532 below scented lids followed by a memory assessment to prompt replay of episodic
533 memories. Data from (19) showing (e) failure of stimulus generalization (i.e., failure to
534 automatically encode odors for the purpose of an upcoming test in a novel context), and
535 (f-g) high accuracy when rats encountered odors while foraging in the radial maze and
536 their memory for the order of encountered odors was unexpectedly assessed (f)
537 immediately or (g) after a 15-min delay. (e-g) Error bars represent 1 SEM. Adapted and
538 reproduced with permission from Sheridan, C. L., Lang, S., Knappenberger, M., Albers,
539 C., Loper, R., Tillett, B., Sanchez, J., Wilcox, A., Harrison, T., Panoz-Brown, D., &
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