

1 The Tropical Easterly Jet over Africa and its Representation in Six Reanalysis Products

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19

20

21 Abstract

22

23 This paper compares the characteristics of the Tropical Easterly Jet(TEJ) and upper-level winds in  
24 six reanalysis products, compares them with soundings over West Africa, and examines the  
25 relationship between Sahel rainfall and the TEJ. The reanalysis products utilized are NCEP 1,  
26 **ERA 5, JRA 55**, MERRA 2, CFSR, and 20th Century Reanalysis. For observational winds, pilot  
27 balloon and radiosonde data are evaluated at seven locations in West Africa: Ndjamena, Chad;  
28 Niamey, Niger; Ouagadougou, Burkina Faso; Bamako, Mali; and Dakar, Senegal, in the Sahel,  
29 and Abidjan, Ivory Coast; and Douala, Cameroon in near equator latitudes. The jet characteristics  
30 assessed by MERRA2, NCEP 1, JRA 55, **and ERA 5 are similar**, but CFSR and 20th Century  
31 Reanalysis are outliers in nearly every analysis. They tend to overestimate jet wind speeds, being  
32 as much as 25% to 40% higher than for the other reanalyses. **NCEP 1 is utilized for** establishing  
33 a long-term climatology of the Tropical Easterly Jet and for comparisons with Sahel rainfall. Over  
34 the period 1948 to 2014, the correlation between rainfall and TEJ magnitude is .72. The results  
35 clearly demonstrate a strong relationship between the strength of the TEJ and Sahel rainfall and it  
36 appears to be a causal one: the strong jet leading to high rainfall. The factors that appear to control  
37 the strength of the jet include the sea-surface temperature (SST) contrast between the central  
38 equatorial Pacific and central equatorial Indian Ocean (correlation of -.64), SST contrast between  
39 the central equatorial and the southern subtropical Indian Ocean (correlation of -.39), the latitude  
40 of the shift between upper-tropospheric easterlies and westerlies in the Southern Hemisphere  
41 (correlation of -.84 at 150 hPa), the intensity of the Southern Hemisphere westerlies (correlation  
42 of +.52 at 200 hPa). This suggests considerable control on the TEJ by extra-tropical circulation in  
43 the Southern Hemisphere.

44

45

46 1. Introduction

47

48 One of the most prominent features of the general atmospheric circulation in the tropics is  
49 the Tropical Easterly Jet (TEJ). Its existence has been known since the 1950s (Krishna Rao 1952,  
50 Koteswaram 1958). This jet lies in the upper troposphere and extends from Asia to West Africa,  
51 reaching core speeds in excess of  $35 \text{ ms}^{-1}$ . It results from the convective heating over the North  
52 Indian Ocean and Himalayan-Tibetan plateau and, as a large-scale phenomenon, the TEJ can be  
53 explained by simple linear arguments related to the heating (e.g., Gill 1980). It is generally  
54 considered to be a feature of the boreal summer (e.g., Hastenrath 1988), but evidence exists of a  
55 comparable jet in the Southern Hemisphere during the austral summer (Nicholson and Grist 2003).

56 The TEJ is an important component of the Indian monsoon. It has been well-studied in  
57 that region (e.g., Mishra and Tandon 1983, Chen and van Loon 1987, Mishra 1987, 1993) and  
58 several authors have examined its interannual variability in the Asian sector (e.g., Rao and  
59 Srinivasan 2016, Nithya et al. 2017, Rao et al. 2015). In contrast, studies of the jet over Africa are  
60 sorely lacking (Chen and Yen 1993), despite numerous studies showing that a consistent  
61 relationship exists between the strength of the TEJ and rainfall over Africa. The factors are  
62 governing its magnitude in the African sector may not be same as those operative in the Asian  
63 sector. A case in point is that the interannual variability of the TEJ over Asia is only on the order  
64 of  $5 \text{ ms}^{-1}$  (Roja Raman et al. 2009, Tanaka 1982), while the magnitude over its changes over  
65 Africa are two or three times greater (Grist and Nicholson 2001).

66                   The core of the TEJ lies over the Indian Ocean, just to the south of the Indian subcontinent.  
67                   The intensity of the TEJ appears to dictate the intensity of the Indian monsoon, with a  
68                   stronger/weaker jet being associated with anomalously high/low monsoon rainfall (Chen and Yen  
69                   1993, Pattanaik and Satyan 2000). The association is apparent not only during the monsoon season  
70                   but also in May, in advance of the monsoon season. Likewise, the TEJ in the Asian sector tends  
71                   to be stronger during active monsoon phases than during breaks (Raman et al. 2009).

72                   Davies and Sansom (1952) may have been the first to demonstrate that the jet extends over  
73                   parts of Africa. Some of the earliest suggestions that the TEJ influences African rainfall were the  
74                   works of Flohn (1964) and Osman and Hastenrath (1969). Flohn applied jet-streak theory to the  
75                   TEJ, concluding that patterns of upper-level convergence and divergence in its entrance and exit  
76                   regions could explain the aridity over North Africa during the boreal summer and the summer  
77                   rainy seasons over the Sahel and India. Similar considerations were applied by Besler (1984) and  
78                   Pachur and Kröpelin (1987) to explain the role of the TEJ in the paleoclimate of the Sahara.

79                   With the occurrence of drought in the Sahel in the late 1960s and early 1970s, the  
80                   relationship between the TEJ over Africa and African rainfall received further attention. Kidson  
81                   (1974) and Newell and Kidson (1984) documented a relation between a weakened TEJ over Africa  
82                   and the Sahel drought. Similarly, Kanamitsu and Krishnamurti (1978) produced a diagnostic  
83                   analysis of 1972, a year of Sahel drought, and 1967, a year of normal rainfall in the Sahel. They  
84                   showed a strong contrast in the intensity of the TEJ in the two years, with the jet being notably  
85                   weaker in the drought year. Hulme and Tosdevin (1989) reviewed relevant prior work and  
86                   concluded that the TEJ probably influences rainfall in the Sudan, but they did not directly evaluate  
87                   the link.

88        Later work confirmed that one of the strongest contrasts between wet and dry years in the  
89    Sahel is the intensity of the TEJ (Nicholson and Grist 2001, Grist and Nicholson 2001, Nicholson  
90    2009a). Its speed over West Africa was nearly twice as great in Sahel wet years as in Sahel dry  
91    years, e.g., 20 to 30  $\text{ms}^{-1}$  in 1955 versus 15  $\text{ms}^{-1}$  in 1983. This contrast was evident in each month  
92    from June through September. An intensification of the TEJ was also apparent in wet years over  
93    parts of western equatorial Africa (Dezfuli and Nicholson 2013, Nicholson and Dezfuli 2013) and  
94    Ethiopia (Segele et al. 2009).

95        In each of these cases, the changes in the TEJ were part of major changes in the basic state  
96    that included concurrent changes in the mid-level African Easterly Jet (AEJ) and the low-level  
97    westerly flow. Hence, the question arises as to what extent the TEJ is independently linked to  
98    rainfall variability, as opposed to the TEJ in conjunction with other circulation features, such as  
99    the latitude of the AEJ. The question is particularly important because some degree of recovery  
100   of the rainfall regime has occurred in the Sahel (Nicholson et al. 2018), but the TEJ appeared to  
101   have remained relatively weak (Nicholson and Grist 2001).

102        In view of this, three questions are posed and evaluated in this article. 1) Is the previously  
103   documented relationship between the TEJ and Sahel rainfall evident in recent decades? 2) Is the  
104   TEJ in the African sector anomalously strong in all wet years in the Sahel? 3) Conversely, is the  
105   Sahel anomalously wet/dry in all years in which the TEJ is anomalously strong/weak in the African  
106   sector? In answering these questions, the study will also develop a long-term climatology of the  
107   TEJ over West Africa, document its year-to-year variability over West Africa, examine factors in  
108   the interannual/decadal variability of the TEJ, and evaluate the long-term relationship between the  
109   TEJ and Sahel rainfall.

110           While the questions posed are relatively simple, obtaining answers to them is not. Ideally,  
111   one would examine the upper-tropospheric winds at a handful of upper-air stations over the Sahel.  
112   Unfortunately, few continuous records exist and coverage is sporadic at most upper-air stations in  
113   the region. Moreover, most observations are from pilot balloon (pibal) ascents, which seldom  
114   reach the upper-troposphere. In lieu of sounding data, several reanalysis data sets that do provide  
115   continuous coverage are available, but most do not extend back to the relatively wet period of the  
116   1950s and early 1960s. Furthermore, the lack of observational upper-air data means that the  
117   reanalysis products have not been validated over the Sahel.

118           Thus, none of the available data sets is ideally suited for an analysis of the long-term  
119   behavior of the TEJ over West Africa. For that reason, radiosonde observations are used here  
120   along with reanalysis data. Originally, nine reanalysis products were evaluated to determine which  
121   is best suited for the study of the TEJ. However, initial results were very similar for three ERA-  
122   products (ERA 40, ERA-Interim, ERA 5) and for NCEP 1 and NCEP 2. This is demonstrated in  
123   Table 1 and in Figs. S1 and S2. To simplify and condense the results, only six products are  
124   evaluated here: NCAR-NCEP 1, ERA-5, MERRA2, CSFR, JRA 55, and 20th Century Reanalysis  
125   V2C. Likewise, most analyses are performed only for August, which tends to be the wettest month  
126   in the Sahel and the month upon which several prior studies of the TEJ/rainfall association (e.g.,  
127   Nicholson and Grist 2001) were based. It should be noted that observations are assimilated into  
128   the various reanalysis products, with the exception of 20th Century Reanalysis, which over land is  
129   based only on surface pressure. Nevertheless, because vast amounts of additional data are  
130   assimilated into the products and possibility that we have assembled a larger observational data  
131   set, the comparison with observations is useful.

132        The structure of this article is as follows. The observational and reanalysis data are  
133    described in section 2. The data sets are evaluated in section 3 and the best performing reanalysis  
134    data set is used to create a long-term climatology of the TEJ over West Africa, presented in Section  
135    4. This includes core speed, and latitudinal and vertical location of maximum winds. In Section  
136    5 the relationship between Sahel rainfall and the TEJ is examined. Section 6 presents a brief  
137    analysis of factors associated with the interannual and decadal variability of the TEJ. Section 7  
138    summarizes conclusions concerning the data sets and the results of the various analyses.

139

140    2. Data

141

142        The study utilizes rainfall from an archive assembled by the first author (e.g., Nicholson et  
143    al. 2018). It includes roughly 600 rainfall stations in the Sahel, with the majority of records  
144    extending through 2014. In this study, only data for August are utilized and a single time series is  
145    derived to represent variability in the Sahel. This approach is reasonable because of the  
146    exceedingly strong coherence of interannual variability throughout the region (e.g., Nicholson and  
147    Grist 2001), but particularly in its central sector. A spatial average is derived for the sector shown  
148    in Fig. 1, ranging from 12 ° N to 18 ° N and 10 ° W to 20 ° E. Rainfall is calculated as in past  
149    studies (e.g., Nicholson et al. 2018) by expressing the monthly total at each station as a  
150    standardized departure (departure from the monthly mean divided by the monthly standard  
151    deviation), then averaging these values over all stations in the region shown. The resultant time  
152    series is shown in Fig. 2.

153        Upper-level winds are derived both from upper air soundings and from six reanalysis  
154    products. Reanalysis winds at 150 hPa and 200 hPa are evaluated, with the level shown depending

155 on the specific analysis; the TEJ is generally stronger at 150 hPa, but data for 200 hPa are probably  
156 more reliable, as a result of better observational coverage. Observational data are from the  
157 Integrated Global Radiosonde Archive (IGRA), an assemblage of radiosonde and pibal  
158 observations from over 2700 globally distributed stations (Durre et al. 2006). The advantage is  
159 that these are direct observations, but there are several disadvantages. The full complement of  
160 West African stations in the archive within the latitudinal span of the TEJ (10 ° S to 20 ° N) was  
161 evaluated. From these, seven with relatively complete records were deemed useful, Douala,  
162 Abidjan, Niamey, Ndjamen, Ouagadougou, Bamako, and Dakar. Their locations and the total  
163 number of records in IGRA are shown in Fig. 1.

164 To facilitate the discussion, the jet speeds are generally indicated in absolute terms. That  
165 is, they are not indicated as negative, although the flow is easterly. The exception is illustrations  
166 of vertical profiles, where a distinction between easterly wind (negative) and westerly wind  
167 (positive) is necessary.

168 Table 2 gives the temporal and spatial resolution of the six reanalysis products used. All  
169 but ERA 5 and JRA 55, which are relatively new products, have frequently been used for studies  
170 of circulation over Africa. NCEP 1 (Kalnay et al. 1996), which begins in 1948, is the only one of  
171 the six that covers the Sahelian wet period of the 1950s and early 1960s. The disadvantage of  
172 NCEP 1 is its low spatial resolution, 2.5 degrees of latitude and longitude. Merra 2 (Gelaro et al.  
173 2017), ERA 5, JRA 55, and CSFR (Saha et al. 2010) all have higher spatial resolution, but except  
174 for JRA 55, commence only in 1979 or 1980. 20th Century Reanalysis V2C (Compo et al. 2011)  
175 has a spatial resolution of 2 degrees. Version V2c, which extends back to 1851, is used. Most  
176 analyses are based on the period 1980 to 2014. Only the monthly products are utilized.

177           Additional data utilized are sea-surface temperatures (SSTs), the Southern Oscillation  
178   Index (SOI), and the Indian Ocean Dipole Index (DMI). SSTs were derived from the NOAA  
179   Extended Reconstructed SST (ERSST) V5 data set (Huang et al. 2017). The SOI (Trenberth 1984)  
180   is the normalized pressure difference between Tahiti and Darwin, Australia. The DMI represents  
181   the anomalous SST gradient between the two Indian Ocean sectors: 50E-70E, 10S-10N and 90E-  
182   110E,10S-0N (Saji and Yamagata 2003).

183

184   3. Evaluation of upper-air data

185

186   3.1 Inter-comparison of reanalysis products

187

188           The six reanalysis products are compared with each other via latitudinal transects of the  
189   zonal wind from the surface to 150 hPa, the spatial patterns of vector winds at 150 hPa, local  
190   vertical wind profiles, and interannual variability. The 150 hPa level is assumed to represent the  
191   maximum development of the TEJ. A quantitative comparison is made for select mean statistics  
192   for all four rainy season months (June through September).

193

194   3.1.1 Mean zonal wind

195

196           Fig. 3 shows for the six reanalysis products the meridional cross-sections of the mean zonal  
197   wind in August in the three longitudinal sectors. In each case, consistent with previous depictions  
198   of the TEJ (e.g., Flohn 1964, Nicholson 2009b), the further east, the stronger the TEJ. At 10° W  
199   core speeds (i.e., the speed maximum) are on the order of 15 to 20 ms<sup>-1</sup> while at 30° E, core speeds

200 are 25 to 30  $\text{ms}^{-1}$  in most analyses. At 10  $^{\circ}$  W, the highest core speeds are in 20th century  
201 Reanalysis and CFSR and lowest are in MERRA. Further east the highest core speeds are again  
202 in 20th Century Reanalysis and CFSR and the lowest are in NCEP V1.

203 Fig. 4 shows the August mean vector winds over northern Africa. Only the analysis for  
204 150 hPa is shown, but comparable results were obtained for 100 hPa and 200 hPa. Strongest winds  
205 over Sudan and South Sudan and further east. The vector winds are similar for all reanalyses  
206 except CSFR 2 and 20th Century Reanalysis. Among the other products relatively small  
207 differences are apparent in the latitudinal expanse and intensity of the TEJ, with MERRA 2 and  
208 ERA 5 showing a jet core that is somewhat more intense than that of the other two. All products  
209 indicate speeds exceeding 20  $\text{ms}^{-1}$  over the two Sudans and eastward, but MERRA 2 shows speeds  
210 greater than this in an area extending further west. In NCEP 1, MERRA 2, ERA 5 and JRA 55  
211 speeds exceeding 30  $\text{ms}^{-1}$  did not extend further west than over the eastern tip of the African  
212 continent. In contrast, CFSR shows speeds of this magnitude or greater well into South Sudan,  
213 some 20 degrees of longitude further west. CFSR also shows markedly higher speeds than the  
214 other products nearly everywhere.

215 The six reanalysis products are inter-correlated with respect to the mean August core speed  
216 at 10  $^{\circ}$  E and at 0  $^{\circ}$ , i.e., in the heart of the Sahel (Table 3). Core speed for a given month is defined  
217 as the maximum speed within the TEJ core as evident in the mean latitude/height wind profile for  
218 that month. In order to get more robust correlations, the period of comparison is extended to 1980  
219 to 2014. For that period, the 1% significance level is +/- is roughly .42 and all correlations reach  
220 this level. However, there is a strong contrast between correlations involving CFSR and 20th  
221 Century Reanalysis versus the remaining products. Among the NCEP 1, MERRA 2, ERA 5 and JRA  
222 55 products the correlations range from .68 to .97, but they are mostly in the range of .8 to 9. The

223 correlations between ERA 5 and JRA 55 is particularly high, ranging between .89 and .96. In  
224 contrast, every case of a correlation of .6 or lower involves CFSR or 20th Century Reanalysis.  
225 With those products, the correlations are typically in the range of .5 to .6.

226

227 3.1.2 Characteristics of the TEJ

228

229 The contrasts among the reanalysis products are highlighted by Fig. 5, which shows the  
230 mean maximum speed in the jet core as well as the mean pressure level and latitude of the  
231 maximum for each product. For magnitude there is good agreement among all products at 10 °  
232 W, but the agreement decreases markedly at 30 ° E. 20th Century Reanalysis and, to a lesser extent  
233 CFSR, tend to be outliers. This is particularly evident in the higher June and July speeds at 30 °  
234 E. 20th Century Reanalysis consistently shows the largest spread of magnitudes between June and  
235 September. In nearly all cases the TEJ is strongest in all products in July and August, weakest in  
236 June or September. The spread among the products is generally of a few  $\text{ms}^{-1}$ .

237 There is considerably more variation among the products for pressure level and latitude of  
238 the maximum speed. However, no product is a consistent outlier nor does any product appear to  
239 show consistent bias with respect to the others. At 10 ° W there is some consistency, with the  
240 pressure level of maximum wind being between 150 and 50 hPa and tending to be highest in June  
241 and lowest in August or September. The exception is the 20th Century Reanalysis, where the  
242 pressure of the core ranges from roughly 100 hPa to 200 hPa. Elsewhere there is considerable  
243 spread among the products, with 20th Century Reanalysis, and to a lesser extent CFSR, being on  
244 the low side. In terms of latitude of the core, it tends to be highest in June and July, lowest in  
245 August and September. In terms of this parameter, 20th Century Reanalysis tends to be an outlier,

246 with generally lower values than the other products and a large spread among the four months at  
247 10 ° W.

248

249 3.1.2 Interannual variability of the TEJ

250

251 Fig. 6 shows the interannual variability of TEJ strength in August averaged between 5 ° N  
252 and 15 ° N. The year-to-year fluctuations are remarkably similar. In most cases, the spread of the  
253 estimates is small among NCEP1, ERA 5, MERRA and JRA 55. The only case with strong  
254 disagreement among those products is at 30 ° E and 150 hPa. In contrast, 20th Century Reanalysis  
255 and CFSR are clearly outliers, showing substantially higher speeds than the other products. Note  
256 that the contrasts among the products are primarily in magnitude; the year-to year patterns of  
257 variability are generally similar, even with the outliers. The disparity is particularly strong at 150  
258 hPa, where in general the spread of the estimates is greater than at 200 hPa. This might relate to  
259 the fact that at 150 hPa fewer observations are available for assimilation into the reanalyses.

260 Table 4 shows the average speed over the period 1980 to 2014. In all cases the mean speed  
261 increases from west to east. Speeds tend to be similar for NCEP 1, ERA 40 and to a lesser extent  
262 ERA Interim, roughly  $\text{ms}^{-1}$  in the west and 16 to 17  $\text{ms}^{-1}$  in the east at 200 hPa and 12 to 13  $\text{ms}^{-1}$  in  
263 the west and 20 to 21  $\text{ms}^{-1}$  in the east at 150 hPa. Compared to those products, MERRA 2 is  
264 anomalously high in the east by roughly 1  $\text{ms}^{-1}$  at 200 hPa and 5  $\text{ms}^{-1}$  at 150 hPa. 20th century  
265 reanalysis and CFSR tend to be 2 to 4  $\text{ms}^{-1}$  higher than the other products at 200 hPa and as much  
266 as 6 to 8  $\text{ms}^{-1}$  higher at 150 hPa.

267

268 3.2 Comparison of NCEP 1 with observational data

269

270       A handful of studies have compared reanalysis winds and upper-air data over West Africa.  
271       Stickler and Brönnimann (2011) examined pibal observations for the years 1948 to 1957. They  
272       showed that NCEP 1 exhibits a bias compared to observations that can exceed  $5 \text{ ms}^{-1}$ . They  
273       concluded that NCEP 1 overestimates the low-level monsoon flow and produces a monsoon layer  
274       that is thicker than observed. Their results further suggested the AEJ speed is overestimated. That  
275       study also compared 20th century reanalysis with observations during that period and found  
276       somewhat lower differences. The bias was generally of the opposite sign for 20th century  
277       reanalysis; notably the bias of monsoon flow was much weaker in 20th century reanalysis and  
278       more confined to the coastal region. Wartenburger et al. (2013) evaluated the observational data  
279       sets available to Sticker et al. (2010), determining bias and root-mean-square error (RMSE) as  
280       compared with ERA Interim. For nine Sahelian stations in West Africa they found that the bias  
281       was generally less than  $0.8 \text{ ms}^{-1}$  and the random error was generally 2 to  $3 \text{ ms}^{-1}$  at 5000 m elevation.

282       Nicholson and Grist (2003) and Grist and Nicholson (2001) compared several  
283       observational data sets over West Africa, including radiosondes, pibals, and the GFDL radiosonde  
284       analysis (Oort 1983), with wind estimates from NCEP 1. They concluded that the salient features  
285       of the NCEP 1 analyses, such as contrasts in TEJ intensity between wet and dry years, could be  
286       confirmed by the observations. However, the contrasts were not quantified.

287       Here NCEP 1 winds are compared with the time series and vertical profiles of wind at five  
288       Sahelian stations (in the latitude band  $12^\circ \text{ N}$  to  $14^\circ \text{ N}$ ) and two stations nearer the equator. A  
289       single reanalysis product is used here for the sake of simplicity, but in view of the high correlations  
290       with MERRA 2, ERA 5 and JRA 55, those products would presumably yield similar results. The  
291       Sahelian stations include Ndjamen, Chad; Niamey, Niger; Ouagadougou, Burkina Faso; Bamako,

292 Mali; and Dakar, Senegal. The remaining two stations are Abidjan, Ivory Coast (c. 5 ° N) and  
293 Douala, Cameroon (c. 4 ° N). These were chosen because each station has a large number of data  
294 points at and above 200 hPa, as well as a long sequence of years with available data. Notably, the  
295 number of observations available varies over time, as does the use of pibals vs. radiosondes.  
296 However, the time series created are probably the most realistic estimates of the supra-surface  
297 wind regime at the locations examined.

298 The upper-air data for August at each location are compared with a wind average from  
299 NCEP 1 over a 3° x 5° box surrounding the station. Some differences are to be expected because  
300 point and areal values are being compared. However, the impact of this contrast is not anticipated  
301 to be large because there is strong spatial coherence of the wind regime on the monthly time scales  
302 examined here.

303 Fig. 7 shows the year-to-year values of mean August wind at 200 hPa and 150 hPa for the  
304 Sahelian stations. For four of the five there is good agreement between NCEP 1 and observational  
305 winds. At Niamey, the average difference is only 1.4 ms<sup>-1</sup> at 200 hPa and 2.4 ms<sup>-1</sup> at 150 hPa. For  
306 Dakar, the average difference is 1.5 and 1.4 ms<sup>-1</sup> for these two levels, respectively. The  
307 differences are somewhat greater for Ouagadougou and Bamako: 2.8 and 2.0 ms<sup>-1</sup>, respectively at  
308 200 hPa and 3.2 and 2.6 ms<sup>-1</sup> at 150 hPa. The differences between NCEP 1 and observations are  
309 notably larger at Ndjamen: 3.0 at 200 hPa and 4.2 at 150 hPa. In all cases, the bias of NCEP 1  
310 compared to observations is smaller than suggested by Stickler and Brönnimann (2011). It tends  
311 to be negative at Ouagadougou, Niamey and Bamako, positive at Dakar. At Ndjamen the bias  
312 tends to be positive in the very early years but generally negative since the late 1960s onward.

313 The situation is very different at the two equatorial stations. NCEP 1 tends to have a  
314 positive bias at 150 hPa. At 200 hPa it tends to have a positive bias prior to the 1980s and a

315 negative bias in later years, but not consistently so. Agreement is good at the 200 hPa level,  
316 differences being on average  $2.4 \text{ ms}^{-1}$  for Douala and  $2.2 \text{ ms}^{-1}$  for Abidjan. However, the  
317 differences are more than twice that at 150 hPa:  $5.5 \text{ ms}^{-1}$  for Douala and  $5.3 \text{ ms}^{-1}$  for Abidjan. The  
318 reason for the larger disparity at 150 hPa is not clear; in these particular cases, roughly the same  
319 amount of upper-air data was available at both levels.

320 Fig. 7 also depicts interannual variability of the TEJ. Data for the three stations with  
321 records extending back to the 1950s (Niamey, Dakar, and Abidjan) show decreasing TEJ intensity  
322 from the 1950s to the early 1980s, and a smaller increase from that time. After that time there is  
323 a gradual increase but the high magnitudes of the 1950s and 1960s are not attained at Niamey or  
324 Dakar. Ndjamen, which also commences in the 1950s, does not suggest a reduction since the  
325 1950s. However, that record may not be reliable as large differences are apparent between  
326 observational and reanalysis winds at that location. The remaining stations, which commence  
327 much later, do suggest increasing TEJ intensity since the early 1980s. These results are consistent  
328 with the results of Grist and Nicholson (2001) and with trends in the TEJ over India, as noted by  
329 several authors (see section 6.1).

330 Fig. 8 shows the vertical profile of mean winds in August, averaged for those years for  
331 which both observational and reanalysis data are available. The agreement for zonal winds is  
332 excellent at each location. However, there is some positive bias for NCEP 1 in the lowest levels  
333 and some negative bias (indicating stronger speeds) at the TEJ level. In most cases the bias is less  
334 than  $2 \text{ ms}^{-1}$ . There is most disagreement in the case of meridional winds and it is evident not only  
335 the wind magnitude but also in the vertical structure of the meridional wind profile. However, the  
336 biases are still relatively small in absolute terms, generally less than  $2 \text{ ms}^{-1}$ .

337 Several factors can contribute to contrasts between observational and reanalysis data. One  
338 is the lower sampling with observational data: over Africa few stations report daily and generally  
339 observations are made only one or two times per day. Moreover, there is instrumental and observer  
340 error in the case of pibals and radiosondes and error inherent in models that produce the reanalysis  
341 data. The agreement shown in Figs. 7 and 8 between observed and reanalysis winds is encouraging  
342 and suggests that NCEP winds are reliable over West Africa.

343

344 4. Long-term climatology

345

346 NCEP 1 is used to derive a long-term climatology of the TEJ for the period 1948 to 2017  
347 for each month of the year. Mean vector winds, vertical profiles as a function of latitude, and  
348 mean characteristics such as core speed and location are presented. The jet profile was examined  
349 at two longitudes, 30 ° E (far eastern Sahel) and 10 ° E (central Sahel). As the results were similar,  
350 only data for the latter are shown. However, when notable contrasts were apparent between the  
351 two longitudes these are described in the text.

352 Fig. 9 shows the mean zonal wind profile in each month of the year. The major circulation  
353 features include the subtropical westerlies of both hemispheres, the African Easterly Jet of the mid-  
354 troposphere, the Tropical Easterly Jet of the upper-troposphere, and the low-level equatorial  
355 westerlies. Since the annual cycle of the other features is very well known, only the TEJ will be  
356 discussed here.

357 It is best developed during January and February, when its axis is at roughly 10 ° S, and  
358 during June through September, when its axis is at roughly 5 ° N. It is completely absent in March-  
359 through-May. When it reappears in June its mean core speeds attain 12.5 ms<sup>-1</sup> at 10 ° E (Fig. 9)

360 and more than  $17.5 \text{ ms}^{-1}$  at  $30^\circ \text{ E}$  (not shown). The TEJ peaks in August, with mean core speeds  
361 on the order of  $20 \text{ ms}^{-1}$  at  $10^\circ \text{ W}$  and  $10^\circ \text{ E}$  and over  $25 \text{ ms}^{-1}$  at  $30^\circ \text{ E}$ . The jet is still clearly  
362 apparent in September but in October it is extremely weak at  $10^\circ \text{ E}$  and disappears at  $30^\circ \text{ E}$ . The  
363 TEJ is completely absent in November and December.

364 Fig. 10 shows the mean vector winds at 150 hPa for August, the month when the TEJ is  
365 best developed in the Northern Hemisphere, and for February, when the TEJ is best developed in  
366 the Southern Hemisphere. In August the core extends across the continent into the eastern Atlantic.  
367 In eastern regions, such as Ethiopia and the eastern Sudan, the jet extends from the equator to  
368 roughly  $25^\circ \text{ N}$ , with speeds between  $20$  and  $30 \text{ ms}^{-1}$  in most of that region. In February, when the  
369 TEJ develops in the Southern Hemisphere, the jet is weaker, with speeds between  $20$  and  $20 \text{ ms}^{-1}$ .  
370 It extends between roughly the equator and  $10^\circ \text{ S}$  and from the central Indian Ocean, across the  
371 continent and into the eastern equatorial Atlantic.

372 Table 5 summarizes the climatological statistics for the TEJ at 150 hPa during the four  
373 rainy season months of the Sahel. In general, from west to east the core speed increases. This  
374 pattern is evident in all months, with few exceptions. In June the core speed is  $11.5 \text{ ms}^{-1}$  in the  
375 west, but reaches  $17 \text{ ms}^{-1}$  in the east. The jet is notably stronger in July and August, with core  
376 speeds in the west of  $17.5$  and  $19.2 \text{ ms}^{-1}$ , respectively, in those months but  $23.7$  and  $21.7 \text{ ms}^{-1}$  in  
377 the east. In September speeds at each longitude are on the order of  $14$  to  $15 \text{ ms}^{-1}$ . Pressure level  
378 of the core changes substantially from month to month but in a less consistent fashion. In June and  
379 July it generally increases eastward, but tends to decrease eastward in August and September. The  
380 latitude of the core also varies substantially from month to month and from west to east. At  $10^\circ \text{ W}$   
381 it is furthest north in June, at  $11.1$  degrees of latitude, and further south in September at  $7.6$

382 degrees of latitude. At 10  $^{\circ}$  E it also tends to decrease in latitude between June and September,  
383 but is furthest north in July, as it is also at 30  $^{\circ}$  E.

384 Another important characteristic of the TEJ is the pattern of divergence/convergence  
385 associated with it. These patterns were calculated at 150 hPa and 200 hPa. Because the patterns  
386 were extremely similar at the two levels, Fig. 11 presents only the results for 200 hPa. A strong  
387 and consistent region of divergence corresponds to the jet, spanning roughly 5  $^{\circ}$  N to 15  $^{\circ}$  N over  
388 western regions and extending diagonally from SW to NE in more eastern sectors. In much of the  
389 band of divergence the magnitude exceeds  $2 \times 10^{-6} \text{ s}^{-1}$ . Roughly the same pattern is evident from  
390 June through September, but the divergence is strongest in August and September.

391

392 5. Relationship between Sahel rainfall and the TEJ

393

394 Past studies (section 5.1) suggest a causal relationship between the TEJ intensity and  
395 rainfall over West Africa. Potential mechanisms of a link are discussed in section 5.2. There is  
396 some evidence that the intensity of the TEJ is affected by latent heat release, and hence dependent  
397 on the intensity of convection. This latter effect, if significant, could provide some explanation  
398 for the rainfall/TEJ relationship. The issue of the impact of convection is considered heuristically  
399 in section 5.3; arguments favor the large-scale control of the TEJ, as opposed to feedback from  
400 convection governed by other factors.

401 A caveat here is that these analyses and the conclusions drawn from them are valid on the  
402 monthly time scale. On that time scale the character of rainfall over the Sahel is a result of the  
403 combined influence of the TEJ and the AEJ. The TEJ appears to govern primarily the intensity of  
404 rainfall in the region while the AEJ appears to govern primarily the latitudinal location of the rain

405 belt. The rain belt lies between the cores of the two jets (Nicholson and Grist 2001). As noted  
406 earlier, year-to-year changes in the TEJ are associated with a major change in the basic state that  
407 includes concurrent changes in the mid-level African Easterly Jet (AEJ). So the question arises as  
408 to whether or not the AEJ might be driving some of the changes observed in the TEJ. On monthly  
409 time scales, that is unlikely because on that time scale there is little change in the magnitude of the  
410 AEJ, despite large changes in the magnitude of the TEJ. Moreover, in some years the distance  
411 between the jet cores can be as great as 15 degrees of latitude. This implies that an influence of  
412 the AEJ on the TEJ is unlikely on the monthly scales we are considering. This does not rule out a  
413 link on synoptic time scales associated, for example, with the instabilities of the AEJ and resultant  
414 wave activity.

415

416 5.1 Comparisons between the TEJ and Sahel rainfall

417

418 Prior analyses of the interannual and interdecadal variability of rainfall over West Africa  
419 suggested that the strength of the TEJ over Africa is a major factor. Fig. 12 shows the contrast  
420 between the TEJ in August, 1955 (one of the wettest years on record in the Sahel) and August,  
421 1983 (one of the driest). In wet years the mean August TEJ speed exceeds  $30 \text{ ms}^{-1}$  while it barely  
422  $16 \text{ ms}^{-1}$  in the dry years (Nicholson and Webster 2007). The difference is much greater than the  
423 bias over West Africa as estimated by Stickler and Brönnimann (2011) or in Section 3.2.

424 This relationship holds during multi-year and decadal time scales (Grist and Nicholson  
425 2001, Nicholson and Grist 2001). However, only a handful of individual years have been  
426 examined (Nicholson 2008, 2009a). Here we examine the robustness of the relationship as applied  
427 to individual years.

428 Fig. 13 compares Sahel rainfall in August with 200 hPa winds near Niamey. Rainfall is  
429 averaged over the area  $12^{\circ}$  N to  $18^{\circ}$  N and  $10^{\circ}$  W to  $20^{\circ}$  E (see Fig. 1). The area around Niamey  
430 is selected for the winds because Niamey appears to have the most reliable soundings (and the  
431 highest number). NCEP 1 winds are averaged for an area near Niamey shown in Fig. 1. Here the  
432 200 hPa level is considered because of the greater agreement with observations as evident in Fig.  
433 7. Upper-air data for Niamey from the IGRA archive are also utilized. The correlation between  
434 August Sahel rainfall and NCEP 1 winds over the 67-year period 1948 to 2014 is .72; with IGRA  
435 wind the correlation is .62. When wind anomalies are positive rainfall is generally well above  
436 normal or only slightly below the mean. When wind anomalies are negative rainfall is generally  
437 below normal or just slightly above the mean. However, there are some clear outliers: for example,  
438 rainfall in 1989 and 1994 was well above average but the jet was anomalously weak.

439 A comparison with 20th century reanalysis suggests that the relationship between the TEJ  
440 and Sahel rainfall generally holds on the century time scale. For the July-August-September rainy  
441 season the correlation is .31 over the period 1871 to 2014, not strong but significant at well above  
442 the .1 % level ( $r = .21$ ).

443

444 5.2 Mechanisms linking Sahel rainfall and the TEJ

445

446 The analysis in section 5.1 clearly demonstrates a strong positive relationship between the  
447 intensity of the TEJ and rainfall over the Sahel. The physical mechanism of the link appears to be  
448 primarily upper-level divergence associated with the jet core (Fig. 11). The  
449 convergence/divergence couplet evident in Fig. 11 is consistent with Flohn's (1965) depiction of a  
450 jet streak circulation associated with the TEJ, which he theorizes is a major reason for the rainfall

451 in the Sahelian latitudes and the aridity over the Sahara. The divergence is evident in the strong  
452 meridional components associated with the TEJ over Africa (Nicholson and Grist 2003). This  
453 pattern is particularly pronounced in wet years in the Sahel and it appears to play a role in the jet's  
454 impact on rainfall (Nicholson 2009a). This is consistent with the observation that a stronger TEJ  
455 is linked not only to more rainfall in the Sahel, but also a more intense rainbelt (e.g., Nicholson  
456 2008, 2009a).

457 The increased/reduced vertical and horizontal shear associated with a strong/weak TEJ is  
458 probably also a factor. This impacts the development of easterly waves (Grist et al. 2002,  
459 Nicholson et al. 2008). Enhanced wave activity appears to be associated with increased rainfall in  
460 the Sahel (Grist 2002).

461 An additional mechanism of the TEJ/rainfall link may relate to the dynamic instability of  
462 the TEJ itself (Mishra 1993, Mishra and Tandon 1983). Both barotropic and combined barotropic-  
463 baroclinic instability have been demonstrated. This permits the development of waves on the TEJ.  
464 Such waves have been observed over West Africa (Nicholson et al. 2007) and their characteristics  
465 match those predicted by a numerical simulation of African wave activity (Nicholson et al. 2008).

466

467

468 5.3 TEJ as a driver of or response to rainfall variability

469

470 A close relationship between the intensity of the TEJ and West African rainfall has been  
471 clearly established. Redelsperger *et al.* (2002) suggest that latent heat released by convection can  
472 enhance upper-level shear, and therefore enhance the TEJ. This evokes a question as to whether  
473 the stronger TEJ in wet years is a factor in or a product of the more intense rainfall (LaFore and

474 Chapelon 2017, Thorncroft and Blackburn 1999). The fact that the upper-troposphere near the jet  
475 is warmer in dry years (Grist and Nicholson 2001) belies that hypothesis. Several other  
476 observational points likewise counter the suggestion that rainfall controls the intensity of the TEJ  
477 (Nicholson 2009a). The most compelling are the temporal and spatial characteristics of the rainfall  
478 field versus the jet.

479 One example is that the location of the TEJ core over West Africa is relatively stationary  
480 within the Sahel rainy season and from year to year, despite strong latitudinal shifts in the  
481 convection (Nicholson and Grist 2001). Also, the intensity of convection can be equally strong in  
482 years of a strong or weak TEJ, as shown by a comparison of the years 1950-56-58 (wet composite)  
483 and 1968-84-85 (dry composite). In August the latitudinal profile of rainfall in the central Sahel  
484 was roughly identical in the two composites, but displaced some 5 degrees southward in the dry  
485 case (Fig. 12). The TEJ core speed reached  $30 \text{ ms}^{-1}$  in the wet composite versus  $16 \text{ ms}^{-1}$  in the dry  
486 composite; its latitude did not shift, despite the 5 degree shift in the location of maximum  
487 convection (Nicholson and Webster 2007). In contrast, the day to day variations of TEJ speed,  
488 which arguably reflect the impact of latent heat, are only roughly  $2$  to  $3 \text{ ms}^{-1}$  and bear no  
489 relationship to day-to-day variations in convection (see Fig. S1). In this same vein, it is useful to  
490 point out that the TEJ intensity is stronger over the eastern Sahel than over the central and western  
491 Sahel, where rainfall is considerably higher.

492 Another case in point is that the contrast of TEJ intensity between wet and dry years is  
493 already apparent in June, a month in which there is little or no contrast in rainfall between wet and  
494 dry years in the Sahel (Nicholson 2008, 2009a). In the years examined by Grist and Nicholson  
495 (2001), the mean TEJ core speed in June was  $18 \text{ ms}^{-1}$  in the wet composite versus  $8 \text{ ms}^{-1}$  in the dry  
496 composite. June rainfall as a function of latitude was roughly the same in the two composites,

497 indicating that enhanced convection could not have been responsible for the stronger TEJ in the  
498 wet composite.

499 A further relevant argument is that the mid- and upper-tropospheric temperature gradient  
500 is greater in the years or composites with a stronger TEJ and that this contrast is imposed by  
501 conditions in the higher latitudes (Grist and Nicholson 2001). The temperature contrasts are  
502 strongest in the subtropical latitudes, especially those of the Southern Hemisphere, and not in the  
503 vicinity of the jet.

504 A final argument is based on model simulations using only dry dynamics. When the basic  
505 state corresponding to wet years/composites, with an anomalously strong TEJ, is perturbed, waves  
506 growth at a faster rate, become more intense, and extend throughout the troposphere (Nicholson et  
507 al. 2008, Grist et al. 2002). In contrast, in the dry cases with the weak TEJ, the resultant waves  
508 are limited to lower-levels and best developed near the surface, grow very slowly and remain  
509 relatively weak. These simulated changes are consistent with observations. The upper-  
510 tropospheric shear, which is dependent mainly on TEJ intensity, appears to be the key factor in  
511 wave development. Both horizontal and vertical shear are greater in wet years than in dry years  
512 (Grist et al. 2002). Potential vorticity considerations likewise suggest that a link between the  
513 surface and TEJ is a major factor in the interannual variability of Sahel rainfall (Nicholson et al.  
514 2008).

515

516 6. Factors associated with the interannual variability of the TEJ

517

518 Few studies have examined the interannual variability of the TEJ over Africa. The most  
519 comprehensive was Nicholson and Grist (2001), who examined trends in the TEJ between 1958

520 and 1997 using NCEP Reanalysis. The core speed of the TEJ decreased markedly throughout the  
521 1960s and early 1970s in all four months of the Sahel rainy season. The decrease was particularly  
522 strong in August; core speed dropped from ca.  $26 \text{ ms}^{-1}$  in 1958 to  $15 \text{ ms}^{-1}$  in 1972. In later years it  
523 varied between ca. 13 and  $19 \text{ ms}^{-1}$ . Sathiyamoorthy (2005) showed a similar reduction in the  
524 African and Atlantic sectors between the 1960s and 1990s.

525 So far, there have been no studies specifically assessing the factors in the interannual  
526 variability of TEJ intensity over Africa. However, several papers give hints as to what might play  
527 a role. The intensity appears to change in relationship to the intensity of the extra-tropical Southern  
528 Hemisphere westerlies (Dezfuli and Nicholson 2013, Nicholson and Dezfuli 2013, Grist and  
529 Nicholson 2001). The stronger jet is also commensurate with cooler upper-tropospheric  
530 temperatures in the tropics and stronger latitudinal temperature gradients (Nicholson 2009a). On  
531 synoptic time scales, acceleration of the TEJ appears to follow the strengthening of the Indian  
532 monsoon (LaFore and Chapelon 2017).

533 Here the relationship between TEJ core speed and several large-scale factors is evaluated.  
534 These include global sea-surface temperatures (SSTs), upper-level temperature gradients, the  
535 Southern Oscillation and Indian Ocean Zonal Mode, and the intensity and location of the extra-  
536 tropical upper-level westerlies. The core speed is represented by the average zonal wind in August  
537 at 150 hPa in a box extending from  $5^\circ \text{N}$  to  $15^\circ \text{N}$ , latitudes where the TEJ is best developed, and  
538 from  $0^\circ \text{W}$  to  $20^\circ \text{E}$  (i.e., central areas of West Africa).

539 Fig. 14 shows the location of this box and the correlation of the mean zonal wind in this  
540 box with global SSTs between  $40^\circ \text{N}$  and  $40^\circ \text{S}$ . Correlations are based on the years 1980 to  
541 2014, so that the 5% significance level is  $+/-.34$  and the 1% significance level is  $+/-.44$ . The latter  
542 is exceeded throughout most of the analysis sector. Strongest correlations are in the central

543 equatorial Indian Ocean, where the correlation exceeds .55, and in the central equatorial Pacific,  
544 where the correlation exceeds -.55. This indicates that a stronger TEJ is associated with warmer  
545 SSTs in the Indian Ocean, but colder SSTs in the Pacific. This opposition suggests that the SST  
546 difference between the two oceans might play a role in the interannual variability of the TEJ. SSTs  
547 are calculated for the two **small** boxes shown in Fig. 14 and the difference calculated as the Pacific  
548 SSTs minus the Indian Ocean SSTs. The correlation between TEJ zonal wind speed over West  
549 Africa and the SST difference between these two regions is -.73, with a greater warming of the  
550 Indian Ocean relative to the Pacific being associated with a stronger TEJ over West Africa.

551 The speed of the TEJ was correlated with several other parameters with the results indicated  
552 in Tables 6 and 7. Fig. 14 suggests a possible relationship with the latitudinal SST gradient in the  
553 Indian Ocean, so that Table 6 includes a correlation between the TEJ zonal speed and SSTs in  
554 equatorial and subtropical sectors, as well as a correlation with the SST difference between those  
555 sectors (indicated by the larger boxes in Fig. 14). **The correlation with individual sectors is low**  
556 **but the correlation of the difference is -.39**, roughly significant at the 5 % level but much lower  
557 than the correlation with the Pacific-Indian Ocean SST difference. Table 6 also shows the  
558 correlation of the TEJ zonal speed with the Southern Oscillation (SOI) and the Indian Ocean dipole  
559 (i.e., the Dipole Mode Index or DMI). A significant correlation is apparent with the SOI (+.44),  
560 but the correlation with the DMI (+.16) is not significant. The relatively low correlation with the  
561 SOI is somewhat surprising, since the intensity of the TEJ in the Indian Ocean sector is strongly  
562 modified by ENSO (Nicholson 2015).

563 The final parameters examined relate to upper-level circulation (Table 7). One is the  
564 latitude at which the prevailing wind shifts from easterly to westerly. This is assessed for both  
565 hemispheres and at both 150 and 200 hPa. The second is the intensity of the subtropical westerlies

566 at these same pressure levels. The correlations are highly significant in both hemispheres for the  
567 latitude of the switch, but considerably higher for the Southern Hemisphere. There the correlation  
568 is  $-.79$  at 200 hPa and  $-.84$  at 150 hPa. The TEJ speed is also significantly correlated with the  
569 intensity of the Southern Hemisphere westerlies,  $+.38$  at 150 hPa and  $+.52$  at 200 hPa. These  
570 results suggest substantial control of the TEJ over West Africa by the Southern Hemisphere extra-  
571 tropical circulation.

572

573 7. Summary and Conclusions

574

575 The six reanalysis products evaluated show considerable diversity in the representation of  
576 the Tropical Easterly Jet. The jet characteristics assessed by MERRA2, NCEP 1, and the two ERA  
577 products are fairly similar, but CFSR and 20th Century Reanalysis are outliers in every analysis.  
578 They tend to overestimate jet wind speeds, being as much as 25% to 40% higher than in the other  
579 reanalyses. Use of those two products to study West Africa is not to be recommended. The most  
580 reliable in this region appears to be NCEP 1. It has the highest correlation with the other products  
581 and it compares very favorably with upper-air observations at West African stations.

582 The reanalysis products, which commence only in 1979 or 1980, do not show a clear trend  
583 over time. However, the upper-air observations for Niamey, Dakar and Abidjan show a definite  
584 decrease in TEJ intensity since the 1950s and a slight increase since the 1980s. That increase is  
585 apparent at the other upper-air sites as well. This is consistent with analyses of the TEJ over India  
586 that likewise show a decreasing trend since the 1950s (e.g., Rao et al. 2004).

587 In the introduction, three questions were posed. The first is whether the previously  
588 documented relationship between the TEJ and Sahel rainfall is maintained in recent decades. This

589 work shows that it clearly is for August. The correlation with TEJ intensity over West Africa over  
590 a period of 67 years is .72 based on NCEP 1 winds and .62 based on upper-air observations at  
591 Niamey. While this is only one month of the boreal summer rainy season, it is the month that is  
592 most critical in determining the annual rainfall total in the Sahel. For the 144-year period 1871 to  
593 2014 the Sahel rainfall-TEJ intensity correlation is still -.31, despite the uncertainty of the 20th  
594 Century Reanalysis data used to assess the TEJ.

595 The remaining questions are whether the TEJ is anomalously strong in all wet years in the  
596 Sahel and, conversely, whether the Sahel is anomalously wet/dry in all years in which the TEJ is  
597 anomalously strong/weak. Clearly an anomalously wet year can occur without an anomalously  
598 strong TEJ (Fig. 14). Likewise, a strong/weak TEJ does not guarantee wet/dry conditions.  
599 Nevertheless, there are relatively few years that deviate strongly from these associations. Overall,  
600 however, a strong/weak TEJ is clearly conducive to wet/dry conditions.

601 This study also considered the question as to what controls the intensity of the TEJ.  
602 Arguments are presented to counter the suggestion by some authors (e.g., Thorncroft and  
603 Blackburn 1999) that its intensity is strongly influenced by latent heat release associated with  
604 convection. This would suggest control of TEJ intensity by rainfall variability, rather than the jet  
605 serving as a causal factor in rainfall variability. The strongest arguments against this hypothesis  
606 are 1) that the location of the TEJ core over West Africa is relatively stationary within the Sahel  
607 rainy season and from year to year, despite strong latitudinal shifts in the convection and 2) that  
608 the intensity of convection can be equally strong in years of a strong or weak TEJ.

609 A further argument is that the intensity of the TEJ is strongly correlated with global SSTs  
610 and with atmospheric circulation parameters. The strongest correlation with SSTs, -.73 over a  
611 period of 67 years, is with the difference between SSTs in the central equatorial Pacific and the

612 central equatorial Indian Ocean. This might be indicative of control by the upper-level outflow  
613 from the Indian Ocean Walker cell. The highest correlation overall is with the latitude of the shift  
614 between upper-tropospheric easterlies and westerlies in the southern Hemisphere (correlation of -  
615 .84 at 150 hPa). The TEJ intensity is also strongly correlated ( $r = +.56$ ) with the strength of the  
616 Southern Hemisphere westerlies. Correlations with the Southern Oscillation Index, the Indian  
617 Ocean dipole, and Northern Hemisphere circulation parameters are much weaker and generally  
618 not highly significant.

619 These results suggest considerable control on the Tropical Easterly Jet by extra-tropical  
620 circulation in the Southern Hemisphere. This is consistent with studies that showed a strong  
621 relationship between Sahel rainfall and Southern Hemisphere parameters (e.g., Silvestri and Vera  
622 2009, Sun et al. 2010), as well as a link between African Easterly Waves over the Sahel and the  
623 Southern Hemisphere extra-tropics (e.g., Cheng and Thorncroft 2019, Yang et al. 2018). Other  
624 evidence of a link between the TEJ and the Southern Hemisphere extra-tropics comes from several  
625 papers demonstrating that convection in tropical regions can be affected by potential vorticity  
626 streamers associated with Rossby waves in the Southern Hemisphere (e.g., Funatsu and Waugh  
627 2008, Rodwell 1997). These streamers reach monsoonal regions of eastern Asia and are advected  
628 across the Indian Ocean into Africa (Ortega et al. 2017). While this phenomenon has been  
629 demonstrated only on synoptic time scales, this clearly provides a mechanism of interaction  
630 between the tropics and the mid-latitudes of the Southern Hemisphere.

631

632

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634

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638 Webster are also appreciated.

639

640

641 TABLE 1: Coefficients of linear correlation between winds in the indicated reanalysis products at  
642 two longitudes and at 150 hPa and 200 hPa. Calculations are based on the period 1980 to 2014  
643 and winds are averaged at the indicated longitude over a latitude span of 5 ° N to 15 ° N.

644

SOURCES		150 hPa		200 hPa	
		10 ° E	0 ° E	10 ° E	0 ° E
CORRELATED	NCEP V1	.87	.90	.94	.95
	ERA 40	.85	.89	.79	.96
ERA 40	ERA 5	.87	.85	.86	.94
	ERA Int	.90	.93	.93	.98

645

646

647 TABLE 2: Characteristics of Reanalysis Products used in this Study

648

Reanalysis	Spatial Resolution in Degrees	Years
NCEP V1	2.5	1948 – present
ERA 5	0.25	1979 - 2019
JRA 55	1.25	1958-2019
MERRA 2	0.667 lon, 0.5 lat	1980 - present

CFSR V1	0.5	1979-2010
CFSR V2	0.5	2010-present
20 <sup>th</sup> V2c	2.0	1851 - 2014

649

650

651 TABLE 3: Coefficients of linear correlation between August zonal winds in the reanalysis products  
 652 at two longitudes and at 150 hPa and 200 hPa. Calculations are based on the period 1980 to 2014.  
 653 Correlations lower than or equal to .6 are highlighted.

654

SOURCES		150 hPa		200 hPa	
		10 ° E	0 ° E	10 ° E	0 ° E
NCEP V1	MERRA	0.74	0.79	0.84	0.84
NCEP V1	CFSR	0.69	0.58	0.68	0.59
NCEP V1	20th V2c	0.79	0.69	0.60	0.46
NCEP V1	ERA 5	0.84	0.68	0.87	0.88
NCEP V1	JRA 55	0.75	0.84	0.75	0.89
MERRA	CFSR	0.47	0.61	0.60	0.63
MERRA	20th V2c	0.63	0.61	0.54	0.51
MERRA	ERA 5	0.88	0.95	0.95	0.97
MERRA	JRA 55	0.85	0.96	0.85	0.95
CFSR	20th V2c	0.56	0.57	0.56	0.51
CFSR	ERA 5	0.67	0.72	0.60	0.67
CFSR	JRA 55	0.69	0.71	0.63	0.678

20th V2c	ERA 5	0.75	0.67	0.51	0.53
20th V2c	JRA 55	0.76	0.64	0.64	0.58
ERA 5	JRA 55	0.95	0.94	0.89	0.96

655

656

657

658 TABLE 4: Mean speed of the TEJ ( $\text{ms}^{-1}$ ) in six reanalysis products, at three longitudes and two  
 659 levels over West Africa.

660

Source	200 hPa			150 hPa		
	10W	10E	30E	10W	10E	30E
NCEP 1	13.4	13.8	17.3	14.2	15.4	19.9
ERA 5	12.6	14.4	16.5	13.1	16.4	20.7
JRA 55	12.7	15.0	17.5	13.0	17.1	22.9
MERRA	12.2	13.8	17.1	12.9	15.9	23.7
CFSR	14.7	16.2	19.8	15.3	19.9	28.4
20 <sup>th</sup> VC2	16.1	16.8	20.1	18.1	21.3	26.7

661

662

663 TABLE 5: Climatology of the TEJ, as derived from NCEP 1: mean zonal speed ( $\text{ms}^{-1}$ ), pressure  
 664 level of maximum wind (hPa), and latitude of maximum wind.

665

	zonal core speed	pressure of core	latitude of core

	10W	10E	30E	10W	10E	30E	10W	10E	30E
June	11.5	12.2	17.0	89	99	140	11.1	10.6	8.9
July	17.5	18.7	23.7	112	100	138	9.4	11.9	12.3
August	19.2	19.0	21.7	140	113	129	7.9	9.6	11.8
September	14.5	13.7	15.0	130	124	117	7.6	8.5	10.6

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669

670 TABLE 6: Coefficients of linear correlation of NCEP1 150 hPa wind in August with SSTs, the  
 671 SOI, and the DMI during the period 1980 to 2014.

August Sea Surface Temperatures (SSTs) in equatorial regions			Indian Ocean August SSTs			SOI	DMI
Pacific	Indian	difference	Subtropical	Equatorial	difference	August	August
-.51	+.39	-.64	-.17	.37	-.39	+.44	+.16

672

673

674 TABLE 7: Coefficients of linear correlation between NCEP1 150 hPa wind and select  
 675 circulation parameters during the period 1980 to 2014. Winds are averaged over the latitudes 5 °  
 676 N to 15 ° N.

Latitude of Shift between Easterlies and Westerlies	Intensity of Extra-tropical Westerlies
--	---

Southern Hemisphere		Northern Hemisphere		Southern Hemisphere		Northern Hemisphere	
200	150	200	150	200	150	200	150
hPa	hPa	hPa	hPa	hPa	hPa	hPa	hPa

  

-.79	-.84	-.58	-.49	+.52	+.38	+.22	+.10
------	------	------	------	------	------	------	------

677

678

679 FIGURES

680

681

682 1. Sahelian sector over which rainfall data are averaged (green box) and location of seven upper-  
 683 air stations used in the analyses. The total number of upper-air observations for each station is  
 684 also indicated on the right. Small box indicates sector over which NCEP 1 winds are averaged  
 685 for comparison with rainfall in Fig. 13. D = Dakar, B = Bamako, O = Ouagadougou, N =  
 686 Niamey, Nj = Ndjamen, A = Abidjan, Do = Douala.

687

688 2. August rainfall in the Sahel averaged for the sector shown in Fig. 1. Rainfall is expressed as a  
 689 regionally-averaged standard departure.

690

691 3. Meridional profiles of zonal wind in August for 6 reanalysis products and three longitudinal  
 692 locations: 10 ° W, 10 ° E and 30 ° E.

693

694 4. Mean vector winds in August for six reanalysis products at 150 hPa.

695

696 5. Mean jet characteristics at three longitudes as depicted by the six reanalysis products: latitude,  
697 elevation, and magnitude of the core speed of the jet. Results are shown for the months of June,  
698 July, August, and September.

699

700 6. Interannual variability of August zonal winds ( $\text{ms}^{-1}$ ) at three longitudes from 1980 to 2014.  
701 Data are given for six reanalysis products and for both the 200 hPa and 150 hPa levels. The  
702 wind is averaged over the latitudes  $5^{\circ}$  N to  $15^{\circ}$  N.

703

704 7. Interannual variability of NCEP 1 reanalysis zonal wind and upper-air observations for seven  
705 stations. Data are for August only and cover all years in which both NCEP 1 and upper-air  
706 observations are available. Red portions of the lines indicate cases appears when the NCEP V1  
707 value exceeds observations and blue portions of the lines indicate cases when the value of the  
708 observations exceeds that of NCEP 1. Data are in  $\text{ms}^{-1}$ . NCEP 1 data are for the grid box in  
709 which the station lies.

710

711 8. Vertical profiles in mean zonal and mean meridional wind at seven West African stations.  
712 Red line is wind from NCEP 1; black line is observed wind from pibals and radiosondes.

713

714 9. Mean zonal wind profiles in each month at  $10^{\circ}$  E and  $30^{\circ}$  E from NCEP1.

715

716 10. Mean vector winds at 150 hPa in February and August, from NCEP1.

717

718 11. Mean divergence at 150 hPa in June, July, August, and September, based on NCEP 1.

719

720 12. Left: Mean zonal winds at 150 hPa in August of 1955 and 1983 (from Nicholson 2009a).

721 Right: mean August rainfall in the Sahel as a function of latitude in a wet composite and a dry

722 composite (from Nicholson and Webster 2007).

723

724 13. Scatter diagrams of zonal wind versus August Sahel rainfall, with the latter expressed in

725 units of standardized departures from the mean. On the left is NCEP 1 wind; on the right is

726 observed wind from pibals and radiosondes at Niamey. Rainfall is averaged over the box shown

727 in Fig. 1; NCEP 1 wind is averaged for an area near Niamey, as shown in Fig. 1.

728

729 14. Correlation between NCEP 1 wind **in August at 150 hPa** and tropical SSTs **in August**. The

730 black box indicates the area over which wind is averaged. Large and small boxes indicate areas

731 for which the SST difference is correlated with wind (see text for details).

732

733

734 **S1. Meridional profiles of zonal wind in August at 200 hPa based on the three ERA products**

735 **and on observations.**

736

737 **S2. Interannual variability of August zonal winds ( $ms^{-1}$ ) at three longitudes from 1980 to 2014.**

738 **Data are given for the ERA reanalysis products and for both the 200 hPa and 150 hPa levels.**

739 **The wind is averaged over the latitudes  $5^{\circ} N$  to  $15^{\circ} N$ .**

740

741 S3. Daily values of NCEP 1 wind (ms-1) in the vicinity of Niamey and Niamey rainfall from  
742 CHIRPS (Funk et al. 2015) for July and August of the years 1984 and 2007. The standard  
743 deviation of wind over the two-month intervals are also indicated. Red line is the mean.

744

745

746 APPENDIX 1

747 Figs. S1 and S2 demonstrate that the results of the analysis of the three ERA products are  
748 very similar and adequately replicate the observed winds. The main contrast among the products  
749 is the ERA 40 tends to underestimate wind speed at the two western-most locations, especially at  
750 150 hPa.

751

752 APPENDIX 2

753 Fig. S3 shows the mean daily TEJ speed at 200 hPa for the peak rainy months of July and  
754 August during a very wet year (2007) and a very dry year (1984). Here Niamey is used as an  
755 example but results were similar for other stations and years. CHIRPS data (Funk et al. 2015) is  
756 used for rainfall, as NIC131 does not have daily values. No relationship is evident between  
757 rainfall and TEJ speed on daily time scales. The correlation during 2007 is -.11 at 0 lag, -.10  
758 with the TEJ lagged by one day and +.18 with the TEJ lagged by two days. For 1984 the  
759 correlation is +.02 at 0 lag and +.04 with the TEJ lagged by one or two days. The standard  
760 deviation of TEJ speed is  $2.2 \text{ ms}^{-1}$  within the dry year and  $3.4 \text{ ms}^{-1}$  within the wet yet, thus  
761 indicating that any possible influence of convection is considerably smaller than the difference in  
762 TEJ intensity between wet and dry years.

763

764 **SUPPLEMENTARY MATERIAL**

765

766 Supplementary material is included. It consists of a figure (Fig. S1) comparing wind profiles  
767 based on ERA products with observations at seven West African stations, a figure (Fig. S2)  
768 showing year-to-year variations of the TEJ speed in the ERA products, and a figure showing  
769 daily variations in TEJ speed and rainfall during July and August of a wet year and a dry year  
770 (Fig. S3), and two appendices explaining the two figures.

771

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