# Observer-based Consensus Strategy for Linear Multi-Agent Systems under Double Event-Triggering Conditions

Haochen Ding and Ming Xin

Abstract— In this paper, an observer-based event-triggered consensus control (OETCC) strategy is proposed for linear multiagent systems (MASs) under strongly connected network. Two event-triggering conditions (ETCs) are designed to trigger information transmitting and control update separately so that continuously applying these tasks is avoided. In addition, the triggering times for both tasks are unnecessarily to be the same. Since the triggering time for control input update is predictable, continuously monitoring the related ETC can be avoided. It is proved that under the proposed strategy, consensus can be achieved exponentially. Its effectiveness is also verified by a numerical example.

### I. INTRODUCTION

In the past three decades, cooperative control of MASs has drawn more and more attention [1]. Since cooperative work of multiple simple vehicles has more advantages over the work by a single complicated vehicle [2], it has a wide range of applications such as monitoring forest fires, hazardous material handling, and so force [1,3,4]. Information consensus plays an important role during cooperative teamwork. Under distributed consensus algorithms, the agent in the system communicates with local neighbor(s) so that a common decision of the global system can be made.

The consensus algorithms require each agent to sample its own state, access to the state of its neighbor(s) and update its control input. In practice, these control tasks are implemented on a digital platform at discrete times [5]. The time instants can be determined in a periodic or event-triggered way. The former strategy is conservative since the constant period has to guarantee consensus in the worst-case scenario, while the latter one is more natural and flexible, which presets triggering conditions that depend on agents' real-time behaviors, and the control task is implemented once the related conditions are satisfied. Thus, under the event-triggered control law, more computation and energy resources as well as communication bandwidth can be saved. Recent results on event-triggered consensus algorithms are reviewed in [6,7].

Due to physical and cost constraints, agents may not be able to measure full states. In this case, an observer can be designed to estimate unmeasurable states via output feedback. The observer-based event-triggered linear consensus problem is investigated in [8,9]. In addition, fully distributed consensus strategy is proposed in [10] so that global network information is not required for each agent; Lipschitz and Lur'e nonlinear terms are added to the linear dynamics in [11,12] to represent more general physical systems; Consensus under bounded control inputs is investigated in [13]; The time delay and external disturbance are considered in [14] and [10,15],

respectively, where the reset observer is introduced in [15] to improve the estimation performance; Consensus is guaranteed under Denial-of-Service Attacks in [16,17]. However, the foregoing results are based on the OETCC strategy with some limitations. In [8–10,12,15,17], although the event-triggered strategy avoids continuous communication, continuous control input update is required. This drawback is overcome in [11,13,14,16]. But the distributed control algorithm requires control inputs to be updated once each agent receives information. These limitations may cost extra communication, energy as well as computation resources. Moreover, in [8–17], the control input update and information transmission are triggered at the same time, which is not flexible.

Motivated by the above discussions, an OETCC algorithm is proposed for linear consensus under strongly connected network. Two ETCs are designed to trigger information transmission and control input update separately. The main advantages are three-fold: First, under the proposed event-triggering strategy, both continuous control input update and information transmission are not required. Second, the triggering times for both tasks are unnecessarily to be the same. In addition, when an agent receives information, neither of the control tasks is necessarily to be triggered immediately. Finally, the triggering time for control input update can be predictable so that continuously monitoring the related ETC can be avoided.

### II. PRELIMINARIES AND PROBLEM STATEMENT

## A. Notation and graph theory

Let the network topology be represented by a directed graph (digraph) G = (V, E), where  $V = \{v_i\}_{i=1}^N$  is the node set, and  $E \subseteq V \times V$  is the edge set.  $(v_i, v_j) \in E$  denotes that there is a directed edge from  $v_i$  to  $v_j$ , but not necessarily vice versa. In this case,  $v_i$  is called an in-neighbor of  $v_j$ , and  $v_j$  is an outneighbor of  $v_i$ . We assume  $(v_i, v_i) \notin E$ . Let  $\mathcal{N}_i = \{v_j \in V : (v_j, v_i) \in E\}$ , and let  $I_{\mathcal{N}_i} = \{1 \le j \le N : v_j \in \mathcal{N}_i\}$ . The adjacency matrix of the graph G is defined by  $A_G = [a_{ij}]$ , where  $a_{ij} = 1$  if  $(v_j, v_i) \in E$  and  $a_{ij} = 0$ , otherwise. The Laplacian matrix is defined by  $L = [l_{ij}]$ , where  $l_{ii} = \sum_{k=1}^N a_{ik}$  and  $l_{ij} = -a_{ij}$  if  $i \ne i$ . G is strongly connected if for each pair  $(v_i, v_j)$ , there is a directed path from  $v_i$  to  $v_j$ .

the Laplacian matrix  $L \in \mathbf{R}^{N \times N}$ . Let  $\mathbf{r} = \operatorname{col}(r_1, ..., r_N), r_i > 0$ ,

H. Ding (e-mail: <a href="https://hdnp7@umsystem.edu">hdnp7@umsystem.edu</a>) and M. Xin are with the Department of Mechanical and Aerospace Engineering, University of Missouri, Columbia, MO 65211, USA (e-mail: <a href="mailto:xin@missouri.edu">xin@missouri.edu</a>).

 $1 \le i \le N$ , satisfying  $\mathbf{r}^{\mathrm{T}} L = \mathbf{0}_{1 \times N}$  and  $\mathbf{r}^{\mathrm{T}} \mathbf{1}_{N} = 1$ . The general algebraic connectivity is defined by

$$a(G) = \min_{\mathbf{x}^{\mathsf{T}} r = 0, \mathbf{x} \neq \mathbf{0}} (\mathbf{x}^{\mathsf{T}} \hat{L} \mathbf{x}) / (\mathbf{x}^{\mathsf{T}} R \mathbf{x})$$

where  $\hat{L} = (RL + L^{T}R)/2$  and  $R = diag(r_1, ..., r_N)$ .

### B. Problem Statement

Consider a system with N agents. The dynamics of agent i,  $1 \le i \le N$ , is described by

$$\begin{cases} \dot{\mathbf{x}}_i(t) = A\mathbf{x}_i(t) + B\mathbf{u}_i(t) \\ \mathbf{y}_i(t) = C\mathbf{x}_i(t) \end{cases}$$
(1)

where  $\mathbf{x}_i(t) \in \mathbf{R}^n$ ,  $\mathbf{u}_i(t) \in \mathbf{R}^m$  and  $\mathbf{y}_i(t) \in \mathbf{R}^r$  represent the state, control input, and output of agent i, respectively, and  $A \in \mathbf{R}^{n \times n}$ ,  $B \in \mathbf{R}^{n \times m}$ , and  $C \in \mathbf{R}^{r \times n}$  are constant matrices. Assume that each agent can only sample its own output data. This paper aims to design a distributed OETCC law such that consensus can be achieved.

The following assumptions and lemmas are needed.

**Assumption 1** (A, B, C) is stabilizable and detectable.

**Assumption 2** The digraph *G* is strongly connected.

**Lemma 1** [19] If the matrix pair (A, B) is stabilizable, for any number  $\eta_1$ ,  $\eta_2 > 0$ , and matrix Q > 0, the algebraic Riccati equation (ARE)  $PA + A^TP - \eta_1PBB^TP = -\eta_2Q$  has a unique solution P > 0.

**Lemma 2** [18] Under Assumption 2, a(G) > 0. In addition, a(G) is the maximum of  $\beta$ , which satisfies  $S^{\mathsf{T}} (\hat{L} - \beta R) S \ge 0$ , where  $R = diag(r_1, ..., r_N)$  and  $\hat{L}$  is defined in Definition 1, and  $S = \begin{bmatrix} I_{N-1} & -\hat{\mathbf{r}}^{\mathsf{T}}/r_N \end{bmatrix}^{\mathsf{T}}$  with  $\hat{\mathbf{r}} = [r_1, ..., r_{N-1}]$ .

III. MAIN RESULTS

In this section, an OETCC strategy is proposed to solve the consensus problem stated in Sec.II.B such that the Zeno behavior is excluded.

For  $1 \le i \le N$ , the dynamics of the observer state of agent i is described by

$$\begin{cases}
\dot{\tilde{\mathbf{x}}}_{i}(t) = A\tilde{\mathbf{x}}_{i}(t) + B\mathbf{u}_{i}(t) + K_{1} \left[ \tilde{\mathbf{y}}_{i}(t) - \mathbf{y}_{i}(t) \right] \\
\tilde{\mathbf{y}}_{i}(t) = C\tilde{\mathbf{x}}_{i}(t)
\end{cases}$$
(2)

where  $\tilde{\mathbf{x}}_i(t) \in \mathbf{R}^n$  is the observer state,  $\tilde{\mathbf{y}}_i(t) \in \mathbf{R}^n$  is the output of the observer,  $K_1 \in \mathbf{R}^{n \times r}$  is the observer gain to be determined.

The distributed consensus control law is based on the disagreement among agent i and its in-neighbor(s) defined by

$$\boldsymbol{\delta}_{i}(t) = \sum_{j \in I_{M}} \left[ \boldsymbol{x}_{i}(t) - \boldsymbol{x}_{j}(t) \right]$$
 (3)

Note that consensus is achieved if for any  $1 \le i \le N$ ,  $\delta_i(t) \to 0$  as  $t \to \infty$  [20]. Let  $\{t_{k_{li}}^{1i}: k_{1i} = 0, 1, ...\}$  and  $\{t_{k_{2i}}^{2i}: k_{2i} = 0, 1, ...\}$  be the sequences of triggering times corresponding to information transmission and control input update of agent i, respectively. For  $t \in [t_{k_{li}}^{1i}, t_{k_{li}+1}^{1i})$ ,  $k_{li} = 0, 1, ...$ , define  $\tilde{z}_i(t)$  satisfying

$$\dot{\tilde{z}}_{i}(t) = A\tilde{z}_{i}(t) \tag{4}$$

with initial  $\tilde{z}_i(t_{k_{li}}^{li}) = \tilde{x}_i(t_{k_{li}}^{li})$ . Let the disagreement vector based on the estimated state be

$$\tilde{\delta}_{i}(t) = \sum_{j \in I, y_{i}} \left[ \tilde{z}_{i}(t) - \tilde{z}_{j}(t) \right]$$
 (5)

The OETCC law is designed as:

$$\boldsymbol{u}_{i}(t) = K_{2}\hat{\boldsymbol{\delta}}_{i}(t) \tag{6}$$

where  $K_2 \in \mathbf{R}^{n \times m}$  is the control gain to be determined and  $\hat{\delta}_i(t) = \tilde{\delta}_i(t_{k_{2i}}^{2i}), t \in [t_{k_{2i}}^{2i}, t_{k_{2i}+1}^{2i}), k_{2i} = 0,1,\dots$  Define the measurement errors by

$$\begin{cases} \mathbf{e}_{1i}(t) = \tilde{\mathbf{x}}_i(t) - \tilde{\mathbf{z}}_i(t) \\ \mathbf{e}_{2i}(t) = \hat{\boldsymbol{\delta}}_i(t) - \tilde{\boldsymbol{\delta}}_i(t) \end{cases}$$
(7)

Let  $\tilde{t}_{k_{1i}}^{1i}$  and  $\tilde{t}_{k_{2i}}^{2i}$  be two latest triggering times of agent *i*. The next triggering time instant of agent *i* is determined by

$$\begin{cases} \tilde{t}_{k_{1i}+1}^{1i} = \inf \left\{ t > \tilde{t}_{k_{1i}}^{1i} : \left\| \mathbf{e}_{1i}(t) \right\| \ge f_{1i}(t) \right\} \\ \tilde{t}_{k_{2i}+1}^{2i} = \inf \left\{ t > \tilde{t}_{k_{2i}}^{2i} : \left\| \mathbf{e}_{2i}(t) \right\| \ge f_{2i}(t) \right\} \end{cases}$$
(8)

where  $f_{1i}(t)$  and  $f_{2i}(t)$  are the threshold functions to be determined. This means the triggering time is determined when the ETC  $\|\mathbf{e}_{1i}(t)\| \ge f_{1i}(t)$  or  $\|\mathbf{e}_{2i}(t)\| \ge f_{2i}(t)$  is satisfied. Let  $\{\hat{t}_k : \hat{t}_k = k\Delta t, k = 1, 2, ...\}$  be the sequence of time instants when each agent monitors ETCs and samples output. By choosing small enough  $\Delta t$ , it can be considered that the agent monitors ETCs and samples data continuously. The eventtriggering mechanism for agent  $i, 1 \le i \le N$ , is summarized as follows: At  $t = t_0$ , agent i samples  $\mathbf{y}_i(t_0)$ , sets  $\tilde{\mathbf{x}}_i(t_0) = \tilde{\mathbf{x}}_{0i}$ , computes  $\tilde{\mathbf{y}}_i(t_0)$  by (2); Agent i sets  $t_0^{1i} = t_0$ ,  $\tilde{\mathbf{z}}_i(t_0^{1i}) = \tilde{\mathbf{x}}_{0i}$ , and sends it to its out-neighbor(s); Agent i receives  $\tilde{z}_{j}(t_{0}^{1j}), j \in I_{N_{i}}$ , sets  $t_0^{2i} = t_0$ ; computes  $\hat{\delta}_i(t) = \tilde{\delta}_i(t_0^{2i})$  and  $u_i(t)$  by (5) and (6), respectively. At  $t = \hat{t}_1$ , agent *i* computes  $\tilde{x}_i(\hat{t}_1)$ ,  $\tilde{y}_i(\hat{t}_1)$ ,  $\tilde{\mathbf{z}}_i(\hat{t}_1)$   $j \in I_{\mathcal{X}} \cup \{i\}$  , and  $\tilde{\boldsymbol{\delta}}_i(\hat{t}_1)$  by (2), (4) and (5), respectively, then agent i checks ETCs: if  $\|\mathbf{e}_{i}(t)\| \ge f_{i}(t)$ , agent i sets  $t_1^{1i} = \hat{t}_1$ ,  $\tilde{z}_i(t_1^{1i}) = \tilde{x}_i(\hat{t}_1)$ , and so  $e_{1i}(t_1^{1i}) = 0$ , then sends  $\tilde{z}_i(t_1^{1i})$  to out-neighbor(s); if  $\|\boldsymbol{e}_{2i}(t)\| \ge f_{2i}(t)$ , agent i sets  $t_1^{2i} = \hat{t}_1$ ,  $\hat{\delta}_i(t) = \tilde{\delta}_i(\hat{t}_1)$ , and so  $e_{2i}(t_2^{2i}) = \mathbf{0}$ , then updates the control input by (6); Agent *i* samples  $y_i(\hat{t}_1)$ ; At  $t = \hat{t}_2$ , agent *i* repeats the previous procedure, and so force.

**Remark 1** Note that agent i cannot predict  $\tilde{\boldsymbol{x}}_{j}(t)$ ,  $j \in I_{\mathcal{N}_{i}}$ , by (2). Thus, if  $\tilde{\boldsymbol{\delta}}_{i}(t)$  is defined by  $\tilde{\boldsymbol{\delta}}_{i}(t) = \sum_{j \in I_{\mathcal{N}_{i}}} \left[\tilde{\boldsymbol{x}}_{i}(t) - \tilde{\boldsymbol{x}}_{j}(t)\right]$ , agent i needs to receive  $\tilde{\boldsymbol{x}}_{j}(t)$  continuously to monitor the ETC. To overcome this drawback, for  $j \in I_{\mathcal{N}_{i}} \cup \{i\}$ ,  $\tilde{\boldsymbol{x}}_{j}(t)$  is replaced by  $\tilde{\boldsymbol{z}}_{i}(t)$  that can be predicted by (4), and one more

ETC is introduced to restrict the difference between  $\tilde{x}_{i}(t)$  and

 $\tilde{z}_j(t)$ . When the difference between  $\tilde{x}_j(t)$  and  $\tilde{z}_j(t)$  is large, the related ETC is satisfied so that agent j sends the latest  $\tilde{x}_j(t)$  to agent i, and agent i uses this information as the initial value to predict  $\tilde{z}_j(t)$  by (4).

The main result is presented as follows. For ease of notation, some index *t* is omitted.

**Theorem 1** Consider the linear MASs with dynamics described by (1) and OETCC law (6) under Assumptions 1 and 2. Let the observer gain in (2) be  $K_1 = -\nu_1 P_1 C^T$ , where  $\nu_1 > 0$ ,  $P_1 > 0$  is the solution of the ARE

$$P_1 A^{\mathsf{T}} + A P_1 - 2 \nu_1 P_1 C^{\mathsf{T}} C P_1 = -\nu_2 I_n \tag{9}$$

with  $v_2 > 0$ . Let the control gain in (6) be  $K_2 = -v_3 B^T P_2$ , where  $v_3 > 0$  and  $P_2 > 0$  is the solution of the ARE

$$P_2 A + A^{\mathsf{T}} P_2 - \frac{1}{2} a(G) v_3 P_2 B B^{\mathsf{T}} P_2 = -v_4 I_n$$
 (10)

with  $v_4 > 0$ . Let the threshold functions in (8) be  $f_{ii}(t) =$ 

$$\sqrt{\frac{\mu_j}{4g_j}} \left\| \hat{\delta}_i \right\|^2 + c_{ji}^2 e^{-2\alpha_{ji}(t-t_0)}, j = 1, 2, \text{ where } \mu_1, \ \mu_2 > 0 \text{ and } \mu_1 + \frac{1}{2} e^{-2\alpha_{ji}(t-t_0)}$$

$$\mu_2 \le 1$$
,  $c_{ji}$ ,  $\alpha_{ji} > 0$ ,  $j = 1, 2$ ,  $g_1 = 5\frac{\beta_1}{\breve{r}} + 4\frac{\nu_3}{\nu_4}\frac{\beta_2}{a(G)\breve{r}}$  with

$$\beta_1 = ||L^T R L||, \ \beta_2 = ||(L^T)^2 R L^2 \otimes P_2 B B^T P_2|| \text{ and } \breve{r} = \min\{r_i : 1 \le r_i \le$$

$$i \le N$$
,  $r_i$  is defined in Definition 1, and  $g_2 = 5 + 4 \frac{v_3}{v_4} \frac{\beta_3}{a(G)\tilde{r}}$ 

with  $\beta_3 = \|L^T R L \otimes P_2 B B^T P_2\|$ . Then consensus can be achieved exponentially, and no agent will exhibit Zeno behavior.

*Proof*: For  $1 \le i \le N$ , define the observer error as

$$\overline{\boldsymbol{e}}_{i} = \tilde{\boldsymbol{x}}_{i} - \boldsymbol{x}_{i} \tag{11}$$

We first prove that there exists  $\gamma_1$  and  $\theta_1$  such that  $\sum_{i=1}^{N} \|\overline{\boldsymbol{e}}_i\|^2 \le \gamma_1 e^{-\theta_1(t-t_0)}$ . This means the observer state can approach the real state exponentially. According to (1) and (2),  $\dot{\overline{\boldsymbol{e}}}_i = \dot{\tilde{\boldsymbol{x}}}_i - \dot{\boldsymbol{x}}_i = (A + K_1 C) \overline{\boldsymbol{e}}_i$ . Let  $V_1 = \sum_{i=1}^{N} \overline{\boldsymbol{e}}_i^{\mathrm{T}} P_1^{-1} \overline{\boldsymbol{e}}_i$  be a Lyapunov function. It follows from Rayleigh quotient that

$$\lambda_{\min} \left( P_1^{-1} \right) \sum_{i=1}^{N} \left\| \overline{\boldsymbol{e}}_i \right\|^2 \le V_1 \le \lambda_{\max} \left( P_1^{-1} \right) \sum_{i=1}^{N} \left\| \overline{\boldsymbol{e}}_i \right\|^2 \tag{12}$$

Taking the time derivative of  $V_1$  along the trajectory of  $\overline{\boldsymbol{e}}_i$  and using  $K_1 = -v_1 P_1 C^{\mathrm{T}}$  yields  $\dot{V}_1 = 2 \sum_{i=1}^N \overline{\boldsymbol{e}}_i^{\mathrm{T}} P_1^{-1} \dot{\overline{\boldsymbol{e}}}_i = 2 \sum_{i=1}^N \overline{\boldsymbol{e}}_i^{\mathrm{T}} P_1^{-1} (A + K_1 C) \overline{\boldsymbol{e}}_i = \sum_{i=1}^N \overline{\boldsymbol{e}}_i^{\mathrm{T}} \left( P_1^{-1} A + A^{\mathrm{T}} P_1^{-1} - 2 v_1 C^{\mathrm{T}} C \right) \overline{\boldsymbol{e}}_i$ . Since (A, C) is detectable,  $(A^{\mathrm{T}}, C^{\mathrm{T}})$  is stabilizable. Let  $P_1$  be the solution of (9), then  $\dot{V}_1 = -v_2 \sum_{i=1}^N \overline{\boldsymbol{e}}_i^{\mathrm{T}} \left( P_1^{-1} \right)^2 \overline{\boldsymbol{e}}_i \le -v_2 \lambda_{\min} \left[ \left( P_1^{-1} \right)^2 \right] \sum_{i=1}^N \left\| \overline{\boldsymbol{e}}_i \right\|^2$ . Combining this inequality and (12) yields  $\dot{V}_1 \le -\theta_1 V_1$ , where  $\theta_1 = \left\{ \lambda_{\min} \left[ \left( P_1^{-1} \right)^2 \right] / \lambda_{\max} \left( P_1^{-1} \right) \right\} v_2$ . By comparison principle,  $V_1 \le e^{-\theta_1 (t-t_0)} V_1 (t_0)$ . Combining this inequality and (12) yields

$$\sum_{i=1}^{N} \|\overline{e}_{i}\|^{2} \le \gamma_{1} e^{-\theta_{1}(t-t_{0})}$$
(13)

where  $\gamma_1 = V_1(t_0) / [\lambda_{\min}(P_1^{-1})]$ . Next, we prove for  $1 \le i \le N$ ,  $\boldsymbol{\delta}_i$  will go to zero exponentially. According to (1), (3) and (6),  $\dot{\boldsymbol{\delta}}_i = A\boldsymbol{\delta}_i + BK_2 \sum_{j \in I, v_i} (\hat{\boldsymbol{\delta}}_i - \hat{\boldsymbol{\delta}}_j)$ . Let  $\boldsymbol{\delta} = \operatorname{col}(\boldsymbol{\delta}_1, \dots, \boldsymbol{\delta}_N)$  and

$$\hat{\boldsymbol{\delta}} = \operatorname{col}(\hat{\boldsymbol{\delta}}_1, \dots, \hat{\boldsymbol{\delta}}_N)$$
. Then

$$\dot{\boldsymbol{\delta}} = (I_N \otimes A)\boldsymbol{\delta} + (L \otimes BK_2)\hat{\boldsymbol{\delta}} \tag{14}$$

Let  $\overline{\boldsymbol{\varepsilon}}_i = \sum_{j \in I_{N_i}} \left( \overline{\boldsymbol{e}}_i - \overline{\boldsymbol{e}}_j \right)$  and  $\boldsymbol{\varepsilon}_{1i} = \sum_{j \in I_{N_i}} \left( \boldsymbol{e}_{1i} - \boldsymbol{e}_{1j} \right)$ . According to

(3), (5), (7) and (11), one has

$$\hat{\boldsymbol{\delta}}_{i} = \boldsymbol{\delta}_{i} + \overline{\boldsymbol{\varepsilon}}_{i} - \boldsymbol{\varepsilon}_{1i} + \boldsymbol{e}_{2i} \tag{15}$$

Let  $\overline{\varepsilon} = \operatorname{col}(\overline{\varepsilon}_1, \dots, \overline{\varepsilon}_N)$ ,  $\varepsilon_1 = \operatorname{col}(\varepsilon_{11}, \dots, \varepsilon_{1N})$ , and  $\varepsilon_2 = \operatorname{col}(\varepsilon_{21}, \dots, \varepsilon_{2N})$ . Then  $\hat{\delta} = \delta + \overline{\varepsilon} - \varepsilon_1 + \varepsilon_2$ . It follows from (14) that  $\dot{\delta} = (I_N \otimes A)\delta + (L \otimes BK_2)(\delta + \overline{\varepsilon} - \varepsilon_1 + \varepsilon_2)$  (16)

Let  $V_2 = \sum_{i=1}^{N} r_i \boldsymbol{\delta}_i^{\mathrm{T}} P_2 \boldsymbol{\delta}_i$  be a Lyapunov function. It follows that

$$\lambda_{\min}(P_2) \sum_{i=1}^{N} r_i \| \boldsymbol{\delta}_i \|^2 \le V_2 \le \lambda_{\max}(P_2) \sum_{i=1}^{N} r_i \| \boldsymbol{\delta}_i \|^2$$
 (17)

Note that  $V_2$  can also be written as  $V_2 = \boldsymbol{\delta}^T (R \otimes P_2) \boldsymbol{\delta}$ . Taking the time derivative of  $V_2$  along the trajectory of (16), and using  $K_2 = -v_3 B^T P_2$  and Definition 1 yields

$$\dot{V}_2 = 2\boldsymbol{\delta}^{\mathrm{T}} (R \otimes P_2) \dot{\boldsymbol{\delta}}$$

$$= 2\boldsymbol{\delta}^{\mathsf{T}} (R \otimes P_2 A) \boldsymbol{\delta} - 2\boldsymbol{\delta}^{\mathsf{T}} (RL \otimes \nu_3 P_2 B B^{\mathsf{T}} P_2) (\boldsymbol{\delta} + \overline{\boldsymbol{\varepsilon}} - \boldsymbol{\varepsilon}_1 + \boldsymbol{e}_2)$$
  
$$\leq \boldsymbol{\delta}^{\mathsf{T}} \{ R \otimes [P_2 A + A^{\mathsf{T}} P_2 - 2\nu_3 a(G) P_2 B B^{\mathsf{T}} P_2] \} \boldsymbol{\delta}$$

$$\leq \boldsymbol{\delta}^{\mathsf{T}} \left\{ R \otimes \left[ P_{2}A + A^{\mathsf{T}}P_{2} - 2v_{3}a(G)P_{2}BB^{\mathsf{T}}P_{2} \right] \right\} \boldsymbol{\delta}$$
$$-2\boldsymbol{\delta}^{\mathsf{T}} \left( RL \otimes v_{3}P_{2}BB^{\mathsf{T}}P_{2} \right) \overline{\boldsymbol{\varepsilon}} + 2\boldsymbol{\delta}^{\mathsf{T}} \left( RL \otimes v_{3}P_{2}BB^{\mathsf{T}}P_{2} \right) \boldsymbol{\varepsilon}_{1}$$

$$-2\boldsymbol{\delta}^{\mathrm{T}}\left(RL\otimes v_{3}P_{2}BB^{\mathrm{T}}P_{2}\right)\boldsymbol{e}_{2} \tag{18}$$

Let  $\sqrt{R} = diag(\sqrt{r_1}, ..., \sqrt{r_N})$ . By Young's Inequality  $-2\boldsymbol{\delta}^{\mathrm{T}}(RL \otimes v_3 P_2 BB^{\mathrm{T}} P_2)\overline{\boldsymbol{\varepsilon}}$ 

$$=-2\nu_{3}\Big[\Big(\sqrt{R}\otimes B^{\mathsf{T}}P_{2}\Big)\boldsymbol{\delta}\Big]^{\mathsf{T}}\Big[\Big(\sqrt{R}L\otimes B^{\mathsf{T}}P_{2}\Big)\overline{\boldsymbol{\varepsilon}}\Big]$$

$$\leq v_3 | \varphi_1 \delta^{\mathsf{T}} (R \otimes P_2 B B^{\mathsf{T}} P_2) \delta + 1/\varphi_1 \overline{\varepsilon}^{\mathsf{T}} (L^{\mathsf{T}} R L \otimes P_2 B B^{\mathsf{T}} P_2) \overline{\varepsilon} |$$

where  $\varphi_1 = \sigma_1 2a(G)$  with  $\sigma_1 \in (0,1)$  to be determined later. Let  $\overline{e} = \operatorname{col}(\overline{e}_1, ..., \overline{e}_N)$ . Then  $\overline{\varepsilon} = (L \otimes I_n)\overline{e}$ , and so  $\overline{\varepsilon}^T (L^T R L)$ 

$$-2\boldsymbol{\delta}^{\mathrm{T}}(RL\otimes v_{3}P_{2}BB^{\mathrm{T}}P_{2})\overline{\boldsymbol{\varepsilon}}$$

$$\leq \boldsymbol{\delta}^{\mathrm{T}} \Big( R \otimes \sigma_{1} 2 \nu_{3} a (G) P_{2} B B^{\mathrm{T}} P_{2} \Big) \boldsymbol{\delta} + \frac{\nu_{3} \beta_{2}}{2 \sigma_{1} a (G)} \| \overline{\boldsymbol{e}} \|^{2}$$
 (19)

Let  $e_1 = \text{col}(e_{11}, \dots, e_{1N})$ . Following similar procedure yields  $2\boldsymbol{\delta}^{\text{T}}(RL \otimes v_3 P_2 B B^{\text{T}} P_2) \boldsymbol{\varepsilon}_1$ 

$$\leq \boldsymbol{\delta}^{\mathsf{T}} \left( R \otimes \sigma_2 2 \nu_3 a(G) P_2 B B^{\mathsf{T}} P_2 \right) \boldsymbol{\delta} + \frac{\nu_3 \beta_2}{2 \sigma_2 a(G)} \|\boldsymbol{e}_1\|^2$$

$$-2 \boldsymbol{\delta}^{\mathsf{T}} \left( RL \otimes \nu_3 P_2 B B^{\mathsf{T}} P_2 \right) \boldsymbol{e}_2$$

$$(20)$$

where 
$$\sigma_2$$
,  $\sigma_3 \in (0,1)$  are parameters to be determined. Substituting (19)–(21) to (18), one has  $\dot{Y}_2 \leq \delta^T \left\{ R \otimes \left[ P_2 A + A^T P_2 - 2 \left( 1 - \overline{\sigma_1} \right) v_3 a(G) P_2 B B^T P_2 \right] \right\} \delta + \frac{V_3}{2a(G)} \left( \frac{\beta_2}{\sigma_1} \| \overline{e} \|^2 + \frac{\beta_2}{\sigma_2} \right)$ 
 $\| e_1 \|^2 + \frac{\beta_3}{\sigma_3} \| e_2 \|^2 \right)$ , where  $\overline{\sigma}_1 = \sum_{i=1}^3 \sigma_i$ . Take  $\sigma_i = 1/4$ ,  $1 \leq i \leq 3$ , then  $\overline{\sigma}_1 = 3/4$ . Under Assumption 1, according to Lemma 1, one can let  $P_2$  be the solution of (10). It follows that  $\dot{V}_2 \leq -V_4 \sum_{i=1}^N r_i \| \delta_i \|^2 + \frac{2V_3}{a(G)} \left( \beta_2 \| e_1 \|^2 + \beta_3 \| e_2 \|^2 \right) + \frac{2V_3 \beta_2}{a(G)} \| \overline{e} \|^2$ . Combining this inequality and (17) yields  $\dot{V}_2 \leq -\theta_2 V_2 - \overline{\sigma}_2 V_4 \sum_{i=1}^N r_i \| \delta_i \|^2 + \frac{2V_3}{a(G)} \left( \beta_2 \| e_1 \|^2 + \beta_3 \| e_2 \|^2 \right) + \frac{2V_3 \beta_2}{a(G)} \| \overline{e} \|^2$ , where  $\overline{\sigma}_2 \in (0,1)$  and  $\theta_2 = V_4 \left( 1 - \overline{\sigma}_2 \right) / \lambda_{\max} \left( P_2 \right)$ . Choosing  $\overline{\sigma}_2 = 1/2$  and using  $\overline{r} = \min \{ r_i : 1 \leq i \leq N \}$ , one has  $\dot{V}_2 \leq -\theta_2 V_2 - \frac{1}{2} V_4 \sum_{i=1}^N r_i \| \delta_i \|^2 + \frac{2V_3 \beta_2}{a(G)} \| \overline{e} \|^2$  
$$+ \beta_3 \sum_{i=1}^N \| e_{2i} \|^2 \right) + \frac{2V_3 \beta_2}{a(G)} \| \overline{e} \|^2$$
 
$$\leq -\theta_2 V_2 - \frac{1}{2} V_4 \sum_{i=1}^N r_i \| \delta_i \|^2 + \frac{2V_3}{a(G)} \left( \beta_2 \sum_{i=1}^N \| e_{1i} \|^2 \right) + \frac{2V_3 \beta_2}{a(G)} \| \overline{e} \|^2$$
 (22) According to (15), 
$$\sum_{i=1}^N r_i \left[ - \| \delta_i \|^2 \right] + \frac{2V_3 \beta_2}{a(G)} \| \overline{e} \|^2$$
 (22) According to (15), 
$$\sum_{i=1}^N r_i \left[ - \| \delta_i \|^2 \right] + \frac{2V_3 \beta_2}{a(G)} \| \overline{e} \|^2$$
 (23) where  $2 \delta_i^T \overline{e}_i \leq \frac{1}{4} \delta_i^T \delta_i + 4 e_{i1}^T \overline{e}_i - e_{i1}^T \overline{e}_i - e_{i1}^T \overline{e}_i + e_{i2}^T e_{2i} + 2 e_{i1}^T \overline{e}_{ii} - 2 e_{i1}^T e_{2i} \leq \overline{e}_i^T \overline{e}_i + e_{i1}^T e_{i1}, -2 \overline{e}_i^T e_{2i} \leq \overline{e}_i^T \overline{e}_i + e_{i1}^T e_{2i}, -2 \overline{e}_i^T e_{2i} \leq \overline{e}_i^T \overline{e}_i + e_{i2}^T e_{2i}$ . Applying these inequalities to (23) yields  $\sum_{i=1}^N r_i | \overline{e}_i |^2 = \sum_{i=1}^N r_i | \overline{e}_i |^2 = \overline{e}^T \left( L \otimes I_n \right) \overline{e} = \overline{e}^T \left( L \otimes I_n \right) \overline{e} = \beta_i \| \overline{e}_i \|^2 = \sum_{i=1}^N r_i | \overline{e}_i |^2 = \sum_{i=1}^N r_i | \overline{e}_i |^2 = \sum_{i=1}^N r_$ 

 $\leq \boldsymbol{\delta}^{\mathsf{T}} \Big( R \otimes \sigma_3 2 \nu_3 a \big( G \big) P_2 B B^{\mathsf{T}} P_2 \Big) \boldsymbol{\delta} + \frac{\nu_3 \beta_3}{2 \sigma_2 a \big( G \big)} \| \boldsymbol{e}_2 \|^2$ 

(21)

$$\begin{split} &\sum_{i=1}^{N} r_i \left( - \left\| \hat{\boldsymbol{\delta}}_i \right\|^2 + 5 \frac{\beta_L}{\tilde{r}} \|\boldsymbol{e}_{i_1} \|^2 + 5 \|\boldsymbol{e}_{2_l} \|^2 \right) + 5 \beta_L \|\tilde{\boldsymbol{e}}\|^2 \end{split} \tag{24} \\ &\text{Applying (13), (24) to (22), and using } g_1 = 5 \frac{\beta_L}{\tilde{r}} + 4 \frac{V_3}{V_4} \frac{\beta_2}{a(G)\tilde{r}}, \\ &, g_2 = 5 + 4 \frac{V_3}{V_4} \frac{\beta_3}{a(G)\tilde{r}} \text{ yield } \dot{V}_2 \leq -\theta_2 V_2 + \frac{1}{2} V_4 \sum_{i=1}^N r_i \left( -\frac{1}{4} \left\| \hat{\boldsymbol{\delta}}_i \right\|^2 + g_1 \|\boldsymbol{e}_{1_l} \|^2 + g_2 \|\boldsymbol{e}_{2_l} \|^2 \right) + \left( \frac{5}{2} V_4 \beta_1 + \frac{2 V_3 \beta_2}{a(G)} \right) \gamma_l e^{-\theta_l (t - t_0)}. \text{ By event-triggering mechanism and (8), before the next triggering time, } \|\boldsymbol{e}_{j_l}(t)\| \leq f_{j_l}(t), \quad j = 1, 2 \text{ . For } j = 1, 2 \text{ , let } f_{j_l}(t) = h_{j_l}(t) + c_{j_l} e^{-\alpha_{j_l}(t - t_0)}, \quad \text{where } c_{j_l}, \alpha_{j_l} > 0 \text{ , and } h_{j_l}(t) \text{ is to be determined. Then } f_{j_l}^2 = h_{j_l}^2 + 2 c_{j_l} e^{-\alpha_{j_l}(t - t_0)} h_{j_l} + c_{j_l}^2 e^{-2\alpha_{j_l}(t - t_0)}, \quad \text{and so } \dot{V}_2 \leq -\theta_2 V_2 + \frac{1}{2} V_4 \sum_{i=1}^N \sum_{j=1}^N r_i \left( g_j h_{j_l}^2 + 2 c_{j_l} g_j e^{-\alpha_{j_l}(t - t_0)} h_{j_l} - \frac{1}{4} \mu_j \left\| \hat{\boldsymbol{\delta}}_i \right\|^2 \right) + \frac{1}{2} V_4 \sum_{i=1}^N \sum_{j=1}^N r_i g_j c_{j_l}^2 e^{-2\alpha_{j_l}(t - t_0)} + \left( \frac{5}{2} V_4 \beta_1 + \frac{2 V_3 \beta_2}{a(G)} \right) \gamma_l e^{-\theta_l(t - t_0)}. \quad \text{Let } h_{j_l} = \frac{\sqrt{\Delta_{j_l}}}{2g_j} = \sqrt{\frac{\mu_j}{4g_j}} \left\| \hat{\boldsymbol{\delta}}_i \right\|^2 + 2 c_{j_l} g_j e^{-\alpha_{j_l}(t - t_0)} h_{j_l} - \frac{1}{4} \mu_j \left\| \hat{\boldsymbol{\delta}}_i \right\|^2 + 4 c_{j_l}^2 g_j^2 e^{-2\alpha_{j_l}(t - t_0)}, \quad \text{then } g_j h_{j_l}^2 + 2 c_{j_l} g_j e^{-\alpha_{j_l}(t - t_0)} h_{j_l} - \frac{1}{4} \mu_j \left\| \hat{\boldsymbol{\delta}}_i \right\|^2 = 0 \\ \text{and } f_{j_l} = \frac{\sqrt{\Delta_{j_l}}}{2g_j} = \sqrt{\frac{\mu_j}{4g_j}} \left\| \hat{\boldsymbol{\delta}}_l \right\|^2 + c_{j_l}^2 e^{-2\alpha_{j_l}(t - t_0)} h_{j_l} - \frac{1}{4} \mu_j \left\| \hat{\boldsymbol{\delta}}_l \right\|^2 = 0 \\ \text{and } f_{j_l} = \sqrt{\frac{\lambda_{j_l}}{2g_j}} \left\| \hat{\boldsymbol{\delta}}_l \right\|^2 + c_{j_l}^2 e^{-2\alpha_{j_l}(t - t_0)} h_{j_l} - \frac{1}{4} \mu_j \left\| \hat{\boldsymbol{\delta}}_l \right\|^2 = 0 \\ \text{and } f_{j_l} = \sqrt{\frac{\lambda_{j_l}}{2g_j}} \left\| \hat{\boldsymbol{\delta}}_l \right\|^2 + c_{j_l}^2 e^{-2\alpha_{j_l}(t - t_0)} h_{j_l} - \frac{1}{4} \mu_j \left\| \hat{\boldsymbol{\delta}}_l \right\|^2 + c_{j_l}^2 e^{-2\alpha_{j_l}(t - t_0)} h_{j_l} - \frac{1}{4} \mu_j \left\| \hat{\boldsymbol{\delta}}_l \right\|^2 + c_{j_l}^2 e^{-2\alpha_{j_l}(t - t_0)} h_{j_l} - \frac{1}{4} \mu_j \left\| \hat$$

addition, when agent i receives  $\tilde{z}_i$  from agent j, the

discontinuity of  $\tilde{\boldsymbol{z}}_j$  leads to discontinuity  $\tilde{\boldsymbol{\delta}}_i$ . This may further lead to  $\|\boldsymbol{e}_{2i}\| > f_{2i}$ . Since it can be considered that each agent monitors ETCs continuously,  $\boldsymbol{e}_{ji}$  becomes zero once  $\|\boldsymbol{e}_{ji}\| > f_{ji}$ . Hence,  $\|\boldsymbol{e}_{ji}\| > f_{ji}$  only happens at some discrete time instants. Let  $\tilde{t}_1, \tilde{t}_2, \ldots$  be the times when  $\|\boldsymbol{e}_{ji}\| > f_{ji}$ , then  $\|\boldsymbol{e}_{ji}(\tilde{t}_k^+)\| = 0$ ,  $k = 1, 2, \ldots$ , where  $\|\boldsymbol{e}_{ji}(\tilde{t}_k^+)\| = \lim_{t \to \tilde{t}_k^+} \|\boldsymbol{e}_{ji}(t)\|$ . According to (25),  $\dot{V}_2 \leq -\theta_2 V_2 + \chi e^{-\bar{\alpha}_2(t-t_0)}$  on  $[t_0, \tilde{t}_1) \cup \bigcup_{t \in T^+} (\tilde{t}_k, \tilde{t}_{k+1})$ . Let W(t) be the solution of

$$\dot{W} = -\theta_2 W + \chi e^{-\bar{\alpha}_3(t-t_0)} \tag{26}$$

with initial  $W\left(t_0\right) = V_2\left(t_0\right)$ , where  $\breve{\alpha}_3 = \breve{\alpha}_2$  if  $\breve{\alpha}_2 < \theta_2$ , and  $\breve{\alpha}_3 < \theta_2$  otherwise. By the comparison Lemma,  $V_2\left(t\right) \leq W\left(t\right)$  on  $\left[t_0, \tilde{t}_1\right)$ . Since  $V_2\left(t\right)$  and  $W\left(t\right)$  are continuous at  $t = \tilde{t}_1$ ,  $V_2\left(\tilde{t}_1\right) = \lim_{t \to \tilde{t}_1^-} V_2\left(t\right) \leq \lim_{t \to \tilde{t}_1^-} W\left(t\right) = W\left(\tilde{t}_1\right)$ . Thus, applying the comparison lemma on  $\left[\tilde{t}_1, \tilde{t}_2\right)$  yields  $V_2\left(t\right) \leq W\left(t\right)$  on  $\left[\tilde{t}_1, \tilde{t}_2\right)$ . It follows that  $V_2\left(t\right) \leq W\left(t\right)$  on  $\left[t_0, \tilde{t}_2\right)$ . Repeating this procedure gives  $V_2\left(t\right) \leq W\left(t\right)$ ,  $t \geq t_0$ . Using (26) and  $\breve{\alpha}_3 < \theta_2$  yields  $W\left(t\right) = e^{-\theta_2\left(t-t_0\right)}V_2\left(t_0\right) + \frac{\mathcal{X}}{\theta_2 - \breve{\alpha}_3}e^{-\breve{\alpha}_3\left(t-t_0\right)} \left[1 - e^{-(\theta_2 - \breve{\alpha}_3)\left(t-t_0\right)}\right]$ . It

follows that  $V_2(t) \le \left| V_2(t_0) + \frac{\chi}{\theta_2 - \breve{\alpha}_3} \right| e^{-\breve{\alpha}_3(t-t_0)}$ . Using (17) to

this inequality yields  $\sum_{i=1}^{N} r_i \|\boldsymbol{\delta}_i\|^2 \le \gamma_2 e^{-\check{\alpha}_3(t-t_0)}$ , where

$$\gamma_2 = \frac{1}{\lambda_{\min}(P_2)} \left[ V_2(t_0) + \frac{\chi}{\theta_2 - \bar{\alpha}_3} \right].$$
 This implies that consensus

can be achieved exponentially. By following a similar procedure as in [10], it can be proved that no agent will exhibit Zeno behavior.

Remark 2 According to the event-triggering mechanism and (8), continuous information transmission and the control input update are avoided, and they are unnecessary to be triggered at the same time. Different from [11,13,14,16], (6) implies that the latter is unnecessary to be triggered once an agent receives information. However, the analysis in the proof of Theorem 1 shows that when one task is triggered, the other one may also be triggered. In addition, when an agent receives information the control task may be triggered as well.

**Remark 3** By (5), (7), (8) along with the threshold function  $f_{2i}$  determined by Theorem 1, for  $1 \le i \le N$ , at the latest triggering time  $\tilde{t}_{k_{2i}}^{2i}$ , agent i can predict the next triggering time, and continuously monitoring this ETC can be avoided.

## IV. SIMULATION EXAMPLE

In this section, an example is given to illustrate the effectiveness of the proposed OETCC law.

Consider a consensus problem of 6 agents. The dynamic of each agent is described by (1), where A=[0 -1 0; 1 0 0; 0 1 0], B=[1; 0; 0], and C=[0 0 1]. It can be verified that Assumption 1 is satisfied. The network topology is described in Fig. 1, which is strongly connected. The corresponding

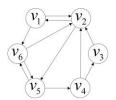


Fig. 1 Network topology

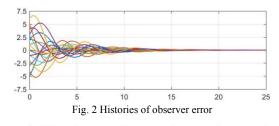
Laplacian matrix is L=[1 -1 0 0 0 0; -1 4 -1 -1 0 -1; 0 0 1 -1 0 0; 0 0 0 1 -1 0; 0 -1 0 0; 0 -1 0 0; 0 -1 0].

We first compute general algebraic connectivity: Under Assumption 2, by Lemma 2, one can obtain a(G) = 0.7132. Next, determine the observer gain  $K_1$  and control gain  $K_2$ : Choose  $v_1 = 0.5$ ,  $v_2 = 1$ ,  $v_3 = 0.25$  and  $v_4 = 1$ , and solve ARE (9) and (10) for  $P_1$  and  $P_2$ , respectively. Then according to Theorem 1, one can obtain  $K_1 = \begin{bmatrix} 0.2480 & -0.6622 & -0.9551 \end{bmatrix}^T$  and  $K_2 = \begin{bmatrix} -2.1202 & -0.6764 & -0.8373 \end{bmatrix}$ . Finally, we determine the parameters in the threshold functions  $f_{1i}$  and  $f_{2i}$ : Choose  $\mu_1 = 0.5$  and  $\mu_2 = 0.5$ , and for  $1 \le i \le 6$ , choose  $c_{1i} = 0.085$ ,  $a_{1i} = 0.025$ ,  $c_{2i} = 0.275$  and  $a_{2i} = 0.025$ .

Assume  $t_0 = 0$ . Let each agent monitors ETCs at  $k\Delta t$  with  $\Delta t = 0.01 \text{ and } k = 1, 2, \dots$  Write  $x_i$  as  $x_i = \text{col}(x_1^i, x_2^i, x_3^i)$ . The initial state of agent i,  $1 \le i \le 6$ , is assumed to be  $\mathbf{x}_{i}(0) = \operatorname{col}(x_{10}^{i}, x_{20}^{i}, x_{30}^{i})$ , where  $x_{10}^{i} = 2i - 7$ ,  $x_{20}^{i} = 2i - 6$  and  $x_{30}^{i} = i - 3$ . The initial observer state is set as  $\tilde{x}(0) = 0$ . Write the observer error as  $\overline{e} = \text{col}(\overline{e}_{11}, \overline{e}_{12}, \overline{e}_{13}, \dots, \overline{e}_{61}, \overline{e}_{62}, \overline{e}_{63})$ . For  $1 \le j \le 3$ , let  $\hat{\boldsymbol{x}}_i = \operatorname{col}(x_i^1, \dots, x_i^6)$ . Note that consensus is achieved if for any  $1 \le j \le 3$ , and  $1 \le p, q \le 6$ ,  $x_i^p - x_i^q = 0$ . The performance of the MAS is simulated during [0, 60]. The histories of the components of  $\overline{e}$ ,  $\hat{x}_i$  and control input are presented in Fig. 2, 3 and 4, respectively. We focus on the histories during [0, 25]. Fig. 2 indicates that the observer error almost becomes zero before t = 20. Fig. 3 shows that consensus is almost achieved before t = 25. In Fig 4, the magnitude of control input decreases when the disagreement among agents becomes smaller. The histories of the triggering times related to information transmission and control input update during [0, 60] are presented in Fig 5a and Fig 5b, respectively. For most of the agents, both tasks are triggered at a high frequency before t = 10, and the frequency decreases significantly after this time. Note that in Fig 2 and 3, the observer error and the disagreement among agents are also reduced significantly after t = 10. The numbers of triggering times during [0, 60] are listed in Table 1, where  $N_{trig}^{1i}$  and  $N_{trig}^{2i}$ denote the number of triggering times of agent i related to information transmission and control input update, respectively. Since each ETC is monitored 6,000 times during this period, both tasks are not triggered frequently.

## V. CONCLUSION

In this paper, an observer-based event-triggered consensus problem has been considered for general linear MASs under strongly connected network. Two ETCs have been applied to



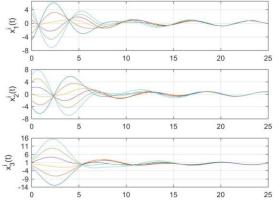


Fig. 3 Histories of state

15

20

25

Fig. 4 Histories of control input

2.5

-2.5

-5

0

the consensus algorithm so that both continuous control input update and information transmission are not required. In addition, the triggering times for both tasks are unnecessarily to be the same. It has been verified that under the proposed algorithm, consensus can be achieved exponentially.

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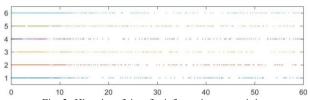


Fig. 5a Histories of time for information transmitting

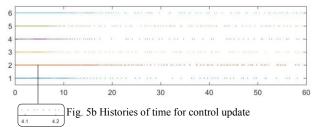


Table 1: Number of triggering times

i	1	2	3	4	5	6
$N_{trig}^{1i}$	344	286	189	184	298	406
$N_{trig}^{2i}$	131	560	117	126	199	402

- systems," *IEEE Trans. Ind. Electron.*, vol. 61, no. 9, pp. 4885–4894, Sep. 2014.
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