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Prebiotic Astrochemistry from Astronomical Observations and Laboratory Spectroscopy

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Keywords

astrochemistry, spectroscopy, millimeter wave, microwave, radio astronomy, prebiotic chemistry, Solar System

Abstract

The discovery of more than 200 gas-phase chemical compounds in interstellar space has led to the speculation that this nonterrestrial synthesis may play a role in the origin of life. These identifications were possible because of laboratory spectroscopy, which provides the molecular fingerprints for astronomical observations. Interstellar chemistry produces a wide range of small, organic molecules in dense clouds, such as NH_2COCH_3 , CH_3OCH_3 , $\text{CH}_3\text{COOCH}_3$, and $\text{CH}_2(\text{OH})\text{CHO}$. Carbon (C) is also carried in the fullerenes C_{60} and C_{70} , which can preserve C-C bonds from circumstellar environments for future synthesis. Elusive phosphorus has now been found in molecular clouds, the sites of star formation, in the molecules PO and PN. Such clouds can collapse into solar systems, although the chemical/physical processing of the emerging planetary disk is uncertain. The presence of molecule-rich interstellar starting material, as well as the link to planetary bodies such as meteorites and comets, suggests that astrochemical processes set a prebiotic foundation.

1. THE EMERGENCE OF ASTROCHEMISTRY

The chemical richness of interstellar space has been realized only in the past few decades. Because astronomy relies on remote sensing across enormous distances, gas-phase spectroscopy has always been the major tool for investigating the composition of the interstellar medium (ISM), the vast regions between stars. Prior to the 1970s, it was thought in the astronomical community that the ISM could harbor only atomic material, with perhaps a handful of diatomic molecules. During the 1940s, several such molecules, including CH, CH⁺, and CN, were identified in the ISM via their electronic bands, observed at optical wavelengths in absorption against background stars (e.g., 1). These discoveries were considered more spectroscopic curiosities than clues to further molecular content. It was not until approximately 20 years later, with the development of radio astronomy coupled with progress in laboratory microwave spectroscopy (2), that the overall landscape changed. With the availability of rotational rest frequencies from laboratory measurements, as well as sensitive heterodyne electronics (e.g., 3), molecular detections began to occur at radio wavelengths, starting with the OH radical (4) and quickly followed by H₂O, NH₃, and H₂CO (3–5). These pioneering identifications were made on the basis of lambda doubling, inversion doubling, and K doubling, which limited the accessible molecules. The discovery of carbon monoxide (CO) in the Galaxy further revolutionized molecular astronomy (6) by opening the sky to millimeter wavelengths. CO was identified by its $J = 1 \rightarrow 0$ rotational line at 115 GHz. It was soon recognized that millimeter wavelengths offered the best access to molecular transitions. Discoveries came rapidly thereafter, with the detections of HCN, HNC, HCO⁺ (known as Xogen), H₂S, CS, SiO, CH₃CH, and HNCO (e.g., 7–9).

Fifty years later, more than 200 interstellar molecules have been identified in interstellar space on the basis of their gas-phase spectra. A wide range of chemical species have been observed, from simple diatomics such as NO, SO, SiC, NH, and OH to more complex compounds such as CH₃NH₂ (10), CH₃CONH₂ (11), and CHOCH₂OH (12). The largest gas-phase molecules observed to date are C₆₀, C₇₀ (13), and possibly C₆₀⁺ (14). Most species have been detected by their rotational transitions in the millimeter range (65–500 GHz), using high-precision radio telescopes (15). Sample spectra are shown in **Figure 1**. Rotational lines are favored because interstellar gas is typically cold (~10–100 K) and constitutes a near vacuum (10³–10⁶ particles/cm³ or 10^{–17}–10^{–14} atm). However, this method requires that a molecule have a permanent electron dipole moment. The infrared (IR) region has therefore played an important role in the identification of very symmetric species, from CH₄, SiH₄, and HCCH to C₆₀, by exploiting ro-vibrational transitions (16, 17). Detection in the IR is usually more selective because it requires a radiation source for excitation, while rotational levels are simply populated by collisions with the most abundant molecule, H₂ (18). A few molecules, such as NH and C₂, have been identified by their electronic bands in the near-IR and optical regions of the electronic spectrum (19, 20). Usually, these studies are done in absorption against a background star for excitation.

Table 1 shows a representative list of known interstellar molecules. A complete current list is given in **Supplemental Table 1**. Approximately half of all known interstellar molecules are transient species that would likely function as short-lived reaction intermediates in the terrestrial laboratory. These unusual molecules include free radicals such as CCH, HCO, SiC, and CCP and both positive and negative molecular ions (e.g., HCO⁺, HCNH⁺, C₃N[–]). The presence of these nonterrestrial compounds arises from the very rarefied and cold gas that hosts them. A variety of elements are contained in the molecules, starting with NCHOPS (nitrogen, carbon, hydrogen, oxygen, phosphorus, sulfur)—the elements thought necessary for life (21). In fact, most interstellar molecules contain C and common organic functional groups, with acids, alcohols, aldehydes, ketones, esters, and amines (22). N, O, and S are also reasonably well represented, and a few molecules

Supplemental Material >

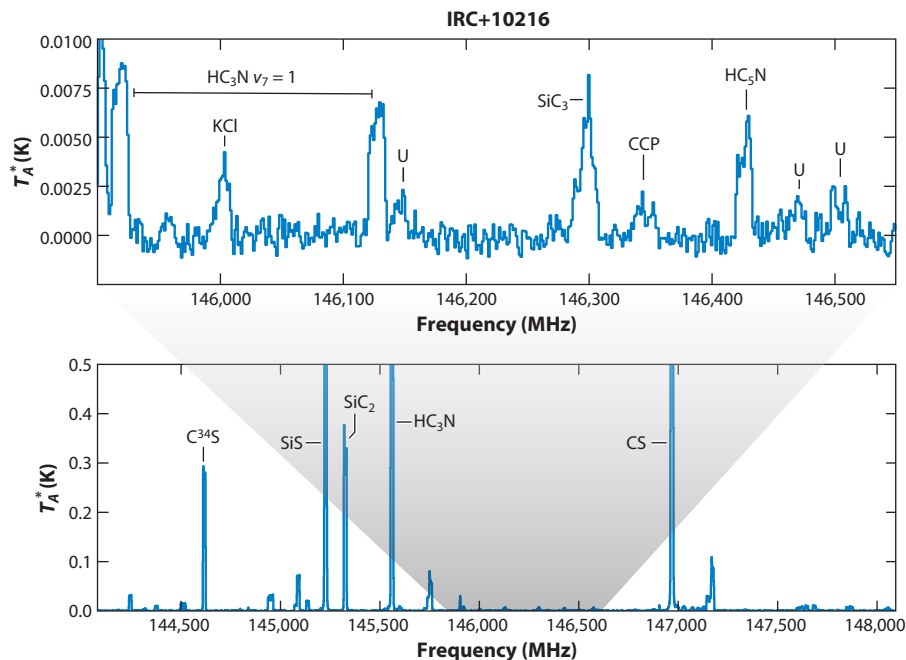


Figure 1

Representative millimeter-wave spectra of the C-rich envelope of IRC+10216 at 2 mm in wavelength. (*Lower*) A spectrum at 146 GHz with a 4-GHz total bandwidth, showing the strong spectral lines in this region, including those of C³⁴S, CS, SiS, SiC₂, and HC₃N. The spectral intensity scale [in T_A^{*} (K)] has been truncated to show weaker features. These data were taken with the 12-m telescope of the Arizona Radio Observatory with 56 h of integration time. (*Upper*) A section of the lower spectrum with a bandwidth of 0.5 GHz. Weaker lines are apparent in the spectrum. U denotes unidentified lines.

have fluorine (F) and chlorine (Cl) [HCl, CF, CF⁺, H₂Cl⁺ (23)]. P has been more elusive and has been found in only seven interstellar molecules to date (see **Table 2**). Of the more refractory elements, silicon (Si) is the most prevalent in molecular form as diatomics (SiO, SiC, SiN, SiS, SiP) and in organosilicon species (SiC₃, SiC₄, SiNC). The metals, in the chemist's sense, are represented in molecules by magnesium (Mg), aluminum (Al), sodium (Na), potassium (K), titanium

Table 1 Representative interstellar molecules

Two elements		Three elements		Four elements	Five elements	Six elements	Seven elements	Eight or more elements
H ₂	PN	HCO ⁺	SiC ₂	H ₂ CO	HC ₃ N	CH ₃ OH	CH ₃ CCH	HCOOCH ₃
CO	PO	HCN	OCS	HCCH	CH ₂ CN	CH ₃ CN	HC ₅ N	CH ₃ CH ₂ CN
CN	AlF	N ₂ O	CCN	H ₃ O ⁺	C ₄ H	C ₅ H	C ₆ H [−]	CH ₃ CH ₂ OH
NO	NaCl	CCH	HNC	HCNH ⁺	HCOOH	NH ₂ CHO	CH ₂ CHCN	HC ₇ N
OH ⁺	AlO	HNO	AlNC	C ₃ H	H ₂ COH ⁺	C ₅ N [−]	CH ₃ CHO	(CH ₂ OH) ₂
SiO	SiC	H ₂ O	MgCN	NH ₃	CH ₄	C ₂ H ₄	CH ₃ NH ₂	HC ₉ N
NH	VO	H ₂ S	FeCN	HSCN	SiH ₄	CH ₃ SH	CH ₃ NCO	C ₈ H
HCl	ArH ⁺	N ₂ H ⁺	AlOH	PH ₃	c-C ₃ H ₂	c-H ₂ C ₃ O	C ₆ H	C ₆₀
NS	TiO	CCP	HNO	C ₃ O	C ₄ H [−]	MgC ₄ H	c-C ₂ H ₄ O	C ₇₀

Table 2 A summary of phosphorus chemistry

Species	Source type	Source	$f(X/H_2)^a$	$f(X/H_2)$ range
PN	C-rich AGB envelope	IRC+10216	9×10^{-10}	$0.09\text{--}2 \times 10^{-8}$
	O-rich AGB envelope	TX Cam	10^{-8}	
		IK Tau	10^{-8}	
		R Cas	2×10^{-8}	
	Hypergiant envelope	VY CMa NML Cyg	8×10^{-8} 3×10^{-9}	$0.4\text{--}9 \times 10^{-10}$
	Protoplanetary nebula	CRL2688	$3\text{--}5 \times 10^{-9}$	
PO	Clouds: high-mass star Formation	Orion-KL	6×10^{-11}	$0.4\text{--}9 \times 10^{-10}$
		W51	10^{-10}	
		W3 (OH)	4×10^{-11}	
	Clouds: low-mass star Formation	B-1	10^{-10}	$0.5\text{--}1 \times 10^{-7}$
		L1157	9×10^{-10}	
	O-rich AGB envelope	TX Cam IK Tau R Cas	6×10^{-8} 5×10^{-8} 10^{-7}	$0.5\text{--}1 \times 10^{-7}$
PH ₃	Hypergiant envelope	VY CMa NML Cyg	10^{-7} 7×10^{-8}	$0.7\text{--}1 \times 10^{-7}$
	Clouds: high-mass star Formation	Orion-KL	2×10^{-10}	$1\text{--}2 \times 10^{-10}$
		W51	2×10^{-10}	
	Clouds: low-mass star Formation	W3 (OH)	10^{-10}	
HCP	Clouds: low-mass star Formation	B-1	10^{-10}	
		L1157	2×10^{-10}	
CP	C-rich AGB envelope	IRC+10216	10^{-8}	$0.1\text{--}4 \times 10^{-7}$
	Protoplanetary nebula	CRL 2688	$0.3\text{--}4 \times 10^{-7}$	
CCP	C-rich AGB envelope	IRC+10216	3×10^{-8}	$0.3\text{--}2 \times 10^{-7}$
	Protoplanetary nebula	CRL 2688	2×10^{-7}	
SiP	C-rich AGB envelope	IRC+10216	7×10^{-9}	
	C-rich AGB envelope	IRC+10216	1×10^{-9}	
	C-rich AGB envelope	IRC+10216	2×10^{-9}	

^aAbundance relative to H₂.

^bData from References 136 and 139–144.

Abbreviations: AGB, asymptotic giant branch; IK Tau, IK Tauri; NML Cyg, NML Cygnus; R Cas, R Cassiopeiae; TX Cam, TX Camelopardalis; VY CMa, VY Canis Majoris.

(Ti), iron (Fe), and (most recently) vanadium (V). Mg, the metal most common in interstellar molecules, is found in, e.g., MgCN, MgCN, MgC₂, and MgC₄H (24), while V and Fe have thus far been observed only in VO (25), FeCN (26), and recently FeC, respectively. Also of interest is the presence of ArH⁺ (27).

2. THE INTERSTELLAR MOLECULAR LIFE CYCLE

The wide variety of molecules observed are not found in the same sources in the ISM but rather are found in sample different regions with varying physical conditions. These sources are linked together through the transfer of material in the ISM in a continuing cycle, following the birth and death of stars. This cycle is illustrated in **Figure 2**.

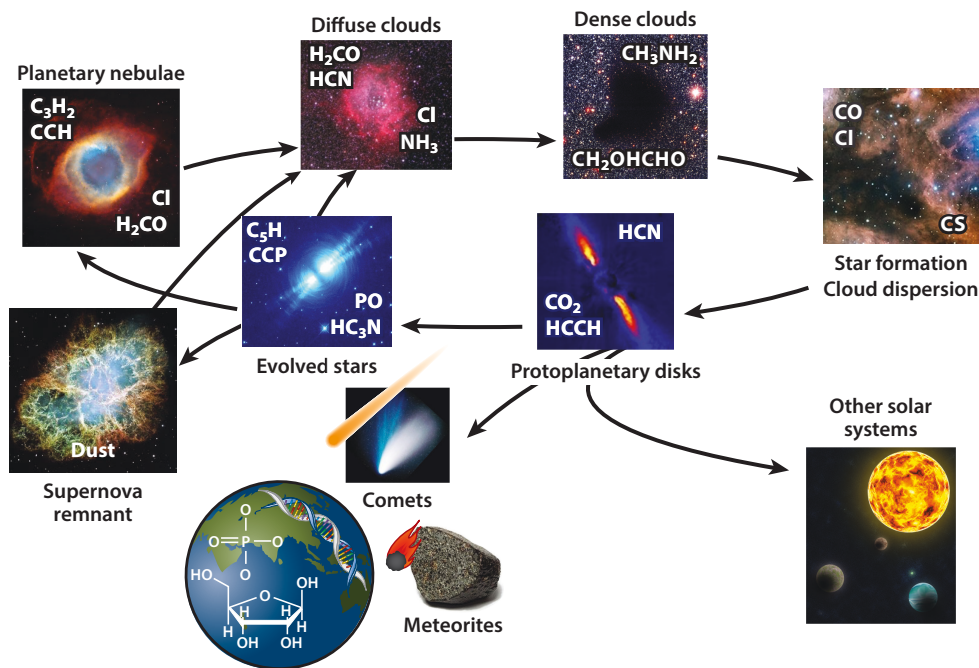


Figure 2

The life cycle of molecules in the Galaxy, illustrating the connection between material lost from evolved stars, and between material in diffuse and dense clouds, and solar systems.

Most elements are supplied to the ISM from stars and either are dispersed in a supernova explosion or enter the ISM through less violent mass loss from asymptotic giant branch (AGB) stars. Supernovae (SNe) are relatively rare in our Galaxy, as they originate in very massive stars (28). While SNe provide approximately 10% of the total mass to the ISM (29), they are the only sources of many heavier elements, even P (30). However, now it is thought that not all massive stars become SNe but that many such stars quietly collapse into black holes (31).

Approximately 85% of the matter in the ISM comes from AGB stars, which—with a typical mass of $\sim 1\text{--}8 M_{\odot}$, where M_{\odot} is the mass of the sun—have lower mass than do SNe progenitors. These AGB objects end their life cycle not explosively, but through a series of stages termed the Giant Branches (see Reference 32). To summarize, these lower-mass stars first deplete H in their core, which is converted to helium (He). They continue to burn H in a shell around the He core until gravitational collapse ignites the He. He burns to C through the triple alpha process. To balance the excess energy released in He burning, the outer layers of the star expand, and a Red Giant star is created. During this phase, the stellar envelope becomes highly convective and mixes material from the H-burning shell to the surface—an important source of ^{13}C . The He core is then transformed to C, and nucleosynthesis continues around it in a He-burning shell that is surrounded by a H-burning shell. This stage is the AGB. Again, the envelope remains highly convective, and a substantial amount of ^{12}C , formed from He, can be dredged up, i.e., mixed to the surface, creating a so-called C star (32)—the only situation known in the ISM in which $C > O$ ($C/O \sim 1.5$). This process is termed the third dredge-up. On the AGB, due to energy considerations, mass loss from the surface of the star becomes substantially higher, with expansion velocities near $V_{\text{exp}} \sim 10\text{--}20 \text{ km/s}$ (33), which creates a substantial circumstellar envelope. The envelope cools, and molecules form.

Circumstellar envelopes of AGB stars are complex chemical factories (34–36). The most-studied example is the envelope of the carbon star IRC+10216 (see **Figure 1**), which is rich in C-containing compounds (36). It is now recognized that very massive stars ($\geq 20 M_{\odot}$), so-called hypergiants, such as VY Canis Majoris (VY CMa) and NML Cygnus (NML Cyg), also have molecularly rich envelopes (35, 37–38). These envelopes are created by sporadic, asymmetric mass loss events that are generated by photospheric activity originating in large convection cells (39). However, because hypergiant stars do not undergo third dredge-up, they remain O rich ($C/O \sim 0.5$). Their envelope chemistry reflects this ratio, with many oxide molecules (40).

In addition to having gas-phase composition, circumstellar shells are active producers of dust grains. These particles, typically $0.1\text{--}10\ \mu\text{m}$ in size, are mineral in composition and contain many refractory elements, such as iron, magnesium, and titanium (41, 42). The type of grains created depends on the C/O ratio. Common minerals thought to form in the O-rich envelopes under conditions of local thermodynamic equilibrium (LTE) are MgAl_2O_4 (spinel), Al_2O_3 (corundum), MgSiO_3 (enstatite), and CaTiO_3 (perovskite), while in the C-rich case, SiC, graphite, and metal carbides, sulfides, and nitrides are found (TiC, AlN, FeS). Some LTE predictions have been verified by IR observations of lattice vibrations [Si–O and Si–C stretches, 9 and $11\ \mu\text{m}$ (43)] or by chemical analysis of presolar grains—dust particles extracted from meteorites (44). However, it is not clear that all grains form under LTE conditions; shock waves generated by stellar pulsations and mass loss can cause significant deviations (45). The presence of many gas-phase refractory-type circumstellar molecules is also evidence for non-LTE environments.

The molecular material and dust from circumstellar shells are eventually dispersed into the ISM. For AGB stars, this process occurs through the planetary nebulae (PNe) stage (**Figure 2**). Here these dying stars continue to eject material until the inner core is exposed and they collapse into white dwarfs (46). The mass of these objects is $\sim 0.5 M_{\odot}$. Therefore, significant material enters the ISM through PNe. As the stars evolve into white dwarfs, they become strong emitters of UV radiation, which has been thought to destroy most of the molecules in the dispersing AGB shell (47). However, extensive observations have shown that this widespread destruction does not occur; rather, the ejected material enters the diffuse ISM as molecules mixed with dust (48–50). Classic examples of molecule-rich PNe are the Helix Nebula, M2-48, and K4-47, which are approximately 12,000, 4,800, and 1,000 years old, respectively. Presumably the ejecta of a hypergiant star follows a similar course when it collapses to a black hole. A supernova blast wave, in contrast, will obliterate surrounding material, reducing it to an atomic and ionized state (51).

This rich mix of molecules and dust from PNe forms the basis of diffuse clouds. These rarefied objects typically have temperatures near 100 K and densities of $n \sim 1\text{--}10\ \text{particles}/\text{cm}^3$ —conditions that make them unsuitable for the production of polyatomic molecules (52). Yet these clouds contain species as complex as CH_3CN and H_2CO (52, 53). Such polyatomic molecules cannot be produced in situ but are likely remnant circumstellar compounds that are transported through the PNe and nonexplosive hypergiant phase.

Diffuse clouds gravitationally collapse into dense clouds (54), generating gas with $n \sim 10^4\text{--}10^7\ \text{particles}/\text{cm}^3$. The chemical jump start from diffuse clouds results in these objects having a rich and diverse synthesis, characterized by organic compounds such as alcohols (CH_3OH , EtOH), acids (HCOOH , CH_3COOH), aldehydes and ketones (H_2CO , CH_3CHO), ethers (CH_3OCH_3), and esters (CH_3OCHO , $\text{CH}_3\text{OCOCH}_3$). The strong appearance of organic functional groups distinguishes these clouds from C-rich envelopes, in which most of the O is contained in CO and C chain species are common (55, 56). The chemistry is further enhanced in clouds where stars are forming from gravitational collapse, heating up surrounding material. Such regions, termed hot cores, appear to be particularly rich in more complex chemical compounds that likely result from

these regions' higher temperatures (57–59). Chemical complexity appears to reach its maximum in hot cores.

As stars form, rotation can occur, and conservation of angular momentum leads to the formation of a protostellar disk (60). This disk is composed of material from the nascent molecular cloud. From such a disk, planets can be generated, forming a solar system (60). The molecular cloud gas and dust undergo significant chemical and physical processing in the disk (61–63). The processes are complicated, involving gas-phase and gas-surface reactions in the molecular matter, as well as condensation or freeze-out on grains. The grains coagulate into larger grains and eventually form pebbles. There are also vertical and horizontal drifts in the disk material. Matter brought closer to the star will be heated by stellar UV and X-ray radiation, causing evaporation of condensates and further processing at elevated temperatures; the material flowing upward from the disk will be subjected to ambient starlight and cosmic rays.

Planets form in the disk on the basis of the local composition and physical conditions, although migration in a given solar system can occur (64). Some planets will be rocky like the Earth, and others will be gaseous giants comparable to Jupiter. The Earth formed hot, however, with an atmosphere of mainly CO (65). Because there is little evidence of C in the Earth's crust, it is thought that much of this element was ejected in gaseous molecules from the hot atmosphere (66). Large amounts of C and H₂O were likely brought back to Earth during the period of late heavy bombardment (LHB), approximately 4 billion years ago. LHB peppered the planet with material from comets, meteorites, and asteroid fragments (67). The bombarding material was largely space debris from the molecular cloud in which the Solar System formed. Although the details are subject to debate, the LHB may have brought critical material for living systems to early Earth; it may not be coincidental that life evolved shortly after the LHB.

3. THE PREBIOTIC NATURE OF INTERSTELLAR CHEMISTRY

The connection between the molecular cloud, the emerging Solar System, and the so-called soup that may have led to biological molecules on Earth suggests that interstellar chemistry played a prebiotic role. Interstellar space is a vast synthetic factory with unknown limits to molecular complexity, with large quantities of gas-phase molecules and dust. Furthermore, most interstellar and circumstellar molecules contain C. In fact, they contain all the NCHOPS elements, as discussed (21), although P is still fairly rare. Furthermore, the identification of C₆₀, C₇₀, and possibly C₆₀⁺ (13, 14) indicates that large assemblies of C atoms can be formed. The fullerenes are observed primarily in C-rich circumstellar material (68), where C > O. Although not particularly reactive on Earth, these species may be important carriers of C-C bonds that are later processed into organic species, preventing the C from being scavenged by O.

The chemistry occurring in interstellar space is so vast that it would seem unlikely that interstellar molecular material is completely degraded into the atomic state and that chemistry starts over on a planetary surface. The cycling of interstellar material suggests that there is continuity and even a continuum in molecule formation. There is evidence for this continuity from meteorite analysis. Isotope ratios (D/H, ¹²C/¹³C, ¹⁴N/¹⁵N) found in organic molecules extracted from pristine meteorites suggest an interstellar origin for these compounds. For example, a deuterium (D) enrichment as large as $\delta D = +7,200\text{‰}$ (or D/H ~ 0.0012) has been found for 2-amino isobutyric acid (69), and D/H ~ 0.003 in insoluble organic matter (70). The standard terrestrial value is D/H = 0.00015. Very high D/H ratios are often observed in cold molecular clouds, with values ranging from 0.001 to 0.1 (71). Such enhancements occur in very cold gas ($T \sim 10$ K), where differences in zero-point energies between competing isotopes become significant. They also occur in ¹²C/¹³C ratios, with enhancement in ¹³C, but are most extreme for D (72, 73).

4. THE IMPORTANT ROLE OF LABORATORY SPECTROSCOPY

Approximately 90% of all known interstellar molecules have been identified on the basis of their gas-phase rotational spectra, as opposed to ro-vibrational or electronic transitions. As discussed above, the temperatures in molecular gas are cold and favor the population of rotational levels. Furthermore, radio and millimeter-wave telescopes typically employ heterodyne mixing techniques to obtain spectral-line data, coupled with a multiplexing spectrometer as the back end. Such an instrument combination produces high spectral resolution, typically 1 part in 10^6 – 10^8 . The other wavelength bands utilize CCD arrays and echelle spectrographs, which at best have resolutions of 1 part in 10^5 (74).

Lower-resolution spectra are also measured in the IR to examine dust grain composition (43). They are used to identify types of bonds in grains (e.g., aromatic C–C bends, Si–O stretch) rather than discrete molecules. For example, an 11- μm broad spectral feature has been observed in many circumstellar shells, arising from the Si–C stretch in SiC. SiC has many crystalline forms (75), and the feature is not specific to any single polytype. Rather, it serves as an overall indicator of SiC dust grains.

Rotational spectroscopy therefore is the major laboratory activity in support of astrochemistry. There are two typical methods employed to measure rotational spectra of potential interstellar molecules: (a) millimeter/submillimeter/THz direct absorption spectroscopy and (b) Fourier transform microwave/millimeter-wave cavity methods. These are not the only techniques; laser magnetic resonance has also been used for rotational measurements in the THz and far-IR (76). This latter method uses the Zeeman effect to tune molecular transitions into resonance with a fixed-frequency far-IR laser source. Therefore, obtaining zero-field rotational transitions requires extrapolation with a Zeeman Hamiltonian. Chirp-pulse Fourier transform systems are additionally employed (77) but do not have the sensitivity needed to detect many transient species.

Millimeter/submillimeter/THz direct absorption spectroscopy, which usually operates in the millimeter to THz frequencies (~ 65 – $1,500$ GHz), is in principle a relatively straightforward process in which radiation is focused through a gas cell and into an incoherent detector. The change in power level due to a molecular absorption transition is measured (78, 79). In the past, lower-frequency systems have been commercially available, but most modern direct absorption instruments operate higher in frequency and are custom built in a given laboratory. Such spectrometers consist of three basic parts: a radiation source, a reaction chamber, and a detector. Typical radiation sources are Gunn oscillators, which provide frequency coverage up to ~ 150 GHz at 10–100 mW of power. They are phase locked for frequency stabilization. To obtain higher frequencies, the Gunn oscillators are used as input signals to Schottky diode multipliers, which double, triple, quadruple, and even quintuple the radiation with microwatts to a few milliwatts of power. The power decreases with multiplier harmonic, such that multiplier/amplifier chains are used at frequencies ≥ 1 THz. Reaction cells are typically constructed of glass or stainless steel, often employing a double-pass scheme with Gaussian-beam optics (80) for maximum sensitivity. Typical detectors for these spectrometers are InSb hot-electron bolometers. Some type of phase-sensitive detection, including FM or AM source or Stark or Zeeman molecule modulation, is used with these systems. Plasma discharges, Broida-type ovens, and other synthetic methods are employed to create the transient molecules often studied with these spectrometers.

Modeled after the more familiar nuclear magnetic resonance methods, the technique of pulsed-nozzle Fourier transform microwave spectroscopy in a Fabry-Pérot cavity was pioneered by Balle & Flygare (81) in 1981. This technique exploits the relatively short polarization time of rotational transitions of molecules relative to their relaxation, which results in the production of a relatively large electric field in the cavity compared to the background noise of the

system, even with only a modest number density. Such systems typically consist of a large vacuum chamber containing two highly accurate spherical mirrors set in a near-confocal arrangement, which compose the Fabry-Pérot cavity. A frequency synthesizer is the radiation source, usually operated in the range of 4–20 GHz and as high as 40 GHz and whose output is coupled into and out of the cavity by quarter-wave antennas imbedded in each (or even one) mirror. Cavities vary but typically have an instantaneous bandwidth of ~ 1 MHz, resulting in an overall Q factor of approximately 10^4 to 10^5 over 4–40 GHz. The mirror distance is adjusted to optimize the Q for a given frequency. A short pulse of radiation (~ 1 μ s) from the synthesizer is injected into the cavity for a given measurement. The synthesizer is stepped along in frequency for scanning, which can be done automatically under computer control. Higher-frequency operation (~ 40 –90 GHz) requires more accurate mirrors, and the antennas are replaced by waveguide. Multipliers are needed to attain the higher frequencies, which require another set of low-noise amplifiers. More experimental details can be found in References 82–84.

The molecules to be studied enter the cavity via a supersonic beam created in a pulsed nozzle. The nozzle can be imbedded in the cavity mirrors, can be arranged perpendicular to the optical axis, or can be oriented through an angled port (see References 82–84). A single measurement lasts approximately 2,000 μ s. The nozzle valve ejects a mixture of precursor/carrier gases (or the molecule candidate itself in a diluted sample) into the cavity. For molecule syntheses, an applied DC discharge, possibly with a laser ablation source, can be used to create the species of interest. A pulse of microwave radiation is injected shortly thereafter into the cavity, which sustains the energy. If one or more molecular transitions are resonant with the radiation in the cavity, the molecules absorb the signal and then re-emit it as a function of time. This emission signal, the free-induction decay (FID), is recorded in the time domain. The frequency information is recovered by a subsequent fast Fourier transform of the FID.

Species production and spectral analysis are key factors in the spectroscopy of molecules of astrophysical interest. In the early years of astrochemistry, detections were chiefly made of stable, closed-shell molecules that had been studied in the early years of microwave spectroscopy, including H_2O , ammonia, and formaldehyde. The rotational spectrum of H_2O , for example, has measurements dating back to the late 1940s, and numerous additional studies have followed over the years (85). These early data led to the identification of H_2O in the ISM in 1969 (86). More recent spectroscopy work on closed-shell molecules has involved much larger, organic-type molecules such as *N*-methylformamide (CH_3NHCHO) (87); glycolaldehyde (CH_2OHCHO) (88); acetohydroxamic acid (CH_3CONHOH), an isomer of glycine (89); and methyl isocyanate (CH_3NCO) (90). Organophosphorus compounds, including species such as PH_2CN and CH_3PH_2 , are another area of interest (91); these species, however, are unstable and require special synthesis. The spectra of such large molecules can be challenging to analyze. As asymmetric tops, they have many favorable rotational transitions, with further complications due to the presence of internal rotation and multiple conformers. Laboratory spectra illustrating such complexity are shown in **Figure 3**, which displays transitions of CH_3PH_2 (the $J = 19 \leftarrow 18$) and the *cis*-beta cyanovinyl radical.

Free radicals and molecular ions are common in the ISM, and a great deal of spectroscopic effort has focused on such species. They need to be generated under nonequilibrium conditions, involving plasma discharges, discharge electrodes attached to nozzles, sputtering methods, and others. Recent emphasis on C-bearing radicals has been on chain-type species (92, 93), benzene-like compounds (94), and simple organometallics (95, 96). Because many of these species have unpaired electrons, the spectral analysis is complicated by the presence of fine structure and, if the molecule has a nuclear spin, by significant hyperfine interactions. For a linear molecule in a

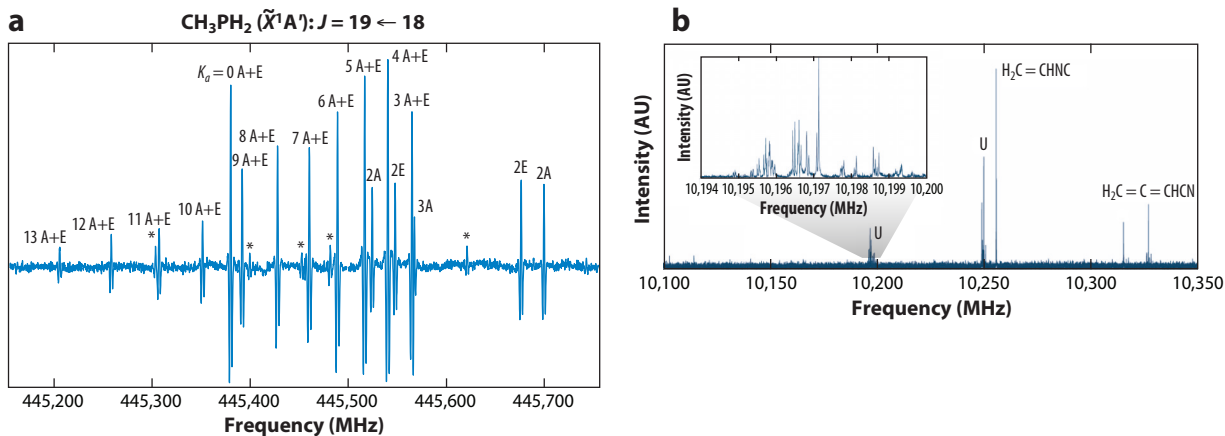


Figure 3

(a) Laboratory millimeter-wave spectrum of CH_3PH_2 ($\tilde{X}^1\text{A}'$). The $J = 19 \leftarrow 18$ rotational transition is split into asymmetry and internal rotation components, labeled by K_a , A, and E (91). Asterisks denote unidentified lines. (b) Fourier transform microwave spectra in a search for the *cis*-beta cyanovinyl radical, shown in the inset. U denotes unidentified lines. Panel a adapted from Reference 91. Panel b provided by K. Crabtree.

$^4\Delta$ electronic state, for example, with one nuclear spin (FeCN), the following Hamiltonian is needed to model the measured rotational transitions (97, 98):

$$\mathbf{H}_{\text{eff}} = \mathbf{H}_{\text{rot}} + \mathbf{H}_{\text{so}} + \mathbf{H}_{\text{ss}} + \mathbf{H}_{\text{mhf}} + \mathbf{H}_{\text{eqQ}} + \mathbf{H}_{\text{LD}}.$$

In addition to rotational motion, the electron spin-orbit, electron spin-spin, magnetic hyperfine, electric quadrupole, and lambda-doubling interactions must be considered. Higher-order spin terms are sometimes required as well. FeCN is the first Fe-bearing molecule detected in the ISM (26). The presence of a molecule in the gas phase with an Fe-C bond has prebiotic implications.

Spectroscopy in the IR and in the visible has also led to interstellar identifications. Important techniques are Fourier transform infrared spectroscopy (99) and laser-induced fluorescence (100). These methods have led to the identification of various interstellar molecules, such as C_3 (101) and VO (25). Experimental details can be found in Reference 102.

5. CARBON CHEMISTRY: THE FOCUS

Approximately 90% of all known gas-phase interstellar molecules contain C. This result is remarkable, given that $\text{C} < \text{O}$ in the ISM and C should be principally in CO. Observations have shown that CO is widely distributed throughout the Galaxy and in many external galaxies (103, 104). Yet, C-bearing organic molecules constitute most of the chemical content of molecular clouds. Simple C-bearing molecules such as HCN, HNC, and CS are found in O-rich circumstellar envelopes as well (105). As discussed above, the preservation of C-C bonds may occur in circumstellar envelopes of C stars. Such preservation has been ignored because it was thought that the molecular content of AGB envelopes was destroyed in the PNe phase (47). It has now been shown that molecular material is preserved in PNe and then passed on to diffuse clouds (48–50). The wide range of polyatomic molecules now identified in diffuse clouds is evidence for this scenario (52, 53). Therefore, C-bearing molecules in circumstellar environments, as well as molecular clouds, must be considered in an integrated picture.

5.1. Small Carbon-Bearing Molecules of Prebiotic Interest

The prebiotic nature of astrochemistry is illustrated in the small molecules found in the gas phase in the ISM. HCN, H₂CO, and NH₃ are common in molecular clouds. They could in principle compose the basis of a gas-phase Strecker synthesis, leading to the simplest amino acid, glycine (106). Despite numerous searches, glycine has never been detected in the ISM (88, 107). However, simple molecules with peptide bonds, including formamide (NH₂CHO) and acetamide (NH₂COCH₃), have been observed in dense clouds (11, 12, 108). Observations indicate that the two molecules are found in the same gas of the SgrB2(N) cloud, with an abundance ratio of NH₂COCH₃/NH₂CHO \sim 1/3. These findings suggest that NH₂CHO is the precursor to NH₂COCH₃ through a series of radiative association processes.

A simple, two-carbon sugar, glycolaldehyde, has been identified as well (12). Originally detected in SgrB2(N), this molecule has now been found in other molecular clouds, including regions of young star formation (109). The five-carbon sugar ribose is an essential component of DNA. Ribose is generated in the formose reaction, which converts formaldehyde by a 1 + 1 carbon addition to glycolaldehyde (110). Successive additions of H₂CO lead to ribose. It is notable that H₂CO is widespread in molecular clouds. An ion-molecule formose reaction scheme involving H₂COH⁺ could lead to the diose. H₂COH⁺ has been identified in SgrB2 and other molecular clouds (111). Other pathways to glycolaldehyde from H₂CO have also been examined theoretically (112).

A series of alcohols, amines, acids, aldehydes, and ketones add to the interstellar prebiotic mix (113, 114); see **Figure 4**. Other species present in clouds are methanimine (CH₂NH) and methylamine (CH₃NH₂), thought to be precursors to amino acids (10). In many cases, the formation mechanisms for the larger molecules are a mystery. Dimethyl ether (CH₃OCH₃), for example, is one of the most common complex organic species and has been a known interstellar molecule for decades. Although gas-grain reactions are often employed to explain molecule formation, for instance, CH₃OH (115), DFT calculations suggest a gas-phase route for CH₃OCH₃ (116). The major step for C-O-C bond formation is CH₃OH + CH₃OH₂⁺, leading to (CH₃)₂OH⁺ + H₂O, as shown in **Figure 5**. Reaction of the adduct ion with NH₃ results in the desired product.

These organic species are typically absent from the envelopes of C-rich stars. The carbon in these objects is chiefly contained in a series of acetylenic-type molecules, including the hydrocarbon series C₂H, C₃H, C₄H, C₅H, etc., and in species with a terminal N or S atom, such as CCN, C₃N, C₅N, C₃N⁻, C₅N⁻, C₃S, C₄S, and others (117, 118). However, H₂CO is present in the envelope of IRC+10216, as are CH₂NH and CH₃CN (35). Larger molecules such as ethanol or dimethyl ether are not observed in these objects. They are also not present in O-rich envelopes such as that of VY CMa (35).

5.2. Larger Carbon Structures

The discovery of the fullerenes C₆₀, C₇₀, and possibly C₆₀⁺ in interstellar gas suggests the presence of larger C-bearing structures in the ISM. Both C₆₀ and C₇₀ have been identified in PNe

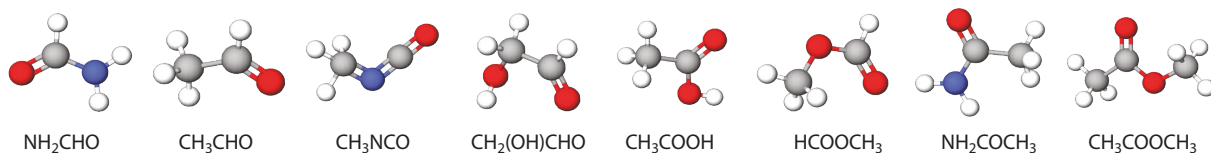


Figure 4

Representative complex organic molecules found in molecular clouds with star formation.

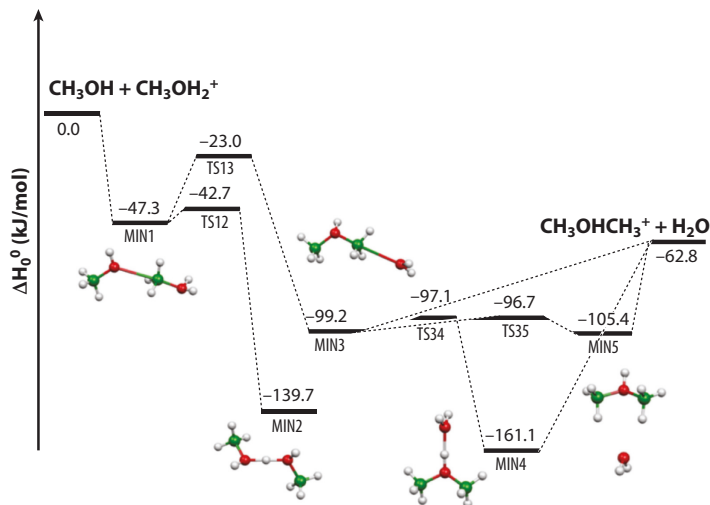


Figure 5

Gas-phase reaction pathway leading from CH₃OH to CH₃OCH₃, predicted by DFT calculations and experiment. The final ion, CH₃OHCH₃⁺, then reacts with ammonia to lead to neutral dimethyl ether. Figure adapted from Reference 116.

and other circumstellar environments, as well as in diffuse clouds on the basis of four major IR features at 7.0, 8.5, 17.4, and 18.9 μm (68). C₆₀⁺ appears in diffuse clouds, accounting for several diffuse interstellar bands (DIBs) (14), and possibly in some sources where the neutral fullerenes are observed (68). The DIBs have been a long-standing problem in astronomy and have defied identification for decades (52, 119). The C₆₀⁺ assignment is based on lab measurement of the molecule attached to a He atom. Despite the success of spectral identifications, the formation mechanism for the fullerenes has been a puzzle. It is difficult to conceive a facile process that can bring together 60 *sp*²-hybridized C atoms in environments that are very rich in H and other elements such as O and N under typical astrophysical conditions. Formation from atoms, the so-called bottom-up pathway, is not likely, as formation timescales are too long given typical interstellar densities and temperatures. Top-down synthesis from larger C structures, either hydrogenated amorphous carbon or polycyclic aromatic hydrocarbons, has been suggested (120, 121). However, there are difficulties in the intermediate steps, such as the elimination of hydrogen atoms in an H-rich gas and the rearrangement of bonds into a closed carbon cage (122). Furthermore, UV radiation is often required for these processes, and some sources of C₆₀, such as IRAS 06338, are too cool to be substantial UV emitters (123).

Recently, a new formation mechanism was proposed for interstellar fullerenes: shock processing of circumstellar SiC grains. This hypothesis is based on laboratory experiments with analog grains using transmission electron microscopy (TEM) (75, 124). In these experiments, SiC grains with the 3C cubic structure—the most common form of circumstellar SiC dust—were rapidly heated under vacuum to $\sim 1,300$ K, simulating a shock wave in a circumstellar envelope. Such shocks are present in circumstellar gas and can originate with stellar pulsations associated with mass loss events (125). The fast rise in temperature leaches the Si atoms from the grain surface, creating graphitic sheets. The creation of graphite sheets from SiC has been known for decades in the materials science community (126), although under somewhat different conditions. Surface defects in the SiC crystal then distort the six-membered rings characteristic of graphite, creating a forest of hemispherical structures observed with TEM. These features have both the

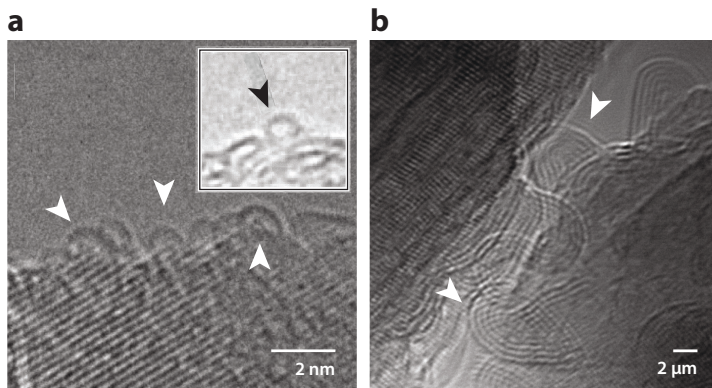


Figure 6

(a) Transmission electron microscope (TEM) image of a shock-heated SiC sample grain with fullerene-sized hemispheres lining the surface (*white arrowheads*). (*Inset*) State-of-the-art TEM image of C_{60} (*black arrowhead*). (b) TEM image of carbon nanotubes growing on the SiC grain surface, indicated by white arrows. Figure reproduced with permission from Reference 124.

five- and six-membered rings necessary for C_{60} and match its diameter of ~ 1 nm. To the limit of the measurement techniques, these features are C_{60} but are still attached to the graphite surface; see **Figure 6**. The striations (**Figure 6**) under the C_{60} features are the SiC lattice. The inset of **Figure 6** shows a representative TEM image of C_{60} obtained under very favorable conditions (127). The C_{60} images are comparable, illustrating the difficulty in detecting individual fullerene molecules with TEM. The experiments also showed that subsequent heating of the grains generated multiwalled carbon nanotubes (CNTs) on the surface as well (124), also shown in **Figure 6**.

These results suggest that shock heating of SiC grains in circumstellar gas produces the observed interstellar fullerenes, even in an H-rich situation. Furthermore, the process can also lead to nanotube formation. These CNTs may be another carrier of the DIBs (128). The fullerenes and CNTs are then ejected into the diffuse ISM with stellar mass loss. This scenario also explains the absence of SiC grains in the ISM, a current mystery (129), as they are disguised by a graphite coating. In fact, presolar grains have been found with SiC cores surrounded by graphite layers (75).

Carbon is also contained in dust grains, the most important forms being SiC and amorphous C (129). They are potentially additional sources of C. Shocks in star-forming regions may disrupt these grains, releasing gas-phase carbon for molecular synthesis (36).

6. WHERE IS THE PHOSPHORUS IN THE GALAXY?

Phosphorus is a key ingredient for biochemistry and the origin of life, playing a major role in replication, metabolism, and cellular structure. For example, phosphate esters create the backbone of DNA and RNA. The widespread presence in the Earth's current biosphere of RNA catalysts and cofactors suggests that RNA was indeed the first biopolymer (130). Furthermore, ATP (adenosine triphosphate) is the key molecule in the cell energy cycle, containing three phosphate ester groups. P is also found in phospholipids, the main structural component of cellular membranes in living systems, and even in bone as mineral phosphates, for example, apatite $[Ca_5(PO_4)_3(OH, F, Cl)]$ (131).

In addition to being represented in living systems on Earth, P is common in the Solar System, as either phosphate (PO_4^{3-} bonded with Ca^{2+} , Mg^{2+} , etc.) or phosphide [schreibersite, or

(Fe, Ni)₃P] (132, 133). Schreibersite and the phosphate apatite are frequently found in meteorites, including carbonaceous chondrites (133). Furthermore, it has been hypothesized that P, alloyed with the pure metals Fe and Ni as phosphides, may have served as nucleation material for planetary cores (132).

In the ISM, P has been a mystery. The atomic transitions of this element and P⁺ are in unfavorable regions of the electromagnetic spectrum, either in the near-IR region or in the UV, where they are obscured by atmospheric features (134). Space-born observations of atomic P using FUSE and Copernicus satellites have enabled better measurements, but only within a few kiloparsecs (where 1 kpc = 3.086×10^{16} km = 3,261 light years) of the solar neighborhood (134).

Molecules containing P have provided a separate avenue to study its distribution in the Galaxy, as well as to evaluate its prebiotic role. Searches, however, have been limited by laboratory spectroscopic measurements of P-bearing species. The first detection of an interstellar P-bearing species was in 1987, when PN was discovered in the Orion-KL region of the Orion Molecular Cloud (135). PN was subsequently observed in additional molecular clouds throughout the next few decades (136). More recently, the biologically relevant PO has been identified in a series of clouds, including the star-forming regions Orion-KL, W51 1e/2e, W3(OH), and L1157 and the low-mass protostar B1 (136–138), along with PN. These two species have even been identified in clouds at the outskirts of the Galaxy (134).

In contrast, circumstellar envelopes of evolved stars appear to have a more diverse, if limited, P chemistry. The first P-bearing molecule identified in a circumstellar envelope was CP, found in the C-rich shell of IRC+10216 (139). This discovery was followed by the detections over several decades of PN, CCP, HCP, PH₃, and SiP, mostly in IRC+10216 (140, 141). PH₃, PN, and HCP were also observed in the very young protoplanetary nebula CRL2688 (142). PO was first identified as an interstellar molecule in the O-rich envelope of the hypergiant VY CMa (143) and years later was found in molecular clouds (136–138). PO has now been observed in other O-rich envelopes, such as those of NML Cyg, IK Tau, and R Cas, also in the presence of PN (144). The observation of PO is particularly interesting, as this was the first molecule (and thus far the only one) observed in space with a P-O bond. It is remarkable that PN and PO almost always coexist, suggesting a related synthesis (136).

Table 2 provides a summary of interstellar P compounds. A total of seven P-bearing species have been securely identified in the ISM. The most abundant and widely observed species is PO, which is found in O-rich envelopes and in molecular clouds, as discussed above. Its abundance in circumstellar shells is as high as $\sim 10^{-7}$, which means that approximately 30% of the available P is in the form of PO. In molecular clouds, the abundance is significantly lower at $f \sim 10^{-10}$. Of interest is that no true organophosphorus molecules have been discovered in the ISM, even though the N analogs are abundant. For example, CH₃NH₂ is a well-known interstellar molecule, but searches for CH₃PH₂ have proven unsuccessful (91).

7. PREBIOTIC MOLECULES AND THE GALACTIC HABITABLE ZONE

There are currently more than 5,000 known exoplanets (145). The question then arises: Which could be habitable? To this aim, the concept of the galactic habitable zone (GHZ) has been conceived. The GHZ is the section in a galaxy where conditions are suitable for the long-term survival of living systems on planets (146). Among the numerous factors that define the GHZ are higher metallicity for planet formation; the absence of catastrophic events such as SNe explosions; and even lithopanspermia, the transport of rocks containing microorganisms from one planet to other stellar systems (147, 148). In the Galaxy, these considerations have placed the GHZ in an annular disk with varied distances from the Galactic Center (R_{GC}), including the Solar System; others have proposed that the entire disk is suitable (149).

The presence of prebiotic molecules in dense molecular clouds has also been proposed to constrain the GHZ (149). These clouds are located throughout the Galaxy, on the basis of CO observations (104), providing an extended sample. Furthermore, life will probably not survive in regions too hostile for the existence of organic compounds.

Recent observations of prebiotic molecules have focused on the so-called Galactic Edge Clouds, located in the Outer Galaxy at $R_{GC} \sim 12.9\text{--}23.5$ kpc. In these clouds, organic molecules, including H_2CO , CH_3OH , and CH_3CCH , have been found (149, 150). Very recently, PO and PN were also detected in one cloud near $R_{GC} \sim 23$ kpc (134). Therefore, all the NCHOPS elements (21) are present in molecules at the edge of the Galaxy. Furthermore, the abundance of CH_3OH does not appear to decrease significantly with distance from R_{GC} , even at $R_{GC} \sim 20\text{--}23$ kpc (149). It is thought that the C abundance and star formation rate steadily decline with R_{GC} (149). The production of methanol must then be extremely efficient in the Edge Clouds. These data suggest that the GHZ extends as far as $R_{GC} \sim 23$ kpc and that exoplanets that can support life may exist in the Outer Galaxy. Currently, all known exoplanets are near the Solar circle (145).

8. CONCLUSIONS

An important outcome for astrochemistry has been the recognition of its possible role in the origin of life and its connection to the formation of solar systems. The presence of gas-phase organic molecules throughout the Galaxy in extremely large quantities, and their survival under harsh conditions, is suggestive that this molecular material may provide the foundation for a far richer biochemical synthesis on planetary surfaces. Furthermore, the ejecta of carbon stars may have provided the C enrichment needed to overcome the obstacles of the O-rich ISM, delivering the seeds for organic chemistry. Another NCHOPS element, phosphorus, is being found more frequently in molecules across the Galaxy, even at the Galactic Edge. From the standpoint of Earth, exogenous delivery likely provided important starting materials for early life, bringing more usable molecular material than what had resided in the crust. Further investigations into the role of prebiotic astrochemistry, with new telescopes like the James Webb Space Telescope, will challenge researchers for decades to come.

DISCLOSURE STATEMENT

The author is not aware of any affiliations, memberships, funding, or financial holdings that might be perceived as affecting the objectivity of this review.

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