

# Contrastive Explainable Clustering with Differential Privacy

AAAI Track

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## ABSTRACT

This paper presents a novel approach to Explainable AI (XAI) that combines contrastive explanations with differential privacy for clustering algorithms. Focusing on k-median and k-means problems, we calculate contrastive explanations as the utility difference between original clustering and clustering with a centroid fixed to a specific data point. This method provides personalized insights into centroid placement. Our key contribution is demonstrating that these differentially private explanations achieve essentially the same utility bounds as non-private explanations. Experiments across various datasets show that our approach offers meaningful, privacy-preserving, and individually relevant explanations without significantly compromising clustering utility. This work advances privacy-aware machine learning by balancing data protection, explanation quality, and personalization in clustering tasks.

## KEYWORDS

Explainable AI; Differential Privacy; Clustering

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## 1 INTRODUCTION

Different notions of clustering are fundamental primitives in several areas, including machine learning, data science, and operations research [34].  $k$ -means and  $k$ -median clustering remain among the most important and widely used approaches, as demonstrated by

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recent advances in explainability, privacy, fairness, and contrastive learning [12, 25, 26, 42]. These problems often involve significant trade-offs between accessibility, resource allocation, and overall cost. For example, in emergency response planning, authorities must decide the optimal locations for ambulance stations to minimize response times across a city. Residents might question why an ambulance station isn't located closer to their neighborhood, especially if they feel imbalances in resource distribution. Similarly, in retail, customers might wonder why a new store is not placed near their area, despite being part of a high-demand demographic. Explainability in these contexts provides transparency into how and why certain decisions are made, addressing questions like: "Why was this location chosen instead of another?" This is particularly important in applications where the consequences of clustering decisions directly affect individuals or communities. [2, 31]

Such questions fall within the area of Explainable AI, which is a rapidly growing and vast area of research [4, 10, 24, 36, 37]. We focus on post-hoc explanations, especially contrastive explanations, e.g., [24, 33], which address "why P instead of Q?" questions. For example, in warehouse optimization, contrastive explanations clarify why a specific location was chosen as a distribution center, considering constraints like storage capacity or demand [42]. These methods are widely applied in multi-agent systems, reinforcement learning, and contrastive analysis [3, 4, 20, 37, 38]. In reinforcement learning, they explain actions by highlighting trade-offs, such as long-term rewards or risks [41].

Following the approach introduced in [33, 42], we explain clustering decisions by comparing the costs in two scenarios:  $cost(S)$ , the cost of an optimal clustering solution on the whole dataset  $X = \{x_1, \dots, x_n\}$  and  $cost(S^{(i)})$ , the cost of a modified solution where we fix a center at a desired location requested by agent  $x_i \in X$ . We explain the decision by showing  $cost(S^{(i)}) - cost(S)$ , i.e., how much the overall clustering cost increases when we force a center to be in a specific location. A higher clustering cost indicates worse performance. This comparison reveals the trade-offs in clustering: optimizing for one specific location often leads to a higher overall cost, meaning a worse solution for everyone else. By examining the difference between the optimal clustering cost and the cost of

the forced fixed clustering, we can understand why the algorithm chose certain locations for centers and not others. This approach helps people grasp the complex balancing act involved in clustering decisions, especially when trying to distribute resources fairly [42].

Data privacy is a crucial concern across various fields, and Differential Privacy (DP) is one of the most widely used and rigorous models for privacy [8]. We focus on the setting where the set of data points  $\mathbf{X} = \{x_1, \dots, x_n\}$  are private; for instance, in the ambulance center deployment problem, each  $x_i$  represents an individual requiring emergency services and seeking to keep their information private. There has been a lot of work on the design of differentially private solutions to clustering problems such as  $k$ -median and  $k$ -means in such a privacy model [1, 13, 15, 40].

While there has been significant progress in various domains of differential privacy, the intersection of explainability and differential privacy still needs to be explored. In clustering problems, building on the formalization of explanations for combinatorial problems we provide a private contrastive explanation to agent  $x_i$  by computing  $\text{cost}(S_\epsilon^{(i)}) - \text{cost}(S_\epsilon)$ . Here,  $S_\epsilon$  represents a private solution using the privacy budget  $\epsilon$ , while  $S_\epsilon^{(i)}$  denotes a private solution, when we constrain one center to be at a location specified by agent  $x_i$  (this can be any point of interest, not necessarily the agent's own location). This difference quantifies how the clustering cost changes when accommodating agent  $i$ 's position, offering a privacy-preserving explanation of the clustering decision. However, giving such a private contrastive explanation to each agent  $i$  naively using a private clustering algorithm would require a high privacy budget due to composition, which impact the accuracy, and lead to misleading or uninformative results. The central question of our research: *is it possible to offer each user an informative private contrastive explanation with a limited overall privacy budget?*

#### Our contributions.

1. We introduce the PRIVEC problem, designed to formalize private contrastive explanations to all agents in clustering using  $k$ -median and  $k$ -means objectives.
2. We present an  $\epsilon$ -DP mechanism, PrivateExplanations, which provides a contrastive explanation to each agent while ensuring the same utility bounds as private clustering in Euclidean spaces, offering personalized insights without compromising privacy or clustering quality. We use the private coresset technique of [13], which is an intermediate private data structure that preserves similar clustering costs as the original data.
3. We evaluate our methods on diverse datasets with varying distributions and feature dimensions. Our results demonstrate privacy-utility trade-offs comparable to private clustering, with low clustering errors even at reasonable privacy budgets, showcasing the effectiveness of our approach. Our research stands out by seamlessly integrating differential privacy into contrastive explanations, maintaining the quality of explanations even under privacy constraints. This work bridges the gap between privacy and explainability, marking a significant advancement in privacy-aware machine learning. A key technical contribution of our work is the derivation of rigorous bounds on the approximation factors for all contrastive explanations, ensuring their reliability and effectiveness. Due to space limitations, we only present major technical details in the

main paper. We maintain a full, updated version of this paper with complete proofs and extended experimental results at [27].

## 2 RELATED WORK

Our work considers differential privacy for explainable AI in general (XAI) and Multi-agent explanations (XMASE) in particular, focusing on post-hoc contrastive explanations for clustering. We summarize some of the work directly related to our paper; additional discussion is presented in the Appendix, due to space limitations. Extensive experiments presented in [35] demonstrate non-negligible changes in explanations of black-box ML models through the introduction of privacy.

[29] considers feature-based explanations (e.g., SHAP) that can expose the top important features that a black-box model focuses on. To prevent such expose they introduced a new concept of achieving local differential privacy (LDP) in the explanations, and from that, they established a defense, called XRAND, against such attacks. They showed that their mechanism restricts the information that the adversary can learn about the top important features while maintaining the faithfulness of the explanations.

[14] study the security of contrastive explanations, and introduce the concept of the “explanation linkage attack”, a potential vulnerability that arises when employing strategies to derive contrastive explanations. To address this concern, they put forth the notion of  $k$ -anonymous contrastive explanations. As the degree of privacy constraints increases, a discernible trade-off comes into play: the quality of explanations and, consequently, transparency are compromised.

Closer to our application is the work of [11], which investigates the privacy aspects of contrastive explanations in the context of team formation. They present a comprehensive framework that integrates team formation solutions with their corresponding explanations, while also addressing potential privacy concerns associated with these explanations. Additional evaluations are needed to determine the privacy of such heuristic-based methods.

There has been a lot of work on private clustering and facility location, starting with [15], which was followed by a lot of work on other clustering problems in different privacy models, e.g., [9, 16, 30, 39, 40]. [15] demonstrated that the additive error bound for points in a metric space involves an  $O(\Delta k^2 \log n / \epsilon)$  term, where  $\Delta$  is the space's diameter. Consequently, all subsequent work, including ours, assumes points are restricted to a unit ball.

We note that our problem has not been considered in any prior work in the XAI or differential privacy literature. The formulation we study here will likely be useful for other problems requiring private contrastive explanations.

## 3 PRELIMINARIES

Let  $\mathbf{X} \subset \mathbb{R}^d$  denote a dataset consisting of  $d$ -dimensional points (referred as agents). We consider the notion of  $(k, p)$ -clustering, as defined by Definition 1.

**Definition 1.** *(( $k, p$ )-Clustering [13]). Given  $k \in \mathbb{N}$ , and a multi-set  $\mathbf{X} = \{x_1, \dots, x_n\}$  of points in the unit ball, a  $(k, p)$ -clustering is a set of  $k$  centers  $\{c_1, \dots, c_k\}$  minimizing  $\text{cost}_{\mathbf{X}}^p(c_1, \dots, c_k) = \sum_{i \in [n]} \min_{j \in [k]} \|x_i - c_j\|^p$ .*

For  $p = 1$  and  $p = 2$ , this corresponds to the  $k$ -median and  $k$ -means objectives, respectively. We drop the subscript  $X$  and superscript  $p$ , when it is clear from the context, and refer to the cost of a feasible clustering solution  $S$  by  $\text{cost}(S)$ .

**Definition 2.** *((w, t)-approximation). Given  $k \in \mathbb{N}$ , and a multiset  $X = \{x_1, \dots, x_n\}$  of points in the unit ball, let  $\text{OPT}_X^{p,k} = \min_{c_1, \dots, c_k \in \mathbb{R}^d} \text{cost}_X^p(c_1, \dots, c_k)$  denote the cost of an optimal  $(k, p)$ -clustering. We say  $c_1, \dots, c_k$  is a  $(w, t)$ -approximation to a  $(k, p)$ -optimal clustering if  $\text{cost}_X^p(c_1, \dots, c_k) \leq w \cdot \text{OPT}_X^{p,k} + t$ .*

Let  $\text{OPT}$  denote the cost of the optimal  $(k, p)$ -clustering,  $\text{OPT}_i$  denote the cost of the optimal  $(k, p)$ -clustering, with a center fixed at position  $z_i$  (the location chosen by agent  $i$ ) and the remaining  $k-1$  centers are chosen to optimize the objective. Let  $w', w''$  denote the maximum approximation (w.r.t.  $\text{OPT}$  and  $\text{OPT}_i$  respectively) of non-private clustering algorithms. These factors will be specified in Sections 4.1 and 4.2.

A coresset (of some original set) is a set of points that, given any  $k$  centers, the cost of clustering of the original set is “roughly” the same as that of the coresset [13].

**Definition 3.** *For  $\gamma, t > 0, p \geq 1, k, d \in \mathbb{N}$ , a set  $X'$  is a  $(p, k, \gamma, t)$ -coreset of  $X \subseteq \mathbb{R}^d$  if for every  $C = \{c_1, \dots, c_k\} \in \mathbb{R}^d$ , we have  $(1 - \gamma)\text{cost}_X^p(C) - t \leq \text{cost}_{X'}^p(C) \leq (1 + \gamma)\text{cost}_X^p(C) + t$ .*

**Privacy model.** We use the notion of differential privacy (DP), introduced in [8], which is a widely accepted formalization of privacy. A mechanism is DP if its output doesn’t differ too much on “neighboring” datasets; this is formalized below.

**Definition 4.**  $\mathcal{M} : \mathcal{X} \rightarrow \mathcal{Y}$  is  $(\epsilon, \delta)$ -differentially private if for any neighboring datasets  $X \sim X' \in \mathcal{X}$  and  $S \subseteq \mathcal{Y}$ ,

$$\Pr[\mathcal{M}(X) \in S] \leq e^\epsilon \Pr[\mathcal{M}(X') \in S] + \delta.$$

If  $\delta = 0$ , we say  $\mathcal{M}$  is  $\epsilon$ -differentially private.

We assume that the data points in  $X$  (i.e., users) are private, and say  $X, X'$  are neighboring (denoted by  $X \sim X'$ ) if they differ in one data point. When a value is disclosed to an individual agent  $i$ , it is imperative to treat the remaining clients in  $X - \{i\}$  as private entities.

**Definition 5.** A mechanism  $\mathcal{M}$  is  $\epsilon$ - $i$ -exclusion DP if,  $\forall X, X' : i \in X, i \in X', X \setminus \{i\} \sim X' \setminus \{i\}$ , and for all  $S \subseteq \text{Range}(\mathcal{M})$ :

$$\Pr[\mathcal{M}(X) \in S] \leq e^\epsilon \Pr[\mathcal{M}(X') \in S].$$

We extend this to say that  $\mathcal{M}$  is  $\epsilon$ - $Y$ -exclusion DP if the above holds  $\forall X, X' : Y \subset X, Y \subset X', X \setminus Y \sim X' \setminus Y$ .

We now define the PRIVEC problem for providing private contrastive explanations, where each agent  $x_i$  seeks an explanation for a center fixed at a location of their choosing denoted by  $z_i$ .

**Definition 6. Private and Explainable Clustering problem (PRIVEC)** Given an instance  $X \subset \mathbb{R}^d$ , clustering parameters  $k, p$ , and a contrastive set of points  $Z \subset \mathbb{R}^d$ , the goal is to output:

**Private:** An  $\epsilon$ -DP clustering solution  $S_\epsilon$  (available to all)

**Explainable:** For each agent  $x_i \in X$ , output  $\text{cost}(S_\epsilon^{(i)}) - \text{cost}(S_\epsilon)$ .

$S_\epsilon^{(i)}$  is a private solution computed by the clustering algorithm with one centroid fixed at the position requested by agent  $i$ .

We assume that  $S_\epsilon^{(i)}$  is not revealed to any agent, but  $\text{cost}(S_\epsilon^{(i)}) - \text{cost}(S_\epsilon)$  is released to agent  $i$  as contrastive explanation, which is  $\epsilon$ - $i$ -exclusion DP.

**Lemma 1.** With probability at least  $1 - \beta$ ,  $\text{cost}(S_\epsilon)$  (clustering cost) is a  $(w, t)$ -approximation of  $\text{OPT}$ , where<sup>1</sup>:

$$w = w'(1 + \alpha),$$

$$t = w' O_{p,\alpha} \left( (k/\beta)^{O_{p,\alpha}(1)} \cdot \text{polylog}(n/\beta) / \epsilon \right)$$

$\alpha$  is the approximation parameter for the utility of clustering and explanations.  $\beta$  is the failure probability of the utility guarantees of clustering and explanations.

**Lemma 2. DP of fixed centroid yield additional cost.** Fix an  $i$ . If  $\text{OPT}_i \geq w''(1 + \alpha)\text{OPT} + t^{(i)}$ , then with probability at least  $1 - 2\beta$ ,  $\text{cost}(S_\epsilon)$  and  $\text{cost}(S_\epsilon^{(i)})$  computed by Algorithm PRIVATEEXPLANATIONS satisfies that  $\text{cost}(S_\epsilon^{(i)}) > \text{cost}(S_\epsilon)$ .

## 4 PRIVATEEXPLANATIONS MECHANISM

We design PRIVATEEXPLANATION (Algorithm 1) for providing contrastive explanations for each agent. Specifically, it takes as inputs: (1)  $x_i$  which specifies the location of each agent  $i$ , and the contrastive location  $z_i$  for which they want an explanation, (2) original and target dimensions ( $d, d'$ ), number of clusters ( $k$ ), privacy budget  $\epsilon$ , and  $\zeta$  (explained later). The algorithm’s key components are:

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### Algorithm 1 PrivateExplanation

**Input:**  $(x_1, \dots, x_n), (z_1, \dots, z_n), d, d', k, \epsilon, \zeta$

**Output:**  $(\epsilon, \delta)$ -differentially private explanation for agents

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1:  $(x'_1, \dots, x'_n) \leftarrow \text{DimReduction}((x_1, \dots, x_n), d, d')$ 
2:  $Z' \leftarrow \text{DimReduction}((z_1, \dots, z_n), d, d')$ 
3:  $Y \leftarrow \text{PRIVATECORESET}^{\epsilon/2}(x'_1, \dots, x'_n; \zeta)$ 
4:  $(c'_1, \dots, c'_k), \text{cost}(S'_\epsilon) \leftarrow \text{NonPrivateApprox}(Y, k)$ 
5:  $\text{cost}(S_\epsilon) = \text{RevertDimValue} * \text{cost}(S'_\epsilon)$ 
6:  $c \leftarrow \text{DIMREVERSE}^{\epsilon/2}((c'_1, \dots, c'_k), (x'_1, \dots, x'_n))$ 
7: for  $z'_i \in Z'$  do
8:    $\text{cost}(S_\epsilon^{(i)}) \leftarrow \text{NonPrivateApproxFC}(Y, k, z'_i)$ 
9:    $\text{cost}(S_\epsilon^{(i)}) = \text{RevertDimValue} * \text{cost}(S_\epsilon^{(i)})$ 
10: end for
11: return  $\text{cost}(S_\epsilon^{(i)}) - \text{cost}(S_\epsilon) | i \in \text{range}(1, \dots, |X|)$ 

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• **Dimension Reduction:** Using DIMREDUCTION from [13], we transform input data (in dimension  $d$ ) to a lower-dimensional space  $d'$ . This reduction is crucial since our coresset algorithm is exponential in the dimension, but by reducing to logarithmic dimensions, it becomes polynomial-time.

• **Private Coreset:** We create a differentially private coresset  $Y$  using PRIVATECORESET from [13], ensuring  $\epsilon/2$  differential privacy; the coresset is defined in Definition 3.

• **Clustering:** The coresset is clustered using a non-private approximation algorithm (NONPRIVATEAPPROX). We can use a non-private clustering algorithm here since the coresset itself is already private, and by the Post-Processing property of differential privacy, the final result remains private.

<sup>1</sup>We use the notation  $O_{p,\alpha}$  to explicitly ignore factors of  $p, \alpha$

- **Cost Scaling:** In line 5 of the algorithm, by multiplying by  $\text{REVERTDIMVALUE} = (\log(n/\beta)/0.01)^{p/2}$  we scale the clustering cost ( $\text{cost}(S'_\epsilon)$ ) (in the low-dimensional space) back to the original dimension ( $\text{cost}(S_\epsilon)$ ) as shown in [21]. This reversal is necessary because while we computed costs in reduced dimensions for efficiency, we need the final cost in the original dimensions for accuracy.
- **Dimension Reverse:** Centroids are mapped back to the original space using  $\text{DIMREVERSE}$ , maintaining  $\epsilon$ -differential privacy.
- **Contrastive Explanations:** For each data point, we execute fixed-centroid clustering ( $\text{NonPrivateApproxFC}$ ) on the coresset, constraining one centroid to a location chosen by the agent. This algorithm, detailed in Sections 4.1 and 4.2, is our key contribution as it modifies standard  $k$ -means and  $k$ -median algorithms to fix one centroid while maintaining their original utility bounds from literature, ensuring meaningful explanations. Without utility bounds, agents could challenge the validity of the explanation, arguing that the fixed centroid might degrade the clustering solution to an unacceptable extent. However, by guaranteeing the same utility bounds as the original algorithms, we ensure that the explanations are grounded in the vicinity of optimal clustering solutions, leaving no room for users to dispute the fairness or validity of the explanation. This alignment between explanation quality and clustering utility reinforces the trustworthiness of the algorithm and the insights it provides. After clustering, we apply  $\text{REVERTDIMVALUE}$  to transform the cost back to the original space ( $\text{cost}(S_\epsilon^{(i)})$ ). By combining lines 5 and 9 of the algorithm, we derive the output:  $\text{cost}(S_\epsilon^{(i)}) - \text{cost}(S_\epsilon)$  for each agent. This value captures the loss of optimality when fixating one centroid, quantifying how much the clustering quality degrades due to this constraint, serving as a contrastive explanation.

**Theorem 1. DP of Explanation.** *The solution  $(c_1, \dots, c_k)$  and  $\text{cost}(S_\epsilon)$  computed by Algorithm  $\text{PRIVATEEXPLANATIONS}$  are  $\epsilon$ -DP. For all clients  $i$  and  $S_\epsilon^{(i)}$  computed by Algorithm  $\text{PRIVATEEXPLANATIONS}$  is  $\epsilon$ - $i$ -exclusion DP.*

**Privacy analysis**, as demonstrated in Theorem 1, we establishes the privacy guarantees of  $\text{PRIVATEEXPLANATIONS}$ .  $Y$  coresset is  $\epsilon/2$ -differentially private as an output of  $\epsilon/2$ -DP algorithm. Consequently,  $(c'_1, \dots, c'_k)$  and  $\text{cost}(S_\epsilon)$  maintain  $\epsilon/2$ -DP status, under the Post-Processing property.

Applying  $\text{DIMREVERSE}^{\epsilon/2}$  to find the centers in the original space,  $c = \{c_1, \dots, c_k\}$  is  $\epsilon$ -DP by Composition theorem. For each  $i$ ,  $\text{cost}(S_\epsilon^{(i)})$  is produced by Post-Processing of  $Y$  with only  $z'_i$ , hence  $\text{cost}(S_\epsilon^{(i)})$  satisfies  $\epsilon$ - $i$ -exclusion-DP.

#### Running Time Analysis.

Algorithm 1 has a total runtime of  $O((k/\beta)^{O_{p,\alpha}(1)} \text{poly}(nd))$ , which is polynomial in the input size. The key components contributing to this complexity include  $\text{PRIVATECORESET}$ ,  $\text{DIMREVERSE}$ , and instances of  $(k, p)$ -clustering with and without fixed centers.

$\text{PRIVATECORESET}$  runs in  $O((k/\beta)^{O_{p,\alpha}(1)} \text{poly}(n))$  time, as it sets  $d' = O(p^4 \log(k/\beta))$  to satisfy the Dimension-Reduction Lemma (Appendix Section B) and uses Lemma 42 from [13].  $\text{DIMREVERSE}$ ,

which includes the  $\text{FINDCENTER}$  operation (detailed in the Appendix), has a time complexity of  $O(\text{poly}(np))$  and is invoked  $k$  times. Additionally, we execute one standard  $(k, p)$ -clustering and  $|X|$  instances of  $(k, p)$ -clustering with a fixed center. Together, these steps ensure the algorithm's overall polynomial runtime. All symbols used in this analysis are defined in Table 2 in the Appendix.

**Theorem 2.** *Assume there exist polynomial-time algorithms for  $(k, p)$ -clustering and  $(k, p)$ -clustering with a fixed center. The total running time of Algorithm 1 is  $O((k/\beta)^{O_{p,\alpha}(1)} \text{poly}(nd))$ .*

This computational complexity demonstrates that our algorithm is efficient for large datasets, balancing the additional overhead of fixed-centroid clustering with practical runtimes. Theorem 2 follows from the detailed steps, as  $\text{PRIVATECORESET}$  and  $\text{FINDCENTER}$  contribute manageable computational overhead. Finally, the algorithm integrates a critical utility analysis to ensure robust performance. In the following sections, we present rigorous upper bounds and specific constraints for  $k$ -means and  $k$ -median, illustrating the practicality and effectiveness of our approach.

**Utility Analysis.**  $\text{PRIVATECORESET}$  uses parameters  $\zeta$  (which is a function of  $\alpha$ ) and privacy budget  $\epsilon/2$ , derived from [13] and detailed in our Appendix. This algorithm produces a coresset  $Y$  that ensures the clustering cost on  $Y$  closely approximates the cost on the projected dataset  $X'$ . Specifically, the approximation is within a  $(1 + 0.1\alpha)$  factor, plus an additive  $\tilde{O}(\text{polylog}(n/\beta)/\epsilon)$  term. Then, by applying the Dimensional Reduction lemma (in the Appendix), which states that the cost of a specific clustering on  $X'$  ( $d'$ -dimensional space) is under some constant factor of the same clustering on  $X$  ( $d$ -dimensional space), we can bound the  $\text{cost}(S_\epsilon^{(i)})$  by its optimal clustering  $OPT_i$ . We first state the approximation factor derived using [13], since this is used in our analysis.

**Theorem 3. Cost of explanations due to privacy.** *Fix an agent  $i$ . With probability at least  $1 - \beta$ ,  $\text{cost}(S_\epsilon^{(i)})$  computed by Algorithm  $\text{PRIVATEEXPLANATIONS}$  is a  $(w, t)$ -approximation of  $OPT_i$ , with*

$$w = w''(1 + \alpha)$$

$$t = w'' O_{p,\alpha} \left( (k/\beta)^{O_{p,\alpha}(1)} \cdot \text{polylog}(n/\beta)/\epsilon \right)$$

As  $S_\epsilon^{(i)}$  results from a randomized mechanism, its cost is higher than  $S_\epsilon$ 's most of the time with high probability, ensuring a positive private explanation.

**Tight Approximation Ratios.** The most challenging aspect of our analysis is determining the precise approximation factor  $w''$  for  $k$ -means and  $k$ -median in the context of fixed-centroid clustering. In the following sections, we will present modifications to well-known  $k$ -median and  $k$ -means algorithms, adapting them for fixed-centroid clustering scenarios. We will then demonstrate that these modified algorithms achieve the same tight approximation factors. Formally, we show how the well-known utility bounds of  $k$ -means and  $k$ -median can be preserved while fixing one centroid to a requested location, ensuring the robustness of these algorithms under such constraints. Corollary 1 and Corollary 2 will conclude this section by presenting the specific, tight approximation ratios ( $w''$ ) achieved after applying our  $\text{NonPrivateApproxFC}$  algorithm.

These corollaries will provide detailed confirmation of our algorithm's effectiveness in achieving these optimal approximation ratios within the constraints of differential privacy.

#### 4.1 NONPRIVATEAPPROXFC for $k$ -median

We have developed a non-private fixed centroid clustering algorithm, which we call NONPRIVATEAPPROXFC. This algorithm is an adaptation of [5]. In the following section, we will prove that our modified algorithm, which works with a fixed centroid (referred to as  $z$ ), achieves an 8-approximation factor. To grasp how we adapted the algorithm to suit our needs, it's essential to understand the symbols used in [5]. In this section, we adopt the notation from [5] to avoid confusion with the symbols used in this paper, where  $d$  and  $d'$  denote the original and reduced dimensions, respectively. In their work,  $d_j$  represents the demand at each location  $j \in N$ , serving as a weight that reflects the importance of the location.  $N$  refers to the set of agents  $1, \dots, n$ .

For the conventional  $k$ -median problem, each  $d_j$  is initially set to 1 for all  $j \in N$ . The term  $c_{ij}$  represents the cost of assigning any  $i$  to  $j$ ,  $x_{ij}$  represents if location  $j$  is assigned to center  $i$  and  $y_i$  indicates if the location  $i$  is selected as a center.

We assume the fixed center is one of the input data points  $N$ . [5] demonstrates that the  $k$ -median problem can be formulated as an integer programming problem, and in order to adapt the algorithm we add a constraint in line 9 to treat  $z$  as a fixed centroid. This modification allows the algorithm to account for the fixed centroid requirement. We then relax the integer program (IP) into a linear program (LP) and show that it preserves the same utility bound as the original algorithm. By specifying that  $y_z \geq 1$ , we ensure that  $y_z$  is designated as a centroid in our linear programming formulation. Throughout the solution process,  $y_z$  remains fixed as a centroid.

$$\text{minimize} \sum_{i,j \in N} d_j c_{ij} x_{ij} \quad (1)$$

$$\text{s.t.} \sum_{i \in N} x_{ij} = 1 \text{ for each } j \in N; \sum_{j \in N} y_j = k \quad (2)$$

$$x_{ij} \leq y_i \text{ for each } i, j \in N \quad (3)$$

$$x_{ij}, y_i \geq 0 \text{ for each } i, j \in N \quad (4)$$

$$y_z, x_{zz} \geq 1 \text{ for a fixed } z \in N \quad (5)$$

Let  $(\bar{x}, \bar{y})$  be a feasible solution of the LP relaxation and let  $\bar{C}_j = \sum_{i \in N} c_{ij} \bar{x}_{ij}$  for each  $j \in N$  as the total (fractional) cost of client  $j$ . Throughout the three steps, we demonstrate that solving this linear program with the added constraint does not introduce any additional approximation factor. The program is solved with the same efficiency and accuracy as it would be without the fixed centroid constraint.

**The first step.** We group nearby locations by their demands without increasing the cost of a feasible solution  $(\bar{x}, \bar{y})$ , such that locations with positive demands are relatively far from each other. By re-indexing, we get  $\bar{C}_z \leq \bar{C}_1 \leq \bar{C}_2 \leq \dots \bar{C}_n$ .

We will show that it's always possible to position  $\bar{C}_z$  as the first element of the list, i.e.,  $\bar{C}_z$  is equal to the minimum value of all  $\bar{C}_j$ . Recall that:  $\bar{C}_z = \sum_{i \in N} c_{iz} \bar{x}_{iz} = \sum_{i \in N, i \neq z} c_{iz} \bar{x}_{iz} + c_{zz} \bar{x}_{zz} = 0$ , since we know that  $\sum_{i \in N} x_{iz} = 1$ ,  $x_{zz} \geq 1$  and  $c_{zz} = 0$ .

The remaining work of the first step follows [5]. We first set the

modified demands  $d'_j \leftarrow d_j$ . For  $j \in N$ , moving all demand of location  $j$  to a location  $i < j$  s.t.  $d'_i > 0$  and  $c_{ij} \leq 4\bar{C}_j$ , i.e., transferring all  $j$ 's demand to a nearby location with existing positive demand. Demand shift occurs as follows:  $d'_i \leftarrow d'_i + d'_j$ ,  $d'_j \leftarrow 0$ . Since we initialize  $d'_z = d_z = 1$ , and we never move its demands away, it follows that  $d'_z > 0$ .

Let  $N'$  be the set of locations with positive demands  $N' = \{j \in N, d'_j > 0\}$ . A feasible solution to the original demands is also a feasible solution to the modified demands.

**Lemma 3.** *Locations  $i, j \in N'$  satisfy:  $c_{ij} > 4 \max(\bar{C}_i, \bar{C}_j)$ .*

**PROOF.** The lemma follows the demands moving step (in the first step of the algorithm): for every  $j$  to the right of  $i$  (which means  $\bar{C}_j \geq \bar{C}_i$ ) and within the distance of  $\bar{C}_j$  (that also covers all points within distance  $\bar{C}_i$ ), we move all demands of  $j$  to  $i$ , hence  $j$  will not appear in  $N'$ .  $\square$

**Lemma 4.** *The cost of the fractional  $(\bar{x}, \bar{y})$  for the input with modified demands is at most its cost for the original input.*

**PROOF.** The cost of the LP  $\bar{C}_{LP} = \sum_{j \in N} d_j \bar{C}_j$  and  $\bar{C}'_{LP} = \sum_{j \in N} d'_j \bar{C}_j$ . Since we move the demands from  $\bar{C}_j$  to a location  $i$  with lower cost  $\bar{C}_i \leq \bar{C}_j$  the contribution of such moved demands in  $\bar{C}'$  is less than its contribution in  $\bar{C}$ , it follows that  $\bar{C}'_{LP} \leq \bar{C}_{LP}$ .  $\square$

**The second step.** We analyze the problem with modified demands  $d'$ . We will group fractional centers from the solution  $(\bar{x}, \bar{y})$  to create a new solution  $(x', y')$  with cost at most  $2\bar{C}_{LP}$  such that  $y'_i = 0$  for each  $i \notin N'$  and  $y'_i \geq 1/2$  for each  $i \in N'$ . We also ensure that  $y'_z \geq 1/2$  in this step, i.e.,  $z$  will be a fractional center after this. A solution is called 1/2-restricted if  $y_j \geq 1/2$  for any point  $j \in N$  and  $y_j = 0$  otherwise. This restriction balances the assignment of demand, ensuring that no single center dominates excessively. The concept of 1/2-restricted solutions is used to create more equitable distributions of demand and is key to transitioning to a  $\{1/2, 1\}$ -integral solution. The next lemma leverages this property:

**Lemma 5.** *For any 1/2-restricted solution  $(x', y')$  there exists a  $\{1/2, 1\}$ -integral solution with no greater cost.*

**PROOF.** The cost of the  $\frac{1}{2}$ -restricted solution (by Lemma 7 of [5]) is:

$$C'_{LP} = \sum_{j \in N'} d'_j c_{s(j)j} - \sum_{j \in N'} d'_j c_{s(j)j} y'_j, \quad (6)$$

Let  $s(j)$  be  $j$ 's closest neighbor location in  $N'$ , the first term above is independent of  $y'$  and the minimum value of  $y'_j$  is  $1/2$ . We now construct a  $\{1/2, 1\}$ -integral solution  $(\hat{x}, \hat{y})$  with no greater cost. Sort the location  $j \in N', j \neq z$  in the decreasing order of the weight  $d'_j c_{s(j)j}$  and put  $z$  to the first of the sequence, set  $\hat{y}_j = 1$  for the first  $2k - n'$  locations and  $\hat{y}_j = 1/2$  for the rest. By doing that, we minimize the cost by assigning heaviest weights  $d'_j c_{s(j)j}$  to the maximum multiplier (i.e., 1) while assigning lightest weights  $d'_j c_{s(j)j}$  to the minimum multiplier (i.e.,  $1/2$ ) for each  $j \in N', j \neq z$ . Any feasible 1/2-restricted solution must have  $y'_z = 1$  to satisfy the constraint of  $z$  so that the contribution of  $\hat{y}_z$  is the same as its of  $y'_z$ . It follows that the cost of  $(\hat{x}, \hat{y})$  is no more than the cost of  $(x', y')$ .  $\square$

**The third step.** This step is similar to the part of Step 3 of [5] that converts a  $\{1/2, 1\}$ -integral solution to an integral solution with the cost increases at most by 2. We note that there are two types of center  $\hat{y}_j = 1/2$  and  $\hat{y}_j = 1$ , hence there are two different processes. All centers  $j$  with  $\hat{y}_j = 1$  are kept while more than half of centers  $j$  with  $\hat{y}_j = 1/2$  are removed. Since we show that  $\hat{y}_z = 1$  in the previous step,  $z$  is always chosen by this step and hence guarantees the constraint of  $z$ .

**Theorem 4. Approximation factor of fixed centroid k-median.**  
For the metric k-median problem, the algorithm above outputs an 8-approximation solution.

**PROOF.** It is obvious that the optimal of the LP relaxation is the lower bound of the optimal of the integer program. While constructing an integer solution for the LP relaxation with the modified demands, [5] states that there is a  $1/2$ -restricted solution  $(x', y')$  which costs at most  $2\bar{C}_{LP}$ . And now the third step multiplies this cost by a factor of 2, making the cost of the solution (to the LP) at most  $4\bar{C}_{LP}$ . Transforming the integer solution of the modified demands to a solution of the original input adds an additive cost of  $4\bar{C}_{LP}$  by Lemma 4 of [5] and the Theorem follows.  $\square$

Having demonstrated that our modification of [5] to execute fixed-centroid k-median instead of standard k-median yields an 8-factor approximation of the optimal solution, we can now proceed to prove that our private explanation closely approximates the optimal solution for the fixed-centroid scenario.

**Corollary 1.** *Running PRIVATEEXPLANATIONS with NONPRIVATEAPPROXFC be the above K-median algorithm, with probability at least  $1 - \beta$ ,  $S_\epsilon^{(i)}$  is a  $(w, t)$ -approximation of  $OPT_i$  – the optimal K-median with a center fixed at position  $z_i$ , in which:*

$$w = 8(1 + \alpha)$$

$$t = 8O_{p,\alpha} \left( (k/\beta)^{O_{p,\alpha}(1)} \cdot \text{polylog}(n/\beta)/\epsilon \right).$$

## 4.2 NONPRIVATEAPPROXFC for k-means

In this section, we present our NONPRIVATEAPPROXFC algorithm for k-means with a fixed center. Based on [19], we achieve a 25-approximation. We will analyze this approximation factor in detail below. We adapt the work by [19] by adding a fixed center constraint to the single-swap heuristic algorithm. As in their result, we need to assume that we are given a discrete set of candidate centers  $C$  from which we choose  $k$  centers. The optimality is defined in the space of all feasible solutions in  $C$ , i.e., over all subsets of size  $k$  of  $C$ . We then present how to remove this assumption, with the cost of a small constant additive factor.

**Definition 7.** *Let  $O = (O_1, O_2, \dots, O_k)$  be the optimal clustering with  $O_1$  be the cluster with the fixed center  $z$ . A set  $C \subset \mathbb{R}^d$  is a  $\gamma$ -approximate candidate center set if there exists  $z \in \{c_1, c_2, \dots, c_k\} \subseteq C$ , such that:  $\text{cost}(c_1, c_2, \dots, c_k) \leq (1 + \gamma)\text{cost}(O)$ .*

Given  $u, v \in \mathbb{R}^d$ , let  $\Delta(u, v)$  denote the squared Euclidean distance between  $u$  and  $v$ :  $\Delta(u, v) = \text{dist}^2(u, v)$ . For a set  $S \subset \mathbb{R}^d$ , the total squared distance between all points in  $S$  and a point  $v$  is given by  $\Delta(S, v) = \sum_{u \in S} \Delta(u, v)$ . Similarly, for a set  $P \subset \mathbb{R}^d$ ,  $\Delta_P(S)$  represents the total squared distance between each point  $q \in P$  and

its closest point  $s_q \in S$ . Here,  $q$  refers to an individual data point in set  $P$ , and  $s_q$  is its nearest neighbor in  $S$ . When the context is clear, we drop  $P$  for simplicity. This notation captures the essential relationships between points and their nearest centroids.

Let  $z$  be the fixed center that must be in the output. Let  $C$  be the set of candidate centers, that  $z \in C$ . We define **stability** in the context of  $k$ -means with a fixed center  $z$  as follows. We note that it differs from the definition of [19] such that we never swap out the fixed center  $z$ :

**Definition 8.** *A set  $S$  of  $k$  centers that contains the fixed center  $z$  is called 1-stable if:  $\Delta(S \setminus \{s\} \cup \{o\}) \geq \Delta(S)$ , for all  $s \in S \setminus \{z\}$ ,  $o \in O \setminus \{z\}$ .*

**Algorithm.** We initialize  $S^{(0)}$  as a set of  $k$  centers from  $C$  that  $z \in S^{(0)}$ . For each set  $S^{(i)}$ , we perform the swapping iteration:

- Select one center  $s \in S^{(i)} \setminus z$
- Select one replaced center  $s' \in C \setminus S^{(i)}$
- Let  $S' = S^{(i)} \setminus s \cup s'$
- If  $S'$  reduces the distortion,  $S^{(i+1)} = S'$ . Else,  $S^{(i+1)} = S^{(i)}$

We repeat the swapping iteration until  $S = S^{(m)}$ , i.e., after  $m$  iterations, is a 1-stable. Theorem 5 states the utility of an arbitrary 1-stable set, which is also the utility of our algorithm since it always outputs an 1-stable set. We note that if  $C$  is created with some errors  $\gamma$  to the actual optimal centroids, the utility bound of our algorithm is increased by the factor  $\Theta(\gamma)$ , i.e., ours is a  $(25 + \Theta(\gamma))$ -approximation to the actual optimal centroids.

**Theorem 5. Approximation factor of fixed centroid k-mean.**  
If  $S$  is an 1-stable  $k$ -element set of centers,  $\Delta(S) \leq 25\Delta(O)$ . Furthermore, if  $C$  is a  $\frac{\gamma}{25}$ -approximate candidate center set,  $S$  is a  $(25 + \gamma)$ -approximate of the actual optimal centroids in the Euclidean space.

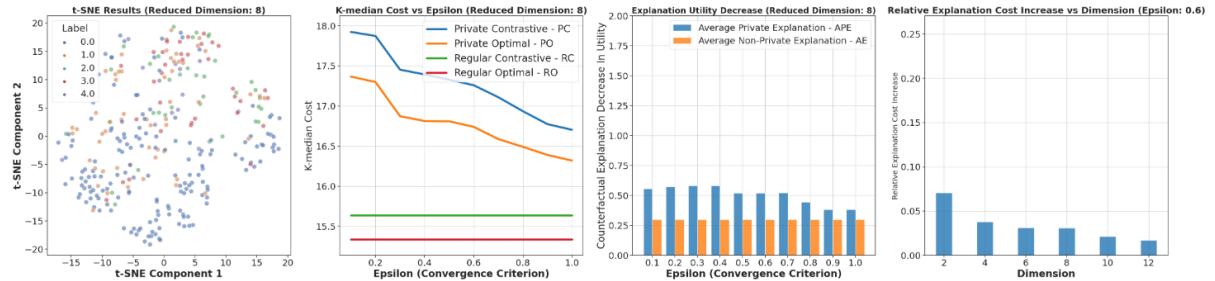
Having demonstrated that our modification of [19] to execute fixed-centroid k-means instead of standard k-means yields a 25-factor approximation of the optimal solution, we can now proceed to prove that our private explanation closely approximates the optimal solution for the fixed-centroid scenario.

**Corollary 2.** *Running PRIVATEEXPLANATIONS with NONPRIVATEAPPROXFC be the above k-means algorithm, with probability at least  $1 - \beta$ ,  $S_\epsilon^{(i)}$  is a  $(w, t)$ -approximation of  $OPT_i$  – the optimal k-means with a center fixed at position  $x_i$ , in which:*

$$w = (25 + \gamma)(1 + \alpha)$$

$$t = (25 + \gamma)O_{p,\alpha} \left( (k/\beta)^{O_{p,\alpha}(1)} \cdot \text{polylog}(n/\beta)/\epsilon \right).$$

With the utility bounds for k-means and k-median under the fixed-centroid constraint proven, it is clear that altering the original algorithms preserves the same utility bounds as their non-fixed counterparts. This ensures that accommodating fixed centroids does not compromise clustering quality. Notably, these bounds refer to clustering utility, not explanation bounds. By showing that fixed-k-means and fixed-k-median perform as effectively as standard versions, users can trust the quality of the explanations. Without these guarantees, users might question the validity of centroid placements. Our results ensure the explanations are based on clustering solutions that are as robust and reliable as the original algorithms.



**Figure 1: A visualization of our dataset (Heart Disease dataset from the UCI ML Repository), projected into an 8-dimensional space. (a) t-SNE of our data. (b) Comparison of k-median clustering with fixed and non-fixed centroids, both private and non-private. (c) Bar graph showing contrastive explanation differences for differential private and non-private k-median with a fixed centroid. (d) We fix the privacy budget of 0.6 while demonstrating the contrastive explanation across various dimensions.**

## 5 EXPERIMENTS

Our study examines how the privacy budget  $\epsilon$  affects the trade-off between privacy and accuracy, focusing on the quality of differentially private explanations. We use four key metrics: Private Optimal (PO,  $S_\epsilon$ ), Private Contrastive (PC,  $S_\epsilon^{(i)}$ ), Regular Optimal (RO,  $OPT$ ), and Regular Contrastive (RC,  $OPT_i$ ), to compare clustering costs with and without fixed centroids in both private and non-private algorithms. To assess explanation quality, we define two derived metrics: Average Private Explanation (APE, PC - PO, cost( $S_\epsilon^{(i)}$ ) - cost( $S_\epsilon$ )) and Average Explanation (AE, RC - RO, cost( $S^{(i)}$ ) - cost( $S$ )). APE measures utility loss in private clustering as an explanatory output, while AE provides a non-private baseline. These metrics help us evaluate the explanatory power of our approach. By analyzing these metrics across different  $\epsilon$  values, we explore the balance between privacy and utility, highlighting the trade-offs in our differentially private clustering and explanation framework.

**Datasets** Our research utilizes a diverse set of datasets to demonstrate the versatility and effectiveness of our approach, as summarized in Table 1. We employed the Heart Disease dataset featuring 13 dimensions, and the Breast Cancer dataset with 30 features, including both numeric and categorical fields. Both datasets were taken from the UCI Machine Learning Repository. Those higher-dimensional datasets were crucial in validating our theoretical framework. Additionally, we used two-dimensional activity-based population datasets from Charlottesville City and Albemarle County, Virginia, previously employed in mobile vaccine clinic deployment studies [23]. To complement these real-world datasets, we also generated a synthetic two-dimensional dataset. By testing our method on both high-dimensional and two-dimensional data, as well as on real and synthetic datasets, we showcase its robustness across different data complexities and origins.

**Data Preprocessing:** We normalized all datasets to fit within a unit ball to ensure consistency with prior work and standardize our analysis framework. While this normalization alters the absolute scale, it preserves the relative relationships between data points, which is crucial for clustering. The entire preprocessed dataset was used for analysis, as there is no ground truth labeling for a traditional train-test split in this unsupervised task.

**Dimensionality** Our study explored both 2D and higher-dimensional datasets. A crucial aspect of our methodology, DIMREDUCTION,

employs Principal Component Analysis (PCA) for initial dimensionality reduction. This process normalizes the data and creates lower-dimensional representations. We performed extensive experiments, reducing high-dimensional datasets to various lower dimensions, including 2D, with additional low-dimension experiments. Remarkably, our results remained consistent across different reduced dimensionalities. Even when reducing data from 13 dimensions to 2, we observed similar trends and results as with other dimensional reductions, despite significant information loss. This consistency underscores our method's robustness across varying dimensions. By addressing high-dimensional data challenges through PCA reduction, we ensure our technique's applicability and efficiency across diverse dataset complexities, maintaining result integrity regardless of original data dimensionality.

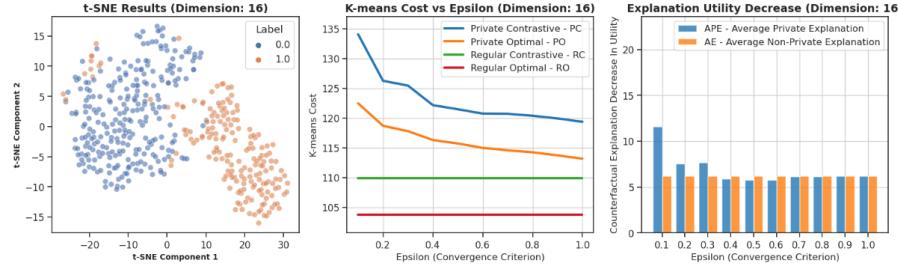
**Running Time Analysis:** The computational complexity of our algorithm varies by clustering method. For k-means, we use the linear-time algorithm from [19], while the k-median approach relies on polynomial-time Linear Programming (LP). We have optimized performance with GPU parallelization, reducing execution times from minutes to seconds for both differentially private coresets and clustering tasks. Our method is **data-agnostic**, handling any data distribution efficiently, independent of sparsity. For reproducibility, we provide our code, experimental details, and pre-processed datasets in a public repository.

### 5.1 Experimental results

Figure 1 presents four key visualizations of our differentially private clustering and explanation framework across various dimensions. The t-SNE plot (leftmost) shows the 2D representation of our dataset, revealing potential clusters and patterns. The second plot illustrates K-median cost versus  $\epsilon$  for our four metrics (PC,

Dataset	Dim	Size	Source
Heart Disease	13	303	UCI MLR
Breast Cancer Wisconsin	31	569	UCI MLR
Charlottesville	2	33K	[23]
Albemarle	2	74K	[23]
Synthetic dataset	2	1k	Generated

**Table 1: Datasets used in our research**



**Figure 2: A visualization of another dataset (Breast Cancer dataset (30 features) from the UCI ML Repository), projected into a 16-dimensional space. (a) t-SNE of our data. (b) Comparison of k-means clustering with fixed and non-fixed centroids, both private and non-private. (c) Bar graph showing contrastive explanation differences for differential private and non-private k-means with a fixed centroid.**

PO, RC, RO), demonstrating the privacy-accuracy trade-off and the consistency of our contrastive explanations.

In plots (b) and (c) of Figure 1, the x-axis represents the privacy budget  $\epsilon$ , which we tested over the range  $[0, 1]$  in intervals of 0.05. This granularity allows for a detailed analysis of the privacy-utility trade-off. Smaller values of  $\epsilon$  enforce stronger privacy guarantees, as reflected in higher clustering costs for PC and PO. Conversely, as  $\epsilon$  increases, these costs gradually decrease, highlighting the improved utility that comes with relaxed privacy constraints. Importantly, the observed trends demonstrate that the framework consistently balances privacy and utility, even at stricter privacy levels. Additionally, the stability of the non-private metrics (RC and RO) across the  $\epsilon$  range provides a robust baseline for evaluating the performance of our private clustering and explanation methods.

As expected, both PC and PO costs decrease as  $\epsilon$  increases, demonstrating the trade-off between privacy and accuracy. The non-private metrics (RC and RO) remain constant across  $\epsilon$  values, serving as baselines for comparison. Notably, the gap between PC and PO remains relatively consistent, indicating that our contrastive explanations maintain their relative quality at different levels of privacy. The third plot illustrates the Explanation Utility for both private (Average Private Explanation) and non-private (Average Non-Private Explanation) scenarios across various  $\epsilon$  values. This graph quantifies the difference in clustering cost between the optimal solution and the solution with a fixed centroid, representing our contrastive explanations. Notably, we observe that the Average Private Explanation remains relatively stable across different  $\epsilon$  values. This stability is crucial as it indicates that the quality of our contrastive explanations in the private setting is consistent, regardless of the privacy budget. The consistent performance across different  $\epsilon$  values underscores the robustness of our method, providing reliable explanations even under strict privacy constraints. The rightmost plot demonstrates the difference between PC and PO across dimensions for a fixed  $\epsilon$ , illustrating our method's scalability with dimensionality.

Figure 2 follows a similar format but uses another high-dimensional dataset. This dataset was reduced from 30 dimensions to 16 to test the robustness of our approach on different datasets and higher dimensions. Unlike Figure 1, this figure presents results obtained using the k-means algorithm. Furthermore, we extended our experiments to include other reduced dimensions for both k-means

and k-median, with the detailed results provided in the Appendix. These additional experiments further validate the adaptability and robustness of our framework across different clustering methods and dimensionality settings.

## 6 CONCLUSIONS

Our work explores the design of private explanations for clustering, particularly focusing on the k-median and k-means objectives for Euclidean datasets. We formalize this as the PRIVEC problem, where each agent receives a contrastive explanation corresponding to the loss in utility they experience when a cluster centroid is placed at a strategic position chosen by the agent. Our algorithm provides explanations to each user while maintaining the same approximation factor as private clustering, within a predefined privacy budget. The related work in this domain has shown the development of algorithms for contrastive explanations, but our contribution stands out by integrating differential privacy guarantees.

Our experiments demonstrate the resilience of our approach. Despite the added layer of providing differentially private explanations on top of differentially private clustering, the quality of our explanations remains uncompromised. The extended experiments on all our datasets further validate our approach's efficacy. The balance between privacy and utility, the robustness of contrastive explanations, and the negligible impact of  $\epsilon$  on explainability were consistent across datasets. These findings underscore the potential of our method for diverse real-world applications.

Our approach is not restricted to k-means and k-median but can be applied to other clustering algorithms as well. The methodology leverages fundamental principles common to many clustering techniques, such as centroids and utility functions. As long as a clustering algorithm defines centroids and evaluates clustering quality using these metrics, our approach can be adapted to provide privacy-preserving contrastive explanations. This adaptability makes it suitable for extending to other paradigms, such as density-based or hierarchical clustering, extending its applicability to various datasets and contexts.

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