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Corrigendum to "On two conjectures concerning convex curves", by V. Sedykh and B. Shapiro

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As was pointed out by S. Karp, Theorem B of paper [V. Sedykh and B. Shapiro, On two conjectures concerning convex curves, Int. J. Math. 16(10) (2005) 1157–1173] is wrong. Its claim is based on an erroneous example obtained by multiplication of three concrete totally positive 4×4 upper-triangular matrices, but the order of multiplication of matrices used to produce this example was not the correct one. Below we present a right statement which claims the opposite to that of Theorem B. Its proof can be essentially found in a recent paper [N. Arkani-Hamed, T. Lam and M. Spradlin, Non-perturbative geometries for planar N=4 SYM amplitudes, J. High Energy Phys. 2021 (2021) 65].

Keywords: Schubert calculus; total reality conjecture.

1. Introduction

Recall that a classical result due to Schubert, [7] claims that for a generic (k+1)(n-k)-tuple of k-dimensional complex subspaces in $\mathbb{C}P^n$ there exist $\sharp_{k,n} = \frac{1!2!...(n-k-1)!((k+1)(n-k))!}{(k+1)!(k+2)!...(n)!}$ complex projective subspaces of dimension (n-k-1) in $\mathbb{C}P^n$ intersecting each of the above k-dimensional subspaces. (The number $\sharp_{k,n}$ is the degree of the Grassmannian of projective k-dimensional subspaces in $\mathbb{C}P^n$ considered as a projective variety embedded using Plücker coordinates.) The following

conjecture has been formulated in early 1990s by the authors (unpublished); it has been proven in two fascinating papers [2, 5] some years ago. (Recently, two novel proofs of these results have been presented in [3, 6].)

Conjecture on total reality. For the real rational normal curve $\rho_n: S^1 \to \mathbb{R}P^n$ and any (k+1)(n-k)-tuple of pairwise distinct real projective k-dimensional osculating subspaces to ρ_n , there exist $\sharp_{k,n}$ real projective subspaces of dimension (n-k-1) in $\mathbb{R}P^n$ intersecting each of the above osculating subspaces.

Many discussions and further results related to the latter conjecture can be found in [9].

Originally, the authors suspected that the latter conjecture were also valid for convex curves and not just for the rational normal curve where a curve $\gamma: S^1 \to \mathbb{R}P^n$ (respectively, $\gamma: [0,1] \to \mathbb{R}P^n$) is called *convex* if any hyperplane $H \subset \mathbb{R}P^n$ intersects γ at most n times counting multiplicities. (Discussions of various properties of convex curves can be found in a number of earlier papers by the authors as well as in other publications.) In particular, at each point of a convex curve γ there exists a well-defined Frenet frame and therefore a well-defined osculating k-dimensional subspace for any $k=1,\ldots,n-1$.

Theorem B of [8] erroneously claims that there exists a convex curve in $\mathbb{R}P^3$ and a 4-tuple of its tangent lines such that there are no real lines intersecting all of them. (In this case k = 1, n = 3 and $\sharp_{1,3} = 2$.) The correct statement is as follows.

Theorem 1. For any convex curve $\gamma: S^1 \to \mathbb{R}P^3$ (respectively, $\gamma: [0,1] \to \mathbb{R}P^3$) and any 4-tuple of its tangent lines $\mathcal{L} = (\ell_1, \ell_2, \ell_3, \ell_4)$, there exist two real distinct lines L_1 and L_2 intersecting each line in \mathcal{L} .

In other words, Theorem 1 claims that total reality conjecture is valid in the special case k=1, n=3 for convex curves as well. Its proof follows straightforwardly from the next result of [1]. (We want to thank S. Karp for providing the formulation and the proof of this statement.)

Theorem 2. Let W_i , i = 1, 2, 3, 4 be 4×2 real matrices, such that the 4×8 matrix formed by concatenating W_1, W_2, W_3 and W_4 has all its 4×4 minors positive. Then regarding each W_i as an element of the real Grassmannian $Gr_{2,4}(\mathbb{R})$, there exist two distinct $U \in Gr_{2,4}(\mathbb{R})$ such that $U \cap W_i \neq \emptyset$ for i = 1, 2, 3, 4.

Proof. Let $A := [W_1 \ W_2 \ W_3 \ W_4]$ be the 4×8 matrix formed by concatenating W_1, W_2, W_3 and W_4 . After acting on \mathbb{R}^4 by an element of a $\mathrm{GL}_4(\mathbb{R})$ with positive determinant, we may assume that $A = [X \ Y]$, where X is a 4×4 totally positive matrix and

$$Y = \begin{pmatrix} 0 & 0 & 0 & -1 \\ 0 & 0 & 1 & 0 \\ 0 & -1 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{pmatrix}.$$

Then $X = [W_1 \ W_2]$ and $Y = [W_3 \ W_4]$. Set

$$U := \begin{pmatrix} 1 & 0 \\ -x & 0 \\ 0 & -1 \\ 0 & y \end{pmatrix} \quad (x, y \in \mathbb{R}).$$

Then in $Gr_{2,4}(\mathbb{R})$, we have $U \cap W_3 \neq \emptyset$ and $U \cap W_4 \neq \emptyset$. Also, we have $U \cap W_1 \neq \emptyset$ and $U \cap W_2 \neq \emptyset$ if and only if

$$\det[W_1 \quad U] = 0 \quad \text{and} \quad \det[W_2 \quad U] = 0.$$

These conditions give the following two equations:

$$\Delta_{13,12}xy + \Delta_{14,12}x + \Delta_{23,12}y + \Delta_{24,12} = 0 \quad \text{and}$$

$$\Delta_{13,34}xy + \Delta_{14,34}x + \Delta_{23,34}y + \Delta_{24,34} = 0,$$

where $\Delta_{I,J}$ denotes the determinant of the submatrix of X in rows I and columns J. Using the second equation to solve for y in terms of x and substituting into the first equation, we obtain a quadratic equation in x whose discriminant equals

$$D = (\Delta_{13,12}\Delta_{24,34} - \Delta_{24,12}\Delta_{13,34} - \Delta_{14,12}\Delta_{23,34} + \Delta_{23,12}\Delta_{14,34})^{2} - 4(\Delta_{13,12}\Delta_{14,34} - \Delta_{14,12}\Delta_{13,34})(\Delta_{23,12}\Delta_{24,34} - \Delta_{24,12}\Delta_{23,34}).$$

To settle Theorem 2 it suffices to show that under our assumptions D > 0.

Since X is totally positive, by the Loewner-Whitney theorem [4, 10] we can write

$$X = \begin{pmatrix} 1 & 0 & 0 & 0 \\ g+j+l & 1 & 0 & 0 \\ hj+hl+kl & h+k & 1 & 0 \\ ikl & ik & k & 1 \end{pmatrix} \cdot \begin{pmatrix} m & 0 & 0 & 0 \\ 0 & n & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & p \end{pmatrix}$$
$$\cdot \begin{pmatrix} 1 & f+d+a & ab+ae+de & abc \\ 0 & 1 & b+e & bc \\ 0 & 0 & 1 & c \\ 0 & 0 & 0 & 1 \end{pmatrix},$$

where $a, \ldots, p > 0$. Then we calculate

$$D = m^2 n^2 (FG + H^2),$$

where

$$F = acehijmo + acehilmo + 2cdehijmo + cdehilmo + abhjmp + abhlmp \\ + abklmp + aehjmp + aehlmp + aeklmp + cehino + dehjmp + dehlmp \\ + deklmp + bhnp + 2bknp + ehnp + eknp,$$

 $G = acehijmo + acehilmo + cdehilmo + abhjmp + abhlmp + abklmp \\ + aehjmp + aehlmp + aeklmp + cehino + dehjmp + dehlmp + deklmp \\ + bhnp + ehnp + eknp,$

H = bknp - cdehijmo.

Since F and G are positive if $a, \ldots, p > 0$ we get that D > 0.

In order to deduce Theorem 1 from Theorem 2 we need the following lemma.

Lemma 3. For any convex curve $\gamma: S^1 \to \mathbb{R}P^3$ (respectively, $\gamma: [0,1] \to \mathbb{R}P^3$) and any 4-tuple of its tangent lines $\mathcal{L} = (\ell_1, \ell_2, \ell_3, \ell_4)$, there exists a basic e_1, e_2, e_3, e_4 in \mathbb{R}^4 where $\mathbb{R}P^3 = (\mathbb{R}^4 \setminus 0)/\mathbb{R}^*$ and bases in the 2-dimensional subspace $\tilde{\ell}_1, \tilde{\ell}_2, \tilde{\ell}_3, \tilde{\ell}_4$ of \mathbb{R}^4 covering $\ell_1, \ell_2, \ell_3, \ell_4$, respectively, such that the 4×2 matrices W_1, W_2, W_3, W_4 expressing the chosen bases of $\tilde{\ell}_1, \tilde{\ell}_2, \tilde{\ell}_3, \tilde{\ell}_4$ with respect to e_1, e_2, e_3, e_4 satisfy the assumptions of Theorem 2.

Proof. Notice that given a convex curve $\gamma: S^1 \to \mathbb{R}P^3$ (respectively, $\gamma: [0,1] \to \mathbb{R}P^3$) as above, one can always find its lift $\tilde{\gamma}: S^1 \to \mathbb{R}^4 \setminus 0$ (respectively, $\tilde{\gamma}: [0,1] \to \mathbb{R}^4 \setminus 0$) such that the projectivization map $\mathbb{R}P^3 = (\mathbb{R}^4 \setminus 0)/\mathbb{R}^*$ sends $\tilde{\gamma}$ to γ . Since γ is convex, the lift $\tilde{\gamma}$ satisfies the property that any linear hyperplane $H \subset \mathbb{R}^4$ intersects $\tilde{\gamma}$ at most 4 times counting multiplicities.

Now set $e_j = \tilde{\gamma}^{(j-1)}(0)$, j = 1, 2, 3, 4 where $\tilde{\gamma}^{(s)}$ stands for the derivative of $\tilde{\gamma}$ of order s considered as a vector function with values in \mathbb{R}^4 . By convexity, the vectors e_1, e_2, e_3, e_4 are linearly independent and therefore form a basis in \mathbb{R}^4 . In what follows we consider coordinates in \mathbb{R}^4 with respect to the basis $\{e_i\}$.

The Wronski matrix of $\tilde{\gamma}$ at t=0 written in these coordinates coincides with the identity matrix and therefore has determinant 1. In particular, this implies that the determinant of the 4×4 matrix whose rows are given by the coordinates of a 4-tuple of vectors $\tilde{\gamma}(\delta_i)$ in the latter basis where $0 \leq \delta_1 < \delta_2 < \delta_3 < \delta_4 < \delta$ with sufficiently small δ is positive. Furthermore, by definition of convexity, the determinant of the 4×4 matrix with rows $\tilde{\gamma}(\theta_i)$, i=1,2,3,4 does not vanish for any 4-tuple $0 \leq \theta_1 < \theta_2 < \theta_3 < \theta_4 \leq 1$. Thus, this determinant is positive since its value is close to 1 for sufficiently small θ_i 's.

Thus, all 4×4 minors of the matrix $U = (U_{i,j})_{\substack{1 \le i \le 8 \\ 1 \le j \le 4}}$, where $U_{i,j} = \tilde{\gamma}_j(t_i)$ are positive for any choice $0 \le t_1 < t_2 < t_3 < t_4 < t_5 < t_6 < t_7 < t_8 \le 1$. Choosing $0 < t_1 < t_3 < t_5 < t_7 < 1$ arbitrarily, set $t_{2i} = t_{2i-1} + \varepsilon$ for i = 1, 2, 3, 4 where ε is sufficiently small. Notice that $\tilde{\gamma}(t_{2i}) = \tilde{\gamma}(t_{2i-1}) + \varepsilon \tilde{\gamma}'(t_{2i-1}) + o(\varepsilon)$.

Now introduce the 8-tuple of vectors \mathbf{w}_i , where $\mathbf{w}_{2k-1} = \tilde{\gamma}(t_{2k-1}), k = 1, 2, 3, 4$ and $\mathbf{w}_{2k} = \tilde{\gamma}(t_{2k-1}) + \varepsilon \gamma'(t_{2k-1})$. Define the 8×4 matrix $W = (W_{i,j})$, where $W_{ij} = (\mathbf{w}_i)_j$.

Then for any ordered index set $I = \{1 \le i_1 < i_2 < i_3 < i_4 \le 8\}$, let U_I and W_I denote the determinants of submatrices of U and W, respectively, formed by rows indexed by I.

Define

$$\varkappa_k = \begin{cases} 1 & \text{if } \{2k-1,2k\} \subset I \\ 0 & \text{otherwise} \end{cases} \quad \text{and} \quad \varkappa_I := \sum_{k=1}^4 \varkappa_k.$$

Obviously, $W_I = O(\varepsilon^{\varkappa_I})$ and $U_I = W_I + o(\varepsilon^{\varkappa_I})$. As we have noticed above, U_I 's are positive for all index sets I which yields that all W_I 's are positive as well if ε is sufficiently small. It remains to notice that matrix W satisfies the conditions of Theorem 2 and it consists of the 4-tuple of pairs of vectors spanning the 2-dimensional subspaces $\tilde{\ell}_1, \tilde{\ell}_2, \tilde{\ell}_3, \tilde{\ell}_4$, respectively.

Problem 1. Prove or disprove the total reality conjecture for convex curves for other values of parameters k and n.

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