

# The analogy between the ‘hidden order’ and the orbital antiferromagnetism in $\text{URu}_2\text{Si}_2$

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**In  $\text{URu}_2\text{Si}_2$  two types of staggered long range order compete at low temperature when a critical parameter  $x$  is tuned, where  $x$  can be chemical substituent concentration, pressure or magnetic field. Upon cooling and below the critical  $x_c$ , the non-magnetic ‘hidden order’ (HO) phase breaks local chiral symmetry, whereas above  $x_c$ , unconventional antiferromagnetic (AF) phases arise. The ‘Janus faces’ nature of the HO and AF phases has been theorized before, but the experimental signatures of the interplay between them are still lacking. Here, we use polarized Raman scattering to study the dynamical fluctuations between the two competing ground states as a function of  $x$  through Fe substitution at Ru sites. We detect a resonance in the Raman response, which provides evidence for a unified order parameter for the phase diagram.**

URu<sub>2</sub>Si<sub>2</sub> holds long-standing interest in the strongly correlated electron community due to several emergent types of long range order it exhibits. Below the second order phase transition temperature  $T_{DW}(x)$ , two density-wave-like orders emerge (1): the enigmatic ‘hidden order’ (HO) phase below about 17.5 K (2–4), and the onset of an unconventional large moment antiferromagnetic (LMAF) phase with the application of hydrostatic pressure (5) or uniaxial stress (6). Below 1.5 K, a superconducting state, which likely breaks time reversal symmetry (7), emerges from the HO phase. Recently, much effort has been dedicated towards unraveling the order parameter of the HO phase through several newly developed experimental and theoretical techniques (7–12). In particular, the symmetry analysis of the low temperature Raman scattering data implies that the reflection symmetries of tetragonal  $D_{4h}$  point group (No. 139 I4/mmm) associated with the paramagnetic (PM) state are broken, and a chirality density wave emerges as the HO ground state (13).

The HO and LMAF phases are known to exhibit ‘adiabatic continuity’ (14), i.e., both phases possess similar transport and thermodynamic properties (15, 16), and Fermi surfaces practically show no change across the phase boundary (17). Furthermore, inelastic neutron scattering observed a dispersive exciton in the HO phase (6, 18) and recently in the LMAF phase of pressurized URu<sub>2</sub>Si<sub>2</sub> (19). This raises the intriguing question of the symmetry relation between the two phases. However, experimental progress is hindered due to inherent constraints of low temperature pressurized experiments.

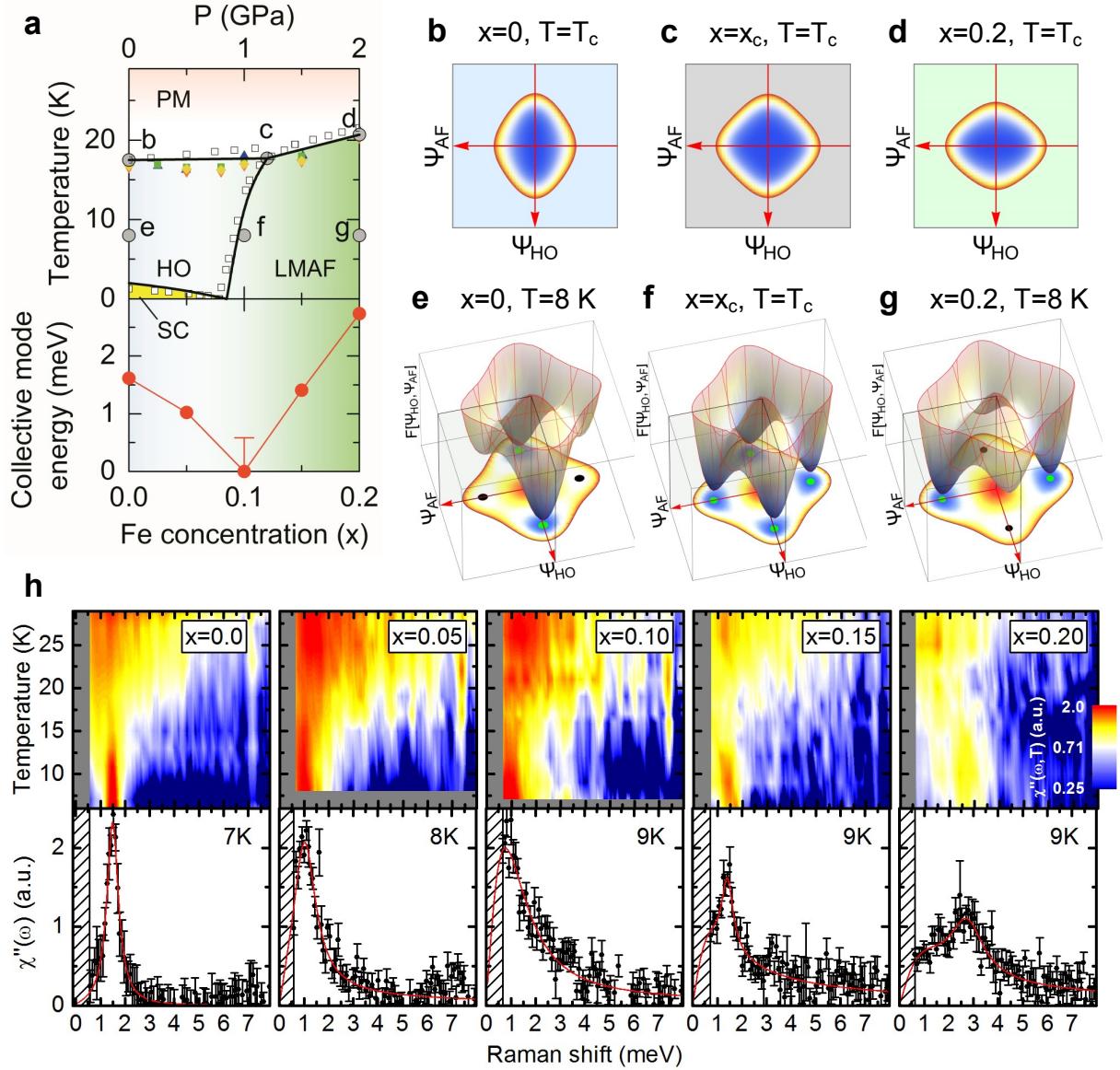
The availability of URu<sub>2-x</sub>Fe<sub>x</sub>Si<sub>2</sub> crystals (16, 20) made it possible to perform high-resolution spectroscopic experiments at low temperature and ambient pressure in both the HO and LMAF phases. Iron substitution mimics the effect of applying small pressure or in-plane stress on the URu<sub>2</sub>Si<sub>2</sub> lattice, and the iron concentration,  $x$ , can be approximately treated as an effective ‘chemical pressure’ (16). Recently, the phase diagram of URu<sub>2-x</sub>Fe<sub>x</sub>Si<sub>2</sub> single crystals have been determined (1, 20–23), which resembles the low pressure phase diagram of pristine URu<sub>2</sub>Si<sub>2</sub> (5, 12) (Fig. 1a). The inelastic neutron scattering measurements again illustrate the analogies of the LMAF phase to the HO phase (23), albeit differences remain relating to the ex-

istence of the resonance in the LMAF state of pressurized (19) or Fe-substituted crystals (23).

Figure 1h shows the temperature dependence of the Raman response in the eminent  $A_{2g}$  symmetry channel of the  $D_{4h}$  group, which transforms as a pseudo-vector, i.e., odd under all vertical and diagonal reflections of the square lattice (26). The upper panels show the intensity plots of the low energy Raman response,  $\chi''_{A_{2g}}(\omega, T)$ , below 30 K. Above  $T_{DW}(x)$ , a quasi-elastic peak (QEP) comprises most of the spectral weight for all samples, narrowing towards the transition. The observed QEP originates from overdamped excitations between quasi-degenerate crystal field states (13, 24), and the narrowing of the QEP with cooling is due to the increase of excitation lifetime, related to the development of a hybridization gap and formation of a heavy Fermi liquid (27, 28).

Below  $T_{DW}(x)$ , the most significant feature in the  $A_{2g}$  channel is a sharp collective-mode. The sharpness of this resonance suggests the lack of relaxation channels due to the opening of an energy gap (1, 27, 29). In order to see the mode's line-shape more clearly, we plot  $\chi''_{A_{2g}}(\omega, T)$  for each iron concentration  $x$  in the lower panels, with  $T \approx T_{DW}(x)/2$ . The line-shapes broaden with increasing  $x$  owing to the inhomogeneity of the local stress field, or unsuppressed relaxation channels introduced by doping that interact with the collective mode, which may also be related to the increasing continuum in the  $x = 0.15$  and  $0.2$  spectra. In contrast to the monotonic broadening of the line-shape width, the collective mode frequency shows non-monotonic behavior as function of  $x$ . The lower panel of Fig. 1a plots the mode energy against iron concentration. The energy decreases with increasing  $x$  in the HO phase, till vanishes below the instrumental resolution at iron concentration  $x = 0.10$ , which is close to the HO and LMAF phase boundary determined by elastic neutron scattering (22) and thermal expansion measurements (20). The resonance reappears in the LMAF phase, where the energy increases with increasing  $x$ . The resonance in the LMAF state appears in the same  $A_{2g}$  symmetry channel as the collective mode in the HO phase.

The similarity of the Raman response in the HO and LMAF phases encourages us to com-



**Figure 1: The phase diagram of  $\text{URu}_{2-x}\text{Fe}_x\text{Si}_2$  and temperature dependence of the  $A_{2g}$  Raman susceptibility.** **a**, The upper panels show the phase diagram of  $\text{URu}_2\text{Si}_2$  system, where the black lines show the phase boundaries. The measurements on the iron substituted  $\text{URu}_{2-x}\text{Fe}_x\text{Si}_2$  crystals from neutron diffraction (22) (blue triangle), electrical resistivity (16) (green square), magnetic susceptibility (16) (purple triangle) and heat capacity (20) (yellow diamond), are overlaid with the neutron diffraction results for  $\text{URu}_2\text{Si}_2$  under hydrostatic pressure (5) (open square) to show the similarity between the two tuning parameters. The lower panel shows the dependence of the  $A_{2g}$  collective mode energy on the iron concentration,  $x$ . At the critical concentration,  $x = 0.1$ , the mode maximum is below the accessible energy cutoff. Therefore, the data point is placed at zero energy, with the error bar reflecting the instrumental cutoff.

Figure 1: (Previous page.) **b-g**, Schematics of the Ginzburg-Landau free energy (Eq. 1) at various special points in the phase diagram (solid gray circles in **a**).  $\psi_{HO}$  and  $\psi_{AF}$  are the real and imaginary part of the hexadecapole order parameter, respectively (24, 25). **h**, The low temperature Raman response in the  $A_{2g}$  symmetry channel,  $\chi''_{A2g}(\omega, T)$ . The upper panels show intensity plots, where the intensities are color coded in logarithmic scale. The lower panels show the spectra at about half the transition temperature to emphasize the collective mode, where the error bars represent one standard deviation. The red solid lines are guides to the eye.

pare our results with the magnetic susceptibility. Figure 2 shows the temperature dependence of the real part of the static  $A_{2g}$  Raman susceptibility  $\chi'_{A2g}(0, T)$ , compared with the  $c$ -axis magnetic susceptibility  $\chi_c^m(T)$  (20). While there are discrepancies around the maxima at about 50–100 K, both quantities follow the same Curie-Weiss-like temperature dependence above 100 K, followed by a suppression approaching the second order phase transition.

The comparison between  $\chi'_{A2g}(0, T)$  and  $\chi_c^m(T)$  has been studied within the frame work of a phenomenological minimal model (13, 24). This is composed of two low-lying singlet orbital levels on uranium sites, separated by an energy scale of  $\omega_0 = 35$  K. These states with pseudo-vector-like  $A_{2g}$  and full-symmetric  $A_{1g}$  symmetries are denoted by  $|A_{2g}\rangle$  and  $|A_{1g}\rangle$ , respectively. At high temperatures, the crystal field states are quasi-degenerate in energy and localized at the uranium f-shells in space. The Curie-Weiss-like behavior above 100 K in static magnetic- (20) and Raman-susceptibilities (13, 31, 32) suggest  $A_{2g}$  pseudo-vector-like instabilities at low temperature. Below about 50 K, the Kondo screening begins setting in (12, 27, 29, 33) and the correlation length of the HO (34) or LMAF (5, 35) phase builds at ordering vector  $Q_0 = (0, 0, 1)$ , and therefore both the magnetic and Raman uniform susceptibilities start to decrease (Fig. 2). Close to the transition temperature, both the HO and LMAF order parameters fluctuates regardless of the low temperature ordering (Fig. 1b-d). However, the static magnetic susceptibility at  $Q_0$  only diverges across the PM–LMAF phase transition (5, 22), whereas it becomes ‘near critical’ from PM–HO phase (34). Thus, HO is a non-magnetic transition, but there is the ‘ghost’ of LMAF present as shown in Fig. 1b. Here, we find that the temperature dependencies of the static  $A_{2g}$  Raman susceptibility  $\chi'_{A2g}(0, T)$  are similar and track  $\chi_c^m(T)$  in all measured samples, suggesting that the minimal model is applicable for the studied iron

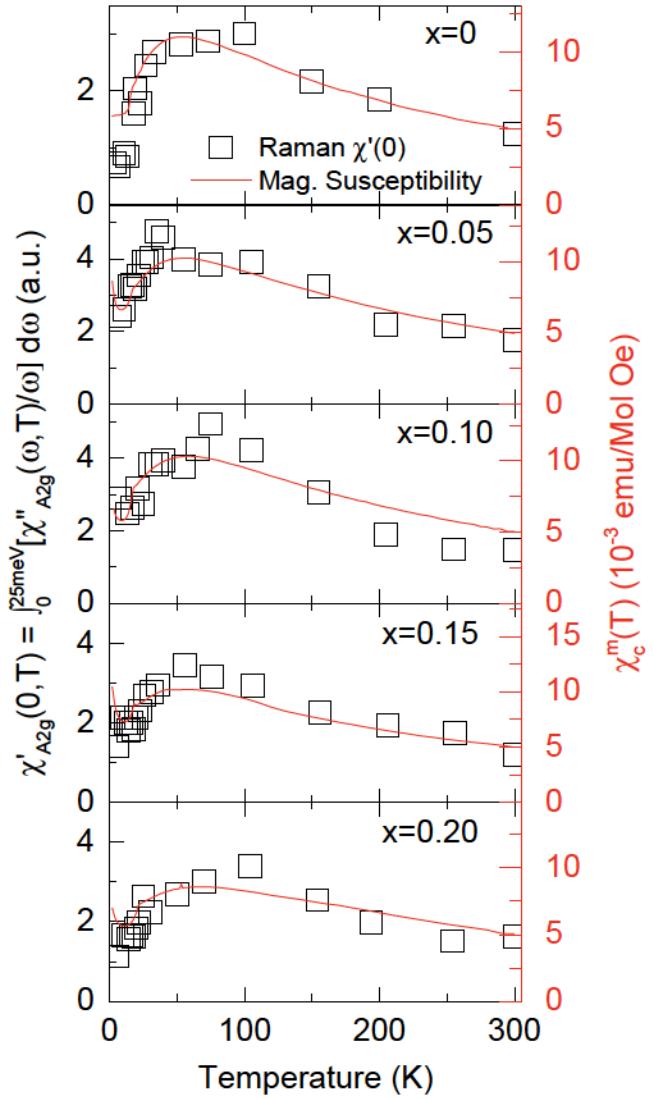


Figure 2: **Temperature dependence of the static  $A_{2g}$  Raman susceptibility.** The static Raman susceptibility in the  $A_{2g}$  symmetry channel (open squares),  $\chi'_{A_{2g}}(0, T) = \int_0^{25 \text{ meV}} \frac{\chi''_{A_{2g}}(\omega, T)}{\omega} d\omega$ , compared with the magnetic susceptibility with field applied along the  $c$ -axis (20) (solid line). Note the unconventional temperature dependence of the susceptibilities due to the formations of the heavy fermion states below about 50 K (30).

substituted crystals.

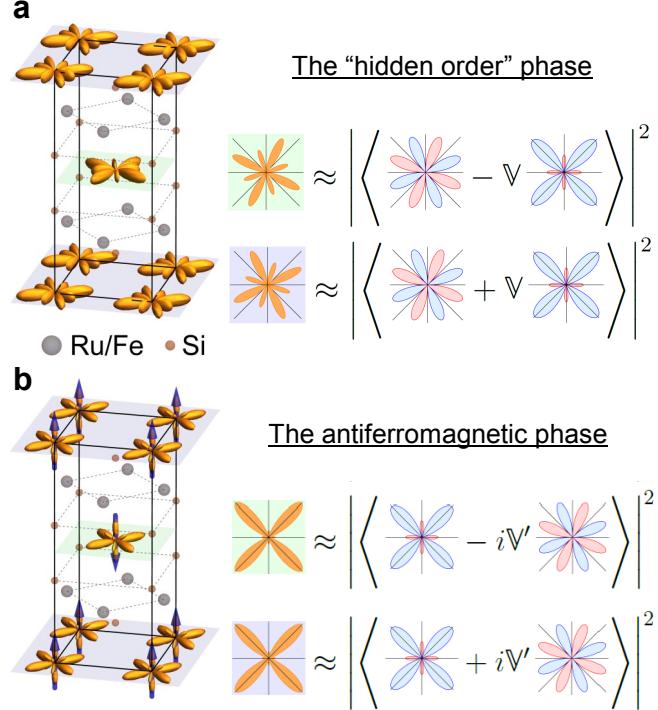
We now discuss the origin and the observed doping dependence of the collective mode in the ordered phases within a phenomenological Ginzburg-Landau approach. Within the minimal model, the two order parameters can be constructed from  $|A_{2g}\rangle$  and  $|A_{1g}\rangle$  (24). The HO phase was explained as the state in which the two levels mix, resulting in a lower symmetry point group on uranium site, which breaks all vertical and diagonal reflection symmetry planes, and thus acquires left- and right-handedness. (13, 24) The staggering of left and right handedness solutions on the lattice gives rise to the chirality density wave (13) (see Fig. 3a). In the HO phase, the staggered condensate can be approximated by a form  $|\psi_{HO}\rangle = \prod_{r=A \text{ site}} |\text{HO}_r^+\rangle \times \prod_{r=B \text{ site}} |\text{HO}_r^-\rangle$ . Note that  $|\text{HO}_r^\pm\rangle$  at uranium site  $r$  is dominantly  $|A_{2g}\rangle$ , with small admixture of  $|A_{1g}\rangle$ , i.e.,  $|\text{HO}^\pm\rangle = \cos\theta |A_{2g}\rangle \pm \sin\theta |A_{1g}\rangle$ .

In the HO the orbital mixing is purely real. If, however the mixing is purely imaginary, the charge distribution on the uranium site does not break any spatial symmetry, instead; it acquires non-zero out-of-plane magnetic moments, and thereby breaks time reversal symmetry. The Néel-type condensate (see Fig. 3b) takes the form  $|\psi_{AF}\rangle = \prod_{r=A \text{ site}} |\text{AF}_r^+\rangle \times \prod_{r=B \text{ site}} |\text{AF}_r^-\rangle$ , where  $|\text{AF}^\pm\rangle = \cos\theta |A_{1g}\rangle \pm i\sin\theta |A_{2g}\rangle$  (24). The two apparently competing orders, the chirality density wave and the antiferromagnetic state, are both constructed by mixing the two orbital states on uranium sites with a real or an imaginary phase, thus unifying the two order parameters.

The Ginzburg-Landau free energy can then be constructed from the two component order parameter  $\Psi^T \equiv \begin{pmatrix} \psi_{HO} & \psi_{AF} \end{pmatrix}$ , where the order parameters correspond to the two condensates  $|\psi_{HO}\rangle$  and  $|\psi_{AF}\rangle$  defined above. The free energy takes the form

$$F[\Psi] = \Psi^T \hat{A} \Psi + \beta (\Psi^T \Psi)^2 + \gamma (\Psi^T \hat{\sigma}_1 \Psi)^2 \quad (1)$$

where  $\hat{A} \equiv \begin{pmatrix} \alpha_{HO} & 0 \\ 0 & \alpha_{AF} \end{pmatrix}$ , with  $\alpha_{HO}$  and  $\alpha_{AF}$  vanish at the critical temperature.  $\hat{\sigma}_1 \equiv \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$  is the Pauli matrix.  $\gamma$  controls a finite barrier between the two minima in Fig.1e-g, hence ensures phase separation between the HO and LMAF phases (35). The free energy parameters



**Figure 3: Crystal structure and ground states of the HO and LMAF phases.** The crystal structure of  $\text{URu}_{2-x}\text{Fe}_x\text{Si}_2$  in **a**, the HO and **b**, the LMAF phases. Illustrations capturing the symmetries of the charge distributions of the ground state wave functions are placed at the uranium atomic sites. On the right of the crystal lattices are illustrations showing the in-plane structures of the wave functions. In the HO phase, the crystal field state with the lowest energy has  $A_{2g}$  symmetry with 8 nodal lines,  $|A_{2g}\rangle$ , which mixes with the first excited state with  $A_{1g}$  symmetry,  $|A_{1g}\rangle$ , to form the local wave functions in the HO phase,  $|\text{HO}^\pm\rangle \approx \cos\theta|A_{2g}\rangle \pm \sin\theta|A_{1g}\rangle$ . In the LMAF phase, the ordering of the crystal field states switches, and the new wave functions in the LMAF phase are,  $|\text{AF}^\pm\rangle \approx \cos\theta|A_{1g}\rangle \pm i\sin\theta|A_{2g}\rangle$ . Here,  $\theta \equiv \arcsin(V/\omega_0)$  and  $\theta' \equiv \arcsin(V'/\omega_0)$ , respectively.  $\omega_0$  is the splitting between the lowest lying crystal field states in the minimal model.  $V$  and  $V'$  are the order parameter strength in the HO and LMAF phases, respectively.  $|A_{2g}\rangle$  and  $|A_{1g}\rangle$  are the  $A_{2g}$  and  $A_{1g}$  symmetrized crystal field states in the minimal model, respectively. In the figure, we let  $\sin\theta \approx V$  and  $\sin\theta' \approx V'$  to simplify the representation.

are introduced following the recipes given in Haule and Kotliar (25, 36) with adjustments to match the phase diagram in Fig. 1a (Materials and Methods).

Figure 1b-g plots the Ginzburg-Landau free energy in two dimensional space of  $\psi_{HO}$  and  $\psi_{AF}$ . Below the second-order phase transition, two global and two local minima develop on  $\psi_{HO}$  and  $\psi_{AF}$  axes due to spontaneous discrete symmetry breaking, where the minima characterize the ground states in the HO and LMAF phases, respectively.

At the critical doping (Fig. 1f), the four minima are degenerate, but the barrier between the minima remains finite due to a  $\gamma$  term in Ginzburg-Landau functional. Therefore the transition between HO and LMAF phases is of the first order, and the coexistence of both phases is allowed, explaining the LMAF puddles that have been observed in the HO phase (37, 38).

The energy separation between the dominant long range order (e.g.,  $|\psi_{HO}\rangle$ ) and the subdominant order (e.g.,  $|\psi_{AF}\rangle$ ) is vanishingly small at the critical Fe concentration, and even away from this point can be smaller than the size of the gap. The exciton of subdominant symmetry (e.g.,  $|\psi_{AF}\rangle$ ) can form in the gap, which then propagates through the order of the dominant symmetry (e.g.,  $|\psi_{HO}\rangle$ ). Likewise, when the ground state is of  $|\psi_{AF}\rangle$ , the propagating exciton is of  $|\psi_{HO}\rangle$  symmetry. The symmetry difference between the two condensates is  $A_{2g}$ -like, hence such exciton can be detected by Raman in the  $A_{2g}$  channel, and explains the sharp resonance shown in Fig. 1 h. It is clear from this discussion that the energy of the resonance vanishes at the critical iron concentration, and is linearly increasing away from the critical point. For superconductors, such an excitation is known as the Bardasis-Schrieffer mode, characterizing the transition between two competing Cooper pairing channels (39).

More generally, the uranium 5f orbitals in solids can arrange in surprising types of orders, including orders with broken chirality or time reversal symmetry. While such orders are competing for the same phase space in  $URu_2Si_2$ , they are also subtly connected and were here unified into a common order parameter, which can be switched with small energy cost. The low energy excitations are usually Goldstone modes, but here we detected a new type of excitation, which connects two types of long range order, and is observed as a resonance by light scattering.

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### **Author Contributions**

G.B. and M.B.M. designed and supervised the experiments. K.H., G.B. and H.-H.K. developed the G.-L. theory. H.-H.K., V.K. and A.L. acquired and analyzed the Raman scattering data. S.R., N.K. and M.B.M. prepared the single crystals and acquired the magnetic susceptibility data. All authors contributed to the discussion and writing of the manuscript.

### **Competing financial interests**

The authors declare no competing financial interests.

### **Supplementary Materials**

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Material and Methods