

# **Towards Kilohertz Electrochemical Capacitors for Filtering and Pulse Energy Harvesting**

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## **Abstract**

Electrochemical capacitors (ECs) are slow devices with charging and discharging rates limited below 1 Hz. They run at direct current and function as power source, but cannot afford the role of a conventional capacitor for current ripple filtering or pulse energy harvesting. Recently, developing ultrafast ECs that work at hundreds to kilohertz (kHz) frequency scope have attracted great interests, with the aim to replace the traditional aluminum electrolytic capacitors (AECs) that have bulky size and large equivalent series resistance. Compact kHz ECs would produce huge impacts on power design, power electronics and environmental pulse energy harvesting. Towards such a goal, the electrode material and its nanostructure are the keys to boost the response frequency of an EC from below 1 Hz to above 1 kHz. In this Review, we summarize guidelines on the electrode nanostructure design for kHz response, discuss the various carbonaceous materials and other highly conductive materials based electrode structures for kHz ECs. The configurations of higher voltage kHz ECs, and their dimension advantage over AECs are critically evaluated, followed with the outlook on the further study and development in this promising area.

## 1. Introduction

ECs, particularly those based on double layer capacitance (DLC), are being applied as low-density energy sources, or as power buffer assisting batteries. As energy source, these commercially available devices run at direct current (DC). They cannot be charged and discharged at a frequency more than 1 Hz or so, due to the complex micropore network in the electrode that gives large electrochemical resistance.<sup>1-3</sup> Another category, pseudocapacitance based ECs are even slower than DLC based owing to the intrinsic slow charge transfer process across the electrolyte/electrode interface.

In contrast, electrolytic capacitors<sup>4-6</sup> are a type of polarized asymmetrical dielectric capacitor. On a roughened metal surface that provides a large surface area, an extreme thin metal oxide is anodically grown. This oxide layer, acting as the dielectric material and therefore determining the rating voltage, is then sandwiched between the original metal base as the anode and an electrolyte, with the electrolyte further contacted with a cathode. The polarity is caused by the electrochemical decomposition of metal oxide under a reversed voltage. Electrolytic capacitors have a lower capacitance density by several orders of magnitude than ECs, but they can response up to  $\sim 10$  kHz or higher frequencies. They are very suitable, as circuit element, for passing or bypassing low-frequency signals, widely used for ripple and noise filtering and decoupling in power supplies, DC link circuits, power factor correction, photo flashing and strobe, among others. This is particularly true for aluminum electrolytic capacitors (AECs) when filtering current ripples. For 60 Hz line frequency, the filtering capacitor, following the full-wave rectifier, must response at 120 Hz and with minimum loss (thermal generation). At such frequency, conventional ECs would have lost their capacitive characteristic, instead, it behaves like a highly lossy inductor with a positive impedance phase angle. Therefore, such ECs cannot be used as circuit elements for filtering, decoupling, and other functions.

Nowadays, with the progress of semiconductor technology, discrete passive components, especially AEC filtering capacitors, play critical roles in determining the circuit board profile, reliability, power conversion efficiency, among others. AECs, even for a small capacitance on the order of  $\mu\text{F}$ , have bulky sizes which is a limiting factor in the down scaling of circuit boards, particularly for portable electronics. An interesting question then arise: can ECs, with a much compact size and small ESR, be made faster to replace the bulky AECs?

In a second frontier, with the rising of internet of things (IOT) and autonomous sensor network, the unattended micropower sensor need to harvest environmental mechanical energy through piezogenerator or tribogenerator for self-powering.<sup>7</sup> Since most environmental noise or vibration has a dominant frequency in the range of tens to hundreds hertz, the micro-generators produce pulse power in this frequency range. Again, conventional slow ECs are not efficient for storing such pulse power, while

AECs are simply too bulky to fit in a tiny sensor module. A compact kHz EC provides an ideal solution for storing such pulse power form environmental energy harvesting.

Major research efforts on ECs have been concentrated on improving their energy density to bridge the energy density and power density gap between ECs and batteries. On the other hand, there is also a performance gap, in terms of capacitance density and frequency response between ECs and electrolytic capacitors (Figure 1a), while bridging this gap clearly will have great impacts on compact circuit design and self-powered tiny sensor system (Figure 1b).

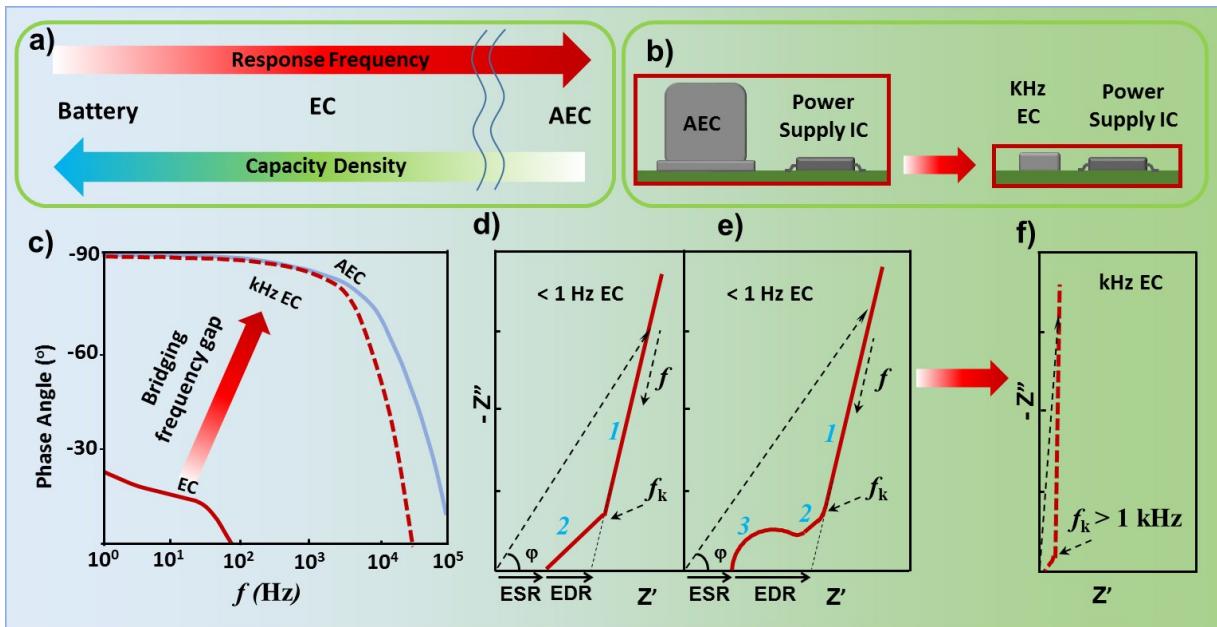


Figure 1. (a) The performance gap between battery, EC and electrolytic capacitor in terms of response frequency and capacitance density. (b) Using kHz EC to replace bulky AEC for compact circuit design. (c) EIS Bode plot illustrating the performance gap in term of frequency response between the conventional EC and AEC, and how the kHz EC bridging this gap. The characteristic regions in the EIS Nyquist plot for conventional EDLC in (d) and of pseudocapacitor in (e) and those of kHz ECs in (f).

Since the pioneering work of Miller *et al.* on demonstrating the first kHz range EC,<sup>1</sup> a strong interest in this area have resulted in dramatic progress in developing high-frequency ECs,<sup>8-18</sup> to replace AEC or find new functions in power electronics, power supply and energy harvesting. As illustrated in Figure 1c, an ‘ideal’ kHz EC will bridge the huge performance gap, in term of frequency response, between the conventional slow EC (< 1 Hz) and bulky AEC.

To further promote the research interest on developing an ‘ideal’ kHz EC, we review the past efforts and current status of high frequency ECs. Section 2 highlights the major factors restraining the high-

frequency response of conventional ECs and provides general guidelines on the electrode nanostructure design for kHz response. Section 3 reviews the varieties of  $sp^2$  carbonaceous materials based electrode design for EDL based kHz ECs. Section 4 explores other highly conductive electrode materials from which some are based on the pseudocapacitive effect. Section 5 discusses the configurations of higher voltage kHz ECs and makes predictions on their dimension advantage over AECs. The last section concludes with an outlook on the further areas of study.

## 2. Characteristic Frequency Response and Nanostructure Design Guidelines

Understanding the fundamental mechanisms governing the frequency response of ECs will provide guidelines on the electrode nanostructure design toward the development of kHz ECs. Electrochemical impedance spectroscopy (EIS) is commonly used to analyze their frequency response.<sup>19,20</sup> As schematically illustrated in Figure 1d,e in most cases, the Nyquist-plot of EIS spectra contains features of: 1) a tilted but close to vertical line at low frequency, which can be modeled as a constant phase element (CPE) with an ideality factor. 2) Towards higher frequency, a knee point (knee frequency  $f_k$ ) appears, followed by the characteristic 45° slope region, a result of distributed capacitance and resistance in a porous electrode. 3) When an insulating layer covering the current collector or when pseudocapacitive effect exist in the electrode, following or merging with this region at higher frequency range is a typical semicircle feature (Figure 1e).<sup>21</sup> Because of the three features of EIS spectrum, the absolute value of the phase angle  $\varphi$  at high frequencies is therefore much less than the ideal 90°. Equivalent series resistance (ESR) is commonly defined as the resistance value when the impedance curve intersects with the  $Z'$ -axis. However, this parameter is not sufficient to evaluate the frequency response, since the characteristic 45° slope region and the semicircle region can lead to a very small ESR but a sluggish response. When using a simplified  $RC$  model to estimate the time constant, the resistance value  $R$ , the extrapolated intersection of the near-vertical linear section of EIS with the  $Z'$ -axis should be used. Therefore, equivalent distributed resistance (EDR) can be defined as the difference between this  $R$  value and the ESR (Figure 1d,e), to evaluate the adverse effects of the characteristic 45° slope region and the semicircle region on the frequency response.

To have a high-frequency response with a minimum loss (Figure 1f), a small ESR is essential. This requires minimizing the electrolyte resistance, the electrode material resistance, and the contact resistance between the electrode material and the current collector. To behave like an ideal capacitor at high frequencies, a large  $f_k$  is needed. This demands eliminating the semicircle feature and minimizing the distributed capacitance and resistance region if the later one cannot be completely eliminated, thus achieving a small EDR.<sup>22,23</sup> A minimized distribution region means distributed charge storage, related to

porous effect,<sup>24</sup> should be diminished. The transmission line model (TLM) has been commonly applied to simulate the distributed charge storage effect.<sup>24</sup> The modification of TLM by incorporating pore size distribution function, have also been applied to simulate the relationship between pore structure and frequency response, and successfully explained how pores length and pore radius of electrode materials, electrolyte conductivity, and others can affect capacitance and dynamic response.<sup>25</sup> A few guidelines on electrode design towards kHz ECs are summarized as:

- Highly conductive electrode material, and probably in an intimate interconnected format with minimum internal resistance. Particulate materials should be avoided due to large inter-particle resistance, and thus those structures composed of intrinsically connected 2D (graphene) or 1D (carbon nanotube array) are good candidates.
- A minimum contact resistance with the current collector. Electrode material should be directly grown on the metal foil or coated on by adopting particular interface morphology or interlayer.
- Ultrathin (~ 1  $\mu\text{m}$ ) or thin (~ 10  $\mu\text{m}$ ) electrodes to minimize the porous effect.
- Well-connected and large-size pores should be used, and particularly avoid micropores, dead pores, and tortuous pore geometry. The choice of pore diameter strongly depends on the ionic conductivity of the electrolyte.<sup>24,25</sup>



Figure 2. Representatives of different carbon based and non-carbon based nanostructures used for developing kHz ECs.

In term of frequency response, a figure of merit is the frequency when the impedance phase angle reaches  $-45^\circ$  since this is the frequency defining the boundary between the capacitive and the resistive behavior of the cell. Here, we define this frequency as the cutoff frequency  $f_0$  of the cell. The so-called relaxation time constant, defined as  $\tau_0 = 1/f_0$  is another merit parameter. For line frequency applications, the phase angle at 120 Hz ( $\phi_{120}$ ) is critical and should be close to the ideal value of  $-90^\circ$ . On the other hand, since the whole purpose of developing high-frequency EC is for a compact size, the single-electrode areal and volume capacitance densities ( $C_A$  and  $C_V$ ) at 120 Hz are critical parameters.

Tailoring the porous structures to simultaneously achieve high frequency response and large capacitance density is the key in developing kHz EC technologies. Figure 2 illustrates the representative materials and their nanostructures that have been investigated for the development of kHz ECs.

### 3. Carbon Based Electrodes

Due to their electrochemical inertness and low cost, different types of carbon materials such as activated carbon and carbon black that offer a large specific surface area ( $>1000 \text{ m}^2 \text{ g}^{-1}$ ), have been commonly used as EC electrodes.<sup>26</sup> But their tortuous micropore structure and low electrical conductivity restrain their frequency response to below 1 Hz.<sup>19</sup> Considering the intrinsic high conductivity of carbon nanotube (CNT) and the well-opened pore structure in CNT membranes, it is not surprising that pioneering works on fast ECs started from CNTs in the 1990s, when huge interests were attracted to this nanomaterial. Using CNT membranes, Niu *et al.*<sup>27</sup> reported in 1997 for the first time an EC with a knee frequency of 100 Hz and a capacitance of  $49 \text{ F g}^{-1}$  at 100 Hz. But the demonstrated cell still had a very low cutoff frequency of only 6 Hz.

Following this unprecedented result, strong interests were attracted to develop CNT-based electrodes for high power density and high-frequency response.<sup>28,29</sup> A significant improvement was reported by Pan's group,<sup>30,31</sup> when thermally treated CNT films in hydrogen were used as electrodes that had a dramatically reduce ESR by eliminating the oxygen-containing species on the CNTs. Such cell exhibited a knee frequency 7.65 kHz and a cutoff frequency  $\sim 500 \text{ Hz}$ . The phase angle at 120 Hz was  $-65^\circ$ , which was still far short for line-frequency applications.

With the excitement on graphene in the 2000s, the breakthrough on kHz range ultrafast ECs that are suitable for line-frequency filtering was finally reported by Miller *et al.* in 2010,<sup>1</sup> who used vertically oriented graphene (VOG) films deposited by plasma-enhanced vapor deposition (PECVD)<sup>32</sup> on a metal substrate as electrodes to achieve very fast response with a cutoff frequency 15 kHz and a relaxation time of 0.067 ms. This pioneering work suggested, for the first time, the promise of developing kHz ECs with a compact size in replacing AECs for current filtering. Since then, huge interests have been attracted into

this new field of developing kHz ECs for electrical circuit applications, different from the efforts on high energy density ECs as energy storage. This burgeoning field has achieved a steady progress. In the following, we review the different carbon based nanostructures suitable for kHz ECs.

### 3.1 Graphene based structures

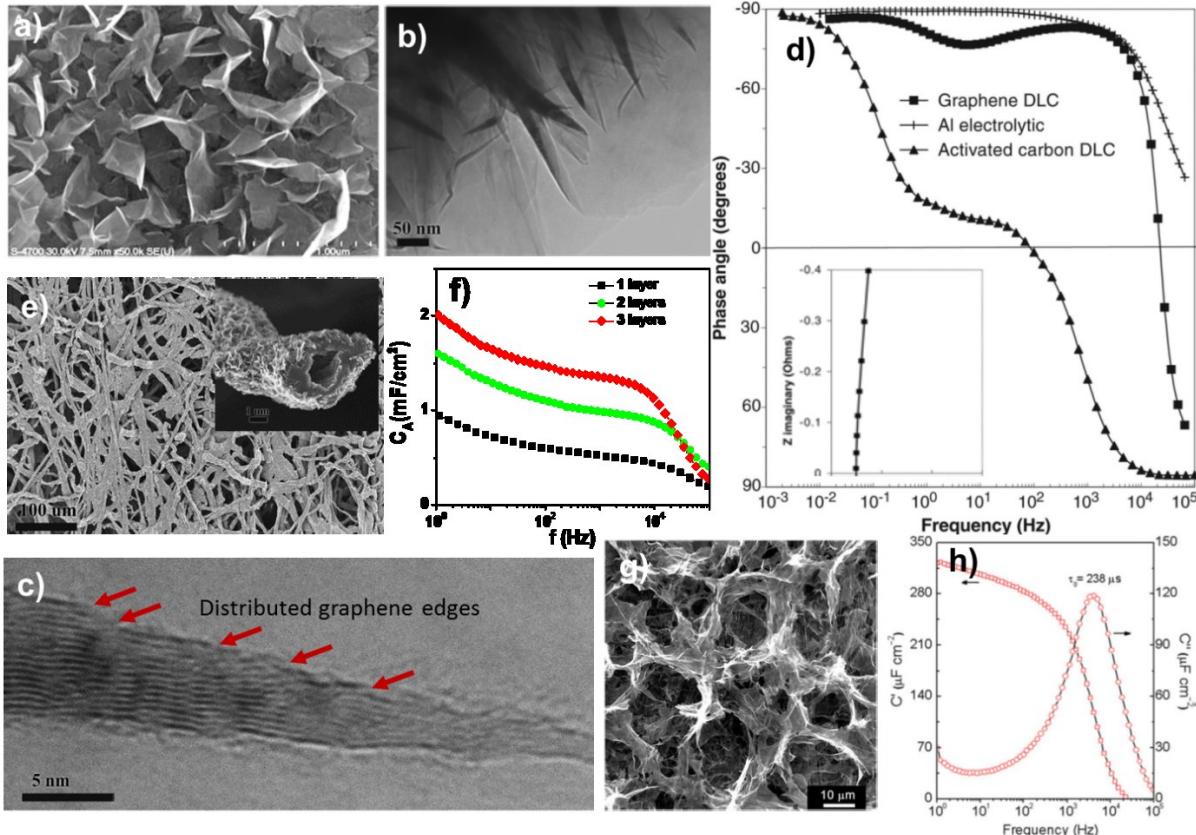


Figure 3. (a) SEM and (b, c) TEM images of VOG flakes. (d) Impedance phase angle versus frequency for the VOG cell, in comparison to an activated carbon EDLC and an AEC. Inset is a complex plane plot for the graphene EDLC. (e) SEM image of EOG/CCP network, and inset is EOG coated single carbon fiber. (f) Electrode performances based on 1, 2, and 3 layers of EOG/CCP sheets. (g) SEM image of oriented rGO aerogel, and (h) plot of the real or imaginary part ( $C'$  or  $C''$ ) of specific capacitance versus frequency for the electrode in (g). Reproduced with permission from Ref. 1 for (a,d), Copyright 2010, and Ref. 8 for (g,h), Copyright 2012, The American Association for the Advancement of Science; Ref. 12 for (b,c,e,f), Copyright 2016, Elsevier.

Vertically oriented graphene or thin-graphite (VOG), or more generally edge oriented graphene (EOG) when considering 3D cases, can be grown on a substrate or inside a 3D foam via a PECVD process using methane or other hydrocarbons as precursors.<sup>33-35</sup> The salient features of VOG or EOG (Figure

3a,b), such as the fully exposed graphene edges, pore structure with straightforward, short, and relatively large pores, its high electronic and electrolyte ionic conductivity, and trivial interface resistances between interconnected VOG flakes and between VOG and the underlying current collector, make it very suitable for kHz ECs. Each VOG flake, consisting of multi-layer graphene, has a tapered profile with easily-accessed, fully-exposed and high-density graphene edges (Figure 3c).<sup>36,37</sup> These edges or steps have an electrochemical reactivity several orders of magnitude higher than its basal plane due to a large amount of defects that produce a high density of defect states near the Fermi level.<sup>38,39</sup> These atomic edges or steps are also the potential adsorption sites of electrolyte ions. Although precise areal capacitance values are not accepted universally due to the measurement technique, edge plane double layer capacitance has been reported to be 50 to 70 mF cm<sup>-2</sup> in contrast to a mere ~3 mF cm<sup>-2</sup> from the basal plane.<sup>40</sup> Irrespective of the exact values, exposed graphene edges of VOG would clearly augment achievable capacitance of kHz electrochemical capacitors.

In the pioneering work of Miller *et al.*,<sup>1,32</sup> 0.6  $\mu$ m VOG was deposited on Ni substrates as electrodes in a PECVD process. The symmetric cells with KOH electrolyte exhibited cutoff frequency of 15 kHz, close to a conventional AEC (Figure 3d). EIS spectra showed a vertical intersection with the real axis due to the straightforward channels between flakes. At 120 Hz, an electrode areal capacitance was about 0.175 mF cm<sup>-2</sup>. It was estimated that VOG stored ~1.5 FV cm<sup>-3</sup> with the aqueous electrolyte (0.5 V) and ~5.5 FV cm<sup>-3</sup> with the organic electrolyte (1.25 V), in contrast to a typical AEC with a value of ~ 0.14 FV cm<sup>-3</sup> only. Assuming the thickness of each electrode as 7  $\mu$ m, and the separator as 10  $\mu$ m, VOG EC has a larger capacitance than AEC for a voltage up to 15 V.<sup>32</sup> Following this work, further studies along this line of research were reported.<sup>41,42</sup> Particularly Cai *et al.*<sup>9</sup> optimized VOG growth on Ni substrate, achieving a cutoff frequency of 20 kHz, 120 Hz phase angle of – 85°, and areal capacitance as large as 0.265 mF cm<sup>-2</sup>.

Recently, VOG has been grown on Al substrate for fast ECs.<sup>43</sup> Defect generation in VOG using Ar plasma was reported, indicating a change in the density of states near the Fermi level with increased defect density, and hence a corresponding increase in electrochemical capacitance capability.<sup>44</sup> Defect generation may also enhance the wettability of VOG in aqueous electrolytes for higher capacitance. It was also reported that graphene intersheet distance plays a critical role on the wettability of VOG and reducing the sheet distance will enhance the wettability. A 3-fold improvement in the accessible surface area of VOGs was achieved by reducing intersheet distance.<sup>45</sup> In a comprehensive experimental and simulation study, edge effects of VOG were reported.<sup>46,47</sup> Compared to the basal planes, the edges present higher initial charge density (by 4.2 times), higher ion packing density (by 2.6 times), closer ion packing

location, and larger ion separation degree. These findings provide useful guidelines in guiding VOG structure design to enhance kHz EC performances.

In all these studies of VOG grown on a 2D flat substrate, a restricted VOG film thickness ( $\sim 1 \mu\text{m}$ ) and a low graphene flake density (for wide pore channels) put a limitation on its area specific capacitance. Filling carbon black nanoparticles into the porous channels between VOG flakes was tested for a larger areal capacitance,<sup>10</sup> but this approach might severely restrict the frequency response. For an enhanced areal capacitance, EOG was deposited in 3D Ni foams with EOG flakes encircling scaffolds with perpendicular orientation,<sup>11</sup> in contrast to laterally oriented growth.<sup>48,49</sup> With folded EOG inside the foam, more EOG flakes can be deposited on the same foot print, and thus an electrode areal capacitance of  $0.72 \text{ mF cm}^{-2}$  at 120 Hz and  $0.32 \text{ mF cm}^{-2}$  at 1 kHz was achieved, with a cutoff frequency of 4 kHz.<sup>11</sup> Ni foam also can be etched off leaving freestanding EOG foam as electrode with much less of mass and thickness after compression.<sup>36</sup> However, the pore size of Ni foam ( $50 - 100 \mu\text{m}$ ) is too larger for the EOG ( $\sim 1 \mu\text{m}$ ). Recently, EOG growth in Al foam with several micrometer pore size was reported where Al foam was produced by etching Al foil in NaOH solution.<sup>50</sup> Although the demonstrated cell has limited rate performance, probably caused by the tiny pore size in Al foam, this study does suggest an approach to tune the metal foam<sup>51</sup> pore structure for better EOG electrode fabrication.

We recently reported  $10 \mu\text{m}$  thick flexible freestanding electrodes, formed by EOG grown radially around individual carbon fibers in carbonized cellulose paper (CCP).<sup>12</sup> Cellulose fiber carbonization (graphitization) and EOG deposition was implemented in one 5-minutes high-temperature plasma processing step. The microscale morphology of EOG/CCP electrodes are shown in Figure 3e. Such free-standing electrodes based on a single EOG/CCP sheet ( $10 \mu\text{m}$  total thickness) had a large electrode areal capacitance of  $0.59 \text{ mF cm}^{-2}$  with a phase angle of  $-83^\circ$  at 120 Hz. Particularly, the hierarchical EOG/CCP sheet structure allows multiple sheets to be stacked together for thick electrodes with almost linearly increased areal capacitance, while maintaining the volumetric capacitance with nearly no degradation, a critical merit for developing practical large capacitance kHz ECs. 3-layers of EOG/CCP electrode ( $30 \mu\text{m}$  thick) achieved an areal capacitance of  $1.5 \text{ mF cm}^{-2}$  and particularly a volumetric capacitance of  $0.5 \text{ F cm}^{-3}$  at 120 Hz, when the whole volume of the electrode (including current electrode) was considered. Furthermore, these EOG/CCP electrodes exhibited highly stable performance. After continuing test for 200,000 cycles, the capacitance had no degradation, instead it was slightly increased. The slight increase of capacitance was caused by the expansion of the interlayer space of multilayer graphene flakes.

Although most demonstrations of VOG based kHz ECs were based on aqueous or organic liquid electrolytes due to their high ionic conductivities, solid-state kHz ECs with ac line-filtering capability were also reported for better stability and longer lifetime, where tetraethylammonium hydroxide

(TEAOH)-polyvinyl alcohol (PVA) based polymer electrolyte was used.<sup>52</sup> For the sandwich-type cell configuration at 120 Hz, the impedance phase angle of  $-81^\circ$ , and capacitance of  $0.086 \text{ mF cm}^{-2}$  was obtained. Also, solid-state planar interdigitated cells with high frequency response was demonstrated. These solid-state cells, even with limited packaging, retained high performance over 18 months of shelf storage and after 100,000 charge/discharge cycles, confirming the high stability of TEAOH-PVA electrolyte and VOG electrode. VOG grown on metallized silicon nanocone array was also reported as electrodes in cells with gel electrolyte, but the frequency response was low.<sup>53</sup>

So far, all the VOG or EOG electrodes for kHz ECs were produced in a PECVD process to achieve intimate connection between graphene flakes and between graphene and the current collector. These electrodes offer superior frequency response, but the PECVD process may limit the achievable electrode size. Other cost-effective methods for producing VOG or EOG structures should be explored, although its morphology and particularly the packing density of graphene sheets might be very different.

A unique method to fabricate vertically oriented graphene based on reduced graphene oxide (rGO) was reported.<sup>54</sup> Using chemically derived graphene oxide (GO) nanosheets as the precursor, highly dense and vertically oriented rGO electrodes were produced via simple hand-rolling, cutting and thermal reduction processes. Such electrodes exhibited large volumetric and areal capacitance and fast electrolyte ion diffusion property. ESR and porous electrode behavior of these electrodes are much diminished when comparing to randomly oriented rGO electrodes, and particularly a cutoff frequency of 810 Hz was obtained. Owing to a large packing density of rGO nanosheet, distributed charge storage caused by porous effect is one major reason limiting its frequency response. It is expected that if the packing density is reduced to enlarge inter-sheet spacing, higher frequency response and larger phase angle might be achieved through the minimization of distributed porous electrode behavior. Minimizing the inter-sheet resistance is also important.

Another approach based on a salt-templating method to fabricate EOG structures was also reported, although it was not for EC study.<sup>55</sup> In this method, ionic liquid carbon precursor was mixed with salt mixture ( $\text{ZnCl}_2$  and  $\text{KCl}$ ) and casted into Ni foam. After thermal treatment in an inert gas and subsequently removal of salts by washing, EOG structures were obtained. After tailoring the sheet density and height, such a structure might also be useful for kHz ECs.

In addition to VOG or EOG structures, porous graphene (or rGO) aerogel was investigated for kHz ECs. Graphene aerogel is characterized with a 3D porous network,<sup>56-59</sup> allowing most of the sheets exposed to the electrolyte. Its largely opened pores can facilitate the unobstructed transportation of electrolyte. Shi's group<sup>8</sup> reported using electrochemical reduction of GO nanosheets on Au foil as kHz EC electrodes. Electrochemical reduction ensures rGO flakes to be quasi-vertically aligned on the metal

foil, forming macro-size and vertically oriented pore structure (Figure 3g). They were further reduced in LiClO<sub>4</sub> aqueous solution to increase the conductivity, and then freeze-dried. The electrode demonstrated a high-frequency response. The impedance phase angle was -84° at 120 Hz, and the cutoff frequency was 4.2 kHz. The Nyquist plot was nearly a vertical line, reflecting its high conductivity and rate capability. An area capacitance of 0.28 mF cm<sup>-2</sup> for the cell and a relaxation time constant of 0.238 ms (Figure 3h) were achieved.

Aiming at high-power ECs but not particularly for kHz high frequency, many studies were conducted using rGO aerogel,<sup>60-62</sup> of which most used aerogel structures with rGO flakes laterally oriented. The consequence is that the laterally orientated rGO sheets might block the rapid transportation of electrolyte in the vertical direction for a sandwich-type cell, resulting in sluggish frequency response. Therefore, vertical alignment of rGO in the electrochemical reduction process is the key to the high frequency response demonstrated in Ref.<sup>8</sup>.

To facilitate ion transportation through the lateral graphene sheets, the strategy of using ultrathin film was explored. The frequency response of an EC is strongly related to the transportation of both the electrolyte ions and electrode electrons across the whole thickness of the electrode material layer. Therefore, the active material thickness is a critical parameter in determining their rate capability. Ultrathin graphene films, with a thickness of less than ~ 100 nm are a strong candidate for kHz ECs, even though they do not have the oriented or much large-size pores.

Shear exfoliation is promising for few-layer graphene manufacturing. Paton *et al.*<sup>63</sup> investigated the method of shear exfoliation of graphite in liquids without pre-intercalation<sup>64</sup> for scalable production of large quantities of defect-free few-layer graphene. They further demonstrated that using the highly conductive graphene thin films with a thickness of 25 nm for ultrafast ECs. The exfoliated graphene nanosheets have sizes in the 300–800 nm range with typical thicknesses of less than 10 monolayers per nanosheet. Ultrathin graphene films with a conductivity as high as 400 S cm<sup>-1</sup> were prepared via vacuum filtration and slightly annealing. In a three-electrode cell, the 25 nm thick graphene film electrode showed excellent frequency response and capacitance density. At 120 Hz, the impedance angle was -79° but with a very small capacitance of 0.035 mF cm<sup>-2</sup> due to the small amount of material used. Wu *et al.* reported ultrathin printable graphene ECs.<sup>65</sup> A conductive ink of electrochemically exfoliated graphene<sup>66</sup> and poly(3,4-ethylenedioxothiophene):poly(styrenesulfonate) (PEDOT:PSS), was spray-coated on 2.5 μm Au/PET substrate, with a thickness of tens of nanometers. These much thin electrode are highly flexible. Polymer gel electrolyte of H<sub>2</sub>SO<sub>4</sub>/PVA was employed, sandwiched by two thin electrodes. For electrodes with active material thickness of 25 nm, 77 nm, and 128 nm, the cutoff frequencies at -45° are 1 kHz, 708 Hz, and 320 Hz, respectively. The phase angle for these electrodes are around - 75° at 120 Hz.

Blocking of the ion transport in the vertical direction by lateral graphene nanosheets restricts the high-frequency response, particularly for thicker electrodes.

Although ultrathin ( $< 100$  nm) graphene films show reasonable frequency response,<sup>65</sup> the small graphene loading results in low areal capacitance density. To have enough material loading (thickness) and to facilitate rapid ion transport in the vertical direction simultaneously, Shi's group reported the strategy of using holy rGO nanosheets that were further doped with nitrogen.<sup>13</sup> Starting from GO/Fe<sub>2</sub>O<sub>3</sub> nanorods/polyvinylpyrrolidone (PVP) hybrid gel, the holy graphene films were prepared via doctor-blade coating, followed by thermal annealing and acid treatment. Fe<sub>2</sub>O<sub>3</sub> nanorods catalyzed the pore etching and the decomposition of PVP led to N doping into rGO. Nitrogen doping enhanced the conductivity and hydrophilicity, while the etched holes in rGO sheets, acting as ion transport channels, ensure the fast response of the electrodes. The electrode exhibited high areal and (active material based) volumetric capacitance of  $0.956 \text{ mF cm}^{-2}$  and  $1.2 \text{ F cm}^{-3}$  at 120 Hz, respectively, with a phase angle of  $-81.2^\circ$ .

### 3.2 CNT based structures

As previously introduced, CNT membrane was the first electrode material studied towards fast ECs. After the pioneering work by Niu *et al.*,<sup>27</sup> considerable progress was made by Pan's group<sup>30</sup> resulting in fast ECs with a cutoff frequency  $\sim 500$  Hz.

Following the design guidelines summarized in Section 2, reducing CNT film thickness becomes necessary in order to further improve the response frequency into kHz range. Several studies were reported using sub- $\mu\text{m}$  thick CNT films as electrodes. Since CNTs are dispersed on a current collector, Au-coated metal was typically used to minimize the contact resistance. In Tang's study,<sup>17</sup> single-wall CNT (SWCNT) mesoporous thin film, with a mass loading of  $19.9 \mu\text{g cm}^{-2}$ , exhibited a cell capacitance of  $0.6 \text{ mF cm}^{-2}$ , a phase angle of  $-81^\circ$  at 120 Hz, and a cutoff frequency of 1.4 kHz. However, the mesoporous structure rendered a low value of the phase angle at frequencies below 10 Hz. Although a thicker film ( $138 \mu\text{g cm}^{-2}$ ,  $\sim 1.6 \mu\text{m}$ ) can increase the capacitance by several times, the frequency response is severely restrained with a phase angle close to  $-50^\circ$  at 120 Hz.

Similarly, in the study from Kim's group,<sup>15</sup> ultrathin SWCNT films with thickness from 53 nm to 298 nm, with the corresponding mass loading of 5 to  $32 \mu\text{g cm}^{-2}$  were investigated, but with tetraethylammonium tetrafluoroborate (TEABF<sub>4</sub>) dissolved in acetonitrile as the electrolyte. Single kHz cell running at 2.5 V was demonstrated for the first time. For the  $32 \mu\text{g cm}^{-2}$  mass loading, a cell capacitance of  $0.28 \text{ mF cm}^{-2}$  ( $25.5 \text{ mF cm}^{-3}$ ) and  $-82^\circ$  phase angle was demonstrated at 120 Hz with a cutoff frequency of  $\sim 2$  kHz. The same group further progressed with a demonstration of 3V solid-state flexible kHz ECs using ionic-liquid-based polymer gel electrolyte (Figure 4a-c).<sup>16</sup> Gel electrolytes were

prepared by mixing the poly(styrene-block-ethylene oxide-blockstyrene) (PS–PEO–PS) triblock copolymer and 1-ethyl-3-methylimidazolium bis-(trifluoromethylsulfonyl)imide in acetonitrile. The cyclic voltammogram (CV) is almost rectangular at high scan rate ( $300 \text{ V s}^{-1}$ ) for a typical cell with up to 3 V potential window. The fabricated cells based on CNT electrode and ion-gel electrolyte exhibited a fast frequency response that depends on the thickness of both CNT film and gel separator. With CNT film from 300 nm ( $\sim 32 \mu\text{g cm}^{-2}$ ) to 50 nm ( $\sim 4 \mu\text{g cm}^{-2}$ ), the 120 Hz phase angle increases from  $-70.6^\circ$  to  $-82.7^\circ$ , but the cell capacitance decreases from 0.233 to 0.043  $\text{mF cm}^{-2}$ . Notably, this study also demonstrated that assembled kHz cells can be used in an AC filtering circuit for the conversion of 60 Hz AC input into DC output. A full-bridge diode rectified the AC input wave of  $\pm 4.3 \text{ V}$  at 60 Hz into pulses of 0–3.2 V at 120 Hz. The rectified pulses were then filtered by the cell to obtain smooth DC voltage ( $\sim 2.9 \text{ V}$ ) without ripple noises. Such result is very encouraging in developing compact kHz ECs for such filtering applications.

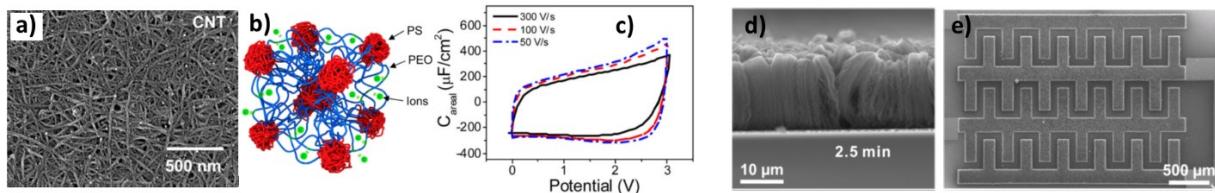


Figure 4. (a) SEM image showing the surface morphology of the CNT ultrathin film. (b) Schematic illustration of the ion gel. (c) The CV curve showing the 3V potential window. (d) SEM image of VACNT grown for 2.5 min, and (e) the interdigitated electrode pattern of VACNT. Reproduced with permission from: Ref.16 for (a-c), Copyright 2016, and Ref. 67 for (d,e), Copyright 2013, American Chemical Society.

Following the same concept of VOG grown on a metal current collector that can offer a high frequency response, vertically aligned CNT (VACNT) grown on a metal foil should be ideal for kHz UECs. VACNTs grown directly on a metal current collector were investigated before the reporting of VOG electrodes. One early study showed a cutoff frequency of 443 Hz.<sup>68</sup> In another study,  $\sim 200 \mu\text{m}$  thick VACNT films were grown on a Si substrate, and then transferred to an aluminum current collector.<sup>69</sup> With TEMABF<sub>4</sub>/PC electrolyte, the assembled cell at 1 kHz exhibited a capacitance of  $\sim 60\%$  of its DC capacitance. The aligned CNTs provide oriented and regular pore structure to facilitate ion transportation. However, the dense packing of CNTs, and particularly the thick film leading to deep narrow pores result in obvious porous electrode behavior with the characteristic transmission-line-like response.<sup>70-72</sup> Also, the

thick films increase the resistance of the electrode material significantly. Therefore, their cutoff frequency was much less than 1 kHz.

The effect of VACNT film thickness was investigated using planar interdigitated electrode configuration. VACNT based micro-ECs with excellent frequency response and capacitance density was reported (Figure 4d,e).<sup>67</sup> VACNTs grown for 1, 2.5, and 5 minutes with thickness of ~ 5, 10, and 15  $\mu\text{m}$  were studied. At 120 Hz, the phase angle was  $-81.5^\circ$  for the 1 min sample and reduced to  $-73.4^\circ$  for the thick CNT grown for 5 min. Their cutoff frequencies were ~1343, 754, and 460 Hz, respectively. A very informative work using VACNTs was reported by Lee's group,<sup>25</sup> who experimentally demonstrated and theoretically modeled the frequency response of VACNT electrodes in a planar configuration. Transmission line model combined with information of pore size distribution was used to simulate frequency response. A systematic study of the dependence of relaxation time constant on pore length and diameter, the CNT density, electrode thickness, and electrolyte conductivity was reported. They also compared the frequency response of VACNTs, and randomly oriented CNT films.

### 3.3 Other carbon based structures

Unlike graphene and CNT, carbon black is considerably cost effective when considering large-scale production. Commercially available SC3-type carbon black has short pores with fast accessibility by electrolyte ions, potentially allowing fast frequency response operation. Kossyrev<sup>73</sup> reported that for ~ 1  $\mu\text{m}$  thick SC3 carbon black coated on the conductive vinyl current collector, a phase angle of  $-75^\circ$  at 120 Hz was demonstrated with a cutoff frequency of 641 Hz. This carbon black based electrode, after further improvement, might provide an economic approach to produce practical kHz ECs.

Different from the ultrathin films, carbon sponges with mass loading of 1.5  $\text{mg cm}^{-2}$  were also investigated.<sup>74,75</sup> The sponge showed a bimodal pore-size distribution, with mesopores and macropores. The assembled coin cells exhibited reasonable high-frequency response with a phase angle of  $-78^\circ$ , a cell capacitance of 0.17  $\text{mF cm}^{-2}$  at 120 Hz, and a cutoff frequency of 4.2 kHz.

A sandwich-type structure, with an ultrathin amorphous carbon film sandwiched from both side by multilayer graphene films, was obtained in a CVD process by decomposing methane on a nickel substrate, thus achieving thickness-controllable freestanding hybrid carbon films.<sup>76</sup> At 120 Hz, the impedance phase angle for the film electrode with a thickness of 1.12  $\mu\text{m}$  was approximately  $-67^\circ$ , with cutoff frequency ~7 kHz. Similarly, 3D foam-like carbon materials were synthesized by CVD deposition into Ni foam, followed by Ni etching off.<sup>77</sup> For the structure grown at 600°C, a phase angle of  $-78^\circ$  and an electrode capacitance of 0.1  $\text{mF cm}^{-2}$  at 120 Hz was found with a cutoff frequency of 17 kHz.

Ordered mesoporous carbons are interesting materials for electrochemical energy applications due to their well-defined pore size and pore regularity.<sup>78,79-81</sup> Kim's group<sup>18</sup> demonstrated that graphitic ordered mesoporous carbon could be used for kHz ECs. CMK-3 particles with widely opened pore structure, and graphitic crystalline domains, are mixed with SWCNTs, and coated into thin films. Tested with TEABF<sub>4</sub> based organic electrolyte, a 120 Hz phase angle of  $\sim -80^\circ$ , and a cell capacitance of 0.56 mF cm<sup>-2</sup> were achieved with a cut off frequency of  $\sim 1$  kHz being found. Since the demonstrated CMK-3/CNT cell has a volumetric capacitance of 50.2 F cm<sup>-3</sup>, it was further estimated that up to  $\sim 41$  V, such electrode based ECs have a more compact size than the commercial AECs.

At the end of this section on carbon materials based electrodes, we mention that in addition to sandwich-type cell studies we emphasized here, there are also many reports on the interdigitated electrode based planar-type cell<sup>82-86</sup> studies with high frequency response.<sup>87-90</sup> We also emphasize that there are considerable efforts in developing carbon based electrode nanostructures, using highly conductive graphene, CNT, and other sp<sup>2</sup> carbon materials<sup>91</sup> to form nanostructures or nanocomposites with considerable large surface area, which offer reasonable high charge-discharge rate and high capacitance density, thus a much larger power density than the conventional ECs.<sup>92-109</sup> Since these electrode structures are not designed particularly targeting at high frequency (>100 Hz) applications, they are not directly adapted for the kHz ECs discussed here used for AC filtering. However, some of these structures<sup>110-120</sup> showed reasonable frequency response (tens of Hz). With suitable modification by trading-off between speed and capacitance, they might also be useful for design of kHz EC electrodes.

#### 4. Other Active Electrode Materials

In contrast to sp<sup>2</sup> carbonaceous electrodes for kHz EC development, which are based on double layer capacitance, several interesting studies were reported using other conductive materials, which are reviewed in this section. Highly conductive diamond foam, deposited on sacrificial SiO<sub>2</sub> particle layer by PECVD, was studied.<sup>121</sup> In aqueous electrolyte and organic electrolyte, the thin-film porous electrode showed relaxation time constant of 3.16 ms and 6.20 ms, respectively, but with small DC capacitance less than 0.1 mF cm<sup>-2</sup>. Highly-doped conductive silicon nanotrees (nanowires with branches), through 3-step CVD deposition, were also investigated.<sup>122</sup> Using organic electrolyte, the device exhibited a relaxation time constant of  $\sim 9$  ms, but again with a small DC capacitance less than 0.1 mF cm<sup>-2</sup>.

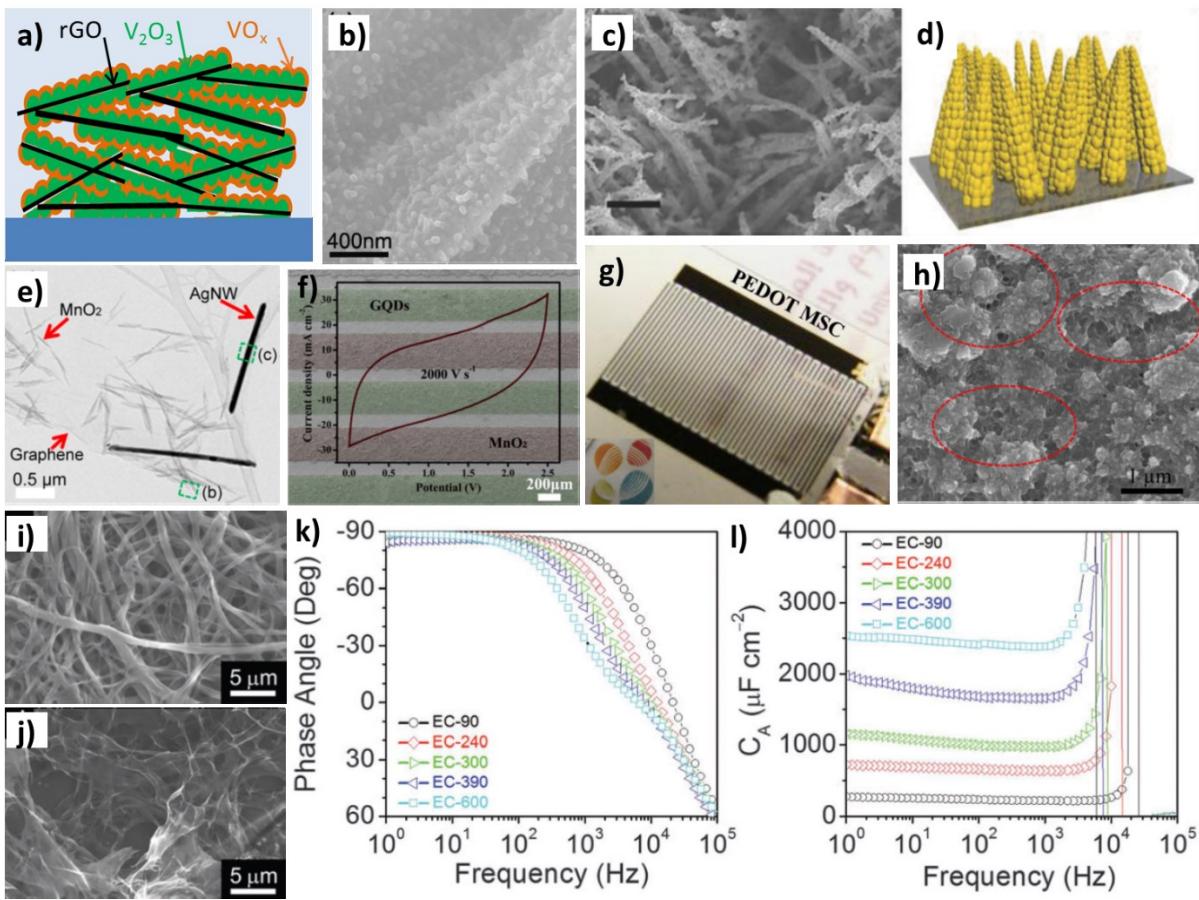


Figure 5. (a) Schematic and (b) SEM image of rGO bridged  $\text{V}_2\text{O}_3/\text{VO}_x$  core-shell composite as an electrode for fast pseudocapacitors. (c) SEM image and (d) schematic of corn-like TiN nanostructures for fast pseudocapacitors. (e) TEM image of rGO/MnO<sub>2</sub>/Ag-NW hybrid film, with need-like MnO<sub>2</sub> and Ag-NW bridged with rGO sheets. (f) CV scan at a rate of  $2000 \text{ V s}^{-1}$  for GQD//MnO<sub>2</sub> asymmetric cell. (g) Optical photograph of PEDOT based micro-EC, and (h) SEM image of porous PEDOT electrodes. (i) SEM image of PEDOT:PSS coated cellulose paper, (j) SEM image of acid-treated porous PEDOT:PSS film, (k) phase angle vs. frequency, and (l) areal capacitance vs. frequency plots for treated PEDOT:PSS electrodes with different thickness. Reproduced with permission from Ref. 123 for (a,b), Copyright 2014, and Ref. 124 for (c,d), Copyright 2015, John Wiley and Sons; Ref. 125 for (e) and Ref. 126 for (f), Copyright 2015, American Chemical Society; Ref. 127 for (g,h), Copyright 2016, American Chemical Society; Ref. 14 for (i-l), Copyright 2015, Royal Society of Chemistry.

More interesting studies are those investigating electrochemically active materials for fast and ultrafast ECs that combine both double layer capacitance, and pseudocapacitance effects. Transition

metal oxides, nitrides, chalcogenides, and conductive polymers are widely investigated to explore their larger pseudocapacitance for high energy-density ECs. Charge transfer based pseudocapacitive effect is intrinsically slow. However, by exploring some particular oxides, nitrides, chalcogenides, or polymers with high conductivity, several studies reported unexpected and exciting results. To compensate for the low conductivity of these materials, highly conductive graphene or similar carbon based scaffolds are often adopted to coat the pseudocapacitive materials.<sup>128-130</sup> However, we cautiously point out that at high rates, particularly working at hundreds of Hz, whether the charge transfer reaction does occur probably should be further investigated.

Transition metal oxides based electrodes typically work at a charge-discharge rate in the range of  $\text{mV s}^{-1}$ . To overcome the low conductivity challenge for rapid pseudocapacitor design, graphene bridged  $\text{V}_2\text{O}_3/\text{VO}_x$  core-shell composite was reported as electrode structure (Figure 5a,b).<sup>123</sup> Metallic  $\text{V}_2\text{O}_3$  nanocores were dispersed on rGO sheets for electrical connection, while a naturally formed amorphous  $\text{VO}_2$  and  $\text{V}_2\text{O}_5$  thin shell around  $\text{V}_2\text{O}_3$  nano-core acts as the active pseudocapacitive material. Thermal treatment in  $\text{H}_2$  significantly improved the performance.<sup>131</sup> With such a nanostructure electrode, ECs with a charge-discharge rate as high as  $50 \text{ V s}^{-1}$  were demonstrated, offering  $1 \text{ MW kg}^{-1}$  power density at an energy density of  $10 \text{ Wh kg}^{-1}$ . The high rate and high power capability were attributed to the largely enhanced conductivity of this unique structure and a possibly facile redox mechanism. EIS measurements confirmed a cutoff frequency of  $114 \text{ Hz}$  at  $-45^\circ$  phase angle.

Metal nitrides are another category materials with high conductivities that can be explored. Metallic titanium nitride (TiN) is a good candidate.<sup>132</sup> Corn-like TiN nanostructures were grown on nanoengineered metal substrates using atomic layer deposition. Thus formed TiN film has a particulate morphology, easily accessible by electrolytes (Figure 5c,d). Using this structure as electrodes, the demonstrated cells showed cutoff frequency of  $\sim 40$ , and  $80 \text{ Hz}$ , depending on the material loading.<sup>124</sup>

$\text{MnO}_2$  has been well studied for pseudocapacitors, but it is highly insulating. rGO/ $\text{MnO}_2/\text{Ag-NW}$  hybrid films were investigated to enhance the conductivity (Figure 5e). With the interdigitated planar electrode configuration, the fabricated cells exhibited a large cutoff frequency between  $1.3$  to  $6.3 \text{ kHz}$ , and  $120 \text{ Hz}$  phase angles between  $-70^\circ$  to  $-80^\circ$  depending on the material loading.<sup>125</sup> Yan's group<sup>88</sup> reported graphene quantum dots (GQDs)// $\text{MnO}_2$  asymmetric microEC in the  $\text{Na}_2\text{SO}_4$  electrolyte that exhibited high rate capability ( $1000 \text{ V s}^{-1}$ ) and high-frequency response (cutoff  $> 10 \text{ kHz}$ ), but the phase angle is less than  $-70^\circ$ , even at very low frequency ( $0.1 \text{ Hz}$ ). The areal capacitance was also small. The same group<sup>126</sup> further reported a similar structure, but using ionic liquid ionogel as solid electrolyte (Figure 5f). It exhibited excellent rate capability with the scan rate up to  $2000 \text{ V s}^{-1}$ , and a cutoff frequency as high as  $4.8 \text{ kHz}$ . However, the phase angle was only  $\sim -70^\circ$  at low frequencies. The frequency response

of electrochemically active  $\text{MnO}_2$  based EC is extraordinary, but the areal capacitance is low ( $\sim 0.1 \text{ mF cm}^{-2}$ ), less than that of EDLCs. Their smaller phase angle, even at low frequency, suggests a larger ESR.

Transition metal dichalcogenides are also a group of pseudocapacitive materials. These are 2D sheet like materials providing large accessible surface area and interestingly, some of these show metallic phases with exceptionally low resistance.<sup>133</sup> Metallic  $\text{VS}_2$ ,<sup>134</sup>  $\text{MoS}_2$ <sup>135</sup> and  $\text{WS}_2$ <sup>136</sup> have been studied as supercapacitor electrodes. Bissett *et al.* demonstrated dichalcogenides based supercapacitors with frequency responses in several kHz range.<sup>137</sup> These 2D nanostructured materials are highly promising in minimizing the typical sluggishness of pseudocapacitive materials, especially if combined with a conductive framework,<sup>129,130</sup> hence hold strong potential for compact high-frequency supercapacitors.

Conducting polymer-based redox micro-EC with good frequency response was also reported (Figure 5g,h).<sup>127,138</sup> Ti/Au metal stack covered by PEDOT electrodes was prepared by electrodeposition with an open porous structure. Such porous PEDOT facilitated ion transport for better rate capability. A phase angle of  $-80.5^\circ$  at 120 Hz with a cell capacitance of  $0.15 \text{ mF cm}^{-2}$ , or a phase angle of  $-60^\circ$  at 120 Hz with a cell capacitance of  $1.3 \text{ mF cm}^{-2}$  were measured, depending on the amount of material used. A cutoff frequency of  $\sim 1 \text{ kHz}$  was confirmed. The large capacitance was attributed to the formation of double layers and pseudocapacitance from fast redox events such as doping/de-doping processes at the surface of conducting PEDOT polymer. The same group further compared PEDOT, polypyrrole (PPY), and polyaniline (PANI) as the active electrode material, with PEDOT giving the best high frequency response.

The most exciting results came from PEDOT:PSS as a precursor to produce the electrode material for kHz ECs with a large capacitance, which offers the opportunity to replace carbonaceous materials as an active electrode material. Highly conductive material from PEDOT:PSS, with a conductivity of  $1400 \text{ S cm}^{-1}$  was reported through sulfuric etching to break the binding between PEDOT and PSS.<sup>139</sup> On this basis, Shi's group<sup>14</sup> reported using sulfuric acid treated PEDOT:PSS as conductive polymer for kHz EC electrodes (Figure 5i-l). PEDOT:PSS films were coated on highly conductive graphite foil with a cellulose paper as a sacrificial template. Sulfuric acid treatment was applied to remove the cellulose fibers and partially etch away the insulating PSS component to obtain the porous and conductive structure. Depending on the film thickness (90 to 600 nm), the impedance phase angle at 120 Hz varied from  $-85.7^\circ$  to  $-78.9^\circ$ , and the relaxation time constant from 0.15 ms to 1.50 ms, while cell area capacitance increased from  $0.23 \text{ mF cm}^{-2}$  to  $2.43 \text{ mF cm}^{-2}$ , more than one order of magnitude. The active-material based volumetric capacitance density increased from  $12.78 \text{ F cm}^{-3}$  to  $20.22 \text{ F cm}^{-3}$ . The performances of these electrodes are superior, and the reported electrode preparation method is simple and scalable.

These high-rate results, from those materials that have been traditionally considered as electrochemically active and hence very slow, are unexpected. The studies along this line may find alternative materials other than carbon, for the ultimate goal of kHz ECs.

Table I. Representative results of kHz ECs, including electrode active material and electrolyte used, the active material thickness ( $t_a$ ), the electrode (including active and inactive materials) thickness ( $t$ ), cutoff frequency  $f_0$ , 120 Hz phase ( $-\Phi_{120}$ ), RC constant ( $\tau_{120}$ ), single-electrode areal capacitance ( $C_{A120}$ ), single-electrode active material volumetric capacitance density ( $C_{V120a}$ ) and active-inactive material volumetric capacitance density ( $C_{V120}$ ), and the maximum voltage window ( $V_{max}$ ). Those in Refs. 67 and 127 are based on a planar microsupercapacitor structure, and others are based on a sandwich structure.

Ref.	Electrode material	Electrolyte	$t_a$ ( $\mu\text{m}$ )	$t$ ( $\mu\text{m}$ )	$f_0$ (kHz)	$-\Phi_{120}$ ( $^\circ$ )	$\tau_{120}$ (ms)	$C_{A120}$ ( $\text{mF cm}^{-2}$ )	$C_{V120a}$ ( $\text{F cm}^{-3}$ )	$C_{V120}$ ( $\text{F cm}^{-3}$ )	$V_{max}$ (V)
1	VOG	KOH	0.6	75.6	15	82	0.20	0.175	2.917	0.023	1
8	ErGO	KOH	20	220	4.2	84	1.35	0.566	0.283	0.026	1
9	VOG	KOH	2	77	6.3	85		0.53	2.65	0.069	1
11	EOG	KOH	–	200	4.03	82	0.248	0.72	–	0.036	1
12	EOG	KOH	–	10	12	83	0.110	0.6	–	0.6	1
			–	30	5.6	83		1.5	–	0.5	1
13	NHG	KOH	2		1.2	81.2	0.203	0.956	4.78		1
14	AT-PEDOT:PSS	$\text{H}_2\text{SO}_4$	0.09	16.09	6.56	85.7	0.099	0.460	51.11	0.286	1
			0.24	16.24	2.885	85.6	0.101	1.322	55.08	0.814	1
			0.39	16.39	1.178	82.2	0.178	3.342	85.69	2.039	1
15	SWCNT	TEABF <sub>4</sub>	0.30	20.34	1.995	82.2	0.501	0.564	18.80	0.277	2.5
17	CNT	$\text{H}_2\text{SO}_4$	0.23		1.425	81	0.199	1.202	52.30		1
18	GOMC/CNT	TEABF <sub>4</sub>	0.68		1.0	80.3		1.118	16.44		2.5
67	G/CNT	$\text{H}_2\text{SO}_4/\text{PVA}$	10		1.343	81.5	0.195	0.46	0.46		1
127	PEDOT	$\text{H}_2\text{SO}_4/\text{PVA}$	0.1		1.0	80.5	0.21	0.30	30		1

In Table I, a few representative results on kHz ECs with cutoff frequency  $f_0 > 1$  kHz and 120 Hz phase angle value  $-\Phi_{120} > 80^\circ$  are listed to show the state-of-the-art. As will be discussed in section 5 on high voltage kHz EC design based on multiple electrodes, the whole electrode capacitance density, including the volume of both active and inactive components in the electrode, is a critical parameter to design compact kHz ECs in competing to AECs. Therefore, we particularly list the single electrode areal and volumetric capacitance densities. In addition to a few freestanding electrode structures,<sup>12</sup> many of the reported electrodes consist of a very thin active layer deposited on a thick substrate, resulting a limited

volumetric capacitance density. However, our recent results (not published yet) and the data in Ref. 14 suggest that a 120 Hz volumetric capacitance density ( $C_{V120}$ ) of a few  $F\text{ cm}^{-3}$  is achievable.

## 5. Higher Voltage kHz EC Design

Electrolytic capacitors have rating voltage from a few volts up to several hundred volts, depending on the dielectric layer thickness. On the other hand, ECs are intrinsically low voltage devices, limited by the electrolyte decomposition potential. Of the three primary classes of electrolyte used, aqueous electrolyte cells have higher frequency performance but with a voltage window up to  $\sim 1$  V; Organic electrolytes, typically based on acetonitrile or cyclic carbonates, have a high voltage window up to  $\sim 3.5$  V but with a slightly lower cutoff frequency due to lower ionic conductivity;<sup>140,141</sup> Ionic liquids have a potential window up to  $\sim 5$  V but with a lower ionic conductivity.<sup>142-144</sup> To obtain a high voltage rating, the kHz EC device structure would adopt a bipolar design through multi-cell connection in series. In term of voltage rating and device size, ionic liquids as electrolyte have a profound advantage, but the large electrolyte resistance prevents their application for room temperature kHz ECs. On the other hand, ionic liquids have high decomposition temperatures (well exceeding 100 °C)<sup>145</sup> and their resistance diminishes at higher temperatures,<sup>10</sup> and therefore, they might be used for high temperature kHz ECs. This is particularly true considering that some AEC filtering capacitors are rated for continuous operation at 125 °C for large current ripple filtering, while aqueous ECs are rated to an upper limit of 70 °C.

The frequency response from most reported electrode materials is tested in a coin cell assembly with a sandwich-type structure, where a porous separator is sandwiched by two electrodes. For high frequency response, most reported electrodes have a thin active material thickness of  $\sim 1\text{ }\mu\text{m}$  or less deposited on a thick current collector, while the separator has a thickness of  $\sim 10\text{--}30\text{ }\mu\text{m}$ . Since the volumetric capacitance is inversely proportional to the square of the number of cells in series, multi-cells based on such electrode structures in sandwich-type configuration would offer no volumetric advantages when comparing to commercially available AECs under the same voltage rating.

Miller *et al.*<sup>10</sup> discussed in detail the device structure design for high voltage operation. It was argued that for thin active material, planar-type cell structure with interdigitated electrodes should be considered. Planar-type cells are commonly fabricated to investigate the so-called “micro-ECs” targeting at on-chip micro-power sources.<sup>146-150</sup> In many of these reports, the prefix of “micro-” was used, which simply referred to a thin-film applied as the electrode active material. Such planar cells, if enough footprint is available, can have a large capacitance.

Three approaches: photolithography, laser ablation, and in-situ synthesis have been widely reported for planar cell fabrication. In the first method, metallic current collector pattern, in an interdigitated format

is first defined through photolithography and metallization. Then the active electrode material is deposited only on the metal pattern via inkjet printing, screen printing or electrophoretic deposition, thus forming the interdigitated electrode.<sup>82,151,152</sup> In the second approach, current collector layer and active material layer are uniformly deposited on an insulating substrate. Then laser ablation is conducted to cut through the active material and current collector, thus forming the micro-scale separation between the two interdigitated electrodes.<sup>153</sup> Technologies based on in-situ synthesis of the active material<sup>154-159</sup> include different methods such as laser irradiation-induced conversion, chemical conversion, or electrolytic deposition during fabrication.

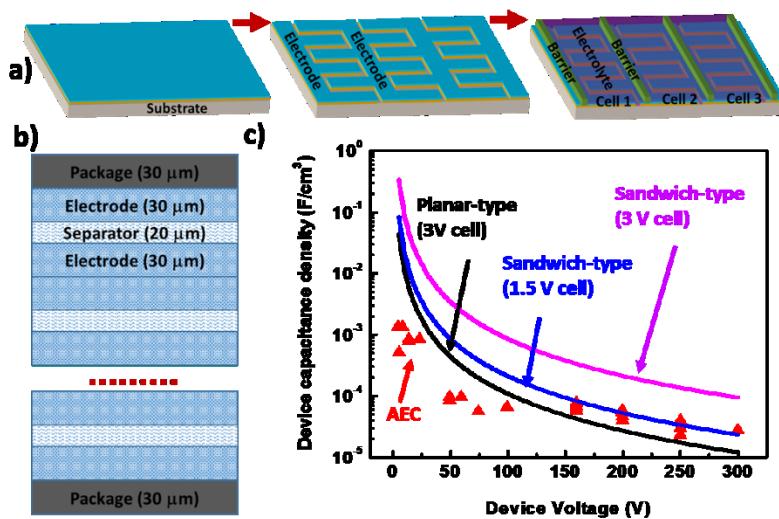


Figure 6. (a) Schematic illustrating major steps to produce integrated planar-type multi-cell in series for high voltage operation. (b) Schematic of sandwich-type multi-cell configuration. (c) Prediction of the capacity density of high-voltage ECs at different operation voltages, in comparison to commercial AECs. Adapted from Ref. 10 for (c), Copyright 2015, The Electrochemical Society.

Two approaches<sup>10</sup> for interconnecting planar cells to create high-voltage kHz ECs were proposed. The first approach involves stacking planar cells followed by interconnecting them on opposite edges in an accordion-like fashion. The second approach (Figure 6a) is to serially integrate multiple cells monolithically on the same substrate, in a fashion widely adopted for the manufacture of thin-film solar cell modules. Here gaps are cut between neighboring cells, and cells are individually covered with an electrolyte, making sure that each electrolyte band does not touch its neighbors through a barrier. The planar design, particularly the monolithic multiple cell integration approach offers significant volumetric advantages when the ultrathin film is used as the electrode material. Assuming each cell with a rating of

$V_0$ , capacitance  $C_0$ , and ESR  $R_0$ , the serial connection of  $N$  cells using either approach yields a multi-cell capacitor with a rating of voltage  $NV_0$ , capacitance  $C_0/N$ , and ESR of  $NR_0$ . Therefore the multi-cell high-voltage capacitor has a frequency response nearly identical to that of a single cell. Since the volume proportionally increases by  $N$  folds, the capacitance density is inversely proportional to the square of rating voltage. Using the planar design in Figure 6a and their preliminary data, Miller *et al.*<sup>10</sup> provided informative predicting on the size advantage of future kHz ECs over AECs by assuming  $V_0 = 3.0$  V,  $C_0 = 2.5$  mF cm<sup>-2</sup> and 50  $\mu$ m total thickness. The predicted volumetric capacitance is  $1.08/V^2$  (F cm<sup>-3</sup>) where V is the voltage rating. This equation is plotted in Figure 6c along with commercial AEC values. kHz ECs, based on the above parameter used, have volumetric advantages over AEC for voltages below  $\sim 100$  V.

In the above planar design, ultrathin active material grown on a much thick current collector was assumed and correspondingly planar multi-cell design is a better approach. This design, however demands considerable footprint, and is not the best design when considering minimization of circuit board. In our work,<sup>12</sup> 30  $\mu$ m thick freestanding electrodes were reported with an electrode capacitance density of 0.5 F cm<sup>-3</sup> for the whole volume of electrode. Our recent work suggests that this value can be further improved by several folds. For such electrodes, the traditional sandwich-type structure is a better choice than the planar design in terms of fabrication cost, capacitance density, and footprint needed. To estimate the sandwich-type multi-cell high-voltage capacitor size, we assume 30  $\mu$ m thick freestanding electrodes with an electrode capacitance density of 5 F cm<sup>-3</sup>, and two operating voltage of 1.5 V and 3 V. We further assume a separator thickness of 20  $\mu$ m, and a package thickness of 30  $\mu$ m, where  $N$ -cells are stacked, and interconnected as schematically shown in Figure 6b. When  $N$  is large, the package thickness becomes negligible and the capacitor has a capacitance density of  $2.1/V^2$  or  $8.5/V^2$  (F cm<sup>-3</sup>), depending on single cell voltage (1.5 V vs. 3 V). These are also plotted in Figure 6c. Volumetric advantages of kHz ECs over AECs are available for the whole voltage range up to 250 V, if a single cell runs at 3V. When an individual cell has a voltage of 1.5 V, then a multi-cell EC has volumetric merit up to a voltage  $\sim 150$  V.

Since one of the main purposes to develop kHz EC is to have a smaller size in replacing bulky AEC, the choice of multi-cell configuration, sandwich-type or planar-type, mainly depends on the obtained volumetric capacitance for a given rating voltage. Based on the above predictions, we conclude that when an active material layer is ultrathin in micrometer scale, the planar-type cell structure should be adopted, while the traditional sandwich-type cell structure is still a better design, if the active material layer has a thickness of tens of micrometers.

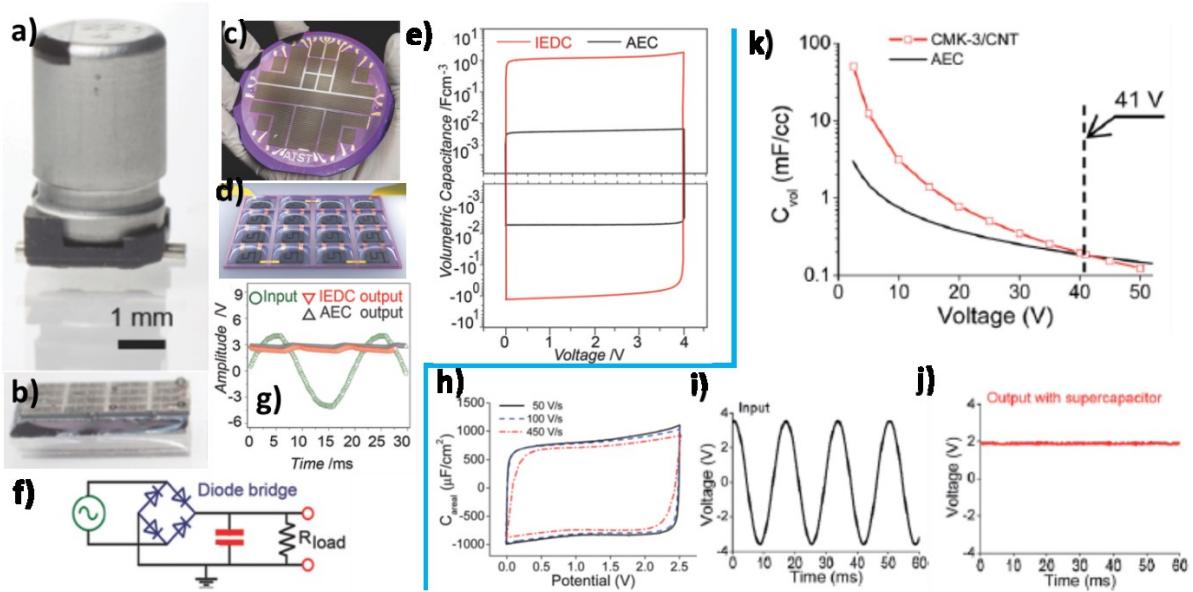


Figure 7. Optical photograph of a commercial AEC as a reference in (a), and the integrated planar EC in (b) with a volume reduction by more than 800 times. (c) Optical photograph of a wafer based integrated planar ECs. (d) Schematic of 16 integrated cells as one device. (e) CV scan indicating a capacitance density more than 800 times larger than the AEC reference. Using the filtering circuit in (f), the DC output from the integrated planar EC is comparable to that from the AEC. For a sandwich-type single cell tested for AC filtering: (h) CV curve of the cell, (i) the input voltage waveform of 60 Hz with  $V_p = \pm 3.56$  V, and (j) the output DC curve. (k) This specific CMK-3/CNT electrode based EC has a smaller size than AEC up to a voltage of 41 V. Reproduced with permission from Ref. 160 for (a-g), Copyright 2015, John Wiley and Sons; Ref. 18 for (h-k), Copyright 2016, Royal Society of Chemistry.

Toward preliminary demonstration using planar-type ECs as filtering capacitors, in substitute of bulky AEC, Laszczyk *et al.* reported their exciting work (Figure 7a-g).<sup>160</sup> A commercial AEC (Panasonic, 4 V, 22  $\mu\text{F}$ ) was used as a reference. Integrated planar cells were fabricated using photolithography with CNT film as an electrode material, and an epoxy (SU8) as an inter-cell barrier. Each individual cell was filled with aqueous electrolyte using a microinkjet. Sixteen cells, arranged as four in series banks of four parallel-connected cells, are integrated with 4 V operation voltage. Similar capacitance, relaxation time constant, and ripple filtering capability as the AEC was demonstrated by such an integrated device when tested in a filtering circuit, but with a much larger (> 800 folds) capacitance density. This demonstration is encouraging, but we cautiously point out the low frequency response of the device in this proof-concept

demonstration. Better electrode material structures, as reviewed in this article should be adopted, particularly for devices with a large capacitance, and for efficient filtering with less heat generation.

Kim's group<sup>18</sup> demonstrated CMK-3/CNTs based sandwich-type single cell for filtering application (Figure 7h-k). With TEABF<sub>4</sub> based organic electrolyte, the cell ran up to 2.5 V with a 120 Hz phase angle of  $\sim -80^\circ$  and a capacitance of 0.56 mF cm<sup>-2</sup>. Since the demonstrated cell has a volumetric capacitance of 50.2 mF cm<sup>-3</sup>, it was further estimated that up to  $\sim 41$  V such electrode based ECs have a more compact size than the commercial AECs.

The results from these preliminary testing for practical filtering applications, based on both planar-type and sandwich-type configurations, are very exciting, encouraging us in further developing compact kHz ECs for filtering and other potential applications.

## 6. Conclusion and Outlook

Since the first demonstration of kHz ECs in 2010 that had the potential to replace electrolytic capacitors, intensive interests have been drawn that result in dramatic progress in this exciting area. The areal and volumetric capacitance density of kHz ECs at 120 Hz have been increased by more than ten folds to 2.4 mF cm<sup>-2</sup>, and 20 F cm<sup>-3</sup>, respectively. In addition to VOG and EOG, several other electrode structures, both carbon based and non-carbon based, have also been proved suitable for kHz ECs. rGO gel, holly rGO film, vertically aligned CNT, ultrathin CNT film, highly conductive, and porous PEDOT:PSS films, among others, are particularly promising. In term of electrolyte and cell voltage, besides the commonly used aqueous electrolytes that facilitate high frequency response but with a low operation voltage, gel-based solid state electrolytes, organic electrolytes, and liquid ion electrolytes were also reported capable of response in the kHz frequency scope, particularly single cells running at 3V have been reported. Furthermore, as proof of concept, using such kHz ECs as filtering capacitor in low voltage AC/DC converters has been successfully demonstrated with filtering capability comparable to bulky electrolytic capacitors. In term of higher voltage kHz EC architectural design, planar-type structure, and sandwich structure have been analyzed. It is believed that with further improvement of capacitance density of nanostructured electrodes, and the single cell voltage up to 100 V or even 200 V, the kHz ECs could offer dramatic volumetric capacitance advantage over electrolytic capacitors. These progresses in the past several years declare the bright future of kHz EC, as a circuit element, for broad applications in power systems and power electronics, in contrast to the traditional applications of slow ECs as energy storage.

However, to realize such a vision into a reality, strong efforts are still required, and we believe the following particular issues should be further addressed.

## 1) 2D vs. 3D electrodes

As the guidelines in Section 2 suggested, to minimize porous effect for high frequency response, thin-film electrode is necessary, as has been confirmed by the different sub- $\mu\text{m}$  thin-film structures reviewed in this paper. As analyzed in Section 5, such an electrode becomes problematic for building higher voltage multi-cells, when footprint is a major design parameter. For a given footprint, to have more active material loading, and simultaneously maintaining the sub- $\mu\text{m}$  thickness, the commonly used 2D planar substrate (current collector) must extend into the 3<sup>rd</sup> dimension with a 3D configuration. The 3D current collector must have large pores at the scale of tens of micrometers, allowing sub- $\mu\text{m}$  films deposited along the pore surface and providing rapid electrolyte transport paths. Promising results have been achieved along this direction,<sup>12</sup> but areal capacitance should be improved by another order of magnitude.

## 2) Quantum capacitance and DLC

The concept of quantum capacitance was introduced in 1988 to explain the impact of a limited density of states in 2-D electron gas, when used as “electrode”, on the achievable capacitance.<sup>161</sup> The quantum capacitance of single and double layered graphene was later measured, and in the plot versus gate potential, it has a symmetric V-shape, and a minimum is located at the Dirac point, agreeing with the theoretical model of graphene.<sup>162</sup> Since massless Dirac fermions were also observed in graphite,<sup>163</sup> which coexist with quasiparticles with finite effective mass, quantum capacitance should also exist in graphite electrode. In the early 1970s, Randin et al.<sup>40,164,165</sup> had reported a similar phenomenon when studying differential capacitance in graphite based electrodes, and was explained at that time by a charge depletion layer in graphite, or a space charge capacitance, which resulted in a reduction of the total capacitance. In the community of ECs, particularly kHz ECs, the quantum capacitance effect has not caught enough attention. From a practical point of view, this might be understood that the so-called electric double layer effect nowadays in fact, include many different other effects, with a value of 5–20  $\mu\text{F}/\text{cm}^2$  generally reported.<sup>26,166</sup> Due to voltage dependent reorientation of solvent molecules in the dipole layer and other factors, any change of the double layer charge  $dQ$  depends on the already existing potential, therefore, differential capacitance has been reported, which can be easily measured using a constant charge/discharge current. This differential capacitance, generally exhibiting a V- or U-shape, was traditionally explained by the separated space charge densities established at the interface: electron deficiency or accumulation at the electrode surface and solvated cations or anions in the solution. With the concept of quantum capacitance, we should re-examine this phenomenon. On the other hand, from the point of view of developing kHz EC electrodes with large capacitance density, how to minimize the

quantum capacitance effect which otherwise reduces the total capacitance, particularly for the VOG and EOG based electrodes, should draw enough attention.<sup>167</sup>

### **3) Electrochemically active material and pseudocapacitance**

Initial studies have suggested that metallic oxides and nitrides might also be applied for fast ECs. Since a cutoff frequency of more than 100 Hz has been reported,<sup>123</sup> it is intriguing to ask the question: can it further be improved into the kHz frequency? On the other hand, conductive polymer based, rGO/MnO<sub>2</sub>/Ag-NW based, and GQDs//MnO<sub>2</sub> asymmetric cells, all have entered into the kHz frequency range, and they were claimed to be pseudocapacitors.<sup>125-127</sup> Whether the redox reactions can occur in such a fast rate, or the observed high-frequency capacitance simply comes from double layer effects needs to be further investigated.

### **4) Electrode materials other than carbon**

The unprecedented results from the acid-treated PEDOT:PSS,<sup>14</sup> particularly the much larger high-frequency capacitance density than those of the variety of carbonaceous materials, suggest materials other than carbon should be another research direction towards the goal of kHz ECs. The success of PEDOT:PSS lies in two aspects: coating on a sacrificial scaffold to introduce macro-scale pore structure, and etching away the insulating PSS component to obtain more porous and higher conductive structure. Other materials with intrinsic high conductivity may also be engineered with porous structure along this line for kHz ECs.

In addition to the studies of electrode materials and nanostructures, we also emphasize that the electrolyte plays critical roles in determining the frequency response, the voltage rating, the temperature rating,<sup>168</sup> and the device volume of the EC. The aqueous electrolyte, with their higher ionic conductivity and smaller solvated ion size, contribute to higher frequency response. However, their lower operation voltage and lower thermal stability put severe limitations on the voltage and ripple current handling capability of the filtering EC. Therefore, enough attentions should also be paid in this aspect for developing practical devices.

Currently, the research efforts in kHz ECs centers on current ripple filtering in AC/DC conversion. It is expected that with the progress in both frequency response and capacitance density, these devices may also be capable of other functions in power electronics traditionally accomplished by the electrolytic capacitors, and find new applications such as integration onto Si IC chips, MEMS chips, and others as high-density on-chip capacitors.

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