

# Maximum Matching in Two, Three, and a Few More Passes Over Graph Streams

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## Abstract

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We consider the maximum matching problem in the semi-streaming model formalized by Feigenbaum, Kannan, McGregor, Suri, and Zhang [13] that is inspired by giant graphs of today. As our main result, we give a two-pass  $(1/2 + 1/16)$ -approximation algorithm for triangle-free graphs and a two-pass  $(1/2 + 1/32)$ -approximation algorithm for general graphs; these improve the approximation ratios of  $1/2 + 1/52$  for bipartite graphs and  $1/2 + 1/140$  for general graphs by Konrad, Magniez, and Mathieu [20]. In three passes, we are able to achieve approximation ratios of  $1/2 + 1/10$  for triangle-free graphs and  $1/2 + 1/19.753$  for general graphs. We also give a multi-pass algorithm where we bound the number of passes *precisely*—we give a  $(2/3 - \varepsilon)$ -approximation algorithm that uses  $2/(3\varepsilon)$  passes for triangle-free graphs and  $4/(3\varepsilon)$  passes for general graphs. Our algorithms are simple and combinatorial, use  $O(n \log n)$  space, and (can be implemented to) have  $O(1)$  update time per edge.

For general graphs, our multi-pass algorithm improves the best known *deterministic* algorithms in terms of the number of passes:

- Ahn and Guha [1] give a  $(2/3 - \varepsilon)$ -approximation algorithm that uses  $O(\log(1/\varepsilon)/\varepsilon^2)$  passes, whereas our  $(2/3 - \varepsilon)$ -approximation algorithm uses  $4/(3\varepsilon)$  passes;
- they also give a  $(1 - \varepsilon)$ -approximation algorithm that uses  $O(\log n \cdot \text{poly}(1/\varepsilon))$  passes, where  $n$  is the number of vertices of the input graph; although our algorithm is  $(2/3 - \varepsilon)$ -approximation, our number of passes do not depend on  $n$ .

Earlier multi-pass algorithms either have a large constant inside big- $O$  notation for the number of passes [9] or the constant cannot be determined due to the involved analysis [22, 1], so our multi-pass algorithm should use much fewer passes for approximation ratios bounded slightly below  $2/3$ .

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## 1 Introduction

Maximum matching is a well-studied problem in a variety of computational models. We consider it in the semi-streaming model formalized by Feigenbaum, Kannan, McGregor, Suri, and Zhang [13] that is inspired by generation of ginormous graphs in recent times. A graph stream is an (adversarial) sequence of the edges of a graph, and a semi-streaming algorithm must access the edges in the given order and use  $O(n \text{polylog } n)$  space only, where  $n$  is the number of vertices; note that a matching can have size  $\Omega(n)$ , so  $\Omega(n \log n)$  space is necessary. The number of times an algorithm goes over a stream of edges is called the number of



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passes. A trivial  $(1/2)$ -approximation algorithm that can be easily implemented as a one-pass semi-streaming algorithm is to output a maximal matching. Since the formalization of the semi-streaming model more than a decade ago, the problem of finding a better than  $(1/2)$ -approximation algorithm or proving that one cannot do better has baffled researchers [21]. In a step towards resolving this, Goel, Kapralov, and Khanna [14] proved that for any  $\varepsilon > 0$ , a one-pass semi-streaming  $(2/3 + \varepsilon)$ -approximation algorithm does not exist; Kapralov [16], building on those techniques, showed non-existence of one-pass semi-streaming  $(1 - 1/e + \varepsilon)$ -approximation algorithms for any  $\varepsilon > 0$ . A natural next question is: Can we do better in, say, two passes or three passes? In answering that, Konrad, Magniez, and Mathieu [20] gave three-pass and two-pass algorithms that output matchings that are better than  $(1/2)$ -approximate. In this work, we give algorithms that improve their approximation ratios for two-pass and three-pass algorithms. We also give a multi-pass algorithm that does better than the best known multi-pass algorithms for at least initial few passes. We are able to bound the number of passes precisely: we give a  $(2/3 - \varepsilon)$ -approximation algorithm that uses  $2/(3\varepsilon)$  passes for triangle-free graphs and  $4/(3\varepsilon)$  passes for general graphs. Earlier works either have a large constant inside the big- $O$  notation for the number of passes [9] or the constant cannot be determined due to the involved analysis [22, 1]. For example, the  $(1 - \varepsilon)$ -approximation algorithm by Eggert et al. [9] potentially uses  $288/\varepsilon^5$  passes, and for the  $(1 - \varepsilon)$ -approximation algorithms by McGregor [22] and Ahn and Guha [1], the constants inside the big- $O$  bound cannot be determined due to the involved analysis. The  $(2/3 - \varepsilon)$ -approximation algorithm by Feigenbaum et al. [13] uses  $O(\log(1/\varepsilon)/\varepsilon)$  passes, which is  $O(\log(1/\varepsilon))$  factor larger than the number of passes we use to get the same approximation ratio. Our algorithms are simple and combinatorial, use  $O(n \log n)$  space, and (can be implemented to) have  $O(1)$  update time per edge. We also give an explicit and tight analysis of the three-pass algorithm by Konrad et al. [20] that is reminiscent of Feigenbaum et al.'s [13] multi-pass algorithm.

### Technical overview:

If we can find a matching  $M$  such that there are no augmenting paths of length 3 in  $M \cup M^*$ , where  $M^*$  is a maximum matching, then  $M$  is  $(2/3)$ -approximate, i.e.,  $(1/2 + 1/6)$ -approximate. This is because, in each connected component of  $M \cup M^*$ , the ratio of  $M$ -edges to  $M^*$ -edges is at least  $2/3$ . This is the basis for the  $(2/3 - \varepsilon)$ -approximation algorithm by Feigenbaum et al. [13] that uses  $O(\log(1/\varepsilon)/\varepsilon)$  passes. The same idea is used by Konrad et al. [20] in the analysis of their two-pass algorithms. In the first pass, they find a maximal matching  $M_0$  and some subset of support edges, say  $S$ . If  $M_0$  is so bad that  $M_0 \cup M^*$  is almost entirely made up of augmenting paths of length 3 (i.e.,  $|M_0| \approx |M^*|/2$ ), then by the end of the second pass, they manage to augment (using length-3 augmentations) a constant fraction of  $M_0$  using  $S$  and a fresh access to the edges, resulting in a better than  $(1/2)$ -approximation. On the other hand, if  $M_0$  is not so bad, then they already have a good matching. One limitation this idea faces is that a fraction of the edges in  $S$  may become useless for an augmentation if both its endpoints get matched in  $M_0$  by the end of the first pass. Our main result is a two-pass algorithm (described in Section 5) that differs in two ways from the former approach. Firstly, in the first pass, we only find a maximal matching  $M_0$  so that in the second pass, where we maintain a set  $S$  of support edges,  $S$  would not contain “useless” edges. Secondly, any augmentation in our algorithm happens immediately when an edge arrives if it forms an augmenting path of length 3 with edges in  $M_0$  and  $S$ .

**Our results:**

In light of the discussion so far, one way to evaluate an algorithm is how much advantage it gains over the  $(1/2)$ -approximate maximal matching found in the first pass. We summarize our two-pass and three-pass results in Table 1 and multi-pass results in Table 2. We stress that we are able to bound the number of passes *precisely*, without big- $O$  notation. For general graphs, our multi-pass algorithm improves the best known *deterministic* algorithms in terms of number of passes—see the third multi-row of Table 2. We note that our multi-pass algorithm is not just a repetition of the second pass of our two-pass algorithm. Such a repetition will give an asymptotically worse number of passes (see, for example, the multi-pass algorithm due to Feigenbaum et al. [13]; the first row of Table 2). We carefully choose the parameters for each pass to get the required number of passes. Also note that Table 1 shows *advantages* over a maximal matching—an algorithm is said to have advantage  $\alpha$  if it is a  $(1/2 + \alpha)$ -approximation algorithm (because a maximal matching is  $(1/2)$ -approximate).

■ **Table 1** Advantages over a maximal matching—advantage  $\alpha$  means  $(1/2 + \alpha)$ -approximation.

Problem	Previous work	Advantage	Advantage in this work
Bipartite two-pass	Esfandiari et al. [11]	1/12	Not considered separately
Bipartite three-pass	Esfandiari et al. [11]	1/9.52	
Triangle-free two-pass	Not considered separately	1/16	(in Section 5)
Triangle-free three-pass		1/10	(in Appendix A)
General two-pass	Konrad et al. [20]	1/140	1/32 (in Section 5)
General three-pass	Not considered separately		1/19.753 (in Appendix B)

■ **Table 2** Multi-pass algorithms—see Section 6.

Graph	Results	Approx	# Passes
Bipartite	Feigenbaum et al. [13]	$2/3 - \varepsilon$	$O(\log(1/\varepsilon)/\varepsilon)$
	Eggert et al. [9]	$1 - \varepsilon$	$288/\varepsilon^5$
	Ahn and Guha [1]	$1 - \varepsilon$	$O(\log \log(1/\varepsilon)/\varepsilon^2)$
Triangle free	This work (in Section 6)	$2/3 - \varepsilon$	$2/(3\varepsilon)$
General	McGregor [22] randomized	$1 - \varepsilon$	$O((1/\varepsilon)^{1/\varepsilon})$
	Ahn and Guha [1]	$2/3 - \varepsilon$	$O(\log(1/\varepsilon)/\varepsilon^2)$
	Ahn and Guha [1]	$1 - \varepsilon$	$O(\log n \cdot \text{poly}(1/\varepsilon))$
	This work (in Section 6)	$2/3 - \varepsilon$	$4/(3\varepsilon)$

**Note of independent work**

The work of Esfandiari et al. [11] who claim better approximation ratios for *bipartite graphs* in two passes and three passes is independent and almost concurrent. Our work differs in several aspects. We consider triangle-free graphs (superset of bipartite graphs) and general graphs, and we additionally consider multi-pass algorithms. Also, their algorithm has a post-processing step that uses time  $O(\sqrt{n} \cdot |E|)$ , whereas our algorithms can be implemented to have  $O(1)$  update time per edge. One further detail about this appears in Appendix D.

## 1.1 Related Work

Karp, Vazirani, and Vazirani [18] gave the celebrated  $(1 - 1/e)$ -competitive randomized online algorithm for bipartite graphs in the vertex arrival setting. Goel et al. [14] gave the first one-pass deterministic algorithm with the same approximation ratio, i.e.,  $1 - 1/e$ , in the semi-streaming model in the vertex arrival setting. For the rest of this section, results involving  $\varepsilon$  hold for any  $\varepsilon > 0$ . As mentioned earlier, Goel, Kapralov, and Khanna [14] proved nonexistence of one-pass  $(2/3 + \varepsilon)$ -approximation semi-streaming algorithms, which was extended to  $(1 - 1/e + \varepsilon)$ -approximation algorithms by Kapralov [16]. On the algorithms side, nothing better than outputting a maximal matching, which is  $(1/2)$ -approximate, is known. Closing this gap is considered an outstanding open problem in the streaming community [21].

On the multi-pass front, in the semi-streaming model, Feigenbaum et al. [13] gave a  $(2/3 - \varepsilon)$ -approximation algorithm for bipartite graphs that uses  $O(\log(1/\varepsilon)/\varepsilon)$  passes; McGregor [22] improved it to give a  $(1 - \varepsilon)$ -approximation algorithm for general graphs that uses  $O((1/\varepsilon)^{1/\varepsilon})$  passes. For bipartite graphs, this was again improved by Eggert et al. [9] who gave a  $(1 - \varepsilon)$ -approximation  $O((1/\varepsilon)^5)$ -pass algorithm. Ahn and Guha [1] gave a linear-programming based  $(1 - \varepsilon)$ -approximation  $O(\log \log(1/\varepsilon)/\varepsilon^2)$ -pass algorithm for bipartite graphs. For general graphs, their  $(1 - \varepsilon)$ -approximation algorithm uses number of passes proportional to  $\log n$ , so it is worse than that of McGregor [22].

For the problem of one-pass weighted matching, there is a line of work starting with Feigenbaum et al. [13] giving a 6-approximation semi-streaming algorithm. Subsequent results improved this approximation ratio: see McGregor [22], Zelke [24], Epstein et al. [10], Crouch and Stubbs [8], Grigorescu et al. [15], and most recently in a breakthrough, giving a  $(2 + \varepsilon)$ -approximation semi-streaming algorithm, Paz and Schwartzman [23]. The multi-pass version of the problem was considered first by McGregor [22], then by Ahn and Guha [1]. Chakrabarti and Kale [5] and Chekuri et al. [6] consider a more general version of the matching problem where a submodular function is defined on the edges of the input graph.

The problem of estimating the *size* of a maximum matching (instead of outputting the actual matching) has also been considered. We mention Kapralov et al. [17], Esfandiari et al. [12], Bury and Schwiegelshohn [4], and Assadi et al. [2].

In the dynamic streams, edges of the input graph can be removed as well. The works of Konrad [19], Assadi et al. [3], and Chitnis et al. [7] consider the maximum matching problem in dynamic streams.

## 1.2 Organization of the Paper

After setting up notation in Section 2, we give a tight analysis of the three-pass algorithm for bipartite graphs by Konrad et al. [20] in Section 3. In Section 4, we see our simple two-pass algorithm for triangle-free graphs. Then in Section 5, we see our main result—the improved two-pass algorithm, and then we see the multi-pass algorithm in Section 6. The results that are not covered in the main sections are covered in the appendix.

## 2 Preliminaries

We work on graph *streams*. The input is a sequence of edges (stream) of a graph  $G = (V, E)$ , where  $V$  is the set of vertices and  $E$  is the set of edges; a bipartite graph is denoted as  $G = (A, B, E)$ . A streaming algorithm may go over the stream a few times (multi-pass) and use space  $O(n \text{ polylog } n)$ , where  $n = |V|$ . In this paper, we give algorithms that make two, three, or a few more passes over the input graph stream. A matching  $M$  is a subset of edges

such that each vertex has at most one edge in  $M$  incident to it. The maximum cardinality matching problem, or maximum matching, for short, is to find a largest matching in the given graph. Our goal is to design streaming algorithms for maximum matching.

For a subset  $F$  of edges and a subset  $U$  of vertices, we denote by  $U(F) \subseteq U$  the set of vertices in  $U$  that have an edge in  $F$  incident on them. Conversely, we denote by  $F(U) \subseteq F$  the set of edges in  $F$  that have an endpoint in  $U$ . For a subset  $F$  of edges and a vertex  $v \in V(F)$ , we denote by  $N_F(v)$  the set of  $v$ 's neighbors in the graph  $(V(F), F)$ , and we define  $\deg_F(v) := |N_F(v)|$ .

In the first pass, our algorithms compute a *maximal* matching which we denote by  $M_0$ . We use  $M^*$  to indicate a matching of maximum cardinality. Assume that  $M_0$  and  $M^*$  are given. For  $i \in \{3, 5, 7, \dots\}$ , a connected component of  $M_0 \cup M^*$  that is a path of length  $i$  is called an  $i$ -augmenting path (nonaugmenting otherwise). We say that an edge in  $M_0$  is 3-augmentable if it belongs to a 3-augmenting path, otherwise we say that it is non-3-augmentable.

► **Lemma 1** (Lemma 1 in [20]). *Let  $\alpha \geq 0$ ,  $M_0$  be a maximal matching in  $G$ , and  $M^*$  be a maximum matching in  $G$  such that  $|M_0| \leq (1/2 + \alpha)|M^*|$ . Then the number of 3-augmentable edges in  $M_0$  is at least  $(1/2 - 3\alpha)|M^*|$ , and the number of non-3-augmentable edges in  $M_0$  is at most  $4\alpha|M^*|$ .*

**Proof.** Let the number of 3-augmentable edges in  $M_0$  be  $k$ . For each 3-augmentable edge in  $M_0$ , there are two edges in  $M^*$  incident on it. Also, each non-3-augmentable edge in  $M_0$  lies in a connected component of  $M_0 \cup M^*$  in which the ratio of the number of  $M^*$ -edges to the number of  $M_0$ -edges is at most  $3/2$ . Hence,

$$\begin{aligned} |M^*| &\leq 2k + \frac{3}{2}(|M_0| - k) && \text{since } \# \text{ non-3-augmentable edges} = |M_0| - k, \\ &\leq 2k + \frac{3}{2} \left( \left( \frac{1}{2} + \alpha \right) |M^*| - k \right) && \text{because } |M_0| \leq (1/2 + \alpha)|M^*|, \\ &= \frac{1}{2}k + \left( \frac{3}{4} + \frac{3}{2}\alpha \right) |M^*|, \end{aligned}$$

which, after simplification, gives  $k \geq (1/2 - 3\alpha)|M^*|$ . And the number of non-3-augmentable edges in  $M_0$  is  $|M_0| - k \leq |M_0| - (1/2 - 3\alpha)|M^*| \leq (1/2 + \alpha - 1/2 + 3\alpha)|M^*| = 4\alpha|M^*|$ . ◀

We make the following simple, yet crucial, observation.

► **Observation 1.** Let  $M_0$  be a maximal matching. Then  $V(M_0)$  is a vertex cover, and there is no edge between any two vertices in  $V \setminus V(M_0)$ . Therefore, even if the input graph is not a bipartite graph, the set of edges incident on  $V \setminus V(M_0)$ , i.e.,  $E(V \setminus V(M_0))$  give rise to a bipartite graph with bipartition  $(V \setminus V(M_0), V(M_0))$ .

For all the algorithms in this paper, it can be verified that their space complexity is  $O(n \log n)$  and update time per edge is  $O(1)$ . We also ignore floors and ceilings for the sake of exposition.

### 3 Analyzing the Three Pass Algorithm for Bipartite Graphs

We analyze the three-pass algorithm for bipartite graphs given by Konrad et al. [20], i.e., Algorithm 1 by considering the distribution of lengths of augmenting paths. We also give a tight example.

**Algorithm 1** Three-pass algorithm for bipartite graphs due to Konrad et al. [20]

- 1: In the first pass, find a maximal matching  $M_0$ .
- 2: In the second pass, find a maximal matching
  - $M_A$  in  $F_2 := \{ab : a \in A(M_0), b \in B \setminus B(M_0)\}$  (see Figure 1).
- 3: In the third pass, find a maximal matching
  - $M_B$  in  $F_3 := \{ab : a \in A \setminus A(M_0) \text{ and } \exists a' \in A(M_A) \text{ such that } a'b \in M_0\}$ .
- 4: Augment  $M_0$  using edges in  $M_A$  and  $M_B$  and return the resulting matching  $M$ .

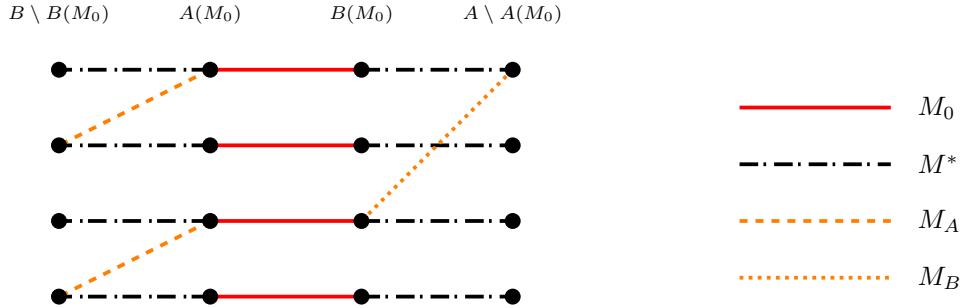


Figure 1 Example: state of variables in an execution of Algorithm 1.

► **Theorem 2.** *Algorithm 1 is a three-pass, semi-streaming,  $(1/2 + 1/10)$ -approximation algorithm for maximum matching in bipartite graphs.*

**Proof.** Without loss of generality, let  $M^*$  be a maximum matching such that all nonaugmenting connected components of  $M_0 \cup M^*$  are single edges. For  $i = \{3, 5, 7, \dots\}$ , let  $k_i$  denote the number of  $i$ -augmenting paths in  $M_0 \cup M^*$ , and let  $k = |M_0 \cap M^*|$ . Then

$$|M_0| = k + \sum_i \frac{i-1}{2} k_i \quad \text{and} \quad |M^*| = k + \sum_i \frac{i+1}{2} k_i. \quad (1)$$

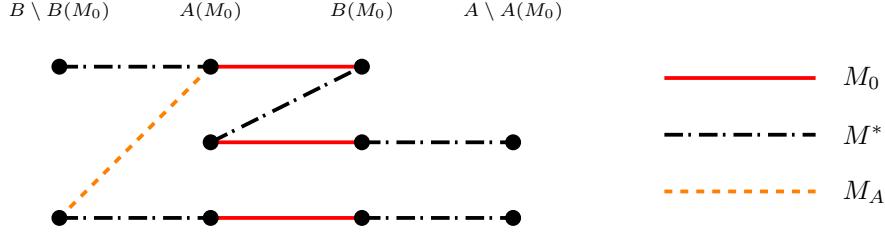
Consider an  $i$ -augmenting path  $b_1 a_1 b_2 a_2 b_3 \dots b_{(i+1)/2} a_{(i+1)/2}$  in  $M_0 \cup M^*$ , where for each  $j$ , we have  $a_j \in A$  and  $b_j \in B$ . We call the vertex  $a_{(i-1)/2}$  a good vertex, because an edge in  $M_A$  incident to  $a_{(i-1)/2}$  can potentially be augmented using the edge  $b_{(i+1)/2} a_{(i+1)/2}$ . To elaborate, consider the set of all edges in  $M_A$  incident on good vertices; call it  $M'_A$ . Consider the set of edges of the type  $b_{(i+1)/2} a_{(i+1)/2}$  from each  $i$ -augmenting path; call it  $M_F$ . Note that  $M_F$  is a matching. Then we can augment  $M_0$  using  $M'_A$  and  $M_F$  by as much as  $|M'_A|$ .

There is a matching of size  $\sum_i k_i$  in  $F_2$  formed by edges of the type  $b_1 a_1$  from each  $i$ -augmenting path. Since  $M_A$  is maximal in  $F_2$ , we have  $|M_A| \geq (\sum_i k_i)/2$ . Now, the number of good vertices is  $\sum_i k_i$ ; therefore, the number of bad (i.e., not good) vertices is  $|M_0| - \sum_i k_i$ . So the number of edges in  $M_A$  incident on good vertices (see Figure 2)

$$|M'_A| \geq \frac{\sum_i k_i}{2} - \left( |M_0| - \sum_i k_i \right) = \frac{3}{2} \sum_i k_i - |M_0|.$$

Let  $B_G := \{b \in B : \exists a \in A(M'_A) \text{ such that } ab \in M_0\}$ . Let  $M'_F \subseteq M_F$  be defined as  $M'_F := \{ba \in M_F : b \in B_G\}$ . Then we know that  $|M'_F| = |M'_A|$  and  $M'_F \subseteq M_F \subseteq F_3$ . Since we select a maximal matching in  $F_3$  in the third pass,

$$|M_B| \geq \frac{|M'_F|}{2} = \frac{|M'_A|}{2} = \frac{3}{4} \sum_i k_i - \frac{|M_0|}{2}. \quad (2)$$



■ **Figure 2** Tight example for Algorithm 1:  $M_A$  has only one edge that lands on a bad vertex and cannot be augmented in the third pass. So  $|M| = |M_0| = 3$  and  $|M^*| = 5$ .

So the output size

$$\begin{aligned}
 |M| &= |M_0| + |M_B| \\
 &\geq |M_0| + \frac{3}{4} \sum_i k_i - \frac{|M_0|}{2} && \text{by (1) and (2),} \\
 &= \frac{|M_0|}{2} + \frac{3}{4} (|M^*| - |M_0|) && \text{by (1), } \sum_i k_i = |M^*| - |M_0|,
 \end{aligned}$$

i.e.,  $|M| \geq 3|M^*|/4 - |M_0|/4$ , but we also have  $|M| \geq |M_0|$ , hence

$$|M| \geq \max \left\{ |M_0|, \frac{3}{4} |M^*| - \frac{1}{4} |M_0| \right\}.$$

So the bound is minimized when  $|M_0| = 3|M^*|/4 - |M_0|/4 = 3|M^*|/5 = (1/2 + 1/10)|M^*|$ .  $\blacktriangleleft$

As we can see in the proof above, the worst case happens when  $|M| = |M_0| = 3|M^*|/5$ . Setting  $k_3 = k_5 \geq 1$ ,  $k = 0$ , and  $k_i = 0$  for  $i > 5$  gives us the tight example shown in Figure 2.

## 4 A Simple Two Pass Algorithm for Triangle Free Graphs

Before seeing our main result, we see a simple two pass algorithm for triangle-free graphs. The function `SEMI()` in Algorithm 2 greedily computes a subset of edges such that each vertex in  $X$  has degree at most one and each vertex in  $Y$  has degree at most  $\lambda$ ; we call such a subset a  $(\lambda, X, Y)$ -semi-matching (Konrad et al. [20] call this a  $\lambda$ -bounded semi-matching). In Algorithm 2, we find a maximal matching  $M_0$  in the first pass, and, in the second pass, we find a  $(\lambda, V(M_0), V \setminus V(M_0))$ -semi-matching  $S$ . After the second pass, we greedily augment edges in  $M_0$  one by one using edges in  $S$ .

► **Theorem 3.** *Algorithm 2 is a two-pass, semi-streaming,  $(1/2 + 1/20)$ -approximation algorithm for maximum matching in triangle-free graphs.*

**Proof.** As in the proof of Theorem 2, let  $M^*$  be a maximum matching such that all nonaugmenting connected components of  $M_0 \cup M^*$  are single edges. For  $i = \{3, 5, 7, \dots\}$ , let  $k_i$  denote the number of  $i$ -augmenting paths in  $M_0 \cup M^*$ , and let  $k$  denote the number of edges in  $M^* \cap M_0$ .

Consider an  $i$ -augmenting path  $x_1 y_1 x_2 y_2 x_3 \dots x_{(i+1)/2} y_{(i+1)/2}$  in  $M_0 \cup M^*$ . We call the vertices  $y_1 \in V(M_0)$  and  $x_{(i+1)/2} \in V(M_0)$  good vertices, because the edges  $x_1 y_1 \in M^*$  and  $x_{(i+1)/2} y_{(i+1)/2} \in M^*$  can potentially be added to  $S$  by our algorithm. Denote by  $V_G$  the

**Algorithm 2** Two-pass algorithm for triangle-free graphs

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1: In the first pass:  $M_0 \leftarrow$  maximal matching
2: In the second pass:  $S \leftarrow \text{SEMI}(\lambda, V(M_0), V \setminus V(M_0))$  (see Figure 3).
3: After the second pass, augment  $M_0$  greedily using edges in  $S$  to get  $M$ ; output  $M$ .
4: function  $\text{SEMI}(\lambda, X, Y)$  ▷ based on Algorithm 7 in Konrad et al. [20]
5:    $S \leftarrow \emptyset$ 
6:   foreach edge  $xy$  such that  $x \in X, y \in Y$  do
7:     if  $\deg_S(x) = 0$  and  $\deg_S(y) \leq \lambda - 1$  then
8:        $S \leftarrow S \cup \{xy\}$ 

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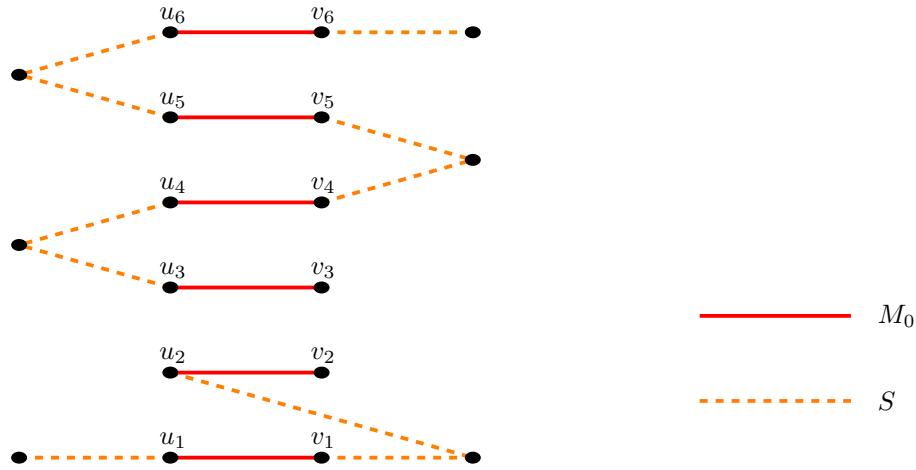


Figure 3 Example showing  $M_0$  and  $S$  at the end of the second pass of Algorithm 2 with  $\lambda = 2$ . When we greedily augment  $M_0$  after the second pass, we may choose to augment  $u_5v_5$  and lose two possible augmentations of edges  $u_4v_4$  and  $u_6v_6$ .

set of good vertices and by  $V_B := V(M_0) \setminus V_G$  the set of bad vertices. Then  $|V_G| = 2 \sum_i k_i$ . Note that  $V_G \cap V_B = \emptyset$  and  $V_G \cup V_B = V(M_0)$  by definition.

Let  $V_{\text{NC}} := V_G \setminus V(S)$  be the set of good vertices *not covered* by  $S$ . An edge  $uv \in M^*$  with  $u \in V \setminus V(M_0)$  and  $v \in V_{\text{NC}}$  was not added to  $S$ , because  $\deg_S(u) = \lambda$ . Hence

$$\lambda |V_{\text{NC}}| \leq |V(M_0)| - |V_{\text{NC}}| \quad \text{i.e.,} \quad |V_{\text{NC}}| \leq \frac{2}{\lambda + 1} |M_0|, \quad (3)$$

because at most  $|V(M_0)| - |V_{\text{NC}}|$  vertices in  $V(M_0)$  are covered by  $S$ . Now,

$$\begin{aligned}
|V(M_0) \setminus V(S)| &= |V_G \setminus V(S)| + |V_B \setminus V(S)| \quad \because V_G \cap V_B = \emptyset \text{ and } V_G \cup V_B = V(M_0), \\
&\leq |V_{\text{NC}}| + |V_B| \quad \because V_{\text{NC}} = V_G \setminus V(S), |V_B \setminus V(S)| \leq |V_B|, \\
&\leq \frac{2}{\lambda + 1} |M_0| + |V(M_0)| - |V_G| \quad \text{by (3) and } \because |V_B| = |V(M_0)| - |V_G|, \\
&= \frac{2}{\lambda + 1} |M_0| + |V(M_0)| - 2 \sum_i k_i \quad \text{because } |V_G| = 2 \sum_i k_i.
\end{aligned}$$

Using  $|V(M_0)| = |V(M_0) \setminus V(S)| + |V(M_0) \cap V(S)|$  and the above, we get

$$\begin{aligned} |V(M_0) \cap V(S)| &\geq |V(M_0)| - \left( \frac{2}{\lambda+1} |M_0| + |V(M_0)| - 2 \sum_i k_i \right) \\ &= 2 \left( \sum_i k_i - \frac{1}{\lambda+1} |M_0| \right). \end{aligned} \quad (4)$$

We observe that at most  $|M_0|$  vertices in  $V(M_0)$  (one endpoint of each edge) can be covered by  $S$  without having both endpoints of an edge in  $M_0$  covered. Hence, at least  $|V(M_0) \cap V(S)| - |M_0|$  edges in  $M_0$  have both their endpoints covered by  $S$ , which, by (4), is at least

$$2 \left( \sum_i k_i - \frac{1}{\lambda+1} |M_0| \right) - |M_0| = 2 \sum_i k_i - \frac{\lambda+3}{\lambda+1} |M_0|. \quad (5)$$

After the second pass, when we greedily augment an edge from the above edges, i.e., edges whose both endpoints are covered by  $S$ , we may potentially lose  $2(\lambda-1)$  other augmentations (see Figure 3). To see this, consider  $uv \in M_0$  such that  $u, v \in V(S)$  and  $au \in S$  and  $vb \in S$ . The graph is triangle free, so we know that  $a \neq b$ , and we can augment  $M_0$  using the 3-augmenting path  $auvb$ ; but we may lose at most  $\lambda-1$  edges incident to  $a$  in  $S$  and at most  $\lambda-1$  edges incident to  $b$  in  $S$ . Therefore the number of augmentations  $c$  we get after the second pass is at least  $1/(2\lambda-1)$  times the right hand side of (5), i.e.,

$$c \geq \frac{2}{2\lambda-1} \sum_i k_i - \frac{\lambda+3}{(2\lambda-1)(\lambda+1)} |M_0|.$$

So the output size  $|M| = |M_0| + c$ , and using the above bound on  $c$  and simplifying we get:

$$|M| \geq \frac{2}{2\lambda-1} \sum_i k_i + \frac{2(\lambda^2-2)}{(2\lambda-1)(\lambda+1)} |M_0|;$$

substituting  $\sum_i k_i = |M^*| - |M_0|$ , by (1), in the above,

$$|M| \geq \frac{2}{2\lambda-1} |M^*| + \frac{2(\lambda^2-\lambda-3)}{(2\lambda-1)(\lambda+1)} |M_0|.$$

Using  $\lambda = 3$  and the fact that  $M_0$  is 2-approximate, we get

$$|M| \geq \frac{2}{5} |M^*| + \frac{3}{10} |M_0| \geq \frac{2}{5} |M^*| + \frac{3}{20} |M^*| = \frac{11}{20} |M^*| = \left( \frac{1}{2} + \frac{1}{20} \right) |M^*|. \quad \blacktriangleleft$$

## 5 Improved Two Pass Algorithm

We present our main result that is a two pass algorithm in this section. In the first pass, we find a maximal matching  $M_0$ . In the second pass, we maintain a set  $S$  of support edges  $xy$ , such that  $x \in V \setminus V(M_0)$ ,  $y \in V(M_0)$ , and  $\deg_S(y) \leq \lambda_M$  and  $\deg_S(x) \leq \lambda_U$ , where  $\lambda_M \geq 1$  and  $\lambda_U \geq 1$  are parameters denoting maximum degree allowed in  $S$  for matched and unmatched vertices (with respect to  $M_0$ ), respectively. Whenever a new edge forms a 3-augmenting path with an edge in  $M_0$  and an edge in  $S$ , we augment. We store the vertices involved in a 3-augmentation in the variable  $I$ . We ignore a new edge if it is incident to a vertex in  $I$ . Unused support edges that are incident to a vertex in  $I$  become “useless”; hence to address this, we store the endpoints of  $M_0$  edges that share an endpoint with such useless edges in the variable  $I_B$ , and we ignore a new edge if it is incident to a vertex in  $I_B$ . Algorithm 3 gives a formal description.

---

**Algorithm 3** Improved two-pass algorithm: input graph  $G$

```

1: In the first pass, find a maximal matching  $M_0$ .
2: if  $G$  is triangle-free then
3:   Return IMPROVE-MATCHING( $M_0, 2, 1$ )
4: else
5:   Return IMPROVE-MATCHING( $M_0, 4, 2$ )
6: function IMPROVE-MATCHING( $M_0, \lambda_U, \lambda_M$ )
7:    $M \leftarrow M_0, S \leftarrow \emptyset, I \leftarrow \emptyset$  and  $I_B \leftarrow \emptyset$ 
8:   foreach edge  $xy$  in the stream do
9:     if  $x$  or  $y \in I \cup I_B$  then
10:       Continue, i.e., ignore  $xy$ .
11:     else if  $x \in V(M_0)$  and  $y \in V(M_0)$  then
12:       Continue, i.e., ignore  $xy$ .
13:     else if there exist  $v$  and  $b$  such that  $yv \in M_0$  and  $vb \in S$  then
14:        $M \leftarrow M \setminus \{yv\} \cup \{xy, vb\}$                                 ▷ a 3-augmentation
15:       Let  $I_x \leftarrow \{u_x, v_x : xu_x \in S \text{ and } u_xv_x \in M_0\}$ .
16:       Let  $I_b \leftarrow \{u_b, v_b : ubvb \in M_0 \text{ and } v_bb \in S\}$ .
17:       Then  $I \leftarrow I \cup \{x, y, v, b\}$  and  $I_B \leftarrow I_B \cup I_x \cup I_b$ .
18:     else
19:       Without loss of generality, assume that  $x \in V \setminus V(M_0)$  and  $y \in V(M_0)$ .
20:       if  $\deg_S(x) < \lambda_U$  and  $\deg_S(y) < \lambda_M$  then                                ▷ See Figure 4.
21:          $S \leftarrow S \cup \{xy\}$  ▷ Note: Once an edge is added to  $S$ , it is never removed
22:         from it.
23:   Return  $M$ .

```

## Setting up a charging scheme to lower bound the number of augmentations

We first lay the groundwork and give a charging scheme.

► **Observation 2.** For general graphs (that are possibly not triangle-free), we need to set  $\lambda_M \geq 2$ .

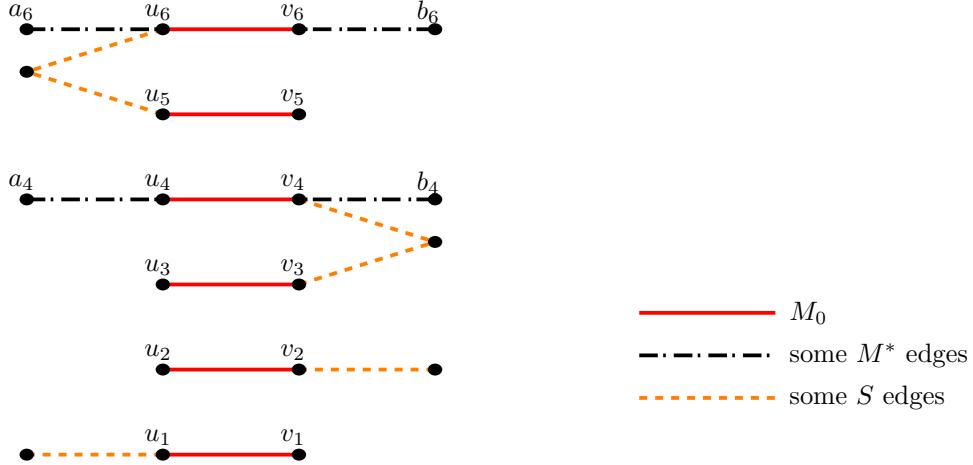
To see why, suppose  $\lambda_M = 1$ . Let  $uv$  be a 3-augmentable edge in  $M_0$ . Then, for the edge  $uv$ , we might end up storing the edges  $ub$  and  $vb$  in  $S$ , and the edge  $uv$  would not get augmented. If  $\lambda_M \geq 2$ , and we store at least  $\lambda_M$  edges incident to  $u$ , then an edge incident to  $v$  will not form a triangle with at least one of those and  $uv$  would get augmented. So, for general graphs, we need to set  $\lambda_M \geq 2$ .

Let  $|M_0| = (1/2 + \alpha)|M^*|$ . For a 3-augmentable edge  $uv \in M_0$ , let  $auvb$  be the 3-augmenting path such that  $au, vb \in M^*$ . Without loss of generality, assume that  $au$  arrived before  $vb$ . Then we make the following observation.

► **Observation 3.** When  $au$  arrived, it may not be added to  $S$  for one of the following reasons:

- The vertex  $a$  was already matched.
- There were  $\lambda_M$  edges incident to  $u$  in  $S$ .
- There were  $\lambda_U$  edges incident to  $a$  in  $S$ .

We call some edges in  $M_0$  *good*, some *partially* good, and some *bad*. An edge is good if it got augmented. An edge  $uv \in M_0$  is bad if it is 3-augmentable, not good, and vertex  $a$  or  $b$  had  $\lambda_U$  edges incident to them in  $S$  when edge  $au$  or  $vb$  arrived. An edge  $uv \in M_0$  is partially good if it is 3-augmentable, but neither good nor bad (“partially” good because, as we will



**Figure 4** Example showing  $M_0$  and some of the edges in  $M^*$  and  $S$  during the second pass of Algorithm 3 for triangle-free graphs with  $\lambda_U = 2$  and  $\lambda_M = 1$ . At most one of  $u_i$  and  $v_i$  can have positive degree in  $S$ , because we would rather augment  $u_i v_i$  instead of adding the latter edge to  $S$ . By our convention,  $a_4 u_4$  arrived before  $v_4 b_4$ , and  $a_6 u_6$  arrived before  $v_6 b_6$ . Since  $a_4 u_4$  was not added to  $S$ , we have  $\deg_S(a_4) = \lambda_U$  ( $S$  edges incident to  $a_4$  are not shown).

see later, we can hold some good edge  $u'v' \in M_0$  responsible for  $uv$  not getting augmented). Note that all 3-augmentable edges get some label according to our labeling. We require the following lemma to describe the charging scheme.

► **Lemma 4.** *Suppose  $au$  was not added to  $S$  because there were already  $\lambda_M$  edges incident to  $u$  in  $S$ . If, later,  $uv$  did not get augmented when  $vb$  arrived, then*

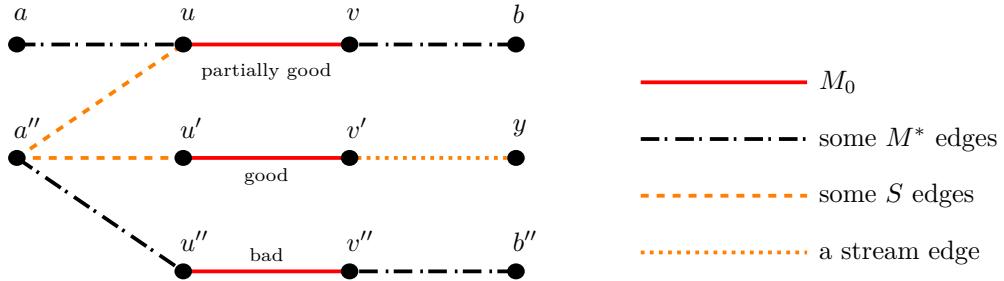
- *$b$  was already matched via augmenting path  $a''u''v''b$ , or*
- *there exists  $a'u \in S$  and  $u'v' \in M_0$  such that  $a'$  was matched via augmenting path  $a'u'v'b'$ .*

**Proof.** When  $au$  arrived,  $|N_S(u)| \geq \lambda_M$ . If  $b$  was unmatched when  $vb$  arrived, then some  $a' \in N_S(u) \setminus \{b\}$  must have been matched, otherwise we would have augmented  $uv$ . Now for triangle-free graphs  $b \notin N_S(u)$ , so  $|N_S(u) \setminus \{b\}| = |N_S(u)| \geq 1$ , and for general graphs, by Observation 2,  $\lambda_M \geq 2$ , so  $|N_S(u) \setminus \{b\}| \geq \lambda_M - 1 \geq 1$ . ◀

### Charging Scheme.

As alluded to earlier, we charge a partially good edge to some good edge. Recall that for a 3-augmentable edge  $uv \in M_0$ , we denote by  $au, vb \in M^*$  the edges that form the 3-augmenting path with  $uv$  such that  $au$  arrived before  $vb$ . We use Observation 3 and consider the following cases. See Figure 5.

- Suppose  $au$  was not added to  $S$  because  $a$  was already matched. Then, let  $u'v' \in M_0$  was augmented using  $au'v'b'$ . If  $\deg_S(a) \leq \lambda_U - 1$ , then we charge  $uv$  to  $u'v'$ . Otherwise,  $uv$  is bad.
- Suppose  $au$  was not added to  $S$  because  $\deg_S(u) = \lambda_M$ . Then we use Lemma 4. We either charge  $uv$  to  $u'v'$ , or if  $\deg_S(b) \leq \lambda_U - 1$ , then we charge  $uv$  to  $u''v''$ . Otherwise,  $uv$  is bad.
- Suppose  $au$  was not added to  $S$  because  $\deg_S(a) = \lambda_U$ , then  $uv$  is bad.
- Otherwise,  $au$  was added to  $S$ , but  $uv$  did not get augmented when  $vb$  arrived. Then:



**Figure 5** Example showing a good edge, a bad edge, and a partially good edge. We use parameters  $\lambda_U = 2$  and  $\lambda_M = 1$ , so we are in the triangle-free case. The edge  $u'v'$  is not 3-augmentable but was augmented using  $a''u'v'y$ , so  $u'v'$  is a good edge. The edge  $u''v''$  is a 3-augmentable edge that was not augmented and when  $a''u''$  arrived,  $\deg_S(a'') = 2$ , so  $u''v''$  is a bad edge. For  $uv$ , we did not take  $au$  in  $S$ , because  $\deg_S(u) = 1$ , so  $uv$  is a partially good edge, and we can charge  $uv$  to  $u'v'$  using Lemma 4.

- Either there exists  $a' \in N_S(u)$  that was matched via augmenting path  $a'u'v'b'$  (note that  $a'$  may be same as  $a$ ), then we charge  $uv$  to  $u'v'$ ;
- or  $b$  was already matched via augmenting path  $a''u''v''b$ , and  $vb$  was ignored; in this case, if  $\deg_S(b) \leq \lambda_U - 1$ , then we charge  $uv$  to  $u''v''$ , otherwise,  $uv$  is bad.

We now bound the number of bad edges in  $M_0$  from above.

► **Lemma 5.** *The number of bad edges is at most  $\lambda_M |M_0| / \lambda_U$ .*

**Proof.** We claim that for any  $uv \in M_0$ ,  $\deg_S(u) + \deg_S(v) \leq \lambda_M$ , hence  $|S| \leq \lambda_M |M_0|$ . A short argument is that the  $(\lambda_M + 1)$ th edge would cause an augmentation and will not be added to  $S$ . Let us assume the claim. By the definition of a bad edge,  $\lambda_U$  edges in  $S$  are “responsible” for one bad edge in  $M_0$ . Also, an edge  $au'$  (or  $v''b$ , resp.) in  $S$  can be responsible for at most one bad edge that can only be  $uv$  if  $au \notin S$  (or if  $vb \notin S$ , resp.; considering the 3-augmenting path  $auvb$ ). Hence, the total number of bad edges is at most  $|S| / \lambda_U \leq \lambda_M |M_0| / \lambda_U$ . Now we prove the claim.

We first prove for triangle-free graphs by contradiction. Let  $\deg_S(u) + \deg_S(v) > \lambda_M$ , and let  $vy \in S$  be the  $(\lambda_M + 1)$ th edge incident to one of  $u$  and  $v$  that was added to  $S$ . Since  $\lambda_M \geq 1$  and  $\deg_S(v) \leq \lambda_M$ , we have  $\deg_S(u) \geq 1$ , i.e.  $N_S(u) \neq \emptyset$ . Now when  $vy$  arrived:

- the vertex  $y$  was unmatched, otherwise  $vy$  would not be added to  $S$ ;
- no vertex  $x \in N_S(u)$  was matched, otherwise  $u, v \in I_B$ , and  $vy$  would not be added to  $S$ .

The above implies that when  $vy$  arrived, due to some  $x \in N_S(u)$  the if condition on Line 14 became true, and we augmented  $uv$  via  $xuvy$  instead of adding  $vy$  to  $S$ . This is a contradiction.

For general graphs, we argue by contradiction slightly informally for the sake of brevity. By Observation 2, for general graphs,  $\lambda_M \geq 2$ . Let  $\deg_S(u) + \deg_S(v) > \lambda_M \geq 2$ . Let  $vy$  be the second edge incident to one of  $u$  and  $v$  that was added to  $S$ ; the first edge can be  $xu$  or  $vy'$ .

Suppose  $xu$  was the first edge. If  $x \neq y$ , then we would have augmented  $uv$  via  $xuvy$  instead of adding  $vy$  to  $S$ —a contradiction. If  $x = y$ , then after  $vy$  was processed,  $N_S(u) = N_S(v) = \{y\}$ , and a third edge incident to one of  $u$  and  $v$  would not be added to  $S$ , because it would have formed a 3-augmenting path with either  $yu$  or  $vy$ , resulting in a contradiction that  $\deg_S(u) + \deg_S(v) = 2$ .

Otherwise, suppose  $vy'$  was the first edge; then  $N_S(v) = \{y, y'\}$  after  $vy$  was processed. Since eventually  $\deg_S(u) + \deg_S(v) \geq \lambda_M + 1 \geq 3$  and  $\deg_S(u), \deg_S(v) \leq \lambda_M$ , we would eventually have  $\deg_S(u) \geq 1$ , so let  $xu \in S$ . When  $xu$  arrived, it would have formed an 3-augmenting path with either  $vy$  or  $vy'$  (here, taking care of the fact that one of  $y$  and  $y'$  can be same as  $x$ ), resulting in a contradiction that  $xu$  was not added to  $S$ .

Thus, we get the claim and complete the proof.  $\blacktriangleleft$

As a consequence, we get the following.

► **Observation 4.** In any call to `IMPROVE-MATCHING()`, we need to set  $\lambda_U > \lambda_M$ , i.e.,  $\lambda_U \geq 2$ . To see why, suppose  $\lambda_U \leq \lambda_M$ . Then by Lemma 5, potentially all 3-augmentable edges in  $M_0$  could become bad edges.

Recall that a 3-augmentable edge is good, partially good, or bad; so by Lemmas 1 and 5,

$$\begin{aligned} \# \text{ good or partially good edges} &\geq \left(\frac{1}{2} - 3\alpha\right) |M^*| - \frac{\lambda_M |M_0|}{\lambda_U} \\ &= \left(\frac{1}{2} - 3\alpha\right) |M^*| - \frac{\lambda_M}{\lambda_U} \left(\frac{1}{2} + \alpha\right) |M^*| \\ &= \left(\frac{\lambda_U - \lambda_M}{2\lambda_U} - \left(\frac{3\lambda_U + \lambda_M}{\lambda_U}\right) \alpha\right) |M^*|. \end{aligned} \quad (6)$$

In the following lemma, we bound the number of partially good edges in  $M_0$  that are charged to one good edge.

► **Lemma 6.** *At most  $2\lambda_U - 1$  partially good edges in  $M_0$  are charged to one good edge in  $M_0$ .*

**Proof.** Suppose  $uv \in M_0$  was augmented by edges  $xu$  and  $vy$  such that  $xu$  arrived before  $vy$ , then  $xu \in S$ . Now  $|N_S(x)|, |N_S(y)| \leq \lambda_U$ . Since  $xu \in S$ , we have  $|N_S(x) \setminus \{u\}| \leq \lambda_U - 1$ . Let  $B := (N_S(x) \setminus \{u\}) \cup N_S(y)$ , then  $|B| \leq 2\lambda_U - 1$ . Now, the set of partially good edges that are charged to  $uv$  is a subset of  $M_0(B)$ . Observing that  $|M_0(B)| \leq |B| \leq 2\lambda_U - 1$  finishes the proof.  $\blacktriangleleft$

The following lemma characterizes the improvement given by `IMPROVE-MATCHING()`.

► **Lemma 7.** *Let  $|M_0| = (1/2 + \alpha)|M^*|$  and  $M = \text{IMPROVE-MATCHING}(M_0, \lambda_U, \lambda_M)$ , then*

$$|M| \geq \left(\frac{1}{2} + \frac{\lambda_U - \lambda_M}{4\lambda_U^2} + \left(1 - \frac{3\lambda_U + \lambda_M}{2\lambda_U^2}\right) \alpha\right) |M^*| \geq \left(\frac{1}{2} + \frac{\lambda_U - \lambda_M}{4\lambda_U^2}\right) |M^*|.$$

**Proof.** By (6) and Lemma 6, the total number of augmentations during one call to `IMPROVE-MATCHING()` is at least

$$\frac{1}{2\lambda_U} \left(\frac{\lambda_U - \lambda_M}{2\lambda_U} - \left(\frac{3\lambda_U + \lambda_M}{\lambda_U}\right) \alpha\right) |M^*| = \left(\frac{\lambda_U - \lambda_M}{4\lambda_U^2} - \left(\frac{3\lambda_U + \lambda_M}{2\lambda_U^2}\right) \alpha\right) |M^*|.$$

Hence, we get the following bound on the size of the output matching  $M$ :

$$\begin{aligned} |M| &\geq |M_0| + \left(\frac{\lambda_U - \lambda_M}{4\lambda_U^2} - \frac{3\lambda_U + \lambda_M}{2\lambda_U^2} \alpha\right) |M^*| \\ &= \left(\frac{1}{2} + \frac{\lambda_U - \lambda_M}{4\lambda_U^2} + \left(1 - \frac{3\lambda_U + \lambda_M}{2\lambda_U^2}\right) \alpha\right) |M^*| \quad \text{because } |M_0| = (1/2 + \alpha)|M^*|, \\ &\geq \left(\frac{1}{2} + \frac{\lambda_U - \lambda_M}{4\lambda_U^2}\right) |M^*| \quad \text{since } \lambda_U \geq 2 \text{ by Observation 4.} \end{aligned} \quad \blacktriangleleft$$

Now we state and prove our main result.

► **Theorem 8.** *Algorithm 3 uses two passes and has an approximation ratio of  $1/2 + 1/16$  for triangle-free graphs and an approximation ratio of  $1/2 + 1/32$  for general graphs for maximum matching.*

**Proof.** After the second pass, the output size  $|M| \geq (1/2 + (\lambda_U - \lambda_M)/(4\lambda_U^2))|M^*|$  due to Lemma 7; we use  $\lambda_U = 2$  and  $\lambda_M = 1$  for triangle-free graphs and  $\lambda_U = 4$  and  $\lambda_M = 2$  (see Observation 2) for general graphs to get the claimed approximation ratios. ◀

## 6 Multi Pass Algorithm

We run the function IMPROVE-MATCHING() in Algorithm 3 with increasing values of  $\lambda_U$ , and the approximation ratio converges to  $1/2 + 1/6$ . We note that this multi-pass algorithm is not just a repetition of the function IMPROVE-MATCHING(). Such a repetition will give an asymptotically worse number of passes (see, for example, the multi-pass algorithm due to Feigenbaum et al. [13]). We carefully choose the parameter  $\lambda_U$  for each pass to get the required number of passes.

---

### Algorithm 4 Multi-pass algorithm: input graph $G$

---

```

1: In the first pass, find a maximal matching  $M_1$ .
2:  $M \leftarrow M_1$ 
3: if  $G$  is triangle-free then
4:   for  $i = 2$  to  $\lceil 2/(3\varepsilon) \rceil$  do
5:      $M \leftarrow \text{Improve-Matching}(M, i, 1)$ 
6: else
7:   for  $i = 2$  to  $\lceil 4/(3\varepsilon) \rceil$  do
8:      $M \leftarrow \text{Improve-Matching}(M, i + 1, 2)$ 
9: Return  $M$ .

```

---

► **Theorem 9.** *For any  $\varepsilon > 0$ , Algorithm 4 is a semi-streaming  $(1/2 + 1/6 - \varepsilon)$ -approximation algorithm for maximum matching that uses  $2/(3\varepsilon)$  passes for triangle-free graphs and  $4/(3\varepsilon)$  passes for general graphs.*

**Proof.** We prove the theorem for triangle-free case; the general case is similar. Let  $M_i$  be the matching computed by Algorithm 4 after  $i$ th pass, and let  $p := \lceil 2/(3\varepsilon) \rceil$ , so  $\varepsilon \leq 2/(3p)$ . Since  $M_1$  is maximal, it is  $(1/2)$ -approximate. Let  $\alpha_1 := 0$ , and for  $i \in \{2, 3, \dots, p\}$ , let

$$\alpha_i := \frac{i-1}{4i^2} + \left(1 - \frac{3i+1}{2i^2}\right) \alpha_{i-1}$$

(see Lemma 7 with  $\lambda_U = i$  and  $\lambda_M = 1$ ). Then, by Lemma 7 and the logic of Algorithm 4, for  $i \in [p]$ , the matching  $M_i$  is  $(1/2 + \alpha_i)$ -approximate (by a trivial induction). Now we bound  $\alpha_p$  by induction. We claim that for  $i \in [p]$ ,

$$\alpha_i \geq \frac{1}{6} - \frac{2}{3i},$$

which we prove by induction on  $i$ .

Base case: For  $i = 1$ , we have  $1/6 - \alpha_1 = 1/6 - 0 = 1/6 \leq 2/(3 \cdot 1)$ .

For inductive step, we want to show that

$$\frac{1}{6} - \alpha_i = \frac{1}{6} - \frac{i-1}{4i^2} - \left(1 - \frac{3i+1}{2i^2}\right) \alpha_{i-1} \leq \frac{2}{3i},$$

which is implied by the following (using inductive hypothesis)

$$\begin{aligned} \frac{1}{6} - \frac{i-1}{4i^2} + \left(1 - \frac{3i+1}{2i^2}\right) \left(\frac{2}{3(i-1)} - \frac{1}{6}\right) &\leq \frac{2}{3i}, \\ \text{implied by } & \frac{1}{6} - \frac{i-1}{4i^2} + \left(\frac{2i^2 - 3i - 1}{2i^2}\right) \left(\frac{4-i+1}{6(i-1)}\right) \leq \frac{2}{3i}, \end{aligned}$$

multiplying both sides by  $12i^2(i-1)$ , we then need to show that,

$$\begin{aligned} 2i^2(i-1) - 3(i-1)^2 + (2i^2 - 3i - 1)(-i + 5) &\leq 8i(i-1), \\ \text{implied by } 2i^3 - 2i^2 - 3(i^2 - 2i + 1) + (-2i^3 + 10i^2 + 3i^2 - 15i + i - 5) &\leq 8i^2 - 8i, \\ \text{implied by } 2i^3 - 5i^2 + 6i - 3 + (-2i^3 + 13i^2 - 14i - 5) &\leq 8i^2 - 8i, \\ \text{implied by } 8i^2 - 8i - 8 &\leq 8i^2 - 8i, \end{aligned}$$

which is true, so we get the claim. Therefore  $\alpha_p \geq 1/6 - 2/(3p) \geq 1/6 - \varepsilon$ , and by our earlier observation,  $M_p$  is  $(1/2 + \alpha_p)$ -approximate, and this finishes the proof for triangle-free case. The proof for general case is very similar. We define  $p := \lceil 4/(3\varepsilon) \rceil$  and  $\alpha_1 := 0$ , and for  $i \in \{2, 3, \dots, p\}$ , we define

$$\alpha_i := \frac{i-1}{4(i+1)^2} + \left(1 - \frac{3(i+1)+2}{2(i+1)^2}\right) \alpha_{i-1},$$

i.e., we use  $\lambda_U = i+1$  and  $\lambda_M = 2$ . The corresponding claim then is that for  $i \in [p]$ ,

$$\alpha_i \geq \frac{1}{6} - \frac{4}{3i},$$

which can be verified by induction on  $i$ . ◀

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## A Three Pass Algorithm for Triangle Free Graphs

For completeness, we present our three-pass algorithm for triangle-free graphs.

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### Algorithm 5 Three-pass algorithm for triangle-free graphs

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- 1: In the first pass, find a maximal matching  $M_0$ .
- 2: In the second pass, find a maximal matching  $M_1$  in  $F_1 := \{uv : u \in V \setminus V(M_0), v \in V(M_0)\}$ .
- 3: After the second pass:
  - $M'_1 \leftarrow$  arbitrary largest subset of  $M_1$  such that there is no 3-augmenting path in  $M'_1 \cup M_0$  with respect to  $M_0$
  - $V_2 \leftarrow \{x \in V(M_0) : \exists v, w \text{ such that } vw \in M'_1 \text{ and } wx \in M_0\}$
  - For  $x \in V_2$ , denote by  $P(x)$  the vertex  $v$  such that there exists  $w$  with  $vw \in M'_1$  and  $wx \in M_0$ . See  $x$  and  $P(x)$  in Figure 6.
- 4: In the third pass:  $F_2 := \{xy : x \in V_2, y \in V \setminus V(M_0)\}$
- 5:  $M_2 \leftarrow \emptyset$
- 6: **for** edge  $xy \in F_2$  **do**
- 7:   **if**  $x$ , and  $y$  are unmarked **then**
- 8:      $M_2 \leftarrow M_2 \cup \{xy\}$ ; since the graph is triangle free,  $y \neq P(x)$ , and we can augment  $M_0$  using  $xy$ .
- 9:     Mark  $P(x)$ ,  $x$ ,  $y$ , and  $P^{-1}(y)$  (if exists).
- 10: Let  $M$  be largest of  $M_3$  and  $M'_3$  which are computed below.
  - Augment  $M_0$  using edges in  $M_1$  to get  $M_3$ .
  - Augment  $M_0$  using edges in  $M'_1$  and  $M_2$  to get  $M'_3$ .
- 11: Output  $M$ .

---

► **Theorem 10.** *Algorithm 5 is a three-pass, semi-streaming,  $(1/2 + 1/10)$ -approximation algorithm for maximum matching in triangle-free graphs, and the analysis is tight.*

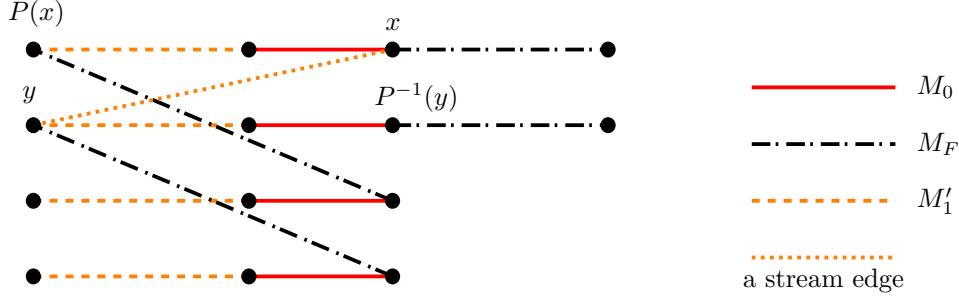
**Proof.** Let  $|M_0| = (1/2 + \alpha)|M^*|$ . The number of edges in  $M^*$  incident on  $V(M^*) \setminus V(M_0)$  is

$$|V(M^*) \setminus V(M_0)| \geq |V(M^*)| - |V(M_0)| = 2|M^*| - 2|M_0| = (1 - 2\alpha)|M^*|; \quad (7)$$

and these edges also belong to  $F_1$ . Since  $M_1$  is a maximal matching in  $F_1$ ,

$$|M_1| \geq (1 - 2\alpha)|M^*|/2 = (1/2 - \alpha)|M^*|. \quad (8)$$

Let  $c$  be the number of 3-augmenting paths in  $M_1 \cup M_0$ , so  $|M'_1| = |M_1| - c$  by the definition of  $M'_1$ . By Lemma 1, there are at most  $4\alpha|M^*|$  non-3-augmentable edges in  $M_0$ . So at least  $|M_1| - c - 4\alpha|M^*|$  edges of  $M'_1$  are incident on 3-augmentable edges of  $M_0$ . Therefore there is a matching of size at least  $|M_1| - c - 4\alpha|M^*|$  in  $F_2$ ; consider one such matching  $M_F$ . We claim that  $|M_2| \geq |M_F|/4$ . See Figure 6. Let  $xy \in M_2$ ; we note that  $xy$  disallows at most four edges in  $M_F$  from being added to  $M_2$  due to the (at most) four marks that it adds, because a marked vertex can disallow at most one edge in  $M_F$  (due to it being a matching), which shows the claim. Hence:



■ **Figure 6** An edge  $xy \in M_2$  disallows at most four edges in  $M_F$  from being added to  $M_2$ .

$$\begin{aligned}
 |M_2| &\geq \frac{|M_F|}{4} \\
 &\geq \frac{|M_1| - c - 4\alpha|M^*|}{4} \\
 &\geq \frac{1}{4} \left( \left( \frac{1}{2} - \alpha \right) |M^*| - c - 4\alpha|M^*| \right) \quad \text{by (8),} \\
 &= \frac{1}{4} \left( \left( \frac{1}{2} - 5\alpha \right) |M^*| - c \right).
 \end{aligned}$$

Now, each edge in  $M_2$  gives one augmentation after the second pass. To see this, we observe that for any  $x \in V_2$ , at any point in the algorithm,  $x$  and  $P(x)$  are either both marked or both unmarked. So when an edge  $xy \in M_2$  arrives,  $x$  and  $y$  are unmarked, and  $P(x)$  and  $P^{-1}(y)$  (if it exists) are also unmarked, otherwise one of  $x$  and  $y$  would have been marked and  $xy$  would not have been added to  $M_2$ . Since both  $P(x)$  and  $P^{-1}(y)$  were unmarked, we can use the augmenting path  $\{M'_1(\{P(x)\}), M_0(\{x\}), xy\}$ . Hence we get at least

$$\max \left\{ c, \frac{1}{4} \left( \left( \frac{1}{2} - 5\alpha \right) |M^*| - c \right) \right\}$$

augmentations after the third pass. This is minimized by setting

$$\begin{aligned}
 c &= \frac{1}{4} \left( \left( \frac{1}{2} - 5\alpha \right) |M^*| - c \right) \\
 &= \frac{1}{5} \left( \left( \frac{1}{2} - 5\alpha \right) |M^*| \right) \\
 &= \left( \frac{1}{10} - \alpha \right) |M^*|.
 \end{aligned}$$

So we get the following bound:

$$|M| \geq |M_0| + \left( \frac{1}{10} - \alpha \right) |M^*| \geq \left( \frac{1}{2} + \alpha \right) |M^*| + \left( \frac{1}{10} - \alpha \right) |M^*| = \left( \frac{1}{2} + \frac{1}{10} \right) |M^*|. \blacktriangleleft$$

The tight example is shown in Figure 7.

## B Three Pass Algorithm for General Graphs

We find a maximal matching  $M_1$  in the first pass. Then we use `IMPROVE-MATCHING()` function from Algorithm 3, i.e.,

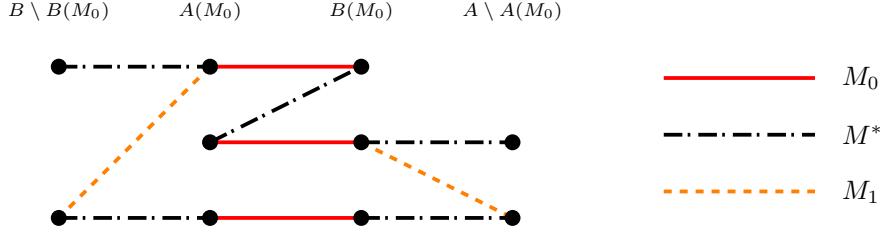


Figure 7 Tight example for Algorithm 5:  $M_1$  has only two edges that land on bad vertices and cannot be augmented in the third pass. So  $|M| = |M_0| = 3$  and  $|M^*| = 5$ .

- in the second pass,  $M_2 \leftarrow \text{IMPROVE-MATCHING}(M_1, 4, 2)$ , and
- in the third pass,  $M_3 \leftarrow \text{IMPROVE-MATCHING}(M_2, 5, 2)$ .

We observe that  $M_1$  is  $(1/2)$ -approximate. Then by double application of Lemma 7, we get that  $M_3$  is  $(1/2 + 81/1600) \approx (1/2 + 1/19.753)$ -approximate.

## C Three Pass Algorithm for Bipartite Graphs: Suboptimal Analysis

We now give an analysis of Algorithm 1 that shows approximation ratio of only  $1/2 + 1/18$  that is based on Konrad et al.'s [20] analysis for their two-pass algorithm for bipartite graphs. Afterward, we demonstrate that by not considering the distribution of lengths of augmenting paths, we may prove an approximation ratio of at most  $1/2 + 1/14$ . The better and tight analysis appears in Section 3.

► **Theorem 11.** *Algorithm 1 is a three-pass, semi-streaming,  $(1/2 + 1/18)$ -approximation algorithm for maximum matching in bipartite graphs.*

**Proof.** As usual, let  $|M_0| = (1/2 + \alpha)|M^*|$ . Since  $M_0$  is a maximal matching, there are  $|B(M^*) \setminus B(M_0)|$  edges of  $M^*$  that are also in  $F_2$ . We have

$$|B(M^*) \setminus B(M_0)| \geq |B(M^*)| - |B(M_0)| = |M^*| - |M_0|,$$

and since  $M_A$  is maximal, we then get the following:

$$|M_A| \geq \frac{1}{2} |B(M^*) \setminus B(M_0)| \geq \frac{1}{2} (|M^*| - |M_0|) = \frac{1}{2} \left(1 - \left(\frac{1}{2} + \alpha\right)\right) |M^*| = \frac{1}{2} \left(\frac{1}{2} - \alpha\right) |M^*|. \quad (9)$$

By Lemma 1, there are at most  $4\alpha|M^*|$  non-3-augmentable edges in  $M_0$ . Which means that at least  $|M_A| - 4\alpha|M^*|$  edges of  $M_A$  are incident on 3-augmentable edges of  $M_0$ ; therefore there is a matching of size at least  $|M_A| - 4\alpha|M^*|$  in  $F_3$ . Since we output a maximal matching in  $F_3$ , we get at least  $(1/2)(|M_A| - 4\alpha|M^*|)$  augmentations after the third pass. So we get

the following bound:

$$\begin{aligned}
|M| &\geq |M_0| + \frac{1}{2}(|M_A| - 4\alpha|M^*|) \\
&\geq |M_0| + \frac{1}{2} \left( \frac{1}{2} \left( \frac{1}{2} - \alpha \right) - 4\alpha \right) |M^*| \quad \text{by (9),} \\
&= |M_0| + \left( \frac{1}{8} - \frac{9}{4}\alpha \right) |M^*| \\
&= \left( \frac{1}{2} + \alpha \right) |M^*| + \left( \frac{1}{8} - \frac{9}{4}\alpha \right) |M^*| \quad \text{because } |M_0| = (1/2 + \alpha)|M^*|, \\
&= \left( \frac{1}{2} + \frac{1}{8} - \frac{5}{4}\alpha \right) |M^*|.
\end{aligned}$$

We also have  $|M| \geq |M_0| = (1/2 + \alpha)|M^*|$ . As  $\alpha$  increases, the former bound deteriorates and the latter improves, so the worst case  $\alpha$  is when these two bounds are equal, which happens at  $\alpha = 1/18$ , and the approximation ratio we get is  $1/2 + 1/18$ .  $\blacktriangleleft$

### C.1 Improved Analysis Without Considering Longer Augmenting Paths

We can analyze Algorithm 1 better if we bound  $|M_A|$  more carefully. The claim is that at least  $(1/2 - 7\alpha)|M^*|/2$  edges of  $M_A$  are incident on 3-augmentable edges of  $M_0$ . Let  $A_G \subseteq A(M_0)$  be the set of vertices in  $A$  that are endpoints of 3-augmentable edges of  $M_0$ ; also, let  $A_N = A(M_0) \setminus A_G$ . So there is a matching of size at least  $|A_G|$  in  $F_2$  that covers  $A_G$ . Any maximal matching in  $F_2$  has at least  $(|A_G| - |A_N|)/2$  edges that are incident on  $A_G$ . To see the claim, we use the facts  $|A_G| \geq (1/2 - 3\alpha)|M^*|$  and  $|A_N| \leq 4\alpha|M^*|$ . So there is a matching of size at least  $(1/2 - 7\alpha)|M^*|/2$  in  $F_3$ . We output a maximal matching in  $F_3$ ; hence we get at least  $(1/2 - 7\alpha)|M^*|/4$  augmentations after the third pass. So we get the following bound:

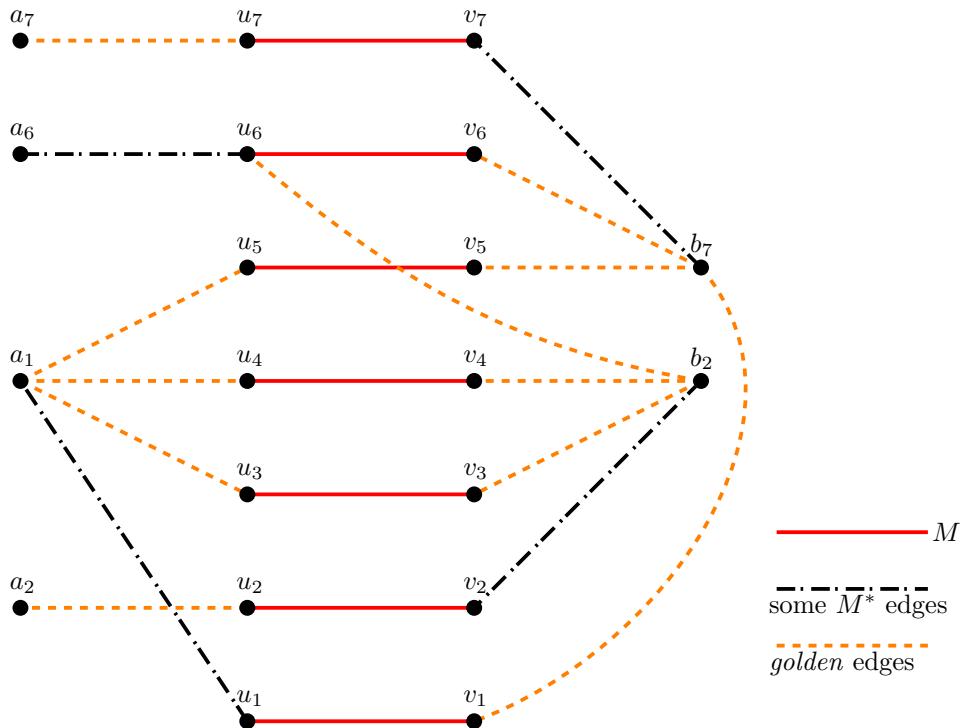
$$\begin{aligned}
|M| &\geq |M_0| + \frac{1}{4} \left( \frac{1}{2} - 7\alpha \right) |M^*| \\
&= \left( \frac{1}{2} + \alpha \right) |M^*| + \frac{1}{4} \left( \frac{1}{2} - 7\alpha \right) |M^*| \\
&= \left( \frac{1}{2} + \frac{1}{8} - \frac{3}{4}\alpha \right) |M^*|.
\end{aligned}$$

where the second inequality is by (9). We also have  $|M| \geq |M_0| = (1/2 + \alpha)|M^*|$ , so the worst case  $\alpha$  is when these two bounds are equal, which happens at  $\alpha = 1/14$  and the approximation ratio we get is  $1/2 + 1/14$ , and we get the following theorem.

► **Theorem 12.** *Algorithm 1 is a three-pass, semi-streaming,  $(1/2 + 1/14)$ -approximation algorithm for maximum matching in bipartite graphs.*

### D A Note on the Analysis by Esfandiari et al.

We demonstrate with an example that the analysis of the algorithm by Esfandiari et al. [11] given for bipartite graphs cannot be extended for triangle-free graphs to get the same approximation ratio. See Figure 8. Lemma 6 in their paper, as they correctly claim, holds only for bipartite graphs and not for triangle-free graphs. Our algorithm in Section 4 is essentially the same algorithm except for the post-processing step; we augment the maximal matching computed in the first pass greedily, whereas they use an offline maximum matching algorithm. We have highlighted some other comparison points in Section 1.



**Figure 8** Example demonstrating that Lemma 6 in Esfandiari et al. [11] does not hold when the input graph is not bipartite but is triangle-free. We use  $k = 3$ . For an  $M$  edge  $u_i v_i$ , there are two  $M^*$  edges incident on it, which are  $a_i u_i$  and  $v_i b_i$ , and some of the  $M^*$  edges are not shown, but all golden edges are shown, which we call support edges or denote by  $S$  in our terminology. It can be seen from this example that their algorithm is not a  $(1/2 + 1/12)$ -approximation algorithm for triangle free graphs, because out of the seven 3-augmentable edges in  $M$ , only one will get augmented, thereby giving a worse approximation ratio.