

Running Title: Arctic shrubs and litter decomposition

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3 Shrub encroachment in Arctic tundra: *Betula nana* effects on above- and below-ground 4 litter decomposition

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8 *Corresponding author

⁹ ¹ Department of Biological Sciences, University of Texas at El Paso, El Paso, TX, 79968, USA

10 Email: jennie.mclaren@gmail.com

¹¹ ² Lancaster Environment Centre, Lancaster University LA1 4YW, UK

¹² ³The Ecosystems Center, Marine Biological Laboratory, Woods Hole, MA, 02543, USA

13 ⁴Department of Ecology, Evolution and Marine Biology, University of California Santa Barbara,
14 Santa Barbara, CA, 93106, USA

15 ⁵Department of Biological Sciences, Towson University, Towson MD, 21252, USA

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24 **Abstract**

25 Rapid arctic vegetation change as a result of global warming includes an increase in the cover
26 and biomass of deciduous shrubs. Increases in shrub abundance will result in a proportional
27 increase of shrub litter in the litter community, potentially affecting carbon turnover rates in
28 arctic ecosystems. We investigated the effects of leaf and root litter of a deciduous shrub, *Betula*
29 *nana*, on decomposition, by examining species-specific decomposition patterns, as well as
30 effects of *Betula* litter on the decomposition of other species. We conducted a two-year
31 decomposition experiment in moist acidic tundra in northern Alaska, where we decomposed
32 three tundra species (*Vaccinium vitis-idaea*, *Rhododendron palustre*, and *Eriophorum*
33 *vaginatum*) alone and in combination with *Betula* litter. Decomposition patterns for leaf and root
34 litter were determined using three different measures of decomposition (mass loss, respiration,
35 extracellular enzyme activity). We report faster decomposition of *Betula* leaf litter compared to
36 other species, with support for species differences coming from all three measures of
37 decomposition. Mixing effects were less consistent among the measures, with negative mixing
38 effects shown only for mass loss. In contrast, there were few species differences or mixing
39 effects for root decomposition. Overall, we attribute longer-term litter mass loss patterns in to
40 patterns created by early decomposition processes in the first winter. We note numerous
41 differences for species patterns between leaf and root decomposition, indicating that conclusions
42 from leaf litter experiments should not be extrapolated to below-ground decomposition. The high
43 decomposition rates of *Betula* leaf litter aboveground, and relatively similar decomposition rates
44 of multiple species below, suggest a potential for increases in turnover in the fast-decomposing
45 carbon pool of leaves and fine roots as the dominance of deciduous shrubs in the Arctic

46 increases, but this outcome may be tempered by negative litter mixing effects during the early
47 stages of encroachment.

48

49 **Key words:** moist acidic tundra, litter decomposition, root decomposition, microbial respiration,
50 exoenzyme activity, mixing effects, Arctic shrub encroachment, winter decomposition

51

52 **Introduction**

53 A consequence of global climate change is a rapidly greening Arctic (e.g. Goetz et al. 2005,
54 Bhatt et al. 2010), largely due to increases in deciduous shrub growth (Tape et al. 2006).
55 Increases in arctic deciduous shrubs resulting from long-term warming (Walker et al. 2006,
56 Sistla et al. 2013) and fertilization experiments (Shaver et al. 2001) in northern Alaska have been
57 accompanied by a decrease in the abundance of evergreen shrubs and graminoids (Gough et al.
58 2012). Natural increases in shrub abundance have also been accompanied by decreases in species
59 diversity (Wilson and Nilsson 2009, Pajunen et al. 2011), although the largest decreases in cover
60 have been reported for mosses and lichens (Cornelissen et al. 2001). This change in species
61 composition is likely to affect decomposition rates, and thus ecosystem carbon status. The living
62 plant community influences the decomposition environment by changing the decomposition
63 environment (e.g. temperature, soil moisture and nutrients) (McLaren and Turkington 2011) and
64 because species produce litters that vary in chemistry and physical characteristics (Cornelissen
65 1996) and hence in decomposition rates (Aerts 1997, Preston et al. 2000). For example, the
66 leaves of a deciduous shrub associated with tundra shrub encroachment, *Betula nana* L., may be
67 expected to decompose faster than other tundra species given its relatively high leaf nitrogen (N)
68 content (Chapin and Shaver 1996, Aerts et al. 2006), high specific leaf area (Cornelissen 1996)

69 and the higher rates of N-cycling in *Betula*-dominated tundra soil (Buckeridge et al. 2009)
70 although the high lignin:N ratio in *Betula* may slow decomposition (Hobbie 1996). In
71 experiments, however, the decomposition rates of *Betula* leaves, relative to other species, has
72 varied with both the experiment location and the length of decomposition. *Betula* leaves
73 decomposed slower than leaves of both graminoid (*Eriophorum vaginatum*) and evergreen shrub
74 (*Vaccinium vitis-idaea*) species in lab incubations (Hobbie 1996), slightly faster than these same
75 species when decomposed *in situ* over 2 years, and all three species decomposed at similar rates
76 after three (Hobbie and Gough 2004) and four (DeMarco et al. 2014) years of incubation.

77 Our knowledge of decomposition patterns in the Arctic is based almost entirely on litters
78 from individual species decomposed on their own (e.g. Hobbie 1996, Hobbie and Gough 2004,
79 DeMarco et al. 2014), yet the tundra is a mix of species that may interact in ways not predicted
80 from these single species experiments. For instance, decomposition rates of litter mixtures may
81 be additive (equal to the rate predicted by the decomposition of the individual species) or they
82 may be interactive (the presence of one species alters the decomposition of the others, i.e.,
83 mixing effects) (reviewed in Gartner and Cardon 2004, Cardinale et al. 2011). A variety of
84 mechanisms have been proposed for such interactive effects, including changes in the physical
85 environment that affect decomposer abundance and activity (Gartner and Cardon 2004,
86 Hättenschwiler et al. 2005), and nitrogen transfer between different litter types (N-translocation)
87 (Schimel and Hättenschwiler 2007, Handa et al. 2014).

88 In addition to being based on single species experiments, our understanding of
89 decomposition in the arctic comes mostly from studies of leaf decomposition. In moist acidic
90 tundra in northern Alaska, there is more than twice as much biomass below- than above-ground
91 (Shaver et al. 2014), and although root turnover is slower than that of leaves (Sloan et al. 2013),

92 root contribution to the litter community may be substantial. However, there may be little
93 species-specific variation in root decomposition rates: compared to leaves, roots are less variable
94 morphologically, although there are differences in their chemical composition (such as lignin
95 content or C:N) (Scheffer and Aerts 2000, Birouste et al. 2012). Overall, studies on root
96 decomposition in the tundra are scarce, in particular those that compare decomposition rates of
97 different species (although see Hobbie et al. 2010). This lack of information results in a
98 substantial gap in our ability to predict the effects of increasing deciduous shrub production on
99 decomposition, and thus on C and N cycling, in the Arctic (Myers-Smith et al. 2011).

100 Finally, much of the litter decomposition in northern ecosystems occurs outside of the
101 short growing season (Hobbie and Chapin 1996, McLaren and Turkington 2010), yet it remains
102 unknown whether mass loss during these colder seasons is due to biological activity (i.e. high
103 microbial activity under snowpack in early winter) or physical processes associated with freeze-
104 thaw (e.g. fragmentation or leaching). Soil microorganisms are active at cold (sub-zero)
105 temperatures (Uchida et al. 2005, McMahon et al. 2009), and C and N fluxes during winter are
106 important to annual budgets in the tundra (Buckeridge and Grogan 2010, Natali et al. 2014).
107 Although current biogeochemical models treat non-growing season processes as essentially
108 slowed-down versions of “normal” growing season, processes may behave differently within, vs.
109 outside, of the growing season because of changes in microbial community structure (e.g. higher
110 fungal biomass in winter (Buckeridge et al. 2013)) and function (e.g. higher biomass specific
111 microbial respiration rates in winter (Lipson et al. 2008)), as well as changes in ecosystem
112 properties (e.g. increases in N-availability and decreases in N-limitation of microbes in winter
113 (McMahon and Schimel 2017)). Accordingly, growing season and non-growing season processes
114 must be treated differently to accurately describe tundra biogeochemistry.

115 Decomposition can be measured in a variety of ways, and recent approaches have
116 included the pairing of traditional measurements of mass loss with microbial respiration (Uchida
117 et al. 2005, Butenschoen et al. 2011) or microbial exoenzyme activity (Carreiro et al. 2000, Papa
118 et al. 2008, Brandstätter et al. 2013). These methods measure different temporal and
119 physiological components of the decomposition process, which can help elucidate the
120 mechanisms driving decomposition. Mass loss measures the cumulative result of all past
121 decomposition, including both biological (i.e. microbial and mesofaunal consumption and
122 metabolism) and physical (i.e. leaching, freeze-thaw fragmentation) mechanisms and their
123 interaction over time, whereas, microbial respiration and exoenzyme activity are directly
124 biological (variation in which may be driven by physical factors). In addition, microbial
125 respiration on litter is an instantaneous measurement, whereas exoenzyme activity represents
126 both current potential decomposition and can be predictive of future decomposition, because
127 enzymes may be present in the environment long after they are produced (Burns et al. 2013).
128 Since these measures of decomposition differ in their time-scales and the physiological
129 specificity of the processes they capture, combining a variety of methods can inform us about the
130 importance of physical and biological aspects of decomposition throughout the process.

131 We sought to evaluate how expansion of shrubs (*Betula nana*) may affect decomposition and
132 thus alter C and N cycling in tussock tundra. To assess these changes, we compare species
133 specific decomposition rates of *Betula* with common species which often concomitantly decrease
134 in cover (*Vaccinium vitis-idaea* L., *Rhododendron palustre* (L.) Kron & Judd and *Eriophorum*
135 *vaginatum* L.), using both leaf and root litter in an *in situ* experiment. We focused on the
136 decomposition of leaves and fine roots, which turn over relatively quickly and are a significant
137 component of the C and N inputs in this system. Substantial increases in woody stem and

138 rhizome litter are likely to occur with shrub encroachment, but as they turn over much more
139 slowly, we did not examine them in this experiment. We examine the effects of *Betula* litter on
140 the decomposition of other species in litter mixtures and use three different measures of litter
141 decomposition (mass loss, respiration and exoenzyme activity). We hypothesized that:

142 1. The relatively high quality *Betula* leaf litter will both decompose faster than and
143 accelerate the decomposition of other species in mixtures (i.e., positive mixing effects);
144 2. For roots, species differences in decomposition rates will be smaller than for leaves, and
145 as a result we do not expect mixing effects for root litter;
146 3. Mass loss and physical decomposition will be largest during the first winter, but
147 differences between species will be larger during the following summer, as warmer
148 temperatures enhance microbial litter decomposition.
149 4. Differences among the three measures of decomposition (mass loss, respiration and
150 exoenzyme activity) in how they represent patterns of decomposition between species will
151 increase with time.

152

153 **Materials and Methods**

154 *Site Description*

155 The experiment was conducted at the Arctic LTER site at Toolik Field Station in the northern
156 foothills of the Brooks Range in Alaska (68° 38'N, 149° 43'W, elevation 760 m). The vegetation
157 community is moist acidic tussock tundra, dominated by the sedge *Eriophorum vaginatum* with
158 deciduous (*Betula nana*) and evergreen shrubs (*Rhododendron palustre*, *Vaccinium vitis-idaea*)
159 roughly equal in abundance, and mosses forming nearly continuous cover (Shaver and Chapin
160 1991). The soil is a Typic Aquaturbel, with an active layer ca. 50 cm thick. The growing season

161 lasts 10-12 weeks, beginning in early June. Our experiment was conducted within permanent
162 plots that receive no experimental treatment, approximately 800 m SW of Toolik Field Station.
163 We used a single 5 x 20 m plot from each of three replicate blocks, separated by minimum 20 m.

164 *Decomposition Experiment*

165 Senesced leaf material from *Betula*, *Eriophorum*, *Rhododendron*, and *Vaccinium* was collected
166 in late-August 2010 from a ca. 50 x 50 m area of moist acidic tundra, adjacent to the plots
167 described above, ensuring that leaves were collected from multiple individuals. Senesced but
168 attached *Betula*, *Rhododendron*, and *Vaccinium* leaves were collected by hand from live plants.
169 For the evergreen species *Rhododendron* and *Vaccinium*, we collected only leaves that had
170 changed color and were attached to live stems. For *Eriophorum*, the current year's senescing
171 tillers were selected and green material was removed from leaves before processing.

172 Root material was collected in late-July 2010 from an area of moist acidic tundra
173 measuring ca. 100 x 100 m, approximately 1 km from the experimental plots, again ensuring that
174 root material was collected from multiple individuals. Root collection was species specific and
175 only roots attached to a living plant were collected. Because freshly senesced root material is
176 difficult to distinguish from older material (Ostertag and Hobbie 1999), live, rather than
177 senesced, roots were used to create litter bags. Roots were washed free of soil, and the fine root
178 (0.5 – 2 mm diameter) size class selected. For *Eriophorum*, all collected material was roots, but
179 for other species we did not differentiate between rhizomes and roots and refer to this material as
180 roots for simplicity. Subsamples of initial root and leaf material were dried, ground, and
181 processed for total C and N content using a dry combustion C and N analyzer (Thermo Scientific
182 2000 Elemental Analyzer) and for lignin content (ANKOM fiber analyzer).

183 Litter was dried at 40°C for 48 h, well mixed, and then sub-sampled for litter bag
184 creation. Litter was placed in 8 x 8 cm litter bags made from 1mm nylon mesh. Leaf and root
185 bags were created separately. For both leaves and roots, each species was decomposed both
186 singly and in combination with *Betula*, resulting in 7 species combinations. Species mixtures are
187 abbreviated as BE, BR and BV, where "B" refers to *Betula*, "E" to *Eriophorum*, "R" to
188 *Rhododendron* and "V" to *Vaccinium*. Leaf bags contained either 1g of a single species, or 0.5g
189 each of a single species mixed with 0.5 g *Betula* litter. *Eriophorum* leaves were cut into 7 cm
190 lengths to fit in the litter bags, while entire leaves were used for other species. Root bags
191 contained 0.5 g litter of a single species, or 0.25g each of a single species and roots of *Betula*; all
192 roots were cut into 7 cm lengths.

193 Litter bags were installed 20-22 September 2010. For both leaf and root bags, one bag
194 from each of the 7 species combination were attached together on a string and 12 replicate
195 strings were placed in each plot. Leaf litter bags were placed in plots just below (ca. 2-5 cm) the
196 moss surface, as the small leaves of these plants often migrate down into the moss during the
197 decomposition process. Root litter bags were buried 8-10 cm below the surface. We deployed
198 Thermochron iButtons (model DS1921G, Maxim, San Jose, CA) in each plot from September
199 2010 to August 2012 at 5cm and 10cm below the surface to measure soil temperature associated
200 with leaf and root litter decomposition, respectively. Temperatures were logged every 255
201 minutes, in 0.5 °C increments at a 2.0 °C resolution. Four replicates (randomly chosen) were
202 sampled from each of the three plots at each of the three sampling dates: May 2011 (over-winter
203 – bags were collected immediately after snow melt or when the soil had thawed to 10 cm depth
204 for leaf and root bags respectively), August 2011 (1 year) and August 2012 (2 years).

205 After collection, the content of each bag was cleaned of foreign material (moss, ingrown
206 roots etc.). For each sampling date, two replicates per species combination for both leaves and
207 roots were immediately frozen at -20 °C and transported to University of California at Santa
208 Barbara for enzyme analysis (described below). The two additional replicates were used for
209 respiration, mass loss and C and N measurements.

210 *Respiration Measurements*

211 Respiration samples were individually placed in 90 ml polypropylene containers and incubated
212 for 6 days in a biological incubator (Geneva Scientific I-36VL, Geneva Scientific LLC, WI,
213 USA), with incubation beginning at 5 °C and increasing 5 °C every 2 days. Litter respiration data
214 from the 15 °C incubation is used in this analysis, while temperature sensitivity of litter
215 respiration will be presented elsewhere. Litter respiration was measured in a lab at Toolik Field
216 Station with an open portable gas exchange system (Li-Cor 6400, Li-Cor Inc, Lincoln, USA),
217 fitted with a custom 350 ml chamber. Each sample measurement lasted 7-10 minutes, and sample
218 temperature was maintained at ca. 15 °C throughout using coolers and icepacks as required.

219 Because litter decomposition may be sensitive to moisture (e.g. Schimel et al. 1999,
220 Makkonen et al. 2012), water was added to samples to standardize their moisture content. We
221 performed a separate study on the influence of litter moisture on leaf litter respiration which
222 showed little influence of moisture on respiration above 2 g H₂O g⁻¹ dry litter (Appendix S1).
223 Since the average incubated sample moisture ranged from 3.2 - 4.2 g H₂O g⁻¹, respiration should
224 not have been affected by small water content differences among the samples.

225 *Mass Loss and Litter C and N*

226 Following respiration trials, litter was dried at 50 °C for 48 hours and weighed to determine
227 proportional mass loss from initial litter (cumulative mass loss). Material was ground and

228 processed for % C and N as above. We then calculated C and N content of leaf/root tissue as g-1
229 C or N sample, calculated as %C or N x g-1 leaf/root tissue remaining at each sampling. Leaf
230 litter was analyzed separately for each species, including separate measures for component
231 species of mixtures. Because roots could not be accurately identified to species post-
232 decomposition, root tissue was analyzed per species treatment, analyzing the tissue from either
233 single species treatments or root mixtures as a whole.

234 *Microbial Exoenzyme Activity*

235 Microbial extracellular enzyme (exoenzyme) activities were measured on the two remaining
236 replicates from each plot on samples from the first two samplings only (over-winter and 1 year
237 decomposition). Material from replicate samples within the same plot were pooled before
238 analysis (thus different litter compositions were replicated at the plot level only; n=3 for each
239 sampling). Frozen samples were thawed immediately prior to enzyme assays. We assayed the
240 activity of a suite of hydrolytic enzymes that acquire carbon, nitrogen and phosphorous at the
241 terminal stages of organic matter decomposition: cellulose-degrading β -glucosidase and
242 cellobiohydrolase, hemicellulose-degrading β -xylosidase, carbohydrate-degrading α -
243 glucosidase, chitin-degrading N-acetyl-glucosaminidase (NAG) and phosphatase.

244 Exoenzyme methodology followed that of Sinsabaugh et al. (2003). Leaf litter (2-4 g
245 fresh mass) or root litter (1-3 g) was blended with pH 5 acetate buffer and pipetted into 96-well
246 plates, with eight replicates per soil. Fluorescing, 4-methylum-belliferone (MUB) tagged
247 substrate (β -D-glucoside, β -D-cellobioside, β -D-xyloside, β -D-glucoside, N-acetyl- α -D-
248 glucosaminide and phosphate) was added. The assays were incubated at 5 °C in the dark within
249 the linear range of the reaction (2-13 h), then the reaction was stopped by adding NaOH. Sample
250 fluorescence (i.e. cleaved substrate) was read with a TECAN Infinite Pro 200 plate reader (Tecan

251 Group Ltd., Männedorf, Switzerland) at 365 nm excitation, 450 nm emission. For each substrate,
252 we measured the background fluorescence of soils and substrate and the quenching of MUB by
253 soils, and used standard curves of MUB to calculate of the rate of substrate hydrolyzed. The
254 NAG assay was only successful for roots, therefore leaf results for NAG are not presented.

255 *Statistical Analyses*

256 Leaf and root litter were analyzed separately for all variables. Mass loss and respiration were
257 both averaged across the two within-plot replicates before analysis. Enzyme activities were
258 pooled across the 6 enzymes to provide an overall hydrolytic enzyme response because they
259 generally followed the same pattern by species monoculture (averaged to not overinflate degrees
260 of freedom). For species effects, enzymes were standardized (activity/maximum activity) before
261 pooling. Statistical analyses of standardized, pooled enzyme rates are presented, whereas figures
262 illustrate standardized, un-pooled enzyme rates to reveal response variation by enzyme.

263 Unstandardized, un-pooled enzyme values (ranges) and statistics are presented in Appendix S2.

264 *Species Effects:* Species differences in mass loss, respiration and enzyme activity were each
265 analyzed using an ANOVA using single species as treatment levels, with separate analyses for
266 each sampling date. Significant species effects were further explored using Tukey's comparison
267 of means. In addition to cumulative mass loss, we also examined the effects of season (winter vs.
268 growing season) on mass loss during the first year, where mass loss during the first winter is
269 calculated as:

270 $ML \text{ 1st winter} = Mass_i - Mass_{thaw}$

271 and mass loss during the growing season is calculated as:

272 $ML \text{ growing season} = Mass_{thaw} - Mass_{fall}$

273 Where ML is mass loss, Mass is the mass of the litter (g), i is pre-incubation (Sept 2010), thaw is
274 May 2011, and fall is Sept 2011.

275 *Mixing Effects*: To examine for the presence of mixing effects in litter mixtures, we calculated
276 the deviation from expected mass loss/respiration/enzyme activity based on single species rates:

277
$$\text{Mixing Effects} = \frac{\text{Observed} - \text{Expected}}{\text{Expected}}$$

278 Deviation from expected is referred to as ‘mixing effects’ hereafter. For mass loss, the expected
279 values are the averages of the mass for species decomposed alone for both species in the mixture.
280 Expected respiration rates and enzyme activity values for leaf litter were calculated similarly,
281 averaging single species rates, which were standardized by their observed mass in mixture (thus
282 isolating the mixing effects of respiration or enzyme activity from mixing effects due to changes
283 in mass). For root litter, the latter was not possible since species-specific mass could not be
284 obtained for the mixtures. Instead, these expected values were the averaged single species rates
285 of both species, assuming that for these root mixtures there were no mixing effects on mass loss
286 for roots, an assumption which is supported by our results. We compared the mean mixing effect
287 against a mean of zero using a one-sample t-test for each species combination. A value
288 significantly different from zero indicates interactive effects of species mixing on decomposition
289 (mixtures promote or inhibit decomposition over the sum of the two single species alone).

290 For leaf mass loss, as we could determine the post-decomposition mass of the individual
291 species within each mixture, we analyzed species-specific decomposition within species
292 combinations using a nested ANOVA, with species nested within litter mixture (McLaren and
293 Turkington 2010). Tukey’s comparison of means was used to examine species decomposition
294 rates within and between species mixtures.

295 The % gain or loss in C or N from litter content is expressed as the % difference from the
296 initial g C or N content for each litter type (calculated as %C or N x g⁻¹ leaf/root tissue) at each
297 sampling date. For leaf litter, each species was analyzed independently. For each species, the
298 effect of treatment (monoculture vs. mixture) on relative changes in C or N were analyzed using
299 a one-way ANOVA. When litter treatment was significant (indicating a mixing effect), the
300 relative change in C or N was compared with zero using a one-sample t-test independently for
301 each litter treatment. For each species, when there was no significant effect of mixing on litter
302 gain or loss, t-tests were conducted across litter treatments. The relative changes in C or N for
303 root species combination were analyzed using an ANOVA, followed by a t-test for each species
304 combination.

305 Statistical analysis were conducted using JMP statistical software (2012 SAS Institute,
306 Cary, NC, USA).

307

308 Results

309 Before decomposition, initial C:N ratios for leaves were highest in *Eriophorum* and lowest in
310 *Rhododendron*, because initial %N was lowest in *Eriophorum* and highest in *Rhododendron*. For
311 roots, initial C:N ratios were highest in *Rhododendron*; *Eriophorum* and *Rhododendron* roots
312 had similarly low N, but C in *Eriophorum* was also low. Lignin content was highest in *Betula*
313 for both roots and leaves (Table 1).

314 Leaf Decomposition- Species Effects

315 For cumulative mass loss, there were species effects in each sampling period (Table 2, Fig. 1a-c).
316 *Betula* litter generally had the highest rates of mass loss, followed by *Rhododendron* and
317 *Vaccinium*, and finally by *Eriophorum* which decomposed the slowest. When seasonal mass loss

318 was examined, during the winter, *Betula* lost at least twice as much mass as any other species
319 ($F_{6,41}=44.03$, $p<0.001$; Fig. 2a slopes differ). In contrast, leaf litter from all species decomposed
320 at the same rate during the growing season ($F_{6,41}=1.45$, $p=0.22$; Fig. 2a slopes parallel).

321 Post-winter respiration rates were highest for *Betula*, ca. twice as high as rates for
322 *Vaccinium* or *Eriophorum* (Table 2, Fig. 1d). However, differences between species in
323 respiration decreased with time and there were no differences among species after two years
324 (Table 2, Fig. 1e-f). Overall, there was no significant difference between species for pooled
325 exoenzyme activity on leaves, although activity tended to be higher on *Betula* litter (Table 2, Fig.
326 1g-h) and for the four C-hydrolyzing enzymes, was higher on *Betula* after winter and after the
327 first growing season (Appendix S2).

328 *Leaf Decomposition – Mixing Effects*

329 For mass loss, there were negative effects of mixing at all three samplings (Fig. 3a-c, Appendix
330 S3). Both BV and BE exhibited negative mixing effects post-winter and after 1 year, and BR
331 after 2 years. For BV and BE, the magnitude of mixing effects decreased with time, from ca.
332 30% slower post-winter to 20% slower after 1 year and after 2 years there was no longer a
333 detectable mixing effect for these species combinations (Fig. 3a-c) These negative mixing effects
334 on mass loss were caused by slower decomposition of *Betula* in mixture than when decomposed
335 on its own, particularly at earlier stages of decomposition (Fig. 4; Litter composition (species):
336 winter: $F_{3,50}=19.83$, $p<0.001$; 1 year: $F_{3,50}=11.36$, $p<0.001$; 2 year: $F_{3,50}=8.80$, $p<0.001$). The
337 other species did not differ between monoculture and mixture at any of the sampling times (Fig.
338 4). Contrary to mass loss, there were very few significant mixing effects for respiration (Fig., 3d-
339 f, Appendix S3). During the post-winter sampling, there was a positive mixing effect for BV, but
340 not for the other two mixtures. There were also few mixing effects for enzyme activity (Fig. 3g-

341 h, Appendix S3); there was a negative averaged hydrolytic mixing effect for BR, but only during
342 the post-winter sampling. For individual enzymes, α -glucosidase activity decreased at the end of
343 the growing season on BV and BE (Appendix S2).

344 *Leaf Decomposition – Loss or Gain in C and N*

345 Decomposing in mixture versus alone affected the %N gained or lost from initial litter tissue
346 only for *Rhododendron* and only after the 1 year sampling (Fig 5a-c; Table 3). *Rhododendron*
347 did not lose or gain N when decomposed alone or in mixture during the winter, but after 1 year
348 of decomposition lost ca. 3 times more N when decomposed with *Betula* than when decomposed
349 alone, and after 2 years lost N both in mixture and alone (Appendix S4). For the other three
350 species the %N gained or lost from initial litter tissue did not depend on decomposing alone or in
351 mixture and thus N loss/gain was averaged across treatments (monocultures and mixtures) for
352 analyses (Fig. 5a-c; Table 3). *Betula* lost N but only after 2 years of decomposition (Appendix
353 S4). *Vaccinium* did not lose or gain N after decomposing for the winter or for 1 year but lost N
354 after 2 years of decomposition (Appendix S4). Finally, *Eriophorum* gained N after decomposing
355 for the winter, 1 year, and 2 years (Appendix S4). Decomposing in mixture versus alone affected
356 the %C gained or lost from initial litter tissue for *Betula*, *Rhododendron* and *Vaccinium* only in
357 the post-winter sampling (Appendix S4) because leaves lost more C when decomposed in
358 mixture than alone (Appendix S4). At the 1 and 2 year samplings, all species significantly lost C,
359 but the amount lost did not depend on whether they were decomposed alone or in mixture
360 (Appendix S4).

361 *Root Decomposition – Species Effects*

362 There were fewer effects of species on cumulative root litter mass loss as compared to leaves
363 (Table 2, Fig. 6a-c). After 1 year of decomposition, *Rhododendron* lost less mass than either

364 *Betula* or *Eriophorum*, although mass loss did not differ between species for the other two
365 sampling periods. When mass loss was examined seasonally, similar to seasonal patterns for leaf
366 decomposition, there was a marginally significant interaction between litter species and season
367 ($F_{3,23}=2.86$, $p=0.07$), because *Rhododendron* roots decomposed more slowly than other species
368 in the winter ($F_{3,11}=3.88$, $p=0.06$) but not in the summer ($F_{6,70}=1.11$, $p=0.40$) (Fig. 2b). Similar to
369 effects on mass loss, there are no effects of root species composition on respiration (Table 2, Fig.
370 6d-f). Exoenzyme activity, in contrast, differed between litter compositions post-winter and after
371 1 year, because *Eriophorum* had higher activity than other species both for pooled exoenzyme
372 activity (Table 2, Fig. 6g-h) and enzymes individually (Appendix S2)

373 *Root Decomposition – Mixing Effects*

374 There were no mixing effects on mass loss, respiration or averaged enzyme activity for any
375 species composition (Fig. 7 a-f, Appendix S3). For individual enzymes, only β -glucosidase
376 activity decreased for the B-R mixture (Appendix S2.)

377 *Root Decomposition – Loss or Gain in C and N*

378 The proportion of root N lost or gained during decomposition varied by litter composition (Fig.
379 5, Table 3). *Rhododendron* and *Vaccinium* significantly lost N post winter and after 1 year,
380 *Betula*, *Rhododendron* and *Vaccinium* all lost N (Appendix S4). However, after 2 years only
381 *Vaccinium* lost N (Appendix S4). The proportion of root C lost or gained did not vary with litter
382 composition (Appendix S4). Only BE had significantly lost C at the post-winter sampling,
383 whereas all species combinations had lost C by the 1 and 2 year sampling (Appendix S4).

384

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386

387 **Discussion**

388 Our goal was to understand potential effects of *Betula* encroachment on litter decomposition in
389 arctic tundra, particularly the role of litter mixing and seasonality. *Betula* leaves decomposed
390 faster than other species when decomposed on their own, but when mixed with other species the
391 decomposition of *Betula* slowed (i.e., negative mixing effects), implying that single-species
392 decomposition is a poor predictor for the often mixed-litter decomposition that occurs *in situ*.
393 Root decomposition, in contrast, displayed few species differences and no mixing effects. Our
394 three methods of assaying decomposition agreed with each other for relative differences between
395 species and pointed to strong species effects on decomposition during winter. Interestingly, the
396 three methods differed from each other in their assessment of mixing effects, implying that
397 controls on mixed-litter decomposition are not predictable by microbially-specific methods.

398 *Betula effects on leaf decomposition (Hypothesis 1)*

399 Mass loss for *Betula* leaf litter was consistently higher than for other species, which supports the
400 first component of our first hypothesis, and implies faster turnover of leaf litter C and N in
401 communities with higher proportional deciduous shrub cover. All three measures of
402 decomposition showed faster decomposition of *Betula*, at least for earlier samplings, which
403 parallels some studies (Hobbie and Gough 2004) but contrasts with others (Hobbie 1996,
404 Cornelissen 2007). Direct comparisons with these studies are difficult, however, due to different
405 experimental designs (lab study (Hobbie 1996) vs. field incubations (Cornelissen 2007, our
406 study)), length of decomposition period (21 weeks (Hobbie 1996) vs 2 years (Cornelissen 2007,
407 our study)) and species used (*Betula nana* (Hobbie 1996, our study) vs 11 deciduous shrub
408 species (Cornelissen 2007)). Further, although species differences are strong at the end of our
409 experiment (2 years), these species patterns may not persist over the long-term. Although Hobbie

410 and Gough (2004) show faster decomposition by *Betula* in the first 2 years of their experiment,
411 the decomposition rate for *Betula* slowed in year 3 while the other species continued
412 decomposing at the same rate, resulting in no difference between species after 3 years. DeMarco
413 et al. (2014) also describe no species differences in decomposition rate after 5 years. Finally, our
414 experiment investigated the rapidly decomposing leaf litter and fine roots but did not assay slow
415 decomposing woody tissue that will also increase in abundance with shrub encroachment.

416 We suggest that species differences in these early stages of decomposition are driven at
417 least in part by variation in traits such as specific leaf area (SLA): *Betula* has nearly three times
418 higher SLA compared with *Vaccinium* and *Rhododendron*, and twice as high as *Eriophorum*
419 (Shaver et al. 2001). Although litter mass loss is often reported to inversely correlate with litter
420 initial C:N (e.g. Zhang et al. 2008), lignin content (Aerts 1997), and lignin:N (Melillo et al.
421 1982), our species' decomposition rates did not follow these patterns. Differences in reported
422 lignin and lignin:N between ours and other studies could help explain these contrasting results.
423 For example, both Hobbie (1996) and DeMarco et al (2014) report higher lignin:N for *Betula*
424 than *Eriophorum*, whereas in our study the ratios are relatively similar. Other possible drivers of
425 these species patterns are concentration of phenolics which are suggested to inhibit
426 decomposition (Freeman et al. 2004). However, both the deciduous and evergreen species used
427 here have similar phenolic concentrations (Hobbie 1996) yet differ in their decomposition rates.
428 Combining *Betula* litter with other species consistently resulted in negative mixing
429 effects for mass loss, contradicting the second component of our first hypothesis. Not only did
430 mixtures decompose more slowly than expected, these negative mixing effects were caused by
431 slower decomposition of *Betula* in mixture, rather than an effect of *Betula* on associated species.
432 Therefore, although our species-specific results indicate that increases in *Betula* litter may result

433 in faster litter turnover, this is tempered by the influence of negative mixing effects. N-
434 translocation (transfer of nitrogen between decomposing species) did not appear to explain
435 mixing effects on mass loss. Although by the final sampling the post-decomposition N content of
436 litter in the *Betula-Eriophorum* mixture does suggest N translocation (simultaneous N increases
437 in *Eriophorum* and decreases in *Betula*, with a trend towards larger increases in N in *Eriophorum*
438 when in mixture; analysis described by Handa et al. 2014), by this stage of decomposition
439 mixing effects had disappeared. Because our microbial specific methods, respiration and
440 exoenzyme activity, did not show negative mixing effects, physical differences between litter
441 types (such as differences in SLA) may be responsible for the mass loss mixing effects. As such,
442 microbial C-mineralization and exoenzyme activity may not be good predictors of mass loss
443 mixing effects.

444 *Betula* effects on root decomposition (*Hypothesis 2*)

445 Consistent with our second hypothesis, both root litter mass loss and respiration revealed few
446 species differences, with only *Rhododendron* roots decomposing measurably slower and only at
447 a single time point. Root decay rates are thought to be mostly determined by chemical quality
448 instead of environmental conditions, because their decomposition environment in the soil is
449 relatively buffered from environmental extremes (Silver and Miya 2001). However, our
450 measures of chemical quality, initial C:N (highest in *Eriophorum*) and lignin:N (highest in
451 *Betula*), cannot explain the slow decomposition of *Rhododendron*. Instead, slower
452 decomposition of *Rhododendron* roots may have been driven by differences in root thickness and
453 the resulting decrease in surface area: volume. We used fine roots (< 2mm) for all species but
454 *Rhododendron* roots were thicker than other species (pers. obs.).

455 Also in accordance with our second hypothesis, there were no root litter mixing effects
456 for any of the three measures of decomposition. Although there have been no studies on mixing
457 effects for root decomposition in the field, in a lab incubation, de Graaff et al. (2011) reported
458 higher respiration rates for decomposing roots when mixed together than alone. Further,
459 Robinson et al. (1999) reported both positive and negative mixing effects but concluded that
460 because mixing effects were small (<10%) they were not likely biologically significant. Overall,
461 these studies, and ours, indicate a low potential for mixing effects on root decomposition.

462 *Contrasts between leaf and root decomposition*

463 We found less variation in root than leaf decomposition among species, seasons, and measures of
464 decomposition. We found strong and persistent species effects on mass loss in leaf litter, with
465 *Betula* decomposing faster than other species, while there were few species effects in roots. A
466 recent meta-analysis (Freschet et al. 2013) concluded that decomposition rates of leaves and fine
467 roots globally are coordinated across species, suggesting that the traits responsible for litter
468 decomposability are correlated across tissue types. Perhaps this global correlation holds true for
469 large scale studies, but is not necessarily observed in more narrowly focused single location
470 studies with a select number of species (e.g. this study and Hobbie et al. 2010).

471 *Seasonal differences in decomposition (Hypothesis 3)*

472 Because cold temperatures limit microbial activity outside the growing season, we expected
473 species-specific effects primarily on mass loss in the first winter (i.e. losses due to both microbial
474 and physical drivers), and then species-specific effects on enzymes and respiration (i.e. from
475 microbial drivers alone) to become more active with increased temperatures during the first
476 growing season (Hypothesis 3). In general, early stage decomposition is fastest, because it is
477 dominated by soluble C loss (Aber et al. 1990), which may be physically (i.e. leaching, freeze-

478 thaw fragmentation) and microbially (i.e. polymer breakdown via enzymes) driven. Species-
479 specific differences in mass loss, respiration and enzyme activity (i.e. both physical and
480 microbial drivers) were all determined over the first winter, and did not differ further in later
481 seasons, which is contrary to Hypothesis 3. Further, species specific differences for post-winter
482 litter respiration (microbial), extracellular enzyme activity (microbial) and winter mass loss
483 (microbial and physical) were strikingly similar, suggesting that the decomposition mass loss
484 patterns in this first winter are most likely driven by microbial (e.g. Uchida et al. 2005) rather
485 than only physical controls (e.g. Bokhorst et al. 2009).

486 We speculate that differences between species in their decomposition during the first
487 winter were likely driven, at least in part, by differences in the decomposition of the more
488 soluble components of their litter. A proportionally higher microbial contribution early in
489 decomposition could represent rapid microbial processing of the soluble fraction of the litter
490 (Cotrufo 2015). Although *Betula*, *Rhododendron* and *Vaccinium* have all been reported to have
491 similarly high water-soluble sugar content (Hobbie 1996), the higher SLA of *Betula leaves* may
492 have resulted in higher accessibility of these soluble components by the microbial community,
493 driving the faster decomposition patterns. We also suggest that many of these decomposition
494 differences develop during the 'shoulder seasons', i.e., the periods right at the beginning and the
495 end of the snow-covered period; Although soil microorganisms are active at cold (sub-zero)
496 temperatures (McMahon et al. 2009), the deep cold period of tundra winter precludes substantial
497 microbial activity, yet soil temperatures in early and late winter are warm enough to support
498 substantial organic matter turnover and microbial growth (McMahon et al. 2009, Buckeridge et
499 al. 2013). As leaf decomposition progresses, and these soluble components are lost from the
500 litter tissue, differences between the species in decomposition may become minimized, resulting

501 in the similar decomposition of different species during the growing season that we describe. We
502 did not measure changes in the soluble components of these species over time, and note that less
503 than 20% of litter mass had been lost by the beginning of the growing season (and less than 10%
504 for most species), suggesting that we were still in relatively early stages of decomposition, not
505 only at the end of the first winter, but also at the end of the experiment. We encourage longer-
506 term seasonally-delimited decomposition experiments where leaf chemistry is examined over
507 time to tease apart seasonal differences from those driven by early vs. late stage decomposition.

508 *Similarities and differences among the three measures of decomposition (Hypothesis 4)*

509 We found broad similarities in patterns of species-specific decomposition among the three
510 measures of decomposition; all three initially showed the highest decomposition rates in *Betula*
511 leaf litter and the lowest in *Eriophorum*. Similarly, for root decomposition all methods reflected
512 marginally lower rates of decomposition in *Rhododendron* and few other differences between
513 species. These similarities, however, diminished with time, in particular for leaf decomposition
514 where species differences decreased over time for respiration but persisted with the other two
515 methods. This supports our fourth hypothesis, and highlights both the different time frames
516 (cumulative vs. instantaneous) and the microbial physiological specificity that the different
517 measures represent. The resemblance of initial leaf respiration patterns to longer-term mass loss
518 parallels findings of Aerts (1997), where initial litter respiration differences among species
519 predicted long-term decomposition differences. It also emphasizes that only *early* respiration,
520 and not just respiration at any point in a decomposition experiment may be a proxy for longer-
521 term litter mass loss. Further, for root decomposition, heightened enzyme activity in *Eriophorum*
522 was not predictive of larger mass loss at successive time points. We conclude that these more
523 microbially-constrained measures cannot be used to represent litter mass loss as a whole.

524 *Conclusions*

525 Our study provides two strong and contrasting conclusions regarding shrub encroachment. The
526 high decomposition rates of *Betula* leaf litter aboveground, and relatively similar decomposition
527 rates of different species' roots below-ground, suggest potential increases in C turnover as the
528 dominance of this deciduous shrub in the Arctic increases. However, tundra litter species
529 decompose in mixture, and the negative mixing effects that we observed among species in leaf
530 decomposition are likely to temper the strong *Betula* effect, at least in the early stages of shrub
531 encroachment. Until *Betula* becomes dominant enough to "escape" the negative mixing effects
532 with other litter species, decomposition rates are likely to remain constrained. We note, however,
533 that our results focus only on the fast-decomposing C pool of leaves and fine roots, whereas
534 longer-term decomposition patterns are likely to be dominated by the increase in slow-
535 decomposing woody tissue accompanying increases in shrub abundance.

536

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731 **Table 1** Initial C:N ratio, %N, Acid detergent lignin (ADL) and ADL:%N ratio in leaf and root
 732 litter tissue for the four litter species used in this study (mean \pm SE, n=5)

Species	Leaves				Roots			
	C:N	%N	% ADL	ADL:N	C:N	%N	% ADL	ADL:N
Betula	56.9 \pm 0.7	0.9 \pm 0.01	19.3 \pm 0.3	21.4 \pm 0.2	66.2 \pm 2.8	0.8 \pm 0.03	40.1 \pm 2.0	53.4 \pm 1.4
Eriophorum	98.2 \pm 2.3	0.5 \pm 0.01	11.5 \pm 0.2	23.8 \pm 0.3	78.1 \pm 4.8	0.6 \pm 0.39	5.7 \pm 0.7	10.1 \pm 1.7
Rhododendron	35.4 \pm 0.6	1.5 \pm 0.02	15.3 \pm 0.3	10.3 \pm 0.3	86.8 \pm 4.9	0.6 \pm 0.03	30.7 \pm 1.4	52.2 \pm 3.7
Vaccinium	59.1 \pm 1.0	0.9 \pm 0.02	9.3 \pm 0.3	10.9 \pm 0.5	68.9 \pm 2.2	0.7 \pm 0.02	35.3 \pm 0.5	48.3 \pm 2.0

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Table 2. The impact of leaf and root litter composition (monocultures) on three measures of decomposition (mass loss, respiration and exoenzyme activity) after 1 winter, 1 year and 2 years: ANOVA summary results. Enzyme activity is the standardized response (by maximum value within substrate) of enzyme activity averaged across all substrates responses to the model; significant results by substrate are in Appendix S2. Bolded terms indicate significance at $p < 0.05$.

Source	df	Mass Loss		Respiration		Enzyme Activity	
		F	p	F	p	F	p
Leaves							
Post-Winter	3,8	71.2	<0.001	9.21	0.006	1.68	0.247
1 Year	3,8	11.91	<0.001	6.31	0.017	3.75	0.060
2 Years	3,8	46.04	<0.001	3.75	0.060		
Roots							
Post-Winter	3,8	3.88	0.056	0.62	0.622	6.70	0.014
1 Year	3,8	8.59	0.007	3.00	0.095	17.5	<0.001
2 Years	3,8	3.05	0.092	3.76	0.059		

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776 **Table 3.** Percent change in litter N pools during decomposition: ANOVA summary of leaf (by
 777 species) and root effects. Models included all species combinations which contain the indicated
 778 species (i.e., *Eriophorum*, *Ledum* and *Vaccinium* are monocultures and monocultures + *Betula*,
 779 *Betula* includes monocultures + all 3 species mixture treatments), or all 7 species combinations
 780 for roots.

Species	Sampling	df	Change in N	
			F	p
Betula leaves	Post-Winter	3,8	1.49	0.290
	1 Year	3,8	3.56	0.067
	2 Years	3,8	1.09	0.408
Eriophorum leaves	Post-Winter	1,4	3.57	0.132
	1 Year	1,4	4.24	0.109
	2 Years	1,4	5.13	0.086
Rhododendron leaves	Post-Winter	1,4	1.57	0.279
	1 Year	1,4	8.18	0.046
	2 Years	1,4	2.63	0.180
Vaccinium leaves	Post-Winter	1,4	2.78	0.171
	1 Year	1,4	1.05	0.364
	2 Years	1,4	0.04	0.855
Roots	Post-Winter	6,14	2.95	0.045
	1 Year	6,14	5.70	0.004
	2 Years	6,14	0.55	0.762

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790 **Figure Legends**

791 **Figure 1.** The impact of leaf litter composition (monocultures) on three measures of
792 decomposition (mass loss (a-c), respiration (d-f) and standardized exoenzyme activity (g-h))
793 (mean + SE) over three time periods (after 1 winter (a,d,g), after 1 year (b,e,h) and after 2 years
794 (c,f)). Mass loss is cumulative (calculated from the initiation of the experiment in September
795 2010) whereas respiration and exoenzyme activity were determined at the endpoint of each time
796 period. Letters indicate significant differences between treatments (Tukey's comparison of
797 means). Tukey's comparisons for enzyme activity (g-h) were analyzed on the average of 5
798 enzymes.

799 **Figure 2.** Seasonal patterns for the proportion of mass remaining for leaf (a) and root (b) litter
800 single species treatments (mean \pm SE) overlaid on soil temperatures at 5 cm (leaves) and 10 cm
801 (roots) depth. Eight months decomposing corresponds to 1 winter, and 12 months to after 1 year.

802 **Figure 3.** Mixing effects (mean + SE) for mass loss (a-c), respiration (d-f) and exoenzyme
803 activity (g-h) for leaf litter decomposing over three time periods (after 1 winter (a,d,g), 1 year
804 (b,e,h) and 2 years (c,f)). Mixing effects are calculated only for species mixtures. For species
805 mixture treatments "B" refers to *Betula*, "R" to *Rhododendron*, "V" to *Vaccinium*, and "E" to
806 *Eriophorum*. Asterisks indicate mixing effects are significantly different than zero (t-test,
807 ***= $p<0.001$, **= $p<0.01$, *= $p<0.05$).

808 **Figure 4.** Mass loss as proportion decomposed for each species within seven species
809 combinations (mean + SE) for leaf litter decomposing over three time periods (after 1 winter (a),
810 1 year (b) and 2 years (c), all beginning September 2010). Species were decomposed both alone
811 and in combination: "B" refers to *Betula*, "R" to *Rhododendron*, "V" to *Vaccinium*, and "E" to

812 *Eriophorum*. Lowercase letters above the bars indicate significant differences between species
813 (Tukey's comparison of means, $p<0.05$).

814 **Figure 5.** Change in the proportion of total N lost (negative values) or gained (positive values)
815 when species were decomposed alone and in combination (mean + SE), for leaf litter (a-c) and
816 root litter (d-f) decomposing over three time periods (after 1 winter (a,d), 1 year (b,e) and 2 years
817 (c,f), all beginning September 2010). For leaf litter mixtures (a), black bars represent *Betula* (B)
818 and grey bars the other species in mixture (R=*Rhododendron*, V=*Vaccinium*, and
819 E=*Eriophorum*); # indicates a significant difference in the N lost/gained between monocultures
820 and the species in mixture, then * beside the bar indicates a significant loss/gain of N within the
821 species treatment. For all other species, * beside the bar indicates a significant N loss/gain
822 averaged across monocultures and mixtures containing that species. For root litter mixtures (d-
823 f), mixtures could not be separated by species after decomposition and each mixture was
824 analyzed as a single treatment (see methods). For both leaves (a-c) and roots (d-f), asterisks
825 indicate N loss/gain is significantly different than zero (t-test, ***= $p<0.001$, **= $p<0.01$,
826 *= $p<0.05$).

827 **Figure 6.** Impact of root litter species on three measures of decomposition (mass loss (a-c),
828 respiration (d-f) and standardized exoenzyme activity (g-h)) (mean + SE) over three time periods
829 (after 1 winter (a,d,g), 1 year (b,e,h) and 2 years (c,f)). Mass loss is cumulative (calculated from
830 the initiation of the experiment in September 2010) whereas respiration and exoenzyme activity
831 were determined at the endpoint of each time period. Letters indicate significant differences
832 between treatments (Tukey's comparison of means). Tukey's comparisons for enzyme activity
833 (g-h) were analyzed on the average of 6 enzymes.

834 **Figure 7.** Mixing Effects (mean + SE) for mass loss (a-c), respiration (d-f) and exoenzyme
835 activity (g-h) for root litter decomposing over three time periods (after 1 winter (a,d,g), 1 year
836 (b,e,h) and 2 years (c,f)). Mixing effects are calculated only for mixtures. For species mixture
837 treatments “B” refers to *Betula*, “R” to *Rhododendron*, “V” to *Vaccinium*, and “E” to
838 *Eriophorum*. Asterisks indicate mixing effects are significantly different than zero (t-test,
839 ***= $p<0.001$, **= $p<0.01$, *= $p<0.05$).

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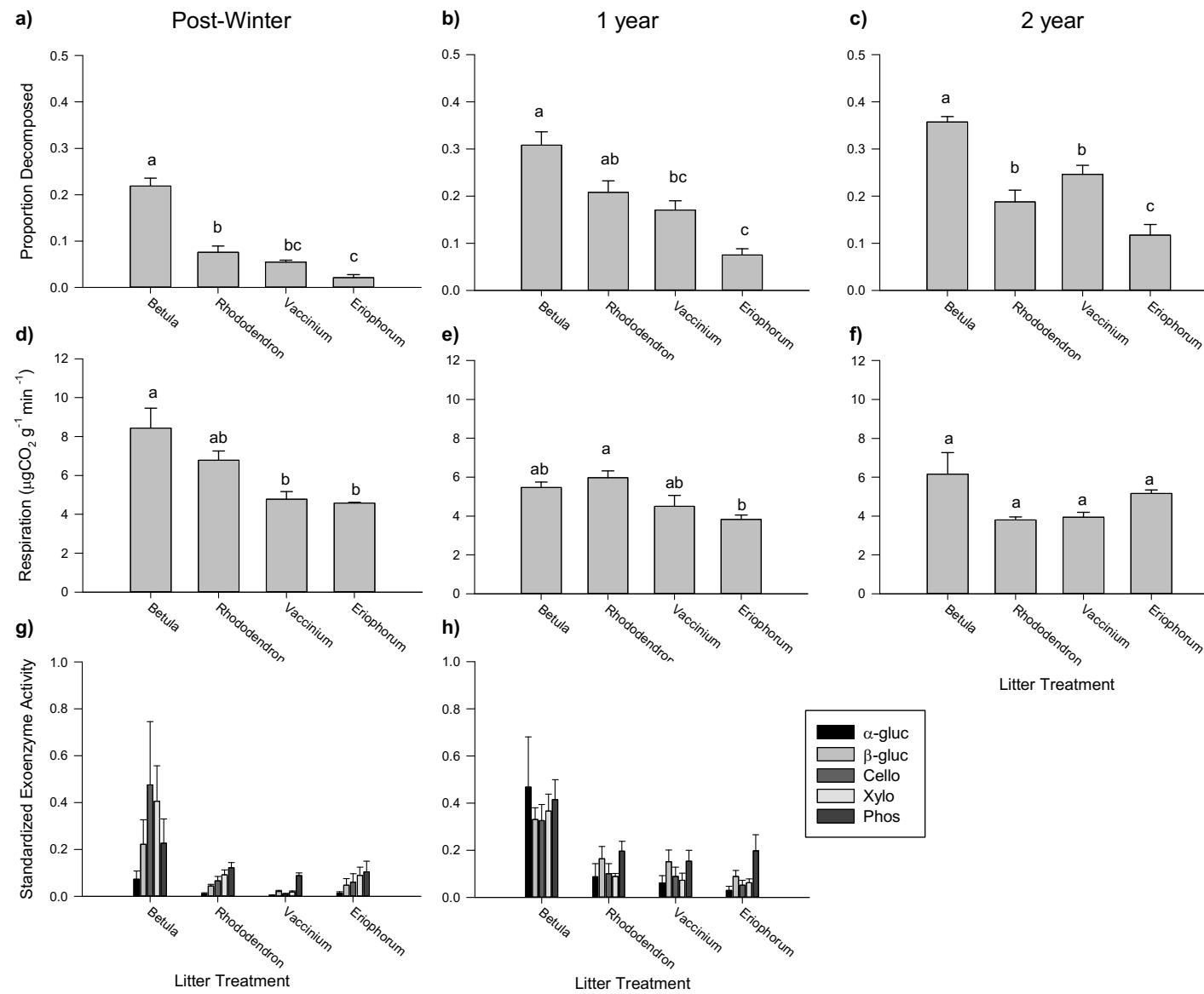


Fig. 1

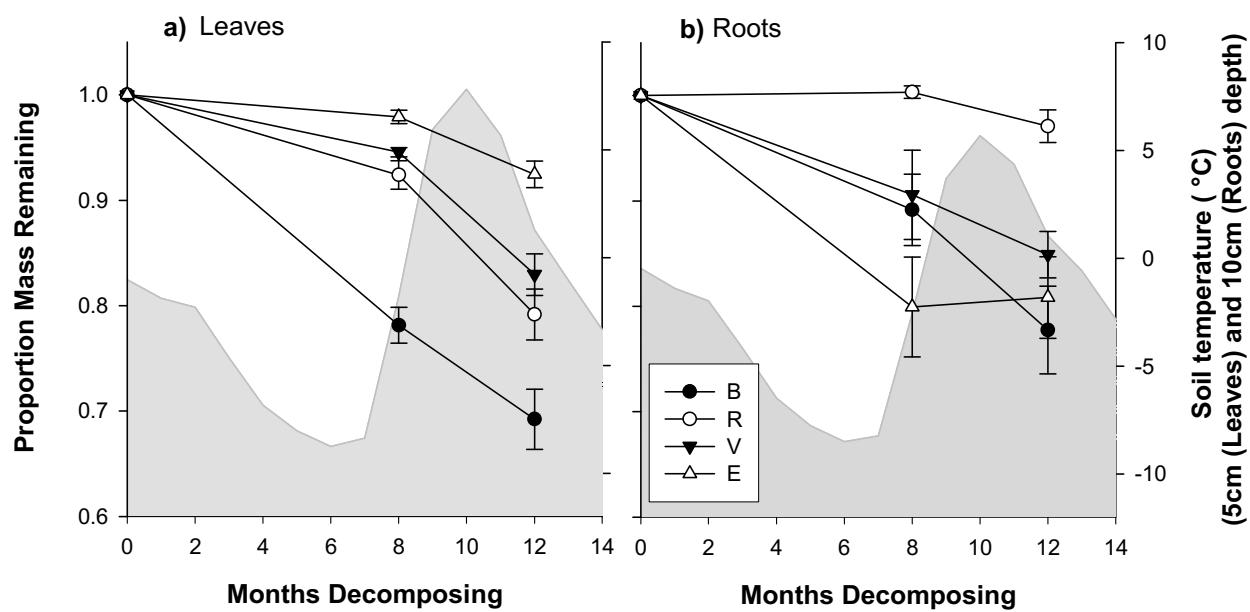


Fig 2

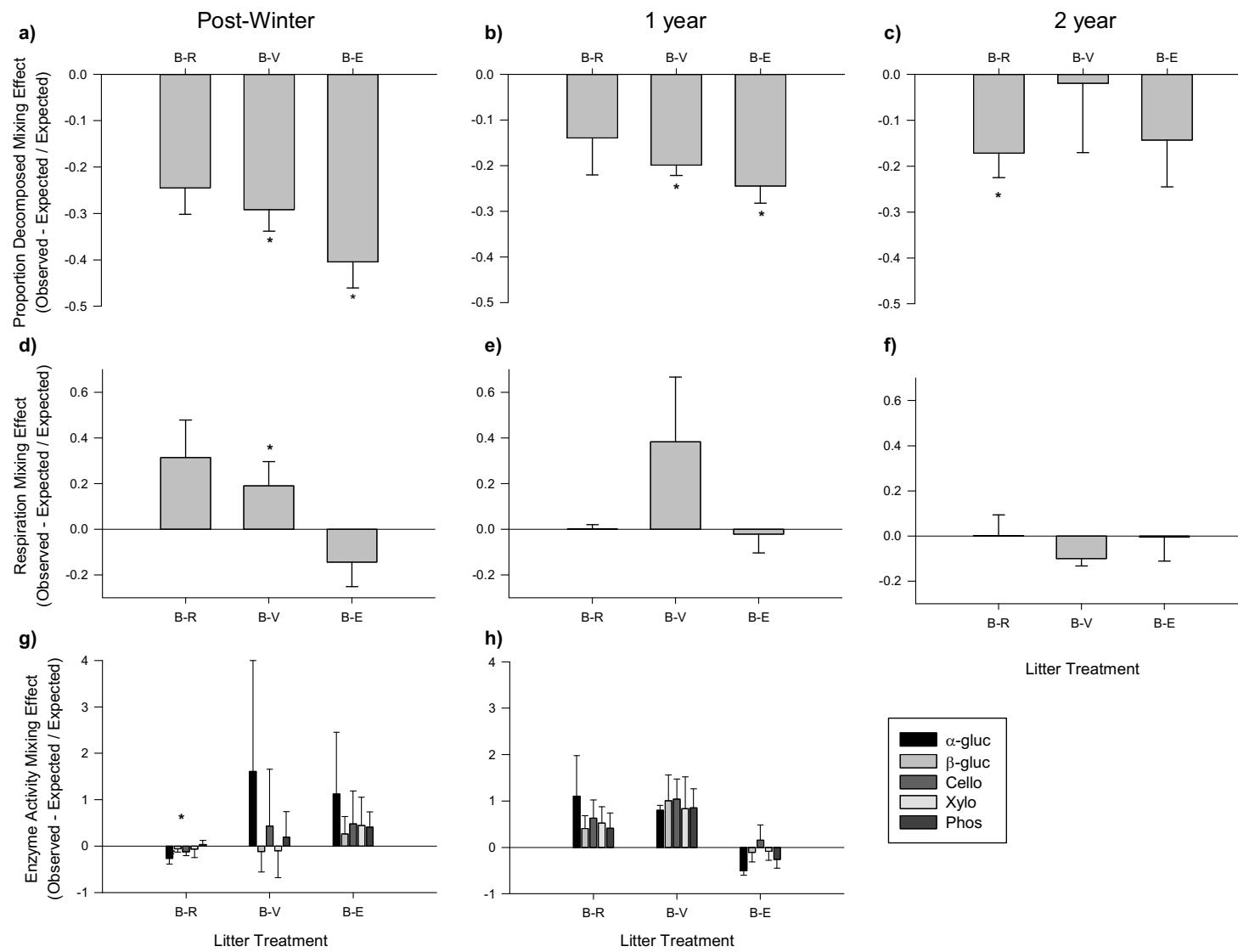


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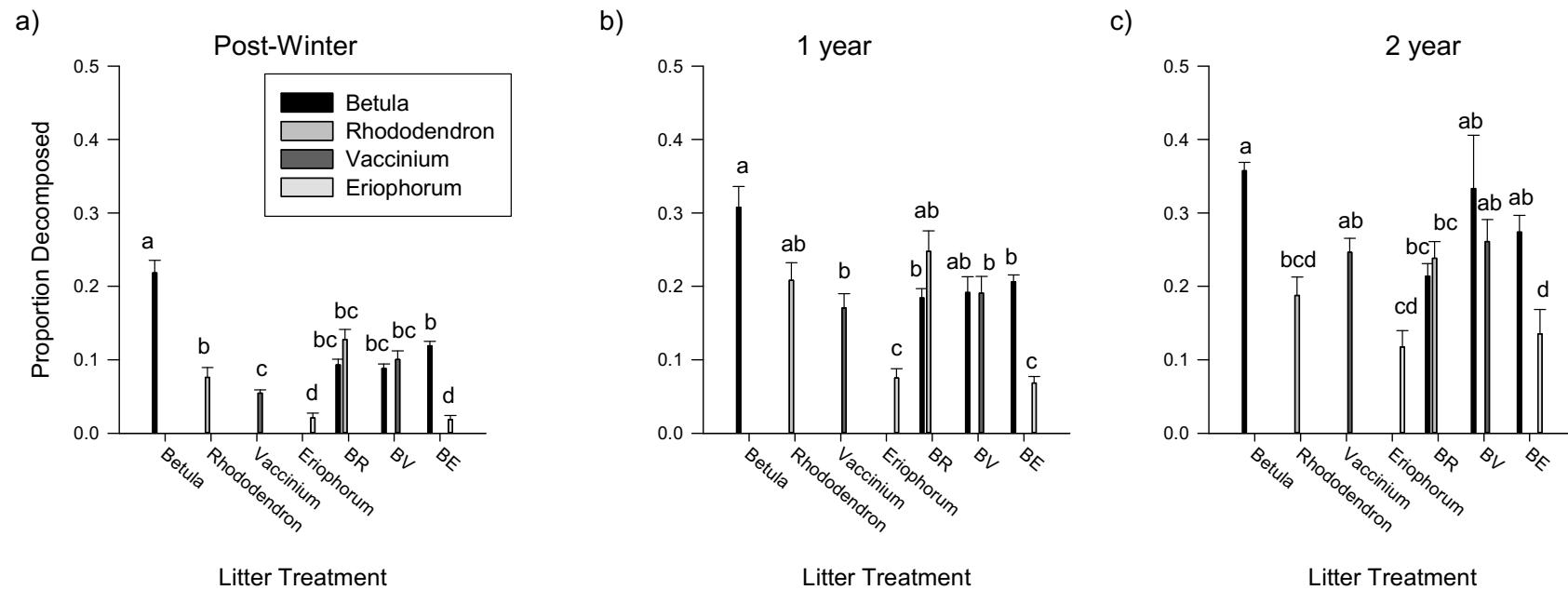


Fig 4.

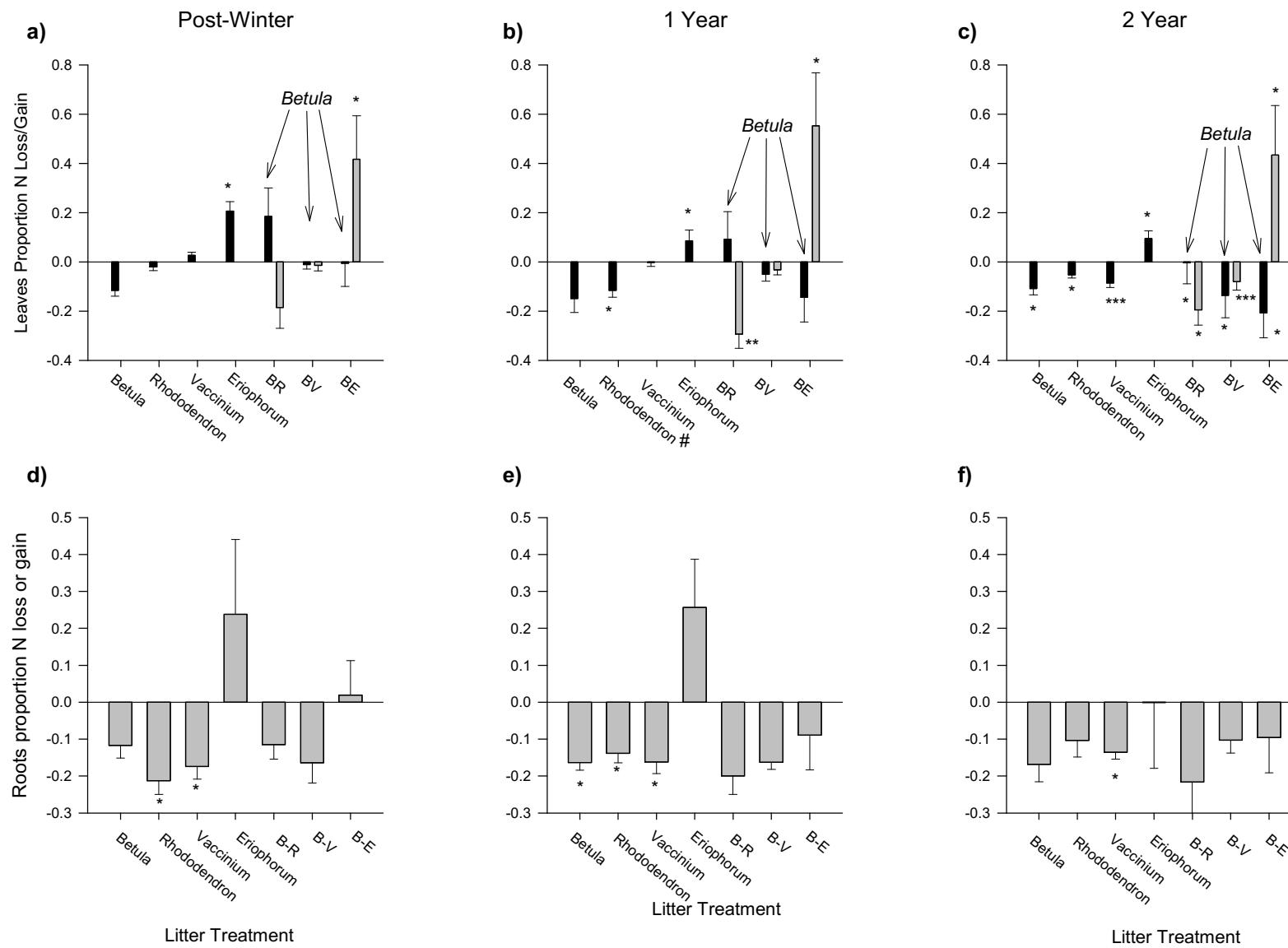


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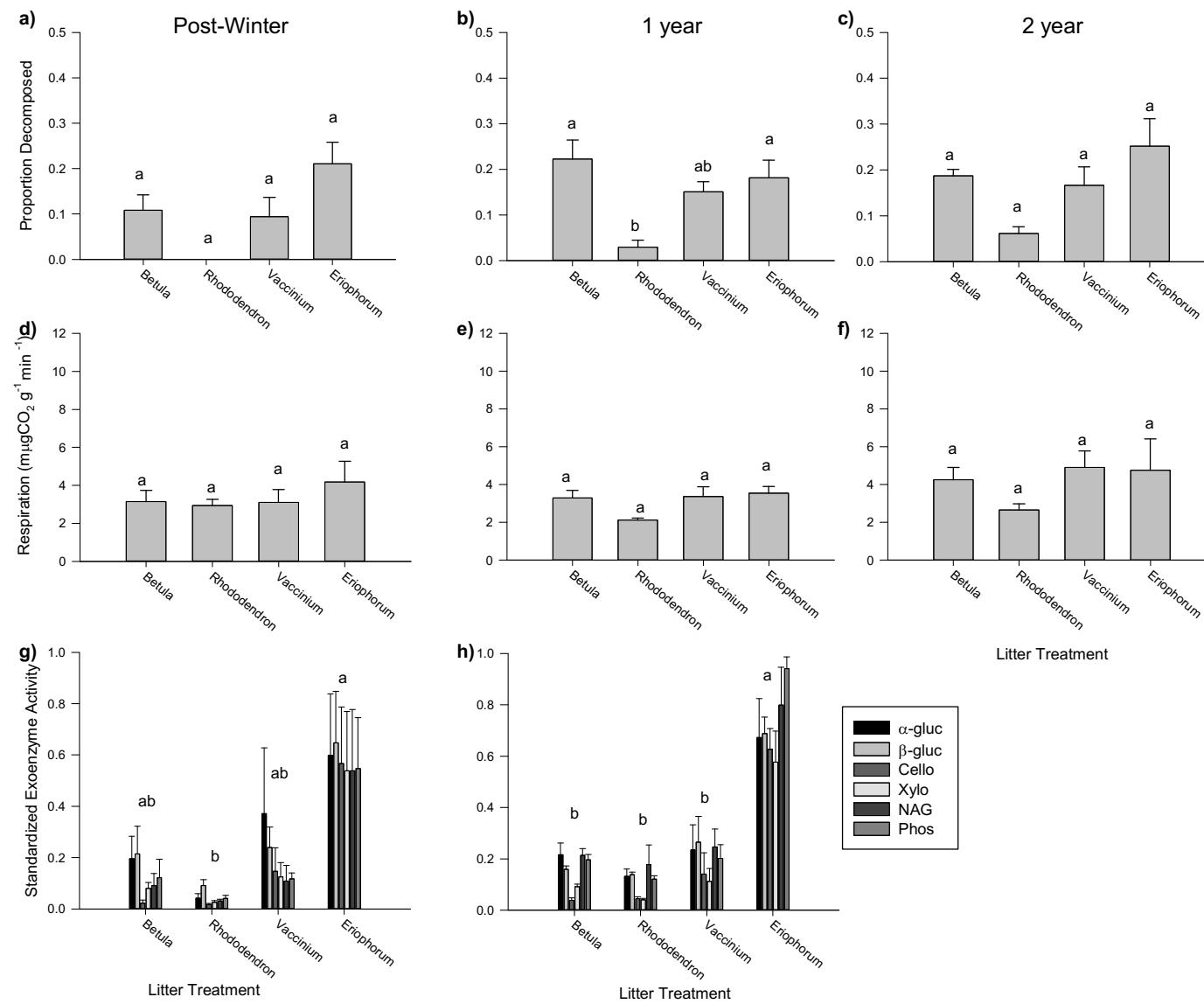


Fig. 6

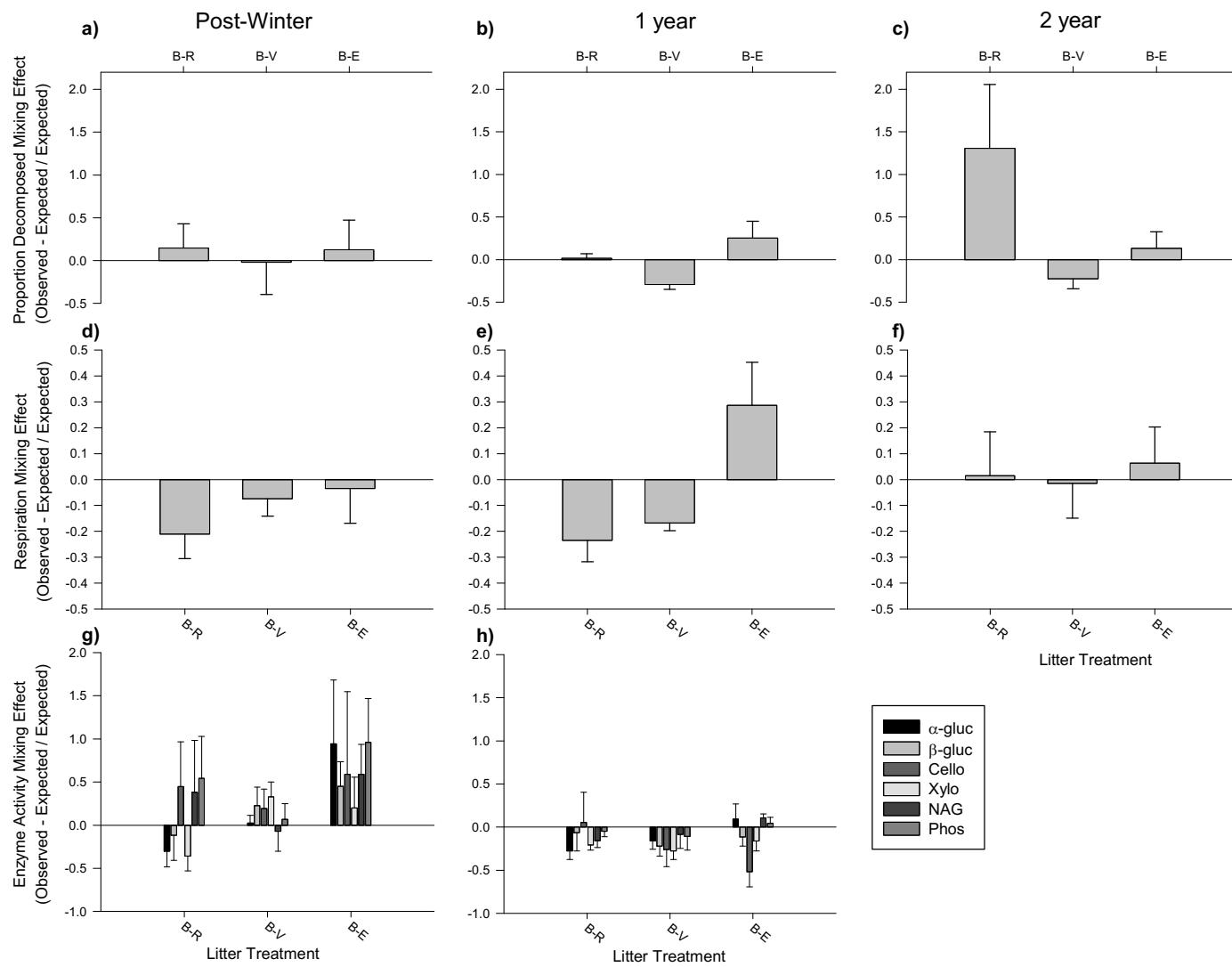


Fig 7