

Temperature and depth distribution of Japanese eel eggs estimated using otolith oxygen stable isotopes

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Running title: Japanese eel otoliths oxygen isotopes

40 ABSTRACT:

41 Oxygen isotope ratios of the core region of otoliths were examined in *Anguilla japonica* glass
42 eels collected from two rivers in Japan to verify the possible temperature and depth layer
43 experienced by these eels when they were at the egg stage in their spawning area. To determine
44 the relationship between otolith $\delta^{18}\text{O}$ values and water temperature, the otoliths of glass eels
45 reared under four different temperatures (15, 20, 25 and 30°C) were analyzed. The otolith $\delta^{18}\text{O}$
46 values showed an inverse relationship to ambient water temperature. Linear regression of the
47 fractionation between otolith oxygen isotopic ratio from the $\delta^{18}\text{O}$ of seawater and water
48 temperature produced a precisely determined relationship from 15 to 30 °C: $\delta^{18}\text{O}_{\text{otolith, PDB}} - \delta^{18}\text{O}_{\text{seawater, SMOW}} = -0.153 \times T (\text{°C}) + 1.418$. The $\delta^{18}\text{O}_{\text{core, PDB}}$ values of the otolith core region of the
49 glass eels from the two locations were -2.53 ± 0.12 and -2.59 ± 0.07 respectively, and could be
50 converted to water temperatures of 26.3 ± 0.8 °C and 26.7 ± 0.4 °C, respectively, using the
51 equation and assuming a seawater $\delta^{18}\text{O}_{\text{seawater, SMOW}} = 0.06 \text{ ‰}$. The water depth corresponding to
52 these temperatures is ~ 150 m in the water column in the spawning area of Japanese eels, which
53 corresponds to the upper-most part of the thermocline and chlorophyll maximum in the vertical
54 hydrographic profile. These results were consistent with the field studies that egg development
55 after the beginning of otolith formation and hatching occurs around the upper-most part of
56 the thermocline, suggesting that stable isotope micro-analysis is a powerful method to extrapolate
57 unknown spawning ecology of fishes.

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60 KEY WORDS: *Anguilla japonica*, glass eel, oxygen stable isotopes, SIMS, otolith, spawning
61 temperature and depth

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INTRODUCTION

65 The unusual life history of anguillid eels has fascinated scientists for more than a century,
66 and efforts to learn about their ecology have increased in recent years due to declines in their
67 population. The European eel *Anguilla anguilla* was registered as an endangered species in
68 2006 and remarkable population declines have been observed in two other species of temperate
69 freshwater eels, the American eel *A. rostrata* and Japanese eel *A. japonica* during recent decades
70 (Cassleman, 2003; Dekker, 2003; Tsukamoto et al., 2009a; ICES, 2010; Kuroki et al., 2014).

71 The Japanese eel has recently been registered as a Level II endangered species by the Ministry of
72 Environment of Japan in 2013

73 (http://www.env.go.jp/press/file_view.php?serial=21437&hou_id=16264), as well as registered
74 as IUCN Red List of Threatened Species (Jacoby et al., 2015). It has remained difficult to
75 clearly determine the reasons for the declines in anguillid eels that may include many factors
76 ranging from habitat loss and overfishing, and toxins and parasites, to changes in the ocean-
77 atmosphere system (Feunteun, 2002; Knights, 2003; Friedland et al., 2007; Miller et al., 2009) in
78 part because so little is known about their spawning ecology (Lecomte-Finiger, 1994).

79 Efforts to reduce fishing pressure on anguillid glass eels such as the Japanese eel have been
80 centered on developing artificial production of glass eels for seed in aquaculture. These efforts
81 depend on a clear understanding of the spawning biology and early life history of the
82 leptocephalus larvae of eels, which has remained very limited. Recent captures of mature adult
83 eels (Chow et al., 2009; Kurogi et al., 2011; Tsukamoto et al., 2011), preleptocephali just after
84 hatching (early development stage eel larvae) (Tsukamoto, 2006; Tsukamoto et al., 2011), the
85 fertilized eggs of Japanese eel (Tsukamoto et al., 2011) near the West Mariana Ridge, and
86 compilation of collection data with oceanohydrographic structures (Aoyama et al., 2014;
87 Schabetsberger et al., 2016) have provided new information about the mysterious spawning
88 ecology of eels. However, details about the actual temperature and depth of spawning and
89 hatching, which are vital for improved understanding of the spawning ecology and physiology

90 and early life history of the progeny, are still vague. Artificial spawning and rearing of the
91 Japanese eel in Japan has succeeded to complete their life cycle in captivity by spawning adult
92 females that were reared throughout their life from hatching to spawning in the laboratory
93 (Tanaka, 2011). There still remain many problems including low survival and deformities of
94 larvae in the process of artificial production (Okamura et al., 2007; Kurokawa et al., 2008;
95 Okamoto et al., 2009; Kuroki et al., 2016), so more information about the natural life history of
96 the Japanese eel should be examined from various aspects.

97 The oxygen stable isotope ratios ($\delta^{18}\text{O}$) in otolith aragonite have been shown to be at or near
98 isotopic equilibrium with the ambient water for several fish species, with fractionation being
99 controlled by temperature (Kalish, 1991a, 1991b; Radtke et al., 1996; Thorrold et al., 1997;
100 Campana, 1999; Høie et al., 2004; Walther and Thorrold, 2009; Hanson et al., 2010; Kitagawa et
101 al., 2013). Otolith $\delta^{18}\text{O}$ values have been used to help understand the migration behavior and
102 thermal requirements in commercially and ecologically important species such as haddock (Begg
103 and Weidman, 2001), Atlantic cod (Gao et al., 2001b), orange roughy (Shephard et al., 2007),
104 Pacific halibut (Gao and Beamish, 2003), sockeye salmon (Gao and Beamish, 1999), common
105 sole (Morat et al., 2014) and Pacific herring (Gao et al., 2001a). Conventional mass
106 spectrometry for otolith stable isotope analysis requires extraction of a certain amount of otolith
107 sample (i.e. > several μg of otolith powder), which limits a temporal resolution of reconstruction,
108 especially being problematic when focusing on otoliths core and the periphery. Recent
109 development of Secondary Ion Mass Spectrometry (SIMS) allowed the analysis of stable isotope
110 composition with a remarkably fine lateral spatial resolution at a scale of only several
111 micrometers with reasonable precision (Weber et al., 2005; Weidel et al., 2007; Sano et al.,
112 2008; Kozdon et al., 2009; Valley and Kita, 2009; Hanson et al., 2010; Matta et al., 2013;
113 Limburg et al., 2013; Hogan et al., 2016). The microanalysis of otolith $\delta^{18}\text{O}$ can provide
114 reliable information about the temperature environment experienced by individual fish with time
115 resolution corresponding to particular daily ages and life history stages.

116 In the present study, we demonstrate a method to estimate the hatching temperature and
117 depth of Japanese eel experimentally in the laboratory. We examined $\delta^{18}\text{O}$ of otolith core in glass
118 eels (Japanese eel) to determine the possible temperature and depth layer in the ocean of these
119 individuals when they were at the egg stage. Since the core region of eel otoliths is quite tiny,
120 only 10 to 20 μm diameter, high spatial resolution SIMS is the only method that allows analysis
121 of this domain. We performed SIMS analysis for the otolith core region using an 8 μm
122 diameter spot inside of the hatch check (core region), which completely avoids contamination by
123 isotopic signals from outside of the core. To apply otolith $\delta^{18}\text{O}$ values as a thermometer, we
124 first assessed the relationship between water temperature and otolith $\delta^{18}\text{O}$ values of glass eels
125 reared under four different temperatures (15, 20, 25 and 30°C). The estimated depth layer
126 where each glass eel may have been distributed during its egg stage was then determined by
127 comparing the water temperature estimated from $\delta^{18}\text{O}$ values of the otolith core region to the
128 temperature corresponding to a specific depth within an actual vertical profile of water
129 temperature in the spawning area of the Japanese eel near the West Mariana Ridge.

130

131 MATERIALS AND METHODS

132 Fish used for otolith analyses

133 Freshwater eels are catadromous fish species that breed at sea and grow in freshwater. They
134 hatch in shallow waters of deep ocean and the larvae, so called leptocephali, are transported in
135 currents and recruit to rivers after metamorphosis into juvenile glass eels. Otoliths formation
136 occurs during embryonic stage, and a laboratory experiment on artificially fertilized eggs showed
137 that the primordium (the initial complex structure of an otolith core) of the otoliths of Japanese
138 eels start to be formed at 13-15 h and 30-35 h after fertilization during 23-28 h and 48-54 h
139 incubation periods until hatching, at 28 °C and 19°C, respectively (Ahn et al., 2012). Thus, the
140 $\delta^{18}\text{O}$ values of the otolith core region reflect the ambient water temperature in the later 1/3 to 1/2
141 of the total egg development period. The oxygen isotope analysis of the otolith core regions
142 was carried out using two different groups of glass eels collected in Japan. One group of 26

143 glass eels was collected from the Tone River of north-central Japan using a hand net on February
144 1998 and preserved in 99.5% ethanol. The other group, totaling 120 glass eels, was collected
145 from the Hamana Lake (9.8°C, salinity 33.9 psu) in central Japan on February 2006 using a
146 commercial fishing set net. These eels collected in Hamana Lake were used for the rearing
147 experiment to determine the relationship between otolith oxygen isotope ratios ($\delta^{18}\text{O}$) and
148 ambient water temperature (Table 1).

149 The glass eels collected from Hamana Lake were kept in a polystyrene box with natural
150 seawater and brought to the IRAGO Institute, Aichi Prefecture, Japan, where the rearing
151 experiment was conducted. The glass eels were then kept in four tanks (10 l, 295 mm x 445
152 mm x 253 mm; polypropylene ABS resin) containing natural seawater (salinity 32.0 psu). Each
153 tank was equipped with an airstone to aerate the water and two 80 mm polyvinyl chloride (PVC)
154 pipes in which glass eels could shelter and were set in a water bath with temperature controlled
155 at 15°C. The otoliths of each glass eel were then marked by adding alizarin complexone (ALC,
156 10-15°C to a concentration of 100 ppm) for 16 hrs (Fig. 1A). After otolith staining with ALC
157 to mark the timing of the beginning of the temperature treatments, they were transferred to four
158 different experimental tanks of the same size with seawater (salinity 32.0 psu). Thirty glass
159 eels were put in each experimental tank, which were set in separate water baths and maintained
160 at water temperatures of 15, 20, 25 or 30°C, during the rearing period of 30 days. The water
161 temperature of each water bath was gradually changed to the respective temperatures from the
162 initial temperature of 15°C over 24 h after the glass eels were transferred. Glass eels were
163 reared under a 12L:12D photoperiod and were fed an excess of *Chironomus* sp. larvae (Kyorin
164 Co. Ltd., Japan) each day. A portion of the rearing water (2 L, 20% of water) in each tank was
165 exchanged every day with fresh seawater at each respective temperature. The survival rate of
166 glass eels was 74% in the 30°C treatment, but survival was 100% in the other temperatures.
167 Details are reported in Fukuda et al. (2009). The glass eels were frozen at – 20°C after the
168 rearing experiment finished, and their body lengths were measured and developmental stages
169 determined before the extraction of their otoliths.

170

171 **Otolith preparation for SIMS analysis**

172 Sagittal otoliths were extracted from each glass eel. After washing with ion-free water,
173 two otolith sample sets were embedded in epoxy resin together with the UWC-3 calcite standard
174 (Kozdon et al., 2009) produced by the University of Wisconsin for SIMS oxygen isotope
175 analysis (Fig. 1B). One sample set (Sample-A) was composed of 25 otoliths from the Hamana
176 Lake fish reared in four tanks of different temperatures (7, 7, 7 and 4 otoliths from fish of 15, 20,
177 25 and 30°C, respectively) (Fig. 1B). Otoliths used for the Sample-A were randomly selected
178 from each tank. The other set (Sample-B) included 26 otoliths of glass eels from the Tone
179 River. An otolith from left or right was randomly chosen.

180 Each otolith was ground to expose the core region using a grinding machine equipped with
181 56 µm and 13 µm diamond cup-whetstones (Diskoplan TS, Marumoto Strues, Co. Ltd. Japan).
182 They were then polished with 1–6 µm diamond films to make a completely flat low-relief
183 surface for SIMS analysis. Otoliths and standards were coated with gold after polishing and
184 cleaning. Twenty-one of 25 otoliths (3 from 15°C, 7 from 20°C, 7 from 25°C, 4 from 30°C) in
185 Sample-A were successfully ground and polished. The otolith edge portion outside of the ALC
186 mark in each otolith of Sample-A, which was formed during the 30-day rearing experiment, was
187 used for assessing the relationship between otolith $\delta^{18}\text{O}$ values and ambient water temperature
188 (Table 2, Fig. 1C). Four of the 21 otoliths in Sample-A and four of the 26 otoliths in Sample-B
189 that had a wider area of their core region successfully exposed, were further used for the otolith
190 $\delta^{18}\text{O}$ thermometry study to determine the water temperature experienced by the glass eels during
191 their egg stage. The core regions of the other otoliths were not the same level and was not
192 exposed to the surface and thus could not be analyzed. No significant difference in otolith
193 textures were observed between Sample-A and Sample-B in cross section, likely indicating that
194 there is effect due to storage in ethanol. A total of 74 analyses in 21 otoliths in Sample-A were
195 also used for the comparison of the otolith $\delta^{18}\text{O}$ values to otolith growth patterns.

196

197 **Ion microprobe oxygen isotope analyses of otoliths**

198 Oxygen isotope analyses were performed at the WiscSIMS Laboratory, University of
199 Wisconsin, using a CAMECA ims-1280 high resolution, multi-collector ion microprobe and
200 analytical protocols described previously (Weidel et al., 2007; Kita et al., 2009; Kozdon et al.,
201 2009). We used a $^{133}\text{Cs}^+$ primary ion beam with an intensity of 1.7 to 1.8 nA, which was
202 focused to a spot size of approximately 8 μm diameter. The secondary O^- ions were detected
203 simultaneously by two Faraday cups. The typical count rate of $^{16}\text{O}^-$ was 3.2 to 3.5×10^9 cps.
204 Total analytical time per spot was about 3 min including pre-sputtering (10 s), automatic
205 centering of the secondary ions (~ 80 s), and analysis (80 s). Four to six spots were analyzed in
206 the outer margin of each otolith along with one or two spots within the core region, which were
207 carefully positioned (Fig. 1C).

208 Small pieces of UWC-3 calcite standard that were mounted in the center of each sample
209 (Fig. 1B) were measured in at least four spots before and after every < 13 spot analyses, and the
210 resulting average value bracketing the samples was used for instrumental drift correction. The
211 instrumental bias and the matrix effect are stable and almost constant within the analytical
212 uncertainty as far as we keep the same analytical condition within a single session (see Figures
213 3&4 in Kita et al., 2009). For this reason, we frequently measured standard analyses to confirm
214 the stability of the analytical condition in every session. All $\delta^{18}\text{O}$ values (in permil, ‰) of otolith
215 rims or cores are presented relative to the Vienna Pee Dee Belemnite (VPDB) scale ($\delta^{18}\text{O}_{\text{otolith}}$,
216 PDB or $\delta^{18}\text{O}_{\text{core, PDB}}$, respectively). For oxygen isotope ratios of biogenic calcium carbonate,
217 comparison of $\delta^{18}\text{O}_{\text{carbonate, PDB}}$ and $\delta^{18}\text{O}_{\text{water SMOW}}$ is commonly used (see Wanamaker et al.,
218 2007; Paleoceanography, for example). We added two scales of the Y-axis when needed, one for
219 alpha and another for $\delta^{18}\text{O}_{\text{carbonate, PDB}}$ and $\delta^{18}\text{O}_{\text{water SMOW}}$. Reproducibility of the individual spot
220 analyses of UWC-3 standard (bracketing analyses; $n \sim 8$) was assigned as the precision of a single
221 analysis, which is typically 0.3–0.4 ‰ (2 SD). The variability within each otolith is presented
222 as the standard deviation of all spots in each individual otolith (2 SD).

223 These procedures have been shown to produce accurate and precise values for oxygen
224 isotope ratio in abiogenic calcite (Kita et al., 2009; Valley and Kita, 2009), but analysis of
225 biogenic aragonite introduces the possibility of a systematic offset in $\delta^{18}\text{O}$. The SIMS technique
226 is comparative and there is no theoretical basis for comparing samples that differ from
227 calibration standards in mineralogy or chemical composition. At the time of analysis, there were
228 no reliable standards for aragonite or for biogenic carbonates that contain even trace amounts of
229 water or organic matter. Recently, estimates of these effects suggest that there could be a
230 systematic difference in matrix effect (instrument bias) of up to 2‰ for biogenic aragonite
231 causing low $\delta^{18}\text{O}$ values (Orland et al., 2015; Linzmeier et al., 2016; Sliwinski et al., 2017),
232 which has not been clarified when this work was performed in 2008. Importantly, this study
233 compares SIMS analyses of natural vs. lab-reared otoliths. The UWC-3 calcite is used as a
234 running standard and thus any systematic offset in $\delta^{18}\text{O}(\text{otolith})$ due to matrix effects will have
235 no effect on relative differences between otoliths or on the oxygen isotope temperatures that are
236 calibrated by the same standard. Thus the conclusions of this study are not affected by choice of
237 standard.

238

239 **Oxygen isotope analysis of rearing water**

240 To determine the isotopic fractionation factor for O between the otolith and the ambient
241 water with different temperatures, we examined the $\delta^{18}\text{O}$ of the rearing water of each tank
242 collected at the beginning, middle and the end of the rearing experiment (0, 15, 30 days after the
243 start of rearing). Fifty ml of rearing water was sampled for mass spectrometry.

244 The oxygen isotope ratio of the water was determined using the conventional headspace
245 $\text{CO}_2\text{-H}_2\text{O}$ equilibration technique (e.g. Epstein and Mayeda, 1953). Equilibrated CO_2 in the
246 headspace was analyzed with a dual-inlet stable isotope mass spectrometer IsoPrime, installed at
247 the Kochi Core Center of JAMSTEC in Japan. The analytical precision of the measurements
248 was 0.1 ‰ for $\delta^{18}\text{O}$ values based on the reproducibility of laboratory standards. All $\delta^{18}\text{O}$ values
249 of water are presented in Table 2 as the Vienna Standard Mean Ocean Water (V-SMOW) scale

250 ($\delta^{18}\text{O}_{\text{seawater, v-SMOW}}$). For calculating a fractionation factor between seawater and otolith,
251 $R_{\text{PDB}}/R_{\text{VSMOW}}$ value of 1.03091 (Coplen 1983) was used.

252

253 **Otolith growth effects on $\delta^{18}\text{O}$ values**

254 Growth-rate-related kinetic disequilibrium in skeletal $\delta^{18}\text{O}$ has been widely observed in
255 corals (e.g. McConaughey, 1989). However, there are only limited information about possible
256 growth effects on $\delta^{18}\text{O}$ of otoliths (e.g. Geffen et al., 2012). Some intra- and inter-otolith
257 growth variations during the 30-day rearing period within the same temperature treatment were
258 evident and seen as variable distance from ALC marks to otolith edge (Fig. 1A, C). To
259 examine the effect of otolith growth rate on the fractionation of otolith $\delta^{18}\text{O}$ values, the $\delta^{18}\text{O}$
260 values were compared among otolith portions with different widths outside the ALC mark. To
261 do this, we used a total of 74 $\delta^{18}\text{O}$ values from analysis points in 21 otoliths in Sample-A, which
262 were located on the otolith margin outside of the ALC mark in areas with various growth
263 patterns. Each otolith space outside the ALC mark was measured under an optical microscope
264 fitted with an image processor. The relationships between the otolith growth rates and $\delta^{18}\text{O}$
265 values were examined for the four different water temperature treatments.

266

267 **RESULTS**

268 The mean $\delta^{18}\text{O}$ values of the otolith edge precipitated during the 30-day rearing period under 15,
269 20, 25 and 30 °C were -1.01 ± 0.03 (1 SD, $n=3$), -1.81 ± 0.19 ($n=7$), -2.43 ± 0.14 ($n=7$), and $-$
270 $3.08 \pm 0.15\text{‰}$ ($n=4$), respectively. There was a significant difference among the $\delta^{18}\text{O}$ values of
271 four temperatures (Table 2, F- test, ANOVA, $p<0.01$) with an inverse relationship to ambient water
272 temperature (Fig. 2) presented as following equation:

273

274 $\delta^{18}\text{O}_{\text{otolith, PDB}} = -0.130 \times T (\text{°C}) + 0.822$ ($r^2 = 0.89$) (Eq. 1)

275

276

277 The mean $\delta^{18}\text{O}$ values (1 SD) of seawater used for the rearing at temperatures of 15, 20, 25

278 and 30°C were -0.26 ± 0.12 , -0.15 ± 0.10 , -0.05 ± 0.08 , and $-0.05 \pm 0.29 \text{ ‰}$, respectively
279 (Table 2). The otolith $\delta^{18}\text{O}$ fractionation relative to the rearing seawater is described as
280 following; $\delta^{18}\text{O}_{\text{otolith, PDB}} - \delta^{18}\text{O}_{\text{seawater, SMOW}}$, at temperatures of 15, 20, 25 and 30°C were $-0.75 \pm$
281 0.12 (mean \pm SD), -1.66 ± 0.21 , -2.48 ± 0.16 and $-3.03 \pm 0.33 \text{ ‰}$, respectively (Table 2, Fig.
282 3). When described using the fractionation factor, $1000 \ln \alpha$ (otolith – seawater) at 15, 20, 25 and
283 30°C, the values are 29.65 ± 0.12 (mean \pm SD), 28.75 ± 0.21 , 27.91 ± 0.16 , and 27.37 ± 0.33 ,
284 respectively.

285

286 There remained a significant difference among the four $\delta^{18}\text{O}$ values (F-test, ANOVA,
287 $p < 0.01$) and a strong inverse relationship between $\delta^{18}\text{O}_{\text{otolith}} - \delta^{18}\text{O}_{\text{seawater}}$ and temperature ($-0.153 \text{ ‰ }^{\circ}\text{C}^{-1}$) was shown by the linear regression from 15 to 30°C as follows:

289

290 $\delta^{18}\text{O}_{\text{otolith, PDB}} - \delta^{18}\text{O}_{\text{seawater, SMOW}} = -0.153 \times T (\text{°C}) + 1.418$ ($r^2 = 0.95$) (Eq. 2)

291

292 The relationship between oxygen isotopic composition and temperature is also presented as
293 follows:

294 $1000 \ln \alpha(\text{otolith-water}) = 13.39 \times 1000/T (\text{K}) - 16.91$ ($r^2 = 0.94$) (Eq. 3)
295 where $\alpha(\text{otolith-water}) = (\delta^{18}\text{O}_{\text{otolith, PDB}} + 1000) / (\delta^{18}\text{O}_{\text{seawater, PDB}} + 1000)$.

296 The ranges and means of $\delta^{18}\text{O}_{\text{otolith, PDB}}$ of the otolith core region of 8 of the glass eels
297 collected from Hamana Lake and the Tone River were -2.42 to -2.69 and -2.53 ± 0.12 ($\text{‰} \pm$
298 SD), and -2.53 to -2.69 and -2.59 ± 0.07 , respectively (Table 3). There was no significant
299 difference in the $\delta^{18}\text{O}$ values between the glass eels from the two sampling sites (Mann-Whitney
300 U-test, $p < 0.05$). Oxygen isotopic composition of seawater during the core formation was
301 estimated from data obtained from as near as possible to the spawning/hatching site (122.99°E ,
302 16.52°N) in June 1974, and to be 0.06 ‰ (averaged value of possible depth, -0.03 ‰ at 103 m,
303 0.05 ‰ at 168 m, 0.16 ‰ at 226 m, and 0.06 ‰ at 324 m) (Östlund et al., 1973). By assuming
304 this seawater oxygen isotopic composition, the $\delta^{18}\text{O}$ values of the otolith core regions could be

305 converted to 26.3 to 27.3 °C for glass eels from the Hamana Lake and 25.5 to 27.3 °C for those
306 from the Tone River using equation (Eq.3) that expressed the relationship between otolith $\delta^{18}\text{O}$
307 values without correction of possible effects of isotope composition in rearing water and ambient
308 water temperature. The mean (\pm SD) of the estimated temperature of the eight glass eels was
309 26.5 ± 0.6 °C, suggesting that each glass eel had experienced a water temperature environment of
310 about 26-27 °C during the incubation period after primordium formation. When otolith core
311 $\delta^{18}\text{O}$ values were simply converted to temperature without correction of the $\delta^{18}\text{O}_{\text{seawater}}$ using Eq.
312 1, estimated temperature showed similar value of 25.9 ± 0.7 °C. The water depths corresponding
313 to those estimated temperatures were at \sim 150 m in the water column, which almost corresponds
314 to the upper-most part of the thermocline and chlorophyll maximum in the vertical profile of
315 water temperature in the spawning area of the Japanese eel (Fig. 4; Tsukamoto et al., 2011).

316 Inter- and intra-otolith variations in rate of otolith growth outside of the ALC mark,
317 corresponding to the precipitation rate of aragonite during the 30-day rearing period, were found
318 in glass eels reared at a constant water temperature (Fig. 5). The ranges of otolith radial growth
319 in 30 days were 10 to 20 μm at 15 °C and 20 to 60 μm at and above 20 °C (Fig. 5). The average
320 growth rate over the 30 days incubation period ranged from $17 \pm 4 \mu\text{m}$ at 15 °C to $39 \pm 13 \mu\text{m}$ at
321 30 °C (Table 2). The otolith growth rate at 15 °C was markedly lower than those in the other
322 higher temperature treatments (Fig. 5). The $\delta^{18}\text{O}$ values of the otolith edge outside the ALC
323 mark were fairly homogeneous and were almost all included within the 95% confidence interval
324 for each water temperature treatment (Fig. 5). Growth or precipitation rate dependent oxygen
325 isotopic fractionations are reported for inorganically precipitated calcite at both a bulk scale
326 (Dietzel et al., 2012) and a micrometer scale (Gabitov et al., 2012), however this was not the case
327 for eel otoliths. This suggests that otolith radial growth rate may have little effect on the
328 fractionation of $\delta^{18}\text{O}$ values in otolith aragonite, and individual $\delta^{18}\text{O}$ data obtained by ion
329 microprobe reflects representative value of the growth timing.

330

331

DISCUSSION

332 The present study is the first to examine eel otoliths using the secondary ion mass
333 spectrometry (SIMS) microanalysis technique to estimate the temperature environment where the
334 eggs of the Japanese eel develop and hatch. Equation (2) shows that the temperature
335 dependence of otolith $\delta^{18}\text{O}$ is inversely related ($-0.153\text{ ‰ }^{\circ}\text{C}^{-1}$) in the Japanese eel. Similar
336 inverse relationships between otolith $\delta^{18}\text{O}$ values and ambient water temperature have been
337 reported in several fish species (Kalish, 1991a, 1991b; Radtke et al., 1996; Thorrold et al., 1997;
338 Høie et al., 2004; Kitagawa et al., 2013; Table 4). The slope and intercept of the temperature
339 dependence curve (Fig. 6, Table 4) of oxygen isotope fractionation ($1000 \ln \alpha(\text{otolith-water})$) of
340 glass eel otoliths in the present study seem to be slightly different from the values given by
341 previous studies for fish species (Kalish, 1991a, 1991b; Patterson et al., 1993; Radtke et al.,
342 1996; Thorrold et al., 1997; Høie et al., 2004; Kitagawa et al., 2013). The slope of the
343 temperature dependence of glass eel otoliths in the present study (13.39) is smaller (shallower
344 slope) than the values given by previous studies for fish species such as Atlantic cod (*Gadus*
345 *morhua*) (18.70, Radtke et al. 1996, 1998, 16.75, Høie et al. 2004), Atlantic croaker
346 (*Micropogonias undulatus*) (18.56, Thorrold et al. 1997) and Pacific bluefin tuna larvae (*Thunus*
347 *orientalis*) (24.28, Kitagawa et al. 2013) as well as for inorganic aragonite (17.88, Kim et al.
348 2007) and inorganic calcite (18.03, Kim and O’Neil 1997) (Table 4, Figure 6). The lower
349 survival rate of the glass eels reared at 30°C (74% vs. 100% survival at other temperatures) and
350 the fewer increments deposited in the otoliths of the glass eels reared at 15°C during the 30-day
351 rearing period compared to fish at 25 – 30°C that formed complete daily increments (Fukuda et
352 al. 2009) indicated those temperatures affected the physiology of glass eels. Those higher and
353 lower temperatures may cause some physiological stress that might also potentially influence
354 otolith $\delta^{18}\text{O}$ values and consequently the slope of the regression. However, because of possible
355 systematic differences in the matrix effects during SIMS analysis for aragonite samples vs.
356 calcite standards, it is difficult to determine whether the difference of the curves is the real or an
357 analytical artefact. It should be noted that the temperature calibration of the otolith core data was
358 obtained from the reared eel otoliths for which matrix matches otoliths from wild eels, thus any

359 differences in matrix effect will be canceled out and the accuracy of calibrated hatching
360 temperatures should not be affected.

361 The otolith thermometry of the present study targeted the otolith core region of glass eels
362 and strongly suggested that the eggs of the Japanese eel occurred at a depth layer of about 150 m
363 in the water column in the spawning area (Table 3, Fig. 4). The prediction of this depth layer
364 based on otolith $\delta^{18}\text{O}$ values (data first obtained in 2008; Shirai et al., 2010) directly contributed
365 to the discovery and first recovery of Japanese eel eggs around the southern part of the West
366 Mariana Ridge by Tsukamoto et al. (2011), before the present study was published. These data
367 led net sampling for eggs to be focused on more shallow layers (upper 200 m) in water over 3000
368 m deep, which markedly decreased the amount of time and effort for each net towing, thus
369 increasing the efficiency of fishing and the chances of finding eggs. Fertilized eggs and
370 recently hatched preleptocephali also have been collected from the depth layer of 150 – 170 m at
371 the upper-most part of the thermocline and around the chlorophyll maximum in the water column
372 (Fig. 4; Tsukamoto et al., 2011; Aoyama et al., 2014), which is close to the depth of the egg
373 occurrence estimated by otolith $\delta^{18}\text{O}$ values. On the other hand, eels may spawn in the depth
374 layer of 180 – 320 m as suggested by Kurogi et al. (2011) who captured post-spawning female
375 Japanese eels in their spawning area. The expected spawning depth of 180 – 320 m
376 (corresponding temperatures are 24 – 13 °C) will produce otolith oxygen isotopic composition of
377 -2.2 – -0.5 ‰ using Eq(1), which is significantly lower than the observed values of otolith
378 cores. The otolith isotopic signature indicates that egg development in the latter half of the
379 incubation period, hatching, and early development may all occur at a depth layer of ~150 m.
380 Pelagic eel eggs may immediately float up after spawning and become retained under the
381 thermocline until the hatching. This behavior is supported by both field egg/preleptocephali
382 collections and otolith isotopic signatures. The Japanese eel eggs and newly hatched larvae
383 possibly become neutrally buoyant compared to the seawater at about 26–27 °C, which
384 corresponds to the upper layer of the thermocline. This is consistent with the experimental
385 result by Tsukamoto et al. (2009b) that the specific gravity of artificially produced eggs and

386 preleptocephali of Japanese eel were around those of the seawater at 22 – 26°C, which roughly
387 corresponded to the water temperature at depths of 200 – 100 m in the North Equatorial Current
388 (15°N, 140°E). It should be noted that these values of temperature and depth were estimated
389 from the on-site environmental parameters from which the Japanese eel eggs and
390 preleptocephali were actually collected. No significant difference in $\delta^{18}\text{O}_{\text{otoliths}}$ between two
391 populations from different year class (Tone river in 1998 for Sample-A and Hamana Lake in
392 2006 for Sample-B) may indicate that the spawning eels actively chose a favorable condition for
393 spawning (i.e. temperature and salinity), irrespective of variability in annual change of local
394 environmental settings. Since the adequate spawning location varies inter-annually depending
395 on the environmental settings of spawning timing (Aoyama et al. 2014), similar $\delta^{18}\text{O}_{\text{otolith}}$ values
396 between two populations may indicate that temperature and salinity for hatching is important
397 factor to determine a spawning location for Japanese eel.

398 The narrow range of temperatures estimated by the $\delta^{18}\text{O}$ values of the eight glass eel
399 otolith core regions in this study indicates that the eel eggs stayed in a limited range of
400 temperatures during the incubation period at least after primordium formation. The primordium
401 of the otoliths of Japanese eels is formed at about 15 – 18 hrs after fertilization during an
402 incubation period of 27 – 32 hrs at 25°C in the laboratory (Ahn et al., 2012). The analysis spots
403 for SIMS analysis were randomly distributed on the surface of the otolith core region, and the
404 constant $\delta^{18}\text{O}$ values of the otolith core region indicate that the oxygen isotope composition was
405 constant within the otolith core region. The eggs may have accumulated and been retained
406 around the thermocline until they hatched. The thermocline, also usually a pycnocline or density
407 gradient, may function like a ceiling for trapping eggs that float up from the possibly deeper
408 spawning depth layer. Okamura et al. (2007) suggested that the optimal temperature for eggs
409 and pre-feeding larvae of Japanese eels is approximately 25 – 28°C. Kurokawa et al. (2008)
410 reported the most suitable temperature for rearing without deformities to be 24 - 26°C in
411 Japanese eels. These reports agree with the present findings on the temperatures experienced
412 during the egg stage. Early larval development around the thermocline and chlorophyll

413 maximum would also likely be advantageous for the feeding of eel larvae, which feed on marine
414 snow (Otake et al., 1993; Miller et al., 2013) because marine snow is produced in the upper few
415 hundred meters including within the chlorophyll maximum (Ichikawa, 1982; Hebel and Karl,
416 2001) it may often accumulate around the pycnocline (MacIntyre et al., 1995).

417 The present study applied SIMS for otolith oxygen isotope micro-analysis with lateral
418 spatial resolution of 8 μm and provided $\delta^{18}\text{O}$ values of the otolith core region of glass eels to
419 estimate the water temperature where Japanese eel eggs develop. The estimated depth layer of
420 ~ 150 m where eggs may be distributed was predicted based on the rearing experiments and
421 confirmed by field sampling data of eel eggs and larvae. The small beam size and the ability to
422 analyze areas as close as several microns, together with improved precision, allow otolith isotope
423 profiles to be obtained with daily resolution as Hanson et al. (2010) suggested. The present
424 study shows that stable isotope micro-analysis provides important new information for ecology
425 on the early life history of eels, as well as for unknown migration ecology of fishes. Future
426 studies using this technique may shed light on the spawning depths of other anguillid eels and on
427 the depths used by their leptocephali later during their early life history.

428

429 **Acknowledgements**

430 The authors thank Dr. Akira Shinoda of the Tokyo Medical University for providing the glass
431 eels collected from Tone River, and Dr. Michael. J. Miller of the Nihon University, for
432 improving the manuscript. This work was supported in part by the Ministry of Education,
433 Culture, Sports, Science and Technology (MEXT) Grants in Aid No. 21380122 and 24380105
434 (P.I. Tsuguo Otake), No. JP16KT0028 (P.I. Kotaro Shirai) and No. 21228005 (P.I. Katsumi
435 Tsukamoto) to Atmosphere and Ocean Research Institute, The University of Tokyo. The
436 WiscSIMS Laboratory is funded by the US-NSF (EAR-0319230, 1053466, 13-55590). Kotaro
437 Shirai and Tsuguo Otake contributed equally to this work.

438

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634

635 **Figure captions**

636 Fig. 1 (A) An otolith of Japanese eel used in the present experimental study that was marked
637 with alizarin complexone (ALC) with the orange ALC mark showing the timing of the beginning
638 of the temperature treatments. (B) A sample set including 25 otoliths (Sample-A) from glass eels
639 reared in four tanks at different temperatures (15, 20, 25 and 30°C) and a piece of an analysis
640 standard (calcite: UWC-3). (C) An otolith analyzed by SIMS showing six analysis spots outside
641 of the ALC mark (circles) that were used for determination of the relationship between otolith
642 $\delta^{18}\text{O}$ and water temperature, and two analysis spots within the otolith core region (arrowheads)
643 that were used for estimation of the temperature and depth layer experienced during the egg
644 stage.

645

646

647 Fig. 2 The relationship between otolith $\delta^{18}\text{O}$ and rearing water temperature on Japanese eel.
648 Each data point shows individual spot of the analysis.

649

650

651 Fig. 3 Correlation of the fractionation between otolith of Japanese eel and seawater with water
652 temperature. Temperature and fractionation factor are also presented in 1000/T and difference of
653 delta values ($\delta^{18}\text{O}_{\text{otolith, PDB}} - \delta^{18}\text{O}_{\text{seawater, SMOW}}$) at upper horizontal and right vertical axes,
654 respectively. Each data point shows the average for individual otolith.

655

656

657

658 Fig. 4 Depth distribution of Japanese eel preleptocephali and environmental parameters plotted
659 by depth from the ocean surface in the spawning area, and the estimated temperature of egg
660 distribution (modified from Tsukamoto et al., 2011 Figure 6). The number of preleptocephali that
661 were collected at each depth stratum is shown by blue bars. The thermocline is shown by pale
662 blue area between dotted lines and is the area of most rapid change in water temperature (red
663 line) with depth. The large increase in chlorophyll concentration (green line) at around 150 m
664 also occurred at the top of the thermocline. Sigma-t (orange line) is a measure of water density
665 that is calculated from both salinity (blue line) and temperature. The estimated temperature of
666 egg distribution (25.5 – 27.3 °C, diamonds) corresponds to the depth about 150 m in the
667 uppermost portion of the thermocline.

668

669 Fig. 5 The relationship between otolith $\delta^{18}\text{O}$ and otolith growth rate of Japanese eel glass eels
670 reared at temperatures of 15 (◆), 20 (□), 25(▲) and 30 (×) °C.

671

672 Fig. 6 Comparison of the fractionation factor (otolith-seawater) obtained in the present study
673 with that of otoliths as well as inorganic calcite and aragonite published in the literature.
674 Fractionation factor and temperature are presented as $1000 \ln \alpha$ on vertical axis and $1000/T$ (K)
675 on horizontal axis, respectively.

676

Table 1. Characteristics of the glass eels used in experiments for each temperature treatment group in the present study. (from Fukuda et al. 2009).

Water temperature (°C)	Number of fish	Total length (mm) (mean \pm SD)	Body weight (g) (mean \pm SD)	Survival rate (%)
15	3	61.1 \pm 3.1	0.18 \pm 0.03	100
20	7	60.8 \pm 1.8	0.22 \pm 0.02	100
25	7	65.1 \pm 3.5	0.20 \pm 0.03	100
30	4	60.4 \pm 3.8	0.15 \pm 0.04	74

Total length and body weight present those at the end of 30 days rearing period.

Table 2. $\delta^{18}\text{O}$ values of rearing seawater, number of otolith analyzed, otolith growth at analysis point during 30 days rearing period, $\delta^{18}\text{O}$ values of otolith portion precipitated during the rearing period and those corrected by the ratio of rearing seawater.

Water temperature (°C)	$\delta^{18}\text{O}$ seawater (‰, SMOW)	Number of otolith	Number of analysis points	Otolith growth ($\mu\text{m}/30$ days)	Otolith $\delta^{18}\text{O}$ (‰, PDB) (mean \pm SD)	Otolith $\delta^{18}\text{O}$ corrected by $\delta^{18}\text{O}$ of rearing seawater (‰, PDB) (mean \pm SD)
15	-0.26 \pm 0.12	3	10	17.1 \pm 3.67	-1.01 \pm 0.03	-0.75 \pm 0.12
20	-0.15 \pm 0.10	7	25	34.2 \pm 9.16	-1.81 \pm 0.19	-1.66 \pm 0.21
25	0.05 \pm 0.08	7	22	39.8 \pm 11.1	-2.43 \pm 0.14	-2.48 \pm 0.16
30	-0.05 \pm 0.29	4	17	39.2 \pm 13.4	-3.08 \pm 0.15	-3.03 \pm 0.33

Otolith $\delta^{18}\text{O}$ value is presented as a mean of representative ratio for each otolith that is the average of 3 - 7 points in each otolith.

Table 3. $\delta^{18}\text{O}$ values of otolith core region and ambient water temperature on wild-caught glass eels estimated by the otolith $\delta^{18}\text{O}$ values.

Collection sites of glass eel	s	Otolith $\delta^{18}\text{O}$ (‰, PDB)	Estimated water temperature (°C)
Tone River	1	-2.55 ± 0.19	26.4
	2	-2.69 ± 0.19	27.3
	3	-2.42 ± 0.19	25.5
	4	-2.46 ± 0.11	25.8
	Mean	-2.53 ± 0.12	26.3 ± 0.8
Hamana Lake	1	-2.58 ± 0.41	26.6
	2	-2.57 ± 0.25	26.5
	3	-2.69 ± 0.32	27.3
	4	-2.53 ± 0.13	26.3
	Mean	-2.59 ± 0.07	26.7 ± 0.4

Mean (\pm SD) of eight temperatures was 26.5 (\pm 0.6) °C. Error of each analysis was assigned from the reproducibility of UWC-3 (2SD). Estimation of water temperature by otolith $\delta^{18}\text{O}$ is by the equation: $\delta^{18}\text{O}_{\text{otolith, PDB}} - \delta^{18}\text{O}_{\text{seawater, SMOW}} (=0.06\%) = -0.153 \times T (\text{°C}) + 1.418$

Table 4 Temperature dependence of oxygen isotope fractionation (1000 ln α (otolith-seawater)) in this study and the literature.

Reference	Species	Equations	Temperature range (°C)
This study	<i>Anguilla japonica</i>	1000 ln α = 13.39 x (1000/T) - 16.91	15-30
Kim et al. 2007	Inorganic aragonite	1000 ln α = 17.88 x (1000/T) - 31.14	0-40
Patterson et al. 1993	Several freshwater fish species	1000 ln α = 18.56 x (1000/T) - 33.49	0-40
Thorrold et al. 1997	<i>Micropogonias undulatus</i>	1000 ln α = 18.56 x (1000/T) - 32.54	3.2-30.3
Radtke et al. 1998	<i>Gadus morhua</i>	1000 ln α = 18.70 x (1000/T) - 33.13	18.2-25
Hoie et al. 2004	<i>Gadus morhua</i>	1000 ln α = 16.75 x (1000/T) - 27.09	9.0-16.0
Kitagawa et al. 2013	<i>Thunnus orientalis</i>	1000 ln α = 24.28 x (1000/T) - 52.83	23.0-27.0
Kim and O'Neil 1997	Inorganic calcite	1000 ln α = 18.03 x (1000/T) - 32.42	10-40

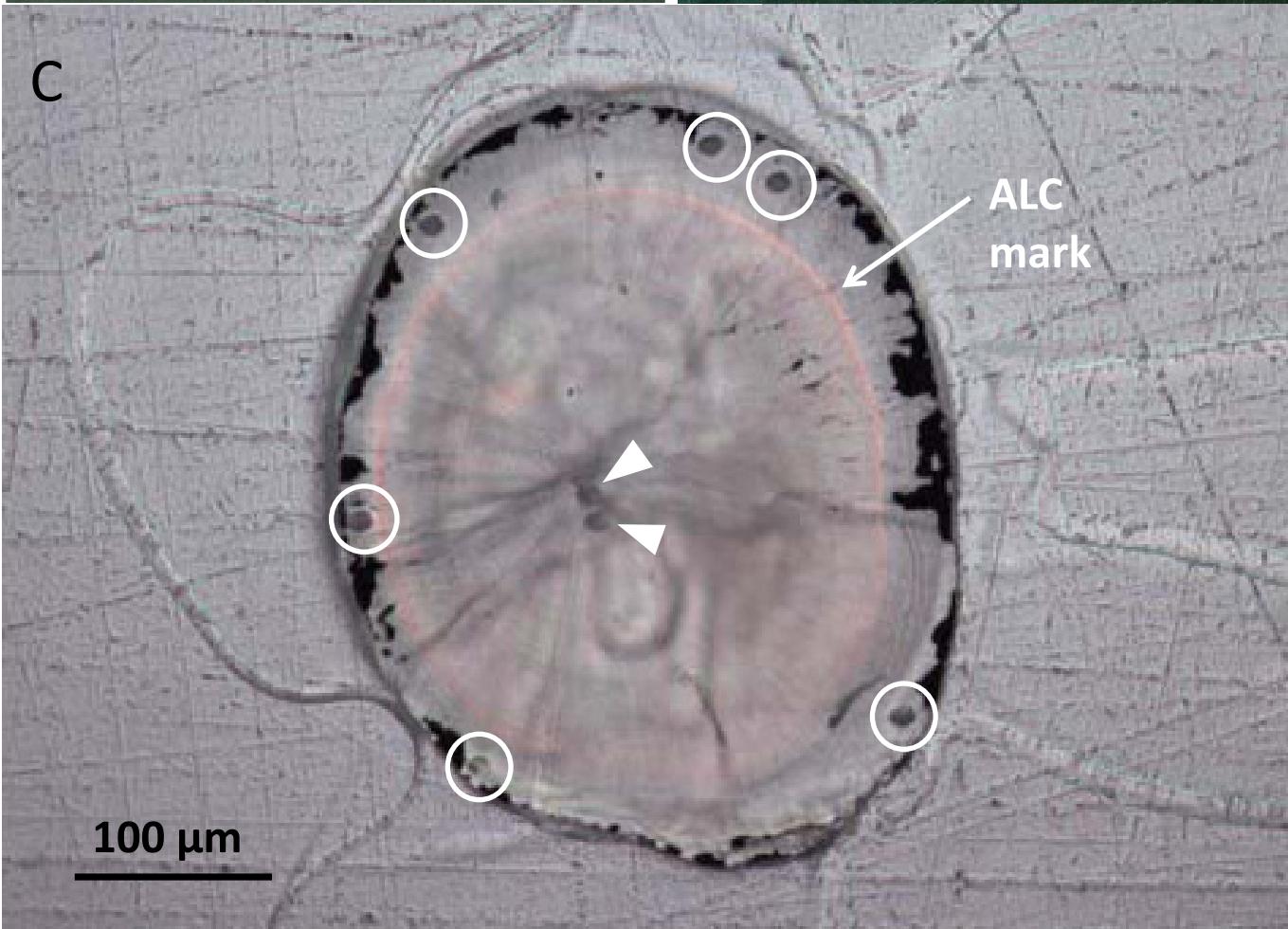
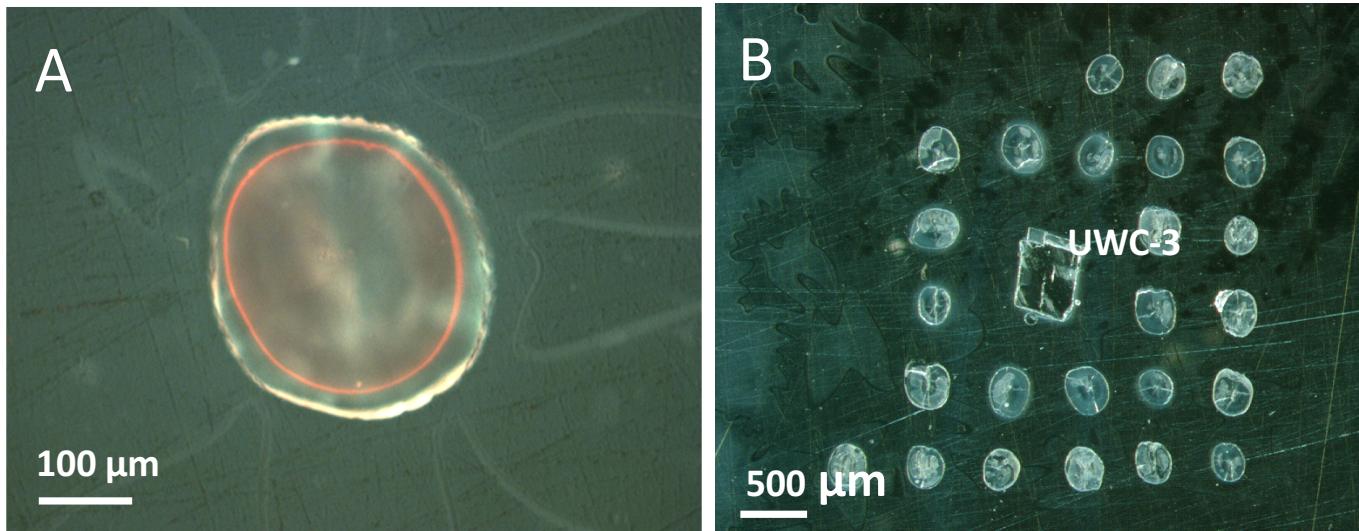
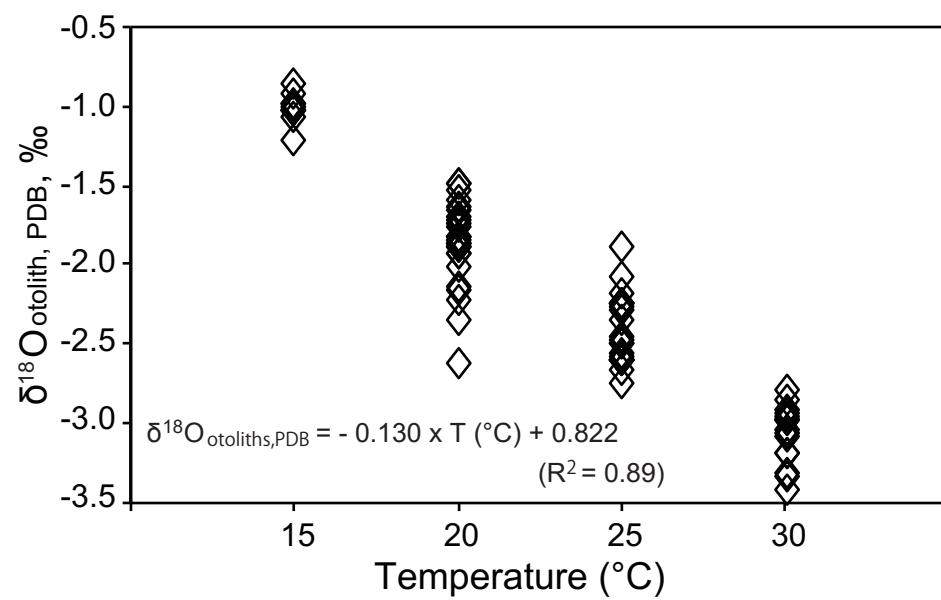
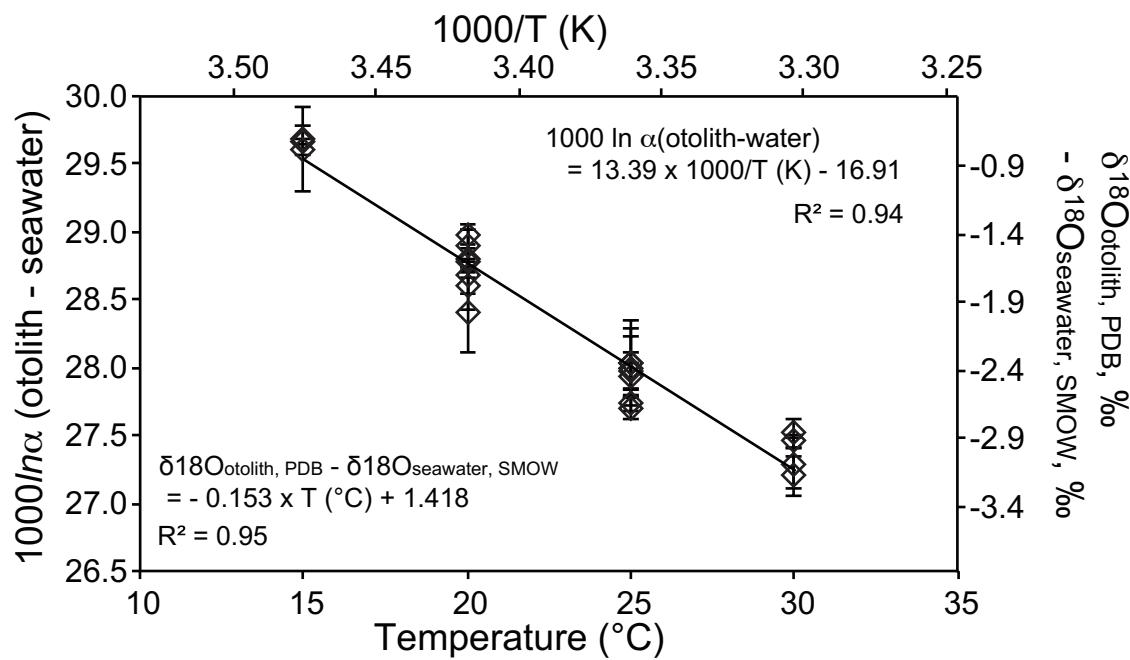


Fig. 1





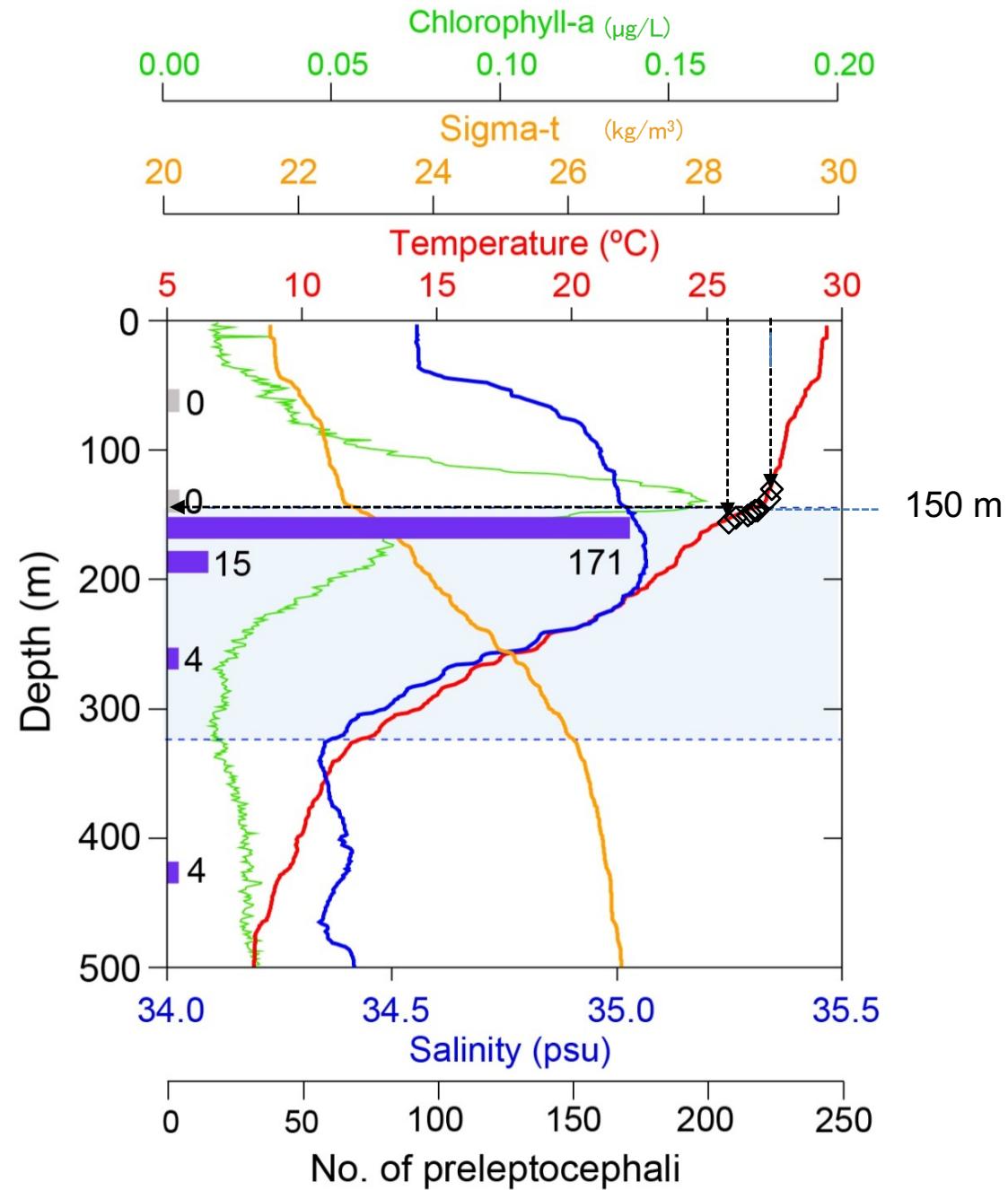
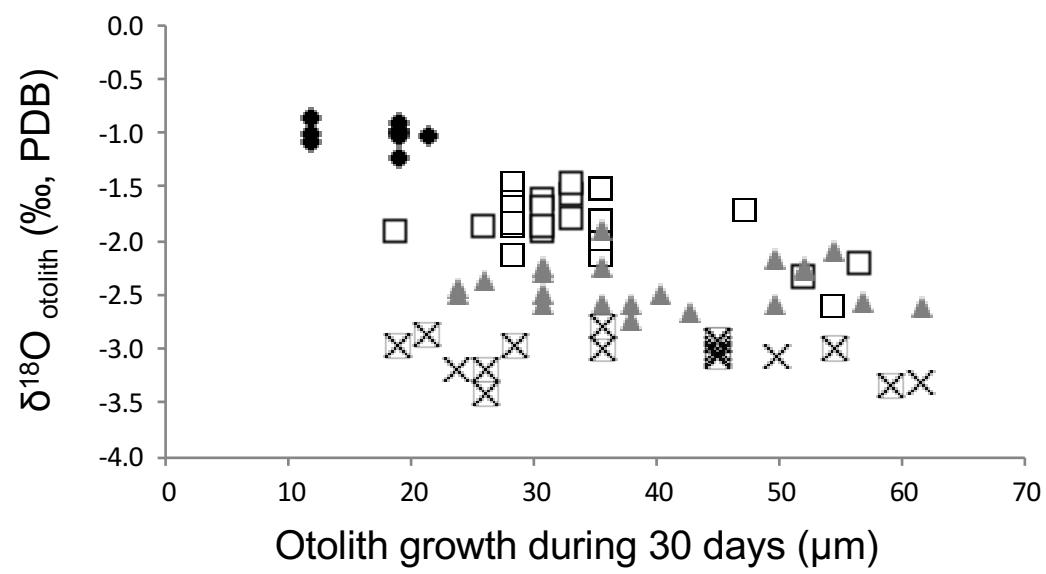
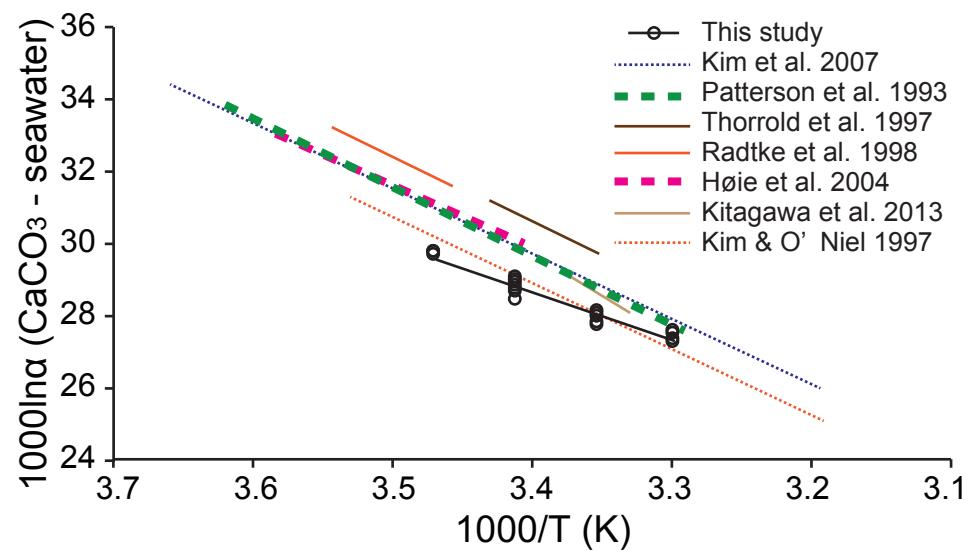


Fig.4





Supplementary Table 1