

# 1 On the Effects of Bremsstrahlung Radiation during Energetic 2 Electron Precipitation

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## 8 **Key Points:**

- 9 The energy and altitude distributions of bremsstrahlung photons produced during  
10 electron precipitation have been quantified.
- 11 The ionization rate due to both precipitating electrons and associated bremsstrahlung  
12 photons has been calculated.
- 13 Bremsstrahlung-induced chemical impacts on the atmosphere during realistic elec-  
14 tron precipitation are likely insignificant.

15 **Abstract**

16 Precipitation of energetic particles into the Earth's atmosphere can significantly  
 17 change the properties, dynamics, as well as the chemical composition of the upper and  
 18 middle atmosphere. In this paper, using Monte Carlo models, we simulate, from first prin-  
 19 ciples, the interaction of monoenergetic beams of precipitating electrons with the atmo-  
 20 sphere, with particular emphasis on the process of bremsstrahlung radiation and its re-  
 21 sultant ionization production and atmospheric effects. The pitch angle dependence of the  
 22 ionization rate profile has been quantified: the altitude of peak ionization rate depends on  
 23 the pitch angle by a few kilometers. We also demonstrate that the transport of precipitat-  
 24 ing electron energy in the form of bremsstrahlung photons leads to ionization at altitudes  
 25 significantly lower than the direct impact ionization, as low as  $\sim$ 20 km for 1 MeV precip-  
 26 itating electrons. Moreover, chemical modeling results suggest that the chemical effects  
 27 in the atmosphere due to bremsstrahlung-induced ionization production during energetic  
 28 electron precipitation are likely insignificant.

29 **1 Introduction**

30 Precipitation of energetic particles into the Earth's atmosphere can significantly  
 31 change the properties, dynamics, as well as the chemical composition of the upper and  
 32 middle atmosphere. The energy deposited by energetic particle precipitation (EPP) is re-  
 33 sponsible for sustaining the D-region ionospheric properties on the night side, which is  
 34 integrally important in a number of areas in heliophysics, aeronomy, and long-range com-  
 35 munications [e.g., *Barr et al.*, 2000]. Moreover, through various dynamical and chemical  
 36 processes, EPP results in efficient production of reactive odd nitrogen [e.g., *Rusch et al.*,  
 37 1981] and odd hydrogen [e.g., *Solomon et al.*, 1981], both of which are capable of deplet-  
 38 ing ozone in the stratosphere and mesosphere [e.g., *Thorne*, 1980; *Randall et al.*, 2007;  
 39 *Sinnhuber et al.*, 2012; *Rozanov et al.*, 2012; *Andersson et al.*, 2013; *Seppälä et al.*, 2015].  
 40 For a single pulsating aurora event, chemical modeling studies have revealed that the high-  
 41 energy component of electron precipitation can deplete the mesospheric odd oxygen by up  
 42 to several tens of percent [*Turunen et al.*, 2016].

43 Given the above-mentioned importance, various numerical techniques have been de-  
 44 veloped in order to study the interaction of energetic electron precipitation (EEP) with the  
 45 upper atmosphere, including parameterization methods [e.g., *Roble and Ridley*, 1987; *Lum-  
 46 merzheim*, 1992; *Fang et al.*, 2008, 2010] and physics-based Monte Carlo simulations [e.g.,  
 47 *Solomon*, 2001; *Cotts et al.*, 2011]. Using empirical auroral ionization profiles [*Lazarev*,  
 48 1967], *Roble and Ridley* [1987] developed a parameterization method for the National  
 49 Center for Atmospheric Research (NCAR) thermospheric general circulation model. This  
 50 method was further improved by *Lummerzheim* [1992] and a new set of parameterization  
 51 coefficients was reported, and later adopted in the Whole Atmosphere Community Cli-  
 52 mate Model (WACCM) [*Garcia et al.*, 2007]. Furthermore, based on first-principle mod-  
 53 els, *Fang et al.* [2008, 2010] have proposed new parameterization schemes and greatly ex-  
 54 tended the energy range of precipitating electrons. On the other hand, *Solomon* [2001] has  
 55 developed a Monte Carlo model and investigated the collisional processes during auroral  
 56 particle transport.

57 Accurate modeling of electron precipitation is of crucial importance, especially for  
 58 the estimation of its influence on the electrical and chemical properties of the Earth's at-  
 59 mosphere using space-borne and ground-based observations. However, previous modeling  
 60 studies were mainly dedicated to the direct impact ionization by precipitating electrons.  
 61 The secondary ionization effects induced by bremsstrahlung photons, which are significant  
 62 for relativistic precipitating electrons and particularly at low altitudes ( $<50$  km) [*Frahm*  
 63 *et al.*, 1997], have not been sufficiently studied. These effects have been long suggested  
 64 to have implications for the increase of stratospheric nitric acid as observed in the win-  
 65 ter polar regions [*Frahm et al.*, 1997; *Sharber et al.*, 1998]. The purpose of the present

66 work is to quantify, from first principles, the production of bremsstrahlung photons during  
 67 energetic electron precipitation events, as well as the resultant ionization production and  
 68 atmospheric chemistry effects. By modeling the subsequent propagation of bremsstrahlung  
 69 photons in the atmosphere, this paper provides a means towards better interpretation of the  
 70 X-ray measurements by the Balloon Array for RBSP Relativistic Electron Losses (BAR-  
 71 REL) [Millan *et al.*, 2013].

## 72 2 Model Formulation

73 Three numerical models are employed in the present study: the Energetic Precip-  
 74 itation Monte Carlo model (EPMC) [Lehtinen *et al.*, 1999], the Monte Carlo model for  
 75 Photons (MCP) [Xu *et al.*, 2012], and the Sodankylä Ion and Neutral Chemistry (SIC)  
 76 model [Turunen *et al.*, 1996; Verronen *et al.*, 2005]. Specifically, the effects brought by  
 77 bremsstrahlung photons during EEP are quantified in two steps. First, using the two Monte  
 78 Carlo models, we simulate the interaction of precipitating electrons with the atmosphere  
 79 and calculate the altitude profiles of ionization rates by both primary precipitating elec-  
 80 trons and secondary bremsstrahlung photons. Second, the ionization rate profile is used  
 81 as the input to the SIC model in order to estimate the resultant atmospheric changes. We  
 82 mainly focus on the relative changes in the molecular concentration (“concentration” used  
 83 in the following for simplicity) of odd hydrogen ( $[\text{HO}_x] = [\text{H}] + [\text{OH}] + [\text{HO}_2]$ ), odd ni-  
 84 tron ( $[\text{NO}_x] = [\text{N}] + [\text{NO}] + [\text{NO}_2]$ ), and odd oxygen ( $[\text{O}_x] = [\text{O}] + [\text{O}_3]$ ). The main  
 85 collisional processes involved in EEP, together with the illustration of balloon-, ground-,  
 86 and space-based measurements, are schematically depicted in Figure 1a.

87 In the first step of Monte Carlo simulations, monoenergetic beams of energetic elec-  
 88 trons are assumed to precipitate into the upper atmosphere with discrete pitch angles ( $0^\circ$   
 89 or  $45^\circ$ ). The EPMC model is first used to calculate the energy deposition along the par-  
 90 ticle’s path of propagation and the production of bremsstrahlung photons. The transport  
 91 of bremsstrahlung photons, as well as the production of energetic electrons via photo-  
 92 electric absorption and Compton scattering, is further simulated using the MCP model.  
 93 Finally, we employ the EPMC model again in order to simulate the propagation of these  
 94 bremsstrahlung-induced energetic electrons. The energy deposition by precipitating and  
 95 bremsstrahlung-induced electrons is calculated as a function of altitude and the ioniza-  
 96 tion rate is derived by assuming that it takes  $\sim 35$  eV to produce an ion-electron pair [e.g.,  
 97 Rees, 1989, p. 40]. In the following, we describe the numerical models and the initial pa-  
 98 rameters used in the present simulations.

99 The EPMC model, adapted from the Monte Carlo model described in [Lehtinen  
 100 *et al.*, 1999], is relativistic and three-dimensional (3D) in both configuration and veloc-  
 101 ity space. It models the propagation of electrons in the Earth’s atmosphere by solving the  
 102 equation of electron motion, i.e., the Langevin equation, within time steps [Lehtinen *et al.*,  
 103 1999]. The energy loss during electron propagation is described in terms of stopping  
 104 power, i.e., dynamic friction force. The ionization collision is modeled using the Möller  
 105 cross section and the magnetic mirroring force is explicitly included in this model. The  
 106 angular scattering of electrons is mostly due to elastic scattering by air molecules, and  
 107 the method of small-angle collisions is implemented as random changes to the momen-  
 108 tum of electrons. The minimum energy threshold of this Monte Carlo model is set to be 2  
 109 keV. When its energy becomes lower than this threshold, the electron is removed from the  
 110 simulation pool and assumed to deposit its energy locally. This minimum energy is valid  
 111 given that we mainly focus on relativistic precipitating electrons in this study. By the time  
 112 their energy becomes lower than 2 keV, these electrons have penetrated into significantly  
 113 denser atmosphere and, therefore, would not propagate much further.

114 The geomagnetic field used in this model can be arbitrarily specified in direction  
 115 and magnitude. In this study, it is assumed to be uniform and vertical with a magnitude  
 116 of  $41.5 \mu\text{T}$ . Due to the inclusion of a background magnetic field, the time step of elec-

tron simulation is primarily determined by the gyrofrequency at relatively high altitudes, and by the electron-neutral collision frequency at relatively low altitudes. For example, the breakeven altitude for the magnetization of 1 MeV electrons, at which the electron-neutral momentum loss rate is equal to the gyrofrequency, is approximately 30–35 km. For electrons with energy below 10 MeV, the radiative loss due to bremsstrahlung is negligible for the purpose of monitoring the electron energy [Jackson, 1975, p. 718]. The process of bremsstrahlung radiation is specifically modeled by factorizing the angular and energy parts of the outgoing photon [Lehtinen, 2000, pp. 45–49]. The differential cross sections are calculated using the Born approximation without considering the screening effect [Heitler, 1954, p. 245]. More details about this Monte Carlo model can be found in [Lehtinen *et al.*, 1999].

We simulate the transport of bremsstrahlung photons in the Earth's atmosphere, along with the production of energetic electrons via collisions of photons with air molecules, using the MCP model [Xu *et al.*, 2012]. This model takes into account three types of photon collisions that are dominant in the energy range between 10 keV and 100 MeV: photoelectric absorption, Compton scattering, and electron-positron pair production. We note that this model has been validated through various comparisons with results calculated using other numerical models in the studies of high-energy radiation from lightning discharges [e.g., Xu *et al.*, 2012, 2014, 2017]. Concerning the production of electrons, the electron binding energy is neglected in the process of photoelectric absorption and the outgoing photoelectron is assumed to have the same energy as the incident photon. The photoelectron momentum is determined using the relativistic form of the analytical angular differential cross section for photoelectric absorption processes [Davisson and Evans, 1952]. For Compton scattering, the energy and momentum of the electron knocked out are obtained using the conservation of momentum and energy.

After obtaining the altitude profiles of ionization rates using Monte Carlo simulations, the resultant changes in atmospheric neutral constituents are calculated using the SIC model. SIC is a 1-D atmospheric model that dynamically solves for the concentration of 16 minor neutral species and 72 ionic species in the altitude range between 20 and 150 km with 1 km resolution. Vertical motion of species is included as molecular and eddy diffusion, neglecting transport by prevailing neutral wind. The latest version of this model takes into account 389 ion-neutral and neutral-neutral reactions and 2523 ion-ion and electron-ion recombination reactions. The background profile of neutral density used in SIC modeling is obtained from the NRLMSISE-00 model [Tobiska and Bouwer, 2006] using the daily average values of solar radio flux ( $F_{10.7}$ ) and the geomagnetic activity index ( $A_p$ ). In addition to solar radiation, SIC is driven by external forces resulting from solar energetic particles, i.e., electron and proton precipitation, as well as galactic cosmic rays. In the present study, the background conditions in November 2012 at 65.14°N 147.44°W (Poker Flat, Alaska) are used in the SIC simulation. Chemical changes are calculated from 16 November 2012 22:00 UT until 19 November 00:00 UT and stored every 10 min of simulation. More details about this chemical model can be found in Turunen *et al.* [1996], Verronen *et al.* [2005], and Verronen [2006].

### 3 Results

#### 3.1 Model Validation

The two Monte Carlo models used in the present study are first validated through the calculation of ionization rate and comparison with previously published results [Frahm *et al.*, 1997; Fang *et al.*, 2010], as shown in Figure 1. Figure 1b shows the comparison with the ionization rate profiles of monoenergetic electrons documented in Fang *et al.* [2010, Figure 2] for two energies: 100 keV and 1 MeV (labeled as “new method” in Fang *et al.* [2010, Figure 2]). The initial parameters of present simulations are chosen to be the same as those used in Fang *et al.* [2010]. In particular, the total incident energy of precip-

161 **Figure 1.** (a) Illustration of EEP interaction with the Earth's atmosphere, including processes of  
 162 bremsstrahlung radiation, photoelectric absorption, and Compton scattering. Also shown in this figure is  
 163 the illustration of balloon-, ground-, and space-based measurements. (b) Comparison of ionization rate pro-  
 164 files produced by beams of monoenergetic electrons between present modeling results and those reported in  
 165 [Fang *et al.*, 2010, Figure 2]. The simulations are performed using the MSIS atmosphere with  $F_{10.7} = 300$   
 166 and  $A_p = 65$ . The total incident energy of precipitating electrons used in each simulation is  $1 \text{ erg/cm}^2/\text{s}$ . (c)  
 167 Comparison of ionization rate profile between present modeling results and those presented in [Frahm *et al.*,  
 168 1997, Figure 1]. The dashed curve shows the bremsstrahlung-induced ionization rate. The energy distribution  
 169 and fluxes of precipitating electrons used in this simulation are obtained from [Frahm *et al.*, 1997, Plate 1].

199 **Figure 2.** (a) The number of bremsstrahlung photons, as well as energetic electrons knocked out during  
 200 processes of photoelectric absorption and Compton scattering, produced per precipitating electron injected  
 201 per km by the monoenergetic beam of 1 MeV electrons with a pitch angle of  $0^\circ$ . (b) Energy distributions of  
 202 bremsstrahlung photons produced by monoenergetic beams of precipitating electrons at different altitudes  
 203 for three representative energies: 100 keV, 1 MeV, and 10 MeV. The distributions are normalized so that the  
 204 integration over photon energy yields unity. (c) Energy distributions of photoelectrons and Compton electrons  
 205 produced at the altitude of BARREL payload ( $35 \pm 1$  km) by the monoenergetic beam of 1 MeV electrons with  
 206 a pitch angle of  $0^\circ$ . (d) Altitude profiles of ionization rate produced by monoenergetic beams of precipitating  
 207 electrons and their secondary bremsstrahlung photons, for three representative energies: 100 keV, 1 MeV, and  
 208 10 MeV, and two pitch angles:  $0^\circ$  and  $45^\circ$ . The total energy of source precipitating electrons used in each  
 209 simulation is  $1 \text{ erg/cm}^2/\text{s}$ .

177 itating electrons used in each simulation is  $1 \text{ erg/cm}^2/\text{s}$  and the background density profile  
 178 is calculated using the MSIS-90 model [Tobiska and Bouwer, 2006] with  $F_{10.7} = 300$   
 179 and  $A_p = 65$ . Monoenergetic beams of energetic electrons are propagated from an alti-  
 180 tude of 300 km and the beaming of these electrons is assumed to be isotropic within the  
 181 loss cone. In spite of the fundamental difference between Monte Carlo simulation and  
 182 multi/two-stream modeling, present results show fairly good agreements with [Fang *et al.*,  
 183 2010]. The altitude of peak ionization rate, as well as the maximum value, are slightly  
 184 lower than Fang *et al.* [2010]. We note that this discrepancy is likely due to the difference  
 185 in the stopping power and the assumption of angular scattering used in EPMC.

186 We have also validated the simulation of bremsstrahlung radiation and resultant ion-  
 187 ization production by comparing with the results presented in Frahm *et al.* [1997, Figure  
 188 1], as shown in Figure 1c. The dashed curve shows the bremsstrahlung-induced ioniza-  
 189 tion rate and the solid curve shows the total ionization resulting from precipitating elec-  
 190 trons. For the sake of direct comparison, the energy distribution and fluxes of source pre-  
 191 precipitating electrons are obtained from [Frahm *et al.*, 1997, Plate 1]. These electrons are  
 192 also assumed to precipitate from an altitude of 300 km and the beaming is assumed to be  
 193 isotropic. As clearly shown in this figure, both the direct impact ionization, at altitudes  
 194 above  $\sim 50$  km, and the bremsstrahlung-induced ionization, at altitudes below  $\sim 50$  km,  
 195 show good agreements with [Frahm *et al.*, 1997]. Moreover, the altitude of peak ioniza-  
 196 tion rate and the minimum altitude where bremsstrahlung photons deposit their energy are  
 197 consistent with [Frahm *et al.*, 1997]. Note also that the set of electron and photon cross  
 198 sections used in the present calculation might be different from [Frahm *et al.*, 1997].

### 210 3.2 Ionization Effects

211 Figure 2a shows the altitude distribution of bremsstrahlung photons produced by the  
 212 beam of 1 MeV electrons, when injected into the atmosphere from 300 km altitude with

213 a pitch angle of  $0^\circ$ . Also shown in this figure is the altitude distribution of the energetic  
 214 electrons knocked out during processes of photoelectric absorption and Compton scattering  
 215 by bremsstrahlung photons. These distributions are normalized so that the integration  
 216 over altitude is the total number produced per precipitating electron injected in the Monte  
 217 Carlo simulation. Approximately 0.5% of the total precipitation energy is converted into  
 218 bremsstrahlung production for 1 MeV incident electron energy. The number of photoelec-  
 219 trons and Compton electrons produced per precipitating electron is approximately 0.14  
 220 and 0.15, respectively. In addition, one sees that photoelectrons are mostly produced at  
 221 altitudes close to the production altitude of bremsstrahlung photons, whereas Compton  
 222 electrons are produced at considerably lower altitudes. In this example, the altitudes of  
 223 peak production rate for photoelectrons and Compton electrons are  $\sim 56$  km and  $\sim 30$  km,  
 224 respectively, while the altitude of peak bremsstrahlung production is  $\sim 58$  km.

225 By collecting all the bremsstrahlung photons produced by precipitating electrons at  
 226 different altitudes, we have also calculated the energy distributions of bremsstrahlung pho-  
 227 tons for three electron energies: 100 keV, 1 MeV, and 10 MeV, as shown in Figure 2b.  
 228 The integration over photon energy yields unity. Figure 2c shows the energy distributions  
 229 of those energetic electrons produced at the altitude of BARREL payload ( $35 \pm 1$  km) by  
 230 the bremsstrahlung photons originating from the monoenergetic beam of 1 MeV electrons  
 231 with a pitch angle of  $0^\circ$ . The distribution is normalized so that the integration over elec-  
 232 tron energy yields unity and the partial energy distributions of photoelectrons and Com-  
 233pton electrons are also presented as dashed lines. The average energies of photoelectrons  
 234 and Compton electrons are 36.8 keV and 40.8 keV, respectively. Before being absorbed by  
 235 the atmosphere, the average number of photoelectrons and Compton electrons produced  
 236 between 34 and 36 km altitude, representing the altitude of BARREL campaign, per pre-  
 237 precipitating electron is approximately  $6.8 \times 10^{-4}$  and  $4.4 \times 10^{-3}$ , respectively, for the 1 MeV  
 238 case.

239 Figure 2d shows modeling results of altitude profiles of the ionization rates produced  
 240 by monoenergetic beams of precipitating electrons and their secondary bremsstrahlung  
 241 photons. The ionization profiles are calculated for three representative energies: 100 keV,  
 242 1 MeV, and 10 MeV, and two pitch angles:  $0^\circ$  and  $45^\circ$ . We see that, first, the altitude of  
 243 peak ionization rate depends on the pitch angle by up to a few kilometers. Second, as bet-  
 244 ter illustrated in the results of 100 keV electrons with a pitch angle of  $0^\circ$ , the ionization  
 245 profile consists of three peaks: one due to the direct impact ionization at  $\sim 80$  km altitude,  
 246 one due to photoelectrons at  $\sim 58$  km altitude, and another one due to Compton electrons  
 247 at  $\sim 40$  km altitude.

248 A direct comparison between Figure 2a and Figure 2d shows that the bremsstrahlung-  
 249 induced ionization closely follows the altitude distribution of photoelectrons and Compton  
 250 electrons. This is because these bremsstrahlung-induced electrons cannot propagate signif-  
 251 icantly downward into the denser atmosphere. For example, the attenuation length of 10  
 252 MeV electrons in the ambient air density at 20 km altitude is only  $\sim 590$  m [e.g., *Suszczyn-*  
 253 *sky et al.*, 1996]. Thanks to the bremsstrahlung photons, energetic precipitating electrons  
 254 are capable of ionizing air molecules at altitudes significantly lower than the direct im-  
 255 pact ionization. However, the ionization production by bremsstrahlung photons is much  
 256 weaker than that of precipitating electrons. Even for 10 MeV precipitating electrons, the  
 257 bremsstrahlung-induced ionization is two orders of magnitude weaker than the direct im-  
 258 pact ionization.

### 269 3.3 Chemical Effects

270 Figure 3 shows SIC modeling results of, from top to bottom, electron concentration  
 271 with the unit of  $\text{cm}^{-3}$  and relative changes in  $\text{NO}_x$ ,  $\text{HO}_x$ , and  $\text{O}_x$ . The relative changes are  
 272 the fraction of concentrations between simulation results with and without applying the  
 273 external electron forcing and, thus, unitless. The results are obtained by applying an elec-

259 **Figure 3.** SIC modeling results of (a) electron concentration with the unit of  $\text{cm}^{-3}$  and relative changes of  
 260 (b)  $\text{NO}_x$ , (c)  $\text{HO}_x$ , and (d)  $\text{O}_x$ , i.e., the fraction of concentrations between simulation results with and without  
 261 applying the external electron forcing. The results are obtained by applying an electron forcing at 04:40 UT  
 262 on 17 November with an intensity of  $1 \text{ erg}/\text{cm}^2/\text{s}$  lasting for 120 min, as denoted by dashed lines. The ioniza-  
 263 tion rate profiles produced by the monoenergetic beam of 1 MeV electrons with a pitch angle of  $0^\circ$  are used as  
 264 external electron forcing in this simulation. The left panels are calculated using the ionization profile without  
 265 considering the bremsstrahlung process, while the right panels correspond to the ionization profile with the  
 266 bremsstrahlung process taken into account. The simulations are performed using the background conditions in  
 267 November 2012 at  $65.14^\circ\text{N}$   $147.44^\circ\text{W}$  (Poker Flat, Alaska).

268 **Figure 4.** Same as Figure 3, but calculated using the ionization profiles of 10 MeV precipitating electrons.

274 tron forcing at 04:40 UT on 17 November with an intensity of  $1 \text{ erg}/\text{cm}^2/\text{s}$  lasting for 120  
 275 min, as denoted by dashed lines. The ionization rate profiles produced by the monoener-  
 276 getic beam of 1 MeV electrons with a pitch angle of  $0^\circ$  are used as external electron forc-  
 277 ing in this simulation. The left panels are calculated using the ionization profile without  
 278 considering the bremsstrahlung process, while the right panels correspond to the ioniza-  
 279 tion profile with the bremsstrahlung process taken into account. Figure 4 shows similar  
 280 results, but calculated using the atmospheric ionization profiles of 10 MeV electrons with  
 281 a pitch angle of  $0^\circ$ . This simulation represents an extremely intense event of relativistic  
 282 electron precipitation and is conducted in order to evaluate the maximum possible atmo-  
 283 spheric effects that can be induced by bremsstrahlung photons. Note that, different from  
 284 the relative changes of  $\text{NO}_x$  and  $\text{HO}_x$ , the colorbar of  $\text{O}_x$  change is inverted in order to  
 285 show the concentration decrease.

286 For both the 1 MeV and 10 MeV simulations, the electron density is first enhanced  
 287 by orders of magnitude during the electron forcing. Due to the efficient electron-ion re-  
 288 combination process, this density promptly returns to the normal diurnal cycle after the  
 289 electron forcing. Because of the ionization production, the concentration of  $\text{NO}_x$  signif-  
 290 icantly increases at altitudes of direct impact ionization. For example, as shown in Fig-  
 291 ure 4, the  $\text{NO}_x$  increases dramatically between  $\sim 40$  and  $\sim 75$  km for the ionization profile  
 292 of 10 MeV electrons. The largest enhancement of  $\text{NO}_x$  concentration is approximately a  
 293 factor of 8.3 and occurs around 72 km. After the electron forcing, the  $\text{NO}_x$  recovery is  
 294 mainly due to the photodestruction of  $\text{NO}$ , which is gradual and relatively slow [Turunen  
 295 *et al.*, 2016]. About 71% of the excess  $\text{NO}_x$  produced by the forcing of 10 MeV monoen-  
 296 ergetic electrons at  $\sim 72$  km remains beyond the end of the 2-day simulation period (see  
 297 Figure 4). However, as evident in Figure 3 and Figure 4, bremsstrahlung-induced ion-  
 298 ization does not lead to notable changes in  $\text{NO}_x$  concentration at altitudes below 40 km,  
 299 despite the visible electron density enhancement at these altitudes in Figures 3 and 4.

300 Unlike the changes in  $\text{NO}_x$ ,  $\text{HO}_x$  is enhanced not only by direct impact ionization,  
 301 but also by bremsstrahlung-induced ionization, as better shown in the 10 MeV simula-  
 302 tion (Figure 4). At altitudes between  $\sim 35$  and  $\sim 80$  km,  $\text{HO}_x$  concentration is enhanced by  
 303 up to a factor of 132 because of the direct ionization by precipitating electrons. Above  
 304 85 km, the density of water vapor rapidly decreases with increasing altitude, therefore  
 305 limiting the production of ionic  $\text{HO}_x$  [Turunen *et al.*, 2016]. The largest enhancement of  
 306  $\text{HO}_x$  by bremsstrahlung-induced ionization is approximately a factor of 83 at  $\sim 29$  km. As  
 307 for the external forcing of 1 MeV electrons, the largest increase due to bremsstrahlung-  
 308 induced ionization is approximately a factor of 8.7. After the electron forcing, the recov-  
 309 ery of  $\text{HO}_x$  due to chemical loss is much faster than  $\text{NO}_x$  and, therefore, does not have the  
 310 long “tail” as in the results of  $\text{NO}_x$  change.

311 Concerning the relative changes in  $O_x$ , its concentration decreases at the altitudes of  
 312 direct impact ionization. The decrease is mainly caused by the loss due to enhanced  $HO_x$   
 313 catalytic cycles [Turunen *et al.*, 2016]. These cycles require atomic oxygen to be effective,  
 314 and atomic oxygen below 80 km is abundant only during sunlit hours when produced in  
 315  $O_2$  photodissociation. For this reason, the  $O_x$  loss by electron-enhanced  $HO_x$  occurs dur-  
 316 ing sunrise and especially during sunset hours when enough atomic oxygen is available for  
 317 catalytic cycles [Turunen *et al.*, 2016]. A direct comparison between the SIC simulations  
 318 with and without considering the bremsstrahlung effects shows that the bremsstrahlung-  
 319 induced ionization has almost negligible impact on  $O_x$  concentration.

## 320 4 Discussion

321 Using Monte Carlo simulations of the EEP interaction with the Earth's atmosphere,  
 322 we have studied the energy deposition and ionization production by both precipitating  
 323 electrons and their secondary bremsstrahlung photons. The simulated ionization rate pro-  
 324 files are in excellent agreement with the results of [Fang *et al.*, 2010] for monoenergetic  
 325 electrons, and with the results of [Frahm *et al.*, 1997] when the process of bremsstrahlung  
 326 radiation is taken into account. Using the ionization rate profile as external forcing in the  
 327 SIC model, we have further quantified the resultant changes in atmospheric neutral con-  
 328 stituents.

329 Photoelectric absorption is the main collisional process for photons with energies  
 330 below  $\sim 30$  keV, while Compton scattering is dominant in the energy range between  $\sim 30$   
 331 keV and  $\sim 30$  MeV. Because of this difference, electrons knocked out through the process  
 332 of Compton scattering, when compared with photoelectrons, are produced by more en-  
 333 ergetic photons. These more energetic photons can propagate further distances in the at-  
 334 mosphere before being eventually absorbed, corresponding to the production of Compton  
 335 electrons at lower altitudes (see Figure 2a). Another consequence of this difference has  
 336 been extensively observed by BARREL: a significant amount of low-energy photons would  
 337 be absorbed by the atmosphere before penetrating into the stratosphere, thereby leading  
 338 to the reduction of X-ray flux in the energy range below  $\sim 30$  keV [e.g., Woodger *et al.*,  
 339 2015; Clilverd *et al.*, 2017]. Furthermore, as shown in Figure 2b, large quantities of pho-  
 340 toelectrons and Compton electrons also can be produced at the altitude of the BARREL  
 341 payloads. As the secondary effect of EEP, measurements of these bremsstrahlung-induced  
 342 electrons, especially the altitude distribution, could be used as a means to explore precipi-  
 343 tation properties.

344 The transport of precipitating electron energy in the form of bremsstrahlung photons  
 345 leads to ionization at altitudes significantly lower than the direct impact ionization, as low  
 346 as  $\sim 20$  km for 1 MeV precipitating electrons (see Figure 2d). This is because the attenua-  
 347 tion length of bremsstrahlung photons is much longer than energetic electrons. Moreover,  
 348 as the energy of precipitating electrons increases from 100 keV to 10 MeV, the process  
 349 of bremsstrahlung radiation becomes more efficient, leading to more energy deposition  
 350 and ionization production in the atmosphere. This effect can be readily observed in Fig-  
 351 ure 2d. The difference between the direct impact and bremsstrahlung-induced ionization  
 352 corresponding to the electron energy of 10 MeV is significantly smaller than the 100 keV  
 353 case. The fraction of the total precipitation energy that is transferred into bremsstrahlung  
 354 photons is mainly determined by the energy of precipitating electrons. Therefore, the X-  
 355 ray fluxes measured in the stratosphere, as well as associated energetic electrons, provide  
 356 valuable information about the energetics of the precipitation source.

357 As shown in Figure 4, even with the 120-min external forcing of 10 MeV monoen-  
 358 ergetic electrons, the atmospheric chemistry effects of bremsstrahlung-induced ioniza-  
 359 tion are likely insignificant. The ionization production by bremsstrahlung photons during  
 360 EEP is significantly weaker than the direct impact ionization. The energy deposited by  
 361 bremsstrahlung photons causes rapid and localized enhancements in electron and  $HO_x$

362 concentration. The largest enhancement of  $\text{HO}_x$  concentration due to bremsstrahlung-  
 363 induced ionization is approximately 83 and 8.7 times the background concentration for 10  
 364 MeV and 1 MeV monoenergetic electrons, respectively. Nevertheless, SIC modeling results  
 365 suggest that the bremsstrahlung effect does not lead to substantial changes in  $\text{NO}_x$  and  $\text{O}_x$   
 366 concentrations.

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 371 ures and results in this paper are available at [https://github.com/wexu6668/EEP\\_GRL\\_2017](https://github.com/wexu6668/EEP_GRL_2017).

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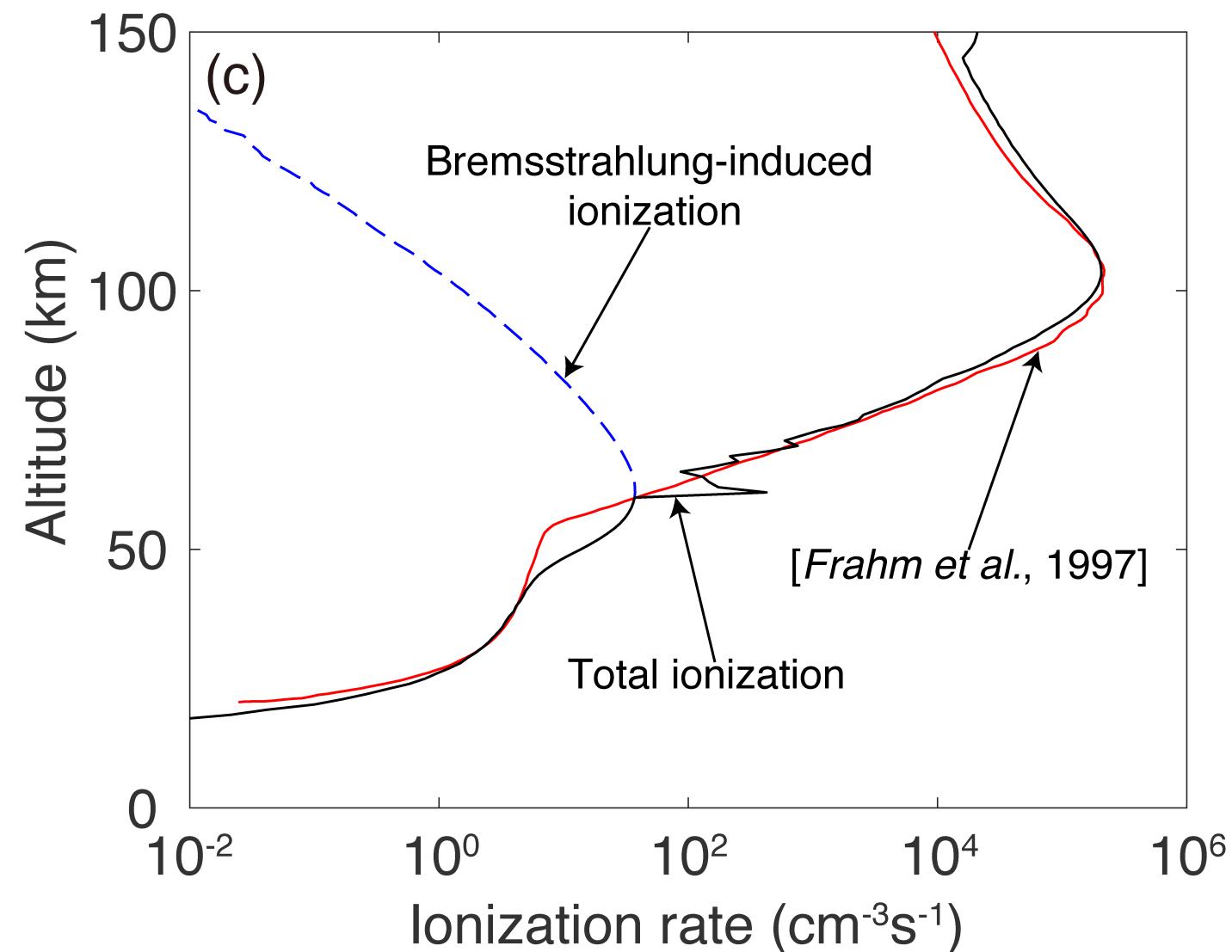
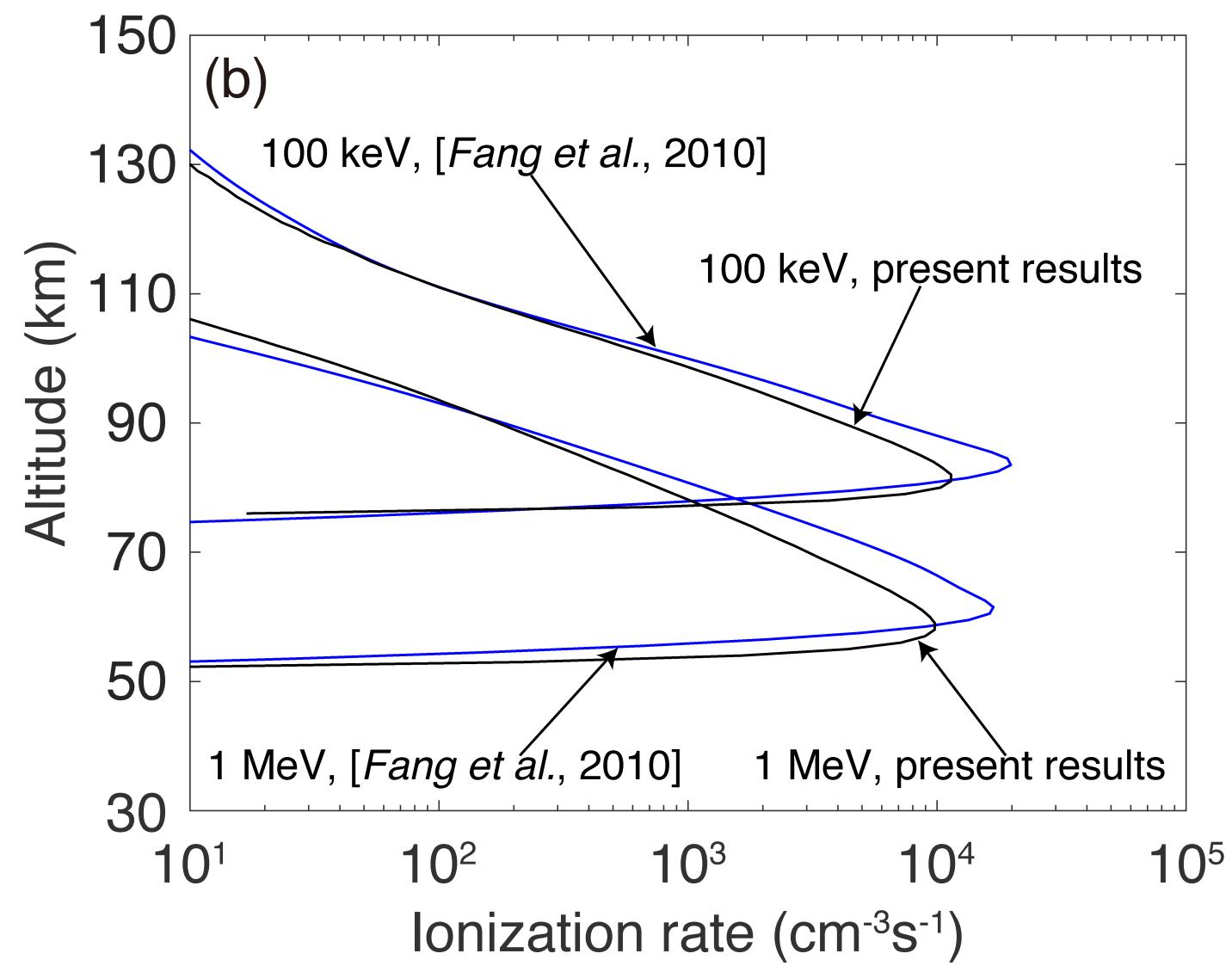
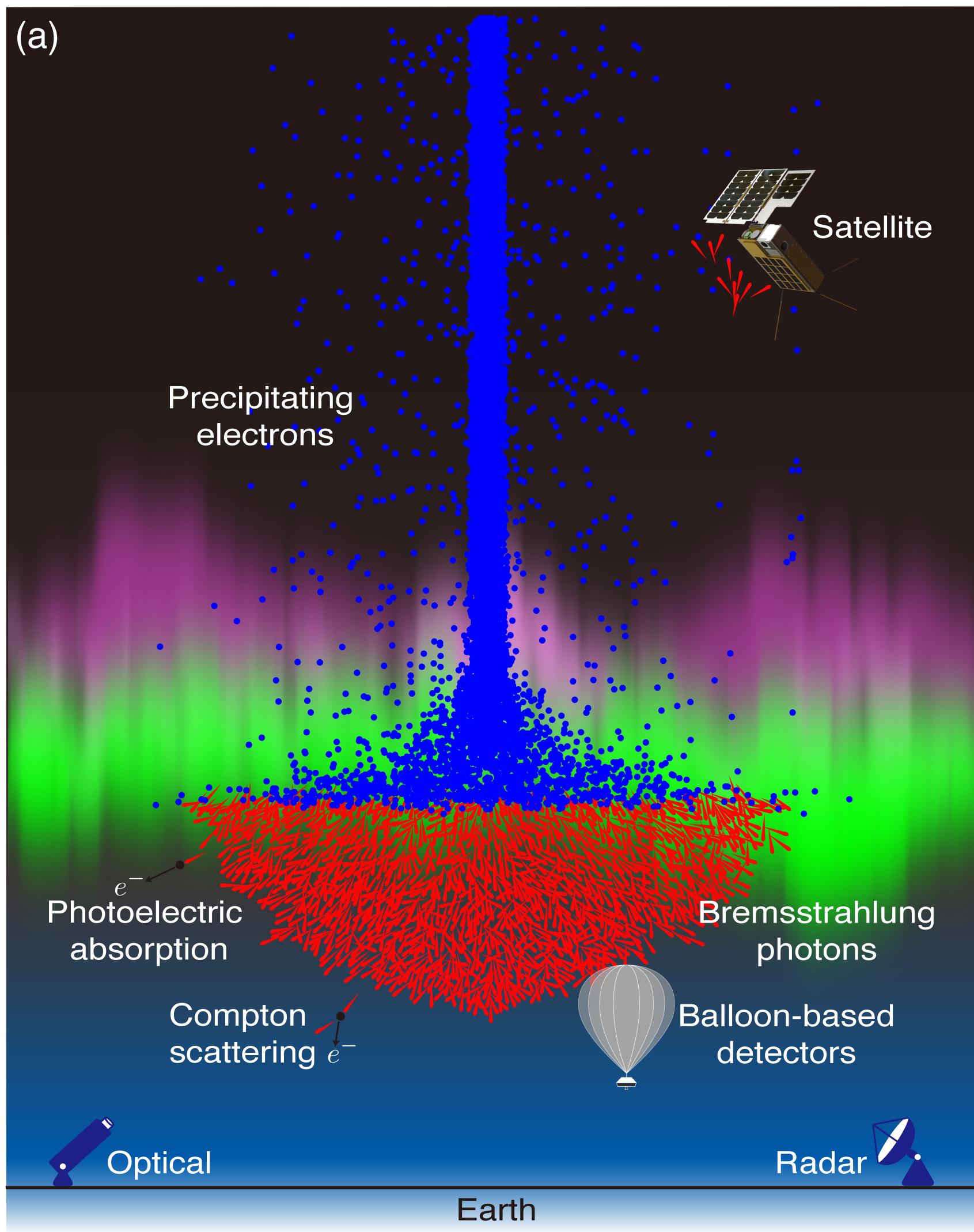
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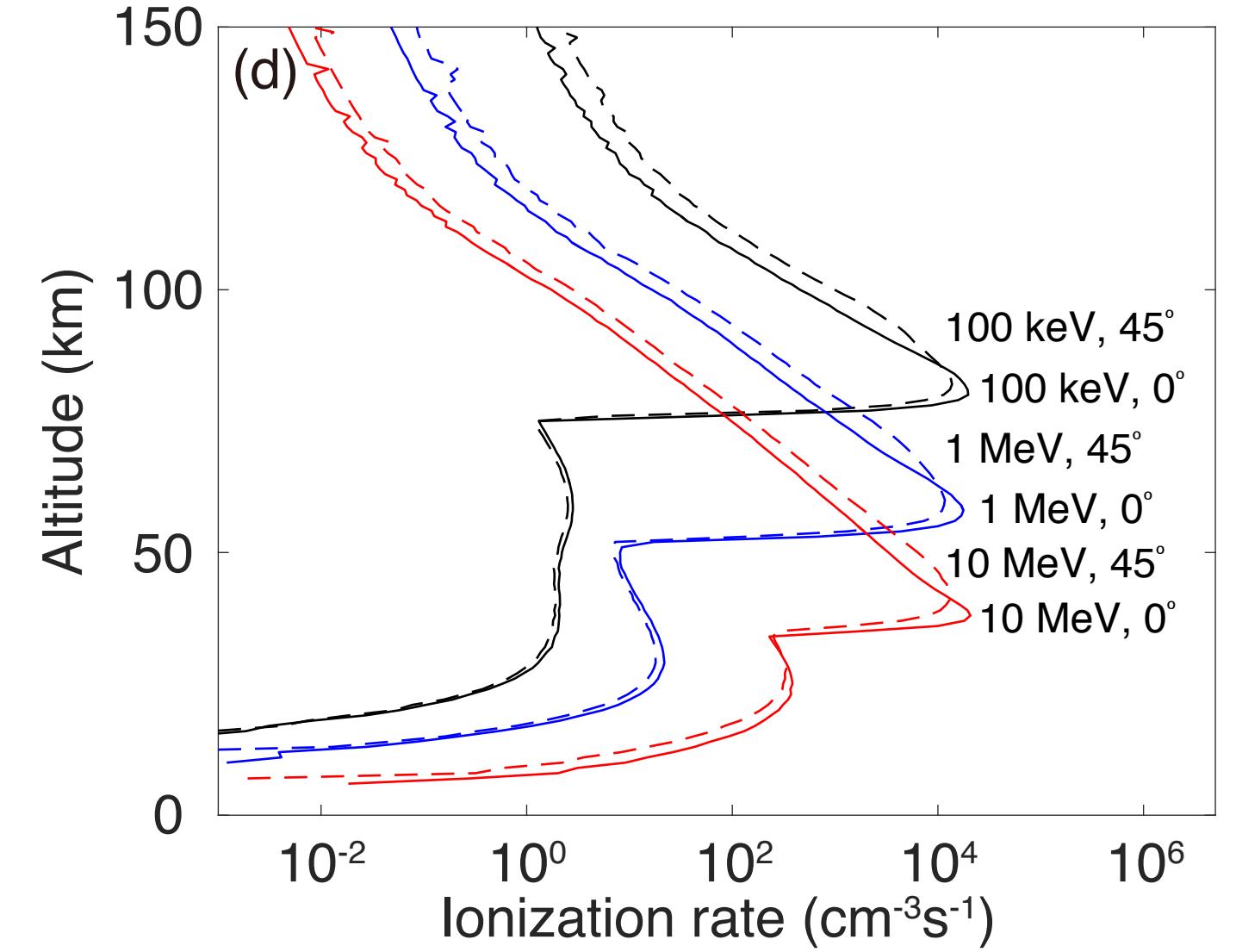
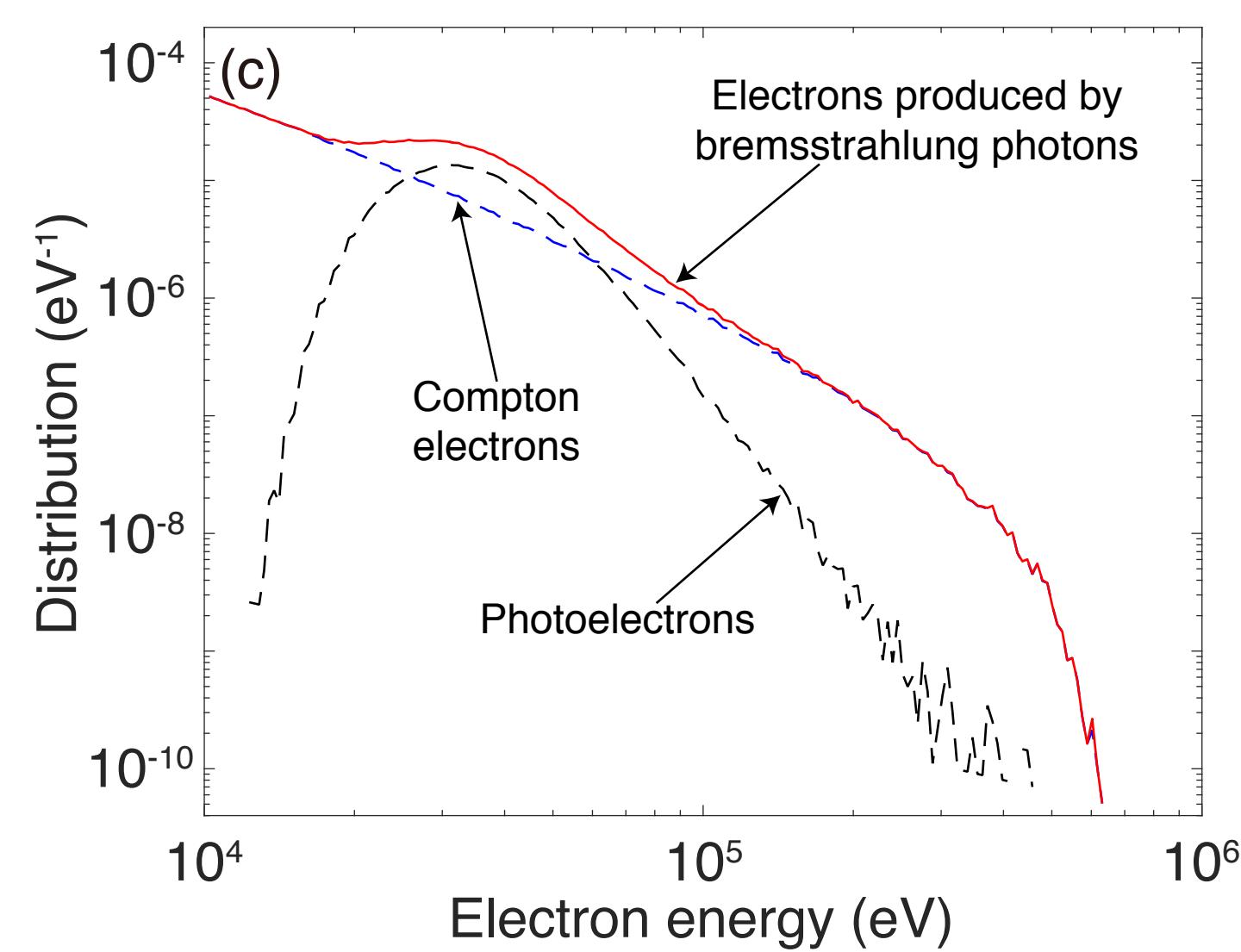
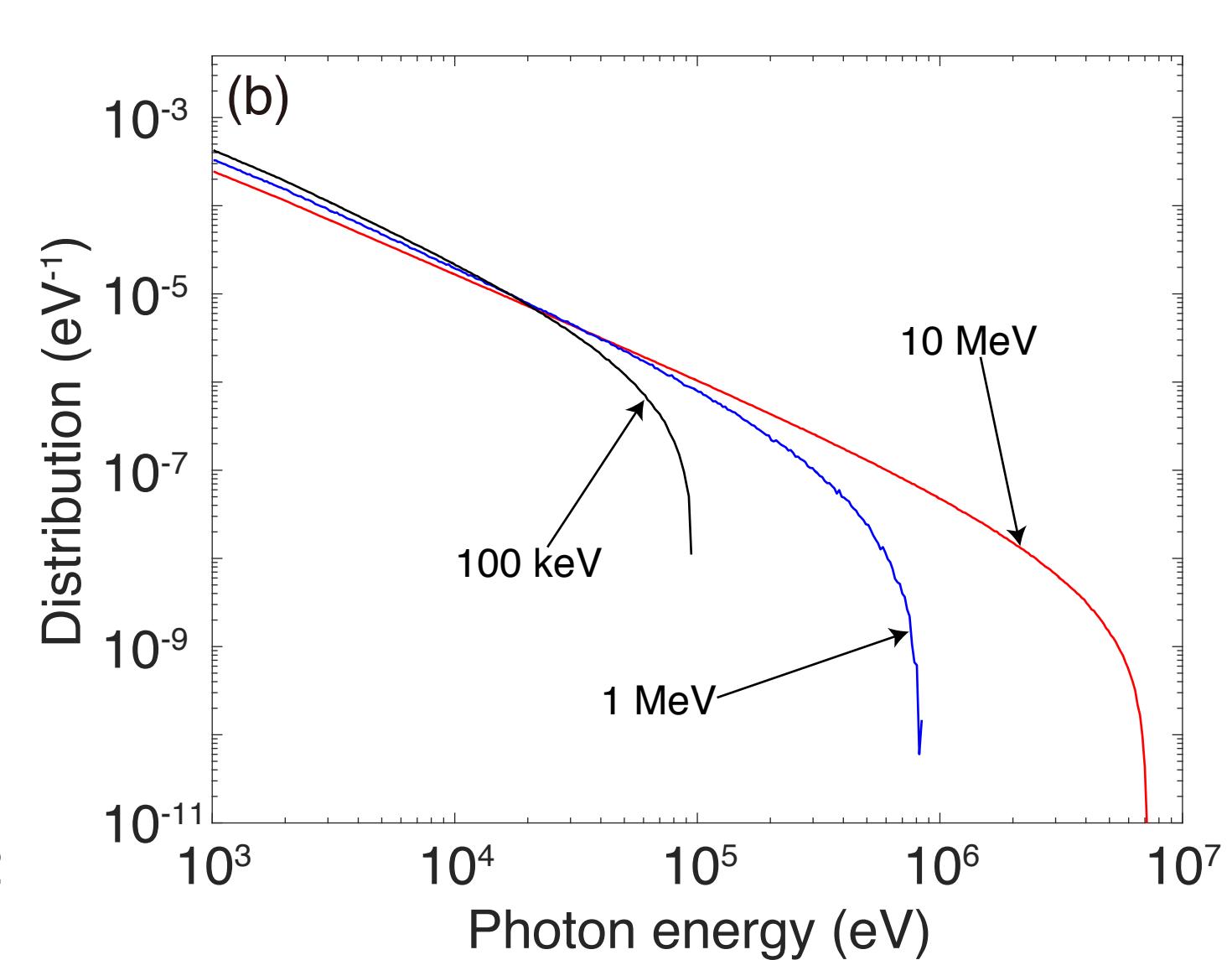
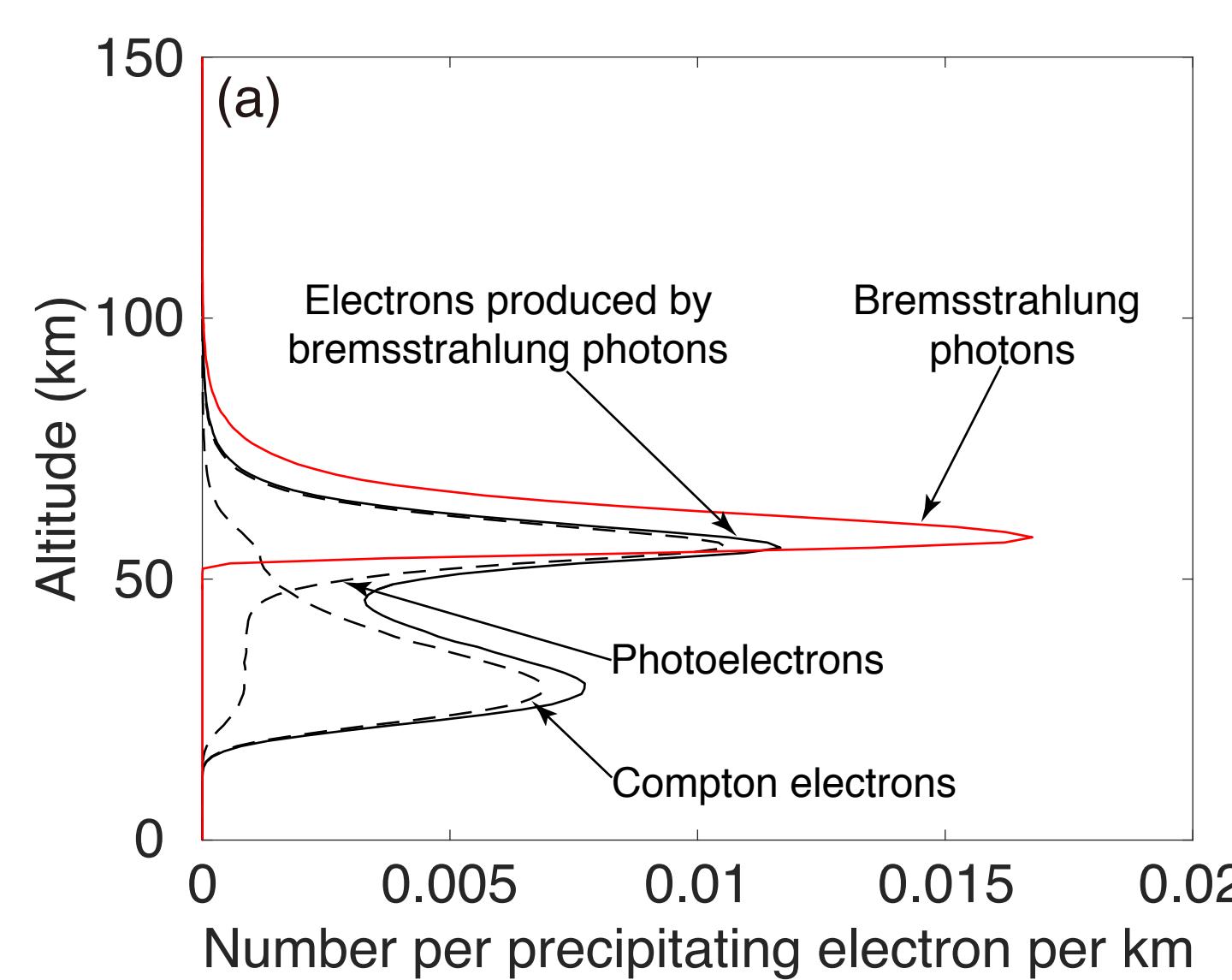
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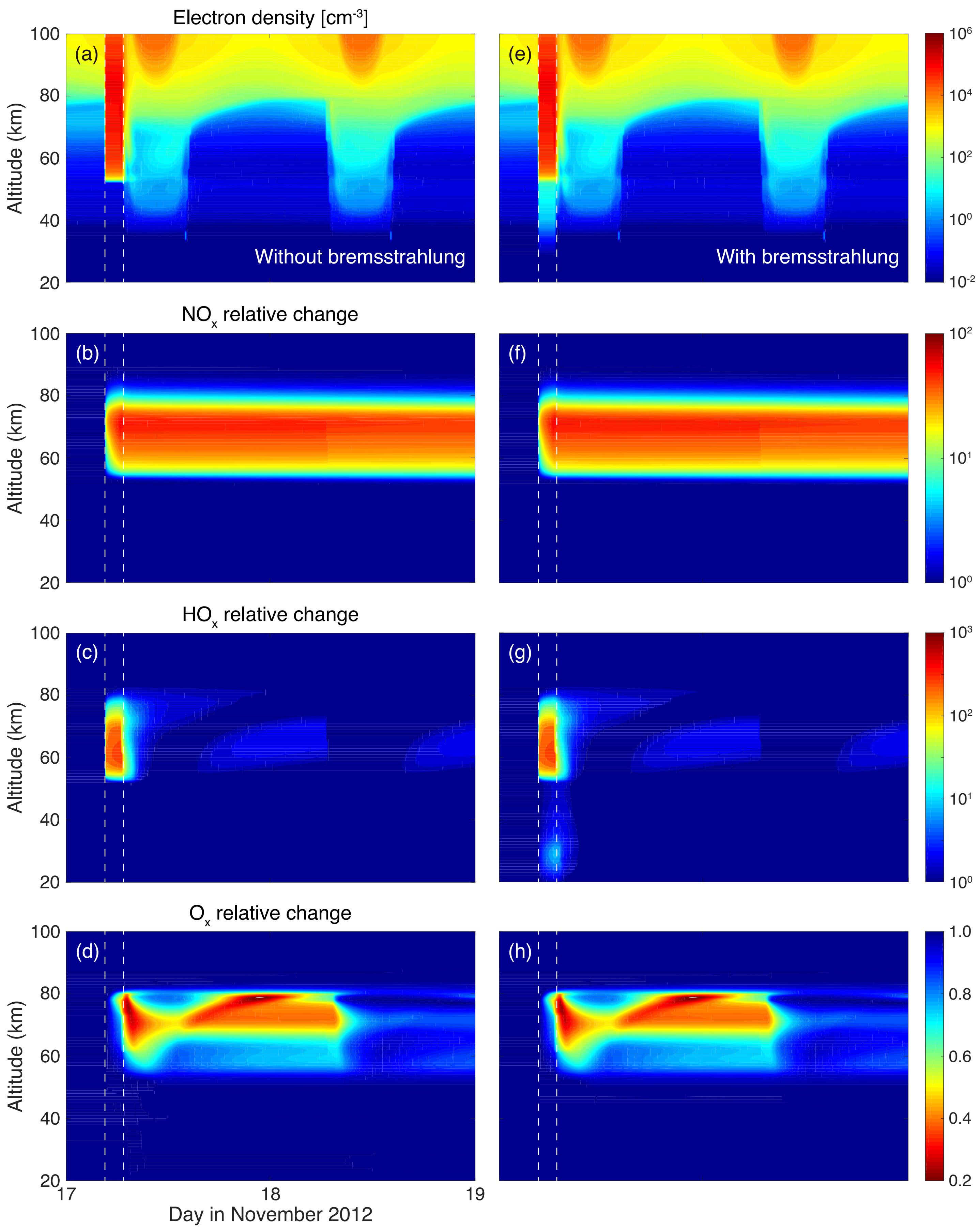
**Figure 1.**



**Figure 2.**



**Figure 3.**



**Figure 4.**

