

Depth-varying seismogenesis on an oceanic detachment fault at 13°20'N on the Mid-Atlantic Ridge

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Abstract

Extension at slow- and intermediate-spreading mid-ocean ridges is commonly accommodated through slip on long-lived faults called detachments. These curved, convex-upward faults consist of a steeply-dipping section thought to be rooted in the lower crust or upper mantle which rotates to progressively shallower dip-angles at shallower depths, resulting in a domed, sub-horizontal oceanic core complex at the seabed. Although it is accepted that detachment faults can accumulate kilometre-scale offsets over millions of years, the mechanism of slip, and their capacity to sustain the shear stresses necessary to produce large earthquakes, remains debated. Here we present a comprehensive seismological study of an active oceanic detachment fault system on the Mid-Atlantic Ridge near 13°20'N, combining the results from a local ocean-bottom seismograph deployment with waveform inversion of a series of larger, teleseismically-observed earthquakes. The unique coincidence of these two datasets provides a more complete characterisation of rupture on the fault, from its initial beginnings within the uppermost mantle to its exposure at the surface. Our

results demonstrate that although slip on the steeply-dipping portion of detachment fault is accommodated by failure in numerous microearthquakes, the shallower-dipping section of the fault within the upper few kilometres is relatively strong, and is capable of producing large-magnitude earthquakes. Slip on the shallow portion of active detachment faults at relatively low angles may therefore account for many more large-magnitude earthquakes at mid-ocean ridges than previously thought, and suggests that the lithospheric strength at slow-spreading mid-ocean ridges may be concentrated at shallow depths.

1 Introduction

Earthquake activity at mid-ocean ridges provides an insight into the thermal and rheological state of the lithosphere as it is created and subsequently deformed (e.g. Sykes, 1967). At slow-spreading ridges, a significant portion of plate separation may occur by slip on long-lived detachment faults, which are thought to initiate at steep dips and then roll over to become sub-horizontal at the seafloor (Cann et al., 1997; Lavier and Buck, 2002; Cannat et al., 2006; Morris et al., 2009). This process leads to the exhumation lower crustal and upper mantle rocks to the seabed, which often form kilometre-scale domes called oceanic core complexes (OCCs; Tucholke et al., 1998; MacLeod et al., 2002; Dick et al., 2008; Escartin and Canales, 2011).

While seafloor mapping and sampling provide a static picture of these features, the subsurface mechanics of the process of roll over remains enigmatic. Short-duration local ocean bottom seismograph (OBS) experiments have shown that microearthquakes in these settings consistently occur depths between 3 and 7 km below seafloor (bsf; Toomey et al., 1985; Kong et al., 1992; Wolfe et al., 1995; Grevemeyer et al., 2013). Some of these earlier studies lacked the high-resolution bathymetry necessary to identify detachment faults prior to deployment, and hence used networks not optimised for studying earthquake associated with these faults. Two deployments of densely-spaced OBS networks targeting identified active core complexes in the North Atlantic Ocean have shown that the pattern of microearthquakes defines a steep-dipping planar normal fault surface at depth, however rup-

52 ture at depths shallower than 4 km bsf remains undetected (deMartin et al.,
53 2007; Parnell-Turner et al., 2017). This apparent lack of shallow seismic-
54 ity has been suggested to be the result of fractured, permeable crust being
55 incapable of supporting sufficient stresses to produce earthquakes, or the
56 presence of hydrothermally-altered fault gouge material leading to aseismic
57 slip (deMartin et al., 2007; Grevemeyer et al., 2013).

58 A large proportion of the slow-spreading Mid-Atlantic Ridge (MAR)
59 shows evidence for detachment faulting and the accretion of oceanic crust
60 through OCC formation (Smith et al., 2006; Escartín et al., 2008). Studies
61 of teleseismically-detected earthquakes at slow-spreading ridges have shown
62 that events in the median valley have typical focal depths of 1 – 4 km bsf, and
63 dip angles of $\sim 45^\circ$ (Huang et al., 1986), consistent with global surveys of large
64 earthquakes at other slow-spreading ridges (Jemsek et al., 1986; Solomon
65 and Huang, 1987). Lacking the constraints necessary to relate these earth-
66 quakes to a particular fault, they have been assumed to be related to planar
67 rift-border faults, and not to be associated with detachment faulting. This
68 assumption, however, contrasts with evidence that detachment-dominated
69 segments of the North Atlantic generate more earthquakes in both teleseis-
70 mic and hydroacoustic catalogues (Escartín et al., 2008; Olive and Escartín,
71 2016), suggestive of a link between the presence of detachment faulting and
72 the production of large mid-ocean ridge earthquakes.

73 Here, we aim to reconcile these observations by integrating the results
74 from a local OBS network with observations of co-located large earthquakes
75 from the global seismic network. This approach allows us to study the seis-
76 mogenic character of a detachment fault across the full range of observational
77 scales.

78 **2 Seismicity near the 13°20'N detachment**

79 We focus on the area near 13°20'N on the MAR, where an active OCC has
80 been previously extensively surveyed and sampled (Smith et al., 2006, 2008;
81 MacLeod et al., 2009; Mallows and Searle, 2012; Escartín et al., 2017; Bon-
82 nemains et al., 2017). The exposed fault surface has prominent spreading-

83 parallel corrugations, and is thought to record ~ 9 km of heave since its
84 initiation at ~ 0.4 Ma (MacLeod et al., 2009; Mallows and Searle, 2012).

85 In 2014, an array of 25 OBSs detected $\sim 240,000$ microearthquakes near
86 the $13^{\circ}20'N$ detachment fault over a period of six months (Parnell-Turner
87 et al., 2017). There are two domains of seismicity: reverse-faulting earth-
88 quakes beneath the dome at 3-7 km bsf, attributed to internal compres-
89 sion within the bending footwall; and normal-faulting earthquakes towards
90 the centre of the axial valley, at depths of 5 – 12 km bsf (Figure 1 and
91 histograms on Figures 4a, 5). The along-axis pattern of normal-faulting
92 microearthquakes suggests that at depth, the active detachment fault ex-
93 tends beyond the limits of the exposed corrugated surface. These normal
94 faulting earthquakes have a composite focal mechanism indicating slip on
95 a steeply eastward-dipping plane (see Supplementary Table 1), interpreted
96 to be the downdip portion of the detachment fault in the region where a
97 coherent, narrow fault zone forms. The depth extent and apparent dip of
98 normal-faulting microearthquakes is consistent with that observed at the ac-
99 tive Trans-Atlantic Geotraverse (TAG) detachment near $26^{\circ}N$ on the MAR
100 (deMartin et al., 2007). The lack of shallow microearthquakes at these two
101 locations means that the style deformation (e.g., aseismic slip, or seismic
102 failure in large or small earthquakes) on the shallow, roll-over portion of
103 detachment faults remains uncertain.

104 Over the last decade, three large-magnitude, teleseismically-detected normal-
105 faulting earthquakes have occurred in the vicinity of the $13^{\circ}20'N$ OCC. A M_w
106 5.7 event that occurred on the 7th December 2008 (hereafter referred to as the
107 2008 mainshock) was followed a day later by a M_w 5.5 aftershock, and a third
108 event, M_w 5.7, occurred on 20th October 2016. The ability to relate a given
109 earthquake with a specific fault near the mid-ocean ridge is hampered by the
110 inherent uncertainty in earthquake location in the absence of near-field data.
111 In order to overcome this limitation, we seek to determine the most likely
112 hypocentral location for these three events, and therefore their relationship
113 to the local tectonic structures, by evaluating five possible scenarios. First,
114 that slip occurred on the shallow portion of the $13^{\circ}20'N$ detachment which
115 lacks microearthquakes; second, that these events are co-located with mi-

116 croearthquakes on the steeper, deeper detachment surface; third, that these
 117 events are shallow antithetic events within the 13°20'N detachment footwall
 118 block; fourth, that they represent breakup of the detachment hanging wall in
 119 the formation of rider blocks; or fifth, that they are unrelated to the 13°20'N
 120 detachment fault and occurred on another fault nearby.

121 3 Constraints on earthquake location

122 Earthquake locations based on globally-observed travel times for these earth-
 123 quakes indicate that they all occurred within 10 km of the active 13°20'N
 124 detachment (Figure 1, Table S2, International Seismological Centre 2014). In
 125 particular, the 2016 event co-locates with the 13°20'N detachment, slightly
 126 up-dip of the observed microseismicity. Quoted catalogue uncertainties sug-
 127 gest that these locations are accurate to $\sim \pm 10$ km [National Earthquake
 128 Information Center; NEIC], comparable to the mean error in global seis-
 129 mological hypocentre locations, based on geodetic calibration (Lohman and
 130 Simons, 2005; Weston et al., 2012). Independently calculated locations for
 131 these earthquakes from different agencies show a strong clustering within this
 132 level of uncertainty (see Figure 1 and Table S1).

133 Although absolute locations for these earthquakes are limited by the lack
 134 of any near-source data, improved data coverage between 2008 and 2016
 135 means that the 2016 location is probably more reliable. Despite these im-
 136 provements, attributing these events to specific tectonic structures, and re-
 137 lating them to one another, remains difficult.

138 We relocate the three teleseismically-observed earthquakes relative to
 139 one other based using inter-event times determined using waveform cross-
 140 correlation (see Figure 3). This approach refines inter-event distances, al-
 141 though does not provide absolute locations relative to geographic features
 142 (including the detachment fault). Exploiting the broad-scale similarity in
 143 mechanism and source duration between the three teleseismically-observed
 144 earthquakes (see Section 4), we relocate them relative to each other on the
 145 basis of relative travel times derived from cross-correlation of the *P* and *SH*
 146 waves. We use a correlation window of 45 s, starting 5 s before the predicted

147 phase arrival. Relative travel times are computed using all three components
 148 (vertical for the P wave, east and north for the S wave). We initially use
 149 all stations which cover the observation periods for at least two of the three
 150 events considered, and then limit the dataset based on the ability to visually
 151 identify arrivals in the waveforms, and on the magnitude of the computed
 152 cross correlation coefficient, using a threshold value of 0.5. Figure S1 shows
 153 the full station set used for P and S waves, overlain on the radiation pat-
 154 tern for the 2016 earthquake (those for 2008 are similar). Note that station
 155 coverage is not the same for all three earthquakes, leading to varying sets
 156 of station pairs for the three event-pairs possible. Whilst the majority of
 157 stations active in 2008 cover both of the earthquakes in this year, the smaller
 158 magnitude 8th December 2008 event leads to a smaller number of stations
 159 with clear arrivals for both events.

160 We use a tapered frequency band, optimised between 0.05 and 1 Hz, for
 161 the cross correlation. Expanding this band to incorporate higher frequencies
 162 initially leads to a similar location offset, but the inter-event coherence, par-
 163 ticularly to the 2008 aftershock, decays rapidly above 1 Hz (demonstrated in
 164 Figure 3), leading to a decrease in the number of reliable inter-event travel
 165 times.

166 For the final set of relocations presented in Figure 2, we use 309 P -wave
 167 event-pairs, and 269 S -wave pairs, with average cross-correlation coefficients
 168 of 0.75 and 0.85, respectively. Prior to relocation, the mean inter-event travel-
 169 time residual is 1.02 s. After relocation, the residual decreases to 0.34 s
 170 (residual populations are shown on Figure 2b,c).

171 We next test limiting the dataset to those those stations at epicentral
 172 distances of $<30^\circ$ (32 P -wave and 22 S -wave pairs) which should be more
 173 sensitive to lateral offsets in location. This refinement leads to a similar set
 174 of relocations, where the 2008 mainshock and the 2016 event occur within
 175 one rupture length of each other. The 2008 aftershock is offset to the north
 176 and west, although there is some difference in the magnitude of the shift
 177 for this event (Figure 2). Similarly, relocations using datasets limited to P -
 178 wave and S -wave arrivals alone (Figure 2a) produces the same overall pattern
 179 across the three earthquakes, with the main variation in the distance, but

180 not direction, of the offset to the 2008 aftershock.

181 Although hampered by scant near-source data (nearest stations $>14^\circ$ epi-
182 central distance), the relocations conclusively indicate that the 2008 main-
183 shock and 2016 event (earthquakes of similar magnitude) occurred near to
184 one another. Plate spreading rates in this area unlikely to be sufficient to
185 accumulate enough slip to produce a M_w 5.7 earthquake in the 8-year inter-
186 event period, leading us to suggest that these two earthquakes likely occurred
187 on adjoining segments of the same fault, rather than repeated rupture of the
188 same fault patch. The causative feature must therefore be large enough to
189 sustain a combined moment release equal to a single M_w 5.9 event.

190 In contrast to the absolute catalogue locations, the smaller 2008 after-
191 shock appears to locate to the northwest, rather than northeast, of the other
192 two events considered, although the degree of the westward shift is poorly
193 constrained (see Supplementary Figure 2).

194 The causative relationship (if there is one) between these two earthquakes
195 is unknown, but if the mechanism relating these two events is assumed to be
196 static stress transfer, then the east-west offset relative to the 2008 mainshock
197 is likely to be less than the northwards offset. Precise onset times of the direct
198 P -wave are difficult to determine from the waveforms visually, particularly
199 for the lower-amplitude P -wave arrivals from the smaller 2008 mainshock,
200 where the onset amplitude is often within the level of the background noise.
201 As a result, the absolute location for this smaller event is less well constrained
202 than for the larger and hence better resolved earthquakes.

203 In the frequency band used for relocation, similarity in overall mechanism
204 and locations of the three earthquakes allow their relative times to be deter-
205 mined. At higher frequencies (> 1 Hz), similarity between the waveforms for
206 the two larger events remains apparent, indicating their proximity to one an-
207 other and similar influence of near-source effects on the waveform. Waveforms
208 for the 2008 aftershock, while similar to the other events at low frequencies,
209 are notably different at higher frequencies, indicating a marginally different
210 rupture process and near-source scattering effects (Figure 3).

211 4 Source mechanisms and fault geometry

212 To supplement the relative and absolute constraints on the earthquake loca-
213 tions, we use teleseismic waveform inversion to constrain the source mecha-
214 nism, rupture duration and depth for these three earthquakes using P - and
215 SH -waves, treating each earthquake as a finite-duration point-source cen-
216 troid.

217 We invert long-period waveforms observed at teleseismic distances (30°
218 $- 80^\circ$ epicentral distance) to determine earthquake mechanism parameters,
219 centroid depth, moment, and source duration, using the approach of Zwick
220 et al. (1994). Our method follows that previously used for mid-ocean ridge
221 earthquakes (Huang et al., 1986; Jemsek et al., 1986; Huang and Solomon,
222 1987), and for the determination of earthquake source parameters in other
223 oceanic areas (Abers, 1991; Abers et al., 1997; Tilmann et al., 2010; Craig
224 et al., 2014). The best-fit parameters for each earthquake are detailed in
225 Table S1. Observed waveforms and best-fit synthetics are shown in Figures
226 S2 – S4.

227 50 seismograms with the best azimuthal distribution were selected, using
228 data available from the Incorporated Research Institutions for Seismology
229 Data Management Center (IRIS DMC). We invert a section of the waveform
230 starting from the initial onset of the direct arrival (manually picked from
231 broadband data), and encompassing the direct arrival (P , S) and principal
232 depth phases (pP , sP , sS). The inversion window for P -waves was limited to
233 exclude subsequent water multiples, and for S -waves was limited to exclude
234 any predicted interaction with SKS arrivals. Waveforms were weighted in
235 the inversion based on azimuthal density, and S -waveforms were manually
236 weighted down by a factor of 0.5 to compensate for their increased amplitude
237 relative to the P -wave.

238 Each earthquake source was parametrised as a finite-duration rupture
239 of a point source, constrained to be a double-couple. The source duration
240 is parametrised as four 1-second elements with independent amplitudes. No
241 improvement in waveform fit was achieved when a longer duration source was
242 tested, and in many cases the final element of the allowed source time function

243 has near-zero amplitude. Hence we invert for a total of nine parameters:
244 strike, dip, rake, centroid depth, moment, and a four-element source time
245 function.

246 We use a near-source velocity structure based on the local model derived
247 from a seismic refraction experiment carried out in 2016 in the 13°N area,
248 averaged into a simple half-space (Simão et al., 2016). A water layer is added
249 over the solid Earth structure, with initial thickness from bathymetry, then
250 adjusted to best match the mean periodicity of observed *P*-wave water mul-
251 tiples. As a result of the shallow depths retrieved for all three earthquakes,
252 we use a simple crustal half space model overlain by the water layer. In
253 common with previous work at mid-ocean ridges we find that the inclusion
254 of a Moho, and the transition to faster mantle velocities below it, improves
255 the waveform fit for solutions with sub-Moho depths (Huang et al., 1986;
256 Jemsek et al., 1986; Huang and Solomon, 1987). This approach, however,
257 fails to produce solutions that fit better than those located above the Moho,
258 i.e. within the crust, and we hence present results using the simple half-space
259 model. Routine values of 1 and 4 s (for *P*- and *SH*-waves, respectively) are
260 used for the attenuation parameter t^* (Futterman, 1962).

261 Best-fit solutions are plotted in Figure 1a, and detailed in Table 1 and
262 Figures S2–S4. Sensitivity tests for depth and dip were performed by fixing
263 the given parameters, and inverting for the best-fit solution. When testing
264 for depth sensitivity, only centroid depth is fixed while all other parameters
265 are free to vary. When testing for dip sensitivity, dip is fixed, centroid depth
266 is fixed at the overall best-fit value, while all other parameters are free to
267 vary. For sensitivity to dip, two minima occur due to the inherent inability to
268 distinguish between the actual fault plane and the conjugate auxiliary plane
269 in the focal mechanism (Figures 4, 5, and 6).

270 Centroid depths of all three earthquakes are determined to be within the
271 upper oceanic lithosphere, at depths of < 5 km bsf (Figures 4, 5, 6, and
272 Figures S2 – S4). Requiring the source depth to be > 5 km leads to pro-
273 gressively worse fits to the combined *P*- and *SH*-wave dataset (Figures 4c
274 and 5c). At depths beyond 12 km (2008 mainshock) and 18 km (2016), an
275 east/west-striking thrust-faulting mechanism appears to yield a better fit the

276 observed waveforms than a north/south-striking normal-faulting mechanism
 277 (red points, Figures 4a and 5a). This thrust faulting mechanism is an arte-
 278 fact of the ability to produce a reduced misfit by fitting the higher amplitude
 279 part of the waveform at a subset of stations, whilst minimising the ampli-
 280 tude at others. Although this solution may yield a marginally better overall
 281 waveform misfit than a deep normal-faulting mechanism, it fails to fit any
 282 identifiable first motion polarities, and cannot produce an acceptable fit to
 283 the complete set of waveforms compared to a normal-faulting earthquake at
 284 shallow depths.

285 Whilst an increased depth can be partially offset by reducing the source
 286 duration for an individual phase, the variation in depth-phase delays at dif-
 287 ferent wavespeeds (and subsequent impact on phase overlap) results in a
 288 different amplitude dependence for the two phases. This trade-off is shown
 289 in Figures 4b and 5b, which show that although the best-fit model is often
 290 able to fit the amplitude of *P*-wave train at moderate depths (~ 7 km bsf), it
 291 then significantly under predicts the amplitude of the observed *S*-waveform.
 292 This shortcoming can be partly overcome by adjusting the elastic parame-
 293 ters used in the inversion, but this results in unrealistic phase separation.
 294 Realistic variations in wavespeeds and near-source density produce only 1
 295 – 2 km variation in global minimum-misfit depth. We therefore conclude
 296 that only a shallow source depth is able to fit the amplitudes of both phases
 297 simultaneously.

298 Absolute minimum misfit centroids for all three earthquakes occur at 2 –
 299 3 km bsf, indicating that rupture likely extended from near the seafloor to
 300 depths of $\sim 4 - 6$ km bsf, assuming that earthquakes of this magnitude likely
 301 rupture up to (or close to) the seafloor.

302 Normal-faulting mechanisms yield a best-fit for all three earthquakes
 303 (consistent with routine catalogue results for low-frequency moment tensors),
 304 with slip vectors parallel to the regional spreading direction ($\sim 110^\circ$). Source
 305 dip resolution is hampered by the lack of along-strike *SH*-wave data. A
 306 best-fit is achieved, however, with an east-dipping planar dip of 45° for the
 307 2016 event and a similar value of 52° for the 2008 mainshock (Figure 2b).
 308 The large uncertainty in dip may also reflect the depth-variable dip of the

309 curved detachment fault surface (Figures 2b and Figure 3b). The best-fit
 310 point-source solution would therefore represent a moment-weighted average
 311 of the fault failure surface, and values of $\sim 45 - 50^\circ$ would hence be consis-
 312 tent with peak slip at this value in the centre of the rupture patch. Failure
 313 would be expected over a range of dip angles either side of this central value,
 314 consistent with failure extending from the downdip limit of $\sim 60 - 65^\circ$ to the
 315 updip limit of $\sim 30 - 35^\circ$.

316 The point-source approach used here assumes that the causative fault is
 317 planar. However, if the source fault is indeed the detachment, then the rup-
 318 ture patch is instead likely to be curved, hence this assumption represents
 319 a simplification. However, synthetic waveform tests indicate that moderate
 320 down-dip curvature makes little difference to the far-field teleseismic wave-
 321 forms when compared to a planar-fault model (Braunmiller and Nábělek,
 322 1996). Detection of fault curvature requires both a larger-magnitude earth-
 323 quake ($> M_w$ 6) and a larger rupture dimension/rupture depth range than
 324 those near $13^\circ 20' \text{N}$, to allow the resolution of discrete source orientations
 325 within the overall waveform and also excellent along-strike *SH*-wave cover-
 326 age. For earthquakes at the Mid-Atlantic Ridge where along-strike coverage
 327 is sparse, data are limited to ocean islands, the Atlantic coast of Brazil, and
 328 Iceland. While we cannot obtain evidence of down-dip curvature from the
 329 waveform data, undetectable curvature of the source fault cannot be ruled
 330 out.

331 Waveform inversion also yields an estimate of the shape and, of partic-
 332 ular interest here, the duration of the source-time function. The estimated
 333 duration trades off significantly with depth (see Figures 4 and 5). However,
 334 for both the 2016 event and the 2008 mainshock, the estimated duration for
 335 the best-fit model is under 4 s, with the vast majority of the moment release
 336 taking place during a 2 s window. As increasing the source depth only serves
 337 to shorten the estimated source duration, these estimates represent maxi-
 338 mum durations for these events. Rupture propagation speeds for dip-slip
 339 earthquakes rarely exceed the local shear-wave speed. Assuming an upper
 340 limit on the rupture velocity of 3 km s^{-1} , the maximum dimension of the
 341 main slip patch is unlikely to exceed 6 km in any direction. The short rup-

342 ture duration prevents any robust assessment of the rupture direction based
343 on waveform directivity, and hence leaves the orientation of this maximum
344 dimension undetermined.

345 5 Large earthquakes and the 13°20'N OCC

346 Slip vectors for the 2008 mainshock and 2016 earthquake (shown on Fig-
347 ure 1b) match to within 5° with the slip azimuth of the exposed fault sur-
348 face of the OCC, inferred from the trend of surface corrugations (MacLeod
349 et al., 2009; Escartín et al., 2017). A source mechanism and depth matching
350 those derived from microearthquakes cannot adequately match the observed
351 teleseismic waveforms (Figure 4b, 5b), indicating conclusively that the mi-
352 croseismicity and teleseismic earthquakes are not co-located (Parnell-Turner
353 et al., 2017). We conclude that the depth and source mechanism for these
354 earthquakes is consistent with the failure of the upper crustal section of
355 the detachment fault between the seafloor and the top of the observed mi-
356 croseismicity (7 km bsf), at moderate dip angles intermediate between the
357 steeply-dipping microseismicity ($\sim 72^\circ$) and the observed dip of the surface
358 of the exposed fault (14-18°).

359 At the TAG detachment, shallow seismicity in the footwall is interpreted
360 as antithetic normal faulting (deMartin et al., 2007). At 13°20'N, no such
361 faults are evident in microbathymetry of the exposed fault surface (Figure
362 1b), nor in the microearthquake catalogue (Parnell-Turner et al., 2017). The
363 distribution of compressional seismicity within the footwall indicates that any
364 bending-related extension in the upper portion of the footwall is probably
365 limited to depths < 2 km below the detachment surface (Parnell-Turner
366 et al., 2017). Requiring the source fault for a magnitude M_w 5.7 to occur
367 on a bending-related extensional fault within the top 2 km of the footwall
368 block would require either an extraordinarily long fault length along strike or
369 an improbably high stress drop to produce the required moment – especially
370 given that the slip on such faults must gradually decrease to zero as the fault
371 approaches the depth of the neutral surface (2 km).

372 Similar arguments apply to the hypothesis that these larger earthquakes

373 result from seismicity within rider blocks above the footwall. Rider blocks
374 are likely to be restricted to the western part of the 13°20'N OCC near the
375 breakaway, however are not on the multiple-km scale that would be required
376 for fault-surfaces to host M_w 5.7 earthquakes without extremely high stress
377 drops. These rider blocks would also be composed of less coherent hanging
378 wall material which has been subjected to extensive mass wasting, and hence
379 are unlikely to produce major earthquakes.

380 Two sub-parallel northwest-southeast trending faults, 3 km apart, can
381 be identified in bathymetric data north of the 13°20'N OCC, near 13°25'N,
382 44°55'W (Figure 1). These faults, which are ~10 km in length and appear
383 to extend from the western end of the OCC at 13°20'N to the probably inactive
384 OCC at 13°30'N, could potentially generate earthquakes with a rupture
385 dimension on order ~5 km. The dip of the exposed scarps is 40-50°, which
386 is compatible with the nodal plane dips for the larger earthquakes, assuming
387 these faults are planar. Deep-tow sidescan sonar data show that these scarps
388 have low-amplitude backscatter, suggesting that they are not smooth exposures
389 of pristine footwall, and instead are covered in mass-wasted material or
390 sediment (MacLeod et al., 2009). This overlying talus would have decreased
391 the dip angle from the true value of the fault at depth, hence these faults may
392 be steeper at depth than they appear on the seabed. Although the 2014 OBS
393 survey took place ~6 years after the 2008 earthquakes, the absence of a microearthquake
394 cluster that could be associated with an aftershock sequence
395 from these events does not support them being the causative feature.

396 The only other major tectonic feature within the axial valley evident in
397 bathymetric data is the eastern rift border fault (Figure 1a). Placing both
398 the 2016 event and the 2008 mainshock on this feature would require an
399 eastward shift of > 10 km from their globally constrained best-fitting locations.
400 This magnitude of shift is at the limit of both the quantitative catalogue
401 location uncertainty for these earthquakes [NEIC], and typical error in
402 global earthquake location (Lohman and Simons, 2005; Weston et al., 2012).
403 Whilst we cannot completely rule out this scenario, there is no evidence for
404 systematic westward-bias in the catalogue locations along this section of the
405 Mid-Atlantic Ridge to justify a common shift in both earthquake locations.

406 6 Shallow detachment fault seismogenesis

407 These data lead us to suggest that the 2008 mainshock and 2016 earthquake
408 most likely occurred on adjoining sections of the detachment fault at 13°20'N.
409 The centroid depth and overall mechanism suggest that they ruptured a
410 substantial area of the shallow part of the fault, extending from the near-
411 surface emergence of the fault, down to the presumed limit of the established
412 and contiguous fault plane, constrained by microearthquakes where the fault
413 roots near the brittle-ductile transition.

414 Using the available constraints on the geometry of the detachment fault,
415 and assuming that the 2016 earthquake and 2008 mainshock did indeed occur
416 on the detachment surface, we can estimate the minimum stress drop for the
417 2008 mainshock and 2016 earthquake. The maximum area of the detachment
418 fault that can have failed in these two earthquakes is assumed to extend from
419 the seafloor to the upper portion of the detachment-related microseismicity in
420 the down dip direction (0 – 7 km), and the spreading axis-parallel length over
421 which microearthquakes are observed (~ 15 km). Over the downdip extent
422 of the fault, we assume uniform curvature from 30 to 70°. We increase the
423 estimated fault area by 5% to account for the rugosity of the fault plane,
424 based on the three-dimensional surface area calculated for a 2×2 km patch
425 of the exposed fault plane using 2m-resolution microbathymetry (Escartín
426 et al., 2017). Hence our estimated total fault area is 1.3×10^8 m².

427 Since the total along-axis extent of the detachment fault exceeds the sum
428 of our estimated maximum rupture dimensions for the 2008 mainshock and
429 the 2016 earthquake, we assume that each earthquake ruptured approxi-
430 mately half of the total fault surface available on the 13°20'N detachment
431 (based on their similar magnitudes). We then estimate a minimum stress
432 drop, $\Delta\sigma$, for each earthquake by assuming $\Delta\sigma = cM_0/(A^{(3/2)})$, where A is
433 the fault area, M_0 is the moment, and c is a geometrical constant, approx-
434 imately equal to 1. We therefore determine that $\Delta\sigma \geq 0.68$ MPa for the
435 2008 mainshock, and $\Delta\sigma \geq 0.88$ MPa for the 2016 event. These stress drops
436 represent upper bounds, since decreasing the rupture area would increase the
437 stress drop in each earthquake. Nonetheless, these values are consistent with

438 stress drops observed in earthquakes in range of a tectonic regimes (Allmann
439 and Shearer, 2009), suggesting that the detachment fault is capable of sus-
440 taining significant shear stresses throughout the upper crust, down to 6 km
441 bsf. Hence this detachment fault appears to be rheologically comparable to
442 globally observed normal-fault systems in non-detachment settings.

443 Lower-crustal gabbros and mantle peridotites exposed on oceanic detach-
444 ment footwalls are commonly altered to sheet silicates such as talc and chlo-
445 rite due to pervasive hydrous circulation (e.g. Dick, 1989; Blackman et al.,
446 2002, 2014; Escartín et al., 2003; Karson et al., 2006; MacLeod et al., 2017).
447 The presence of these low-friction minerals suggests that within the shallow
448 crust, slip may occur through aseismic creep along a rheologically weak fault
449 surface, implying that the shallow portion of a detachment fault would be
450 unable to support the stresses necessary to produce earthquakes (Escartín
451 et al., 1997; deMartin et al., 2007). In contrast, in-situ sampling of the corru-
452 gated dome at 13°20'N shows that, although heavily-altered ultrabasic rocks
453 and talc are present, the exposed fault surface predominantly consists of
454 quartz-cemented cataclastic metadiabase (Bonnemains et al., 2017). These
455 rocks are probably sourced from the hanging wall and later incorporated into
456 the fault zone within the uppermost few kilometres of the crust (Bonnemains
457 et al., 2017). Whilst this zone is unlikely to account for the full rupture area
458 of the larger earthquakes studied here, the migration of rupture into a hang-
459 ing wall comprised of quartz breccia suggests that the fault surface must be
460 at least as strong as this material. Hence the fault rheology, even at shallow
461 depths, is not dominated by minerals with low coefficients of static friction –
462 consistent with the presence of shear stresses large enough to produce large
463 earthquakes.

464 The rheological behaviour of the materials most likely to dominate the
465 fault zone (gabbroic rocks and hydrous alteration products) is highly temper-
466 ature dependent (e.g. Chernak and Hirth, 2010; Moore and Lockner, 2011).
467 A combination of variable fault rock composition and rheology, the com-
468 plex thermal structure at the spreading axis, and the unquantified influence
469 of variable pore fluid pressure, fault zone rheology remains highly uncer-
470 tain. The ability to generate large earthquakes within the uppermost few

471 kilometres of the fault, however, requires that the overall fault rheology in
472 this region be velocity-weakening. The reason why the presence of classically
473 weak hydrous minerals does not appear to have inhibited seismogenic failure,
474 or had a major weakening effect on the fault itself (at least on the timescale
475 of the earthquake cycle), remains unclear.

476 Earlier studies of large earthquakes at slow-spreading ridges have shown
477 that teleseismically-detected earthquakes commonly occur with centroid depths
478 of < 4 km bsf and at dip angles of 45° , within the uppermost oceanic litho-
479 sphere (Huang et al., 1986; Jemsek et al., 1986; Huang and Solomon, 1987).
480 Supra-source water depths from P -wave multiples indicate that majority of
481 these larger earthquakes occurred beneath the axial valley, potentially con-
482 sistent with their occurrence on the down-dip section of detachment faults.
483 However, lacking the bathymetric and microearthquake data to identify ac-
484 tive detachment faulting, these poorly understood events had been assumed
485 to represent slip on rift-bounding border faults. The similarity in dip and
486 depth to the teleseismically-detected earthquakes at $13^\circ 20'N$ suggests that
487 this may not be the case, and instead, slip on the shallow portion of de-
488 tachment faults may be responsible for many more large earthquakes than
489 previously recognised. This inference is consistent with increased rates of
490 seismic moment release at detachment-dominated spreading segments, and
491 with increased estimated for the thickness of the coupled seismogenic layer
492 (Escartín et al., 2008; Olive and Escartín, 2016).

493 7 Conclusions

494 We find that large earthquakes at $13^\circ 20'N$ on the MAR are best explained
495 by rupture on the shallow, gently-dipping portion of a detachment fault. At
496 depths of ~ 10 km bsf, where the fault is presumed to initiate, a network
497 of local fractures give rise to small magnitude microearthquakes which are
498 undetected by the global teleseismic network. At shallower depths, these
499 smaller rupture patches coalesce into a coherent fault plane, strong enough
500 to produce large earthquakes which rupture substantial portions of the shal-
501 low fault surface. Despite the presence of weak minerals, shallow hydrous

502 circulation, and a transition to dip-angles usually thought to be too low to
503 support seismogenic failure, our results show that detachment faults may be
504 strong, and generate earthquakes in the uppermost ~ 7 km of the lithosphere.

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Identifier	Date & Time	Depth (km bsl)	Moment (N m)	M_w	Strike (°)	Dip (°)	Rake (°)
Microseismicity	-	10 – 14	-	-	352	72	-105
2008 Mainshock	2008/12/07 06:23:10	6.0	3.555×10^{17}	5.7	343	52	-104
2008 Aftershock	2008/12/08 01:51:01	5.0	2.663×10^{17}	5.6	350	46	-093
2016	2016/10/20 00:09:26	5.1	4.620×10^{17}	5.7	345	45	-105

Table 1: **Mechanism parameters for seismicity near 13°20’N.** Values for microseismicity are taken from Parnell-Turner et al. (2017). Values for the three larger earthquakes are based on waveform modelling (this study), shown in Figures S2 – S4.

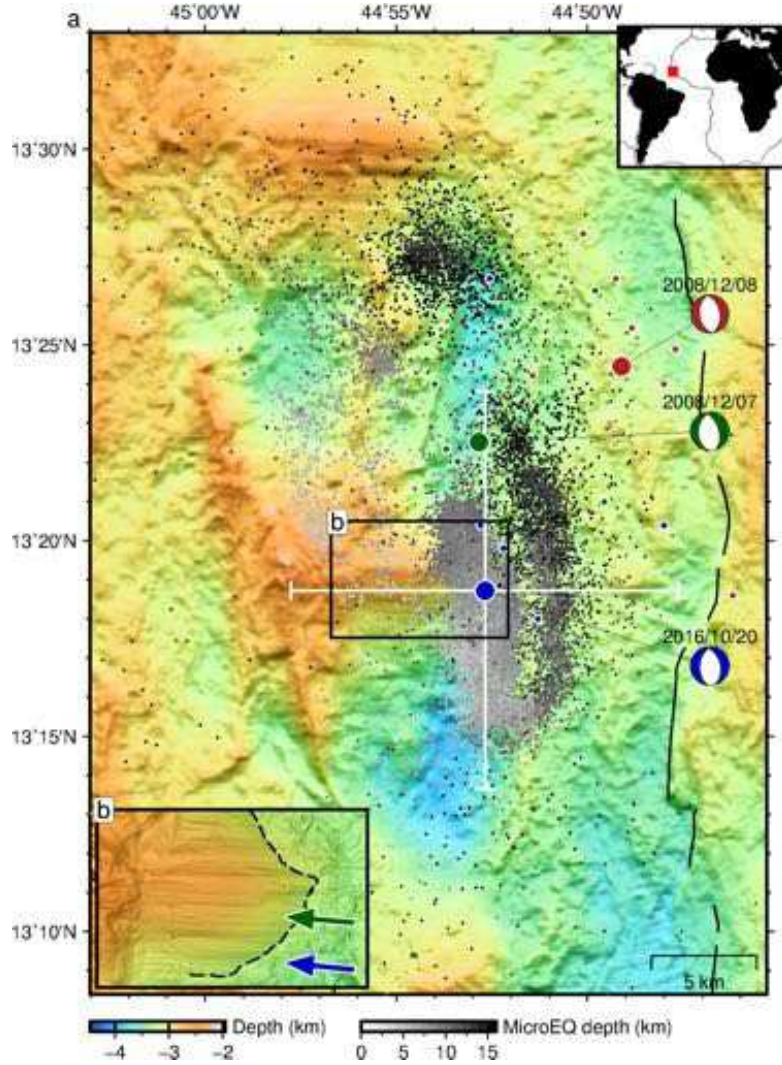


Figure 1: **Bathymetry and earthquakes.** Inset: red box shows study location. (a) Small dots are microearthquakes shaded by depth; large blue circle is preferred hypocentre for M_w 5.7 event on 20th October 2016 (NEIC catalogue); large green/red circles are hypocentres for M_w 5.6/5.5 events on 7th/8th December 2008 events, respectively (ISC catalogue); focal mechanisms shown are best fitting solutions from this study; small coloured circles are unfavoured hypocentres from alternative catalogues (see Table S1 for details). (b) Detailed view of corrugated fault surface, with 2 m resolution microbathymetry (Escartín et al., 2017, French Oceanographic Cruises, <http://dx.doi.org/10.17600/13030070>), blue/green arrows indicate slip direction of 2016/2008 main shocks, respectively; dashed line is hanging wall cutoff.

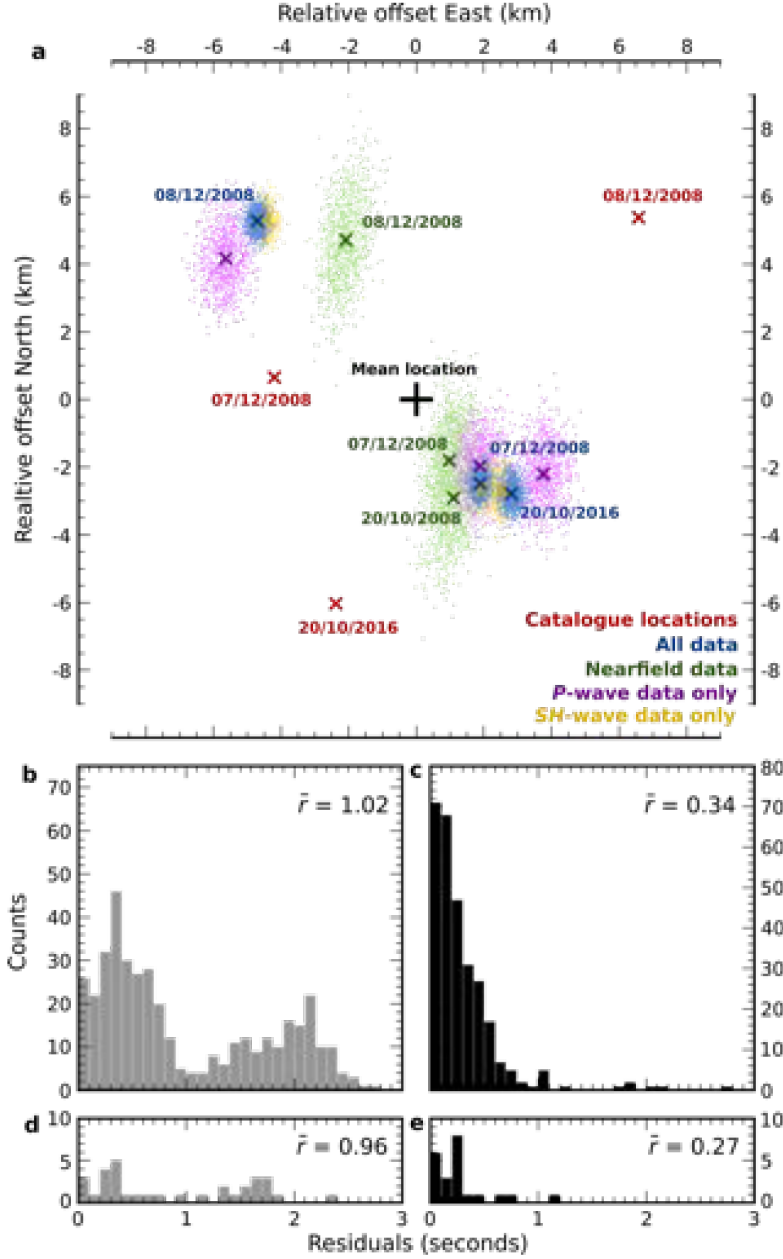


Figure 2: **Relative relocation of teleseismic earthquakes.** (a) Relative earthquake locations for the three teleseismically-observed events, using different datasets. Each set of locations are shown relative to their common mean, defined as the plot origin, shown by the large black cross. Red crosses are initial catalogue locations. Blue crosses are locations after relocation using all data. Green crosses are relocations using only data at epicentral distances < 30°. Purple/yellow crosses are relocations using only *P*-wave/*SH*-wave data, respectively. Small coloured points show 1000 relocations after relative time dataset has been randomly perturbed based on a normal distribution, with width defined by mean post-relocation residual. (b) Cross-correlation derived residuals prior to relocation, for all data. \bar{r} indicates the mean residual. (c) Residuals after relocation using all data. (d),(e) as for (b),(c), but showing residuals for relocation using only data at epicentral angles < 30°.

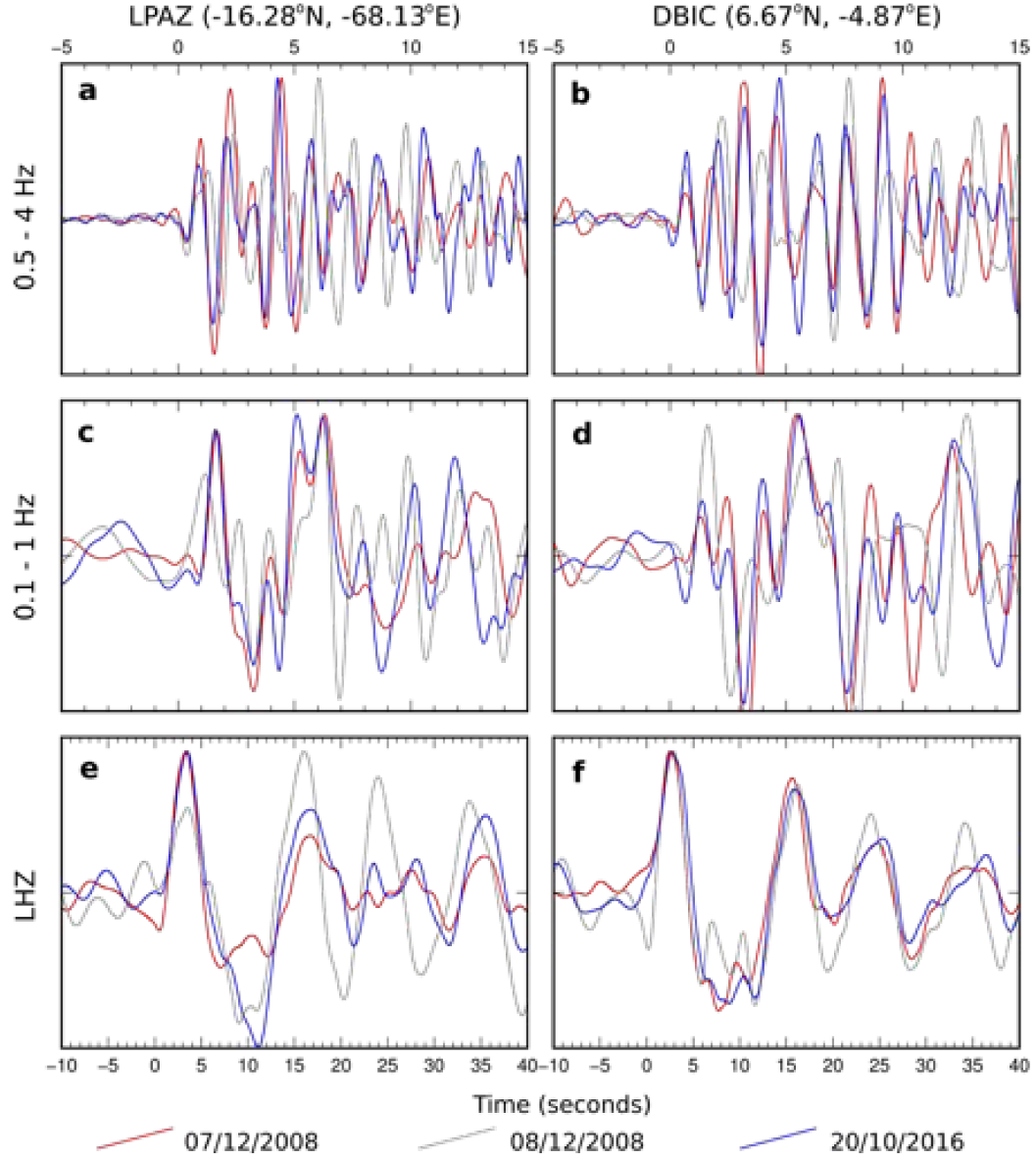


Figure 3: **Waveform comparisons at different frequency bands.** Left column shows waveforms from station LPAZ in Bolivia. Right column shows waveforms from station DBIC in Cote d'Ivoire. Each waveform is aligned relative to *P*-wave arrival. (a,b) Waveforms subject to a 4-pole Butterworth filter with the pass band 0.5–4 Hz. (c,d) Waveforms subject to a 4-pole Butterworth filter with the pass band 0.1–1 Hz. (e,f) Waveforms converted to tapered frequency response of a long-period seismometer.

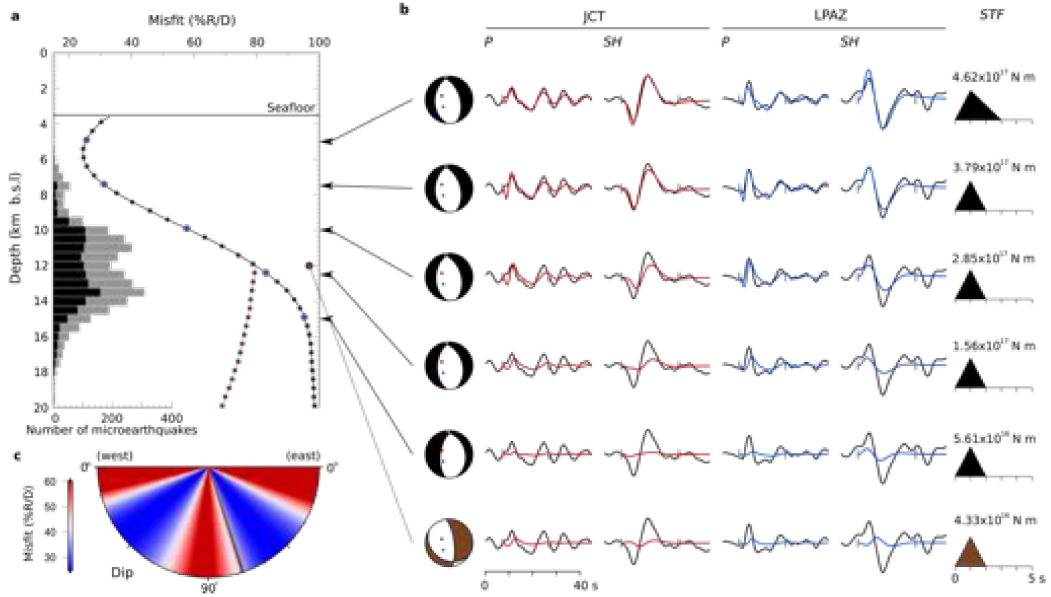


Figure 4: **Analysis of 7th December 2008 earthquake.** (a) Waveform misfit as a function of depth. Black line/points are for solutions with prior assumption of north-striking normal fault. Blue points indicate the depth values used for the sensitivities examples shown in b. Grey line/red points are for fully unconstrained solutions. Histograms show depth of extensional microearthquakes from Parnell-Turner et al. (2017), grey for all extensional earthquakes, black for only those adjacent to corrugated dome at 13°20'N. (b) Depth-sensitivity tests at depths of 5, 7.5, 10, 12.5, and 15 km below sea level (bsl). Left column shows best-fit focal mechanism for each depth interval. Red/blue points show projection of two example stations, JCT and LPAZ, respectively. Following four columns show *P*- and *SH*-waveforms for stations JCT and LPAZ. Black traces are observed waveforms, coloured traces are synthetic waveforms for best-fit solution at each depth. Black vertical ticks indicate inversion window. Right hand column shows best-fit source-time function and moment for each depth. Bottom row shows waveforms calculated with depth and mechanism fixed to match values for microearthquake composite mechanism (Parnell-Turner et al., 2017). (c) Dip sensitivity tests. Brown bar shows dip value of composite focal mechanism for normal-faulting microseismicity at base of detachment fault (72°).

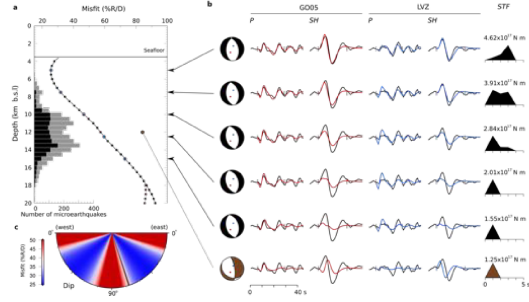


Figure 5: **Analysis of 20th October 2016 earthquake.** (a) As in Figure 4. (b) As in Figure 4, except using stations G005 and LVZ instead of JCT and LPAZ. (c), (d) as in Figure 4.

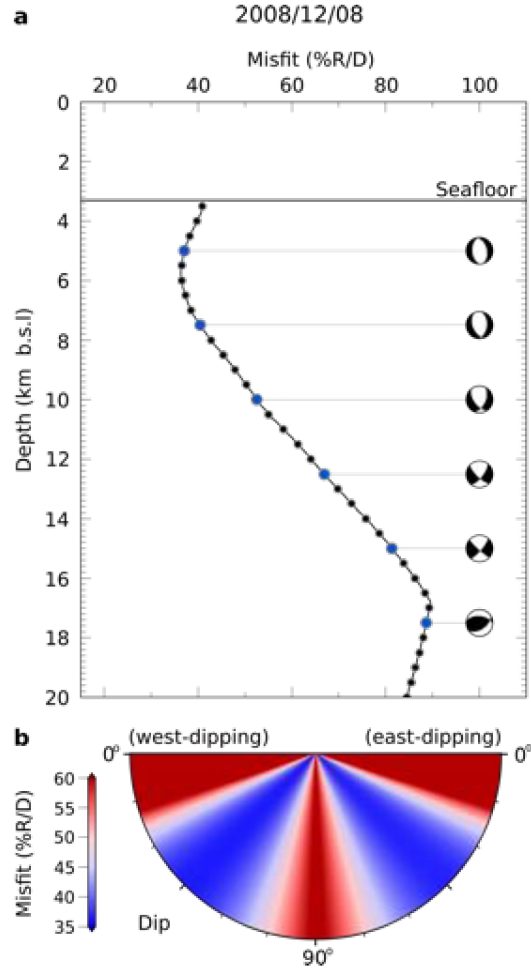


Figure 6: **Analysis of 8th December 2008 earthquake.** (a) Waveform misfit as a function of depth, calculated at 0.1 km depth intervals. At each depth, a best-fit solution is calculated based on free inversion for all source parameters, except depth. Best-fit focal mechanisms are shown at 2.5 km increments. (b) Dip sensitivity tests for east-most and west-most dipping planes for 8th December 2008 earthquake. At each dip-value, dip and centroid depth are fixed (at overall best-fit value for centroid depth), while all other parameters vary freely.

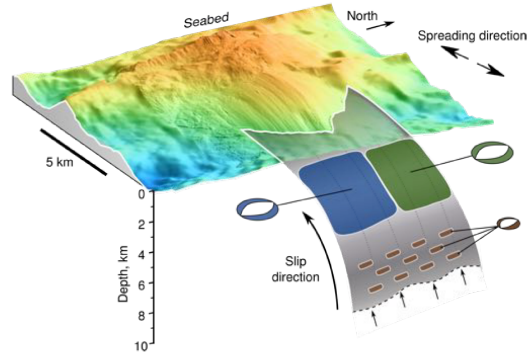


Figure 7: **Three-dimensional sketch showing bathymetry and rupture at 13°20'N detachment fault.** Grey curved area is portion of detachment fault surface; focal mechanism solutions and rupture patches for 2016 event (blue), 2008 mainshock (green) and subset of microearthquakes (brown) plotted in their expected positions on fault surface. Black arrows show spreading/slip direction. Microbathymetry from (Escartín et al., 2017, French Oceanographic Cruises, <http://dx.doi.org/10.17600/13030070>), with colour shading as in Figure 1.