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2 **Multiscale Atmospheric Overturning of Indian Summer Monsoon as Seen**
3 **through Isentropic Analysis**

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Abstract

38 This study investigates multiscale atmospheric overturning during the 2009 Indian summer
39 monsoon (ISM) using a cloud-permitting numerical model. The isentropic analysis technique
40 adopted here sorts vertical mass fluxes in terms of the equivalent potential temperature of air
41 parcels, which is capable of delineating the atmospheric overturning between ascending air
42 parcels with high entropy and subsiding air parcels with low entropy. The monsoonal
43 overturning is further decomposed into contributions from three characteristic scales: the
44 basin-wide ascent over the Indian monsoon domain, the regional-scale overturning associated
45 with synoptic and mesoscale systems, and the convective-scale overturning. Results show that
46 the convective-scale component dominates the upward mass transport in the lower troposphere
47 while the region-scale component plays an important role by deepening the monsoonal
48 overturning. The spatial variability of the convective-scale overturning is analyzed, showing
49 intense convection over the Western Ghats and the Bay of Bengal while the deepest overturning
50 is localized over Northern India and the Himalayan foothills. The equivalent potential
51 temperature in convective updrafts is higher over land than over the ocean or coastal regions.
52 There is also substantial variability in the atmospheric overturning associated with the
53 intraseasonal variability. The upward mass and energy transport increases considerably during
54 the active phases of the ISM. A clear northeastward propagation in the peak isentropic vertical
55 mass and energy transport over different characteristic regions can be found during the ISM,
56 which corresponds to the intraseasonal oscillations of the ISM. Altogether, this study further
57 demonstrates the utility of the isentropic analysis technique to characterize the spatiotemporal
58 variations of convective activities in complex atmospheric flows.

59 **1. Introduction**

60 The Indian summer monsoon (ISM) is the most striking manifestation of the seasonal cycle
61 associated with a massive shift in the planetary-scale atmospheric overturning on earth
62 (Trenberth et al. 2000). It is characterized by extensive rainfall from June to September across
63 the Indian subcontinent and changes in the wind pattern over the Indian Ocean. It is a central
64 aspect of life for over one billion people. There is a strong link between the ISM rainfall and the
65 Indian subcontinent's food production, industry, and even its gross domestic product (GDP)
66 (Webster et al. 1998; Goswami et al. 1999; Gadgil and Gadgil 2006; Goswami et al. 2006). The
67 ISM is a complex system which includes atmospheric overturning across various scales. The
68 multiscale overturning and interactions are essential to the ISM dynamics. For example,
69 convergence of moisture driven by large-scale overturning modulates the overturning at the
70 convective scales, while latent heat released within the convective-scale overturning drives the
71 monsoonal large-scale atmospheric circulation (Bhaskaran et al. 1995; Goswami et al. 1999;
72 Kang and Shukla 2006; Chen et al. 2011; Sohn et al. 2012; Wang et al. 2015a; Goswami and
73 Chakravorty 2017).

74 The ISM as a whole is generally viewed as a planetary-scale sea breeze circulation, driven, in
75 part, by the solar forcing and the temperature contrast between ocean and land surfaces (Wu et al.
76 2012). During the boreal summer, the planetary-scale overturning is dominated by a single
77 cross-equatorial Hadley cell, with a large-scale ascent over the Indian subcontinent and the bay
78 of Bengal, and a large-scale subsidence over the south Indian Ocean east of Madagascar
79 (Trenberth et al. 2000). Besides the land-sea thermal contrasts, the strength of this
80 planetary-scale overturning is considerably influenced by the blocking and heating effects of
81 Tibetan Plateau (He et al. 1987; Yanai et al. 1992; Boos and Kuang 2010; Park et al. 2012; Wu et

82 al. 2012); the movements of the subtropical and extra-tropical westerly jet streams (Krishnamurti
83 and Bhalme 1976); the Indian Ocean Dipole (IOD, Sabeerali et al. 2012), the El Niño Southern
84 Oscillation (ENSO, Goswami and Xavier 2005). As one of the prime manifestations of the
85 seasonal cycle on Earth, the ISM interacts with a broad range of large-scale phenomena.

86 The atmospheric overturning during the ISM also varies at the synoptic- and meso-scales over
87 the Indian subcontinent and the Bay of Bengal, and exhibits variability on the intra-seasonal
88 timescale (Taraphdar et al. 2010). The regional-scale atmospheric overturning runs across
89 various spatial scales from hundreds of kilometers (for example, the organized mesoscale
90 convective systems, Romatschke and Houze 2011; Virts and Houze 2016) to thousands of
91 kilometers (for example, the monsoon low pressure systems, Krishnamurthy and Ajayamohan
92 2010; Yanase et al. 2012), with life cycles from hours to days. Inside the regional-scale
93 atmospheric overturning, the convective-scale overturning (through convective clouds) is the
94 main producer of the monsoonal precipitation and greatly influencing the onset and maintenance
95 of the ISM (Romatschke et al. 2010).

96 The moist convective-scale overturning also plays an important role in controlling the
97 moisture, heat and momentum distribution in the ISM and in the maintenance of the general
98 circulation of the ISM (Das et al. 2002). In correspondence to the strong spatial variations of
99 orography, atmospheric condition and the underlying surface over the Indian monsoon basin
100 (changing from ocean to coastline to Indian inland region to the Himalayan foothills), the
101 convective-scale overturning (or convective activity) also exhibits strong spatial variability
102 during the ISM (Romatschke and Houze 2011). In addition, the convective activity also shows
103 different behaviors (intensity, depth, width and so on) during the different intraseasonal phases

104 of the ISM due to the changes of the local atmospheric stability and moisture associated with the
105 monsoon intraseasonal oscillation (MISO) (Virts and Houze 2016).

106 Despite consensus on the multiscale nature of the ISM, the characteristics and the relative
107 contributions to mass and energy transport of the atmospheric overturning at different scales
108 remain a topic of active research. However, to the best of our knowledge, the detailed
109 characteristics of atmospheric overturning across different scales in the ISM have not been
110 examined systematically in the literature. This is at least in part due to limitations of traditional
111 analysis techniques such as Eulerian averaging, that fail to capture the complicated multiscale
112 atmospheric overturning inside the ISM (Pauluis et al. 2008, 2010). The purpose of this study is
113 to investigate the atmospheric overturning across multiple scales in the ISM using a newly
114 developed isentropic analysis technique (Pauluis and Mrowiec 2013). While the concept of
115 isentropic analysis was introduced by Rossby (1937), the methodology has been recently updated
116 to analyze the convective overturning. Pauluis and Mrowiec (2013) sort the vertical mass
117 transport in terms of the equivalent potential temperature of the air parcels. Using the isentropic
118 analysis, one can readily identify the atmospheric overturning across different scales, all of
119 which are associated with the upward transport of warmer moister air and the downward
120 transport of colder dryer air, and systematically filtering gravity waves (Pauluis and Mrowiec
121 2013; Slawinska et al. 2016). This technique has been successfully adopted in previous studies to
122 investigate the thermodynamic cycles in convection (Pauluis 2016), the atmospheric overturning
123 across multiple scales in the Madden-Julian Oscillation (MJO) (Chen et al. 2018a), the Walker
124 cell (Slawinska et al. 2016), and hurricanes (Mrowiec et al. 2016; Fang et al. 2017; Pauluis and
125 Zhang 2017). Four specific questions will be addressed in this paper through the use of isentropic
126 analysis: What are the statistical characteristics of the ISM atmospheric overturning across

127 different scales? How much do different scales contribute to the total monsoon overturning? As
128 the main producer of the monsoonal precipitation, how does the convective-scale overturning
129 differ across different monsoon sub-regions? Also, how does the convective-scale overturning
130 vary at the intraseasonal timescales?

131 Chen et al. (Chen et al. 2018b, C18 hereafter) simulated the ISM from 2007 to 2011 using a
132 convection-permitting regional model at a gray zone resolution (9-km grid spacing). The authors
133 compared the model output with multiple observational datasets and results show that the
134 simulation at the gray-zone resolution can successfully capture many aspects of the ISM
135 atmospheric circulation and precipitation, like the onsets, breaks and withdraws of the ISMs, in
136 most years. Among the 5-year simulations, the intraseasonal variations of monsoon rainfall and
137 atmospheric circulation are most realistically reproduced in year 2009. Using the same model
138 configurations as C18, the current study further performs convection-permitting simulation for
139 the 2009 ISM, which is then used to analyze the atmospheric overturning across multiple scales
140 during the ISM. The experimental setup and analysis methodology are described in section 2.
141 Section 3 analyzes the seasonal-mean atmospheric overturning associated with multiple scales
142 and the spatial variability of the overturning. Because the ISM shows strong intraseasonal
143 variability, the intraseasonal variations of multiscale atmospheric overturning and the vertical
144 mass and energy transports are analyzed in Section 4. Section 5 gives the concluding remarks of
145 the study.

146

147 **2. Experimental setup and Methodology**

148 **2.1 WRF model setup**

149 The model configuration here is the same as the one used in C18. The Advance Research
150 WRF model (Skamarock et al. 2008), version 3.4.1, is used to simulate the atmosphere over the
151 Indian monsoon basin, from 0°N to 38°N and from 39°E to 112°E (Fig. 1). The horizontal grid
152 spacing is 9 km and no cumulus scheme has been used in the simulation, which is in the
153 so-called gray zone resolution. Though 9 km grid spacing is not enough to resolve individual
154 convective cells, it is able to capture the statistical characteristics of convective activity, as well
155 as their upscale impact and coupling with large-scale dynamics in regional climate simulations
156 (Wang et al. 2015b). The convective-scale overturning in the MJO simulated by the regional
157 models at 3 km and 9 km horizontal resolutions have been compared in Chen et al. (2018a), and
158 the results show that the depth and strength of convective-scale overturning in both simulations
159 are similar as seen through the isentropic analysis. There are 45 vertical levels for the simulation
160 with a nominal model top at 20 hPa with 9 levels residing typically within the boundary layer. As
161 in C18, an implicit damping scheme (Klemp et al. 2008) has been used in the top 5 km of the
162 model to suppress the vertically propagating gravity waves. The simulation employs the GCM
163 version of the Rapid Radiative Transfer Model (RRTMG) longwave radiation scheme (Iacono et
164 al. 2008), the updated Goddard shortwave scheme (Shi et al. 2010), the unified Noah land
165 surface scheme (Chen and Dudhia 2001), the ACM2 boundary layer scheme (Pleim 2007) and
166 the WRF Double-Moment (WDM) microphysics scheme (Lim and Hong 2010) from WRF
167 V3.5.1 with an update on the limit of the shape parameters and terminal speed of snow following
168 Wang et al. (2015b). The initial and boundary conditions of the simulation are derived from the
169 6-hourly ERA-Interim reanalysis (Dee et al. 2011). Sea surface temperature is updated every 6 h
170 using the ERA-Interim SST. The model integration starts from 20 April 2009. For the first three
171 days, spectral nudging is applied to relax the horizontal winds to the ERA-Interim with a zonal

172 wavenumber 0-4 and a meridional wavenumber 0-2 (> 2000 km). After 23 April, the model is
173 integrated to 30 October 2009 without any interior nudging. In this study, we focus on the free
174 running period from 1 June to 30 September (JJAS) which is the Indian summer monsoon season.
175 More details on the model configurations and descriptions can be found in C18.

176 The model simulated monsoonal atmospheric circulation and precipitation of the 2009 ISM
177 are verified with the ERA-Interim reanalysis and TRMM observations in Fig. 2. Fig. 2a and 2b
178 show the JJAS mean 200-hPa winds and geopotential heights extracted from ERA-Interim and
179 the WRF simulation. The model well captures the Tibetan high-pressure and wind patterns in the
180 upper troposphere, though the Tibetan high-pressure and its associated anti-cyclonic winds in
181 WRF are slightly stronger than that in ERA-Interim. The strength of the Somali jet in the lower
182 troposphere is a crucial dynamic factor influencing the strength of the ISM rainfall because it
183 transports moisture from ocean to Indian subcontinent and the Bay of Bengal (Joseph and
184 Sijikumar 2004). The WRF model realistically simulates the geographical position and strength
185 of Somali Jet over the Arabian Sea at 850-hPa (Fig. 2c and 2d). The spatial distribution and
186 amount of precipitable water in WRF is also similar to that in ERA-Interim, with a slight
187 overestimation over the northern tip of the Bay of Bengal and the southern slope of Himalaya.
188 The spatial distribution and intensity of JJAS precipitation are well simulated in the WRF model,
189 while overestimation can be found along the western coastline of Myanmar, tropical eastern
190 Indian Ocean and Indochina (Fig. 2e and 2f). Overall, the WRF model captures well the
191 seasonal-mean atmospheric circulation and precipitation of 2009 ISM. More detailed assessment
192 of the WRF simulations at gray zone resolution can be found in C18.

193

194 **2.2 Isentropic analysis**

195 Because the equivalent potential temperature (θ_e) of air parcels is conserved during reversible
196 moist adiabatic process and increases systematically with entropy, the isentropic surfaces can be
197 defined as the surfaces of constant θ_e in the isentropic analysis (Emanuel 1994; Mrowiec et al.
198 2016; Pauluis 2016; Pauluis and Zhang 2017). We use the frozen equivalent potential
199 temperature following Pauluis (2016, Eq. (2)) defined as the θ_e in:

$$200 (C_{pd} + C_i r_T) \ln \frac{\theta_e}{T_f} = [C_{pd} + r_i C_i + (r_v + r_l) C_l] \ln \left(\frac{T}{T_f} \right) - R_d \ln \left(\frac{P_d}{P_0} \right) + (r_v + r_l) \frac{L_f}{T_f} + r_v \frac{L_v}{T} - r_v R_v \ln H \quad (1)$$

201 Where T is temperature and T_f is the freezing temperature for water under atmospheric pressure
202 (273.1 K). C_l , C_i and C_{pd} are the specific heat capacities at constant pressure of liquid water,
203 ice and dry air, respectively. r_l , r_v , r_i and r_T represent the mixing ratios for liquid water, water
204 vapor, ice and total water. R_d and R_v stand for the specific gas constants for dry air and water
205 vapor. L_f is the latent heat of freezing at freezing temperature, and L_v is the latent heat of
206 vaporization. P_0 and P_d are the reference pressure (1000 hPa) and partial pressure of dry air. H
207 is the relative humidity. The frozen equivalent potential temperature defined following Eq. (1) is
208 typically larger than the θ_e over liquid water (Emanuel 1994) because of the inclusion of the
209 latent heating associated with freezing processes. Isentropic analysis using the equivalent
210 potential temperature with respect to ice can better capture the convective overturning motions
211 above the freezing level (Pauluis 2016).

212 The isentropic analysis technique developed by Pauluis and Mrowiec (2013) relies on sorting
213 the vertical mass transport in terms of air parcels' θ_e and computes the atmospheric overturning
214 in isentropic coordinates (z, θ_{e0}) , which emphasizes the concept that atmospheric overturning at
215 different scales can all be treated as a combination of ascending air parcels with higher entropy
216 and descending air parcels with lower entropy (Pauluis et al. 2010; Mrowiec et al. 2012;
217 Mrowiec et al. 2015; Yamada and Pauluis 2016; Pauluis and Zhang 2017). At the core of the

218 isentropic analysis lies the isentropic distribution of vertical mass transport on a given isentropic
 219 slice which is defined as:

220
$$\langle \rho W \rangle(z, \theta_{e0}) = \frac{1}{P \times A} \int \int \int \rho W \delta[\theta_{e0} - \theta_e] a^2 \cos \varphi d\varphi d\lambda dt \quad (2)$$

221 Here, ρ is the mass per unit volume and W is the vertical velocity. The isentropic integral
 222 $\langle \rho W \rangle$ is expressed in units of ρW per kelvin. θ_{e0} is the mean equivalent potential temperature
 223 of a finite θ_e bin. P is the period used for time averaging. A is the area of the averaging domain.
 224 a , φ and λ are the earth radius (6371 km), latitude and longitude respectively. δ is a Dirac
 225 function which is equal to $1/\Delta\theta_e$ for θ_e between $\theta_{e0} - 0.5\Delta\theta_e$ and $\theta_{e0} + 0.5\Delta\theta_e$ and 0
 226 elsewhere. z is the height above the mean sea level (MSL). In practice, the integral in (2)
 227 amounts to summing the vertical mass flux of air parcels at each constant height in finite θ_e
 228 bins on an interval of width $\Delta\theta_e$.

229 The isentropic distribution of vertical mass transport defined by Eq. (2) can be further
 230 integrated in the equivalent potential temperature to obtain the isentropic streamfunction:

231
$$\Psi(z, \theta_{e0}) = \int_0^{\theta_{e0}} \langle \rho W \rangle(z, \theta'_e) d\theta'_e = \frac{1}{P \times A} \int \int \int \rho W H[\theta_{e0} - \theta_e] a^2 \cos \varphi d\varphi d\lambda dt \quad (3)$$

232 H is the Heaviside function. From a physical point of view, the definition of isentropic
 233 streamfunction would be the net vertical mass flux of all air parcels with an equivalent potential
 234 temperature less than θ_{e0} at each given level z . A useful feature of the isentropic streamfunction
 235 is its isolines showing the averaged trajectories of all air parcels with similar equivalent potential
 236 temperature in the $\theta_e - Z$ phase space (Pauluis 2016). The vertical derivative of the isentropic
 237 streamfunction is proportional to the total diabatic heating (Pauluis and Mrowiec 2013).
 238 Interested readers can also refer to Pauluis and Mrowiec (2013) and Pauluis (2016) for a more
 239 detailed physical interpretation of the isentropic streamfunction.

240

241 **2.3 Multiscale decomposition of the vertical mass fluxes**

242 We separate the ISM overturning into the basin-wide, regional and convective scales by using
 243 the decomposition methodology introduced in Chen et al. (2018a). Firstly, the WRF domain is
 244 divided into 120 sub-regions with the size of each sub-region equals approximately to $450\text{ km} \times$
 245 450 km (50×50 model grid points, shown by the blue rectangles in Fig. 1). We used a Mercator
 246 grid in the WRF simulation, with a spatial spacing proportional to the cosine of the latitude.
 247 Isentropic analysis using different sub-region sizes have been compared with each other and the
 248 results are shown to be not sensitive to the small changes of the sub-region size (not shown here).
 249 In each sub-region, the vertical mass flux at each model grid point ($\sim 9\text{ km}$ resolution) is
 250 decomposed into a component at large scales ($\bar{\rho}W_{LS}$) and a convective component (ρW_C) at each
 251 model output time (hourly):

$$252 \quad \rho w = \bar{\rho}W_{LS}(i,j) + \rho W_C \quad (4)$$

253 The large-scale component is obtained by averaging the vertical mass flux at all model grid
 254 points in the sub-region:

$$255 \quad \bar{\rho}W_{LS}(i,j) = \frac{1}{A(i,j)} \iint \rho W a^2 \cos \varphi d\varphi d\lambda \quad (5)$$

256 In above equations, $\bar{\rho}$ is the horizontal mean mass per unit volume for each sub-region and $A(i,j)$
 257 is the area of the corresponding sub-region. a , φ and λ are the earth radius (6371 km), latitude
 258 and longitude respectively. The large-scale vertical mass transport can be further decomposed
 259 into a basin-wide ascent ($\bar{\rho}W_B$) and regional atmospheric overturning ($\bar{\rho}W_R$) as shown below:

$$260 \quad \bar{\rho}W_B = \frac{1}{\sum_{j=1}^{NY} \sum_{i=1}^{NX} A(i,j)} \sum_{j=1}^{NY} \sum_{i=1}^{NX} (\bar{\rho}W_{LS}(i,j) A(i,j)) \quad (6)$$

$$261 \quad \bar{\rho}W_R(i,j) = \bar{\rho}W_{LS}(i,j) - \bar{\rho}W_B \quad (7)$$

262 Here, NX and NY are the number of sub-region in the zonal and meridional directions (15 and 8,
263 shown in Fig.1). Using the definitions of isentropic streamfunction, the isentropic
264 streamfunctions associated with basin- (Ψ_B), regional- (Ψ_R) and convective- (Ψ_C) scales are
265 determined by Eq. (3). Thus, the total streamfunction (Ψ) is decomposed into:

266
$$\Psi(z, \theta_{e0}) = \Psi_B(z, \theta_{e0}) + \Psi_R(z, \theta_{e0}) + \Psi_C(z, \theta_{e0}) \quad (8)$$

267 With the definitions of Eq. (6) and Eq. (7), Ψ_B is the mean vertical mass flux over the whole
268 Indian monsoon basin and accounts for a basin-wide ascending or descending motion during the
269 ISM. Ψ_R represents the atmospheric overturning across different sub-regions (or across the
270 scales from hundred kilometers to thousand kilometers) in the Indian monsoon basin, which can
271 be treated as the atmospheric overturning at the synoptic- and meso-scales. ρW_C is the
272 atmospheric overturning across different model grid points inside each sub-region (or across the
273 scales from kilometers to hundred kilometers), which stands for the atmospheric overturning
274 produced by convective activity.

275

276 **3. Seasonal-mean atmospheric overturning**

277 In this section, the seasonal-mean multiscale atmospheric overturning of the 2009 ISM is
278 investigated in the context of isentropic analysis, with an emphasis on the spatial variability of
279 the convective-scale atmospheric overturning.

280

281 **3.1 Atmospheric overturning across multiple scales**

282 Fig. 3 shows the isentropic streamfunctions associated with different scales averaged over all
283 120 sub-regions of the model domain during the 2009 ISM season (JJAS). The convective-scale
284 isentropic streamfunction is presented in Fig. 3a. The solid black line shows the horizontal-mean
285 profile of equivalent potential temperature. For a statistically steady flow, the streamline of the

286 isentropic streamfunction corresponds to the mean flow in the $z - \theta_e$ coordinates (Pauluis and
287 Mrowiec 2013; Pauluis 2016). We can see that the convective-scale isentropic streamfunction at
288 each given height is firstly decreasing with θ_e from the left side of the θ_e axis, which shows
289 air parcels with low entropy is descending around the convective core on average. After the
290 streamfunction reaches its minimum at each z level, the convective-scale vertical mass flux
291 changes from negative to positive, the streamfunction begins to increase with θ_e and finally
292 vanishes at high equivalent potential temperature, which indicates the ascent of warm and moist
293 air parcels at a higher value of θ_e in the center of convection. For the summed convective-scale
294 overturning, the upward mass transport is always compensated by the downward mass transport
295 based on the definition of Eq. (4), while there is a net upward transport of entropy because the
296 upward transporting air parcels have higher θ_e than the downward transporting air parcels on
297 average.

298 The minimum of the convective-scale streamfunction located at the lower troposphere (near 3
299 km) reflects that the vertical mass flux is dominated by shallow convection. The equivalent
300 potential temperature in rising air is about 5-10 K higher than the mean profile of θ_e , which
301 shows that air parcels in the shallow convection are much moister and warmer than the
302 large-scale environment. The outer contours of constant value of the streamfunction (for example
303 the dashed contour in Fig. 3a) can be interpreted as the mean thermodynamic trajectories of air
304 parcels in the deep convective-scale overturning. All trajectories are counterclockwise. The air
305 parcels with high θ_e are transported upward from the surface. Before they reach the melting
306 level (at around 5 km), the θ_e decreases slightly with height as a result of the entrainment and
307 mixing of drier air in the updrafts. Above the melting level, θ_e is unchanged before the air
308 parcels reach the top of the deep overturning, which shows that deep convection can transport

309 mass upward without significant dilution above the freezing level. Past the apex point, the air
310 parcels move downward and θ_e decreases more than 40 K from 15 km to the melting level
311 which is induced by radiative cooling. Below the melting level, the equivalent potential
312 temperature of descending air parcels gradually increases as they mix with the detrained cloudy
313 air (refer to Pauluis and Mrowiec 2013).

314 When compared with the convective-scale overturning that occurs over the equatorial oceans
315 analyzed by Chen et al. (2018a), the convective overturning in the ISM is much deeper, with the
316 top of deep convective-scale overturning is around 3 km higher. Also, the deepest updrafts in
317 ISM occur at higher θ_e values (about 15 K higher) than that in the tropical oceans while their
318 downdrafts have similar values of θ_e . This thermodynamic difference indicates that deep
319 convection in the ISM is more efficient in transporting entropy from surface to upper troposphere
320 and has higher convective instability. However, the intensity of shallow convective-scale
321 overturning in the ISM is weaker than that occurring over the tropical oceans (the intensity
322 decreased around 35%), which reflects that the mixing processes in the lower troposphere
323 associated with shallow convection are less vigorous in the ISM.

324 Fig. 3b shows the regional-scale isentropic streamfunction. As defined in Eq. 7, it indicates the
325 collective contributions of organized mesoscale convective systems and synoptic-scale systems
326 to the total atmospheric overturning in the ISM. When compared with the convective-scale
327 overturning, the regional-scale overturning is shallower with its top is 3 km lower. The intensity
328 of the regional-scale overturning is also considerably weaker than the convective-scale
329 overturning (~ 50% off). The downward mass transport associated with the regional-scale
330 overturning occurs at values of equivalent temperature lower than at the convective scales and
331 the horizontal mean profile of θ_e , indicates that the downward mass flux at meso- or synoptic

332 scales occurs through slower subsidence and stronger radiative cooling than the convective
333 scales. The minimum of the regional-scale streamfunction can be found around 6 km, which
334 shows that the regional-scale vertical mass transport reaching its maximum in the middle
335 troposphere that corresponds to the height of the maximum updrafts in the organized mesoscale
336 and synoptic systems (Houze 2004; Bosart and Bluestein 2013).

337 Fig. 3c shows the isentropic streamfunction associated with the entire Indian monsoon basin
338 scale. During the ISM, there is a large-scale ascending at higher equivalent potential temperature
339 over the Indian monsoon region, which is compensated by a large-scale subsidence at lower
340 equivalent potential temperature in the winter hemisphere that is outside of the current
341 simulation domain. The boundary conditions from ERA-Interim impose a basin-wide mean
342 ascent over the entire simulation domain during JJAS. Hence, the basin-scale streamfunction
343 does not have a closed contour like the convective or regional scales and is positive for the whole
344 troposphere (Based on Eq. (3), the streamfunction is integrated from low equivalent potential
345 temperature. Only the large-scale ascent at high θ_e is captured in the current simulation domain.
346 So the basin-scale streamfunction is positive here). This basin-wide ascent peaking in the lower
347 troposphere shows that the averaged large-scale mean updraft is most prominent under the
348 freezing level. The total vertical mass transport associated with the basin-wide ascent over the
349 whole averaging domain (all 120 sub-regions shown in Fig.1) is also calculated here. In
350 agreement with the isentropic streamfunction, the basin-wide total vertical mass transport peaks
351 in the lower troposphere with a value around 1.8×10^{11} kg/s, which is comparable to the total
352 mass transport associated with the Hadley cell (Pauluis et al. 2010). In C18, the basin-scale
353 ascent over tropical oceans peaks in the middle troposphere during the MJO active phase. A
354 possible reason for why the heights of the large-scale ascent are different over the two regions is

355 that the monsoon domain contains a large inland area, so stronger low-level vertical mass
356 transportation could be induced by the stronger orographic lifting and surface heating effects.
357 However, the exact reasons still need future investigations.

358

359 **3.2 Spatial variability of atmospheric overturning**

360 One prominent feature of the ISM is its strong spatial variability in convective activity
361 (Romatschke and Houze 2011) due to the various underlying surface and orographic forcing over
362 different regions inside the monsoon domain. In this section, convective-scale atmospheric
363 overturnings in five characteristic regions are compared with each other in the context of the
364 isentropic analysis. These five characteristic regions are selected based on their surface and
365 orographic features, which are Arabian Sea, Western Ghats, North India, the Himalayan foothills
366 and the Bay of Bengal respectively (shown by different color shadings in Fig.1).

367 Figures 4b-f show the convective-scale atmospheric overturning averaged over JJAS in the
368 five regions. The convective-scale atmospheric overturning averaged over the whole monsoon
369 domain is presented in Fig. 4a (note the colorbar is different in Fig.4 and Fig. 3a). During the
370 ISM, the strongest convective overturning can be found over the coastal regions of the Western
371 Ghats and of the Bay of Bengal (Figs. 4c and 4f). The strong moisture convergence induced by
372 the differential surface frictions and orographic lifting effects along both coastlines could be the
373 reason why stronger convective activity occurs there (Chen et al. 2014; Chen et al. 2017). Such
374 convective overturning also corresponds to intense precipitation (Figs. 2e and 2f).

375 The convective streamfunctions over both Arabian Sea and Western Ghats (Figs. 4b and 4c)
376 exhibit a pronounced tilt in the lower troposphere. This indicates strong entrainment of dry air in
377 the convective updrafts. These two regions are upstream of the main precipitation regions and

378 are thus directly exposed to the mid-tropospheric inflow of dry air from the Arabian Peninsula
379 and the Southern hemisphere (e.g., Krishnamurti et al. 2010). In contrast, the streamline over the
380 continental region and the Bay of Bengal, exhibit a weaker tilt in the lower troposphere, which
381 indicates a lesser impact of entrainment on the convective-scale overturning in these regions.

382 The isentropic streamfunctions over the inland regions (Figs. 4c and 4e) are shifted toward
383 higher values of equivalent potential temperature, indicating that updrafts have higher energy
384 content over land than over the oceans. This shift is likely due to a lower heat capacity of the
385 land surface, so that the absorbed solar radiation directly contribute to increasing the energy
386 content of the air in the boundary layer. This leads to a stronger diurnal cycle and higher
387 θ_e values over land than over the ocean (Dai 2001). The convective-scale atmospheric
388 overturning over the inland regions is also deeper than that in the coastal regions, reaching an
389 altitude up to 15 km over the Himalayan foothills. The entrainment in the convective updraft in
390 the lower troposphere is much weaker over the inland regions (Figs. 4c and 4e), which reflects
391 that the convective vertical motions are stronger in these regions. Because of the stronger
392 orographic blocking and lifting effects, the intensity of convective overturning over the
393 Himalayan foothills (Fig. 4d) is around 2 times stronger than that in North India. These results
394 are consistent with the long-term satellite observations (Romatschke et al. 2010; Romatschke and
395 Houze 2011) which show that convection occurs over the inland regions is deeper than the one
396 occurring over the ocean area during the ISM, and with the analysis of Nie et al. (2010) who
397 show the presence of very high value of θ_e (~360 K) over Northern Indian during the ISM.
398 Hence our results demonstrate that the isentropic analysis technique provides a direct way for us
399 to link the vertical mass and energy transports to the monsoon precipitation. Through the
400 isentropic analysis, the thermodynamic cycles of atmospheric overturning can be extracted and

401 compared between different monsoon sub-regions. This comparison clearly shows the influences
402 of surface types and orography on the convective-scale overturning in the ISM.

403 To further elucidate the spatial variations of the vertical mass transport associated with
404 convective-scale overturning, the isentropic upward mass transport is defined below following
405 Slawinska et al. (2016)'s Eq. (10):

$$406 \quad M(z) = \max_{\theta_e} [\Psi(z, \theta_e)] - \min_{\theta_e} [\Psi(z, \theta_e)] \quad (9)$$

407 The max and min are the maximum and minimum of streamfunction in the θ_e coordinate at a
408 given height. Fig. 5 shows the spatial distributions of the convective upward mass transport at
409 different levels during the ISM. Consistent with the convective-scale isentropic streamfunction
410 (Fig. 3a), the upward mass transport at the convective scale is dominated by shallow convection
411 that peaks around 3 km (Fig. 5b). Strong upward mass flux can still be found around the freezing
412 level (Fig. 5c), while the vertical mass transport produced by the convective activity is relatively
413 weak in the upper troposphere over the whole monsoon domain (Fig. 5d, one magnitude weaker
414 than the mass transport in the lower troposphere). The spatial distribution of the convective-scale
415 upward mass transport follows the same pattern as the accumulated monsoon precipitation (Figs.
416 2e and 2f), indicating that the convective-scale activity is the main producer of the monsoon
417 rainfall, which is consistent with the long-term satellite observations (Romatschke and Houze
418 2011). Same as the analysis of the sub-regional isentropic streamfunctions in Fig. 4, the
419 convective-scale isentropic upward mass flux is strongest over Western Ghats and the Bay of
420 Bengal and weakest over Arabian Sea below the melting level. Above the melting level, the
421 convective upward mass transport decreases more dramatically over West Ghats and the Bay of
422 Bengal and is almost at the same values as that in North India and the Himalayan foothills. It
423 indicates that the convective-scale atmospheric overturning over the coastal sub-regions is more

424 dominated by shallow convective activity than that over the inland sub-regions, which are
 425 consistent with the discussions of Fig. 4 and satellite observations (Romatschke and Houze
 426 2011).

427 One advantage of the isentropic analysis is that it offers an efficient way to characterize the
 428 thermodynamic properties of atmospheric overturning with a two-stream approximation (Pauluis
 429 and Mrowiec 2013). The spatial distributions of the isentropic-mean equivalent potential
 430 temperature in the mean convective-scale updraft is further investigated to assess the vertical
 431 energy transport associated the convective-scale atmospheric overturning in the ISM. First, the
 432 mean updraft of convective-scale atmospheric overturning in each sub-region at each model
 433 output time (output every hour) is defined as:

$$434 \quad M^+(z, t) = \int_{-\infty}^{\infty} \langle \rho W_C \rangle H(\langle \rho W_C \rangle) d\theta_e \quad (10)$$

435 Here t is the model integration time, z is altitude and H is a Heaviside step function. The
 436 isentropic-mean equivalent potential temperature in the mean convective updraft θ_e^+ is further
 437 defined as:

$$438 \quad \theta_e^+(z, t) = \frac{1}{M^+} \int_{-\infty}^{\infty} \langle \rho W_C \theta_e \rangle H(\langle \rho W_C \rangle) d\theta'_e \quad (11)$$

439 The spatial distributions of the seasonal averaged θ_e^+ at different altitudes are shown in Fig. 6.
 440 We can find that, over all sub-regions in the monsoon domain, θ_e in the convective updraft
 441 decreases with height in the lower troposphere because of the entrainment and mixing of drier air
 442 (Figs. 6a, 6b and 6c) and increases slightly with height above the melting level because of the
 443 increase in the proportion of deep convective updraft (Fig. 6d). The θ_e decrease in the lower
 444 troposphere (for example from 1km to 5km) is more significant over West Ghats, the Bay of
 445 Bengal and Arabian Sea (close to 30 K) than that over inland regions (around 13 K), which

446 indicates a weaker convective updraft and a stronger entrainment over the oceanic and coastal
447 regions as the isentropic streamfunctions shown in Fig. 4. Among all five characteristic regions,
448 θ_e^+ is highest over the foothills of Himalaya, which shows that the entropy of upward convective
449 mass transport is highest on the south slope of Himalaya where anomalous deep convective
450 activity frequently occur during the ISM (Romatschke et al. 2010).

451

452 **4. Intraseasonal variations of atmospheric overturning**

453 The ISM exhibits strong low frequency variability in the form of “active” and “break” spells
454 of monsoon rainfall (Goswami and Ajayamohan 2001) with a dominant mode on timescale of
455 30-60 days (Sikka and Gadgil 1980; Yasunari 1981). This low-frequency mode is also known as
456 the Monsoon Intraseasonal Oscillation (MISO), which affects the seasonal mean strength of the
457 ISM and is characterized by a northeastward propagation of enhanced or suppressed precipitation
458 from Indian Ocean to the Himalayan foothills (Jiang et al. 2004). The intraseasonal oscillation of
459 monsoonal precipitation is closely related to the changes in the atmospheric circulations
460 (Sabeerali et al. 2017). C18 shows that the WRF model at the gray zone resolution can well
461 simulate the intraseasonal variations of the ISM rainfall and atmospheric circulations. In this
462 section, the intraseasonal variability of multiscale atmospheric overturning in the ISM is studied
463 in the context of the isentropic analysis, with an emphasis on the spatial and temporal variations
464 of the convective-scale atmospheric overturning which has a close relationship with the monsoon
465 rainfall.

466

467 **4.1 Vertical mass transport across multiple scales**

468 Figure 7a shows the temporal evolution of daily precipitation averaged over the Indian
469 subcontinent (shown by the black polygon in Fig. 1) from TRMM observation (black line) and
470 WRF simulation (blue line). Generally speaking, the WRF simulation well simulates the mean
471 strength and intraseasonal variation of the monsoon rainfall. The rainfall over the Indian
472 subcontinent begins to increase gradually from the beginning of June and reaches an active phase
473 in July. Then the monsoon rainfall decreases quickly and a clear break phase can be found at the
474 beginning of August. In the next 3 weeks, the rainfall over the Indian subcontinent increases
475 again and reaches another active phase around the beginning of September. Another break phase
476 of the monsoon rainfall occurs in the mid-September and one weak active phase can be found at
477 the end of September. Withdrawal of the ISM occurs at the beginning of October.

478 The evolution of the isentropic upward mass transport associated with all spatial scales
479 averaged over the Indian subcontinent is shown in Fig. 7b. The atmospheric overturning evolves
480 systematically with the monsoon rainfall (Fig. 7a): it intensifies gradually from the beginning of
481 June and weakens gradually at the beginning of October, which corresponds to the onset and the
482 end of the ISM, respectively. The active and break phases of the monsoon rainfall correspond to
483 the intensification and weakening of the atmospheric overturning, implying that the intraseasonal
484 variation of the monsoon rainfall is closely associated with the changes in atmospheric
485 circulations. The increase in atmospheric overturning during the active phase of the ISM is
486 primarily associated with an increase in the contributions by the convective-scale and
487 regional-scale overturning (Figs. 7c and 7d). In contrast, the contribution from basin-wide
488 updraft is relatively small while some modest enhancements can still be found during the active
489 phases of the ISM (Fig. 7e).

490 The convective-scale upward mass transport peaks in the lower troposphere (Fig. 7c). Around
491 64% of the total mass transport below the melting level is contributed by the convective-scale
492 overturning during JJAS, which indicates the preponderance of shallow convective activity in the
493 ISM. Most deep convective overturning occurs during the ISM active phase which is related to
494 the more unstable atmospheric environment during the time (Romatschke et al. 2010). Both the
495 shallow and deep atmospheric overturning associated with the convective scale is enhanced
496 significantly during the active phase of the ISM. Compared to the ISM break phase, the
497 convective-scale upward mass transport is enhanced by around 250% in the lower troposphere
498 and as high as 260% above the melting level during the ISM active phase. In agreement with the
499 enhancement of the convective-scale atmospheric overturning (or convective activities),
500 precipitation over the Indian subcontinent also increases by 142% during the ISM active phase.

501 The vertical mass transport produced by the regional-scale overturning has comparable
502 magnitude but relatively weaker than the one associated with the convective scale (Fig. 7d). It
503 peaks in the middle troposphere as shown by the isentropic streamfunction (Fig. 3b). During the
504 ISM season, close to 43% of the total vertical mass transport in the middle and upper troposphere
505 is contributed by the regional-scale atmospheric overturning, which indicates that synoptic and
506 mesoscale systems play an important role in deepening the monsoonal overturning. The upward
507 mass transport associated with the region scale is enhanced by 159% during the active phase of
508 the ISM, reflecting more synoptic or mesoscale systems occurring during the ISM active phase
509 that are associated with, for example, the monsoon low pressure systems (Krishnamurthy and
510 Ajayamohan 2010).

511 The vertical mass transport associated with the basin-scale circulation (Fig. 7e) is much
512 smaller than that associated with the convective and regional scales. During JJAS, less than 25%

513 of the total vertical mass transport in the troposphere is contributed by the basin-wide ascent.
514 However, the contribution of the basin-scale overturning in the ISM is still higher when
515 compared to that of the MJOs (less than 20%, Chen et al. 2018a). The intraseasonal oscillation of
516 the basin-scale overturning is not as significant as that associated with the convective and
517 regional scales. However, intensifications of the basin-scale ascent can still be found during the
518 active phases of the ISM, especially around July 1st (Fig. 7e). It shows that the heating of the
519 atmosphere by convective activity can intensify the regional Hadley circulation during the ISM
520 active phase (Goswami and Chakravorty 2017).

521

522 **4.2 Atmospheric overturning at the convective scales**

523 The spatial and temporal variations of the convective-scale atmospheric overturning associated
524 with the MISO are studied in more detail in this section. Fig. 8 shows the daily average rainfall
525 for each 10-day period starting from 11 June to 10 August, 2019, which includes the onset of the
526 ISM and a complete cycle of the MISO. During the onset stage of the ISM, enhanced rainfall
527 moves from Arabian Sea to the Indian subcontinent in June, and strong precipitation can be
528 found along the west coastline of the subcontinent (Figs. 8a and 8b). From early to middle July,
529 precipitation over the Indian inland region increases gradually and the ISM reaches an active
530 phase in 11-20 July (Figs. 8c and 8d). During the active phase, the enhanced rainfall forms a
531 northwest-southeast line that stretches from the west coast of the Indian subcontinent to the south
532 of the Indochina, which is similar to the active phase composited feature obtained from
533 long-term satellite and surface observations (Sabeerali et al. 2017). The monsoon rainfall over
534 North India begins to decrease from the end of July (Fig. 8e) and the ISM reaches a break phase
535 in the early-August (Fig. 8f). However, precipitation over the Himalayan foothills reaches an

536 active phase in these 20 days (Figs. 8e and 8f), which corresponds to the northeastward
537 propagating feature of the MISO. The onset of the ISM and northward propagation of MISO are
538 further shown in Fig. 9. Similar to Figure 8, the Hovmöller diagram clearly shows that the onset
539 of the ISM is around June 20, with surface precipitation gradually increasing over West Ghats.
540 The ISM reaches an active phase during July 11-21. A clear northward propagation of MISO can
541 be found from July 11 to August 04, with strong surface rainfall propagating from the west coast
542 of the Indian subcontinent to the Himalayan foothills. At the same time, a break phase of ISM
543 can be found in early August, when surface rainfall over the Indian subcontinent is suppressed
544 (Figs. 8 and 9).

545 Figs. 10 shows the 10-day evolutions of the isentropic upward mass transport associated with
546 the convective-scale atmospheric overturning. Before the onset of the ISM, strong convective
547 mass transport is still located over the Arabian Sea area (Fig. 10a). Active convective mass
548 transport (Fig. 10a) and precipitation (Fig. 8a) can also be found over the Bay of Bengal at this
549 time. With the onset of the ISM, the convective mass transport intensifies dramatically over West
550 Ghats, indicating strong convective activity occurring along the coastline and producing heavy
551 rainfall over the region. However, the convective activity in North India is still very weak (Fig.
552 10b). In the next 20-days, the convective upward mass transport over North India increases
553 gradually with convective activity over West Ghats weakening (Figs. 10c and 10d). During the
554 active phase of the ISM, the convective upward mass transport over North India and the Bay of
555 Bengal both reaches their strongest stage of the two-month period (Fig. 10d), which is consistent
556 with the enhanced rainfall line stretching from the west coast of the Indian subcontinent to the
557 south of the Indochina at that time (Fig. 8d). From the end of July to the beginning of August, the
558 convective activity over North India and the Bay of Bengal weakens while the convective mass

559 transport over the Himalayan foothills increases considerably (Figs. 10e and 10f), in agreement
560 with the variations of surface rainfall (Figs. 8e and 8f).

561 Fig. 11 shows the intraseasonal variation of the isentropic-mean equivalent potential
562 temperature in the mean convective-scale updraft at 3 km altitude. The equivalent potential
563 temperature of convective updraft is higher over the inland regions in general and peaks over the
564 Himalayan foothills. Over Arabian Sea, θ_e^+ is highest before the onset of the ISM (Fig. 11a).
565 The θ_e^+ over West Ghats reaches its highest value near the onset of the ISM (Figs. 11b and 11c)
566 but it is reduced considerably during the later stage of the ISM. The reduction in θ_e^+ over the
567 Western Ghats indicates that advection of dry air is probably the primary factor leading to the
568 diminishing of convection there (Krishnamurti et al. 2010). Over North India and the Bay of
569 Bengal, the highest values of θ_e^+ can be found during the active phase of the ISM (Fig. 11d),
570 which is consistent with the more intense convection and precipitation over these two regions
571 during this period (Figs. 8d and 10d). It is noteworthy that the highest value of θ_e^+ over the
572 Himalayan foothills occurs during the break phase of the ISM (Fig. 11f). It is in agreement with
573 the enhancement of rainfall over the region during the break phase (Fig. 8f), which is associated
574 with deeper convective-scale overturning and stronger latent heating (will be shown in Fig. 12).
575 The isentropic analysis shows not only that the evolution of the convective mass and entropy
576 transports capture the northeastward propagation of the MISO, but also indicates regional
577 differences in the behavior of convection.

578 The 10-day evolution of isentropic streamfunction associated with the convective-scale
579 circulations averaged over 5 characteristic regions is presented in Fig. 12. Only the isentropic
580 streamfunctions smaller than $-0.001 \text{ kg m}^{-2} \text{ s}^{-1}$ are shown here. The black solid lines show the
581 horizontal-mean profile of θ_e . Similar to the seasonal-mean streamfunction shown in Fig. 4, on

582 average, the convective-scale atmospheric overturning over the oceanic regions is shallower and
583 with lower entropy compared with that over the inland regions. Obvious intraseasonal variations
584 of the convective-scale overturning can be found over all 5 characteristic regions. Over Arabian
585 Sea (the first row in Fig. 12), the convective-scale atmospheric overturning is strongest before
586 the onset of the ISM (11-20 June), with its depth exceeding 10 km altitude. The convective-scale
587 overturning over Arabian Sea weakens dramatically after the onset of the ISM and convective
588 activity is mostly concentrated below 3 km during the ISM. The convective-scale overturning
589 over the West Ghats (the second row in Fig. 12) reaches its strongest phase near the onset of the
590 ISM (from 21 June to 10 July). The depth and intensity of the convective-scale overturning
591 decrease considerably during the break phase of the ISM (from 21 July to 10 August), with most
592 convective-scale overturning occurring under the melting level, which shows that the
593 precipitation over West Ghats during the ISM break phase is dominated by the warm rain
594 processes. The convective-scale overturning in North India (the third row in Fig. 12) is very
595 weak (one order of magnitude weaker than the seasonal-mean strength) before the onset of the
596 ISM and intensifies dramatically during the ISM active phase (11-20 July). In the active phase,
597 deep convective-scale overturning can transport air from the near surface to the upper
598 troposphere (~ 14 km) with little loss of the entropy of the air parcels (or weak entrainment
599 effects), indicating that extreme deep convection occurs frequently over North India during the
600 ISM active phase. The convective-scale overturning over North India weakens notably during
601 the ISM break phase (01-10 August). Due to the strong orographic lifting effect, extreme deep
602 convection with the top exceeding 14 km altitude occurs frequently over the Himalayan foothills
603 during the ISM (the fourth row in Fig. 12). This result is consistent with the long-term TRMM
604 observations (Romatschke et al. 2010; Romatschke and Houze 2011). The convective-scale

605 overturning over the Himalayan foothills reaches its strongest stage during the break phase of the
606 ISM (01-10 August). The intraseasonal variation of the convective-scale atmospheric
607 overturning over the Bay of Bengal (the fifth row in Fig. 12) is similar to that in North India,
608 with its strongest stage occurring during the active phase of the ISM (11-20 July). However, the
609 intensity of the convective-scale overturning in the Bay of Bengal is much stronger than that in
610 North India on average, which corresponds to stronger precipitation over the region. The
611 strongest stages of the convective-scale overturning over different regions clearly show the
612 northeastward propagation of the ISM (from Arabian Sea to West Ghats to North India and the
613 Bay of Bengal to the Himalayan foothills), which again shows that the isentropic analysis is a
614 useful tool for investigating the propagation and variation of the atmospheric overturning
615 associated with the MISO.

616

617 **5. Summary and discussion**

618 In this study, we analyzed the multiscale atmospheric overturning during the 2009 ISM. The
619 ISM is simulated with the WRF model using the same configuration as described in C18.
620 Isentropic analysis is adopted in this study to investigate the spatial and temporal variations of
621 the atmospheric overturning across multiple scales in the ISM, with a special emphasis on the
622 convective-scale overturning, which is closely related to the monsoon precipitation.

623 The atmospheric overturning of the 2009 ISM is decomposed into three contributors: a
624 basin-wide ascent (basin-scale), a region-scale overturning which is associated with synoptic and
625 organized mesoscale systems (region-scale) and a convective contribution (convective-scale).
626 Our analysis shows that atmospheric overturning over the Indian subcontinent is dominated by
627 the convective and regional scales. The vertical mass transport in the lower troposphere is mainly

628 contributed by the convective-scale circulations, while the mass transport associated with the
629 regional scales reaches its maximum in the mid troposphere, and thus enables the atmosphere to
630 transport energy to higher altitude. Both the convective- and region-scale overturnings of the
631 ISM exhibit a strong intraseasonal variation with their strengths peaking during the active phase
632 of the ISM. The basin-scale overturning is much weaker (contributes less than 25% of the total
633 vertical mass transport) than that due to the synoptic/mesoscale systems or convection.

634 Due to the different underlying surface characters and orographic forcings, the
635 convective-scale atmospheric overturning in the ISM shows a strong spatial variation. Five
636 characteristic regions are selected (Arabian Sea, Western Ghats, North India, the Himalayan
637 foothills and the Bay of Bengal) and the seasonal-mean convective-scale atmospheric
638 overturning occurring in these regions are compared in the context of the isentropic analysis in
639 this study. On average, the convective-scale overturning over the inland regions (North India, the
640 Himalayan foothills) is deeper ($\sim 2\text{-}3$ km) than that over the oceanic and coastal regions (Arabian
641 Sea, Western Ghats and the Bay of Bengal). The convective-scale overturning over the inland
642 regions also shows higher entropy than that over the oceans and coastlines. It results in a stronger
643 convective upward energy transport in North India and the Himalayan foothills. However,
644 shallow convective-scale overturning is most active along the coastlines (West Ghats and the
645 Bay of Bengal), indicating that strong warm rain processes occur over the coastal regions. These
646 results derived from the isentropic analysis are consistent with the long-term TRMM
647 observations (Romatschke and Houze 2011).

648 Besides spatial variations, the convective-scale atmospheric overturning also shows a strong
649 intraseasonal variation which is closely related to the intraseasonal oscillations of the monsoon
650 rainfall. Isentropic analysis shows that both the vertical mass and energy transports over the

651 Indian subcontinent are stronger during the ISM active phase than that in the ISM break phases.
652 The strongest phases of the convective-scale atmospheric overturning averaged over different
653 characteristic regions show that the isentropic analysis can well capture the northeastward
654 propagation of the MISO and its associated variations of the atmospheric overturning. The
655 convective-scale overturning in North India and the Bay of Bengal peaks during the ISM active
656 phase, while the convective-scale overturning over the Himalayan foothills reaches its strongest
657 stage in the ISM break phase.

658 Our analysis shows that fluctuations of convective activity during the ISM differ markedly
659 from the variations of convection during an MJO event studied with the same methodology by
660 Chen et al. (Chen et al. 2018a). Indeed, the MJO appears primarily as a displacement of the
661 center of convective activity, without any substantial change in the thermodynamic properties of
662 the updrafts. In contrast, in the ISM, we find that the regional shift in convective activity is also
663 associated with very large changes in the equivalent potential temperature of the rising air
664 parcels. It is consistent with the long-term satellite observations which show that the behaviors of
665 convective activity have a strong spatial variability in the ISM (Romatschke et al. 2010). In
666 particular, our simulation indicates that convection over the Himalayan foothills regularly
667 exhibits equivalent potential temperature above 360 K, an exceptionally high value that is more
668 typical of tropical storms. Air parcels ascending at such high entropy can substantially contribute
669 to the excess production of kinetic energy by the atmospheric circulation (Pauluis 2016). The
670 isentropic analysis can provide new insights on how changes in the atmospheric circulation are
671 related to the changes in convective activity, a question central to our understanding of the
672 summer monsoon. Future studies will extend this to different seasons and years including the
673 inter-annual variability. Recent studies show that warm SST anomalies leading the MISO

674 convection may play a very important role in the northeastward propagation of the MISO (e.g.,
675 Fu et al. 2003). Our high resolution simulation provides an opportunity for future studies to
676 further investigate the impacts of SST anomalies on the MISO propagation.

677

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Figure 1. Model domain used in the WRF simulations with topography (gray scales) and coastlines (red lines). Different subregions are shown by the color shadings (Blue: Arabian Sea; Green: Western Ghats; Yellow: North India; Red: the Himalayan foothills; Magenta: the Bay of Bengal). The black polygon shows the Indian subcontinent.

Figure 2. Monsoon (JJAS) winds (vectors) and geopotential heights (red contours) at 200-hPa from (a) ERA-Interim and (b) WRF simulation; winds at 850-hPa (vectors) and precipitable water (color shadings) from (c) ERA-Interim and (d) WRF simulation; daily surface precipitation (color shadings) from (e) TRMM observation and (f) WRF simulation. Topography is shown by the black contours starts at 500-m with a 1000-m interval.

Figure 3. Isentropic streamfuctions associated with the (a) convective-scale, (b) regional-scale and (c) basin-scale averaged over all 120 sub-regions of the model domain during JJAS (color shading, $\text{kg m}^{-2} \text{ s}^{-1}$). The x axis is equivalent potential temperature (K) and the y axis is height (km). Isolines of the streamfunctions are shown as red contours start at $-0.001 \text{ kg m}^{-2} \text{ s}^{-1}$ with a $-0.001 \text{ kg m}^{-2} \text{ s}^{-1}$ interval in (a) and (b). The black dashed lines in (a) and (b) show the isolines of $0 \text{ kg m}^{-2} \text{ s}^{-1}$. The black solid line shows the horizontal-mean profile of equivalent potential temperature averaged over all 120 sub-regions of the model domain.

Figure 4. Isentropic streamfuctions associated with convective-scale (color shading, $\text{kg m}^{-2} \text{ s}^{-1}$) averaged over (a) all 120 sub-regions of the model domain; (b) Arabian Sea; (c) Western Ghats; (d) North India; (e) the Himalayan foothills and (f) the Bay of Bengal during JJAS. The x axis is equivalent potential temperature (K) and the y axis is height (km). Isolines of the streamfunctions are shown as red contours start at $-0.001 \text{ kg m}^{-2} \text{ s}^{-1}$ with a $-0.001 \text{ kg m}^{-2} \text{ s}^{-1}$ interval. The black dashed lines show the isolines of $0 \text{ kg m}^{-2} \text{ s}^{-1}$. The black solid line shows the horizontal-mean profile of equivalent potential temperature averaged over (a) all 120 sub-regions

845 of the model domain and (b-f) different subregions.

846 Figure 5. Isentropic upward mass transport ($\text{kg m}^{-2} \text{ s}^{-1}$) associated with the convective-scale
847 during JJAS (color shading) at (a) 1 km; (b) 3 km; (c) 5 km and (d) 12 km altitude. Different
848 subregions are shown by black boxes and coastlines are shown by red lines.

849 Figure 6. Isentropic-mean equivalent potential temperature (K) in the mean convective-scale
850 updraft (color shading) at (a) 1 km; (b) 3 km; (c) 5 km and (d) 12 km altitude during JJAS.
851 Different subregions are shown by black boxes and coastlines are shown by red lines.

852 Figure 7. (a) Evolution of daily precipitation averaged over the Indian subcontinent from
853 TRMM (black line) and WRF (blue line). A 5-day moving average is applied to the time series.
854 Evolution of isentropic upward mass transports (color shading, $\text{kg m}^{-2} \text{ s}^{-1}$) associated with (b) all
855 scales, (c) convective-scale, (d) regional-scale and (e) basin-scale averaged over the Indian
856 subcontinent. The black dashed lines show the JJAS period.

857 Figure 8. Spatial distribution of daily average rainfall in (a) 11 -20 June; (b) 21 -30 June; (c)
858 01 -10 July; (d) 11 -20 July; (e) 21 -31 July; (f) 01 -10 August in the WRF simulation.
859 Topography is shown by the black contours starts at 500m with a 1000-m interval.

860 Figure 9. Time-latitude diagram of daily surface rainfall averaged over the longitude
861 60° - 110° E.

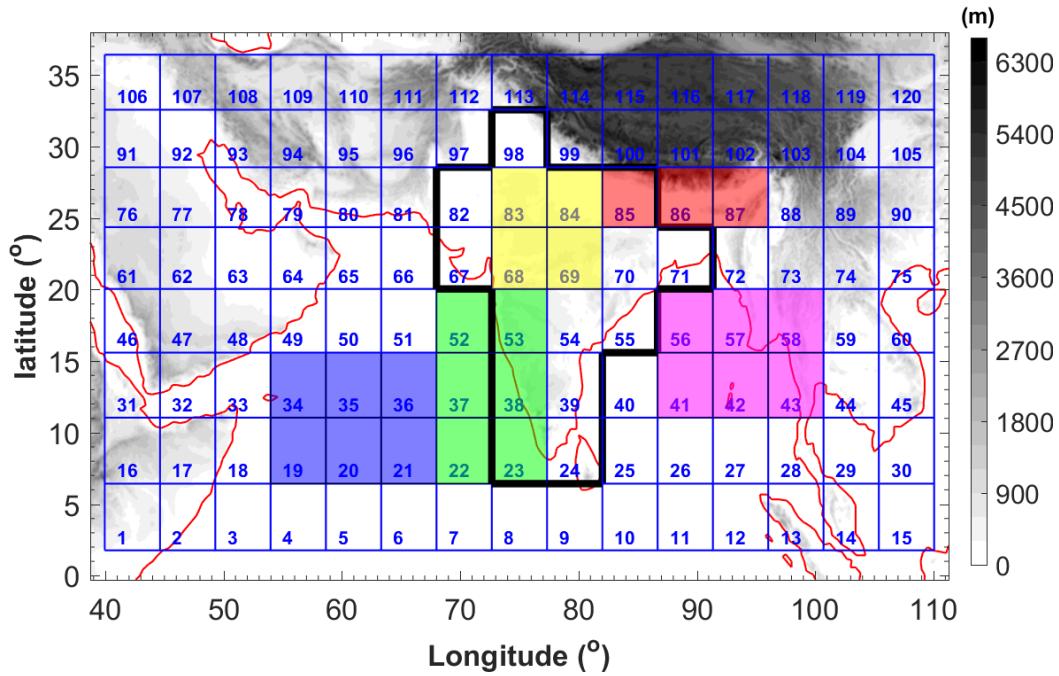
862 Figure 10. Averaged isentropic upward mass transport ($\text{kg m}^{-2} \text{ s}^{-1}$) associated with the
863 convective-scale at 3 km in (a) 11 -20 June; (b) 21 -30 June; (c) 01 -10 July; (d) 11 -20 July; (e)
864 21 -31 July; (f) 01 -10 August. Different subregions are shown by black boxes and coastlines are
865 shown by red lines.

866 Figure 11. Isentropic-mean equivalent potential temperature (K) in the mean convective-scale
867 updraft at 3 km (color shading) in (a) 11 -20 June; (b) 21 -30 June; (c) 01 -10 July; (d) 11 -20

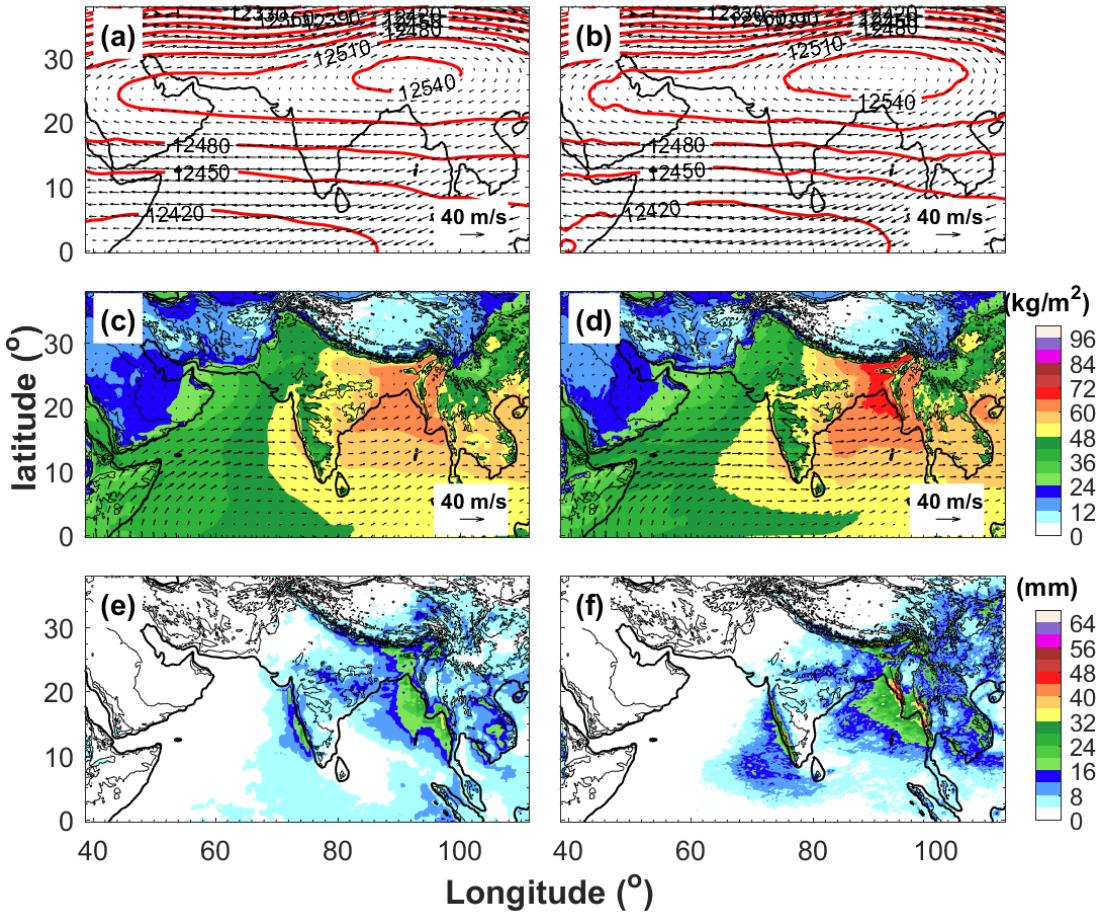
868 July; (e) 21 -31 July; (f) 01 -10 August. Different subregions are shown by black boxes and
869 coastlines are shown by red lines.

870 Figure 12. Isentropic streamfuctions associated with convective-scale averaged over different
871 sub-regions (rows) and different periods (columns). Only the streamfuctions smaller than -0.001
872 $\text{kg m}^{-2} \text{ s}^{-1}$ are shown. Isolines of the streamfunctions are shown as red contours start at -0.002 kg
873 $\text{m}^{-2} \text{ s}^{-1}$ with a -0.001 $\text{kg m}^{-2} \text{ s}^{-1}$ interval. The black solid lines show the mean profile of
874 equivalent potential temperature averaged over different sub-regions during different periods.

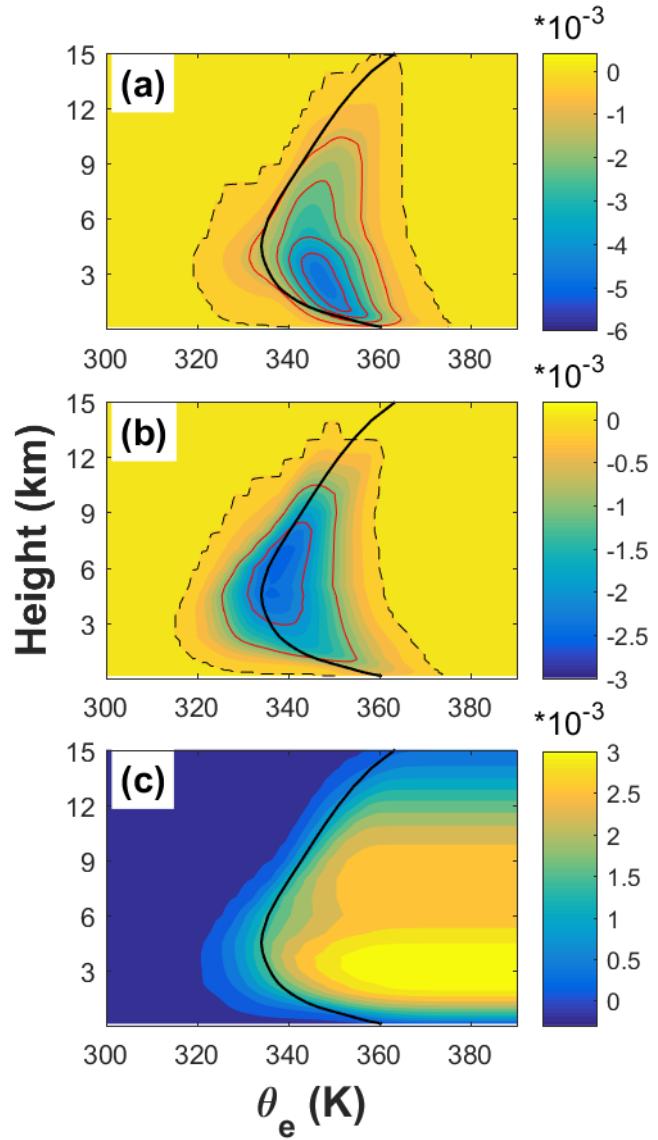
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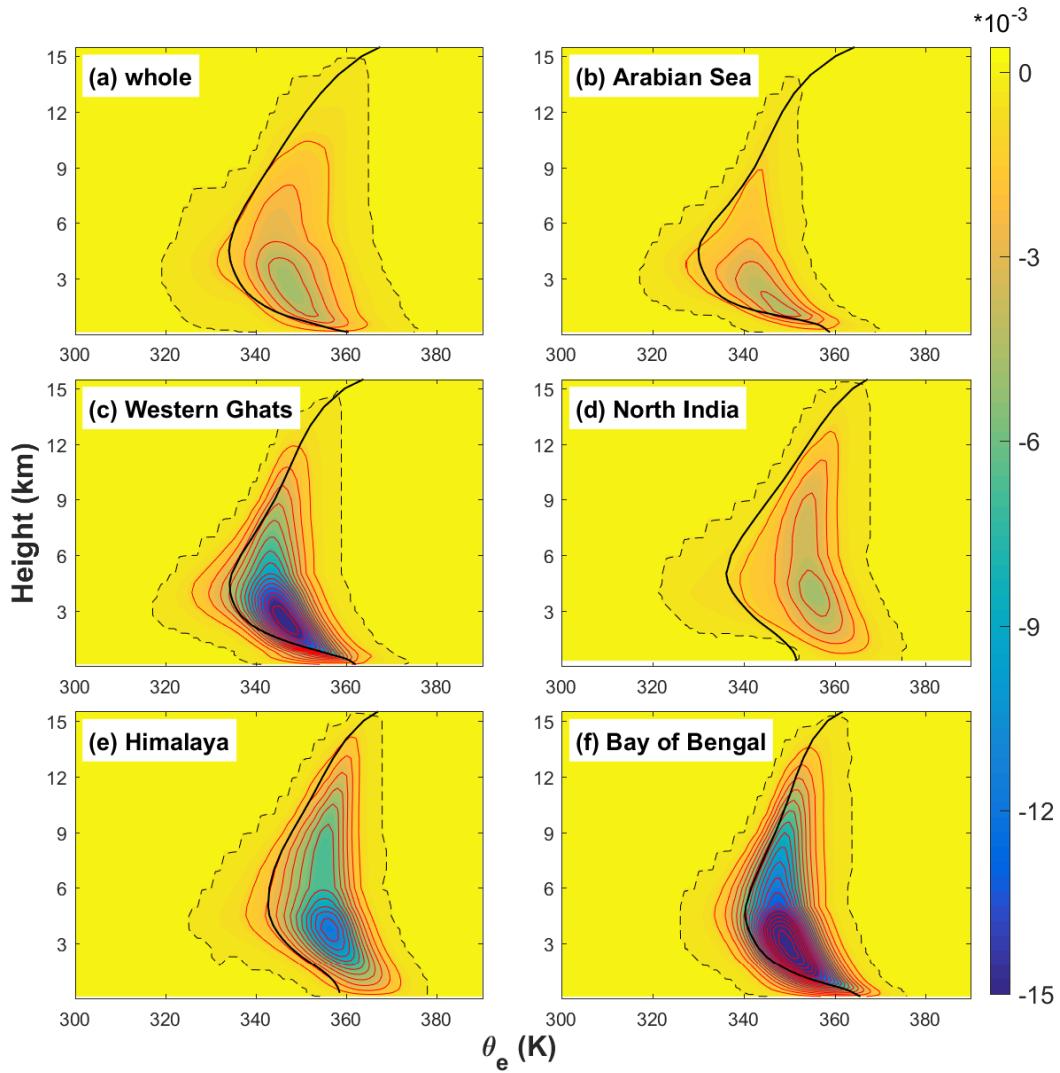
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877 Figure 1. Model domain used in the WRF simulations with topography (gray scales) and coastlines (red lines).
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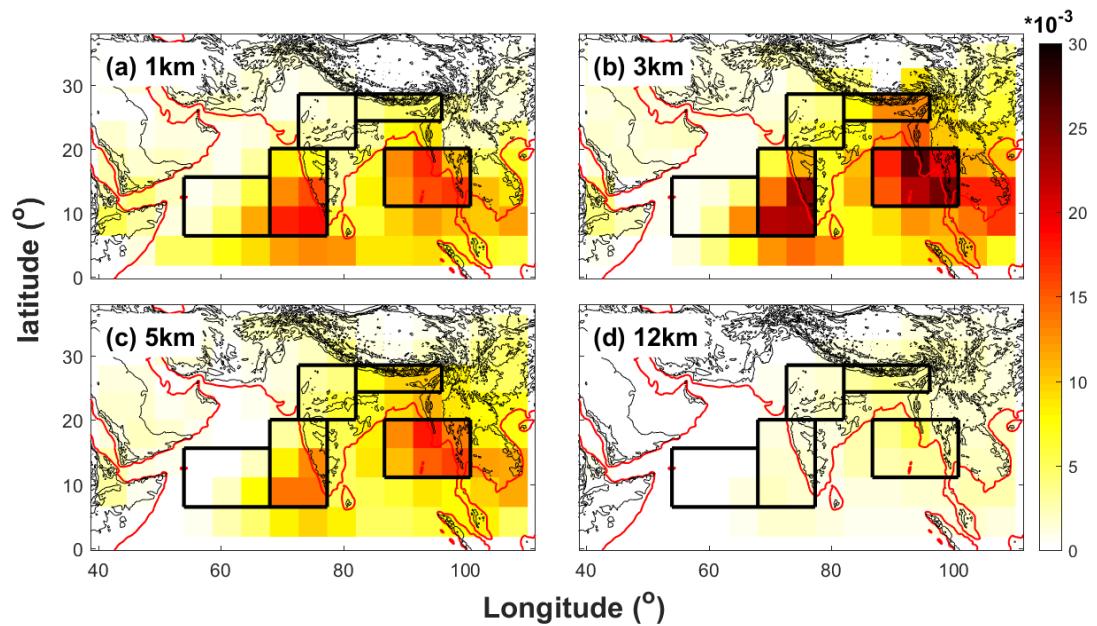
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 883 Figure 2. Monsoon (JJAS) winds (vectors) and geopotential heights (red contours) at 200-hPa from (a)
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890 Figure 3. Isentropic streamfuctions associated with the (a) convective-scale, (b) regional-scale and (c)
891 basin-scale averaged over all 120 sub-regions of the model domain during JJAS (color shading, $\text{kg m}^{-2} \text{ s}^{-1}$). The
892 x axis is equivalent potential temperature (K) and the y axis is height (km). Isolines of the streamfuctions are
893 shown as red contours start at $-0.001 \text{ kg m}^{-2} \text{ s}^{-1}$ with a $-0.001 \text{ kg m}^{-2} \text{ s}^{-1}$ interval in (a) and (b). The black
894 dashed lines in (a) and (b) show the isolines of $0 \text{ kg m}^{-2} \text{ s}^{-1}$. The black solid line shows the horizontal-mean
895 profile of equivalent potential temperature averaged over all 120 sub-regions of the model domain.
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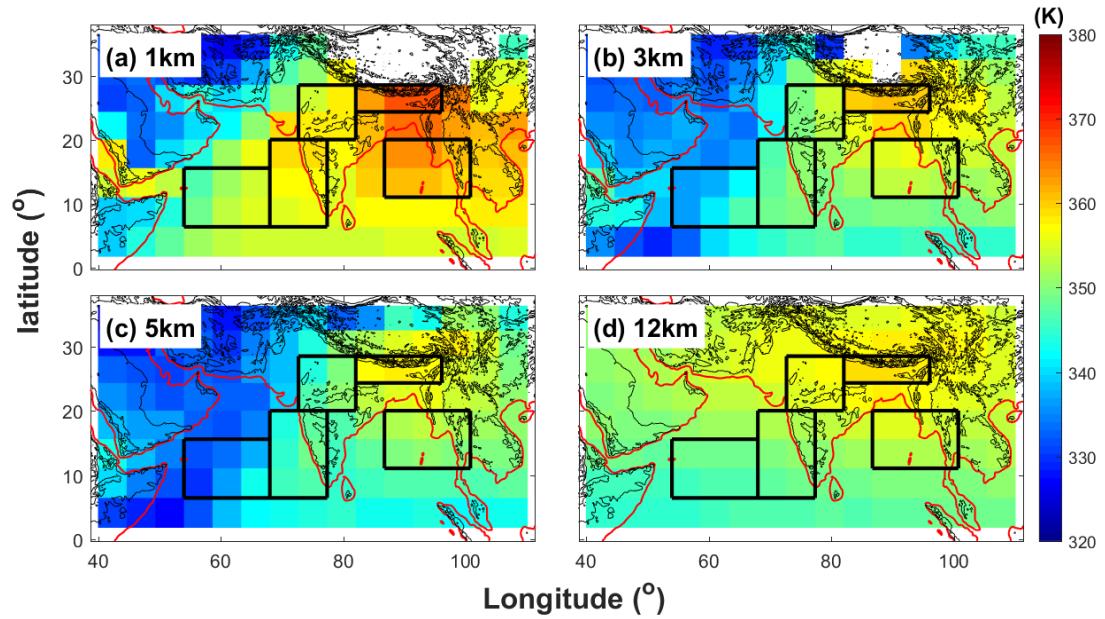


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 898 Figure 4. Isentropic streamfuctions associated with convective-scale (color shading, $\text{kg m}^{-2} \text{s}^{-1}$) averaged over
 899 (a) all 120 sub-regions of the model domain; (b) Arabian Sea; (c) Western Ghats; (d) North India; (e) the
 900 Himalayan foothills and (f) the Bay of Bengal during JJAS. The x axis is equivalent potential temperature (K)
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 902 with a $-0.001 \text{ kg m}^{-2} \text{s}^{-1}$ interval. The black dashed lines show the isolines of $0 \text{ kg m}^{-2} \text{s}^{-1}$. The black solid
 903 line shows the horizontal-mean profile of equivalent potential temperature averaged over (a) all 120
 904 sub-regions of the model domain and (b-f) different subregions.
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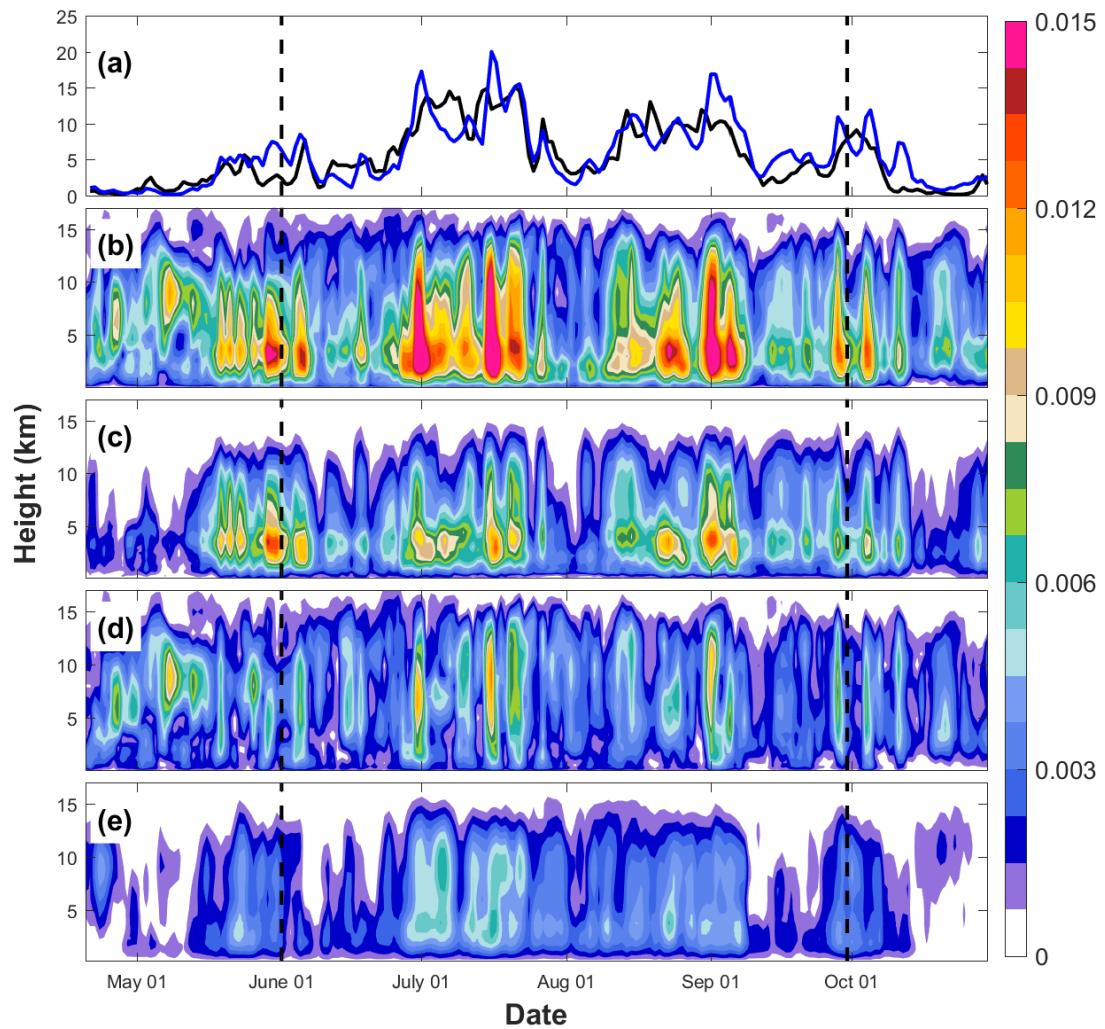
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Figure 5. Isentropic upward mass transport ($\text{kg m}^{-2} \text{ s}^{-1}$) associated with the convective-scale during JJAS (color shading) at (a) 1 km; (b) 3 km; (c) 5 km and (d) 12 km altitude. Different subregions are shown by black boxes and coastlines are shown by red lines.

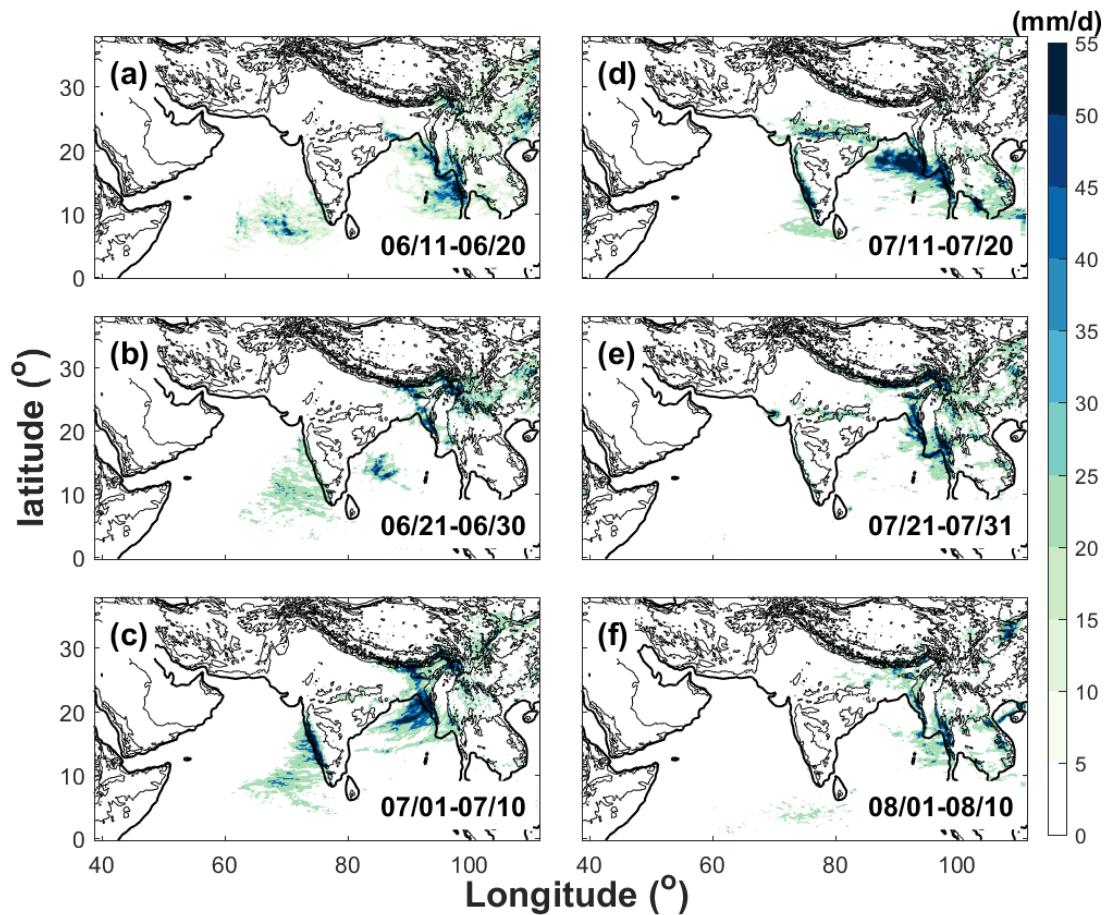


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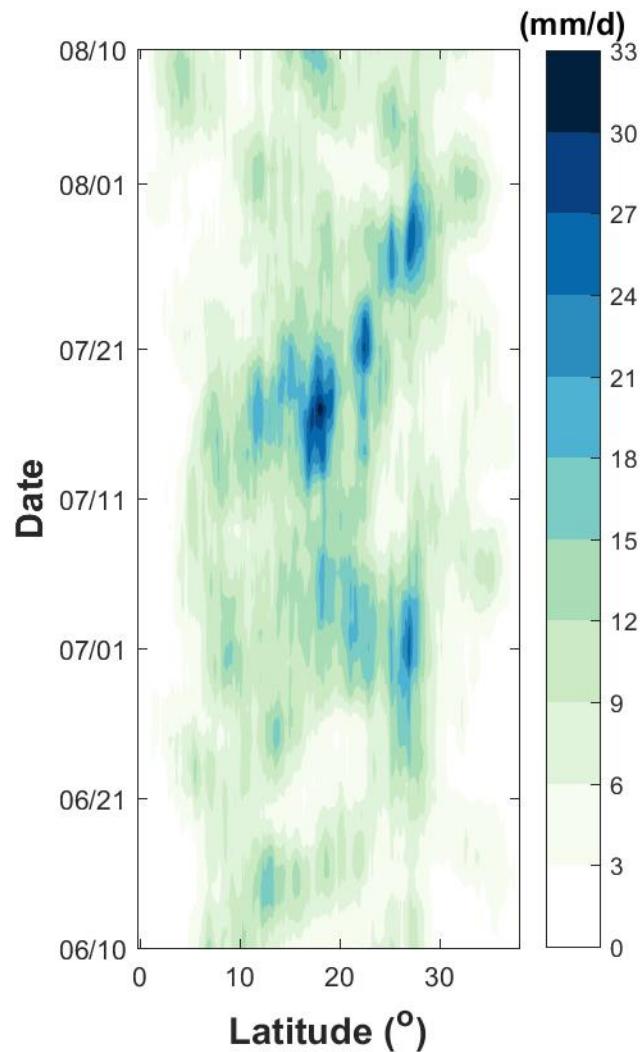
Figure 6. Isentropic-mean equivalent potential temperature (K) in the mean convective-scale updraft (color shading) at (a) 1 km; (b) 3 km; (c) 5 km and (d) 12 km altitude during JJAS. Different subregions are shown by black boxes and coastlines are shown by red lines.



916
 917 Figure 7. (a) Evolution of daily precipitation averaged over the Indian subcontinent from TRMM (black line)
 918 and WRF (blue line). Evolution of isentropic upward mass transports (color shading, $\text{kg m}^{-2} \text{s}^{-1}$) associated
 919 with (b) all scales, (c) convective-scale, (d) regional-scale and (e) basin-scale averaged over the Indian
 920 subcontinent. The black dashed lines show the JJAS period.
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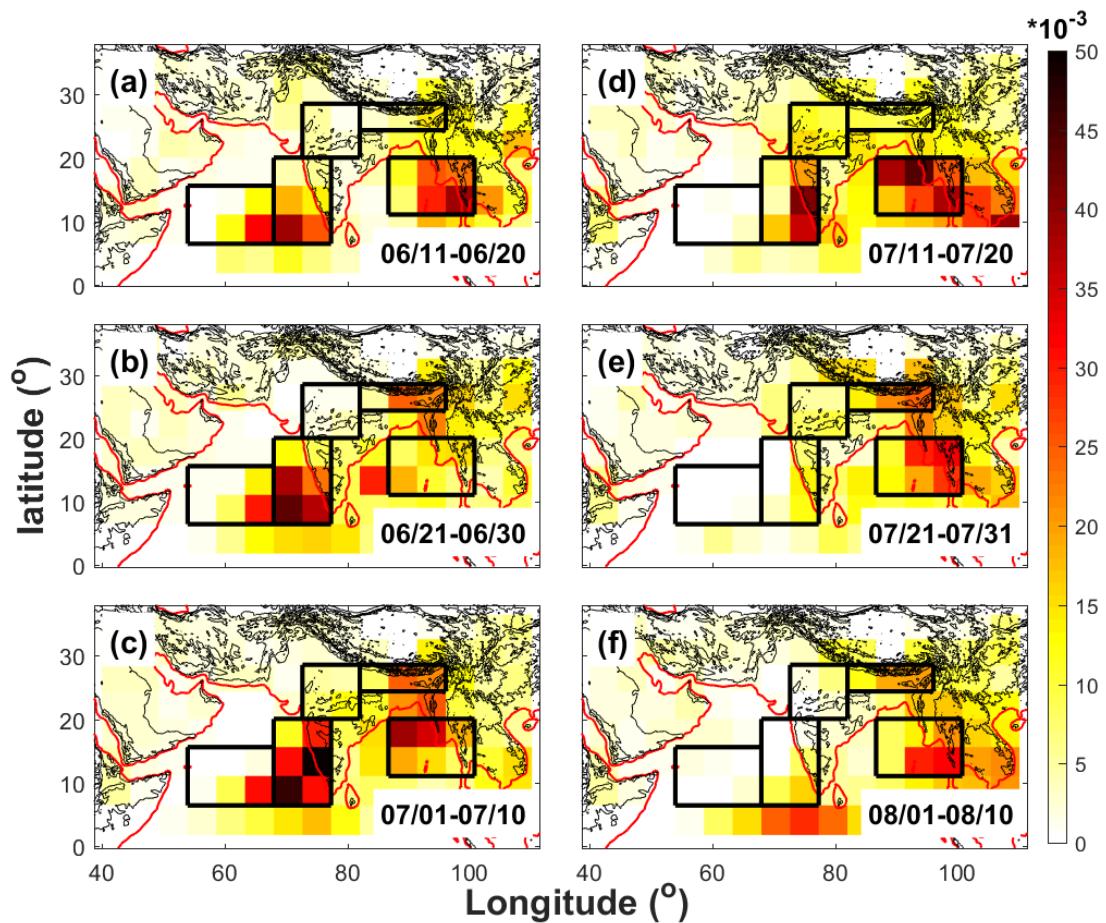


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923 Figure 8. Spatial distribution of daily average rainfall in (a) 11 -20 June; (b) 21 -30 June; (c) 01 -10 July; (d) 11
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925 starts at 500m with a 1000-m interval.
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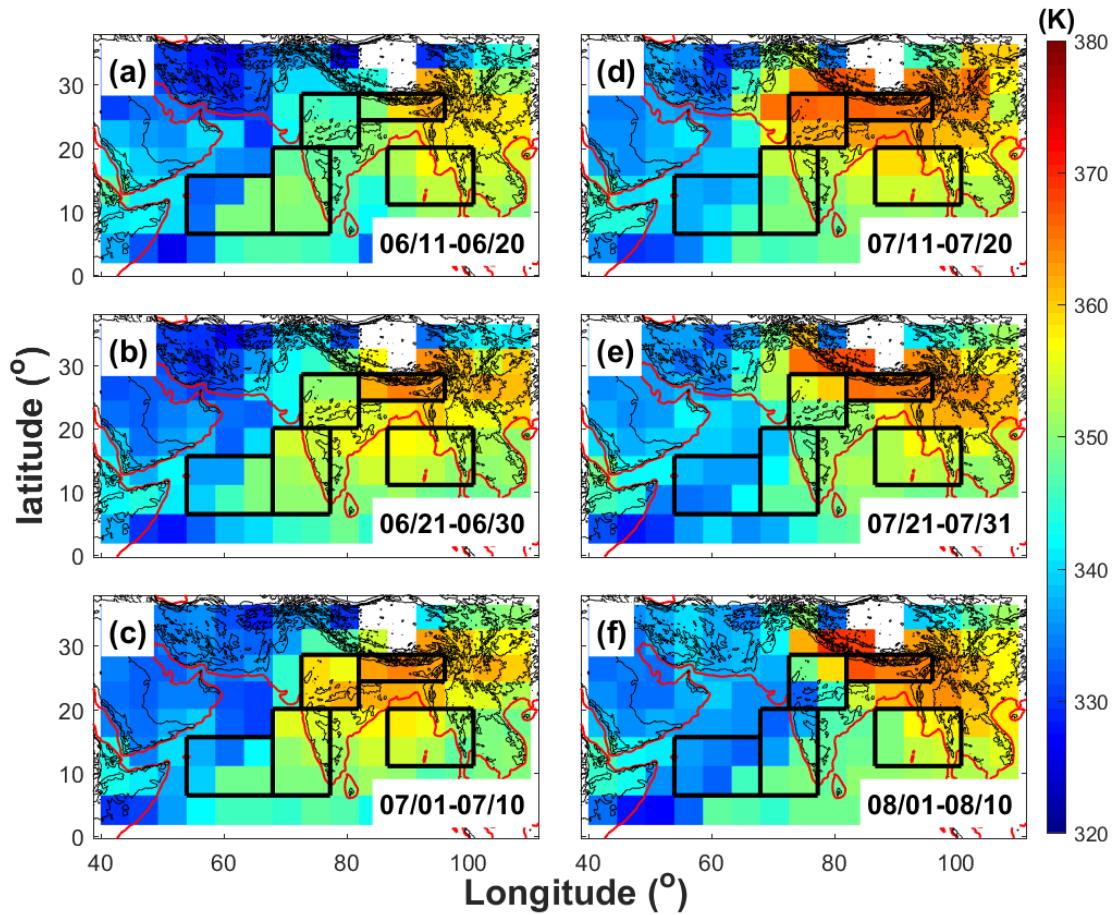


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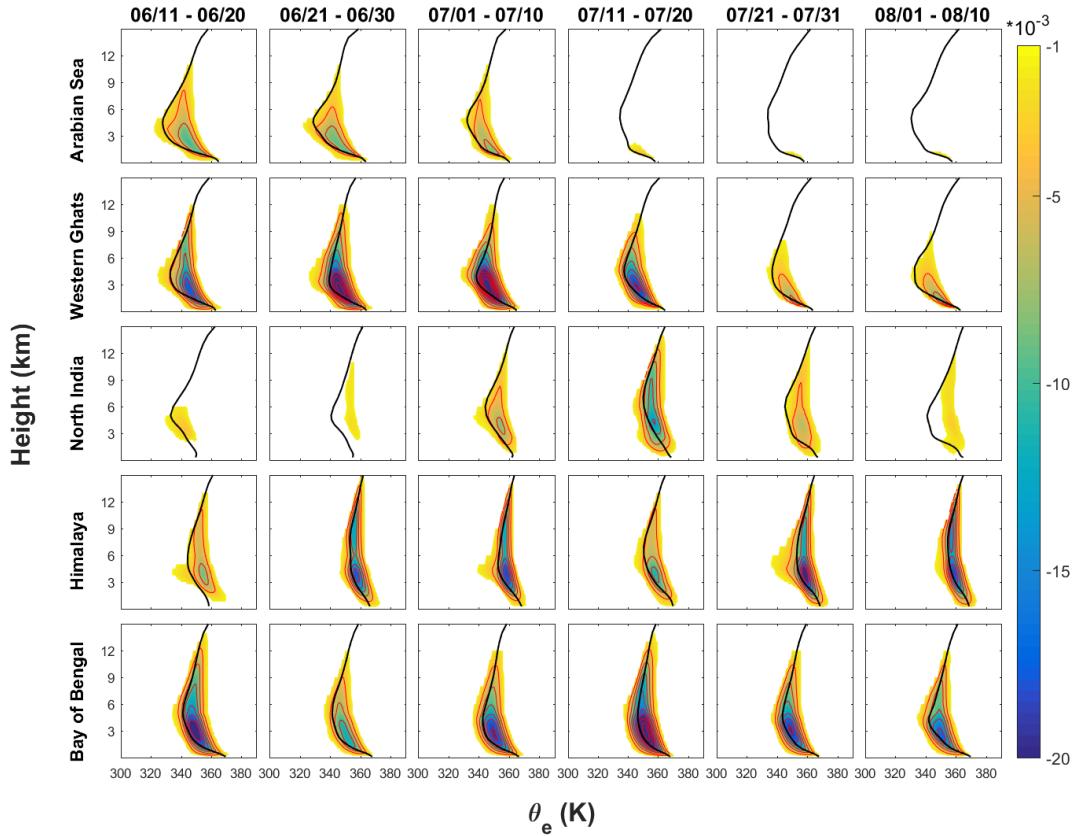
Figure 9. Time-latitude diagram of daily surface rainfall averaged over the longitude 60°-110°E.



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931 Figure 10. Averaged isentropic upward mass transport ($\text{kg m}^{-2} \text{ s}^{-1}$) associated with the convective-scale at 3
932 km in (a) 11 -20 June; (b) 21 -30 June; (c) 01 -10 July; (d) 11 -20 July; (e) 21 -31 July; (f) 01 -10 August.
933 Different subregions are shown by black boxes and coastlines are shown by red lines.
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936 Figure 11. Isentropic-mean equivalent potential temperature (K) in the mean convective-scale updraft at 3 km
937 (color shading) in (a) 11 -20 June; (b) 21 -30 June; (c) 01 -10 July; (d) 11 -20 July; (e) 21 -31 July; (f) 01 -10
938 August. Different subregions are shown by black boxes and coastlines are shown by red lines.
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940
941 Figure 12. Isentropic streamfuctions associated with convective-scale averaged over different sub-regions
942 (rows) and different periods (columns). Only the streamfuctions smaller than $-0.001 \text{ kg m}^{-2} \text{ s}^{-1}$ are shown.
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944 interval. The black solid lines show the mean profile of equivalent potential temperature averaged over
945 different sub-regions during different periods.
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