

# 1 **Integrating Scientific Cyberinfrastructures to Improve Reproducibility in**

## 2 **Computational Hydrology: Example for HydroShare and GeoTrust**

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17

### 18 *Highlights:*

- 19 • Method for packaging and publishing scientific workflows
- 20 • Integration between GeoTrust and HydroShare projects
- 21 • GeoTrust is used to easily package environmental models as containers
- 22 • HydroShare is used to document and share packaged workflows
- 23 • An example application is provided for using a MODFLOW-NWT model

24 **Abstract**

25           The reproducibility of computational environmental models is an important challenge that  
26 calls for open and reusable code and data, well-documented workflows, and controlled  
27 environments that allow others to verify published findings. This requires an ability to document  
28 and share raw datasets, data preprocessing scripts, model inputs, outputs, and the specific model  
29 code with all associated dependencies. HydroShare and GeoTrust, two scientific  
30 cyberinfrastructures under development, can be used to improve reproducibility in computational  
31 hydrology. HydroShare is a web-based system for sharing hydrologic data and models as digital  
32 resources including detailed, hydrologic-specific resource metadata. GeoTrust provides tools for  
33 scientists to efficiently reproduce and share geoscience applications. This paper outlines a use case  
34 example, which focuses on a workflow that uses the MODFLOW model, to demonstrate how the  
35 cyberinfrastructures HydroShare and GeoTrust can be integrated in a way that easily and  
36 efficiently reproduces computational workflows.

37 **Keywords:**

38 Computational reproducibility; hydrologic modeling; MODFLOW; metadata

39

40 **1. Software availability**

41 The software created in this research is free and open source. The software information and  
42 availability are as follows:

43 Developers: Bakinam T. Essawy, Daniel Voce, and Wesley Zell

44 Programming language: Python, Bash

45 GitHub link: [https://github.com/uva-hydroinformatics-lab/AWS\\_MODFLOW](https://github.com/uva-hydroinformatics-lab/AWS_MODFLOW).

46

## 47 **2. Introduction**

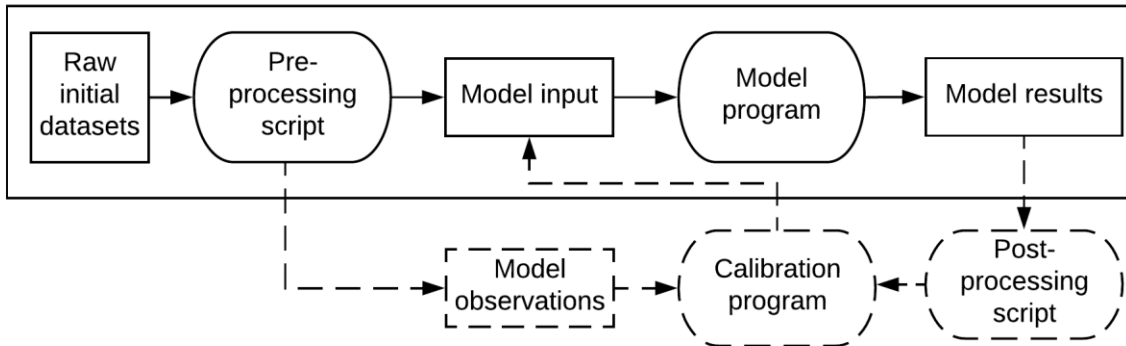
48 The challenge of creating more open and reusable code, data, and formal workflows that allow  
49 others to verify published findings is gaining attention in the scientific community (Borgman,  
50 2012; David et al., 2016; Gorgolewski and Poldrack, 2016; Meng et al., 2015; Peng, 2011; Qin et  
51 al., 2016). Reproducibility is important for both verifying previous results as well as building upon  
52 the prior computational research of other scientists. Although we can achieve standard  
53 reproducibility for most computational research, there are certain cases in which reproducibility  
54 remains difficult to achieve. This challenge is not caused only by technical barriers but also by  
55 limited documentation of the research to be replicated and the potentially complex requirements  
56 for how the software is packaged, installed, and executed (Piccolo and Frampton, 2016). Recent  
57 papers have argued the need and have proposed approaches to improve reproducibility, both within  
58 geosciences generally and the hydrologic sciences specifically (David et al., 2016; Essawy et al.,  
59 2016; Gil et al., 2016; Hutton et al., 2016). Reproducibility of research is said to be achieved if the  
60 scientist was able to preserve sufficient computational artifacts in a way that can be replicated in  
61 the future (Meng et al., 2015).

62 Here we consider reproducibility to be the ability to repeat in the same exact form and then  
63 document and share digital resources previously used to complete an analysis. These digital  
64 resources include (1) initial raw, unprocessed datasets; (2) data preprocessing scripts used to clean  
65 and organize the data; (3) model inputs; (4) model results; and (5) the specific model code along  
66 with all of its dependencies. Figure 1 shows a typical conceptual workflow that needs to be  
67 repeated for computational reproducibility. These data, software, and environments are often  
68 integrated into workflows (as computational experiments) that allow scientists to re-run an analysis  
69 from raw initial datasets and obtain the same model results.

70           There are different requirements for reproducibility depending on the nature of the  
71 research. For example, laboratory experiments require capturing descriptive information about  
72 protocols and methods, leading to empirical reproducibility. Computational reproducibility, on the  
73 other hand, requires descriptive information about the software and workflow details of model-  
74 based research (Todden, 2013). Any workflow that is computationally reproducible must be  
75 general and able to address the heterogeneous landscape of tools and approaches used within the  
76 target scientific community. In hydrology, scientists use a large variety of computational models,  
77 many of which have decades of development effort behind them (Singh et al., 2002).  
78 Computational modeling can often require a significant amount of effort and time to prepare model  
79 inputs and to calibrate and validate model parameters. Depending on the complexity of the system  
80 being modeled and the experience of the modeler, these aspects can make reproducing  
81 computational hydrologic experiments particularly challenging.

82           Addressing the challenges for achieving reproducibility in computational workflow has been  
83 the topic of many studies. Until now, most approaches have either focused on the logical  
84 preservation (i.e., sufficient documentation of a workflow and its components to allow for  
85 reproduction later on) or physical preservation (i.e., workflow conservation by packaging all of its  
86 components allowing identical replication) (Santana-Perez et al., 2017). It is hard to achieve a high  
87 level of reproducibility while using one of these approaches in isolation; rather, the integration of  
88 both physical and logical preservation is required to achieve a high level of reproducibility. Some  
89 efforts have been made to integrate both logical and physical preservation for computational  
90 workflows, such as the Topology and Orchestration Specification for Cloud Applications  
91 (TOSCA). The TOSCA framework supports documentation for both the top-level structure of the  
92 abstract workflow and the execution environment details (logical). TOSCA also provides

93 packaging functionality for the workflow (physical) (Qasha et al., 2016). In a similar way, our  
 94 approach provides both logical and physical preservation. However, the functionality is extended  
 95 to allow for automated creation, documentation, publication, and cloud-based execution of  
 96 scientific workflow packages.



97  
 98 **Figure 1** A typical conceptual workflow that needs to be repeated for computational  
 99 reproducibility. Dashed lines indicate processes for model calibration that are not discussed in  
 100 this study.

101 This research presents a solution for achieving a higher level of reproducibility by using  
 102 GeoTrust’s *Sciunit-CLI* tool and HydroShare. HydroShare (<http://www.hydroshare.org>) and  
 103 GeoTrust (<http://geotrusthub.org>) are two new cyberinfrastructures under active development that  
 104 aim to improve reproducibility in computational hydrology. The methods described in this paper  
 105 can be used to assist scientists to more easily repeat, reproduce, and verify a computational  
 106 experiment (Malik, 2017). This method goes beyond open source and simply shared by allowing  
 107 portability in different hardware and software environments and reproducible analyses with  
 108 different datasets. This level of reproducibility is not easily achieved by using HydroShare or  
 109 GeoTrust in isolation. For example, GeoTrust does not provide a community of users who can  
 110 verify analyses or the variety of datasets that are required for verification; HydroShare, however,

111 does provide these. Similarly, while HydroShare simplifies the process of sharing code, data, and  
112 descriptive metadata, it does not address the challenge of sharing the computational environment  
113 required for the workflow and then repeating the computational workflow with different datasets.  
114 This paper presents the design and implementation of a workflow that takes advantage of the  
115 complementary strengths of the two systems. HydroShare is used to share key digital resources in  
116 the workflow, while GeoTrust is used to capture, encapsulate, and make portable model execution.  
117 An example application of the approach is presented using MODFLOW-NWT, a version of the  
118 United States Geological Survey's groundwater model, MODFLOW (Niswonger et al., 2011).

119 The remainder of the paper is organized as follows. First, additional background on the  
120 HydroShare and GeoTrust projects is provided. This background section is meant to orient readers  
121 on key aspects of these projects. Next, the methodology section shows the system design and the  
122 use case application for the MODFLOW-NWT model. In the results section, the system  
123 implementation of the HydroShare and GeoTrust integration approach is presented and  
124 demonstrated by using the use case results as an example application. Finally, a discussion and  
125 conclusions section summarizes the key aspects of the approach and outlines opportunities for  
126 future research to advance on known limitations of the approach.

### 127 **3. Background**

#### 128 *3.1. HydroShare*

129 HydroShare is an open source web-based system developed for hydrologic scientists to  
130 easily share, collaborate around, and publish all types of scientific data and models including  
131 detailed, hydrologic-specific resource metadata (Tarboton et al., 2014a, 2014b). HydroShare has  
132 been developed with the support of the United States National Science Foundation (NSF).  
133 Following the completion of the original NSF grant, the Consortium of Universities for the

134 Advancement of Hydrologic Sciences Incorporated (CUAHSI) (also funded by the NSF) assumed  
135 long-term support for HydroShare's operation and maintenance. In HydroShare, digital content is  
136 stored and referred to as a "resource." Each resource is a unit used for management and access  
137 control within HydroShare. Every resource has a resource type (Horsburgh et al., 2015).  
138 HydroShare assigns a unique identifier for each newly created resource; this identifier is known as  
139 the Resource ID. The "generic" resource type supports the Dublin Core metadata standard (Weibel  
140 et al., 1998) and more specific resource types expand on this metadata standard for well-defined  
141 data types. For example, "Model Operating System" is one of the extended metadata terms for the  
142 "Model Program" resource type, which is used for sharing a computational model programs in  
143 HydroShare (Morsy et al., 2017).

144 HydroShare provides a Representational State Transfer (REST) Application Program  
145 Interface (API) that allows third-party applications to interact with HydroShare resources.  
146 (<https://github.com/hydroshare/hydroshare/wiki/HydroShare-REST-API#design-document>).

147 Developers can create web-apps that use HydroShare's REST API to interact with HydroShare  
148 resources. Web-app developers can catalogue their apps in HydroShare via the "Web-app"  
149 resource type (Swain et al., 2016). When a developer creates a web-app resource in HydroShare,  
150 the developer specifies which resource types are relevant to the web-app and the URL that will be  
151 called when the web-app is executed from the landing page of the resource that the web-app is  
152 acting on. After a developer adds a web-app as a resource in HydroShare, HydroShare users can  
153 execute that app through HydroShare's web interface to act on relevant resources that they have  
154 access to.

155 Although there are several different resource types supported by HydroShare, two of the main  
156 resource types relevant to this paper deal with computational models. HydroShare divides



157 computational models into two separate but linked resource types: a) the model program and b)  
158 the model instance. The model program includes the software for executing a specific instance of  
159 the model and the model instance are the input files required for executing the model and,  
160 optionally, the output files after a model instance has been executed by a model program  
161 (Horsburgh et al., 2015; Morsy et al., 2017, 2014). Additionally, a Model Instance Resource type  
162 can be linked to a model program resource type using the "ExecutedBy" term, assisting with  
163 reproducibility of the model instance (Morsy et al., 2017). Other HydroShare resource types used  
164 in this paper include the Composite resource type, which allows uploading metadata files at both  
165 file and resource level; the collections resource type, which stores any number of individual  
166 resources within HydroShare as a single, aggregate resource; and the web-app resource type, which  
167 is the Digital content stored in HydroShare and referred to it as a "resource."

### 168 3.2. *GeoTrust*

169 The GeoTrust project, also funded by the NSF through their EarthCube program, aims to  
170 create cyberinfrastructure that assists scientists to efficiently reproduce and share geoscience  
171 applications used in research (Malik et al., 2017). The project has done this primarily by  
172 developing the concept of a "sciunit" (<https://sciunit.run/>), an efficient, lightweight, self-contained  
173 digital package of an ad-hoc computational workflow that can be repeated in other environments.  
174 The sciunit advances the concept of a research object, an aggregation of digital artifacts such as  
175 code, data, scripts, and temporary experiment results associated with a research paper. The sciunit  
176 provides an authoritative and far more complete record of a piece of research (Hai et al., 2017).  
177 To create, maintain, and publish sciunits, the GeoTrust project has developed a software tool for  
178 Linux environments called *Sciunit-CLI*.

179 One of the main advantages of a sciunit is its portability, which allows it to be easily run on

180 various computing environments. To accomplish this, *Sciunit-CLI* creates sciunits using Docker,  
181 a widely used containerization software. Docker wraps a piece of software in a complete filesystem  
182 that contains everything needed to run the software, including code, software runtime, system  
183 tools, and system libraries in a Docker container (Owsiak et al., 2017). By leveraging Docker,  
184 sciunits are packaged with all of their dependencies. In this way, any sciunit can be executed in  
185 any environment in which both Docker and the *Sciunit-CLI* tool are installed regardless of other  
186 computer configurations (Hai et al., 2017). This capability eliminates the burden of configuring a  
187 running environment with all software dependencies, which can be complex, in order to reuse a  
188 scientific workflow and reproduce its results.

189 In addition to ensuring the portability of sciunits, *Sciunit-CLI* automates some documentation  
190 of the workflow packaged into a sciunit, including environment dependencies. The automation of  
191 documenting all code, data, and environment dependencies alleviates what is typically a  
192 burdensome task for scientists. Importantly, *Sciunit-CLI* also records retrospective provenance of  
193 the workflow execution, which can be used for re-running containers (Pham et al., 2014). Because  
194 it contains all of the required dependencies, the sciunit can be rerun, and the outputs reproduced,  
195 using any other deployment configuration that also has *Sciunit-CLI* installed. When *Sciunit-CLI*  
196 creates a sciunit, it includes three types of metadata: annotation metadata (populated by the user)  
197 and provenance and version metadata (generated automatically by *Sciunit-CLI*).

198 Figure 2 shows an example user interaction with the *Sciunit-CLI* tool. The user runs the  
199 *create* command and provides a name, "*Model*" in the example. To create a container or a package  
200 within the sciunit, the user runs the *package* command and provides the workflow name (e.g.,  
201 "workflow.sh") along with any inputs for the workflow (e.g., "data"). The user application can be  
202 written in any combination of programming languages, and many containers can be created within

203 the same sciunit.

204 *Sciunit-CLI* works in a distributed fashion, similar to the Git version control philosophy,  
205 such that the sciunits are stored only locally until explicitly shared with a remote repository. This  
206 method of operation allows distributed collaborators to work offline on the same sciunit. When a  
207 user is ready to share, they can publish the sciunit container to any remote web-repository using  
208 the *publish* command. To use the publish command, the remote repository must be configured  
209 within the *Sciunit-CLI* tool. This command line prompts first-time users to provide their remote  
210 web-repository credentials. The remote repository reads the container's contents, stores the  
211 container's digital artifacts in the appropriate remote sciunit, and associates the container with an  
212 appropriate cloud execution server on which it can potentially re-execute. In our case, we used  
213 HydroShare as the remote repository to publish our packaged sciunit in order to use HydroShare's  
214 support for rich metadata and its ability to integrate third-party applications. The latter allowed us  
215 to automate the cloud-based execution of this packaged sciunit.

```
1. > create Model
2. > annotate Model author: Bakinam Essawy
3. > exec workflow.sh 1 /data
4. > show
   id: e1
   sciunit: Model
   command: ./workflow.sh Data
   size: 1.18 GB
   started: 2017-11-30 21:23
5. > push my_new_article --setup hs
6. > repeat e1
7. > stop
```

216

217 **Figure 2** A example user interaction with sciunit client.

## 218 **4. Methodology**

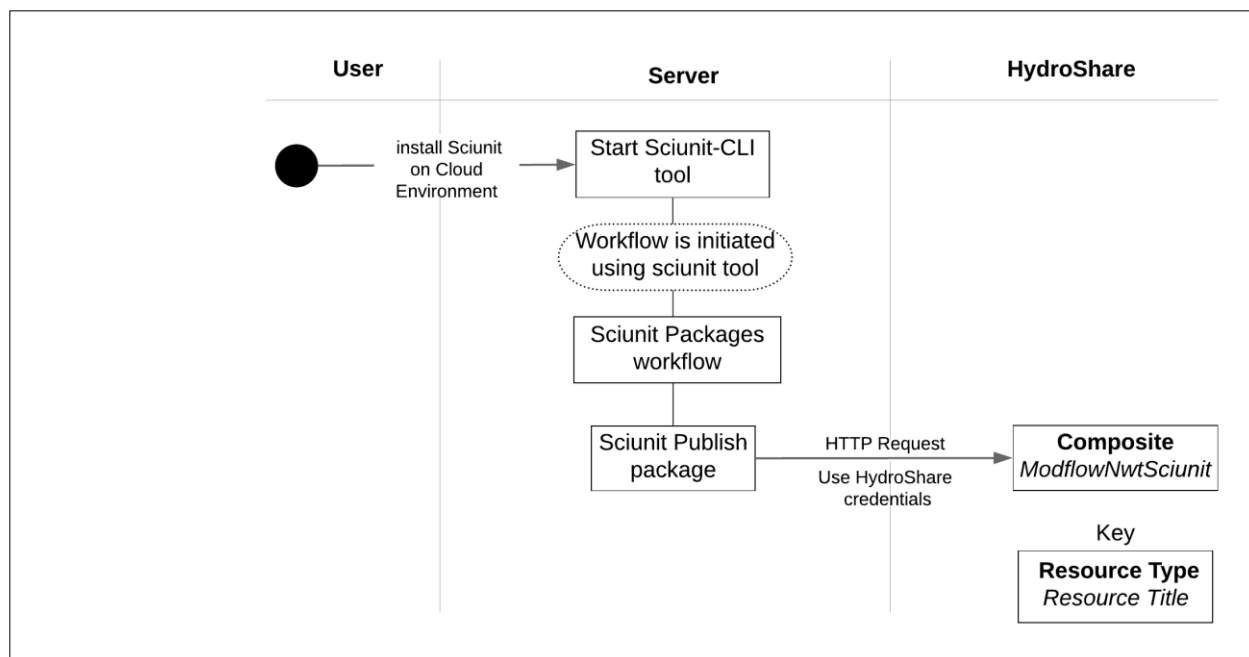
### 219 *4.1. System Design*

220 The combined GeoTrust and Hydroshare system is designed to connect a repeatable  
221 computational workflow with its input data in a reproducible way. As such, both the computational

222 workflow and the data must be stored in a public repository that has extensive metadata support.  
223 In addition to public accessibility of the data and the computational workflow, the execution of the  
224 workflow must also be made publicly available to ensure reproducibility and transparency. The  
225 technology for producing a repeatable computational workflow is provided by the GeoTrust  
226 *Sciunit-CLI*, while the technology for public storage and metadata support is provided by  
227 CUAHSI's HydroShare. Therefore, the main design aspect of this work consisted of designing a  
228 publicly accessible method of execution in which sciunits built with the *Sciunit-CLI* and stored in  
229 HydroShare could be executed using input data also stored in HydroShare. This was done in two  
230 parts. The first was to build in functionality for publishing a sciunit through HydroShare. The  
231 second part was to automate the execution of a sciunit from HydroShare using HydroShare web-  
232 apps.

#### 233 4.1.1. *Integrating Sciunit-CLI with HydroShare*

234 Figure 3 shows an activity diagram of the system design for integrating GeoTrust *Sciunit-CLI*  
235 and HydroShare. To achieve this integration, *Sciunit-CLI* was extended to support sharing of  
236 sciunits through HydroShare. This functionality was implemented using HydroShare's REST API.  
237 To publish their sciunit on HydroShare, the user must provide valid HydroShare credentials. In  
238 the current implementation, the sciunit resource is published on HydroShare as a Composite  
239 Resource Type. Once the resource for the sciunit is created within HydroShare, the user can log  
240 into HydroShare and edit the metadata fields to more fully describe the sciunit resource.



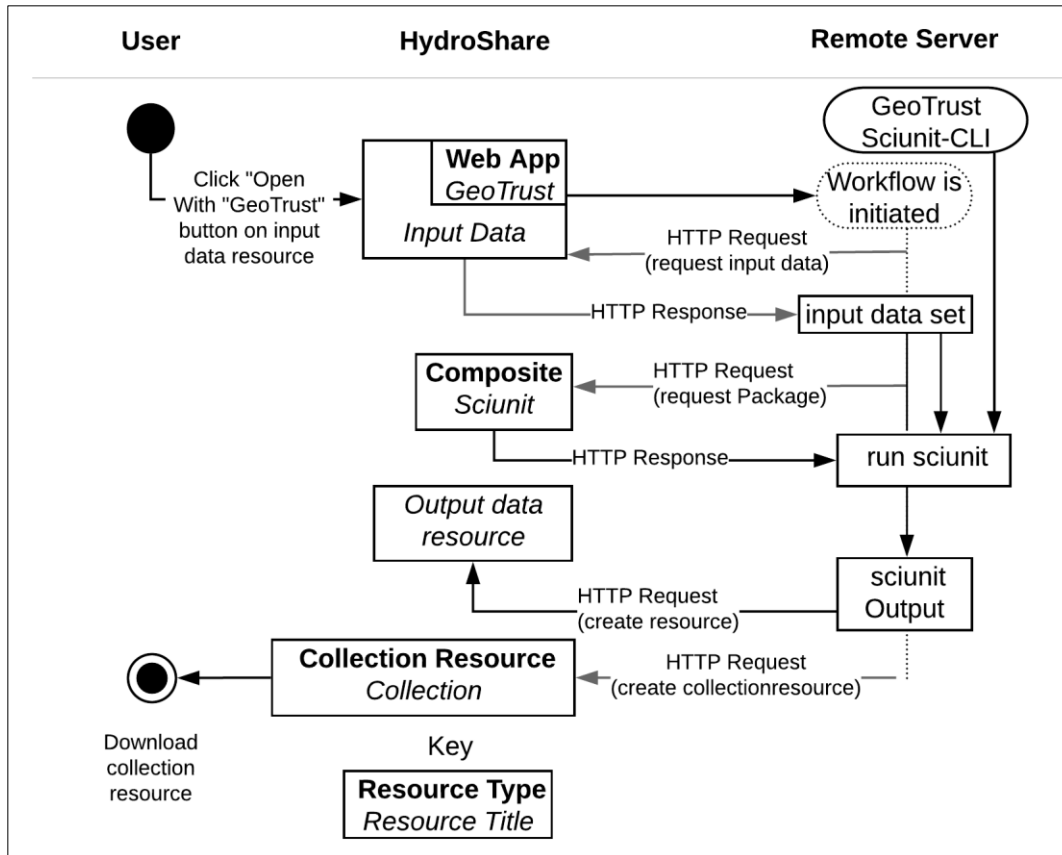
241  
 242 **Figure 3** Activity diagram showing creating a sciunit using GeoTrust and publishing that sciunit on  
 243 HydroShare.

244 *4.1.2. Automating sciunit execution through HydroShare*

245 Integrating the cloud-based sciunit execution from the HydroShare user interface was done  
 246 using a HydroShare web-app. This web-app directs Hyper Text Transfer Protocol (HTTP) request  
 247 to a web server where sciunits can be executed. The web-app configured to run a particular sciunit  
 248 can be accessed through the "Open with" button on the landing page for the resource that stores  
 249 the raw input data. When the scientist clicks on the web-app button from the "Open with" menu,  
 250 an HTTP request containing the raw input data's resource ID will be sent to the server. With the  
 251 resource ID, the HydroShare REST API can be used to download the raw input data and the sciunit  
 252 to the server. The server can then execute the sciunit using the raw data, and return the output to  
 253 the scientist as a new HydroShare resource.

254 Figure 4 shows the steps done in a generic form for the integration between the two  
 255 cyberinfrastructures, GeoTrust and HydroShare, to improve reproducibility by automating the

256 execution of the published sciunit. The figure shows how the "Open with" app will perform a  
257 HTTP GET request to a remote server, which has already been configured with the *Sciunit-CLI*.  
258 This automation process is done using a Python script created on the web server machine. This  
259 Python script uses the flask library to act as a web server with NGINX (<https://www.nginx.com/>)  
260 used as a proxy to forward all HTTP requests from the user browser to the Python script, which  
261 can handle multiple users simultaneously. The Python script is using the POST request to create a  
262 new resource and upload the output generated from running the sciunit on this resource.  
263 Simultaneously, a webserver is running on the remote machine, which handles the HTTP request  
264 and automatically executes a Python script. This script uses the HydroShare user authentication to  
265 download the input data from the resource and downloads the Composite resource that includes  
266 the sciunit container. Once both resources are downloaded, the resources are unzipped and moved  
267 to the working directory for the analysis. The *Sciunit-CLI* executes the downloaded sciunit  
268 package. After the sciunit is executed, a new resource is created in HydroShare and the output  
269 from the *Sciunit-CLI* execution is uploaded into this new resource. A new collection resource is  
270 also created on HydroShare to group all resources that were included during this execution. In this  
271 paper we used HydroShare API. Our Python script uses the Python Client Library for the REST  
272 API (<http://hs-restclient.readthedocs.io/en/latest/>).



273

274 **Figure 4** The generic implementation for automating the execution of the published sciunit from  
 275 the HydroShare web-app

276 4.2. Use Case Application

277 A use case application was designed to demonstrate the integration of GeoTrust *Sciunit-CLI*  
 278 and HydroShare. This integration allows GeoTrust to package and publish a sciunit through  
 279 HydroShare, after which HydroShare automates the execution of this sciunit. Execution of the  
 280 packaged sciunit through HydroShare was demonstrated using EC2 instances from Amazon Web  
 281 Services (AWS). A Linux-based, micro-sized machine (t2) was used for prototyping and  
 282 demonstration purposes; this machine had 1 Gb of memory, 1 vCPU, 32 Gb of Solid State Drive  
 283 (SSD)-based local instance storage, and a 64-bit platform (“Amazon EC2 Instances,” 2015). This  
 284 use case consisted of a workflow used for preprocessing model input data, running a computational

285 model, and handling the model outputs. The computational model used for the use case was  
286 MODFLOW-NWT.

#### 287 *4.2.1. MODFLOW-NWT Use Case*

288 MODFLOW-NWT is a standalone version of MODFLOW, a commonly used groundwater  
289 model (Niswonger et al., 2011). The concept of "packages" is key to the modularity of the different  
290 versions of MODFLOW (including MODFLOW-NWT); packages are input files that define some  
291 individual component of the groundwater-flow conceptual model or specify the solution method  
292 used for the flow equation that is collectively formulated from the individual components. For  
293 example, the basic (BAS) and discretization (DIS) packages define the spatial and temporal  
294 framework of the model, including the grid dimensions and the location of active and inactive grid  
295 cells, while the recharge (RCH) package defines the spatial-distribution and rate of recharge to the  
296 water-table. For our use case using MODFLOW-NWT, the Newton-Raphson (NWT) package  
297 defines the variables required to implement the Newton-Raphson solution method.

298 For this study, MODFLOW-NWT was used to simulate the shallow groundwater flow in the  
299 James River watershed upstream of Richmond, VA, USA. The model includes recharge to the  
300 water table, subsurface flow through the saturated zone, and base-flow discharge to surface water  
301 bodies including the James, Rivanna, and Hardware Rivers and several smaller-order streams.  
302 Depth-integrated effective transmissivity was assumed to be constant throughout the active model  
303 area and spatially-distributed recharge was derived from the national recharge dataset developed  
304 by Reitz et al. (2017). Base-flow discharge was simulated using the MODFLOW drain (DRN)  
305 package with all drain elevations (i.e., the water-table elevation required to discharge base-flow to  
306 a receiving stream) extracted from the National Elevation Dataset. The model runs to completion  
307 and is unconstrained by calibration; as such it is to be only used as an example for the workflow



308 processes described in this paper (i.e., no hydrologic or management conclusions were drawn from  
309 the results of the model). This workflow could be extended to include calibration (Figure 1). For  
310 example, a HydroShare resource for a parameter estimation program such as PEST (Doherty and  
311 Hunt, 2010) could be created and included in the sciunit container. Similarly, the pre-processing  
312 script could include data retrieval from web services such as the USGS water services API  
313 (<https://waterservices.usgs.gov/>) and the automated generation of PEST input files.

314 The FloPy library was used to create the MODFLOW-NWT model from raw input datasets  
315 (Bakker et al., 2016). FloPy is a library of Python modules that allows scripting of the various  
316 steps in MODFLOW model development, execution, and analysis. By combining FloPy with  
317 GeoTrust and HydroShare, the workflow used to create and execute MODFLOW model (e.g., the  
318 steps shown in Figure 1) can be stored within a reproducible container with descriptive metadata  
319 in HydroShare.

## 320 **5. Results**

### 321 *5.1. System Implementation*

322 The system was implemented using the following steps. First, the script downloads raw input  
323 data and the sciunit resources from HydroShare. Second, the script will unzip both the data and  
324 sciunit, pass the data to the sciunit as an argument (this is how the sciunit accepts the input data),  
325 and then run the sciunit with the downloaded data. Last, after the execution is completed, the  
326 Python script will upload the results to HydroShare by using a POST request to create two new  
327 resources: one for the sciunit output, which has the MODFLOW-NWT Model Instance Resource  
328 type, and the other the collection resource that will include all the resources used within the study.  
329 The script then returns the command status (including any errors) to the user.

330 5.2. Use Case Results

331 A digital workflow (bash script) was packaged into a sciunit using the *Sciunit-CLI* tool.  
332 The digital workflow runs a Python script to prepare the MODFLOW-NWT input data files and  
333 then executes a single run of the model. Figure 5 shows the component of the packaged digital  
334 workflow.

```
#!/bin/bash
cp -a /home/$1/$1/data/contents/ /home/Data/
(cd /home/; python build_modflow.py)
(cd /home/MODFLOW; ./mfjwt *.nam)
```

335

336 **Figure 5** component of the packaged digital workflow.

337 Figure 6 outlines the first steps taken in the process to start and create a new sciunit through  
338 the GeoTrust *Sciunit-CLI* tool for the example workflow while Figure 7 shows the execution and  
339 packaging of the digital workflow into a sciunit package. This package command traces all  
340 dependencies for the workflow and includes them in a single Docker file. Figure 8 shows how the  
341 *publish* command is used to publish a sciunit package on HydroShare. If this is the user's first time  
342 connecting to HydroShare, *Sciunit-CLI* will ask for HydroShare user credentials, otherwise the  
343 credentials stored will be used. Once the package is published, metadata can be provided by the  
344 user via the HydroShare Graphical User Interface (GUI). Future implementations of the *Sciunit-*  
345 *CLI* may expand this functionality by automatically populating more detailed metadata for  
346 describing resources.

```
ubuntu@ip-172-31-25-113:~/test$sciunit create Model
Opened empty sciunit at /home/ubuntu/sciunit/Model
```

347

348 **Figure 6** The creation of a new sciunit through the GeoTrust *Sciunit-CLI* tool for the use case

```
ubuntu@ip-172-31-25-113:~/test$sciunit exec ./workflow.sh Data
Rasterizing shapefile: Data/James_Rivanna_5070.shp
Writing output raster to: Framework/James_Rivanna_IBOUND.tif
0...10...20...30...40...50...60...70...80...90...100 - done.
Executinggdalwarppath:gdalwarp
Clipping the raster to the model domain.
```

349

350 **Figure 7** Execution of the use case workflow through sciunit to create a package

```
ubuntu@ip-172-31-25-113:~/test$ sciunit push my_new_article --setup hs
Logged in as "Essawy, bakinam <btaessawy@gmail.com>"
Title for the new article: Model
my_new_article: 596MB [00:31, 18.8MB/s]
ubuntu@ip-172-31-25-113:~/test$
```

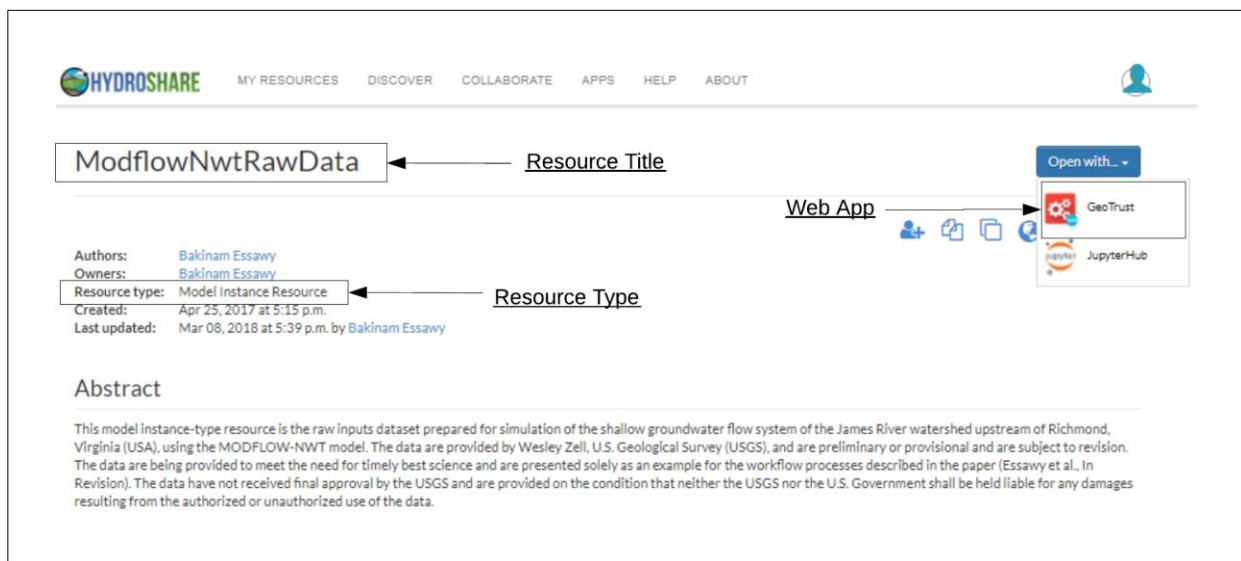
351

352 **Figure 8** Publishing the use case sciunit to HydroShare

353 The newly created resource on HydroShare is a Composite Resource Type. This resource  
354 type allows the resource to include multiple files without file format limitations and with metadata  
355 associated at a file level within the resource. The Composite resource contains two files. The first  
356 is the provenance metadata file created while packaging the workflow; this metadata file contains  
357 information concerning the creation and version history of the managed data. The second file is  
358 the zipped package for the sciunit itself.

359 Once the sciunit is available as a HydroShare resource, HydroShare's integration with  
360 third-party web apps is used to execute the sciunit. In order to store data and make it accessible to  
361 be used as the input required by the sciunit, we made a new model instance-type resource titled  
362 "ModflowNwtRawData" (Essawy, 2018b). We also created a web-app resource titled "GeoTrust"  
363 (Essawy, 2018a). This web-app pointed to the AWS-EC2 instance where the *Sciunit-CLI* tool and  
364 our Python script were installed. The connection between the HydroShare resource and the web  
365 server was made by providing the web server's URL as the "App-launching URL Pattern"  
366 metadata term in the resource. The GeoTrust web-app resource is linked to the  
367 ModflowNwtRawData resource by the SupportedResourceType metadata property. This metadata

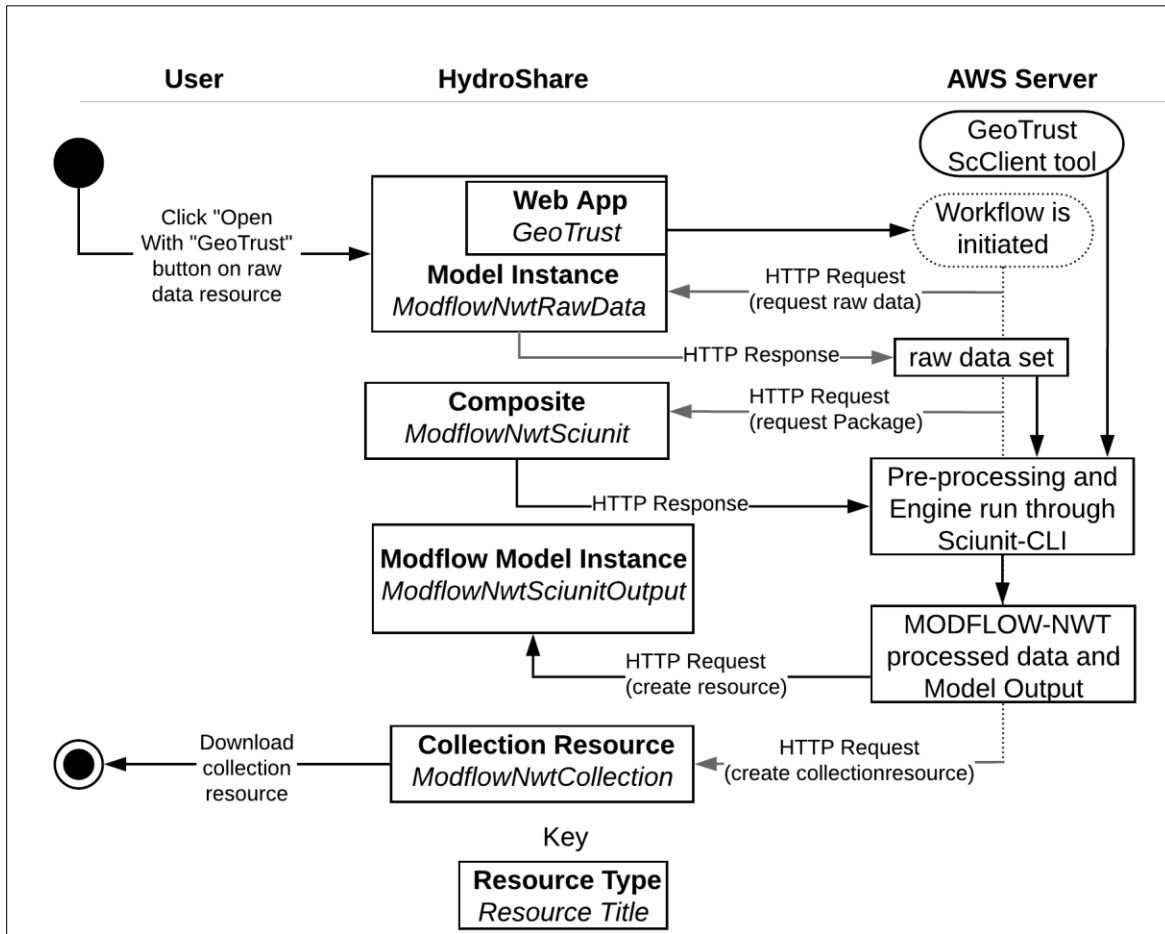
368 property was set to include the Composite Resource Type, which allowed the web-app to appear  
 369 in a drop-down list in the "Open with" menu on the ModflowNwtRawData resource landing page.  
 370 Figure 9 shows the Model Instance Resource type that includes the raw data, and the web apps  
 371 linked to this resource type to automate the sciunit execution. When the GeoTrust web-app on this  
 372 page is selected, the HTTP request is sent to server and the workflow is executed. The output is  
 373 written back to HydroShare as a new resource with the MODFLOW Model Instance Resource  
 374 type. This resource type is used because the resource can be executed by a MODFLOW model  
 375 program and it allows for adding extended metadata specific to MODFLOW (Morsy et al., 2017).



376  
 377 **Figure 9** The raw data within the Model Instance Resource type, and the web apps linked to this  
 378 resource type to automate the sciunit execution.

379 Figure 10 presents the activity diagram for the steps that occur when the "Open with" button  
 380 is clicked and the "GeoTrust" app is selected on the ModflowNwtRawData resource landing page.  
 381 The "GeoTrust" app will perform an HTTP GET request to the AWS-EC2 machine, which has  
 382 already been configured with the *Sciunit-CLI*. The webserver running on the AWS-EC2 machine  
 383 handles the HTTP request and automatically executes a Python script. The script uses the

384 HydroShare user authentication to download both the raw data of the ModflowNwtRawData  
385 resource and the sciunit container included within the ModflowNwtSciunit resource (Essawy,  
386 2018c). Once the ModflowNwtSciunit and the ModflowNwtRawData resources are downloaded,  
387 the script unzips the resources and moves them to the working directory for the analysis. The  
388 *Sciunit-CLI* tool executes the downloaded sciunit package, which pre-processes the raw input data  
389 for the model and executes the MODFLOW-NWT model. After the model is executed, a new  
390 resource is created in HydroShare with the MODFLOW Model Instance Resource type named  
391 ModflowNwtSciunitOutput (Essawy, 2018d) and the output from the *Sciunit-CLI* execution is  
392 uploaded into this new resource. A new collection resource is also created on HydroShare to group  
393 all the resources: the ModflowNwtRawData generic Model Instance Resource (the resource type  
394 is a generic model instance because the data uploaded have no specific metadata or format that  
395 could be tied to a specific resource type), the web-app GeoTrust resource, the ModflowNwtSciunit,  
396 MODFLOW Model Instance Resource, the ModflowNwtSciunit Composite resource, and the  
397 ModflowNwtSciunitOutput resource that includes the output resulting from executing the sciunit  
398 package.  
399

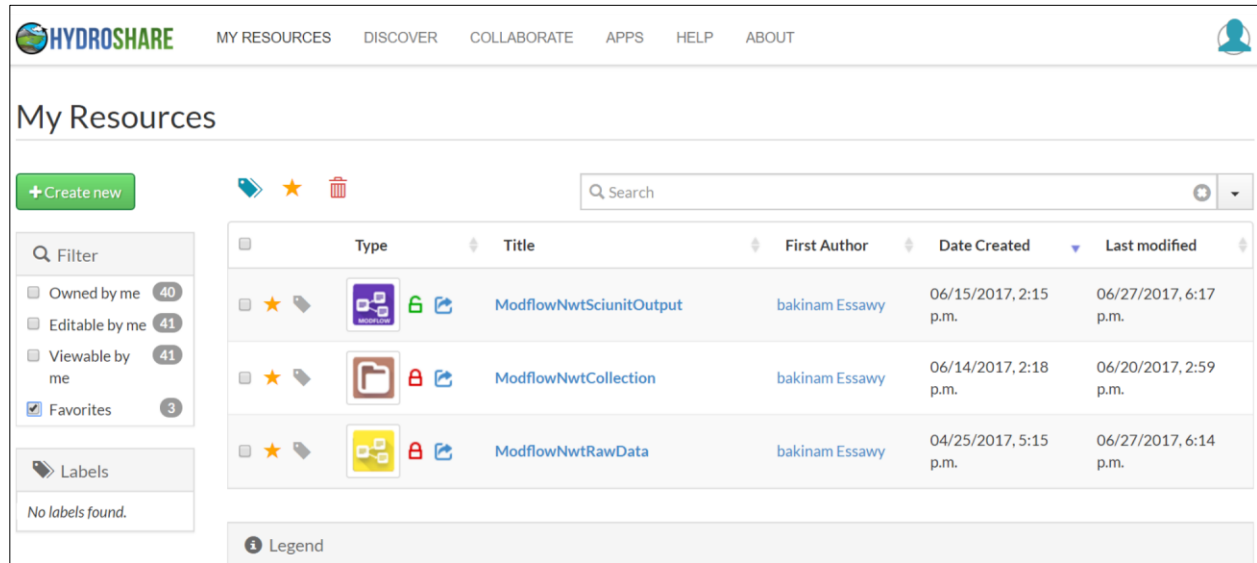


400

401 **Figure 10** Activity diagram showing the steps for the online execution of the sciunit through  
 402 HydroShare.

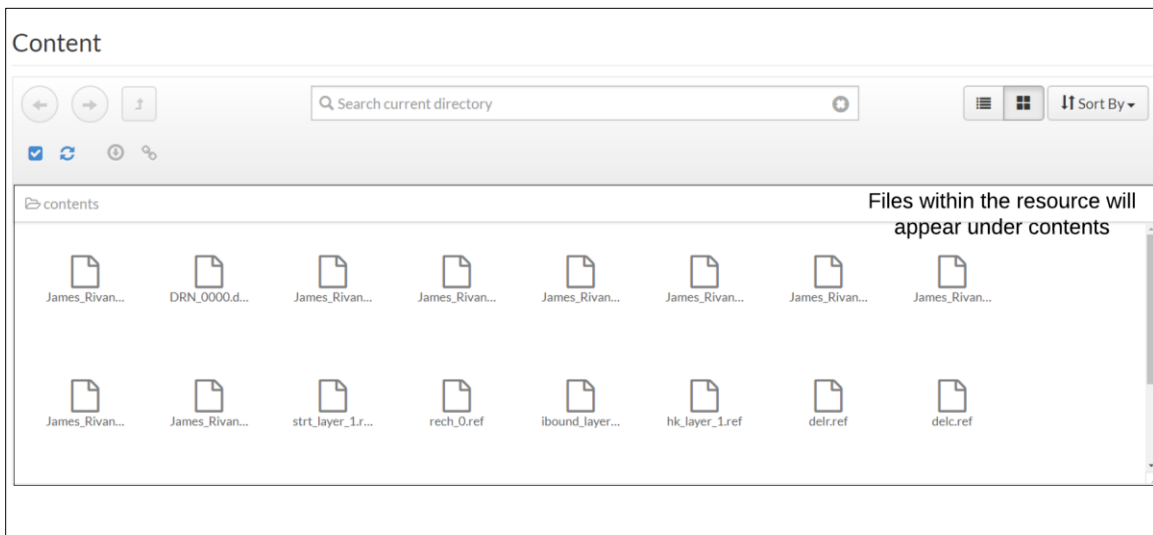
403 Figure 11 shows HydroShare user "My Resources page" after using the "Open with" action  
 404 button on the GeoTrust web-app on the ModflowNwtRawData resource for the online execution.  
 405 Two new resources are created. The first resource in the workflow is the  
 406 ModflowNwtSciunitOutput resource, which includes the input files for the MODFLOW-NWT  
 407 model program that are prepared through the preprocessing script and the output from the model  
 408 run. This resource is given the MODFLOW Model Instance Resource type, because the resource  
 409 has the inputs that are required by the MODFLOW-NWT model. This resource type allows for  
 410 extended metadata specific to a MODFLOW model instance. The second resource created is the

411 ModflowNwtCollection resource (Essawy, 2018e), which includes all the resources used in the  
412 online execution for the MODFLOW-NWT. This provides a grouping of resources used for an  
413 analysis and allows the user to share or download this collection of resources more easily.



414  
415 **Figure 11** HydroShare user My Resources page after using the GeoTrust web app for the online  
416 execution.

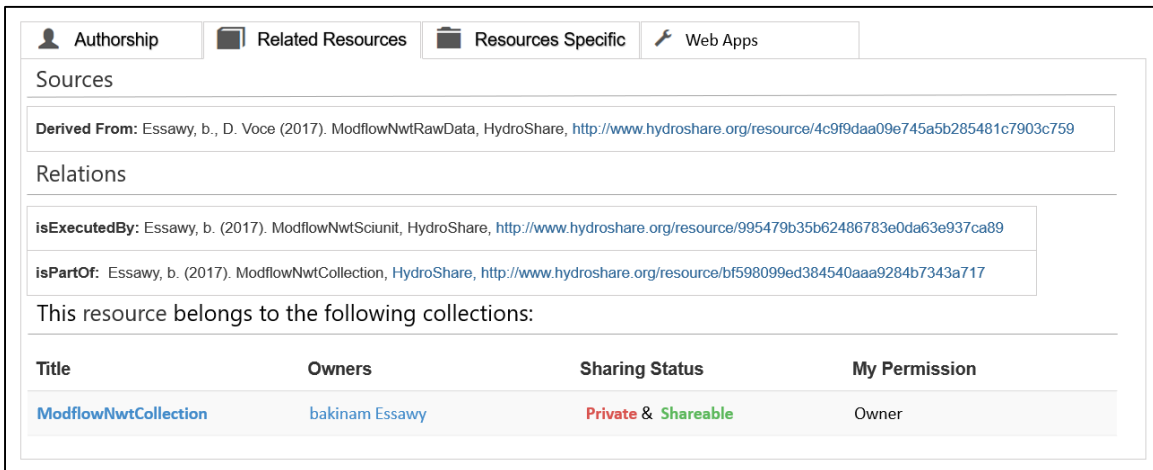
417 Figure 12 shows the output files within ModflowNwtSciunitOutput resource as viewed on  
418 this resource's HydroShare landing page. The resource contains the output generated from running  
419 the sciunit that prepares the model input for MODFLOW-NWT and the output from running the  
420 MODFLOW-NWT model program itself. The MODFLOW Model Instance Resource type  
421 includes extended metadata terms specific for MODFLOW. In this use case the model has eight  
422 packages. In addition to the packages already described, this model instance includes: the output  
423 control (OC) package, which specifies how the model output is written; the upstream-weighting  
424 (UPW) groundwater flow package, which describes the system properties (e.g.,  
425 transmissivity/conductivity); and the one output listing file (LIST), which contains all the  
426 information about the current run (e.g., stress period, time step and the number of active and  
427 inactive cells, the recharge, drains, and any errors). The name file (NAM) specifies the name of  
428 the input and output files for the model instance.  
429



430  
431 **Figure 12** The output files within the ModflowNwtSciunitOutput resource landing page in  
432 HydroShare.



433 Additional metadata associated with the MODFLOW output resource is divided into four  
 434 categories: 1) Authorship, 2) Related resources, 3) Resource Specific, and 4) Web Apps. Figure  
 435 13 shows the "Related Resources" metadata. Here all resources linked to the MODFLOW output  
 436 resource through formal relationships are listed. In this case, the MODFLOW output resource is  
 437 linked to the ModflowNwtRawData resource through the "Derived From" relationship and to the  
 438 MODFLOW-NWT resource through the "isExecutedBy" relationship. Figure 14 shows the  
 439 "Resource Specific" metadata. These are non-null metadata terms that apply only to the  
 440 MODFLOW Model Instances' such as grid attributes, solver, and boundary condition package  
 441 choices. Additional metadata terms not previously populated by the user can be populated later  
 442 within the edit mode and will appear in this section once populated.



443  
 444 **Figure 13** The ModflowNwtSciunitOutput Related Resources metadata tracking the resource's  
 445 provenance within HydroShare.

Authorship		Related Resources		Resources Specific		Web Apps	
<b>Model Output</b>							
Includes output files?	Yes						
<b>Executed By</b>							
Name	MODFLOW-NWT						
Version	v.1.1.2						
Resource URI	<a href="https://www.hydroshare.org/resource/ace3231be6b64ee6a02ddd8e6dfa3d5d">https://www.hydroshare.org/resource/ace3231be6b64ee6a02ddd8e6dfa3d5d</a>						
<b>Study Area</b>							
Total length in meters	300						
Total width in meters	300						
<b>Grid Dimensions</b>							
Number of layers	1						
Type of rows	Regular						
Number of rows	439						
Type of columns	Regular						
Number of columns	596						
<b>Stress Period</b>							
Type	Steady						
Length of stress period(s)	1						
<b>Groundwater Flow</b>							
Flow package	UPW						
Flow parameter	Hydraulic Conductivity						
<b>Boundary Condition</b>							
Specified flux boundary package(s)	rch, dis, bas						
Head-dependent flux boundary package(s)	drm						
<b>Model Calibration</b>							
Observation process package	obs						
<b>General</b>							
Model parameter(s)	Hydraulic Conductivity						
Model solver	NWT						
Output control package	oc						

446

447

**Figure 14** ModflowNwtSciunitOutput specific metadata capturing key MODFLOW model properties.

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Figure 15 shows details for the resulting ModflowNwtCollection resource as viewed on this resource’s landing page. The collection resource contains four sub-resources: 1) the ModflowNwtRawData resource with the raw input data ready to be prepared for the MODFLOW-NWT model engine; 2) the ModflowNwtSciunit resource with the sciunit pre-processing workflow, which also includes running the MODFLOW-NWT model; 3) the ModflowNwtSciunitOutput resource, which stores the output generated from running the sciunit workflow; and 4) the GeoTrust web app used to perform the online model execution using AWS-EC2. By organizing all these resources into a single collection, it is possible to have one landing page where users can, referring back to the stated goals in the introduction of this paper, view, obtain, and execute (1) raw initial datasets, (2) data preprocessing scripts used to clean and

459 organize the data, (3) model inputs, (4) model results, and (5) the specific model code along with  
460 of all its dependencies used for a computational analysis.

**HYDROSHARE**  
 MY RESOURCES DISCOVER COLLABORATE APPS HELP ABOUT

## ModflowNwtCollection

Authors: bakinam Essawy  
 Owners: bakinam Essawy  
 Resource type: **Collection Resource**  
 Created: June 14, 2017, 2:18 p.m.  
 Last updated: June 20, 2017, 2:59 p.m. by bakinam Essawy

**Abstract**  
 This resource includes all the resources that were used in the online execution for the Modflow-NWT. This provides a local grouping of resources used for an analysis and allows the user to share or download this collection of resources more easily.

**Subject**  
 modflow sciunit Modflow-NWT

**How to cite**  
 Essawy, b. (2017). ModflowNwtCollection, HydroShare.  
<http://www.hydroshare.org/resource/bf598099ed384540aaa9284b7343a717>

This resource is shared under the Creative Commons Attribution CC BY License.  
<http://creativecommons.org/licenses/by/4.0/>

Sharing status:  
 Public Discoverable **Private**  
 Shareable

You are the owner of this resource.

**Collection Contents**

Title	Type	Owners	Sharing Status	My Permission
<a href="#">ModflowNwtSciunit</a>	CompositeResource	<a href="#">bakinam Essawy</a>	Public & Shareable	Owner
<a href="#">ModflowNwtRawData</a>	ModelInstanceResource	<a href="#">bakinam Essawy</a>	Private & Shareable	Owner
<a href="#">ModflowNwtSciunitOutput</a>	MODFLOWModelInstanceResource	<a href="#">bakinam Essawy</a>	Public & Shareable	Owner
<a href="#">GeoTrust</a>	ToolResource	<a href="#">bakinam Essawy</a>	Private & Shareable	Owner

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461

462

**Figure 15** The collection resource that includes all resources used within the study.

## 463 6. Discussion and Conclusions

464 In this paper, we demonstrated how HydroShare and GeoTrust can be integrated to easily and  
465 efficiently package, share, and publish model workflows. MODFLOW-NWT was used as an  
466 example application to demonstrate the functionality provided by these cyberinfrastructures for  
467 creating open, reusable data analysis and cloud-based model execution services. The approach  
468 showed how containers built using GeoTrust tools can be shared as HydroShare resources. A  
469 cloud-based service was created to automatically retrieve raw input data from HydroShare, execute  
470 a sciunit container that both prepares and runs a MODFLOW-NWT model, and share the results  
471 on HydroShare using a MODFLOW Model Instance Resource type. All the resources are  
472 aggregated in HydroShare into one collection resource with domain-specific metadata.

473 The integration of scientific cyberinfrastructures such as the HydroShare and GeoTrust  
474 projects can improve reproducibility in computational hydrology. New MODFLOW models can  
475 be directly built from unprocessed input data (e.g., land-surface DEMs or stream-network  
476 shapefiles) by running a sciunit container that includes automated data preparation steps  
477 implemented using the FloPy Python package. The container is run online using AWS resources  
478 initiated directly through the HydroShare user interface. A particular advantage of this approach  
479 is that the GeoTrust *Sciunit-CLI* tool provides scientists a method for efficiently creating containers  
480 for script-driven modeling workflows. Thus, the general approach demonstrated here for the  
481 MODFLOW-NWT use case could be applied for any workflow that can be automated and that is  
482 compatible with Docker requirements. For example, in prior work we have constructed pre- and  
483 post-processing workflows for the Variable Infiltration Capacity (VIC) hydrologic model (Liang  
484 et al., 1996) that could directly benefit from this method for packaging, sharing, and publishing  
485 resources (Billah et al., 2016; Essawy et al., 2016). These containers are efficient, lightweight,

486 self-contained packages of computational experiments that can be repeated or reproduced  
487 regardless of deployment configurations.

488 In addition to integration with HydroShare for storing and publishing a sciunit, cloud resources  
489 were used to execute sciunits directly through the HydroShare user interface. While only AWS  
490 was presented, we evaluated as part of this work three different cloud computing services:  
491 EarthCube Integration and Testing Environment (ECITE), CyVerse, and Amazon Web Services  
492 (AWS). ECITE and CyVerse are funded by NSF and both are under active development. One main  
493 advantage for using ECITE or CyVerse is that they are free of charge for scientific studies. AWS,  
494 though not free, does offer a competitive grant program for researchers. From our experience, the  
495 AWS platform made the process of obtaining computer resources the simplest when compared to  
496 ECITE and CyVerse. The AWS user simply logs in to the console, selects the type of the machine  
497 needed, and launches it. When using ECITE, we had to contact the developer and ask for an  
498 instance with the required specifications and a short paragraph summarizing the project we are  
499 working on to justify the allocation of compute resources. We also needed to contact the developer  
500 each time we wanted to open a port (e.g., port 22 to SSH or port 80 for HTTP). The service did  
501 not support Elastic IPs like AWS, so each time we restarted an instance and wanted to use SSH to  
502 access to the machine, we needed to report the IP address used to access the machine to the  
503 developer to add this address to the security rules. CyVerse is a more mature service, but allows  
504 each user only a certain allocation of computational time. Once the user exceeds this allocation the  
505 instance is suspended and the user needs to request more time from the administrators. This feature  
506 was problematic for our use case of a continually available cloud-based resource for online model  
507 execution. For these reasons, we used AWS-EC2 for much of the testing work described in this

508 paper, but ECITE and CyVerse are in active development and will likely be good options for this  
509 use case in the future.

510 While this approach shows great promise, it is not without limitations: (1) the *Sciunit-CLI* tool  
511 must be installed in order to re-execute a sciunit container and (2) HydroShare lacks methods for  
512 uniquely identifying and managing web-app resources that will be needed as the number of these  
513 resources continues to increase. Regarding the latter limitation, without a more organized structure,  
514 naming conflicts could cause confusion when using the "Open with" button over which app is to  
515 be requested. Also, this work does not fully explore computational challenges associated with the  
516 proposed methodology. Using cloud services like AWS provides the opportunity for scalability as  
517 more users are added. For example, this solution used small EC2 instances for prototyping. Future  
518 work could explore AWS EC2 Container Service (ECS) as an alternative for a more scalable  
519 solution to support multiple concurrent users. Data movement between HydroShare and AWS is  
520 another potential issue as data volumes increase, which is not uncommon for hydrologic modeling.  
521 HydroShare is built on iRODS (Integrated Rule-Oriented Data System), which includes the ability  
522 to interface with AWS S3 storage resources. Future work could explore using this functionality to  
523 automate the movement of large files between HydroShare and AWS to support computation  
524 within AWS and still maintain access through the HydroShare user interface. iRODS is  
525 specifically designed to handle such data federation needs and should provide a robust solution for  
526 managing the large data flows common in hydrologic modeling. Lastly, future work should  
527 explore scaling of the general approach presented here to use cases in which multiple sciunits are  
528 available for execution within a remote, cloud-based resource. In this case, a user could select from  
529 available sciunits to process input data stored with HydroShare, making for a potentially very

530 powerful general approach applicable to many different modeling and analysis use cases that  
531 require remote data processing.

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