

1 **An Integrated Ergonomics Framework for Evaluation and Design of**
2 **Construction Operations**

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21 **Abstract**

22 Labor is one of the most critical resources in the construction industry due to its impact on the
23 productivity, safety, quality, and cost of a construction project. Ergonomic assessment, as a tool
24 and method for analyzing human activities and their interactions with the surrounding
25 environment, is thus crucial for designing operations and workplaces that achieve both high
26 productivity and safety. In construction, however, the constantly changing work environments and
27 laborious tasks cause traditional approaches to ergonomic analysis, such as manual observations
28 and measurements, to require substantial time and effort to yield reliable results. Therefore, to
29 simplify and automate the assessment processes, this study explores the adaptation and integration
30 of various existing methods for data collection, analysis, and output representation potentially
31 available for comprehensive ergonomic analysis. The proposed framework integrates sensing for
32 data collection, action recognition and simulation modeling for productivity and ergonomic
33 analysis, and point cloud model generation and human motion animation for output visualization.
34 The proposed framework is demonstrated through a case study using data from an off-site
35 construction job site. The results indicate that integrating the various techniques can facilitate the
36 assessment of manual operations and thereby enhance the implementation of ergonomic practices

37 during a construction project by reducing the time, effort, and complexity required to apply the
38 techniques.

39 **Keywords**

40 Ergonomics, sensing, simulation, visualization, action recognition, point cloud generation.

41 **1 INTRODUCTION**

42 Since the construction industry is labor-intensive, worker activities can significantly affect the
43 success of construction operations. Labor is one of the most crucial resources (Jarkas and Bitar
44 2011; Muqeem et al. 2012) and has the highest direct impact on the outcomes of a project,
45 including time, cost, and quality (Leung et al. 2012). Labor can account for nearly half the overall
46 costs of a project (El-Gohary and Aziz 2013) and is highly associated with construction
47 productivity, which is one of the most important and frequently used performance indicators in the
48 industry (CII 2006). Furthermore, labor operations in construction involve physically demanding
49 motions and tasks that frequently expose workers to risk in their working environments, leading
50 to a rate of injuries and fatalities that are among the highest of any industry (Behm 2005; OHS
51 2017; Zhou et al. 2015).

52 As an approach to human-oriented work design, ergonomics is the study of human interactions
53 with the surrounding environment with the intent to improve human safety and well-being, as well
54 as productivity (IEA 2017; Dul and Neumann 2009; van Deursen et al. 2005; Hedge and Sakr
55 2005). An effective and comprehensive ergonomic analysis involves evaluating ongoing
56 operations and proposing modifications and new designs that fit jobs and work environments to
57 worker capabilities and limitations. Accordingly, the implementation of ergonomic principles can
58 contribute to the success of a construction project by providing workers with comfortable working
59 environments in which work procedures and tools are designed for safe and productive use.
60 However, conducting an ergonomic analysis often requires extensive time and effort to yield
61 reliable results as the data collection and evaluation involve human observations and
62 measurements. This is particularly true in the dynamic environment of construction job sites,
63 which involve many physically demanding manual tasks that create vast amounts of data to collect,
64 analyse, and represent (Tak et al. 2011; Golabchi et al. 2016a). Furthermore, the variety of tasks
65 and postures required of workers necessitates methods for collecting and analyzing data that can
66 address human error; the resulting low reliability of the analysis inputs and outputs make
67 completing a meaningful ergonomic evaluation difficult (Kadefors and Forsman 2000; David
68 2005; Golabchi et al. 2017c). Reliable and detailed visual representations of the analysis outputs
69 can greatly improve the implementation of interventions or new workplace designs. Accordingly,
70 the development and use of methods to automate, simplify, and increase the accuracy of data
71 collection, analysis, and output representation could enable effective and comprehensive
72 ergonomic evaluations. Furthermore, integrating such methods into an overall framework would
73 potentially enhance the implementation of ergonomic practices at actual construction job sites by

74 minimizing the need for experts, decreasing the time and effort required for analysis, and reducing
75 the complexity of applying the various methods.

76 Therefore, this study proposes a framework to integrate different methods for evaluating and
77 designing manual construction operations to achieve a more unified and reliable ergonomic
78 analysis. The framework and its modules are presented with a focus on linking the different
79 components together. A manual operation at an actual job site is then used to implement the
80 proposed approach and evaluate its effectiveness.

81 **2 BACKGROUND**

82 **2.1 Limitations of Manual Observation-based Ergonomic Analyses**

83 A complete ergonomic analysis involves evaluating the motions and postures of workers and the
84 physical attributes of a job site to assess current work conditions and propose new designs for
85 manual operations (e.g., safe motions) and workplaces (e.g., workstation dimensions). To carry
86 out such an assessment, an ergonomist generally needs to complete three stages: (i) data collection,
87 (ii) data analysis, and (iii) interpretation and representation of results.

88 Prior to data collection, the ergonomist has to design the experiments and define the strategy based
89 on the particular conditions of the work being analyzed. After planning the procedure, which
90 enables identifying the methods to be implemented and the required inputs for each, relevant data
91 is gathered, traditionally, through observing the subjects (e.g., anthropometry, posture), their
92 motions while working (e.g., leaning, bending), and the attributes of the work environment (e.g.,
93 workbench, tools, equipment). The inputs of an ergonomic assessment thus include various types
94 of data, such as the distance between a worker and a necessary tool or material, or the joint angles
95 between different body parts, which are often challenging to observe simultaneously. Typically,
96 an ergonomist either visits a job site to collect the required data in real-time or uses video
97 recordings to extract the inputs later (David 2005). In both cases, such a procedure results in
98 subjectivity in the collected inputs introduced by the ergonomist's personal judgement (Golabchi
99 et al. 2017c). Although this traditional approach can work effectively in static workplaces, such as
100 offices and manufacturing assembly lines, it can produce unreliable data at construction job sites
101 because of the variety of manual tasks performed, complexity of exposures, and constantly
102 changing work environment (Kadefors and Forsman 2000; Golabchi et al. 2016c).

103 After data collection is complete, the ergonomist uses the gathered data to conduct an ergonomic
104 evaluation using tools such as ergonomic assessment checklists (e.g., RULA (McAtamney and
105 Corlett 1993), ROSA (Sonne et al. 2012)) and time and motion studies (e.g., MTM (Maynard et
106 al. 1948), MOST (Zandin 2002)). To complete this step, the ergonomist inputs the data into the
107 tools, which use a set of predefined rules to produce the output of the analysis. For example,
108 inputting a worker's posture (i.e., joint angles) along with the frequency and duration of exposure
109 allows posture-based tools to report on the level of ergonomic risk associated with a task. Also,
110 using inputs that describe working conditions (e.g., walking distance, motions involved), time and

111 motion systems (e.g., predetermined motion time systems) provide the standard duration for a task
112 (Golabchi et al. 2016b). However, similar to the challenges presented to data collection, manual
113 analysis of construction tasks can be inefficient since job sites and the motions required change
114 every day.

115 Following data analysis, the ergonomist interprets and represents the gathered data and analysis
116 results to illustrate how any modifications should be implemented and address any discovered
117 risks. Traditionally, this involves reports that reflect the ergonomist's conclusions from the
118 analysis and state any modifications suggested by the outputs from the checklists and tools used.
119 Typically, those reports include only whether the level of ergonomic risk associated with a task is
120 acceptable, moderate, or unacceptable based on the inputs provided. Such reports are thus limited
121 data representations that do not allow re-evaluation of the proposed changes and designs because
122 of the difficulty of assessing a non-observable task on a job site that does not yet exist (Laring et
123 al. 2002). Furthermore, the traditional report-based approach does not offer managers a tool for
124 practical decision-making, nor does it provide an effective means to accurately implement the
125 proposed modifications or train the personnel involved. This approach also makes it difficult to
126 effectively assess other ergonomic variables (e.g., clearance, vision) when modifying the design
127 of a workplace.

128 Thus, the three stages of a thorough ergonomic analysis could be improved by adapting and
129 integrating existing methods through automation to both enhance different aspects of the analysis
130 and connect them to provide a more reliable and simplified assessment. The different stages of an
131 evaluation, including data acquisition through sensing, productivity and safety analysis of the
132 obtained data, and representation of the results through visualization, are shown in Table 1. For
133 each stage, the research areas that could be beneficial for evaluation of manual operations and
134 workplace design are identified as components of the framework, and both the input used for each
135 component and its output are shown. The inputs and outputs show the connections among the
136 different elements and indicate how data can be transitioned through the different components for
137 an accurate and automated analysis.

138 Table 1. Research areas, inputs, and outputs for different stages of evaluation and design of
139 manual operations

Stage	Research area	Input	Output	Example references in research area
Data acquisition (sensing)	Action recognition	Video/sensor recordings	Type and sequence of actions	Akhavian and Behzadan (2016), Cheng et al. (2013), Joshua and Varghese (2011)
	Motion capture	Worker motion recordings	Worker motion-capture data	Han and Lee (2013), Starbuck et al.

				(2014), Ray and Teizer (2012)
	3D reconstruction	Photo/video of job site	As-is point cloud model	Rashidi et al. (2015), Fathi and Brilakis (2011), Guo et al. (2016)
Analysis	Simulation modeling	Action recognition	Operation efficiency	Seo et al. (2016), Golabchi et al. (2016b)
			Motion generation	Golabchi et al. (2016a), Golabchi et al. (2015a)
	Biomechanical analysis	Motion capture	Level of safety	Seo et al. (2014), Mehta and Agnew (2010), Golabchi et al. (2015b)
Representation (visualization)	Motion generation	Simulation modeling	Worker motions	Wei et al. (2011), Taylor et al. (2007), Golabchi et al. (2017b)
	Path planning	Start and end location of motion	Animation of worker motions	Yao et al. (2010), Wu et al. (2007), Pettré et al. (2002)
	Visualization	3D reconstruction	Complete virtual model	Al-Hussein et al. (2006), Budziszewski et al. (2011), Golabchi et al. (2015b)
		Motion generation		

140

141 As shown in Table 1, many researchers have worked on different elements that can contribute to
 142 an ergonomic evaluation of labor operations and workplace design. However, many of the previous
 143 studies have focused on methods developed for a different purpose (e.g., 3D reconstruction for
 144 progress monitoring, action recognition for productivity measurements). As a result, different
 145 methods require different types of inputs which can hinder efficient data sharing between the
 146 methods. Thus, further investigation is required to understand the inputs and outputs of the existing
 147 methods and the potential transition of data among them to enable their integration and achieve a
 148 comprehensive ergonomic analysis framework.

149 **2.2 Integrated Ergonomic Analysis**

150 To carry out a thorough ergonomic analysis, information about the effects of physical activities on
 151 a worker's body needs to be available. Main contributors to those effects are the type, duration,
 152 and sequence of manual tasks. Although this information can be collected through time studies,

153 they are time-consuming and challenging to conduct for many manual construction operations.
154 Furthermore, those data are difficult to gather when designing new operations for new or
155 prospective workplaces. As a result, ergonomists rely on human judgment and estimates in
156 acquiring data, which can lead to unreliable information. This issue can be addressed through
157 linking simulation modeling with action recognition. The use of video cameras for action
158 recognition can automatically identify the type, duration, and sequence of activities. The results
159 can then be used to create a simulation model for the operation that can be used to test any required
160 modifications to the operation design. Furthermore, integrating Predetermined Motion Time
161 Systems (PMTSs), which enable calculating the standard duration of a manual task based on the
162 movements involved, into the simulation environment allows not-yet-existing scenarios to be
163 conveniently modeled and explored. Previous research has used sensing devices to identify
164 different types of activities and tasks for applications such as operation analysis, work rate
165 measurement, and productivity monitoring (Gong et al. 2011; Kim and Caldas 2013; Escoria et
166 al. 2012). Furthermore, simulation modeling has been used extensively in different phases of
167 construction for planning, budgeting, design, maintenance, etc. (Ozcan-Deniz and Zhu 2015;
168 Corona-Suárez et al. 2014; Yang et al. 2012). Despite the effectiveness of these methods, linking
169 video-based action recognition to PMTS-based simulation modeling to enable reliable and
170 automated creation of simulation models for ergonomic analysis has not yet been fully explored.

171 Another main contributor to an operation's level of safety is the posture and motions of the
172 workers. While ergonomic and biomechanical tools rely on such information for their evaluations,
173 watching a worker carrying out the tasks to obtain the required inputs (e.g., body joint angles) is
174 time-consuming and can produce low-reliability results. On the other hand, Digital Human
175 Modeling (DHM) technologies are developed and used in manufacturing industries with the
176 intention to generate virtual representations of human models to design and evaluate equipment
177 and work environments without requiring physical mock-ups (Zhang and Chaffin 2005; Duffy
178 2008; Sundin and Örtengren 2006; Chaffin 2008). DHM tools are effectively used in these
179 industries for modeling of stationary work stations and repetitive tasks as well as evaluation of
180 visual ergonomic risk factors. However, the dynamic nature of construction job sites and the
181 diversity of its laborious tasks still calls for the adoption of tools and methods tailored to the needs
182 of the industry, that can address challenges such as the time and effort required for data acquisition
183 and analysis, as well as the reliability of the results. Accordingly, the use of motion-capture data,
184 recorded using sensing devices (e.g., depth sensors, stereo cameras), can greatly simplify data
185 capture and improve data accuracy (Seo et al. 2014; Han and Lee 2013; Ray and Teizer 2012).
186 Furthermore, motion data can be used in conjunction with 3D models of the work environment to
187 visualize an operation and provide a virtual platform for managerial decision-making,
188 implementation of designs, training, etc., as well as assessment of ergonomic variables such as
189 clearance, visibility, fit, and reach. Connecting motion data with simulation models of operations
190 can also be used to generate the motions of proposed operations for a more effective visualization.

191 Creating an effective and complete virtual model to represent the results of an analysis requires
192 3D models of the different components of the current conditions on a job site. However, given the
193 dynamic nature of construction sites, creating and updating as-is models using only 3D modeling
194 tools and software is unfeasible. Therefore, previous work has focused on generating point-cloud
195 models of work environments (Golparvar-Fard et al. 2011; Fathi and Brilakis 2011; El-Omari and
196 Moselhi 2008; Pučko and Rebolj 2017). Cameras can be simply and inexpensively used to create
197 as-is point cloud models of the work environment, replacing the need to manually create
198 complicated models. Integrating such a model into a visualization environment that includes other
199 components, such as building information modeling (BIM) elements and worker motions, can
200 provide a robust, reliable, and complete virtual model, which has not yet been examined to its full
201 potential. Furthermore, worker models need to be connected to the other 3D elements in the virtual
202 model to enable animating the worker motions along a path that does not collide with other objects
203 and is also a realistic representation of worker motions and paths on an actual job site. Thus, there
204 is a need to implement an automated path-planning algorithm inside the visualization to enable
205 accurate animation of worker models and motions.

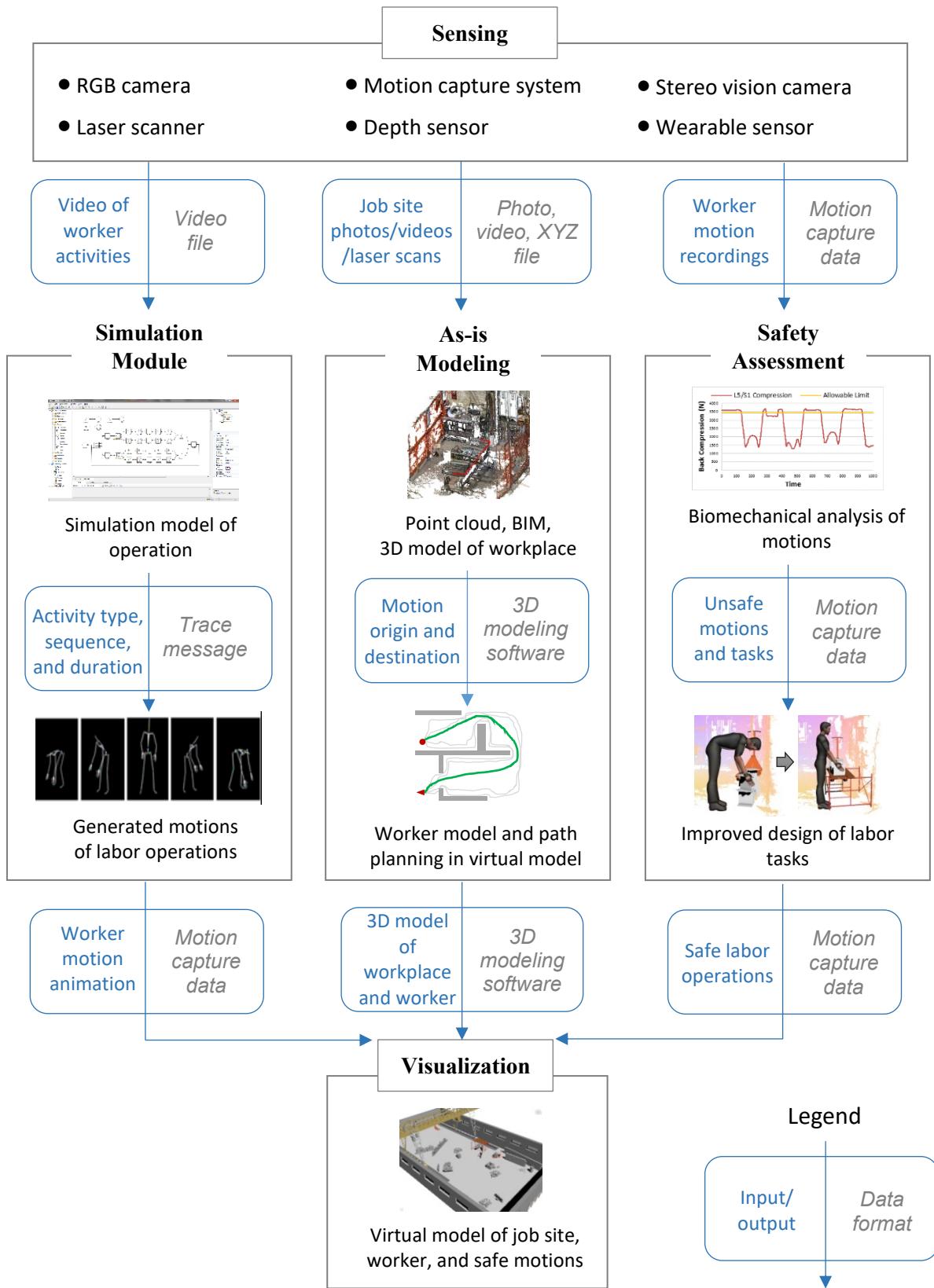
206 As there is a high correlation between safety and productivity (Hallowell 2011) and an ergonomic
207 analysis works to improve both health and productivity, the effects of safety interventions on
208 productivity and vice versa have to be considered for an analysis and design to be effective.
209 Integrating methods that can measure productivity (e.g., PMTS-based simulation modeling) with
210 methods that evaluate safety (e.g., motion capture-based ergonomic and biomechanical
211 assessments) and representing them using inclusive virtual models (i.e. point cloud models in
212 conjunction with worker motions) will thus enable the analysis of different scenarios in terms of
213 both productivity and safety to select the best option.

214 3 METHODS

215 This study proposes and tests an integrated framework that couples data acquisition and
216 visualization with analysis of manual operations to enable an effective evaluation of those manual
217 operations for a comprehensive ergonomic analysis. Specifically, the objectives are: (1) exploring
218 the data associated with the various sensing, analysis, and visualization methods, (2) examining
219 the possibility and applicability of sharing data among those different methods, and (3) testing the
220 feasibility and effectiveness of integrating the various methods.

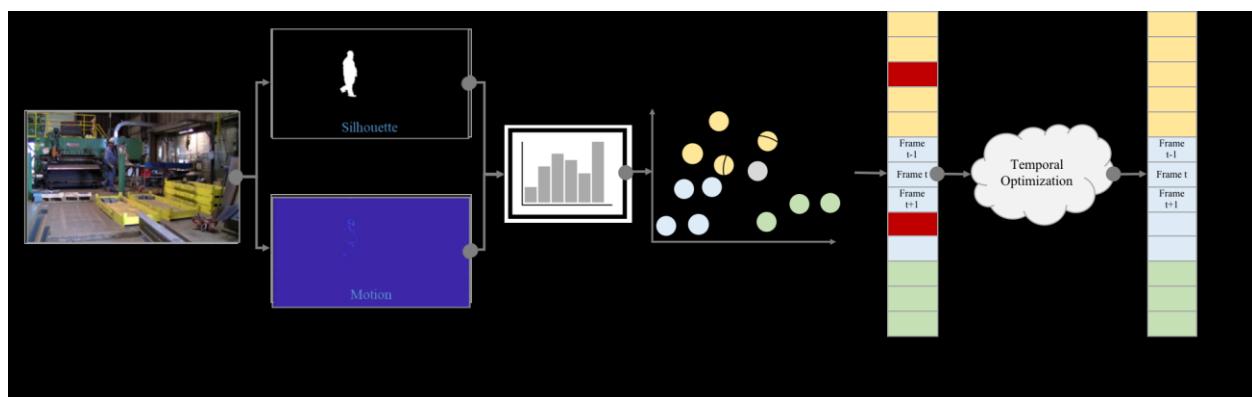
221 The proposed framework and its components are shown in Figure 1. As shown in the figure, the
222 framework is composed of three main modules: simulation, as-is modeling, and safety assessment.
223 The analysis starts by gathering information about conditions in the work environment through
224 sensing. Videos of worker activities are recorded, and then an action recognition process extracts
225 the type, sequence, and duration of tasks used to build a simulation model of the operation. The
226 simulation model serves to evaluate the productivity of the operation, as well as to generate worker
227 motions for animation in the final virtual model. On the other hand, photos or videos of the job
228 site are also used to create an as-is point cloud model of the work environment. Other 3D modeling

229 elements (e.g., worker models, material, equipment, and tools not existing in the as-is
230 representation)—created using other 3D modeling platforms or inherited from previous designs—
231 can be added to the model and be used to run a path planning algorithm that enables a realistic
232 representation of worker motions in the virtual environment. Worker motion data are also captured
233 and used for a precise, automated, biomechanical assessment, and worker motions and workplace
234 design are updated based on the results. The outputs of the modules are used to create a complete
235 virtual model of the manual operations, which can be used for various visualization applications
236 (e.g., communication and implementation of design, decision making, and training).



239 **3.1 Simulation Module**

240 To create a simulation model of a manual operation and analyze its operational efficiency, either
 241 human observation or sensing methods have to be used to gather the required inputs (e.g., types of
 242 tasks, activity durations). Human observation typically not only requires time, effort, and expertise
 243 but also can be subjective. To address this issue, among various sensing methods including high-
 244 end sensors, the action recognition approach in this study uses video recordings from ordinary
 245 cameras to identify the type, sequence, and duration of different manual tasks. The action
 246 recognition method, adapted with modifications from the authors' previous work (Liu et al. 2016),
 247 recognizes the activity type for each frame and estimates the activity duration (Figure 2). Every
 248 frame is described using a feature vector and classified to specific activity types based on its
 249 similarity to samples in a training dataset. Here, the feature vector including a histogram of the
 250 silhouette and of the optical flow, is primarily derived from the extracted human silhouette and the
 251 pixel-wise direction and magnitude of its movements (Tran and Sorokin 2008). The similarity
 252 between feature vectors is then obtained by calculating the Euclidean distance between feature
 253 vectors of two action samples. The frame-wise action is initially recognized by a classification
 254 method, namely the K-nearest neighbor (Peterson 2009). Given training frames as action
 255 templates, the unknown action in the testing frame is identified as the one with the greatest
 256 similarity to the template. With an initial estimate for every frame, the activity sequence is
 257 optimized by an enforced temporal constraint, based on the shortest duration possible for an
 258 activity. The temporal optimization module enables assessing the initial estimate from the result
 259 of frame-by-frame action classification (i.e. classifying the feature vector to a specific action) and
 260 correcting the detected noise frames to optimized ones. Consecutive frames are detected as noises
 261 if the duration (i.e. number of consecutive frames) exceeds the minimally feasible duration of a
 262 specific action (e.g., three seconds). With the optimized action recognition result, the duration of
 263 each task is calculated by counting the number of frames given the video frame rate (e.g., 30 fps).



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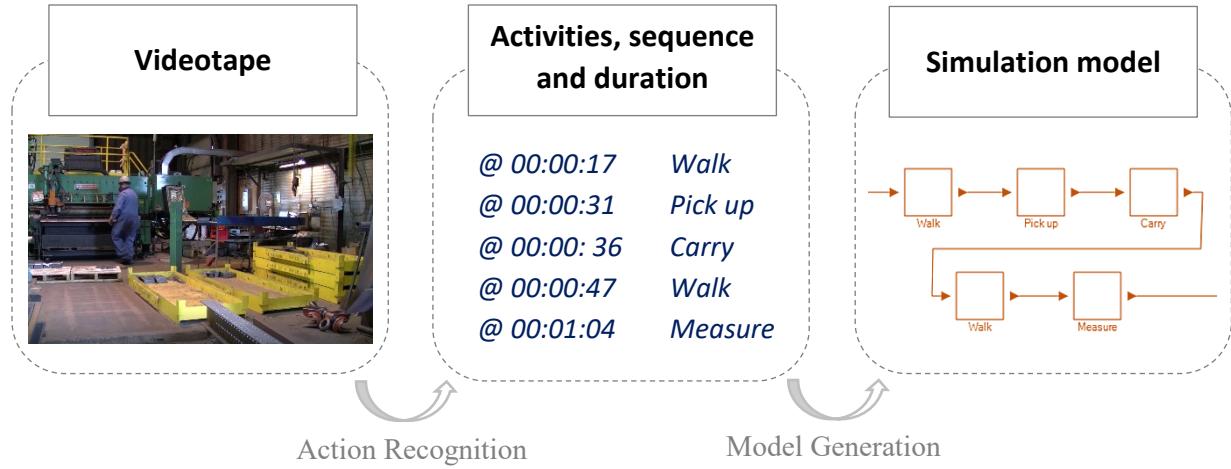
265 Figure 2. Action recognition from video recordings

266 The result of the action recognition process, aiming to estimate the duration of the different actions,
 267 is linked to a discrete-event simulation modeling environment called Symphony (Hajjar and

268 AbouRizk 1999). The integration between the results of action recognition and simulation
269 modeling is achieved by first extracting the activity types (e.g., walking, moving hand, grasping,
270 etc.), their sequence (e.g., worker walks, grasps object, carries object, places object), and their
271 duration (action recognition in Figure 3), and then creating a simulation model based on those data,
272 including different simulation modeling elements (e.g., walking task, carrying task, marking task)
273 to represent different activities (model generation in Figure 3). The extracted data from the action
274 recognition enables creating the simulation model since the pieces of data required for creating a
275 discrete-event model are provided. This data includes: the events taking place (i.e., task types,
276 derived from the videos), the time that events take place (determined by the duration of each task
277 from the video), and the order of the events (obtained from the sequence of tasks from videos).
278 For cyclic operations, the simulation model includes a full cycle of the operation and the duration
279 of each task in the cycle is obtained by calculating the average duration of that particular task type
280 from action recognition.

281 The developed simulation model represents the current status of an ongoing operation which can
282 be used for two purposes. First, it serves as a base model to evaluate different scenarios for an
283 operation (including the current practice) in terms of productivity and safety to find the most
284 desirable. This process is greatly improved by integrating PMTSs into the simulation environment
285 to accurately model potential scenarios (Golabchi et al. 2017a). PMTSs are work measurement
286 systems that break up tasks into basic human movements (e.g., reach, move, get, put) and
287 categorize them based on the working conditions which the movement is carried out in (e.g.,
288 walking distance, complexity of grasp, body motions). Each movement classification is associated
289 with a duration based on research, data collection, analysis, and validation. Thus, these systems
290 can be effectively used to obtain the standard duration of manual operations based on job site
291 conditions.

292 As a secondary purpose, the simulation model is linked to the motion generation component, which
293 creates the complete motion of a worker by pulling from a database of captured motions and
294 combining them (Golabchi et al. 2017b). The linkage between the simulation model and the motion
295 generation is achieved by first generating a trace message based on the simulation, which contains
296 information regarding the different motions carried out. This information is then used as input for
297 an algorithm that queries basic motions (e.g., get, put, walk) from a database of motion-capture
298 data and creates the complete motion. A detailed description of the motion generation process can
299 be found in Golabchi et al. (2017b); while previous work has looked into the details of developing
300 PMTS-based simulation (Golabchi et al. 2016b) and motion generation from simulation (Golabchi
301 2017b), this study focuses on creating the simulation model from the output of action recognition
302 and using it for evaluation and improvement of the operation.



303

304 Figure 3. Simulation model generation from action recognition results

305 **3.2 As-is Modeling Module**

306 The as-is modeling module includes two main components. First, the current conditions of the
 307 existing workplace (structure and objects) have to be modeled. Second, the path that each worker's
 308 3D animation will use in the virtual representation is identified through path planning. The two
 309 components are further described below.

310 **3.2.1 Point cloud generation**

311 The virtual representation of a job site needs to reflect current conditions, including the shape, size,
 312 and location of building components, equipment, and materials. Since as-designed CAD and BIM
 313 models might not accurately reflect the current, ongoing status of the surrounding work
 314 environment or temporary structures and objects, point cloud data models have emerged as a
 315 solution. These models can later be converted into 3D models, similar to the ones in a BIM (Hichri
 316 et al. 2013). Specifically, different tools and approaches can be used for point cloud model
 317 creation, including image-based approaches, video-based approaches, and laser scanners. The use
 318 of laser scanners has been thoroughly studied in construction (Akinci et al. 2006; Tang et al. 2010;
 319 El-Omari and Moselhi 2008). Despite the high accuracy of models created using laser scanners
 320 and their scaling capabilities, the cost of the scanners and the need for experts to implement them
 321 can limit their use in practice. Image-based approaches, in which a structure from motion algorithm
 322 is used to generate a point cloud from ordinary photographs (Golparvar-Fard et al. 2011; Fathi and
 323 Brilakis 2011), can be used as an alternative approach since compared to using laser scanners, an
 324 acceptable model can typically be created without substantial need for special equipment or high
 325 levels of expertise (Guo et al. 2016). However, such approaches involve high processing times and
 326 require images with high overlap to ensure the reliability of the output. Therefore, this study uses
 327 a video-based approach, which can potentially address the issues with both prior methods.

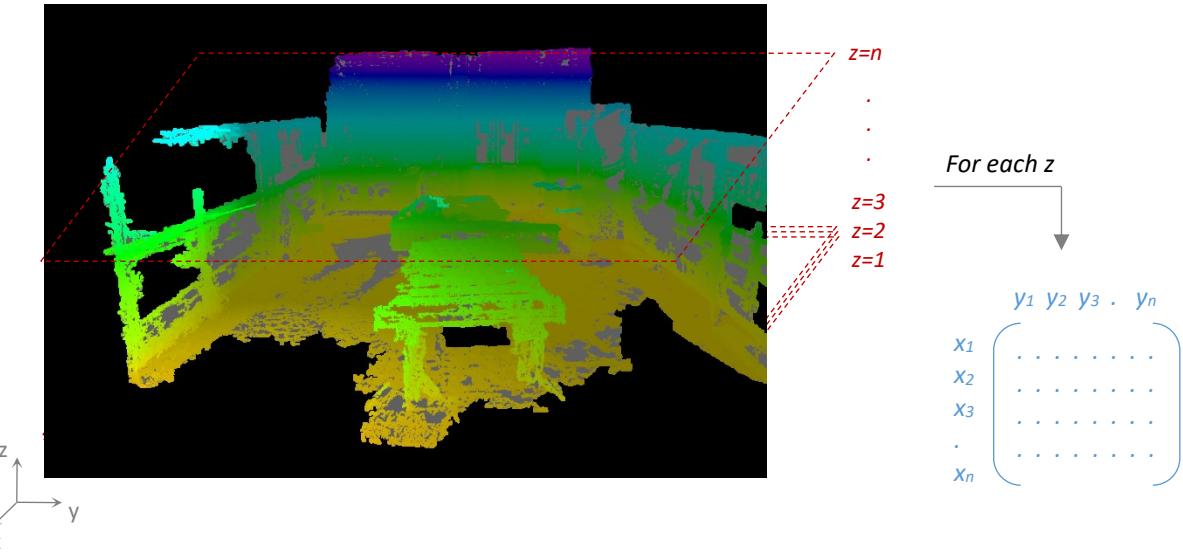
328 To create a point cloud model using the video-based approach, a stereo vision camera is used to
329 generate depth data for objects. This approach simplifies the data recording process since there is
330 little concern regarding the overlap of the images, as experienced with single image-based
331 approaches. Using the stereo vision approach, every point of an object is recorded through the left
332 and right lenses at the same time, and then the videos are rectified (Fusiello et al. 2000).
333 Rectification is a transformation process in which two or more images are projected onto the same
334 image plane to find the matching points between them. After this process, the images from every
335 frame of the recorded videos will be appropriately aligned.

336 To implement the point cloud generation process, a video of the job site is required as input, and
337 the point cloud model is generated as output. Through this simple process, the generated point
338 cloud model reflects the existing conditions at a job site. When evaluating different scenarios and
339 representing new designs, 3D models of other elements, including building components,
340 equipment, material, tools, etc., are added by importing the point cloud model, 3D model or BIM
341 elements, and other 3D objects into the final visualization platform and positioning them in the
342 correct locations. Human models and motions are added to the virtual model at a later stage.

343 **3.2.2 *Worker path planning for virtual modeling***

344 To realistically represent a human model in a virtual environment, the anthropometric properties
345 of the model, an animation of the motions the human carries out, and the path that they take inside
346 the 3D model all need to be reflected reliably. The anthropometric attributes are considered while
347 creating the skeleton of the 3D model of the human by choosing appropriate values for the joint
348 lengths and body-part ratios (Meredith and Maddock 2001; Golabchi et al. 2015b). The motion is
349 created from the sequence of activities and durations in the simulation model and by querying a
350 database of motions, as explained above. The path that each worker will take to complete a motion
351 also needs to be acquired to provide a reliable representation of activities. Thus, path planning
352 needs to be used to predict the paths that workers will take on an actual job site and animate them
353 in the virtual model.

354 For this purpose, the A* path planning algorithm (Yao et al. 2010; Hart et al. 1968) is adapted for
355 its speed and reliability, where the start and end nodes of the path and the locations of obstacles
356 are the inputs and the shortest path is the output. After the 3D model is created, it is analyzed to
357 extract the coordinates of all objects in the model by recording their X and Y coordinates for all
358 points on the Z axis, as shown in Figure 4. The size of the matrices with the X and Y coordinates
359 is determined by finding the largest distance in each of the X and Y directions among all the Z
360 planes and using those values for the corresponding axis.



361

362 Figure 4. Registering the coordinates of all objects of the 3D model in different planes

363 Next, the start and end locations in the virtual model are selected to extract the coordinates. Also,
 364 based on the Z coordinate of the start and end nodes, the object coordinates need to be filtered to
 365 find any obstacles in the worker's path. Thus, the coordinates of obstacles that could block the
 366 worker's path, defined by having a Z value between the worker's foot and head, are extracted.
 367 Then, the X and Y values of all nodes that represent an obstacle that the worker cannot pass (i.e.,
 368 for the same X and Y, a Z range larger than the height of a step) are registered as obstacles. The
 369 start, end, and obstacle nodes are then fed into the A* algorithm, and the coordinates of the path
 370 are extracted. This path is then used to animate a human animation in the virtual model by feeding
 371 the coordinates into the visualization environment, along with the basic motions already attached
 372 to the animation.

373 **3.3 Safety Assessment Module**

374 The biomechanical analysis component of the framework enables the evaluation of an operation
 375 by examining the loads exerted on the human joints and comparing them to safe limits. The results
 376 can be used along with the productivity analysis output to improve the operation and select an
 377 optimal design (Golabchi et al. 2017a). To carry out an automated ergonomic analysis, worker
 378 motions need to be extracted from either video recordings (Han and Lee 2013), vision-based
 379 sensing devices (e.g., Microsoft Kinect) (Han et al. 2013), or wearable sensors (Yan et al. 2017),
 380 and then the motion data can be used to automatically identify unsafe actions through ergonomic
 381 and biomechanical assessments (Golabchi et al. 2015b). Those results are used to modify the
 382 design elements that cause the unsafe conditions and ensure representations of safe motions. The
 383 captured motions are also used to animate the worker model in the final virtual environment to
 384 accurately represent current conditions. When improving prospective operational scenarios, the
 385 motion generation element uses pre-recorded motions of ergonomically safe actions to visualize

386 worker activities, enabling the use of the virtual representation for safety training applications. The
387 safety analysis component and detailed descriptions pertaining to it can be found in Golabchi et
388 al. (2015b), Golabchi et al. (2015a), and Golabchi et al. (2016a). This study adapts biomechanical
389 analysis in conjunction with the virtual visualization of the workstation as part of the analysis.

390 **4 CASE STUDY: ILLUSTRATION OF FRAMEWORK IMPLEMENTATION**

391 The application of the proposed framework and its components is demonstrated by implementing
392 it using data from an off-site construction job site. A steel fabrication shop is selected as the work
393 environment due to the existence of many manual operations and their importance in ensuring safe
394 and productive processes. In particular, the task of handling steel plates is observed, recorded,
395 modeled, and analyzed using the proposed integrated approach since its productivity is critical in
396 the whole operation and it also involves physically demanding activities (e.g., carrying steel
397 plates). The main activities carried out to complete the task include picking up steel plates from a
398 cutting machine, carrying them to a worktable, measuring and sorting them, and carrying them to
399 storage bins. As the first step, the workstation is recorded using a video camera to extract time
400 stamps and activity types using the action recognition component. This data is used to create a
401 simulation model representing the existing, ongoing operation. Figure 5 shows the work setup and
402 samples of the identified worker tasks.



403
404 **Figure 5. Sample actions identified through action recognition**

405 By using the proposed action recognition algorithm on the video recording of the operation, 32
406 actions are identified in the four categories of walking, picking up, carrying, and measuring. Since
407 the operation is cyclic, after running the action recognition, the most repeated cycle is found and
408 used as the correct sequence of activities for the simulation modeling. Activities not following the
409 correct identified sequence are distinguished as outliers and removed. The simulation model of the
410 cycle is then built using the average durations for each task, as derived from the action recognition
411 results. Based on the 32 actions identified from the video recording, which includes 4010 data
412 points (i.e., video frames of the recording), the error in finding the correct sequence is 7.14%, and
413 the error in calculating the correct durations is 8.48%. Figure 6 shows the ground truth and
414 predicted activities of the steel plate handling task. The horizontal axis represents the video frame
415 data points. The video is recorded with 30 frames per second.

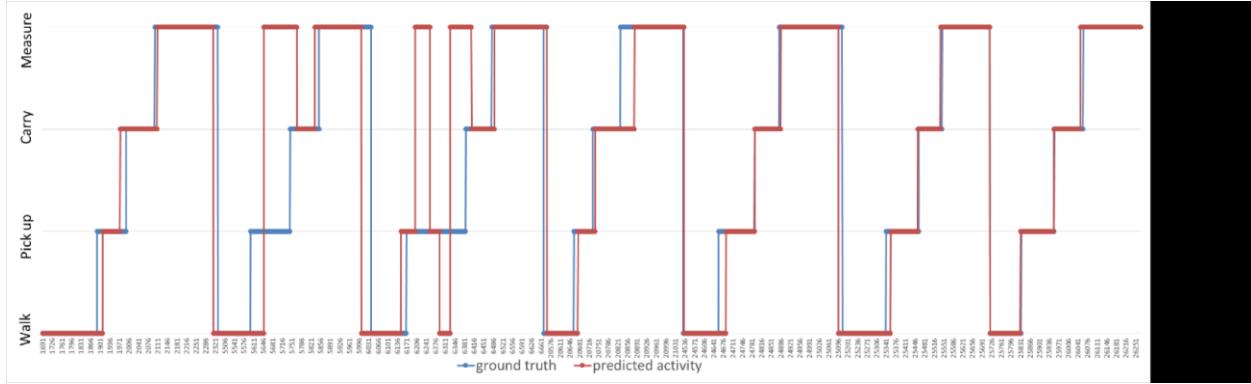
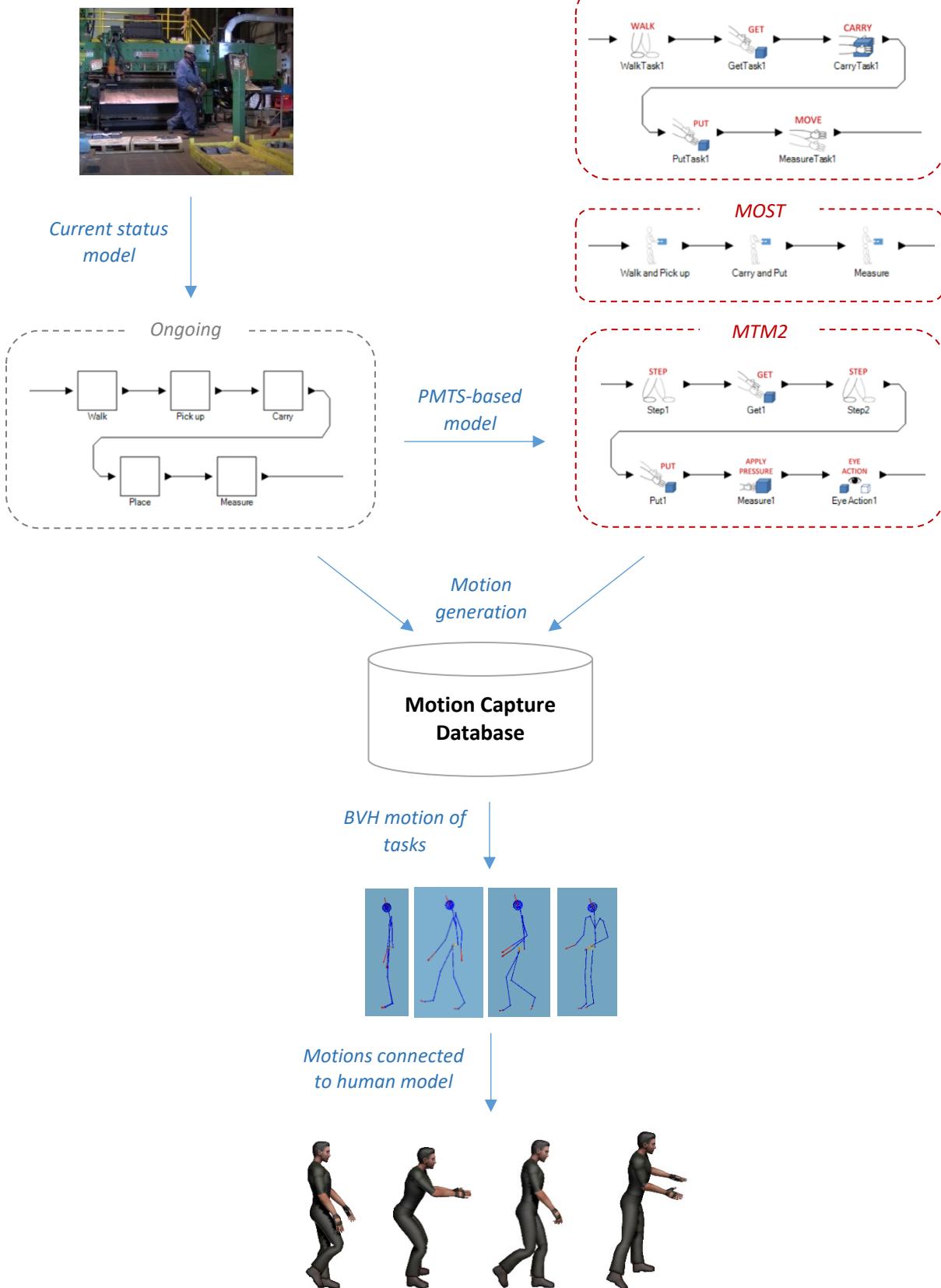


Figure 6. Comparison of the ground truth and predicted activity for steel plate handling

The results of the action recognition are used to create the simulation model that represents the current status of the operation. This is achieved with a script that uses the type and sequence of activities with timestamps from the action recognition. This simulation model serves as the basis to evaluate different scenarios of the operation (e.g., using a worktable with a different height, relocation of the worktable and storage bins, reducing the number of plates carried at each cycle) for potential improvement. As explained above, integrating PMTSs into the simulation environment enables representation of manual activities that do not currently exist. This modeling process can be used to analyze the productivity of the current activities and improve it by assessing different methods for carrying out the process (e.g., different task sequence, more labor resources). Furthermore, the sequence of activities and task durations from the simulation model are used to generate motions from a pre-recorded motion-capture database. As shown in Figure 7, models using PMTSs such as Modular Arrangements of Predetermined Time Standards (MODAPTS), Methods-Time Measurement (MTM-2), and Maynard Operation Sequence Technique (MOST) can be developed and tested from the base simulation model. These three systems are widely used and differ in their level of focus (cycle duration, repetitiveness of motions, complexity of movements, etc.). As these systems originated in industries other than construction, all three are used here to further validate the proposed simulation approach. Table 2 shows the result of running the simulation model for one cycle of the task, comparing the average duration for one full cycle from the video recordings, with the PMTS-based simulation durations. The durations are derived from running the simulation models shown in Figure 7, using inputs collected from the actual job site. As shown in the figure, the modeling elements developed and used for the different PMTSs depend on the system design. For example, MODAPTS has a GET element to represent grasping an object, for which the input is the complexity of the grasp, and MTM2 has a step element representing a walking activity, for which the input is the number of steps taken. After this step, the Biovision Hierarchy (BVH) motion file of the operation is attached to a human model based on the sequence of the tasks from the simulation, making it ready for the path planning and visualization phase.

Action recognition



447

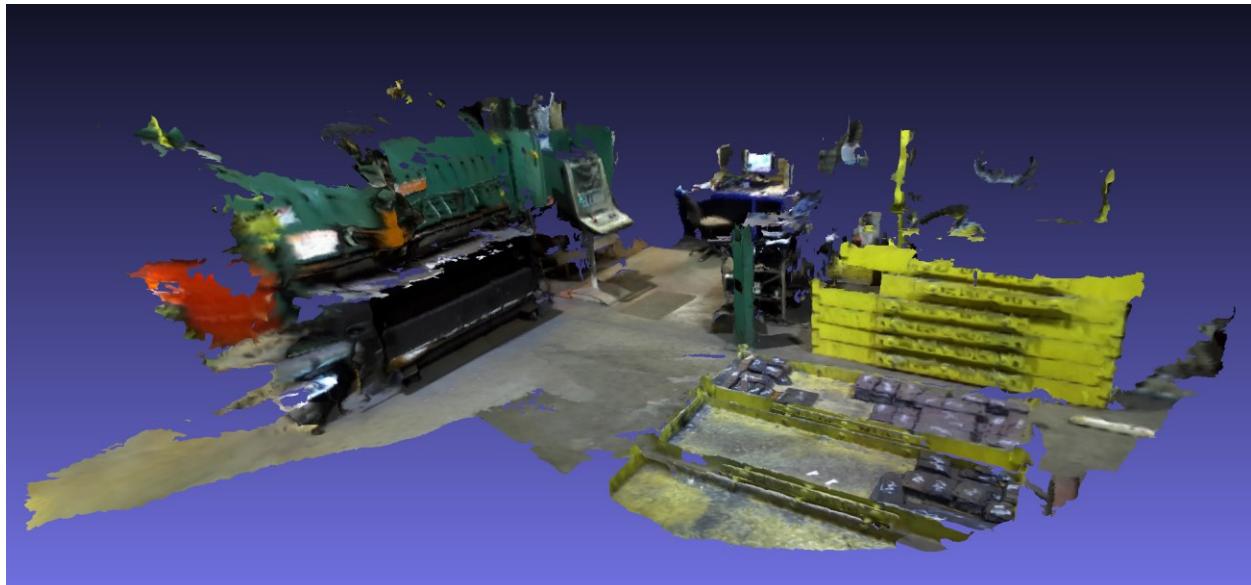
Figure 7. Simulation model and motion generation using action recognition

448

Table 2. Actual vs simulation durations for one cycle of the steel plate handling task

Average duration from job site (seconds)	PMTS-based simulation			Average difference between actual and PMTS-based
	MODAPS duration (seconds)	MTM2 duration (seconds)	MOST duration (seconds)	
8.66	8.06	8.42	8.28	4.70%

449 To create the 3D representation of the workstation, a 34-second video (1020 frames) of the job site
 450 is recorded. A stereo vision camera is used with a stereo baseline of 120 millimeters, a depth range
 451 of 0.5 to 20 meters, 8.5 millimeters backside illumination sensors with high low-light sensitivity
 452 and resolution of 4M pixels per sensor, and the capability of recording videos with 15 to 100
 453 frames per second. Using the process described before, the point cloud model representing the as-
 454 is conditions is generated. Running the data to generate the point cloud model for the steel plate
 455 handling workstation takes approximately 10 minutes. A snapshot of the point cloud model of the
 456 steel plate handling workstation is shown in Figure 8.

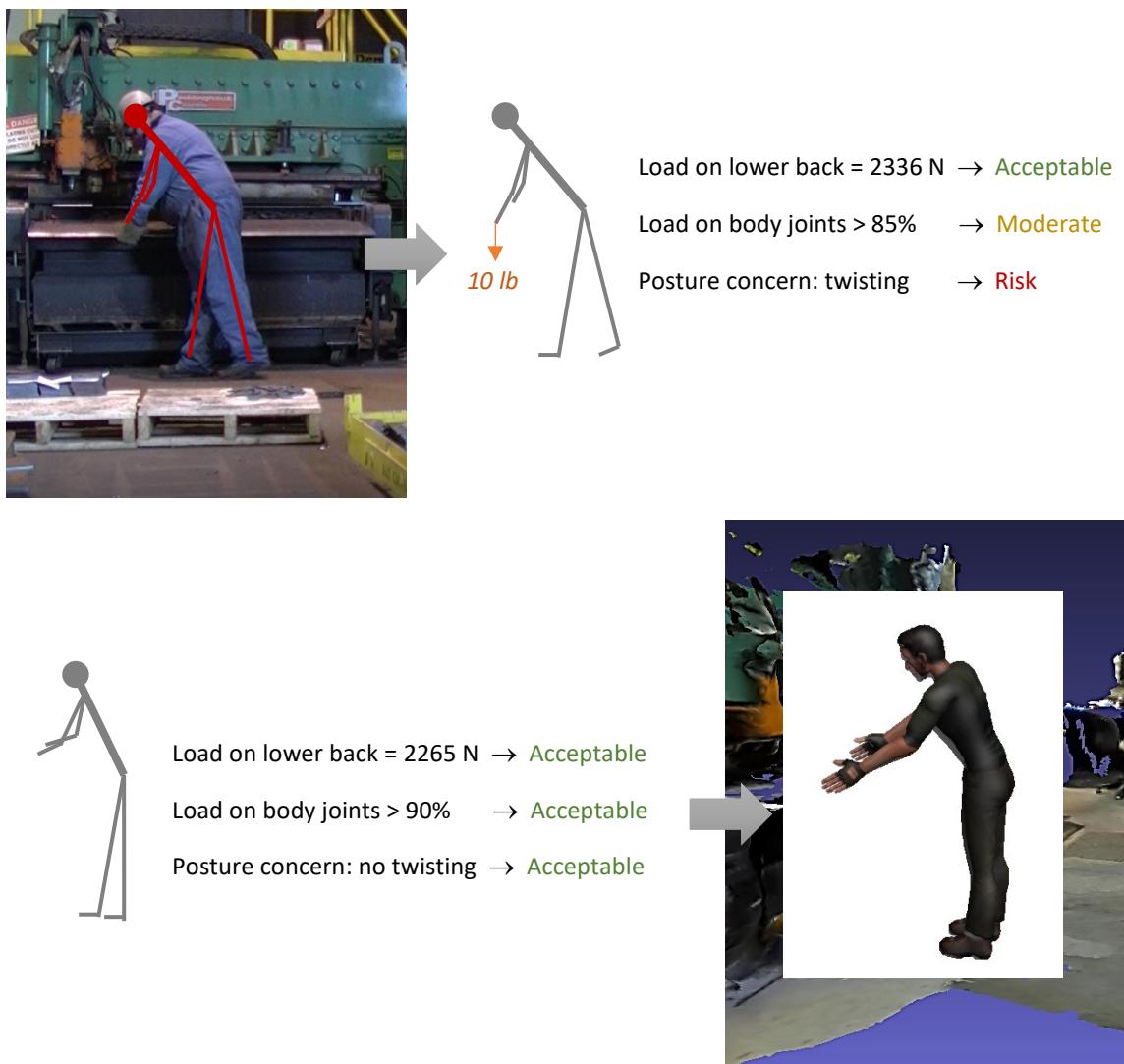


457

Figure 8. Point cloud model of the steel plate handling workstation

458 As an example of the ergonomic and biomechanical analysis for safety evaluation, the process of
 459 picking up the plates from the machine is demonstrated. As shown in Figure 9, this analysis begins
 460 by modeling the worker's posture at any given point during the operation and using biomechanical
 461 models (Chaffin et al. 2006) to calculate the forces on different body joints and compare them to
 462 allowable limits (Golabchi et al. 2015b). Any ergonomic concerns can be addressed during this
 463

464 modeling, and the worker's posture and workplace design can be changed, if required, to ensure
 465 the tasks are acceptably safe. This process can be carried out using any of several available
 466 biomechanical analysis tools and software, such as 3DSSPP, openSim, SIMM, or Visual 3D. The
 467 3DSSPP software (2018) is used in this study as it can examine variables such as back compression
 468 (i.e., load on lower back shown in Figure 9) and the strength-percent capability of different body
 469 joints (i.e., load on body joint percentages shown in Figure 9) that are useful for assessing the steel
 470 plate handling task. Furthermore, it can effectively visualize and export posture modifications and
 471 their effects on biomechanical loads.

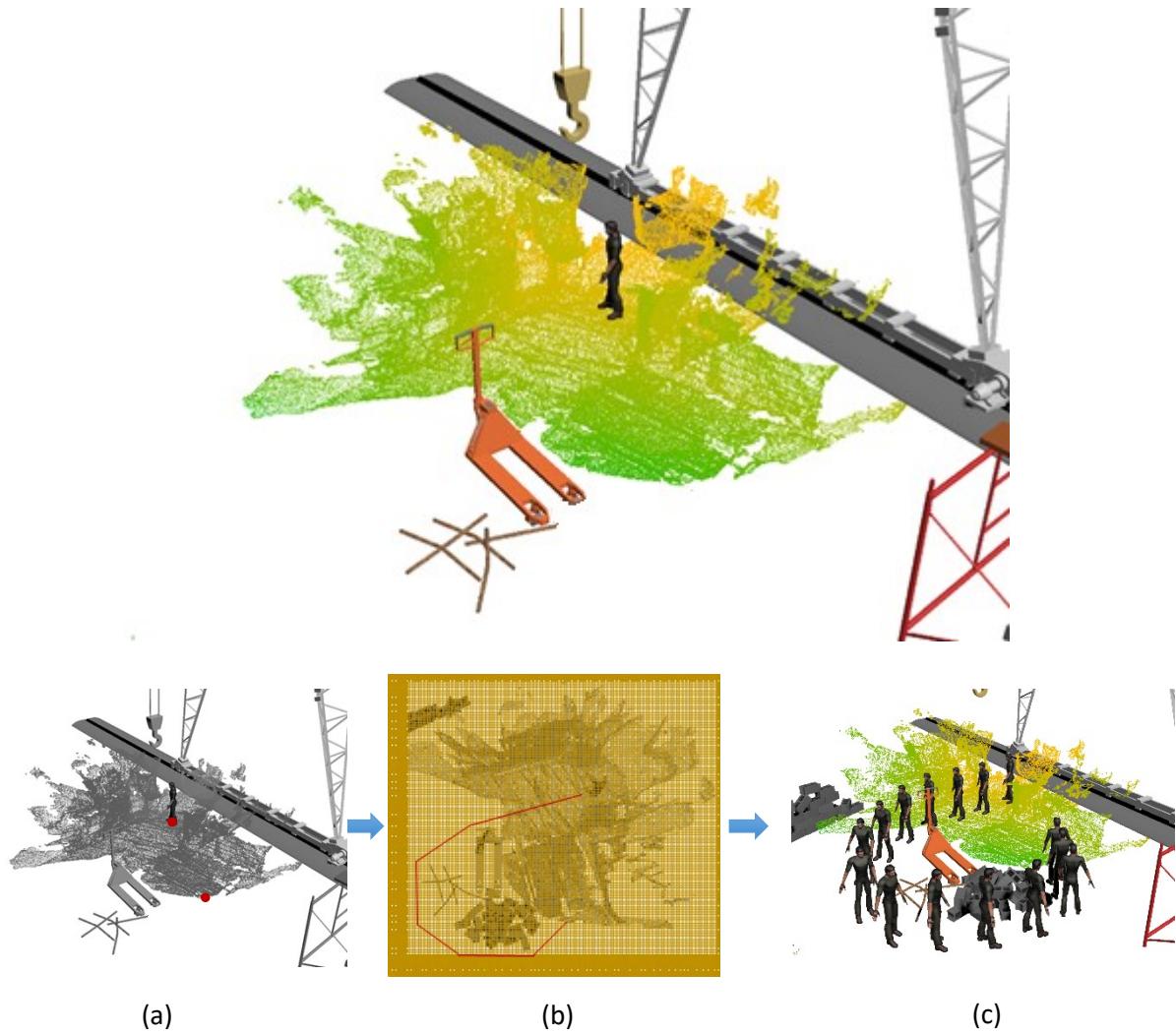


472

473 Figure 9. Biomechanical analysis of plate grasping task, (a) current conditions, (b) modified
 474 posture, added to the point cloud model after improvements

475 After creating the point cloud model of the steel plate handling workstation (Figure 9.b), the model
 476 is inserted into the platform for the final virtual representation. Autodesk 3ds Max is used as the
 477 final platform in this study. The point cloud can be used in conjunction with any 3D model (such

478 as BIM) to evaluate ongoing operations and alternative scenarios. The human model and the
479 motions attached to it from previous steps are also inserted into the visualization and manually
480 aligned at the correct locations, along with other 3D models. The path planning algorithm is then
481 used to find the best walking path for the worker model. Figure 10 shows a snapshot of part of the
482 virtual model with the point cloud, the human model, and other 3D models of equipment and
483 materials. The figure also shows the sequence for the path planning: by selecting the start and end
484 locations, the obstacles are detected as described previously, and the shortest path is chosen and
485 used to animate the human model. Examples of different scenarios for the steel plate handling
486 operation can include using a different cutting machine, adjusting the height of the worktable,
487 relocating the worktable or the storage bins closer to the cutting machine, and changing the number
488 of plates carried to the bins at a time. The final output of the visualization is a complete virtual
489 model representing the physical layout of the job site, building elements (e.g., walls, doors), 3D
490 models of equipment, material, tools, and human models animating the motions of workers. This
491 virtual model can be used in practice to further evaluate the design (e.g., assessing clearance and
492 reach), improve the communication and implementation of new designs, train personnel, and more
493 effectively manage decision-making.



494

495 Figure 10. Top: virtual model of job site, bottom: path planning (a) start and end locations
 496 selected, (b) A* algorithm detects shortest path, (c) worker motions are animated along the
 497 selected path

498 **5 DISCUSSION**

499 The implementation of the framework enables an examination of the effectiveness of the different
 500 components and their strengths and weaknesses, and serves as a basis for further improvements to
 501 the framework. Based on the results, the following implications can be drawn.

502 (1) The results of implementing the action recognition process indicate that the process can
 503 potentially save time and effort in evaluating ongoing manual operations and improve the accuracy
 504 of the evaluation. Furthermore, the approach possibly eliminates the need for an expert in creating
 505 and analyzing simulation models of manual tasks because the only input is a video recording of
 506 the manual activity. The error values for the steel plate handling operation are 7.14% and 8.48%

507 for finding the correct sequence and calculating the correct durations, respectively. The accuracy
508 of the action recognition component could potentially be improved by extracting refined motion
509 features (e.g., human silhouette with a more accurate contour) and training a more robust action
510 classifier (e.g., fed data with a wider distribution over motions). The action recognition process is
511 probably most practical when modeling cyclic operations, first because a short video of the process
512 can be used to identify the correct sequence of activities and average durations (minimizing
513 processing time). Second, as noncyclic operations do not contain a fixed sequence, outliers cannot
514 be identified, which reduces the reliability of the system. This is particularly important when
515 modeling motions in on-site construction, as opposed to off-site and modular construction and
516 fabrication, since motions, tasks, and job site conditions change more frequently.

517 In the proposed framework, the action recognition component serves as the basis for the simulation
518 model used for productivity analysis and motion generation. However, the information derived
519 from action recognition could also be used to integrate many other applications into the framework,
520 such as safety evaluations and worker training. For example, time-related information obtained
521 from action recognition, such as working vs idle durations and frequency of motions, can be used
522 to evaluate level of safety of the operation (Nath and Behzadan 2017). Also, the methods of
523 carrying out an operation by workers can be compared to that of a skilled worker (or any preset
524 benchmark) for worker training.

525 Kinematic data can be collected using different types of sensors, such as wearable IMU-based
526 motion capture systems (Yan et al. 2017). Different sensing methods encompass various
527 advantages and disadvantages. A vision-based approach using video cameras is examined in this
528 study due to advantages such as convenient access to ordinary cameras in job sites and the
529 simplicity of implementation of the approach. However, it should be noted that this approach has
530 limitations such as requiring the worker to stay in the camera's field of view, prevention of
531 occlusions from machinery or other workers, existence of sufficient lighting without high
532 reflections, selection of appropriate location for the camera, etc.

533 (2) The case study shows that the simulation model of the existing operation, created from video
534 recordings using action recognition and used alongside a PMTS-based modeling platform, enables
535 simple, accurate, and quick evaluation of ongoing activities. The action recognition-based
536 simulation model represents the current operations, and the PMTS-based model represents the
537 standard time for the operation. As shown in Table 2, the actual average duration for a cycle of the
538 steel plate handling task is 8.66 seconds, and the simulation duration using MODAPTS, MTM2,
539 and MOST is 8.06, 8.42, and 8.28 seconds respectively. The difference between the two durations
540 can be used to represent the efficiency of the ongoing operation. Furthermore, the PMTS-based
541 simulation enables convenient and accurate modeling of alternative scenarios for the operation to
542 find the optimal process. Experiments with PMTSs in representing manual tasks, the simplicity of
543 adopting them, and the amount of error associated with them (Golabchi et al. 2016b) indicate the
544 importance of such systems in modeling construction operations. However, as these tools are

545 mainly originated in manufacturing industries, more studies focused on customizing them for non-
546 cyclic on-site construction tasks are required.

547 (3) The generation of point cloud models from a video recording of a job site is a quick and simple
548 method for obtaining a reliable 3D representation of current conditions. Since construction sites
549 are dynamic and the status of the work environment changes frequently, this method ensures that
550 the 3D virtual model accurately represents the as-is state of the job site. Obtaining the 3D as-is
551 representation is critical in case of evaluating and redesigning ongoing operations or designing
552 new operations in existing workplaces, as it provides a manageable but detailed view of the current
553 status of the workplace and its different components and enables modification of the different
554 design elements to evaluate its impact on performance and safety. In case of non-existing job sites,
555 the effectiveness of the virtual visualization depends on existence of reliable and inclusive 3D
556 representations (e.g., as-designed BIM). It should be noted that the stereo vision approach adapted
557 in this study is limited to only a certain size of workstation since the distance between the two
558 lenses is fixed and relatively short. With a longer distance between the lenses, the perception level
559 increases, and thus the depth perception ability will be higher. One potential solution to the
560 boundedness limitation would be building a stereo vision camera with adjustable lenses.

561 Considering the conversion and import/export capabilities of existing software, the point cloud
562 model connects smoothly to the final visualization model. However, manual manipulation is still
563 required, along with scaling in some cases, to align the model in its correct position. The accuracy
564 and labor-intensity of this process could be improved in further studies by using universal
565 coordinate and unit systems and creating a method to automatically register different models in
566 the final platform. Using predefined targets can also facilitate the registration and scaling of the
567 point cloud data. Furthermore, due to the dynamic nature of construction job sites, the process of
568 updating the as-is representation is of great importance and requires development of approaches
569 that enable smooth, efficient, and reliable update of the models. Overall, the integration of point
570 cloud data, human model and motions, and 3D models of equipment, tools, material, etc., results
571 in a data-rich virtual model that can be effectively used for various potential visualization
572 applications in construction job sites.

573 (4) The path planning component, in conjunction with motion generation, enables an automated
574 animation of worker motions, which are an important element in the visualization of manual
575 operations. The path planning algorithm eliminated the time and effort required to manually set
576 the animation of the human models and represented the motions in an acceptable and realistic
577 scenario of worker activities in prospective work environments. This can be particularly useful
578 when considering the existence of more than one worker in a single workstation, for which
579 collision avoidance algorithms should also be incorporated. It should be noted that this process
580 uses the shortest path between two points, and although it is generally safe to assume that workers
581 will usually take the shortest path, this approach can be most useful for modeling prospective
582 operations. If an exact representation of worker paths is required for an existing operation, it must

583 be observed and recorded at the actual job site. Although this information might not be required
584 for most applications, it is possible to automate this process using location-aware sensors and
585 devices. This study used the A* path planning algorithm due to its popularity and accuracy.
586 However, implementing other algorithms and evaluating their effectiveness could be carried out
587 in future studies.

588 Overall, the results indicate that integrating visual sensing methods, along with analysis of
589 operations and workplace visualization, can facilitate the data linking required for an inclusive
590 ergonomic analysis, streamlining the evaluation and design of safe and productive workplaces.
591 The first benefit is the automation and simplicity of the analysis process, which can result in higher
592 adoption of ergonomic methods in practice. Second, as the same data are used by several
593 components and the initial inputs are gathered using sensing approaches, the results provide high
594 reliability and minimal subjectivity. Integrating sensing with action recognition and simulation
595 modeling requires less time and effort for evaluation of labor operations compared to traditional
596 ergonomic analysis methods. Furthermore, incorporating productivity analysis through PMTSs
597 into ergonomic analysis enables evaluating and improving both performance and safety
598 simultaneously.

599 **6 CONCLUSION**

600 This study explores the adaptation and integration of methods to improve different stages of
601 ergonomic analyses, including data collection, analysis, and representation of results.
602 Improvements were achieved by proposing an overall framework to provide an automated, simple,
603 and reliable analysis of manual operations. Specifically, the following framework components
604 were investigated: (1) sensing to collect information about job site conditions, worker tasks and
605 activities, and human motions; (2) action recognition from video recordings for simulation model
606 creation; (3) predetermined motion time systems for efficiency evaluation; (4) biomechanical
607 analysis for safety analysis; (4) motion generation and worker path planning for realistic animation
608 of worker actions; (5) comprehensive virtual visualization for effective representation and
609 implementation of the analysis and results. Overall, the results of implementing the framework
610 indicate that integrating available methods of data collection, analysis, and visualization for labor
611 operations can facilitate an inclusive ergonomic analysis. Such integration addresses challenges in
612 traditional approaches to ergonomic evaluation, including labor-intensity, unreliable results, and
613 time-intensity. Considering the physically demanding nature of manual tasks in the construction
614 industry, this integration could result in a higher adoption of ergonomic methods in practice, as
615 well as better reliability and reduced subjectivity in analysis results, which can lead to safer and
616 more productive construction job sites.

617 The main limitations of the integration and potential directions for future research include: (1)
618 recording worker motions using vision-based approaches requires proper lighting, inclusion of
619 worker's body in camera's line of sight, avoiding occlusions, and setup of camera at proper
620 locations; (2) vision-based action recognition works reliably for cyclic tasks but more testing and

621 development is required for non-cyclic construction tasks; (3) currently available PMTSs need to
622 be effectively customized for construction labor tasks for a more reliable evaluation; (4) use of
623 point cloud as-is model, human model, and other 3D objects in the virtual visualization requires
624 some manual registration and scaling; (5) considering the diversity of tasks in construction, robust
625 methods for automated visualization of different type of worker motions in a virtual model can be
626 highly effective; (6) with the use of the virtual representation of the workplace and worker motions,
627 some level of expertise is still required for redesigning the workplace in case of unsafe tasks as
628 well as evaluating risk factors such as clearance, vision, reach, and fit; automation of the redesign
629 process can further improve the adaptation and reliability of the analysis.

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