

# A PROJECTIVE VARIETY WITH DISCRETE, NON-FINITELY GENERATED AUTOMORPHISM GROUP

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**ABSTRACT.** We construct a projective variety with discrete, non-finitely generated automorphism group. As an application, we show that there exists a complex projective variety with infinitely many non-isomorphic real forms.

## 1. INTRODUCTION

Suppose that  $X$  is a projective variety over a field  $\mathbf{K}$ , with  $\overline{\mathbf{K}}$  an algebraic closure. The set of automorphisms of  $X$  can be given the structure of a  $\mathbf{K}$ -scheme by realizing it as an open subset of  $\mathrm{Hom}(X, X)$ . In general,  $\mathrm{Aut}(X)$  is locally of finite type, but it may have countably many components. Write  $\mathrm{Aut}^0(X)$  for the connected component of the identity, and  $\pi_0(\mathrm{Aut}(X)) = (\mathrm{Aut}(X)/\mathrm{Aut}^0(X))_{\overline{\mathbf{K}}}$  for the group of geometric components. When  $\mathbf{K}$  is the field of complex numbers,  $\pi_0(\mathrm{Aut}(X))$  is simply the group of components of  $\mathrm{Aut}(X)$ , sometimes denoted  $\mathrm{Aut}(X)^\sharp$ . We will say that the group of automorphisms of  $X$  is *discrete* if  $H^0(X, TX) = 0$ , which implies that  $\mathrm{Aut}^0(X)$  is trivial.

### Examples.

- (1) Let  $X = \mathbb{P}^r$ . Then  $\mathrm{Aut}(X) \cong \mathrm{Aut}^0(X) \cong \mathrm{PGL}_{r+1}(\mathbf{K})$ , and  $\pi_0(\mathrm{Aut}(X))$  is trivial.
- (2) Let  $E$  be an elliptic curve over  $\mathbf{K}$ . Then  $\pi_0(\mathrm{Aut}(E \times E))$  contains  $\mathrm{GL}_2(\mathbf{Z})$  and hence is an infinite group.
- (3) Let  $X$  be a very general hypersurface of type  $(2, 2, 2)$  in  $\mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$ , with  $\mathbf{K} = \mathbf{C}$ . Then  $X$  is a K3 surface, and the covering involutions associated to the three projections  $X \rightarrow \mathbb{P}^1 \times \mathbb{P}^1$  generate  $\pi_0(\mathrm{Aut}(X)) \cong \mathbf{Z}/2\mathbf{Z} * \mathbf{Z}/2\mathbf{Z} * \mathbf{Z}/2\mathbf{Z}$  [6].

According to a result of Brion [5], any connected algebraic group over a field of characteristic 0 can be realized as  $\mathrm{Aut}^0(X)$  for some smooth, projective variety. In contrast, very little seems to be known in general about the component group  $\pi_0(\mathrm{Aut}(X))$ .

Our first result is the following.

**Theorem 1.** *Suppose that  $\mathbf{K}$  is a field of characteristic 0, or that  $\mathbf{K}$  is a field of characteristic  $p > 0$ , not algebraic over  $\mathbf{F}_p$ . Then there exists a smooth, geometrically simply connected, 6-dimensional, projective variety  $X$  over  $\mathbf{K}$  for which  $\pi_0(\mathrm{Aut}(X))$  is not finitely generated.*

The question of finite generation of  $\pi_0(\mathrm{Aut}(X))$  has been raised several times in various arithmetic [16, 1] and geometric [5, 8, 22] contexts.

The automorphism group owes its arithmetic interest in part to its close relation with the forms of a variety over extension fields. If  $X$  is a variety over a field  $\mathbf{K}$ , and if  $\mathbf{L}$  is a Galois extension of  $\mathbf{K}$ , then an  $\mathbf{L}/\mathbf{K}$ -form of  $X$  is a variety  $X'$  over  $\mathbf{K}$  for which  $X_{\mathbf{L}} \cong X'_{\mathbf{L}}$ . The set of  $\mathbf{L}/\mathbf{K}$ -forms of  $X$  is in bijection with the Galois cohomology set  $H^1(\mathrm{Gal}(\mathbf{L}/\mathbf{K}), \mathrm{Aut}(X_{\mathbf{L}}))$ , and we will construct a variety with infinitely many  $\mathbf{L}/\mathbf{K}$ -forms by exhibiting a variety for which  $\mathrm{Aut}(X_{\mathbf{L}})$  is pathological.

**Theorem 2.** *Suppose that  $\mathbf{K}$  is a field of characteristic 0, or that  $\mathbf{K}$  is a field of characteristic  $p > 0$ , not algebraic over  $\mathbf{F}_p$ . Let  $\mathbf{L}/\mathbf{K}$  be a separable quadratic extension. Then there exists a 6-dimensional, projective  $\mathbf{K}$ -variety  $X'$  with infinitely many  $\mathbf{L}/\mathbf{K}$ -forms.*

In the case  $\mathbf{K} = \mathbf{R}$  and  $\mathbf{L} = \mathbf{C}$ , we obtain an example of a variety with infinitely many non-isomorphic real structures.

The component group  $\pi_0(\text{Aut}(X))$  is an algebraic analog of the mapping class group  $\pi_0(\text{Diff}(M))$  of a smooth manifold  $M$ . In general, the mapping class group is not finitely generated, with an example provided by tori in dimension at least five [15]. However, according to a theorem of Sullivan, if  $\dim M \geq 5$  and  $M$  is simply connected, then  $\pi_0(\text{Diff}(M))$  is finitely generated [21]. This contrasts with our example, which is simply connected and has real dimension 12 if  $K = \mathbf{C}$ .

The group  $\pi_0(\text{Aut}(X))$  is always finitely generated in a number of simple situations, although even then the group can be quite complicated. If  $X$  is a K3 surface, the group of automorphisms is always finitely generated [20], but there are examples in which the group of automorphisms is not even commensurable with an arithmetic group [22, Corollary 6.2]. Some other interesting automorphism groups of K3 surfaces have been studied by Baragar [2].

If  $X$  is not projective, the group of automorphisms may not even have the structure of a locally finite type scheme. Blanc and Dubouloz have exhibited affine surfaces over any uncountable field for which the normal subgroup  $\text{Aut}(S)_{\text{alg}}$  of  $\text{Aut}(S)$  generated by all algebraic subgroups can not be generated by any countable family of these subgroups, and for which  $\text{Aut}(S)/\text{Aut}(S)_{\text{alg}}$  contains a free group on uncountably many generators [3].

Before giving our example, we sketch the technique. If  $X$  is a variety and  $Z$  is a closed subscheme of  $X$  with  $\text{codim } Z > 1$ , then the automorphisms of  $X$  that lift to automorphisms of the blow-up  $\text{Bl}_Z(X)$  are precisely those that map  $Z$  to itself (not necessarily fixing  $Z$  pointwise). Our approach, roughly speaking, is to find a variety  $X$  with a subscheme  $Z$  so that the stabilizer  $\text{Stab}(Z) \subset \text{Aut}(X)$  is not finitely generated, and then to pass to the blow-up  $\text{Bl}_Z(X)$  to obtain a variety realizing  $\text{Stab}(Z)$  as an automorphism group. There are three main difficulties. The first is to find  $X$  and  $Z$  for which the stabilizer of  $Z$  in  $\text{Aut}(X)$  is not finitely generated. The second is to prove it: in general it is very difficult to be sure that one knows the full group  $\text{Aut}(X)$  (cf. [18]). The third is to ensure that  $\text{Bl}_Z(X)$  does not have any automorphisms other than those lifted from  $X$ .

## 2. THE CONSTRUCTION

In what follows, let  $\mathbf{K}$  be an infinite field, not necessarily algebraically closed, and let  $\overline{\mathbf{K}}$  be an algebraic closure. Where not otherwise qualified, a “variety” is a variety over  $\mathbf{K}$ , and a “point” is a  $\mathbf{K}$ -point. Let  $N^1(X)_{\mathbf{R}} = N^1(X) \otimes \mathbf{R}$  denote the finite-dimensional vector space of numerical classes of divisors on  $X$ . Given a subvariety  $V \subset X$ , write

$$\text{Aut}(X; V) = \{\phi \in \text{Aut}(X) : \phi(V) = V\}.$$

### Step 1: Automorphisms of surfaces with prescribed action on a curve

If  $z_1, z_2, z_3$ , and  $z_4$  are four distinct points in  $\mathbb{P}^1$ , there is a unique involution  $\iota : \mathbb{P}^1 \rightarrow \mathbb{P}^1$  with  $\iota(z_1) = z_2$  and  $\iota(z_3) = z_4$ , which is defined over  $\mathbf{K}$ . Figure 1 shows how this map can be constructed geometrically when  $\mathbb{P}^1$  is embedded as a conic in  $\mathbb{P}^2$ . This involution is defined even if  $z_1 = z_2$  or  $z_3 = z_4$ ; in that case, the construction is the same except that we draw the tangent to the conic at  $z_1$  or  $z_3$ . However, if  $\text{char } \mathbf{K} = 2$  and both  $z_1 = z_2$  and  $z_3 = z_4$ , the

projection from  $q$  induces an inseparable degree 2 morphism  $\mathbb{P}^1 \rightarrow \mathbb{P}^1$ ; we will take care to avoid this situation.

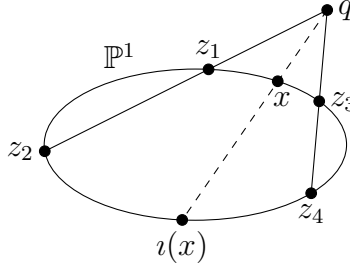


FIGURE 1. Geometric construction of  $\iota$

Given an ordered 5-tuple  $P = (p_1, p_2, p_3, p_4, p_5)$  of distinct points in  $\mathbb{P}^1$ , let  $\Gamma_P \subset \mathrm{PGL}_2(\mathbf{K})$  be the subgroup generated by the involutions  $\iota_{ij,kl} : \mathbb{P}^1 \rightarrow \mathbb{P}^1$  satisfying  $\iota_{ij,kl}(p_i) = p_j$  and  $\iota_{ij,kl}(p_k) = p_l$ , where  $i, j, k$  and  $l$  are distinct indices. For a given configuration  $P$ , there are 15 such involutions, and the group  $\Gamma_P$  depends only on the unordered set of points  $p_i$ .

Our starting point is a classical construction, a de Jonquières involution of degree 3 [12, §7.2.3]. Suppose that  $C \subset \mathbb{P}^2$  is a smooth plane cubic, and that  $p$  is a point on  $C$ . Given a point  $z$  in  $\mathbb{P}^2$ , let  $\ell_{pz}$  be the line connecting  $p$  and  $z$ . Over  $\overline{\mathbf{K}}$ , this line meets  $C$  at  $p$  and two additional points  $x$  and  $y$ . If  $x$  and  $y$  are distinct, there is a unique involution of  $\ell_{\overline{\mathbf{K}}}$ , defined over  $\mathbf{K}$ , which fixes the points  $x$  and  $y$ . Then  $\iota(z)$  is defined to be the image of  $z$  under this involution. In what follows, we will use a different description of one such map, in which the cubic does not explicitly appear.

**Theorem 3.** *Suppose that  $P$  is a configuration of five distinct points in  $\mathbb{P}^1$ . There exists a smooth rational surface  $S$  containing a smooth rational curve  $C \cong \mathbb{P}^1$  such that*

- (1) *the group  $\mathrm{Aut}(S)$  is discrete;*
- (2) *the subgroup  $\mathrm{Aut}(S; C)$  has finite index in  $\mathrm{Aut}(S)$ ;*
- (3) *the image of  $\rho : \mathrm{Aut}(S; C) \rightarrow \mathrm{Aut}(C)$  contains  $\Gamma_P$ ;*
- (4) *if  $\phi : S \rightarrow S$  is an automorphism fixing a point on  $C$ , then  $\phi(C) = C$ .*

*Proof.* Let  $L_0, \dots, L_5$  be six lines in  $\mathbb{P}^2$  intersecting at 15 distinct points  $p_{ij} = L_i \cap L_j$ , and suppose that for any partition of the lines into three sets of two, the three pairwise intersections are not collinear. Let  $S$  be the blow-up of  $\mathbb{P}^2$  at these 15 points, with exceptional divisor  $E_{ij}$  over  $p_{ij}$ . Write  $R$  for a partition of the six lines into three sets of two, with one of the three pairs distinguished. Given such a labelling, denote by  $L_{R,1}$  and  $L_{R,2}$  the members of the distinguished pair, by  $L'_{R,1}$  and  $L'_{R,2}$  the members of a second pair, and by  $L''_{R,1}$  and  $L''_{R,2}$  those of the third. Let  $o_R$  be the point of intersection of  $L_{R,1}$  and  $L_{R,2}$ .

If  $\ell$  is a general line passing through the point  $o_R$ , it meets the lines  $L'_{R,1}$ ,  $L'_{R,2}$ ,  $L''_{R,1}$ , and  $L''_{R,2}$  at four distinct points. There is a unique involution on  $\ell$  which exchanges the first and second points, and exchanges the third and fourth points. We define  $\iota_R : \mathbb{P}^2 \dashrightarrow \mathbb{P}^2$  to be the birational involution defined in this way on any line  $\ell$  through  $o_R$ . Under the assumption that three pairwise intersections of the  $L_i$  are never collinear, we will see that this map has five points of indeterminacy,  $o_R$  itself and the four points  $q_{ij} = L'_{R,i} \cap L''_{R,j}$ , where  $i$  and  $j$  are either 1 or 2. For the lines between  $o_R$  and  $q_{ij}$ , the construction in Figure 1 degenerates: two

of the points (for example  $z_1$  and  $z_3$ ) coincide, and the point  $q$  in turn coincides with both. In particular, it lies on the conic, and projection from  $q$  does not yield a degree 2 morphism.

Let  $\pi_R : S_R \rightarrow \mathbb{P}^2$  be the blow-up at these five points. We argue next that  $\iota_R$  lifts to a biregular involution of  $S_R$ ; the strategy is to carry out the construction illustrated in Figure 1 simultaneously for all lines in the pencil of lines through  $o_R$ . Projection from  $o_R$  realizes  $\text{Bl}_{o_R}\mathbb{P}^2$  as a  $\mathbb{P}^1$ -bundle over  $\mathbb{P}^1$ , isomorphic to  $\mathbb{P}(\mathcal{O} \oplus \mathcal{O}(1))$ . The blow-up  $\text{Bl}_{o_R}\mathbb{P}^2$  then embeds in the  $\mathbb{P}^2$ -bundle  $\mathbb{P}(\mathcal{E})$ , where  $\mathcal{E} = \text{Sym}^2(\mathcal{O} \oplus \mathcal{O}(1))$ , and the image of a line through  $o_R$  is a conic contained in the corresponding fiber of  $\mathbb{P}(\mathcal{E}) \rightarrow \mathbb{P}^1$ . The four lines  $L'_{R,i}$  and  $L''_{R,j}$  determine four sections of  $\mathbb{P}(\mathcal{E}) \rightarrow \mathbb{P}^1$ , all with image contained in  $\text{Bl}_{o_R}\mathbb{P}^2$ . In each fiber  $F \cong \mathbb{P}^2$ , form the lines through  $L'_{R,1} \cap F$  and  $L'_{R,2} \cap F$ , and through  $L''_{R,1} \cap F$  and  $L''_{R,2} \cap F$ . Taking the fiberwise intersections of these lines, we obtain a section  $Q : \mathbb{P}^1 \rightarrow \mathbb{P}(\mathcal{E})$ , corresponding to the point  $q$  of Figure 1.

The image of  $Q$  meets  $\text{Bl}_{o_R}\mathbb{P}^2$  precisely in the four fibers where some  $L'_{R,i}$  and  $L''_{R,j}$  intersect, at the points  $q_{ij}$ . Let  $\mathcal{Q} \subset \mathcal{E}$  be the subbundle corresponding to  $Q$ . Fiberwise projection from the image of  $Q$  determines a morphism  $c : \text{Bl}_{Q(\mathbb{P}^1)}\mathbb{P}(\mathcal{E}) \rightarrow \mathbb{P}(\mathcal{E}/\mathcal{Q})$ , where the image is a  $\mathbb{P}^1$ -bundle over  $\mathbb{P}^1$ . Let  $S_R$  be the strict transform of  $\text{Bl}_{o_R}\mathbb{P}^2$  on  $\text{Bl}_{Q(\mathbb{P}^1)}\mathbb{P}(\mathcal{E})$ , so that  $S_R$  is isomorphic to the blow-up of  $\mathbb{P}^2$  at the five points  $o_R$  and  $q_{ij}$ . Write  $E_{o_R}$  for the exceptional divisor of  $S_R$  above  $o_R$ , and  $E_{q_{ij}}$  for the exceptional divisors above the points  $q_{ij}$ .

The restriction  $c|_{S_R}$  is a finite morphism of degree 2; because the lines  $L'_{R,1}$  and  $L'_{R,2}$  are distinct, as are  $L''_{R,1}$  and  $L''_{R,2}$ , this morphism is separable (in fact, because of the hypothesis that  $L_{R,1} \cap L_{R,2}$ ,  $L'_{R,1} \cap L'_{R,2}$ , and  $L''_{R,1} \cap L''_{R,2}$  are not collinear, the restriction to any fiber is separable). The corresponding covering involution is precisely the map  $\iota_R$ , and so  $S_R$  provides a resolution of this involution as claimed.

The map  $\iota_R$  preserves each of the lines  $L_{R,i}$ , while exchanging the two lines  $L'_{R,i}$  and the two lines  $L''_{R,j}$ . It also preserves the pencil of lines through  $o_R$  and the canonical class  $K_{S_R}$ . Note too that the image of  $E_{q_{ij}}$  under  $\iota_R$  is the strict transform of the line from  $o_R$  to  $q_{ij}$ , with class  $H - E_{o_R} - E_{q_{ij}}$ . This completely characterizes the action of  $\iota_R^* : N^1(S_R)_{\mathbf{R}} \rightarrow N^1(S_R)_{\mathbf{R}}$ , which we record for later use. With respect to the basis given by  $H = \pi^*\mathcal{O}_{\mathbb{P}^2}(1)$ ,  $E_{o_R}$ , and the  $E_{q_{ij}}$ , the matrix for  $\iota_R^*$  is

$$\iota_R^* = \begin{pmatrix} 3 & 2 & 1 & 1 & 1 & 1 \\ -2 & -1 & -1 & -1 & -1 & -1 \\ -1 & -1 & -1 & 0 & 0 & 0 \\ -1 & -1 & 0 & -1 & 0 & 0 \\ -1 & -1 & 0 & 0 & -1 & 0 \\ -1 & -1 & 0 & 0 & 0 & -1 \end{pmatrix}.$$

Observe next that  $\iota_R$  lifts to an involution on  $S$ , the surface obtained by blowing up all 15 points of intersection of the six lines  $L_i$ . The five points  $L_{R,1} \cap L_{R,2}$  and  $L'_{R,i} \cap L''_{R,j}$  are already blown up on  $S_R$ . The eight points  $L_{R,i} \cap L'_{R,j}$  and  $L_{R,i} \cap L''_{R,j}$  are exchanged in four pairs of two, so  $\iota_R$  lifts to the blow-up at these eight additional points (for example,  $L_{R,1} \cap L'_{R,1}$  is exchanged with  $L_{R,1} \cap L'_{R,2}$ ). At last, the two points  $L'_{R,1} \cap L'_{R,2}$  and  $L''_{R,1} \cap L''_{R,2}$  are both fixed, and so  $\iota_R$  lifts to the blow-up  $S$ .

The rational surface  $S$  claimed by the theorem can now be constructed by choosing the lines in special position. Fix a line  $C = L_0 \subset \mathbb{P}^2$ , and choose five other lines  $L_1, \dots, L_5$  so that  $L_i \cap C = p_i$ , where the  $p_i$  are the points of the configuration  $P$ . Since the field  $\mathbf{K}$  is infinite, for general choices of the  $L_i$ , the fifteen points of intersection are distinct and three

pairs of lines never have collinear intersections. The involution  $\iota_{ij,kl} : C \rightarrow C$  is realized as the restriction of  $\iota_R : S \rightarrow S$  for some labelling  $R$ : let  $m$  be the unique index which does not appear among  $i, j, k$ , and  $l$ , and take  $L_{R,1} = C$ ,  $L_{R,2} = L_m$ ,  $L'_{R,1} = L_i$ ,  $L'_{R,2} = L_j$ ,  $L''_{R,1} = L_k$ ,  $L''_{R,2} = L_l$ . The involution  $\iota_{ij,kl}$  on  $C$  is then the restriction of the automorphism  $\iota_R : S \rightarrow S$  fixing  $C$ , proving claim (3).

To check (1), note that a section in  $H^0(S, TS)$  descends to a section of  $H^0(\mathbb{P}^2, T\mathbb{P}^2)$  vanishing at the fifteen blown-up points, and  $\text{Aut}^0(S)$  can thus be identified with an algebraic subgroup of  $\text{Aut}(\mathbb{P}^2)$ , fixing the points. Since four of the points are linearly general, such a group is trivial. It follows that  $H^0(S, TS) = 0$  and  $\text{Aut}^0(S)$  is trivial.

We next check claim (2), that the subgroup  $\text{Aut}(S; C)$  has finite index in  $\text{Aut}(S)$ . This is a consequence of the fact that  $S$  is a Coble rational surface [13], [7]: the linear system  $|-2K_S|$  has a unique element, the union of the strict transforms of the six lines  $L_i$ . Indeed, each line satisfies  $-2K_S \cdot L_i = -4$ , and so must be contained in the base locus of  $|-2K_S|$ . An automorphism preserves the anticanonical class, so the six lines are permuted by any element of  $\text{Aut}(S)$ , giving rise to a map  $\text{Aut}(S) \rightarrow \mathbf{S}_6$ . The subgroup  $\text{Aut}(S; C)$  is the preimage of the subgroup of permutations fixing  $C$ , and so of finite index.

Claim (4) follows similarly: any automorphism of  $S$  permutes the components of  $|-2K_S|$ . Since these six curves are disjoint on  $S$ , if a point on  $C$  is fixed by an automorphism  $\phi$ , it must be that  $\phi(C) = C$  as well.  $\square$

**Remark 1.** Consider the four lines through the point  $p_{05}$  given by  $L_0, L_5, L(p_{05}, p_{14})$ , and  $L(p_{05}, p_{23})$ , which define four points in  $\mathbb{P}T_{p_{05}}(\mathbb{P}^2) \cong \mathbb{P}^1$ . It will later be convenient to assume that these points are distinct and there is no automorphism of this  $\mathbb{P}^1$  which fixes the first two points while exchanging the third and fourth; this will be the case for general choices of the five lines even after the intersections with  $L_0$  are prescribed.

**Remark 2.** For an alternative construction of the involutions  $\iota_R$ , one can partition the six lines  $L_i$  into two sets of three, and consider the pencil of cubics spanned by the two triangles. This determines an elliptic fibration  $S'_R \rightarrow \mathbb{P}^1$ , where  $S'_R$  is obtained by blowing up the nine points of the base locus of the pencil. Choosing a distinguished line from each set of three gives a section of the fibration (provided by the exceptional divisor above the point of intersection), and the fiberwise map  $z \mapsto -z$  with respect to this section determines a biregular involution of  $S_{R'}$ , which can be checked to lift to  $S$ .

## Step 2: Specializing the configuration $P$

We now exhibit configurations  $P = (p_1, p_2, p_3, p_4, p_5)$  of points in  $\mathbb{P}^1$  for which the group  $\Gamma_P$  contains two particular transformations with a common fixed point. Fix projective coordinates on  $C$ , let the affine coordinate  $z$  represent the point  $[z, 1]$ , and write  $\infty$  for the point  $[1, 0]$ .

**Definition 1.** A configuration  $P$  of five distinct points in  $\mathbb{P}^1$  is *suitable* if the group  $\Gamma_P$  contains two elements

$$\tau = \begin{pmatrix} 1 & a \\ 0 & 1 \end{pmatrix}, \quad \gamma = \begin{pmatrix} b & 0 \\ 0 & 1 \end{pmatrix},$$

where  $a$  is nonzero and either

- (1)  $\text{char } \mathbf{K} = 0$  and  $b^{-1}$  is not an algebraic integer;
- (2)  $\text{char } \mathbf{K} = p > 0$  and  $b$  is not algebraic over  $\mathbf{F}_p$ .

This assumption means that the abelian group  $\mathbf{Z}[\frac{1}{b}]$  (in characteristic 0) or  $\mathbf{F}_p[\frac{1}{b}]$  (in characteristic  $p$ ) is not finitely generated.

**Lemma 4.**

(1) Suppose that  $\mathbf{K}$  has characteristic 0. Then the configuration

$$(p_1, p_2, p_3, p_4, p_5) = (0, 1, 2, 3, \infty)$$

is suitable, as  $\Gamma_P$  contains the two elements

$$\tau = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}, \quad \gamma = \begin{pmatrix} 2 & 0 \\ 0 & 1 \end{pmatrix}.$$

(2) Suppose that  $\mathbf{K}$  has characteristic  $p > 0$  and is not algebraic over  $\mathbf{F}_p$ . Let  $t$  be an element of  $\mathbf{K}$  transcendental over  $\mathbf{F}_p$ . Then the configuration

$$(p_1, p_2, p_3, p_4, p_5) = (0, 1, t, t+1, \infty)$$

is suitable, as  $\Gamma_P$  contains the two elements

$$\tau = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}, \quad \gamma = \begin{pmatrix} t & 0 \\ 0 & 1 \end{pmatrix}.$$

*Proof.* We claim that in both cases we have  $\tau = \iota_{24,35} \circ \iota_{12,34} \circ \iota_{13,45}$  and  $\gamma = \iota_{15,34} \circ \iota_{15,24}$ . Indeed,

$$\begin{aligned} (\iota_{24,35} \circ \iota_{12,34} \circ \iota_{13,45})(p_1) &= (\iota_{24,35} \circ \iota_{12,34})(p_3) = \iota_{24,35}(p_4) = p_2, \\ (\iota_{24,35} \circ \iota_{12,34} \circ \iota_{13,45})(p_3) &= (\iota_{24,35} \circ \iota_{12,34})(p_1) = \iota_{24,35}(p_2) = p_4, \\ (\iota_{24,35} \circ \iota_{12,34} \circ \iota_{13,45})(p_5) &= (\iota_{24,35} \circ \iota_{12,34})(p_4) = \iota_{24,35}(p_3) = p_5. \end{aligned}$$

In characteristic  $p$ , this yields  $(\iota_{24,35} \circ \iota_{12,34} \circ \iota_{13,45})(0) = 1$ ,  $(\iota_{24,35} \circ \iota_{12,34} \circ \iota_{13,45})(t) = t+1$ , and  $(\iota_{24,35} \circ \iota_{12,34} \circ \iota_{13,45})(\infty) = \infty$ , and so the composition must be the automorphism  $\tau$  given by  $z \mapsto z+1$ . The same argument holds in characteristic 0, substituting 2 for  $t$ .

Similarly,

$$\begin{aligned} (\iota_{15,34} \circ \iota_{15,24})(p_1) &= \iota_{15,34}(p_5) = p_1, \\ (\iota_{15,34} \circ \iota_{15,24})(p_2) &= \iota_{15,34}(p_4) = p_3, \\ (\iota_{15,34} \circ \iota_{15,24})(p_5) &= \iota_{15,34}(p_1) = p_5. \end{aligned}$$

In characteristic  $p$ , this map sends 0 to 0, 1 to  $t$ , and  $\infty$  to  $\infty$ , so it must be the automorphism  $\gamma$  given by  $z \mapsto tz$ . The same argument again holds in characteristic 0 after substituting 2 for  $t$ .  $\square$

In what follows, we fix a suitable configuration  $P$  and let  $S$  be a surface satisfying the conclusions of Theorem 3, so that the image of the restriction map  $\rho : \text{Aut}(S; C) \rightarrow \text{Aut}(C)$  contains the elements  $\tau$  and  $\gamma$ . Write  $p_\infty$  for the point on  $S$  corresponding to  $\infty$  in our coordinates on  $C$ , and let  $U \subset \text{PGL}_2(\mathbf{K})$  be the subgroup comprising matrices of the form

$$\begin{pmatrix} 1 & a \\ 0 & 1 \end{pmatrix},$$

which correspond to parabolic Möbius transformations  $z \mapsto z+a$  fixing  $\infty$ . The group  $U$  is abelian, isomorphic to  $\mathbb{G}_a$ . Let

$$G^+ = \rho^{-1}(U) = \{\phi \in \text{Aut}(S; C) : \rho(\phi) \in U\} \subset \text{Aut}(S; C)$$

be the subgroup of  $\text{Aut}(S; C)$  containing automorphisms whose restriction to  $C$  lies in  $U$ .

**Lemma 5.** *The subgroup  $G^+ \subset \text{Aut}(S; C)$  is not finitely generated.*

*Proof.* Since  $U$  is abelian and  $\rho(G^+)$  is contained in  $U$ , the group  $\rho(G^+)$  is abelian as well. For any positive  $n$ , the transformation

$$\gamma^{-n} \circ \tau \circ \gamma^n = \begin{pmatrix} 1 & ab^{-n} \\ 0 & 1 \end{pmatrix}$$

is contained in  $U$ . Since  $\tau$  and  $\gamma$  both lie in  $\text{Im}(\rho) \subset \text{Aut}(C)$  by the construction of Theorem 3, the elements  $\gamma^{-n} \circ \tau \circ \gamma^n$  all lie in  $\rho(G^+)$ , and so  $\rho(G^+)$  has a subgroup isomorphic to either  $\mathbf{Z}[\frac{1}{b}]$  (in characteristic 0) or  $\mathbf{F}_p[\frac{1}{b}]$  (in characteristic  $p$ ). In either case, this group is not finitely generated, by hypothesis on  $b$ . Since  $\rho(G^+)$  is abelian and has a non-finitely generated subgroup, it is not finitely generated either. A quotient of a finitely generated group is finitely generated, and we conclude that  $G^+$  itself is not finitely generated.  $\square$

The following geometric characterization of elements of  $G^+$  will prove useful. Let

$$\Delta_S : S \rightarrow S \times S$$

denote the diagonal map.

**Lemma 6.** *Suppose that  $\phi : S \rightarrow S$  is an automorphism fixing  $p_\infty$ . Then  $\phi$  lies in  $G^+$  if and only if  $\text{id}_S \times \phi : S \times S \rightarrow S \times S$  fixes the tangent direction  $T_{\Delta_S(p_\infty)}(\Delta_S(C))$ .*

*Proof.* By (4) of Theorem 3, it must be that  $\phi(C) = C$ . An automorphism fixing  $C$  and  $p_\infty$  lies in  $G^+$  if and only if  $p_\infty$  is a fixed point of  $\phi|_C$  with multiplicity 2, which is the case if and only if  $\text{id}_S \times \phi : S \times S \rightarrow S \times S$  fixes  $\Delta_S(p_\infty)$  and the tangent direction  $T_{\Delta_S(p_\infty)}(\Delta_S(C))$ , so that  $(\text{id}_S \times \phi)(\Delta_S(C))$  is tangent to the diagonal at  $\Delta_S(p_\infty)$ .  $\square$

**Remark 3.** Let  $\bar{\tau}$  and  $\bar{\gamma}$  be automorphisms of  $S$  which restrict to  $C$  as  $\tau$  and  $\gamma$ , as constructed in Theorem 3. Although the restrictions to  $C$  of the automorphisms  $\bar{\mu}_m = \bar{\gamma}^{-m} \circ \bar{\tau} \circ \bar{\gamma}^m$  and  $\bar{\mu}_n = \bar{\gamma}^{-n} \circ \bar{\tau} \circ \bar{\gamma}^n$  commute, these maps do not commute as automorphisms of  $S$ , and the map  $\rho : \text{Aut}(S; C) \rightarrow \text{Aut}(C)$  is not injective. For example, with  $\gamma$  and  $\tau$  as in Lemma 4, the commutator  $[\bar{\mu}_0, \bar{\mu}_1]$  is an automorphism of  $S$  which restricts to  $C$  as the identity, and a straightforward if somewhat tedious computation of the action of the involutions  $\iota_R$  on  $N^1(S)_{\mathbf{R}}$  shows if  $P$  is as in Lemma 4, the induced map  $[\bar{\mu}_0, \bar{\mu}_1] : \mathbb{P}^2 \dashrightarrow \mathbb{P}^2$  is a Cremona transformation of degree 195,133 with first dynamical degree  $\lambda_1 \approx 60,313$ . This means that the  $n^{\text{th}}$  iterate of the transformation  $[\bar{\mu}_0, \bar{\mu}_1]$  has degree roughly  $\lambda_1^n$ . It seems conceivable that  $G^+$  is a free group on the countably many generators  $\bar{\mu}_n$ , though this is difficult to prove.

**Remark 4.** The kernel  $G$  of  $\text{Aut}(S; C) \rightarrow \text{Aut}(C)$  is the subgroup of automorphisms which fix  $C$  pointwise, including the maps  $[\bar{\mu}_m, \bar{\mu}_n]$  of the previous remark. It seems likely that  $G$  is not finitely generated; if this is the case, then by choosing a very general point  $q$  on  $C$ , we might obtain a rational surface  $S' = \text{Bl}_q S$  such that  $\text{Aut}(S')$  is isomorphic to  $G$  and is not finitely generated. However, it is not clear how to prove either that  $G$  is not finitely generated, or that the blow-up does not admit automorphisms other than those lifted from  $S$ .

### Step 3: A variety with non-finitely generated $\text{Aut}(X)$

We now construct a higher-dimensional variety  $X$  realizing  $G^+$  as  $\text{Aut}(X)$ . Although  $G^+$  is not the stabilizer of any closed subscheme of  $S$ , it is the stabilizer of a closed subscheme of

$S \times S$  in the group of automorphisms of  $S \times S$  of the form  $\text{id}_S \times \phi$ : an automorphism  $\phi$  lies in  $G^+$  if and only if  $\text{id}_S \times \phi$  fixes both the point  $\Delta_S(p_\infty) = (p_\infty, p_\infty)$  and the tangent direction  $T_{\Delta_S(p_\infty)}(\Delta_S(C))$  (here  $\Delta_S$  is again the diagonal map). Our variety  $X$  will be realized as a blow-up of  $S \times S \times T$ , where  $T$  is a surface of general type; taking the product with  $T$  makes it simpler to control automorphisms of blow-ups.

We begin with a definition that will sometimes enable us to show that a blow-up  $\text{Bl}_V X$  has no automorphisms except those lifted from  $X$ .

**Definition 2.** A smooth, projective variety  $X$  is  $\mathbb{P}^r$ -averse if every separable  $\overline{\mathbf{K}}$ -morphism  $h : \mathbb{P}_{\overline{\mathbf{K}}}^r \rightarrow X_{\overline{\mathbf{K}}}$  is constant.

The term “separably  $\mathbb{P}^r$ -averse” might be more appropriate, but we omit the modifier in the interest of brevity. Note that if  $X$  is  $\mathbb{P}^r$ -averse, it is also  $\mathbb{P}^s$ -averse for any  $s > r$ . However, the property of  $\mathbb{P}^r$ -averseness is not a birational invariant. For example, an abelian surface  $S$  is  $\mathbb{P}^1$ -averse, but the blow-up of  $S$  at a point  $s$  is not  $\mathbb{P}^1$ -averse: there is a nonconstant morphism  $\mathbb{P}_{\overline{\mathbf{K}}}^1 \rightarrow S_{\overline{\mathbf{K}}}$  given by the inclusion of the exceptional divisor.

**Lemma 7.** *Let  $X$  be a smooth, projective variety and let  $\pi : Y \rightarrow X$  be the blow-up of  $X$  at a smooth, equidimensional (but possibly non-connected) subvariety  $V$ , with exceptional locus  $E$ . Suppose that  $\psi : Y \rightarrow Y$  is an automorphism of  $Y$  with  $\psi(E) = E$  such that  $\psi|_E$  permutes the fibers of  $\pi|_E$ . Then  $\psi$  descends to an automorphism  $\phi : X \rightarrow X$  with  $\phi(V) = V$ .*

*Proof.* The composition  $\pi \circ \psi$  contracts every fiber of  $\pi|_E$ . Since  $\pi_* \mathcal{O}_Y = \mathcal{O}_X$ , it follows from the rigidity lemma that  $\psi$  factors through  $\pi$ , inducing a map  $\phi : X \rightarrow X$  [9, Lemma 1.15(b)]. An inverse to  $\phi$  is obtained by applying the same argument to  $\pi \circ \psi^{-1}$ . Then  $\phi(V) = \phi(\pi(E)) = \pi(\psi(E)) = \pi(E) = V$ , and so the subvariety  $V$  is fixed.  $\square$

**Lemma 8.** *Suppose that  $X$  is a smooth,  $\mathbb{P}^{r-1}$ -averse variety of dimension  $n$ , and  $V \subset X$  is a smooth, equidimensional subvariety of codimension  $r$ , with  $r > 1$ . Write  $\pi : \text{Bl}_V X \rightarrow X$  for the blow-up of  $V$ , with exceptional locus  $E$ . Then the map  $\text{Aut}(X; V) \rightarrow \text{Aut}(\text{Bl}_V X)$  is an isomorphism.*

*Proof.* We first observe that any nonconstant morphism  $h : \mathbb{P}_{\overline{\mathbf{K}}}^{r-1} \rightarrow (\text{Bl}_V X)_{\overline{\mathbf{K}}}$  must have image contained in a geometric fiber of  $\pi|_{E_{\overline{\mathbf{K}}}}$ . Indeed,  $\pi \circ h : \mathbb{P}_{\overline{\mathbf{K}}}^{r-1} \rightarrow X_{\overline{\mathbf{K}}}$  must be constant since  $X$  is  $\mathbb{P}^{r-1}$ -averse.

Suppose that  $\phi : \text{Bl}_V X \rightarrow \text{Bl}_V X$  is an automorphism, and let  $h : \mathbb{P}_{\overline{\mathbf{K}}}^{r-1} \rightarrow (\text{Bl}_V X)_{\overline{\mathbf{K}}}$  be the inclusion of a geometric fiber of  $\pi|_{E_{\overline{\mathbf{K}}}}$ . Then  $\phi \circ h$  is a nonconstant morphism  $\mathbb{P}_{\overline{\mathbf{K}}}^{r-1} \rightarrow (\text{Bl}_V X)_{\overline{\mathbf{K}}}$ , and so must be the inclusion of some fiber of  $\pi|_{E_{\overline{\mathbf{K}}}}$ . Thus  $\phi$  permutes the fibers of  $\pi|_{E_{\overline{\mathbf{K}}}}$ , and so descends to an automorphism of  $X$  fixing  $\pi(E) = V$  by Lemma 7.  $\square$

**Lemma 9.**

- (1) *Suppose that  $X_1$  and  $X_2$  are  $\mathbb{P}^r$ -averse. Then  $X_1 \times X_2$  is  $\mathbb{P}^r$ -averse.*
- (2) *Suppose that  $X$  is  $\mathbb{P}^r$ -averse and  $V \subset X$  is a smooth, equidimensional subvariety of codimension  $s \leq r$ . Then  $\text{Bl}_V X$  is  $\mathbb{P}^r$ -averse.*
- (3) *Suppose that  $r \geq 2$  and  $X$  is an  $r$ -dimensional variety which admits a surjective morphism to a variety  $V$  with  $1 \leq \dim V < \dim X$ . Then  $X$  is  $\mathbb{P}^r$ -averse.*

*Proof.* For (1), suppose that  $h : \mathbb{P}_{\overline{\mathbf{K}}}^r \rightarrow X_{1,\overline{\mathbf{K}}} \times X_{2,\overline{\mathbf{K}}}$  is a separable morphism. Then the projections  $p_1 \circ h : \mathbb{P}_{\overline{\mathbf{K}}}^r \rightarrow X_{1,\overline{\mathbf{K}}}$  and  $p_2 \circ h : \mathbb{P}_{\overline{\mathbf{K}}}^r \rightarrow X_{2,\overline{\mathbf{K}}}$  must both be constant, so that  $h$  is constant. For (2), let  $\pi : \text{Bl}_V X \rightarrow X$  be the blow-up, and suppose that  $h : \mathbb{P}_{\overline{\mathbf{K}}}^r \rightarrow X_{\overline{\mathbf{K}}}$  is a



separable morphism. The map  $\pi \circ h$  must be constant, and so if  $h$  is nonconstant, its image is contained in a fiber of  $\pi|_{E_{\overline{\mathbf{K}}}}$ . These fibers are isomorphic to  $\mathbb{P}_{\overline{\mathbf{K}}}^{s-1}$ , and since  $s-1 < r$ , the map  $h$  must be constant. For (3), suppose that  $h : \mathbb{P}_{\overline{\mathbf{K}}}^r \rightarrow X_{\overline{\mathbf{K}}}$  is separable and nonconstant. The composite  $j : \mathbb{P}_{\overline{\mathbf{K}}}^r \rightarrow X_{\overline{\mathbf{K}}} \rightarrow V_{\overline{\mathbf{K}}}$  must be constant, and so the image of  $h$  is contained in a fiber of  $j$ . But these fibers have dimension less than  $r$ , and so can not admit nonconstant maps from  $\mathbb{P}_{\overline{\mathbf{K}}}^r$ .  $\square$

We require one more simple lemma before proceeding to the construction.

**Lemma 10.** *Suppose that  $X$  is a smooth projective variety with  $\text{Aut}(X)$  discrete. There exists a smooth, geometrically connected divisor  $W \subset X$  for which  $\text{Aut}(X; W)$  is trivial.*

*Proof.* Choose a very ample divisor  $W_0$  on  $X$ , and let  $\mathcal{W}$  denote the complete linear system  $\mathbb{P}H^0(X, W_0)$ . Let  $\text{Aut}(X, \mathcal{W}) \subset \text{Aut}(X)$  denote the set of automorphisms preserving  $\mathcal{W}$ . There is a homomorphism  $\text{Aut}(X, \mathcal{W}) \rightarrow \text{PGL}(H^0(X, W_0))$ , which has trivial kernel: if  $\phi : X \rightarrow X$  lies in  $\text{Aut}(X, \mathcal{W})$  and  $\phi^*$  acts trivially on  $H^0(X, W_0)$ , then the restriction of  $\phi$  to the image of  $X$  in  $\mathbb{P}H^0(X, W_0)$  is also the identity. Consequently  $\text{Aut}(X, \mathcal{W})$  is a closed subgroup of  $\text{PGL}(H^0(X, W_0))$ . Since  $\text{Aut}(X)$  is assumed discrete,  $\text{Aut}(X; \mathcal{W})$  is finite, and because the field  $\mathbf{K}$  is infinite, a general element  $W$  of  $\mathcal{W}$  is not fixed by any automorphisms. Since  $\mathbf{K}$  is infinite, by Bertini's theorem there exists a  $W$  which is smooth and geometrically connected.  $\square$

**Lemma 11.** *There exists a smooth surface  $T$  over  $\mathbf{K}$  for which:*

- (1) *the group  $\text{Aut}(T)$  is trivial;*
- (2) *there exists a  $\mathbf{K}$ -point  $t$  on  $T$ ;*
- (3)  *$T$  is geometrically simply connected;*
- (4)  *$T$  is not separably uniruled.*

*Proof.* According to [17, Table 1], the hypersurface  $T$  in  $\mathbb{P}^3$  defined by  $x_0^5 + x_0x_1^4 + x_1x_2^4 + x_2x_3^4 + x_3^5$  is smooth and has trivial automorphism group in any characteristic other than 2 or 5. This surface has the  $\mathbf{K}$ -point  $[0, 1, 0, 0]$ . Since  $T$  is a smooth hypersurface in  $\mathbb{P}^3$ , it follows from the Lefschetz hyperplane theorem [14, XII, Cor. 3.5] that  $T$  is geometrically simply connected. At last,  $T$  is of general type, and hence is not separably uniruled.

In characteristic 5, we take  $T$  defined by  $x_0^7 + x_0x_1^6 + x_1x_2^6 + x_2x_3^6 + x_3^7$ , while in characteristic 2, the surface defined by  $x_0^4x_1 + x_1^5 + x_2^5 + x_0x_1^4 + x_1x_2^4 + x_2x_3^4 + x_3^5$  suffices [17].  $\square$

Note that if we work over  $\mathbf{K} = \mathbf{C}$ , then any very general hypersurface in  $\mathbb{P}^3$  of degree at least 4 has the required properties.

Take  $X_0 = S \times S \times T$ . The variety  $X$  will be constructed by a sequence of four blow-ups of  $X_0$ . In each case, the blow-up satisfies the hypotheses of Lemma 8, so we may identify its automorphism group with a subgroup of  $\text{Aut}(X_0)$ .

**Lemma 12.** *Let  $X_0 = S \times S \times T$ . Fix a point  $s$  on  $S$  and a divisor  $W$  on  $S$  with  $\text{Aut}(S; W)$  trivial, as in Lemma 10. Choose three distinct smooth, geometrically connected curves  $C_1$ ,  $C_2$ , and  $C_3$  in  $T$ , and a point  $t$  on  $C_3$  which does not lie on  $C_1$  or  $C_2$ .*

- (1) *The variety  $X_0$  is  $\mathbb{P}^r$ -averse for any  $r \geq 2$ . The automorphisms of  $X_0$  are of the form  $\text{Aut}(S \times S) \times \text{id}_T$ .*
- (2) *Let  $\pi_1 : X_1 \rightarrow X_0$  be the blow-up of  $X_0$  along  $s \times S \times C_1$ . The variety  $X_1$  is  $\mathbb{P}^r$ -averse for any  $r \geq 3$ . The automorphisms of  $X_1$  are all lifts of  $\text{Aut}(S; s) \times \text{Aut}(S) \times \text{id}_T$ .*

- (3) Let  $\pi_2 : X_2 \rightarrow X_1$  be the blow-up along the strict transform of  $W \times p_\infty \times C_2$ . The variety  $X_2$  is  $\mathbb{P}^r$ -averse for  $r \geq 4$ . The automorphisms of  $X_2$  are given by  $\text{id}_S \times \text{Aut}(S; p_\infty) \times \text{id}_T$ .
- (4) Let  $\pi_3 : X_3 \rightarrow X_2$  be the blow-up along the strict transform of  $p_\infty \times p_\infty \times C_3$ . Then  $X_3$  is  $\mathbb{P}^r$ -averse for  $r \geq 5$ , and the automorphisms of  $X_3$  are of the form  $\text{id}_S \times \text{Aut}(S; p_\infty) \times \text{id}_T$ .
- (5) Let  $E_3$  be the exceptional divisor of  $\pi_3 : X_3 \rightarrow X_2$ . Then the strict transform of  $\Delta_S(C) \times t$  meets  $E_3$  at a single point  $u$ . Let  $\pi_4 : X_4 \rightarrow X_3$  be the blow-up at  $u$ . The automorphism group of  $X_4$  is isomorphic to  $\text{id}_S \times G^+ \times \text{id}_T$ .

*Proof.* We treat the blow-ups in order.

(1) To show that  $X_0$  is  $\mathbb{P}^r$ -averse for  $r \geq 2$ , it suffices to check that  $S$  and  $T$  are both  $\mathbb{P}^2$ -averse, according to the first part of Lemma 9. For  $T$  this follows since  $T$  is not separably uniruled, while  $S$  admits a surjective morphism to a curve and so it is  $\mathbb{P}^2$ -averse by (3) of Lemma 9.

Suppose that  $\chi : X_0 \rightarrow X_0$  is an automorphism. Let  $p_3 : X_0 \rightarrow T$  be the third projection. We first claim that  $\chi$  must satisfy  $p_3 \circ \chi = p_3$ . Indeed, consider the separable map  $p_3 \circ \chi : X_0 = S \times S \times T \rightarrow T$ . Since  $S$  is rational, if this map does not factor through the projection to  $T$ , then  $T$  is separably uniruled, contradicting the choice of  $T$  from Lemma 11. Since  $\text{Aut}(T)$  is trivial, the map  $\chi$  must preserve every fiber of  $p_3$ , and so  $\chi : S \times S \times T \rightarrow T$  is an automorphism defined over  $T$ .

The group  $\text{Aut}(S \times S)$  is discrete, since  $H^0(S \times S, TS \times TS) = H^0(S, TS) \oplus H^0(S, TS) = 0$ . Consequently every automorphism of  $X_0$  is of the form  $\phi \times \text{id}$ , where  $\phi$  is an automorphism of  $S \times S$ , and the group  $\text{Aut}(X_0)$  can be identified with  $\text{Aut}(S \times S) \times \text{id}_T$ .

(2) The center of the blow-up  $\pi_1$  has codimension 3, so it follows from part (1) and Lemma 9 that  $X_1$  is  $\mathbb{P}^r$ -averse for  $r \geq 3$ . According to Lemma 8, since  $X_0$  is  $\mathbb{P}^2$ -averse,  $\text{Aut}(X_1)$  is the stabilizer of  $s \times S \times C_1$  in  $\text{Aut}(X_0)$ , which is isomorphic to the stabilizer of  $s \times S$  in  $\text{Aut}(S \times S)$ .

We claim that an element  $\phi$  of  $\text{Aut}(S \times S)$  fixes  $s \times S$  only if it is of the form  $\phi_1 \times \phi_2$ , where  $\phi_1$  is in  $\text{Aut}(S; s)$  and  $\phi_2$  is in  $\text{Aut}(S)$ . Indeed, if  $\phi$  fixes one fiber of  $p_1 : S \times S \rightarrow S$ , it must permute the fibers, and so induces an automorphism  $\phi_1 : S \rightarrow S$  on the base with  $p_1 \circ \phi = \phi_1 \circ p_1$ . Then  $(\phi_1^{-1} \times \text{id}_S) \circ \phi$  is an automorphism of  $S \times S$  defined over  $p_1$ . This must be given by a map  $\text{id}_S \times \phi_2 : S \times S \rightarrow S \times S$ , since  $\text{Aut}(S)$  is a 0-dimensional scheme, and so  $\phi$  is of the form  $\phi_1 \times \phi_2$ , where  $\phi_1$  fixes  $s$ .

(3) Since  $X_1$  is  $\mathbb{P}^r$ -averse for  $r \geq 3$  and  $X_2$  is the blow-up of  $X_1$  at a center of codimension 4, it follows that  $X_2$  is  $\mathbb{P}^r$ -averse for  $r \geq 4$ . Lemma 8 implies that the automorphisms of  $X_2$  are all lifts of automorphisms of  $X_1$  fixing  $W \times p_\infty \times C_2$ , whether or not  $s$  lies on  $W$ . The automorphisms of  $X_1$  are all of the form  $\phi_1 \times \phi_2 \times \text{id}_T$ , and since  $\text{Aut}(S; W)$  is trivial, this stabilizer is exactly  $\text{id}_S \times \text{Aut}(S; p_\infty) \times \text{id}_T$ .

(4) We have seen that  $X_2$  is  $\mathbb{P}^4$ -averse, and  $X_3$  is the blow-up of  $X_2$  at a center of codimension 5. It follows that  $X_3$  is  $\mathbb{P}^r$ -averse for  $r \geq 5$ , and the automorphisms of  $X_3$  are lifts of automorphisms of  $X_2$  that fix  $p_\infty \times p_\infty \times C_3$ . Every automorphism of  $X_2$  fixes  $p_\infty \times p_\infty \times C_3$ , and so the automorphisms of  $X_3$  are again given by  $\text{id}_S \times \text{Aut}(S; p_\infty) \times \text{id}_T$ .

(5) The centers of the blow-ups  $\pi_1$  and  $\pi_2$  are both disjoint from the fiber  $S \times S \times t$ , since  $t$  lies on neither  $C_1$  nor  $C_2$ , while the center of the blow-up  $\pi_3$  meets  $S \times S \times t$  at the single point  $p_\infty \times p_\infty \times t$ . As a result,  $\Delta_S(C) \times t$  meets  $E_3$  at one point  $u$ , as claimed; this point  $u$  corresponds to the tangent direction of the diagonal embedding of  $C$  at the point  $p_\infty$ . The

restriction of  $\pi_3 \circ \pi_2 \circ \pi_1$  to the strict transform of  $S \times S \times t$  is the blow-up at the point  $p_\infty \times p_\infty \times t$ .

Since  $X_3$  is  $\mathbb{P}^5$ -averse and the center of  $\pi_3$  has codimension 6,  $\text{Aut}(X_4)$  is isomorphic to the stabilizer of  $u$  in  $\text{Aut}(X_3)$ . These are exactly the automorphisms  $\text{id}_S \times \phi \times \text{id}_T$  of  $X_3$  that fix the tangent direction  $T_{\Delta(p_\infty)}(\Delta_S(C)) \times t$ . According to Lemma 6, these are exactly the lifts of automorphisms of the form  $\text{id}_S \times G^+ \times \text{id}_T$ .  $\square$

This completes the construction.

*Proof of Theorem 1.* Let  $X = X_4$  be as in Lemma 12. The variety  $X$  is smooth, projective and geometrically simply connected, since it is a blow-up of  $S \times S \times T$  where  $S$  is a rational surface and  $T$  is smooth and geometrically simply connected. The group  $\text{Aut}(X)$  is isomorphic to  $G^+$ , which is not finitely generated according to Lemma 5.  $\square$

### 3. A VARIETY WITH MANY FORMS

We now show how the construction of the previous section can be adapted to give an example of a  $\mathbf{K}$ -variety with infinitely many  $\mathbf{L}/\mathbf{K}$ -forms even when  $\mathbf{L}/\mathbf{K}$  is a finite extension.

If  $\mathbf{L}/\mathbf{K}$  is a Galois extension, a standard descent argument shows that the  $\mathbf{L}/\mathbf{K}$ -forms of  $X$  are classified by the Galois cohomology  $H^1(\text{Gal}(\mathbf{L}/\mathbf{K}), \text{Aut}(X_{\mathbf{L}}))$  [19, III.§1, Proposition 5]. In many settings, this set is finite. Indeed, according to a theorem of Borel and Serre [4, Théorème 6.1], if  $\mathbf{K} = \mathbf{R}$  and  $\pi_0(\text{Aut}(X_{\mathbf{C}}))$  is an arithmetic group, then the set of  $\mathbf{C}/\mathbf{R}$ -forms of  $X$  is finite; this includes nearly all varieties for which the group of automorphisms is known. The set of  $\mathbf{C}/\mathbf{R}$ -forms is also finite when  $X$  is a minimal surface of non-negative Kodaira dimension [10, Appendix D, pg. 233].

Our example of a variety with infinitely many forms is obtained by an additional blow-up of the variety  $X$  constructed in Section 2.

**Lemma 13.** *Suppose that  $\mathbf{L}/\mathbf{K}$  is a separable quadratic extension, and that  $X$  is a smooth, projective variety over  $\mathbf{K}$ . Suppose that there is a finite-index subgroup  $G' \subset \text{Aut}(X_{\mathbf{L}})$  which contains infinitely many conjugacy classes of involutions and on which  $\text{Gal}(\mathbf{L}/\mathbf{K})$  acts trivially. Then the variety  $X$  has infinitely many  $\mathbf{L}/\mathbf{K}$ -forms.*

*Proof.* The forms of  $X$  are classified by the set  $H^1(\text{Gal}(\mathbf{L}/\mathbf{K}), \text{Aut}(X_{\mathbf{L}}))$ . Because the action of  $\text{Gal}(\mathbf{L}/\mathbf{K})$  on  $G'$  is trivial,  $H^1(\text{Gal}(\mathbf{L}/\mathbf{K}), G')$  is the set of conjugacy classes of involutions in  $G'$ , which is infinite by assumption. There is an exact sequence

$$H^0(\text{Gal}(\mathbf{L}/\mathbf{K}), \text{Aut}(X_{\mathbf{L}})/G') \rightarrow H^1(\text{Gal}(\mathbf{L}/\mathbf{K}), G') \rightarrow H^1(\text{Gal}(\mathbf{L}/\mathbf{K}), \text{Aut}(X_{\mathbf{L}})).$$

Here  $\text{Aut}(X_{\mathbf{L}})/G'$  should be interpreted as the set of left-conjugacy classes of  $G'$  rather than a group, but the sequence is nevertheless exact [19, III.§5, Proposition 36]. Since  $G'$  has finite index in  $\text{Aut}(X_{\mathbf{L}})$ , the leftmost set is finite, whence  $H^1(\text{Gal}(\mathbf{L}/\mathbf{K}), \text{Aut}(X_{\mathbf{L}}))$  is infinite, as claimed.  $\square$

**Remark 5.** Concretely, suppose that  $\mathbf{L} = \mathbf{C}$  and  $\mathbf{K} = \mathbf{R}$ , and that  $\text{Aut}(X_{\mathbf{C}}) = \text{Aut}(X_{\mathbf{R}})$ . If  $c : X_{\mathbf{C}} \rightarrow X_{\mathbf{C}}$  is the antiholomorphic involution determined by complex conjugation, then  $X_{\mathbf{R}}$  can be recovered as the fixed locus of  $c$ . For any involution  $\phi$  in  $\text{Aut}(X_{\mathbf{R}})$ , the composite  $c \circ \phi$  defines another antiholomorphic involution, giving rise to another real form on the fixed locus. Two involutions  $\phi$  and  $\phi'$  define equivalent real structures on  $X_{\mathbf{C}}$  if and only if they are conjugate in  $\text{Aut}(X_{\mathbf{R}})$ .

Our argument will rely on some specific details from the construction in the proof of Theorem 3; for the remainder of Section 3 the surface  $S$  is taken to be the specific example constructed in the proof of Theorem 3, rather than an arbitrary surface satisfying its conclusions.

Fix the configuration  $P$  of Lemma 4 (depending on the characteristic), and maintain the notation introduced in the proof of Theorem 3, labelling the six lines as  $L_0, \dots, L_5$ , with  $L_0$  the curve  $C$ . Let  $p_{ij} = L_i \cap L_j$ , and write  $p_i$  for the point  $p_{0i}$ . The lines  $L_i$  are chosen so that the intersections of  $L_1, L_2, L_3, L_4$  and  $L_5$  with  $L_0$  (with respect to affine coordinates) are given by

$$(p_1, p_2, p_3, p_4, p_5) = \begin{cases} (0, 1, 2, 3, \infty) & \text{if } \text{char } \mathbf{K} = 0, \\ (0, 1, t, t+1, \infty) & \text{if } \text{char } \mathbf{K} > 0. \end{cases}$$

We will consider the following subgroups of  $\text{Aut}(S; C)$ :

- (1)  $G^+ \subset \text{Aut}(S; C)$ , the subgroup of automorphisms restricting to  $L_0$  as  $z \mapsto z + a$ ;
- (2)  $G^\pm \subset \text{Aut}(S; C)$ , the subgroup of automorphisms restricting to  $L_0$  as either  $z \mapsto z + a$  or  $z \mapsto -z + a$ ;
- (3)  $G_{\text{ev}}^\pm \subset G^\pm$ , the subgroup of automorphisms which fix the two lines  $L_0$  and  $L_5$  as well as the curves  $L_1 \cup L_4$  and  $L_2 \cup L_3$ .

Recall that every automorphism of  $S$  must permute the six lines  $L_i$  since their union is the unique member of  $|-2K_S|$ ; an automorphism lies in  $G_{\text{ev}}^\pm$  if it fixes  $L_0$  and  $L_5$  and either fixes or exchanges the members of the two other pairs. In particular,  $G_{\text{ev}}^\pm$  has finite index in  $G^\pm$ .

Let  $s_0 : S \rightarrow S$  be the involution of  $S$  determined by the marking with  $L_0, L_5$  the distinguished pair, and  $L_1, L_4$  and  $L_2, L_3$  the other two pairs: the automorphism  $s_0$  fixes the two distinguished lines  $L_0$  and  $L_5$ , and exchanges  $L_1$  with  $L_4$  and  $L_2$  with  $L_3$ . This map restricts to  $L_0$  in such a way that it exchanges  $p_1$  with  $p_4$  and  $p_2$  with  $p_3$ ; thus the restriction is an involution  $z \mapsto c - z$ , where  $c = 3$  if  $\text{char } \mathbf{K} = 0$  or  $c = t + 1$  if  $\text{char } \mathbf{K} > 0$ . It follows that  $s_0$  lies in the subgroup  $G_{\text{ev}}^\pm$ .

Figure 2 shows the important curves in  $\mathbb{P}^2$  acted on by the map  $s_0$ . The two dashed lines are exchanged, as are the two heavily dotted lines. The pencil of lines passing through the point  $p_5$  is preserved by  $s_0$ . The strict transforms of the two lightly dotted lines through  $p_5$  are  $(-1)$ -curves on  $S$  with classes  $H - E_{05} - E_{14}$  and  $H - E_{05} - E_{23}$ , which will appear later. By the generality assumption of Remark 1, these two lines are distinct.

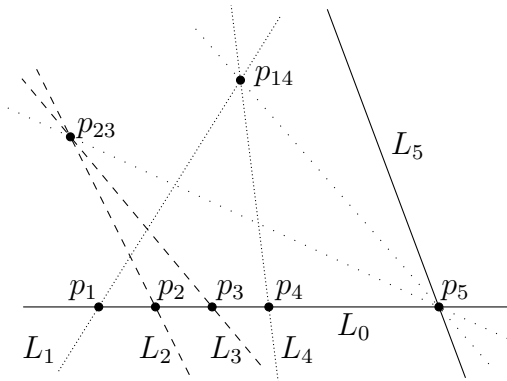


FIGURE 2. The involution  $s_0$

Let  $\tilde{s}_0 : X_3 \rightarrow X_3$  be the automorphism of  $X_3$  induced by  $\text{id}_S \times s_0 \times \text{id}_T$ . Observe that if  $\text{char } \mathbf{K} \neq 2$ , the point  $\tilde{s}_0(u)$  is distinct from  $u$ :  $\text{id}_S \times s_0$  acts on  $T_{\Delta_S(p_\infty)}(S \times S)$  by the linear transformation  $\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ , which fixes  $T_{\Delta_S(p_\infty)}\Delta_S(C)$  if and only if  $\text{char } \mathbf{K} = 2$ .

We now construct a variety dominating  $X$  and on which  $\tilde{s}_0$  lifts to an automorphism. If  $\text{char } \mathbf{K} \neq 2$ , take  $X'$  to be the blow-up of  $X$  at the two points  $u$  and  $\tilde{s}_0(u)$ . If  $\text{char } \mathbf{K} = 2$ , take  $X' = X$ ; the involution  $\tilde{s}_0$  already lifts to an automorphism of  $X$  and no blow-up is needed.

**Lemma 14.** *The variety  $X'$  satisfies  $\text{Aut}(X') \cong G^\pm$ .*

*Proof.* In characteristic 2,  $G^+ = G^\pm$  and we have already seen that  $\text{Aut}(X) \cong G^+$ , so we may assume that  $\text{char } \mathbf{K} \neq 2$ . According to Lemma 8, because  $X_3$  is  $\mathbb{P}^5$ -averse, the automorphisms of  $X'$  are the stabilizer of  $u \cup \tilde{s}_0(u)$ . These are precisely the automorphisms  $S$  which are of either the form  $z \mapsto z + a$  or  $z \mapsto -z + a$ , as required.  $\square$

Let  $\bar{\gamma} : S \rightarrow S$  be an automorphism restricting to  $L_0$  as  $\gamma = (z \mapsto bz)$ , where  $b$  is as in Lemma 4; if  $\text{char } \mathbf{K} = 0$  then  $b = 2$ , while if  $\text{char } \mathbf{K} > 0$ , then  $b = t$ . The elements  $s_n = \bar{\gamma}^{-n} \circ s_0 \circ \bar{\gamma}^n$  are all involutions, and the restriction of  $s_n$  to  $L_0$  is the map  $z \mapsto cb^{-n} - z$ , which lies in  $G^\pm$ . Although the maps  $s_n$  are conjugate in  $\text{Aut}(S)$ , they are conjugate by powers of  $\bar{\gamma}$ , and  $\bar{\gamma}$  is not contained in  $G^\pm$ . We now work to show that the  $s_n$  indeed define distinct conjugacy classes in the subgroup  $G^\pm$ .

**Lemma 15.** *The  $(+1)$ -eigenspace of  $s_0^* : N^1(S)_{\mathbf{R}} \rightarrow N^1(S)_{\mathbf{R}}$  has dimension 8. Moreover, the six classes*

$$\begin{array}{l}
\begin{array}{cccccccccccccccccc}
H & E_{01} & E_{02} & E_{03} & E_{04} & E_{05} & E_{12} & E_{13} & E_{14} & E_{15} & E_{23} & E_{24} & E_{25} & E_{34} & E_{35} & E_{45}
\end{array} \\
R_1 = \begin{pmatrix} 3 & 0 & -1 & -1 & 0 & -1 & -1 & -1 & 0 & -1 & 0 & -1 & 0 & -1 & 0 & -1 \end{pmatrix}, \\
R_2 = \begin{pmatrix} 3 & -1 & 0 & 0 & -1 & -1 & -1 & -1 & 0 & 0 & 0 & -1 & -1 & -1 & -1 & 0 \end{pmatrix}, \\
R_3 = \begin{pmatrix} 4 & 0 & -1 & -1 & 0 & -2 & -1 & -1 & -2 & 0 & 0 & -1 & -1 & -1 & -1 & 0 \end{pmatrix}, \\
R_4 = \begin{pmatrix} 4 & -1 & 0 & 0 & -1 & -2 & -1 & -1 & 0 & -1 & -2 & -1 & 0 & -1 & 0 & -1 \end{pmatrix}, \\
R_5 = \begin{pmatrix} 5 & 0 & -1 & -1 & 0 & -3 & -1 & -1 & -2 & -1 & -2 & -1 & 0 & -1 & 0 & -1 \end{pmatrix}, \\
R_6 = \begin{pmatrix} 5 & -1 & 0 & 0 & -1 & -3 & -1 & -1 & -2 & 0 & -2 & -1 & -1 & -1 & -1 & 0 \end{pmatrix}.
\end{array}$$

*define elliptic fibrations on  $S$  which are invariant under  $s_0$ .*

*Proof.* The dimension of the  $(+1)$ -eigenspace can be computed based on the geometric description of  $s_0$  given in the proof of Theorem 3. The matrix  $\iota_R^*$  for the action of  $s_0^*$  on a five-point blow-up  $S_R$  is given in the proof of Theorem 3 and has a two-dimensional  $(+1)$ -eigenspace. The other eleven exceptional divisors arise as four pairs exchanged by  $s_0^*$ , and two divisors fixed by  $s_0^*$ . The four pairs each contribute a one-dimensional subspace to the  $(+1)$ -eigenspace, as does each invariant divisor. The total dimension of the  $(+1)$ -eigenspace is then  $2 + 4 + 2 = 8$ .

Each  $R_i$  can be written as a sum of effective classes in two distinct ways:

$$\begin{aligned}
R_1 &= L_0 + L_1 + L_4 + 2E_{01} + 2E_{04} + 2E_{14} \\
&= L_2 + L_3 + L_5 + 2E_{23} + 2E_{25} + 2E_{35} \\
R_2 &= L_1 + L_4 + L_5 + 2E_{14} + 2E_{15} + 2E_{45} \\
&= L_0 + L_2 + L_3 + 2E_{02} + 2E_{03} + 2E_{23}
\end{aligned}$$

$$\begin{aligned}
R_3 &= L_2 + L_3 + 2E_{23} + 2(H - E_{05} - E_{14}) \\
&= L_0 + L_1 + L_4 + L_5 + 2E_{01} + 2E_{04} + 2E_{15} + 2E_{45} \\
R_4 &= L_1 + L_4 + 2E_{14} + 2(H - E_{05} - E_{23}) \\
&= L_0 + L_2 + L_3 + L_5 + 2E_{02} + 2E_{03} + 2E_{25} + 2E_{35} \\
R_5 &= L_2 + L_3 + L_5 + 2E_{25} + 2E_{35} + 2(H - E_{05} - E_{14}) \\
&= L_0 + L_1 + L_4 + 2E_{01} + 2E_{04} + 2(H - E_{05} - E_{23}) \\
R_6 &= L_0 + L_2 + L_3 + 2E_{02} + 2E_{03} + 2(H - E_{05} - E_{14}) \\
&= L_5 + L_1 + L_4 + 2E_{15} + 2E_{45} + 2(H - E_{05} - E_{23})
\end{aligned}$$

In each case, the two effective representatives have disjoint support, and so each  $R_i$  determines a basepoint-free linear system on  $S$ . For each  $i$  we have  $R_i \cdot R_i = 0$  and  $K_S \cdot R_i = 0$ , so these define elliptic fibrations with the given divisors as reducible fibers.

It is also necessary to check that the  $R_i$  are invariant under  $s_0^*$ . In each case, the geometric description from the proof of Theorem 3 shows that  $s_0$  permutes the components of the given reducible fibers. For example, the invariance of  $R_1$  follows from the facts that  $s_0(L_0) = L_0$ ,  $s_0(L_1) = L_4$ ,  $s_0(E_{01}) = E_{04}$ , and  $s_0(E_{14}) = E_{14}$ .  $\square$

**Lemma 16.** *The class  $H - E_{05}$  is the unique class  $D$  in  $N^1(S)_{\mathbf{R}}$  for which:*

- (1)  *$D$  is contained in the  $(+1)$ -eigenspace of the involution  $s_0^* : N^1(S)_{\mathbf{R}} \rightarrow N^1(S)_{\mathbf{R}}$ .*
- (2)  *$D \cdot L_0 = D \cdot L_5 = 0$  and  $D \cdot L_1 = D \cdot L_2 = D \cdot L_3 = D \cdot L_4 = 1$ .*
- (3)  *$D$  is nef.*
- (4)  *$D^2 = 0$ .*

*Proof.* The linear system  $H - E_{05}$  contains the strict transforms on  $S$  of the pencil of lines through  $p_{05}$ . Since this pencil is preserved by  $s_0$  and the linear system on  $S$  is basepoint-free, the claimed properties follow for the class  $H - E_{05}$ .

We next check that there are no other classes with these four properties. Suppose that  $D$  is such a class, and let  $D' = D - (H - E_{05})$ . Then  $D'$  lies in the  $(+1)$ -eigenspace of  $s_0^*$ , and  $D' \cdot L_i = 0$  for each  $L_i$ ; the set of  $D'$  satisfying these hypotheses is a linear subspace of  $N^1(S)_{\mathbf{R}}$ .

Since  $L_1 - L_4$  and  $L_2 - L_3$  lie in the  $(-1)$ -eigenspace, the space of  $D'$  which lie in the  $(+1)$ -eigenspace and satisfy  $D' \cdot L_i = 0$  for each  $i$  is 4-dimensional. Each of the six classes  $R_i$  of Lemma 15 lies in this space, and so a basis is provided by  $R_1$ ,  $R_2$ ,  $R_3$ , and  $R_4$ ; the other rays satisfy  $R_5 = R_3 + R_4 - R_2$  and  $R_6 = R_3 + R_4 - R_1$ .

We wish to know when the class  $D = (H - E_{05}) + a_1 R_1 + a_2 R_2 + a_3 R_3 + a_4 R_4$  is nef. Intersecting with the eight effective classes

$$\begin{array}{cccc}
E_{14}, & E_{23}, & E_{35}, & E_{01}, \\
H - E_{05} - E_{14}, & H - E_{05} - E_{23}, & E_{15}, & E_{02},
\end{array}$$

we obtain the eight inequalities

$$\begin{array}{cccc}
a_3 \geq 0, & a_4 \geq 0, & a_2 + a_3 \geq 0, & a_2 + a_4 \geq 0, \\
a_1 + a_2 + a_4 \geq 0, & a_1 + a_2 + a_3 \geq 0, & a_1 + a_4 \geq 0, & a_1 + a_3 \geq 0,
\end{array}$$

which all must hold if  $D$  is nef. Summing the eight inequalities we find that  $a_1 + a_2 + a_3 + a_4 \geq 0$  with equality only if the left side of each is 0; it follows that  $a_1 + a_2 + a_3 + a_4 = 0$  only if each  $a_i$  is 0. Any nonzero  $(a_1, a_2, a_3, a_4)$  satisfying the inequalities is thus a positive multiple

of a solution with  $a_1 + a_2 + a_3 + a_4 = 1$ . Substituting  $a_1 = 1 - a_2 - a_3 - a_4$ , the four columns above yield the four constraints:

$$0 \leq a_3 \leq 1, \quad 0 \leq a_4 \leq 1, \quad -a_3 \leq a_2 \leq 1 - a_3, \quad -a_4 \leq a_2 \leq 1 - a_4.$$

These inequalities on  $(a_2, a_3, a_4)$  determine a compact three-dimensional polyhedron with six vertices  $(a_2, a_3, a_4) = (0, 0, 0), (1, 0, 0), (0, 1, 0), (0, 0, 1), (-1, 1, 1), (0, 1, 1)$ . The set of solutions to the original inequalities is then the four-dimensional cone spanned by the six classes

$$(a_1, a_2, a_3, a_4) = (1, 0, 0, 0), (0, 1, 0, 0), (0, 0, 1, 0), (0, 0, 0, 1), (0, -1, 1, 1), (-1, 0, 1, 1).$$

The corresponding classes  $a_1 R_1 + a_2 R_2 + a_3 R_3 + a_4 R_4$  in  $N^1(S)_{\mathbf{R}}$  are precisely  $R_1, R_2, R_3, R_4, -R_2 + R_3 + R_4 = R_5$  and  $-R_1 + R_3 + R_4 = R_6$ .

As a result, the set of  $D$  satisfying hypotheses (1)–(3) is contained in the cone given by divisors of the form  $(H - E_{05}) + \sum_{i=1}^6 c_i R_i$  with  $c_i \geq 0$ . Since  $(H - E_{05}) \cdot R_i = 2$  for each  $1 \leq i \leq 6$ , while  $R_i \cdot R_j \geq 0$  for any  $i$  and  $j$ , and  $(H - E_{05})^2 = 0$ , we find that

$$\left( (H - E_{05}) + \sum_{i=1}^6 c_i R_i \right)^2 \geq 0,$$

with equality if and only if  $c_i = 0$  for each  $i$ , so that  $D = H - E_{05}$ .  $\square$

**Lemma 17.** *The centralizer of  $s_0$  in  $G_{\text{ev}}^{\pm}$  is the two-element group  $\{\text{id}_S, s_0\}$ .*

*Proof.* Suppose that  $\phi : S \rightarrow S$  is an element of  $G_{\text{ev}}^{\pm}$  commuting with  $s_0$ . Then  $\phi^* : N^1(S)_{\mathbf{R}} \rightarrow N^1(S)_{\mathbf{R}}$  must preserve the  $(+1)$ -eigenspace of  $s_0^*$ , and so  $\phi^*(H - E_{05})$  lies in this eigenspace as well. If  $\phi$  lies in  $G_{\text{ev}}^{\pm}$ , then the intersection property (2) must be satisfied by  $\phi^*(H - E_{05})$ . Since  $\phi^*$  also preserves the nef cone and the intersection form,  $\phi^*(H - E_{05})$  in fact satisfies the hypotheses (1)–(4) of Lemma 16. It then follows from the lemma that  $\phi^*(H - E_{05}) = H - E_{05}$  in  $N^1(S)_{\mathbf{R}}$ , and since  $\text{Pic}^0(S)$  is trivial, that  $\phi$  must preserve the class  $H - E_{05}$  in  $\text{Pic}(S)$ . As a result,  $\phi$  factors through the map  $\lambda : S \rightarrow \mathbb{P}^1$  given by the basepoint-free linear system  $|H - E_{05}|$ .

In particular,  $\phi$  permutes the singular fibers of  $\lambda$ . The fibers are the preimages on  $S$  of the lines in  $\mathbb{P}^2$  passing through the point  $p_{05}$ , and the singular fibers are precisely those corresponding to lines which pass through  $p_{05}$  and any of the other 14 points which are blown up on  $S$ . Eight of those points lie on the two lines  $L_0$  and  $L_5$ ; the other six are the lines through the point  $p_{05}$  and a point  $p_{ij}$  for which neither  $i$  nor  $j$  is equal to 0 or 5. These six singular fibers are each unions of two  $(-1)$ -curves, with classes  $H - E_{05} - E_{ij}$  and  $E_{ij}$ , arising as the strict transform of the line itself, and as the exceptional divisor of the blow-up.

Since by assumption  $\phi$  lies in the subgroup  $G_{\text{ev}}^{\pm}$ , it fixes the two curves  $L_0$  and  $L_5$  and either fixes or exchanges the members of the pairs  $L_1, L_4$  and  $L_2, L_3$ . It must map  $E_{14}$  to another  $s_0$ -invariant  $(-1)$ -curve contained in a fiber of  $\lambda$  that has intersection 1 with both  $L_1$  and  $L_4$ , and 0 with  $L_2$  and  $L_3$ . From the description of the preceding paragraph, the only two such curves are  $E_{14}$  itself and the strict transform of the line from  $p_{05}$  to  $p_{23}$ , which has class  $H - E_{05} - E_{23}$ . However, under the generality hypothesis of Remark 1, there is no map that fixes  $L_0$  and  $L_5$  while exchanging the fibers containing these curves; consequently each of these fibers must be mapped to itself. This implies that  $\phi$  fixes four fibers of the map  $\lambda$ , and since the base is  $\mathbb{P}^1$ , that  $\phi$  maps every fiber of  $\lambda$  to itself.

Replacing  $\phi$  with  $\phi \circ s_0$  if necessary, we obtain an element commuting with  $s_0$  which fixes the four curves  $L_0, L_5, L_1$ , and  $L_4$ , and either fixes the two curves  $L_2$  and  $L_3$  or exchanges them.

Suppose for now that  $\phi$  exchanges the two sections  $L_2$  and  $L_3$ . The fibers of  $\lambda$  are preserved, and so  $\phi(E_{12})$  must be either  $E_{12}$  or the curve of class  $H - E_{05} - E_{12}$ . We have

$$\begin{aligned} L_1 \cdot \phi(E_{12}) &= \phi(L_1) \cdot \phi(E_{12}) = L_1 \cdot E_{12} = 1, \\ L_2 \cdot \phi(E_{12}) &= \phi(L_3) \cdot \phi(E_{12}) = L_3 \cdot E_{12} = 0. \end{aligned}$$

Neither  $E_{12}$  nor  $H - E_{05} - E_{12}$  has the required intersection properties for  $\phi(E_{12})$ : we have  $L_2 \cdot E_{12} = 1$ , while  $L_1 \cdot (H - E_{05} - E_{12}) = 0$ . We conclude that  $\phi(L_2) = L_2$  and  $\phi(L_3) = L_3$ .

Thus  $\phi$  must commute with the projection  $\lambda$  and fix the four sections  $L_1$ ,  $L_2$ ,  $L_3$ , and  $L_4$ . A general geometric fiber  $F$  of  $\lambda$  is a rational curve in the linear system  $|H - E_{05}|$ . The map  $\phi$  fixes the four points of intersection of  $F$  with the sections listed, and so  $\phi|_F$  must be the identity map. Since  $\phi$  fixes a Zariski dense set of points on  $S_{\mathbf{K}}$ , it must be the identity. As we may have previously replaced  $\phi$  with  $\phi \circ s_0$ , we conclude that the centralizer is  $\{\text{id}_S, s_0\}$ .  $\square$

**Corollary 18.** *The group  $G_{ev}^{\pm}$  contains infinitely many conjugacy classes of involutions.*

*Proof.* Let  $\bar{\gamma}$  be an automorphism of  $S$  restricting to  $z \mapsto bz$  on  $L_0$ . Since any automorphism of  $S$  permutes the six lines, there exists some  $N > 0$  for which the iterate  $\bar{\gamma}^N$  maps each of the six lines  $L_i$  to itself. The map  $s_{Nn} = \bar{\gamma}^{-Nn} \circ s_0 \circ \bar{\gamma}^{Nn}$  is an involution which restricts to  $L_0$  as  $z \mapsto cb^{-Nn} - z$ , and since  $s_{Nn}$  induces the same permutation of the  $L_i$  as does  $s_0$ , it lies in the subgroup  $G_{ev}^{\pm}$ .

We claim that no two distinct  $s_{Nm}$  and  $s_{Nn}$  are conjugate by an element of  $G_{ev}^{\pm}$ . It suffices to show that  $s_0$  is not conjugate to any  $s_{Nn}$ . If  $s_{Nn} = \bar{\gamma}^{-Nn} \circ s_0 \circ \bar{\gamma}^{Nn} = \alpha \circ s_0 \circ \alpha^{-1}$  for some  $\alpha$ , then  $\bar{\gamma}^{Nn} \circ \alpha$  commutes with  $s_0$ . According to Lemma 17, either  $\alpha = \bar{\gamma}^{-Nn}$  or  $\alpha = \bar{\gamma}^{-Nn} \circ s_0$ . Since neither  $\bar{\gamma}^{-Nn}$  nor  $\bar{\gamma}^{-Nn} \circ s_0$  is contained in  $G_{ev}^{\pm}$  for any nonzero value of  $n$ , the claim follows.  $\square$

**Lemma 19.** *Every automorphism of  $X'_{\mathbf{L}}$  is defined over  $\mathbf{K}$ .*

*Proof.* Since  $S_{\mathbf{L}}$  is constructed by blowing up  $\mathbf{K}$ -points in  $\mathbb{P}^2$ , its Picard group is generated by the classes of  $\mathbf{K}$ -divisors. The Galois action on  $\text{Pic}(S_{\mathbf{L}})$  is therefore trivial, and preserves the class of any  $(-1)$ -curve. Because each  $(-1)$ -curve is rigid in its numerical class, these curves are invariant under the conjugation map  $c : S_{\mathbf{L}} \rightarrow S_{\mathbf{L}}$ .

Suppose that  $\phi : X'_{\mathbf{L}} \rightarrow X'_{\mathbf{L}}$  is any automorphism. Then  $\phi$  is induced by some automorphism  $\psi : S_{\mathbf{L}} \rightarrow S_{\mathbf{L}}$ , and  $c \circ \psi \circ c : S_{\mathbf{L}} \rightarrow S_{\mathbf{L}}$  is an automorphism which has the same action as  $\psi$  on the class of any  $(-1)$ -curve. Since  $\text{Pic}(S)$  is generated by classes of  $(-1)$ -curves defined over  $\mathbf{K}$ ,  $\psi$  and  $c \circ \psi \circ c$  have the same action on  $\text{Pic}(S)$ . As  $H^0(S_{\mathbf{L}}, TS_{\mathbf{L}}) = 0$ , these two maps must coincide, so that  $c \circ \psi = \psi \circ c$ , and  $\psi$  is defined over  $\mathbf{K}$ .  $\square$

*Proof of Theorem 2.* We have  $\text{Aut}(X'_{\mathbf{L}}) \cong G^{\pm}$ , and  $G_{ev}^{\pm}$  is a finite index subgroup of  $G^{\pm}$  on which  $\text{Gal}(\mathbf{L}/\mathbf{K})$  acts trivially. By Lemma 18,  $G_{ev}^{\pm}$  contains infinitely many conjugacy classes of involutions, and Theorem 2 then follows from Lemma 13.  $\square$

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