1	Reduced Order Model for Simultaneous Growth of Multiple Closely-
2	Spaced Radial Hydraulic Fractures
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11 Abstract

12 A new reduced order model (ROM) provides rapid and reasonably accurate prediction of the complex behavior of multiple, simultaneously growing radial hydraulic fractures. 13 The method entails vastly reducing the degrees of freedom typically associated with 14 fully-coupled simulations of this multiple moving boundary problem by coupling 15 together an approximation of the influence of the stress interaction among the fractures 16 ("stress shadow") with an approximation of fluid flow and elasticity, ensuring 17 18 preservation of global volume balance, global energy balance, elasticity, and compatibility of the crack opening with the inlet fluid flux. Validating with large scale 19 ("high-fidelity") simulations shows the ROM solution captures not only the basic 20 suppression of interior hydraulic fractures in a uniformly-spaced array due to the well-21 known stress shadowing phenomenon, but also complex behaviors arising when the 22 spacing among the hydraulic fractures is non-uniform. The simulator's usefulness is 23

demonstrated through a proof-of-concept optimization whereby non-uniform spacing
and stage length are chosen to maximize the fracture surface area and/or the uniformity
of growth associated with each stimulation treatment.

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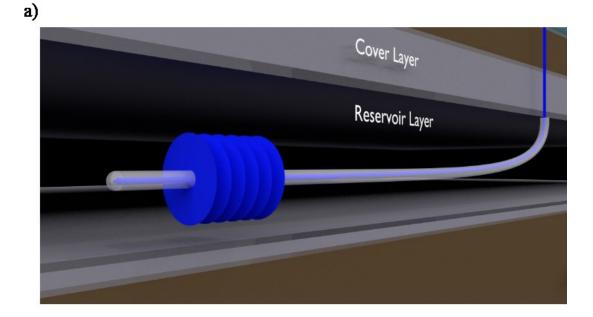
KEYWORDS: Hydraulic fracturing; Reduced order model; Optimization; Multiplefracture growth

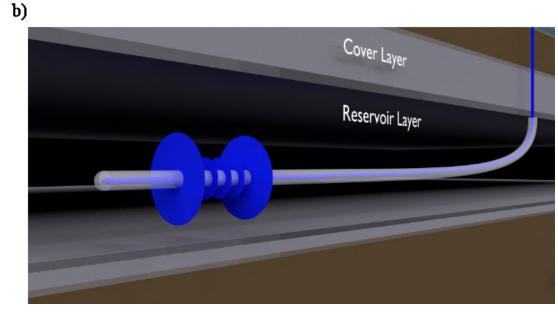
30 1 Introduction

Reduced order models (ROMs) have a great potential for enabling optimization and uncertainty quantification for hydraulic fracturing. However, ascertaining the essential ingredients necessary for a reasonably accurate and suitable efficient ROM for simulating systems of multiple, simultaneously-growing hydraulic fractures remains a challenging and open problem.

Hydraulic fracturing (HF) is a well stimulation technique used in many industrial 36 applications include mining, waste disposal, and enhanced geothermal systems [1-3] 37 The most well-known application is its use for increasing the rate at which oil and gas 38 can be extracted from wells. In this application, pressurized fluid drives growth of 39 cracks through the reservoir rock, carrying granular proppant that is left behind in the 40 created fractures. The resulting high conductivity pathways promote an increased flow 41 of hydrocarbons from the reservoir formation towards the well (as described in further 42 detail by e.g.[4]). Both vertical and horizontal wells are stimulated in this way, with 43 vertical well simulation comprising most cases over the 70 year history of hydraulic 44 fracturing and horizontal well fracturing comprising the essential advance for unlocking 45

unconventional (low-permeability) resources in the past two to three decades [5]. 46 Essentially all horizontal wells in unconventional reservoirs (such as shale gas and oil) 47 48 are treated by hydraulic fracturing, and the most common approach is to stimulate in a sequential manner from the "toe" to the "heel" of the well (see description in e.g.[6]). 49 Within each of these sequential "stages", multiple clusters of perforations comprise the 50 reservoir entry points, with the intention that injected fluid is reasonably uniformly 51 distributed among these possible entry points, thereby uniformly stimulating the 52 reservoir rock. Although such a multistage technique has enabled tremendous cost 53 54 savings, analysis of production logs over several basins tends to show that between 20 to 40 percent of perforation clusters do not contribute to production [7], indicating 55 current simulation strategies are highly non-optimal. One contributing factor is the non-56 57 uniformity of reservoir properties, including the in-situ stresses along the well e.g.[8,9]. Another factor is almost certainly the widely recognized phenomenon known of "stress 58 shadowing" (see e.g. field evidence in [10]). Stress shadowing refers to suppression of 59 60 some HFs as a result of the compressive stresses exerted on them by other, nearby HFs (e.g.[11-13]). One result is that the ideal case of uniform hydraulic fracture growth (Fig. 61 1a) is probably never achieved. Instead, some hydraulic fractures are suppressed due to 62 the presence of locally elevated compressive stress (Fig. 1b as previously discussed by 63 e.g.[14], see also. [11,12,15,16,17,18]). 64





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Fig. 1. Illustration of multiple, simultaneous HFs in one stage showing. (a) Ideal,
uniform result, and (b) Result in which central fractures are suppressed.

While there are certainly demonstrations showing use of hydraulic fracture simulators to identify approaches that improve uniformity of stimulation (see e.g.[6,19]), optimization is challenging because of the simulations' computational intensity. Overcoming this challenge has opened a growing area of interest in generating reduced order models for hydraulic fractures, for example following formalisms that involve order reduction via an empirical search for eigenfunction bases that can be used to capture system behavior over some subdivision of the time domain ([20-24]). Here we follow a different approach, but the goal is the same, namely, to obtain a reduced order model that provides a useful approximation to the full model, and with the key feature being capturing interaction of simultaneously growing hydraulic fractures.

While there are several possible threads in the literature that aim generally at 78 simulating and optimizing multistage completions, here we will briefly introduce the 79 background most relevant to the current contribution. The Implicit Level Set Algorithm, 80 or "ILSA" [25] was extended by [19] for multiple parallel-planar HFs, including full 81 3D elastic coupling between the simultaneously propagating fractures ("ILSA II"). This 82 simulator has been used to demonstrate that the stress shadow effect can be reduced 83 with appropriate placement of interior HFs close to the outer HFs to inhibit their growth 84 relative to the other fractures in the array. 85

Although ILSA II is a fully coupled benchmark simulator (to use terminology 86 commonly contrasted with ROMs, we also can call this a "large scale" or "high-fidelity" 87 model), implementing state of the art approaches to enable accurate calculations on very 88 coarse meshes, the model can require several days, and sometimes over one week, to 89 compute a multi-fracture result at typical reservoir length and time scales (note timing 90 is for single node calculations, ~2.5 GHz processor speed). Hence, optimization of HF 91 design, which can require hundreds or thousands of model runs, is not practical with 92 this or other models with run times on the order of tens of hours to days. Similarly, 93 uncertain quantification, which also can require thousands or model evaluations, is not 94

typically possible. A first step is, therefore, addressing the need for rapid, even if
approximate, simulation. Such ROM simulators can be used to do broad explorations
of high dimensional parametric spaces, identifying combinations of parameters, which
can be examined in detail by a few, fully-coupled simulations.

We previously demonstrated the feasibility and basic concept of a new HF 99 simulator, called "C2Frac", which very rapidly estimates the growth of an array of HFs 100 [26]. In this prototype model, the HFs are restricted to radial, planar growth - as in the 101 current version presented here - but under the additional limitation that fractures remain 102 103 small in radius compared to their separation. The method uses semi-analytical HF solutions (after [27]), coupling a far field approximation of the interaction stress via an 104 overall energy balance. In this way, the model predicts each HF's aperture $W_i(t)$, net 105 106 pressure $P_i(t)$, radius $R_i(t)$, and inflow rate $Q_i(t)$ for different choices of uniform or nonuniform spacing among N HFs. The validating shows good agreement between C2Frac 107 and ILSA II benchmarks, however, because of the use of a far-field approximation of 108 the interaction stress between the HFs, the C2Frac estimates diverge from fully coupled 109 benchmark solutions when the fracture radii become similar to the fracture spacing. 110 Additionally, because the prototype model does not account for near field stress 111 interaction, it does not capture some of the complex behaviors predicted by fully 112 coupled simulations when the fracture spacing is non-uniform. In particular, the 113 previous model cannot capture when the interior fractures switch from being suppressed 114 to accepting the majority of the fluid, as observed in fully-coupled simulations by [19]. 115 Simulating this phase is essential for obtaining accurate predictions, but it can only be 116

117 captured when the impacts of near field stress interaction between very closely spaced118 fractures are appropriately modeled.

The necessary model improvements are here enabled by developing a new 119 algorithm leading to numerical simulations approximating the benchmark solutions for 120 all times, regardless of fracture radius and spacing, while running 10³-10⁶ times faster 121 than the fully coupled benchmark simulator. In this paper, the new model, called 122 "C3Frac", is developed and validated. We begin by presenting the governing equations. 123 We then introduce a new approach to approximation of the interaction stress from each 124 fracture based on a uniformly pressurized crack with equal volume and radius to the 125 actual HF. Next, we describe an interaction stress coupled elasticity function, which 126 preserves volume balance by ensuring the elasticity solution is consistent with the inlet 127 128 flow rate boundary condition. Then, the system of governing equations is completed by requiring that the fluid is partitioned among the multiple entry points so as to maintain 129 equality of the wellbore pressure predicted for each fracture while also conserving the 130 fluid injected into the wellbore. These final conditions are required by both the fully 131 coupled and approximate simulator. In the case of the fully coupled simulator the 132 wellbore pressure is predicted by carefully simulating fluid flow at all locations within 133 the fracture so as to obtain an accurate estimate of the pressure at the fracture inlet 134 (wellbore). In contrast, the approximate simulator approximates the fluid flow in a 135 manner preserving the main contribution to viscous energy dissipation and then predicts 136 the inlet pressure for each fracture using global energy balance. 137

After presenting the model, we next show how well it approximates the fully

coupled simulations. Following this validating, numerical experiments illustrate cases 139 for uniform and non-uniform spacing designs to indicate how spacing effects the 140 141 hydraulic fracture growth. Thus, we utilize the new C3Frac model to search for optimized HF scenarios in terms of created fracture surface area, providing examples 142 of optimized designs for different stage lengths, inflow rates, and pumping times. The 143 work concludes with a demonstration of the benefits of optimization and the potential 144 for non-uniform fracture spacing to promote multiple methods for promoting multiple 145 HF growth. 146

147 **2** Governing Equations

In a typical HF treatment of an oil or gas well, one or more fractures is/are created 148 by injection of fluid. The fracture is initiated within a rock formation that contains the 149 150 hydrocarbons (the reservoir), and propagates perpendicularly to the orientation of the minimum in situ confining stress σ_{a} . Here the HFs are considered to grow transversely 151 to a horizontal well, as illustrated by Fig. 1. This model accounts for the growth of N152 153 fractures within a single stage and, for now, neglects the stresses induced by the previous stages [28-30], noting that these can be important especially if they induce 154 substantial fracture curving. Furthermore, we note that if the fracture curving is 155 156 negligible (see [31] for one approach for ascertaining if the curving will be important), then these previous-stage stresses can be accounted for with a straightforward extension 157 of the approach wherein the stresses from fractures in the previous stage(s) are 158 accounted for in the same manner as we account for fracture induced stresses within 159 the same stage. The model, then, considers an array of N planar fractures distributed 160

within one stage of length *Z* (see Fig. 2). Hence, the spacing h_k , k=1,..N-1 between each of the fractures is such that:

$$Z = \sum_{k=1}^{N-1} h_k \tag{1}$$

Growth of the array of HFs is driven by injection of an incompressible fluid from a 163 wellbore at the center of each of the radially-growing HFs (Fig. 1). The rate provided 164 to each HF is variable and determined as a part of the solution, however, to conserve 165 fluid in the wellbore, the influx rates to each fracture must always sum to a constant 166 total volumetric rate Q_0 . This is to say that we consider the total fluid injection rate 167 provided to the wellbore to be a constant, but the partitioning of this fluid to each 168 fracture to be transient. The HFs are taken to propagate quasi-statically (i.e. well below 169 the speed of sound for the rock) in a permeable, linear elastic rock characterized by E' 170 $= E/(1-v^2)$ for Young's modulus E, Poisson's ratio v, and toughness $K' = (32/\pi)^{1/2} K_{IC}$ for 171 fracture toughness K_{IC} (after [27]). Solution to the problem consists of determining the 172 partitioning of the influx to each HF as well as each HF's crack width, net pressure, and 173 radius. Several additional assumptions are introduced to simplify this problem: 174

(I) Crack propagation follows linear elastic fracture mechanics (LEFM), which
assumes that the material follows a linear elastic stress-strain relationship
everywhere except for in a very small "process zone" near the crack tip [32].
Crack propagation will occur when the opening-mode stress intensity at the
crack tip attains the material fracture toughness [33,34].

(II) Lubrication theory is used to describe laminar flow of a Newtonian fluid
within the fracture (e.g.[35]).

(III) The rock is impermeable, and hence the leak off term is not considered in
this study (i.e. it is not considered in the fluid mass balance of Eq. (2)).

184 (IV) All HFs grow radially and parallel to one another.

(V) Gravitational force is neglected both in the elasticity and fluid flowequations.

(VI) The fluid front is coincident with the crack front, meaning the lag between
the fluid front and fracture tip is very small compared to the fracture radius,
which is valid under typical high confinement conditions encountered in
reservoirs [31].

191 (VII) The far field in situ stress σ_0 is uniform and constant, although the total 192 compressive stress acting on each fracture is, of course, non-uniform and 193 non-constant due to the interaction with its neighbors.

For a detailed discussion of several of these common assumptions in hydraulic 194 fracture modeling, especially regimes of small versus large viscosity and small versus 195 large leakoff, see Detournay [48]. We also idealize that, for the entire period of growth, 196 the fractures remain planar and radial, as illustrated by Fig. 2. Again we note that this 197 idealization neglects deviation of the fracture path either due to interaction with natural 198 fractures or due to stress shadowing from other HFs [16,30,31,37,38,39,40]. It also 199 neglects the presence of a height growth barrier which is present in most reservoirs and 200 leads to a transition from radial to blade-like growth (called the "PKN" geometry after 201 [41,42]). Based on similar arguments to those described in detail by [19], this model is 202 expected to remain valid for gently curving HFs, as long as the impact of the curving 203

on the energy required to drive the HFs represents a small correction to the leading 204 order term(s) used by this model. However, it is also clearly possible that the stress 205 206 interaction will be affected by the curving and, in the context of a coupled model where small perturbations can sometimes be amplified, it is possible that scenarios in which 207 the curving significantly impacts behavior will be discovered as a part of future research. 208 Furthermore, ongoing efforts will aim at capturing the transition to PKN-like growth, 209 but the present model is limited to the radial growth period that persists as long as the 210 fracture radius does not exceed the lithologically-limited fracture height. An additional, 211 212 important limitation in scope is that here the near-wellbore pressure losses due to fracture tortuosity and/or perforation friction and pressure loss associated with fluid 213 flow through the inside of the casing between the perforation clusters are neglected. 214 215 These, too, are readily accounted for, through incorporated into the power balance as one power contribution to preserve the inlet pressure condition [43,44], but not the 216 focus of this paper. Finally, accounting for interaction with natural factures is a 217 218 challenge which remains for future research and is not addressed here.

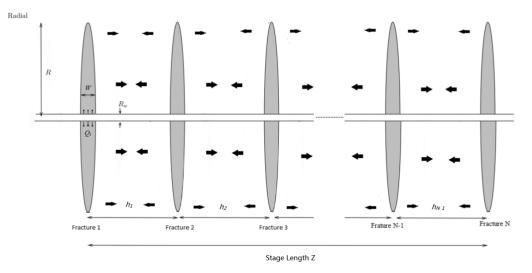




Fig. 2. Geometry of the multiple HF problem for *N* HFs distributed within a stage of

length *Z* and with fracture spacing h_k . The arrows illustrate the interaction stresses between fractures.

223 Having established the simplifying assumption, we return to the description of the model itself. For an array of N fractures, there are 5N unknowns. They are, for the i^{th} 224 fracture, the opening $w_i(r,t)$, fluid pressure $p_{f(i)}(r,t)$, fracture radius $R_i(t)$, elastic 225 interaction stress from the other fractures $\sigma_{I(i)}(r,t)$, and inlet flow rate $Q_i(t)$, where 226 i=1,...,N (see Fig. 2). These quantities are governed by a manifestation of a classical 227 HF model bringing together elastic deformation of the HF, fluid mass balance, laminar 228 fluid flow, and an LEFM crack propagation criterion [45], with an addition of an elastic 229 expression of the interaction stress (after [46]) and a condition of pressure and fluid 230 continuity within the wellbore (after e.g.[19]). Specifically, the model begins firstly 231 with fluid continuity (mass balance) which, based on the assumptions of an 232 incompressible fluid and an impermeable rock, is given for the i^{th} fracture by 233

$$\frac{\partial w_i(r,t)}{\partial t} + \frac{1}{r} \frac{\partial r q_i(r,t)}{\partial r} = 0$$
⁽²⁾

where *q* is the flow rate across the fracture aperture (width), that is, $q = \langle v \rangle w$ for mean velocity $\langle v \rangle$.

Secondly, the elastic body is considered to be deformed by a traction T_i acting across the surfaces of each fracture. In the case of interacting circular cracks, the elasticity relationship between local normal traction *T* and width *w* is given by [46]

$$w_i(r,t) = \frac{8R_i(t)}{\pi E'} \mathcal{F}\{\rho_i, T_i(\rho_i, t)\} \qquad \rho_i = r/R_i(t)$$
(3)

Here the non-local integral operator \mathcal{F} and internal traction acting on each fracture T_i are given in Section 3. Thirdly, according to lubrication theory for an incompressible Newtonian fluid [47], the radial flux $q_i(r, t)$ is proportional to the gradient of the fluid pressure via the classical Poiseuille law, that is

$$q_i(t) = -\frac{w_i(r,t)^3}{12\mu} \frac{\partial p_{f(i)}(r,t)}{\partial r}$$
(4)

where μ is the dynamic viscosity. Fourthly, according to Assumption (I) (linear elastic fracture), the crack always propagates in limit equilibrium, and hence the fracture propagation criterion takes the form

$$K_I = K_{Ic} \tag{5}$$

where K_I denotes the mode I (opening) stress intensity factor and K_{Ic} the model I fracture toughness. For the radial fracture, K_I can be expressed as [32]

$$K_{I} = 2 \sqrt{\frac{R_{i}(t)}{\pi} \int_{0}^{1} \frac{T_{i}(\rho_{i}, t)}{\sqrt{1 - \rho_{i}^{2}}} \rho_{i} d\rho_{i}$$
(6)

Fifthly, injection of fluid from the borehole is imposed at the center of each fracture. Hence, based on mass balance considerations, the boundary condition at the inlet of the crack is given by the source condition for each fracture

$$2\pi \lim_{r \to R_w} rq_i(r,t) = Q_i(t) \tag{7}$$

where R_w is the radius of the wellbore.

Sixthly, the boundary conditions at the crack tip are given by zero opening and zero flux $w_i(R_i, t) = 0$, $q_i(R_i, t) = 0$ [48,49] the initial condition (t=0) is given by $R_i = 0$, $w_i = 0$, and $p_{f(i)} = 0$.

Note that with these initial and boundary conditions, the fluid continuity Eq. (2)

can be integrated to give a global mass balance equation which, although it does not
provide an additional independent equation (it follows directly from equations already
defined), is useful for simulation. This equation is given by

$$\int_{0}^{t} Q_{i}(t)dt = 2\pi \int_{0}^{R_{i}(t)} w_{i}(r,t)rdr$$
(8)

Also, by substitution of the Poiseuille Eq. (4) into the continuity Eq. (2), we obtain
the Reynold's lubrication equation given by

$$\frac{\partial w_i(r,t)}{\partial r} = \frac{1}{12\mu} \frac{1}{r} \frac{\partial}{\partial r} \left(r w_i(r,t)^3 \frac{\partial p_{f(i)}(r,t)}{\partial r} \right)$$
(9)

Recall that 5N equations are required to solve for the 5N unknown quantities: 262 fracture opening $w_i(r, t)$, fluid pressure $p_{f(i)}(r, t)$, radius $R_i(t)$, elastic interaction stress 263 from the other fractures $\sigma_{I(i)}(r, t)$, and inlet flow rate $Q_i(t)$. So far we have defined 3N 264 equations which are provided by the coupled system of partial-integro-differential 265 equations from Reynolds lubrication equation for laminar fluid flow (Eq. (9)), elasticity 266 (Eq. (3)), and propagation (Eq. (5)). An additional N equations are obtained from the 267 interaction stresses which occur when multiple hydraulic fractures grow in close 268 proximity to one another. An approximation of these stresses is described in Section 3.1. 269 Hence, the system is closed firstly by the N-1 equations given by the constraint that the 270

pressure is the same at every entry point (because they are tied by the wellbore)

$$p_{f(1)}(R_w, t) = p_{f(2)}(R_w, t) = \dots = p_{f(N)}(R_w, t)$$
(10)

Note that a perforation friction loss term can be included [43,44], leaving Eq. (10) intact but providing a pressure loss between the wellbore pressure and the fluid pressure at the first point within the hydraulic fracture. The system is closed, then, with one equation from the constraint that the sum of fluid injected to all entry points must equal the total injection rate Q_o , that is

$$\sum_{i=1}^{N} Q_i(t) = Q_o \tag{11}$$

These form a complete system for determining $w_i(r, t)$, $p_{f(i)}(r, t)$, $R_i(t)$, $\sigma_{I(i)}(r, t)$, and $Q_i(t)$. The problem, then, consists of finding these unknowns as a function of given quantities Q_o , μ' , K', E', R_w , N, h_k , and t, where $\mu'=12 \mu$, for dynamic viscosity μ , all other quantities are as previously defined, starting from known values of these quantities at an initial time t_0 .

282 **3** Approximation

283 3.1 Interaction Stress Approximation

The main challenge and interest of the problem is due to HF interaction. In general, the interaction stresses need to be computed based on the details of the pressure distribution inside each HF (as in e.g. [19]). However, such an approach is not compatible with the desire for rapid, approximate computation. So, for this model, we propose an approximation of the interaction stress using the uniformly-pressurized crack solution of [50], whereby the normal component of stress performed by neighboring crack *j* on crack *i* is determined as

$$\sigma_{j,i} = \frac{2P_j}{\pi} \Biggl\{ \delta_{j,i}^{-\frac{1}{2}} \cos \frac{1}{2} \varphi_{j,i} - \tan^{-1} \frac{\delta_{j,i}^{\frac{1}{2}} \sin \frac{1}{2} \varphi_{j,i} + \tau_{j,i} \sin \theta_{j,i}}{\delta_{j,i}^{\frac{1}{2}} \cos \frac{1}{2} \varphi_{j,i} + \tau_{j,i} \cos \theta_{j,i}} + \zeta_{j,i} \delta_{j,i}^{-\frac{3}{2}} \cos \left(\frac{3}{2} \varphi_{j,i} - \theta_{j,i}\right) - \zeta_{j,i} \delta_{j,i}^{-\frac{1}{2}} \sin \frac{1}{2} \varphi_{j,i} \Biggr\}$$

$$(12)$$

291 where

$$\tau_{j,i} = \left(1 + \zeta_{j,i}^{2}\right)^{\frac{1}{2}} \qquad \delta_{j,i} = \left\{ \left[\left(\rho_{i} \frac{R_{i}}{R_{j}}\right)^{2} + \zeta_{j,i}^{2} - 1 \right]^{2} + 4\zeta_{j,i}^{2} \right\}^{\frac{1}{2}} \\ \theta_{j,i} = \arctan\left(\frac{1}{\zeta_{j,i}}\right) \qquad \varphi_{j,i} = \operatorname{arccot}\left\{ \left[\left(\rho_{i} \frac{R_{i}}{R_{j}}\right)^{2} + \zeta_{j,i}^{2} - 1 \right] / 2\zeta_{j,i} \right\}$$
(13)

Recall that $\zeta_{j,i}$ is the ratio of spacing $h_{j,i}$ (between fracture *i* and *j*) to the crack radius R_j , and recalling that ρ_i is the ratio of radial position *r* to fracture radius R_i , $\rho_i = \frac{r}{R_i}$. Note that the $\zeta_{j,i}$ value decreases as the fracture grows, that is, as R_i increases for each fracture.

In the solution presented in Eq. (12), P_i is a uniform internal net pressure. The key 296 to the approximation, then, is to choose this internal pressure so as to best approximate 297 the actual interaction stress produced by HFs with non-uniform internal pressure. The 298 299 approach used here is to select this uniform pressure for each HF at each time step so as to generate a fracture with the same volume as the actual HF being opened by a non-300 uniform internal pressure. That is, for the j^{th} hydraulic fracture the classical expression 301 for the volume of an ellipsoidal crack resulting from uniform internal pressurization 302 [50] leads directly to 303

$$P_{j} = \frac{3}{16} \frac{E' V_{j}}{R_{j}^{3}} \qquad V_{j} = \int_{0}^{t} Q_{j} dt$$
(14)

The interaction stress model is completed by summation of the interaction stress for each fracture from all neighbors. Hence the interaction stresses exerted on the i^{th} hydraulic fracture is approximated as

$$\sigma_{I(i)} = \sum_{j=1}^{N, j \neq i} \sigma_{j,i} [\rho_i R_i / R_j, \zeta_{j,i}, V_j, t, P_j]$$
(15)

where $\sigma_{j,i}$ is given by Eq. (12) and P_j is given by Eq. (14).

Elasticity, crack propagation, and fluid flow are strongly coupled through Eq. (3). The non-local integral operator \mathcal{F} and internal traction acting on each fracture are given by

$$\mathcal{F}\{\rho_{i}, T_{i}(\rho_{i}, t)\} = \int_{\rho_{i}}^{1} \frac{s}{\sqrt{s^{2} - \rho_{i}^{2}}} \int_{0}^{1} \frac{x T_{i}(xs, t)}{\sqrt{1 - x^{2}}} dx ds$$

$$T_{i}(\rho_{i}, t) = p_{f(i)}(\rho_{i}R_{i}, t) - \sigma_{I(i)}(\rho_{i}R_{i}, t) - \sigma_{o}$$
(16)

311 recalling that where the σ_o is the far field stress, and $\sigma_{I(i)}$ is the interaction stress defined by Eq. (15). Additionally, $\zeta_{j,i}$ is the ratio of spacing $h_{j,i}$ (between fracture *i* 312 and j) to the crack radius R_j (see Section 3.1), and $p_{f(i)}(r, t)$ is the fluid pressure, 313 a part of the solution. In general, a complete solution is required simultaneously 314 satisfying all of the relevant governing equations. But, the computational intensity of 315 such a solution is the reason why fully coupled models require large computational 316 times. To promote rapid computation, we will approximate this solution. Here we begin 317 by expressing the fluid pressure as 318

$$p_{f(i)}(r,t) = \left(\frac{\mu' E'^2}{t}\right)^{\frac{1}{3}} \Pi_i(\rho_i, t) + \sigma_o, \qquad \rho_i = r/R_i(t)$$

$$\Pi_i(\rho_i, t) \cong A_i(t) \left[\omega - \frac{2}{3(1-\rho_i)^{\frac{1}{3}}}\right] - B\left(\ln\frac{\rho_i}{2} + 1\right), \qquad \omega \approx 2.479$$
(17)

This form of the pressure is taken based on the solution of [27] for a viscosity dominated, radially-growing hydraulic fracture in an impermeable rock. When considering the selfsimilar solution for zero toughness and constant injection rate for an HF propagating in an infinite, homogeneous elastic rock, [27] shows that $A_i(t) = 0.3581$ and B =0.09269. While this solution only applies for this self-similar limit, we borrow its form for our approximation because it preserves the well-known behavior of the pressure at the tip and inlet of a propagating HF [51], which ought to also be present for interacting hydraulic fractures with non-constant influx rates, that is

$$p_{f(i)} \sim \frac{2}{3} \left(1 - \rho_i\right)^{-\frac{1}{3}}, 1 - \rho_i \ll 1$$

$$p_{f(i)} \sim -\ln \rho_i, \rho_i \ll 1$$
(18)

The overall premise is that a solution of this form ought to be reasonably 327 compatible with the consequences of coupling between elasticity and fluid flow in the 328 329 limit where the energy dissipation associated with fluid flow is far greater than the energy dissipation associated with rock breakage (viscosity-dominated regime, see [52] 330 for a more complete discussion). It remains to choose the coefficients, and we find that 331 a usefully accurate approximation can be obtained (as shown in Section 4) by setting 332 B=0.09269 and solving for the values of the $A_i(t)$ coefficients that preserve global 333 volume balance for each fracture (Eq. (8)). Hence, $A_i(t)$ is a time dependent variable 334 chosen to satisfy 335

$$2\pi\gamma_i(t)^2 L_i(t)^2 W_i(t) \int_0^1 \Omega_i(\rho_i, A_i(t))\rho d\rho - \int_0^t Q_i(t)dt = 0$$
(19)

336 where the characteristic width

$$W_{i}(t) = \left(\frac{Q_{i}(t)\mu'}{2\pi B\left(\frac{\mu' E'^{2}}{t}\right)^{1/3}}\right)^{1/3}$$
(20)

represents the near well-bore width derived from Poiseuille law by extracting theleading order behavior of Eq. (4) at inlet to relate the fluid flux to the fluid pressure

gradient, where $p_{f(i)} \sim B \ln(r)$ for $r \ll R_i$. Here *B* is the inlet asymptotic coefficient given by [27]. Note that the dominance of this term near the inlet and the equality of the inlet pressures (Eq. 11) justify setting *B* equal for all HFs. Similarly, drawing again on the viscosity regime scaling from [27], the radius is given by

$$R_{i}(t) = \gamma_{i}(t) \left(\left(\frac{E't}{\mu'} \right)^{1/3} \int_{0}^{t} Q_{i}(t) dt \right)^{1/3}$$
(21)

where $\gamma_i(t)$ are unknown values of dimensionless radius for each HF. These are obtained through a requirement that the opening at the HF centers obtained from elasticity, accounting for interaction stress, is compatible for each HF with the width obtained from Eq. (20). To do this, substitution of Eq. (17) in Eq. (3) introduces a dimensionless crack opening $\Omega_i(\rho_i, A_i(t))$ which is determined by $w_i(\rho_i, A_i(t)) / w_i(0, A_i(t))$ as $\Omega_i(\rho_i, A_i(t)) = \mathcal{F}\{\rho_i, T_i(\rho_i, A_i(t))\} / \mathcal{F}\{0, T_i(\rho_i, A_i(t))\}$ (22)

with \mathcal{F} denoting the non-local integral as Eq. (3) shown and $T_i(\rho, A_i(t), t)$ is the traction acting across the surfaces of the *i*th crack given by

$$T_{i}(\rho_{i}, A_{i}(t), t) = \left(\frac{\mu' E'^{2}}{t}\right)^{\frac{1}{3}} \left\{ A_{i}(t) \left[\omega - \frac{2}{3(1 - \rho_{i})^{\frac{1}{3}}} \right] - B\left(ln \frac{\rho_{i}}{2} + 1 \right) \right\} - \sum_{j=1}^{N_{j} \neq i} \sigma_{j,i} \left[\rho_{i} \cdot \frac{R_{i}(t)}{R_{j}(t)}, \zeta_{j,i}, t \right]$$
(23)

where again we recall that $\sigma_{j,i}$ denotes the interaction stress performed by the neighboring fractures *j* loading on fracture *i* (see Section 3.1). The coefficient $A_i(t)$ is still unknown. The strategy, then, is to choose this correspondence between the pressure and opening via Eqs. (3) and (17), and in this way we ensure compatibility of the solution with elasticity, as shown by Eq. (24).

We arrive to a system of 2N equations for the unknown quantities $\gamma_i(t)$ and $A_i(t)$ 355 that impose: 1) satisfying global volume balance for each HF, and 2) requiring the HF 356 opening at the center, computed from elasticity and including the interaction stress, to 357 be compatible with the opening required by Eq. (20). Hence 358

$$\begin{cases}
2\pi\gamma_i(t)^2 L_i(t)^2 W_i(t) \int_0^1 \Omega_i(\rho_i, A_i(t)) \rho_i d\rho_i = \int_0^t Q_i(t) dt \\
\frac{4\gamma_i(t) L_i(t)}{\pi E'} \mathcal{F}\{0, T_i(\rho_i, A_i(t), t)\} = W_i(t)
\end{cases} \xrightarrow{\text{yields}} \{\gamma_i(t) \\
A_i(t)\} \qquad (24)$$

3.3 Motivation for Energy Calculation 359

It is useful at this point to summarize. The model presented here is constructed so 360 that it first and foremost exactly satisfies global fluid volume balance for each fracture. 361 The solution is also constructed so that the correspondence between the fluid pressure 362 and HF opening exactly satisfies elasticity equation for each fracture, up to a scaling of 363 the elasticity equation by the HF radius, which is chosen via γ_i to ensure that the 364 elastically-determined width at the inlet is compatible with the influx boundary 365 condition. Hence, we have replaced the need to solve for 3N unknowns (w_i , p_i , R_i) based 366 on 3N equations given by elasticity, propagation, and lubrication (Eqs. (3), (6), and (9), 367 respectively) with 2N unknowns (γ_i and A_i) satisfying 2N equations given by Eq. (24). 368 These, of course, depend implicitly upon the calculation of the interaction stress, which 369 we recall proceeds from Eq. (15) using the solution for a uniformly pressurized crack 370 with the same volume as the actual HF. 371

Besides approximating the interaction stress, the present solution method replaces 372 the propagation conditions $K_I = K_{IC}$ for each HF with a zero-toughness tip asymptote 373

compatible with elasticity and fluid flow and which is implicit in the form of the
pressure and opening solutions chosen here (see detailed discussions in [27,48,52]).
Hence, the solution henceforth is applicable to only the viscosity-dominated regime of
hydraulic fracture propagation. Generalization to finite toughness HFs is a subject of
ongoing work.

Importantly, for the present solution method, we must realize that Reynold's 379 lubrication equation is rather harshly approximated by simply ensuring global volume 380 balance and a functional form of the pressure and opening expected to arise at the 381 382 inlet and tip of the HF. Furthermore, the pressure gradient implied by the lubrication equation is very large near the inlet (Eq. (18)). Between these issues, it becomes 383 unreliable to use the distribution of the pressure from Eq. (17) to compute the inlet 384 385 pressures for the purpose of imposing the equal inlet pressure boundary condition (Eq. (10)). We therefore adopt an alternative where the inlet pressure for each HF is 386 computed in order to satisfy a global energy balance. These energetically-computed 387 388 pressures are then set equal to one another, providing an additional N-1 equations satisfying pressure continuity along the wellbore (Eq. 10), noting that at this point 389 additional energy loss due to perforations is readily accounted for (after [43]). When 390 combined with the condition that the sum of the influxes equal a constant total 391 392 wellbore pumping rate (Eq. (11)), we obtain in total an additional N equations by which we determine the N unknown values of the fracture influxes, $Q_i(t)$. 393

394 *3.4 Balancing Input Power*

The expression for the input power is obtained by equating the hydraulic rate of

work (product of the pressure and inflow rate) to terms associated with various energy
storage, work, and dissipation terms, that is (after [53,54])

398

$$p_{f(i)}(R_w, t)Q_i(t) = \dot{U}_i - \dot{W_{o(i)}} - \dot{W_{I(i)}} + D_{c(i)} + D_{f(i)}$$
(25)

399 where:

400	• <i>U</i> is a portion that goes into increasing the strain energy by deforming the rock
401	strain energy – this is the recoverable elastic energy.
402	• W_0 is the work done on the crack by the in-situ stress – the hydraulic input power
403	must be sufficient to overcome this negative work.
404	• $W_{\rm I}$ is the work done on each HF by the compressive stresses induced by its
405	neighbors – again the hydraulic input power must be sufficient to overcome this
406	negative work.
407	• D_c is the dissipation rate associated with rock breakage.
408	• D_f is the dissipation rate associated with viscous fluid flow.
409	Note that, consistent with the present limitation to the viscosity regime, without further
410	loss of generality we can assume $D_c \ll D_f$, and hence D_c is neglected. The remaining
411	terms can be defined following from basic continuum mechanics definitions. Here we
412	make use of the form already derived by [26] whereby

$$\dot{U}_{i} = \pi \int_{R_{w}}^{R_{i}} \left(T_{i} \frac{\partial w_{i}}{\partial t} + w_{i} \frac{\partial T_{i}}{\partial t} \right) r dr$$
(26)

$$\dot{W_{o(i)}} = -Q_i \sigma_o \tag{27}$$

$$\dot{W_{I(i)}} = -2\pi \left(\int_{R_w}^{\min(R_i, R_j)} \sigma_{I(i)} \frac{\partial w_j}{\partial t} r dr + \sigma_{I(i)} \frac{dR_i}{dt} R_i w_j(\frac{R_i}{R_j}) \right)$$
(28)

$$D_{f(i)} = \frac{\pi}{\mu'} \int_{R_w}^{R_i} w_i^3 \left(\frac{\partial p_{f(i)}}{\partial r}\right)^2 r dr$$
⁽²⁹⁾

Upon substitution unknowns A_i and γ_i with explicit dependence upon the unknown Q_i 413 via the expression for W_0 and with implicit dependence on Q_i via the solutions pressure, 414 width, and radius expressions. Additionally, in order to rapidly estimate the time 415 derivatives, they are approximated over a single time step according to the power law 416 growth of width, length, and pressure given by the single fracture solution of Savitski 417 and Detournay [27]. As such, the dimensionless width, length and pressure rate is set to 418 be consistent with power law growth of 1/9, 4/9 and 1/3 powers, respectively. Bringing 419 all of this together we obtain 420

$$\dot{U}_{i} = \sum_{j=1}^{N, j \neq i} \pi \gamma_{i}(t)^{2} \left(-\frac{2}{9}\right) \left(\frac{\mu' E'^{2} \langle Q_{i}(t) \rangle^{3}}{t}\right)^{\frac{1}{3}} \int_{0}^{1} \Omega_{i}(\rho_{i}, A_{i}(t)) \Pi_{net(i)}(\rho_{i}, A_{i}(t)) \rho d\rho$$
(30)

 $\dot{W_{I(l)}}$

$$= -\sum_{j=1}^{N,j\neq i} \left\{ \begin{array}{c} \pi min\{R_{i},R_{j}\} \\ \int_{0}^{min\{R_{i},1\}} \sigma_{I(i)}\left(\rho_{i}\frac{R_{i}}{R_{j,}}t\right) \frac{dw_{j}}{dt}\rho_{j}d\rho_{j} \\ +2\pi \left(\frac{\langle Q_{j}(t)\rangle\mu'}{2\pi B\left(\frac{\mu'E'^{2}}{t}\right)^{1/3}}\right)^{1/3} R_{i}\frac{dR_{i}}{dt}\sigma_{I(i)}(1,t)\Omega_{j}\left(\frac{R_{i}}{R_{j}},A_{j}(t)\right) \right\}$$
(31)

$$D_{f,p(\iota)} = \pi \left(\frac{\langle Q_i(t) \rangle^3 E'^2 \mu'}{2\pi B t} \right)^{\frac{1}{3}} \int_0^1 \Omega_i \left(\rho_i, A_i(t) \right)^3 \left[\left(\frac{\partial \Pi_{f(\iota)}(\rho_i, A_i(t))}{\partial \rho_i} \right)^2 - \left(\frac{B}{\rho_i} \right)^2 \right] \rho_i d\rho_i$$
(32)

$$D_{f,\ln(i)} = \pi \left(\frac{\langle Q_i(t) \rangle^3 {E'}^2 \mu'}{2\pi B t}\right)^{\frac{1}{3}} \int_0^1 \Omega_i (0, A_i(t))^3 \left(\frac{B}{\rho_i}\right)^2 \rho_i d\rho_i$$
(33)

421

422 where R is given by Eq. (21).

3.5 Summary and Implementation 423

424	The final version of the minimalist simulator satisfies:
425	• Volume balance globally.
426	• Poiseuille flow via an approximation that preserves the appropriate
427	behavior of the pressure near the tip and inlet, i.e. where most of the viscous
428	dissipation takes place.
429	• The interaction stress based on the solution for a uniformly pressurized
430	crack with the same radius and volume.
431	• The width-pressure elasticity relationship exactly.
432	• Propagation exactly, here limiting consideration to vanishingly small
433	fracture toughness.
434	• The condition of equal inlet pressures exactly, with the wellbore
435	approximated for each HF so as to be compatible with each HF's global
436	energy balance.
437	• The condition that the fracture influxes sum to the total injection rate
438	exactly.
439	Such an approach allows an ROM entailing solution of 3N equations for 3N
440	unknowns, with simple functional relationships connecting all other quantities. In
441	contrast, to solve the original problem using a fully meshed simulator, even a boundary
442	element-type (BEM) simulator, would require solving for $2N$ unknowns corresponding
443	the HF lengths and influxes plus an additional $4NM^2$ for the nodal values of the pressure,
444	width, flux, and interaction stress on an MxM mesh for each HF in the array. If the mesh

445 consists of 10-1000 elements in each direction, the ROM represents a reduction in 446 degrees of freedom on the order of 10^{1} - 10^{6} compared to a large-scale model. Indeed 447 this will be shown to be on the order of the factor by which the computational times 448 differ between the ROM and benchmark simulations. The algorithm used by C3Frac to 449 implement this approach is as follows:

- 450 1) User inputs: Set values for the physical parameters {E, v, K_{IC} , μ , Q, Z, σ_{min} , 451 R_{w} , $h_{i,j}$ } as well as the initial time, final time, and time step for the 452 calculation, { t_0 ; t_f ; Δt }, respectively.
- 453 2) Pre-guessed state: Set $Q_i^{(k);1} = Q_i^{(k-1)}$. Then fluid pressure $p_{f(i)}^{(k);1}$, 454 length $R_i^{(k);1}$, width $w_i^{(k);1}$ of each HF (i = 1,..., N) is predicated 455 according to Eq. (17), (20) and (21).

456
$$w_i^{(k);1}(\rho) = \left(\frac{\mu'^2 \left[Q_i(t^{(k)})^{(k);1}\right]^3 t^{(k)}}{E'^2}\right)^{1/9} \Omega_i(\rho_i, A_i(t)^{(k);1})^{(k);1}$$

457
$$R_i^{(k);1} = \left(\left(\frac{E't^{(k)}}{\mu'} \right)^{\frac{1}{3}} Q_i(t^{(k)})^{(k);1} t^{(k)} \right)^{\frac{1}{3}} \gamma_i^{(k);1}$$

458
$$P_i^{(k);1}(\rho) = \left(\frac{\mu' E'^2}{t^{(k)}}\right)^{1/3} \Pi_{net(i)}(\rho_i, A_i(t))^{(k);1}$$

For the first-time step, the dimensionless parameters for a viscositydominated HF are presented by [27] with small adjustments to the coefficients demonstrated by [26]. The interaction stress is estimated as Eq. (15):

463
$$\sigma_{I(i)}^{(k);1} = \sum_{j=1}^{N, j \neq i} \sigma_{j,i}^{(k);1} \left[\rho_i \gamma_i^{(k)} R_i^{(k);1} / R_j^{(k);1}, \frac{h_{j,i}}{R_j^{(k);1}} \right]$$

464 3) Then the $A_i^{(k);1}$ and $\gamma_i^{(k);1}$ are solved by the system Eq. (24):

465
$$\begin{cases} 2\pi \left(\gamma_{i}^{(k);1}L_{i}(t^{(k)})^{(k);1}\right)^{2}W_{i}(t^{(k)})^{(k);1}\int_{0}^{1}\Omega_{i}(\rho_{i},A_{i}(t)^{(k);1})^{(k);1}\rho_{i}d\rho_{i} \\ -\int_{0}^{t^{(k)}}Q_{i}(t^{(k)})^{(k);1}dt = 0, \quad i = 1, \dots, N \\ \frac{4\gamma_{i}^{(k);1}L_{i}(t^{(k)})^{(k);1}}{\pi E'}\mathcal{F}\{0,T_{i}(\rho_{i},A_{i}(t)^{(k);1},t^{(k)})\} \\ -W_{i}(t^{(k)})^{(k);1} = 0, \quad i = 1, \dots, N \end{cases} \end{cases}$$

To obtain the solution, the system of equations is solved numerically using 466 4) Newton's method. Based on the above calculated value, the stress strain 467 coupled local crack opening, net pressure and radius is numerically 468 evaluated. We then substitute the stress coupled $\Omega_i^{(k);1}$, $\gamma_i^{(k);1}$ into the 469 power balance function. Use non-linear solver (e.g. Matlab "fsolve") to 470 obtain the N influxes $Q_i^{(k);2}$ simultaneously satisfying the constraints that 471 the pressure at the inlet of all of the fractures is the same (i.e. connected 472 by a horizontal wellbore with negligible friction loss along the wellbore 473 between the entry points) and a further constraint that the sum of all 474 influxes to the fractures must equal the total influx to the well. That is, 475

476
$$p_{f(1)}^{(k)}(R_w) = p_{f(2)}^{(k)}(R_w) = \dots = p_{f(N)}^{(k)}(R_w), \qquad \sum_{i=1}^N Q_i^{(k)} = Q_o$$

Here a critical point is that the pressures are estimated using the
energy balance equation via Eq. (25). Upon substitution of the estimates
for the power terms Eqs. (20), (21) and (30)-(33) this estimate is

$$\begin{split} p_{f(i)}^{(k);n}(R_{w}) &= \sigma_{o} + \dots \\ & \left[-\frac{2}{9} \left(\frac{E^{*2} \left(\mathcal{Q}_{i}^{(k);n} \right)^{3} \mu^{*}}{t^{(k)}} \right)^{1/3} \int_{0}^{1} \Pi_{net(i)}^{(k);n} \Omega_{i}^{(k);n} \rho_{i} d\rho_{i} - \dots \\ & \left\{ \left(\frac{1}{9} \right)^{N,j\neq i}_{j=1} \left\{ \frac{W_{j}^{(k);n}}{t^{(k)}} \left[\min \left(R_{i}^{(k);n}, R_{j}^{(k);n} \right) \right]^{2} * \dots \\ & \left\{ \int_{0}^{\min \left(R_{i}^{(k);n} / R_{j}^{(k);n}, 1 \right)} \sigma_{I} \left(\rho_{i} \frac{R_{i}^{(k);n}}{R_{j}^{(k);n}}, \zeta_{j,i}^{(k);n} \right) \Omega_{j}^{(k);n} \rho_{j} d\rho_{j} \right\} + \dots \\ & \left\{ \left(\frac{4}{9} \right) \frac{\left(R_{i}^{(k);n} \right)^{2}}{t^{(k)}} \sum_{j=1}^{N,j\neq i} w_{j}^{(k);n} \Omega_{j}^{(k);n} \left(R_{i}^{(k);n} / R_{j}^{(k);n} \right) \sigma_{I} \left(1, \zeta_{j,i}^{(k);n} \right) \right. \right\} + \dots \\ & \left\{ \left(\frac{4}{9} \right) \frac{\left(R_{i}^{(k);n} \right)^{2}}{t^{(k)}} \sum_{j=1}^{N,j\neq i} w_{j}^{(k);n} \Omega_{j}^{(k);n} \left(R_{i}^{(k);n} / R_{j}^{(k);n} \right) \sigma_{I} \left(1, \zeta_{j,i}^{(k);n} \right) \right. \right\} + \dots \\ & \left(\frac{E^{*2} \mu^{*} \left(\mathcal{Q}_{i}^{(k);n} \right)^{3}}{t^{(k)}} \right)^{1/3} \ln \left(\frac{R_{w}^{9} \mu^{*}}{E^{*} \left(\mathcal{Q}_{i}^{(k);n} \right)^{3}} \right)^{1/3} + \dots \\ & \left(\frac{E^{*2} \mu^{*} \left(\mathcal{Q}_{i}^{(k);n} \right)^{3}}{t^{(k)}} \right)^{1/3} \int_{0}^{1} \left(\Omega_{i}^{(k);n} \right)^{3} \left(\left(\frac{\partial \Pi_{f(i)}^{(k);n}}{\partial \rho_{i}} \right)^{2} - \frac{B^{2}}{\rho_{i}^{2}} \right) \rho_{i} d\rho_{i} \\ \end{array} \right] \end{split}$$

481 Note the simplicity of the modification, illustrating the potential to include 482 other mechanisms (e.g. fluid leakoff, perforation loss and previous stage 483 effect) in a straightforward manner provided their contribution to the 484 global energy balance can be computed.

485 5) Check the relative difference between initially guessed $Q_i^{(k);1}$ and 486 returned $Q_i^{(k);2}$. If the value is below a given tolerance that is

487
$$\left[Q_{i}^{(k);N} - Q_{i}^{(k);N-1}\right] / Q_{i}^{(k);N-1} < TOL$$

488 then output the $Q_i^{(k);2}$ as the final result. If not, iterate to convergence.

t.

489 6) Repeat steps (2)-(5) until
$$t^{(k)} =$$

490 Note that the new C3Frac bears a few similarities to the previously-published C2Frac 491 [26]. Similarities include they both solve the flow rate based on the power balance with 492 Newtonian numerical method. However, the striking and important difference lies in 493 the solution of width, radius and pressure, which is solved by using an asymptotic 494 solution [after 27] in C2Frac. In contrast, C3Frac uses Eq. (24) to obtain the non-self-495 similar solution caused by the inconstant flow rate with interaction stress included. The 496 result is that C3Frac and C2Frac give very similar predictions when the fracture radii 497 are less than the fracture spacing, and they diverge as the fractures continue their growth 498 such that the courser approximation of the interaction stress and elasticity equation used 499 in C2Frac becomes less accurate.

500

4 Validating and Overall Behavior of the Solution

We validate and illustrate the use of the model considering cases with 5 HFs. The 501 fractures are placed symmetrically relative to the middle fracture. Hence the "outer" 502 fractures, 1 and 5, are identical. So also the "inner" fractures, 2 and 4, are identical. 503 Fracture 3 always occupies the center of the array and will henceforth be called the 504 "middle" fracture. The validating is comprised of comparison of the C3Frac 505 approximations (ROM) to fully coupled large-scale ("high fidelity") simulations 506 obtained using ILSA II (after [19], using similar validating cases to [26]). ILSA II is 507 extended for multiple, parallel planar hydraulic fractures [19] based on the Implicit 508 Level Set Algorithm ("ILSA") [25] ILSA by accounting for full 3D elastic coupling 509 between the simultaneously propagating fractures. The Implicit Level Set Algorithm 510 ("ILSA") is a fully coupled simulator for 3D hydraulic fractures under the constraint 511 that fracture growth is confined to a pre-defined plane. It's utility is similar to other 512 planar3D hydraulic fracture simulators (see review of Lecampion et al[55]), with the 513 key novelty of enabling accurate solutions on very coarse meshes by embedding an 514 appropriate tip asymptotic behavior and then computing the moving boundary 515

516 condition of the advancing crack tip through an implicit time stepping method that 517 projects the front location based on these known asymptotics. Like several other planar 518 3D hydraulic fracture simulators, the elasticity equation is solved using a 3D 519 displacement discontinuity method and fluid flow is solved using the Finite Volume 520 method. The following parameter set is used for both the C3Frac and ILSA II 521 simulations:

522
$$E=9.5$$
 GPa, $v=0.2$, $K_{IC}=0$ MPa·m^{1/2},

523 $\mu=1 \text{ Pa}\cdot\text{s}, \underline{O}_0=0.1 \text{ m}^3/\text{s}, Z=20 \text{ m},$

524 $\sigma_o = 70 \text{ Mpa, Rw} = 0.2 \text{m.}$

For each case, we present comparisons of the time evolution of fracture radius, fluid 525 influx to each fracture, fracture opening at the center, and total fracture area. We also 526 527 present three-dimensional plots showing the radius of each HF with color scale corresponding to the HF width. Figs. 3 and 4 show results from a case where the HFs 528 are uniformly spaced so that $h_1 = 5$ m and hence fracture planes have z coordinates (in 529 meters) $z_1=0$, $z_2=5$, $z_3=10$, $z_4=15$, and $z_5=20$. Figs. 5 and 6 show results corresponding 530 to a non-uniformly spaced array in which fractures 2 and 4 are moved so that h_1 =3.6 m, 531 corresponding to fracture planes having z coordinates (in meters) $z_1=0$, $z_2=3.6$, $z_3=10$, 532 $z_4=16.4$, and $z_5=20$. These results presented include: The dimensionless radius $R_i(t)/Z_i$ 533 the inflow rate $q_i(R_w, t)$, the crack aperture at inlet $w_i(R_w, t)$ and total fracture area 534 defined as 535

$$A(t) = \sum_{i=1}^{N-1} R_i^{\ 2}(t) \pi \tag{34}$$

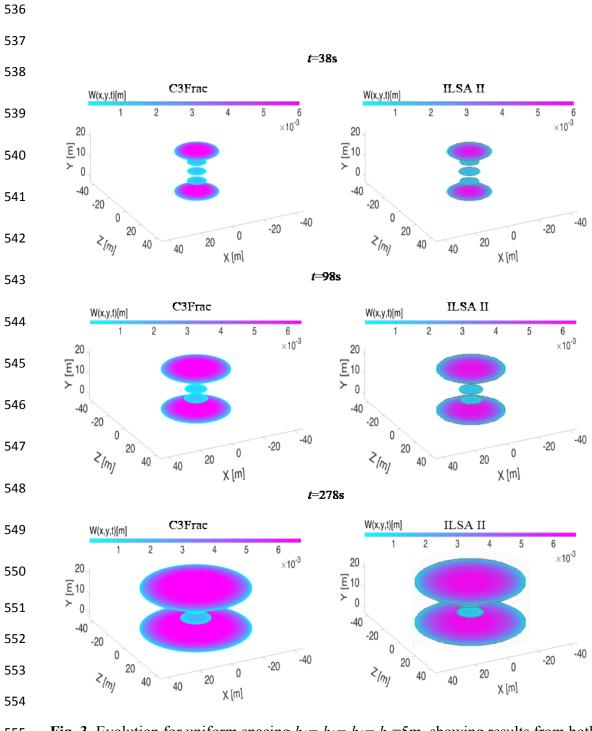


Fig. 3. Evolution for uniform spacing $h_1 = h_2 = h_3 = h_4 = 5$ m, showing results from both C3Frac (ROM) and ILSA II (large scale).

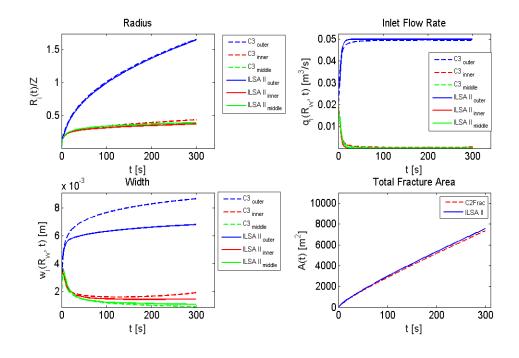
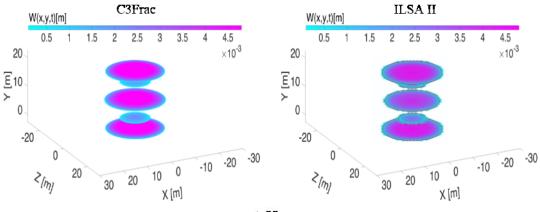




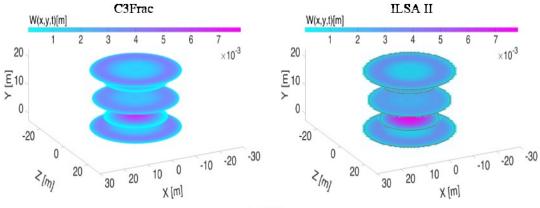
Fig. 4. C3Frac compared with ILSA II for a uniform array with $h_1 = h_2 = h_3 = h_4 = 5$ m.

564

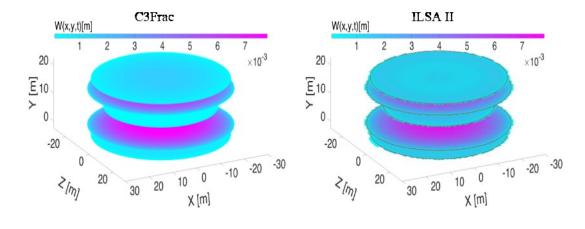
t=38s











565 566

Fig. 5. Evolution for non-uniform spacing $h_1 = h_4 = 3.6$ m and $h_2 = h_3 = 6.4$ m.

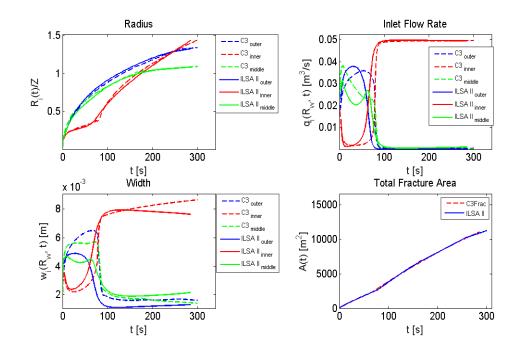




Fig. 6. C3Frac compared with ILSA II for non-uniform array with $h_1 = h_4 = 3.6$ m and $h_2 = h_3 = 6.4$ m.

572

Overall the ability of C3Frac to approximate the fracture radius and area is very 573 good. The inlet flux is also adequately approximated, with several observations that can 574 be made. Firstly, we observe the stress-shadowing phenomenon in which outer fractures 575 grow preferentially while growth of the inner fractures is stunted. This phenomenon has 576 also been observed by many others (e.g.[11-14]), and is strongly evidenced in the 577 uniform spacing case (Fig. 4), where the inflow to the outer fracture increases sharply 578 to 0.05m^3 /s and consumes nearly all the total injection rate after 20 seconds. The 579 localization of growth in the outer fractures is understandable because they have no 580 constraint on their growth from outside the array. At the same time, flow rate to the 581 other fractures decreases to approach zero. This is understood because the interior 582 583 fractures have to compete with one another in an induced compressive stress field that is established by the outer fractures and enhanced by any additional growth by the 584

interior fractures. The localization to the outer fractures becomes more pronounced with time while growth of the inner fractures is minimal for uniform spacing (h_1 =5m) case (Fig. 3)

⁵⁸⁸ Upon changing the spacing h_1 from 5m to 3.6m, the induced stresses from the inner ⁵⁸⁹ fractures on the middle fracture decrease as the spacing between the inner and middle ⁵⁹⁰ increases. Under this spacing, the inlet flow rate to the outer fractures consumes less of ⁵⁹¹ the total influx to the wellbore and the middle fracture's flow rate is only slightly less ⁵⁹² while the flow rate to the inner fracture remains almost constant with time. A similar ⁵⁹³ behavior was observed by [19].

Further fracture growth is driven by a somewhat surprising mechanism. Capturing 594 this mechanism is critical to matching the benchmark ILSA II simulations, and this was 595 596 not possible with the prototype C2Frac model presented by [26]. The present work has focused on better approximating the stress interaction among the fractures especially 597 when the radius exceeds the spacing. The "squeeze out" phenomenon (first observed 598 by [19]) approximated by this new version C3Frac is described as follows. Due to the 599 relative growth difference among the five fractures, the interaction stress induced from 600 inner fractures obtains a negative value (tensile) near the tip. Combined with the impact 601 of the moving boundary on the time derivative of the energy integral, a decreased 602 interaction stress contribution is formed in the total energy balance for inner fractures 603 via Eq. (28). 604

In the current example, the dominance of the fractures, 1, 3, and 5 is thus stopped by the reversal of the inner fractures at 50s (see Fig. 6). The fluid that was in these

fractures in the region near the wellbore is subsequently displaced toward the perimeter 607 as they are subjected to the induced stress associated with the now rapidly inflating 608 609 inner fractures. This outward squeezing of the fluid has the effect of advancing the fracture by the displacing the fluid from the vicinity of the wellbore rather than by influx 610 611 from the wellbore. A new phase is reached in which the role of the inner fractures switches from being passive and accepting relatively little fluid to accepting the 612 majority of the fluid and actively driving the dynamics of the fracture development 613 throughout the array. The increased uptake of fluid in the inner fractures also has a 614 615 suppressing effect on outer fractures. As a side effect, the middle fracture gets a chance to take in more fluid from the wellbore, which is also depicted by a small rise (Fig. 6) 616 shortly after t_s . At t=80s, the suppression effect from inner fractures also starts to affect 617 618 middle fracture, and ultimately chokes further uptake of fluid into fractures 1, 3, and 5. Note that for the uniform spacing, the inner fractures never switch from being stunted 619 to being dominant because they do not grow sufficiently to be impacted by the negative 620 stress induced by the ratio h/R. 621

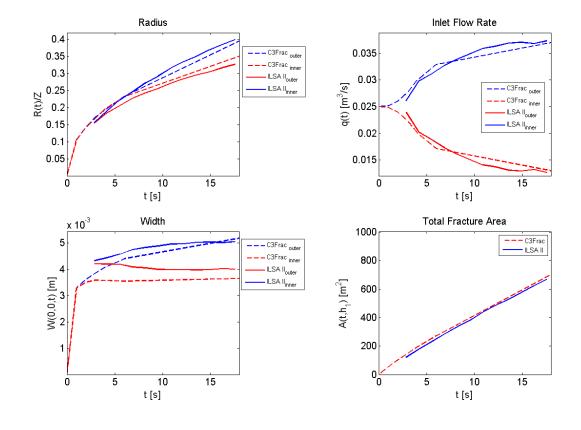
Besides the very good agreement between C3Frac and ILSA II, the C3Frac results also indicate the temporal and spatial character of crack opening (Fig. 6 and Fig. 4) in which the penny-shaped geometry is valid until the extension of the fracture becomes of the order of the stage length. As time goes on, a compressed region, approaching closure ($w_i(\rho_i, t) \cong 0$), appears owing to the interaction stress performed by inner fractures during the reversal process (Fig. 6).

628 Since the total fractured area can be related to the potential recovery of

hydrocarbons (e.g.[4]), total fractured area is an important metric of hydraulic 629 fracturing effectiveness (e.g. [19]). Here we define $A_{total}(t)$, which is the summation of 630 surface area $A_i(t)$ over all the fractures, where $A_i(t) = \pi R_i(t)^2$. When all the fractures 631 are small, so that their mutual stress interactions are insignificant, all configurations 632 generate surface area at roughly the same rate and almost linearly with the time. 633 However, for t > 50 s, because of the ever-increasing interaction effects, the h_1 =3.6m 634 case (12,000 m², Fig. 6) generates more area than the uniform cases (7,500 m², Fig. 4). 635 Note that the same total volume is injected over the same time of pumping for these 636 637 two cases. The reason for larger surface area in the non-uniform spacing case is a beneficial effect of the reversal fractures, causing dominance of fractures 2 and 4 in the 638 latter part of the injection and an overall more uniform distribution of total volume 639 640 among the 5 fractures. Hence these results show the total fractured area can be increased by more than 60% by selecting configurations for which h_1 =3.6 m, as result consistent 641 with [19]. 642

643 Furthermore, non-uniform four and six fractures are also employed to test the validation between C3Frac and ILSA II. Fig. 7 shows results from a four fracture case 644 where the HFs are non-uniformly spaced so that $h_1 = 5$ m and hence fracture planes 645 have z coordinates (in meters) $z_1=0$, $z_2=5$, $z_3=15$, and $z_5=20$. Fig. 8 shows results for a 646 non-uniformly spaced six-fracture array in which fractures 2, 3, 4 and 5 are moved so 647 that h_1 =2.75 m, h_2 =4.25 m, corresponding to fracture planes having z coordinates (in 648 meters) $z_1=0$, $z_2=2.75$, $z_3=7$, $z_4=13$, $z_5=17.25$ and $z_5=20$. The level of agreement between 649 the ROM of C3Frac and the large scale model of ILSA II is similar to what was obtained 650

for five fracture cases. We also note that the aforementioned "squeeze-out" is observed 651 in the six fracture case but not in the four fracture case presented here, although further 652 numerical experimentation may lead to discovery of squeeze-out in certain non-uniform 653

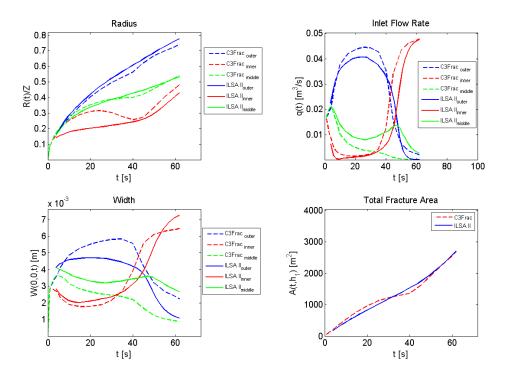


four fracture cases as well. 654

655

Fig. 7. C3Frac compared with ILSA II for a non-uniform four fracture array with h_1 = 656

 $h_3 = 4$ m, $h_2 = 12$ m. 657



659

Fig. 8. C3Frac compared with ILSA II for non-uniform six fracture array with $h_1 = h_5 = 2.75$ m, $h_2 = h_4 = 4.25$ m and $h_3 = 6$ m.

So far we have discussed the overall behavior of the system illustrated both by 662 663 C3Frac and the ILSA II benchmarks. But most importantly, Figs. 3-8 show the similarity between C3Frac and ILSA II. Typically, C3Frac remains within 2% relative 664 to the ILSA II benchmark for fracture area. The worst match is in the fracture opening 665 at the wellbore, which is in about 10% discrepancy for the inner fracture and as much 666 as 50% for the outer and middle fractures. Note that in the far field (short HF) previous 667 version C2Frac [26], simulates the radial growth only in the range that R_{max}/Z is smaller 668 than 0.6. Through the substantially modified solution method algorithm, the 669 approximation to the benchmark ILSA II is achieved even after the fracture radii exceed 670 the total stage length. 671

- 673 **Table 1**
- 674 Computation time compare between C2Frac, C3Frac and ILSA II for uniform fracture

Uniform Five	C2Frac	C3Frac	ILSA II
Computation time	1.06s	255 s	220612 s
Simulation time & Steps	t=203 s 128 steps	t=203 s 128 steps	t=203 s 128 steps
Processer & RAM	INTEL-i7 4770k 4.00 GHz. 32 GB RAM	INTEL-i7 4770k 4.00 GHz. 32 GB RAM	INTEL-XEON E5649 2.53 GHz 96 GB RAM

array at same simulation time and steps.

676

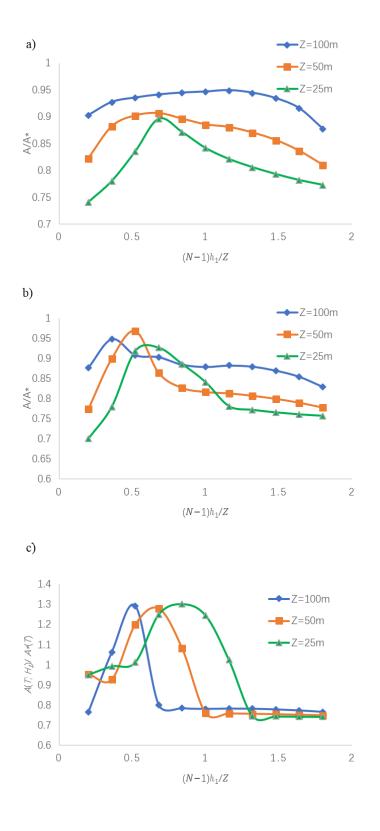
While achieving the previously-demonstrated accuracy, the simulator takes only 677 678 minutes to compute a single multi-fracture result at typical reservoir length and time scales on a personal computer. Although this is much slower than C2Frac, which 679 computes in a few seconds, the benefit is the ability to simulate even when the fractures 680 are long relative to their separation. To this point, an illustration of computation time 681 for C2Frac, C3Frac, and ILSA II is presented in Table 1. Note, however, that the 682 computation time of ILSA II for each time step continuously increases because the 683 advancing front leads to an ever-increasing number of elements in the simulation, there 684 is no such increase in computation time per model time step in C2Frac or C3Frac. We 685 also note that there is a possibility to significantly speed up the simulations by 686

combining C2Frac and C3Frac, where the former is used to simulate growth until the 687 maximum fracture length reaches some threshold (say, around half of the stage length), 688 after which C3Frac is used to compute the rest of the growth, For example, in h_1 =3.6m 689 case, before the squeeze out effect occurs (the point in time where the C3Frac 690 enhancement become most important), the fracture growth can be well-approximated 691 by C2Frac in seconds, which in this case would save 3 minutes of computation time 692 over using C3Frac only. Either way, the simulations are much faster than fully coupled 693 simulations, which can take tens of hours and up to a week to compute on a similar 694 695 computer. Because of the speed of calculation and reasonable accuracy, this new approximate simulator opens new possibilities to explore large parametric spaces, 696 identifying combinations of parameters associated with optimal behaviors (i.e. 697 698 maximizing fracture surface area) and enabling time consuming but accurate fullycoupled simulations to be focused on these regions of interest in the parametric space 699 that governs the behavior of the system. 700

701 **5** Parametric Study

A few examples illustrate the optimization enabled by the rapid computation times associated with C3Frac. The metric by which we evaluate the performance of a given configuration is taken as the total surface area of all the fractures in the array until time *t*, which we represent by $A(t; h_1)$. It is useful to normalize by $A^*(T)$, the total fracture area of *N* non-interacting fractures each taking the same total volume of fluid and growing exactly uniformly according to the relevant analytical solution [27]. The ratio $A(t; h_1)/A^*(T)$ represents the relative change in the total fractured area that is achieved by adjusting h_l . We plot $A(T; h_l)/A^*(T)$ as a function of the dimensionless configuration parameter $h_l(N-1)/Z$, with various stage lengths *Z* and injection rates *Q*. These results are presented in Figs. 7 and 8, where we note that the uniform spacing $h_l=Z/(N-1)$ is represented as 1, while its limiting values of 0 and 2 correspond to nonuniform limiting cases with $h_l=0$ (touching of fractures 1-2 and 4-5) and $h_l=2*Z/(N-1)$ (touching of fractures 2-3-4), respectively.

First, we illustrate the impact of stage length, keeping all other quantities such as 715 injection rate and time equal, Fig. 7. We compare results for stage length Z = 25m, 50m 716 and 100m. We observe that the uniformly-spaced configuration, coming with a 717 significant stress shadow especially at Z=50 and 100m, corresponds to a lower 718 normalized area around 0.75. By decreasing h_1 below Z/(N-1), that is, by moving the 719 2^{nd} and 4^{th} fractures away from the center fracture as suggested by [19], results in 80% 720 to 120% relative increase in the total fractured area. This increase comes for all stage 721 lengths, despite the existence of some important differences. Most notably, a smaller 722 723 interval ratio $h_l(N-1)/Z$ is required to maximize the generated area for the largest stage length. This is because such a small interval length is needed to stimulate the squeezing 724 effect, which turns out to have an important impact on maximizing the fracture area. 725 Also note that the sensitivity of the total, final area to the spacing (derivative of the 726 plots in Fig. 7) tends to be greater for the larger interval length and at larger injection 727 times, meaning that such spacing optimization is more important when interval lengths 728 729 and/or injection times are large.



730 731

Fig. 7. Normalized dimensionless total fracture area $A(T; h_1)/A^*(T)$ evolution with various stage length Z in the five-fracture array for different values of the spacing h_1 for Q=0.2 m³/s and t as a) 50 s b) 300 s c)3600 s.

The prior increases in productivity (inferred from the surface area) of uniform 736 spacing stimulations by using smaller stage lengths Fig. 7 come without need for 737 738 increasing injection rate. To investigate if there is benefit in optimizing in terms of injection rate, we plot the normalized area $A(T; h_l)/A^*(T)$ versus the configuration 739 perturbation parameter h_1 for a representative selection of values of the injection rate 740 Q_{ρ} given by 0.1m³/s, 0.2m³/s and 0.3m³/s, adjusting injected volume to ensure 741 satisfaction of the viscosity regime requirement. The total injection volume is preset as 742 120 m³ and 720 m³ and stage length is 50m. 743

We observe that the shapes of these curves are very similar, but a little shifted over 744 the range of values of the configuration parameter considered. This is due to fluid flow 745 that follows Poiseuille law, Eq. (4). For the sake of argument, assume we can ignore 746 747 differences in the pressure gradient between fracture entry points. Then the crack opening near the inlet $w_i(R_w, t)$ is proportional to the inlet flow rate $q_i(t)^{1/3}$. When 748 the injection rate is set to be $0.2 \text{ m}^3/\text{s}$, the crack width is 1.26 times larger than in the 749 case where $Q_o=0.1 \text{ m}^3/\text{s}$. Hence, for the same injected volume, the cases with larger 750 average width (opening) give a smaller fracture area. This relationship is the cause of 751 the observed differences in Fig. 8, where $Q_o = 0.1 \text{ m}^3/\text{s}$ leads to about 30% more 752 fractured area than $Q_o = 0.2 \text{ m}^3/\text{s}$. Otherwise, for a given injection rate, the total crack 753 opening is maximized for the spacing that also achieves the maximum area, as 754 illustrated by Fig. 8(a) and Fig. 8(b). The reason is that flow rate becomes the most 755 uniform in its distribution at that spacing. This observation holds for a while, until the 756 fractures become very long relative to their spacing. In this super-near-field region, the 757

fracture opening profile indicates that the opening in the vicinity of the tip increases at the cost of decreasing the opening of the central portion Fig. 5. Thus, the maximum width eventually does not correspond to the spacing that generates the maximum area.

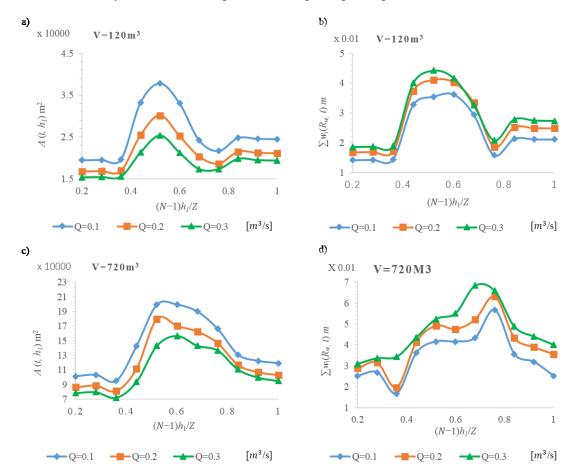


Fig. 8. Illustrative examples of injection rate effect for total fractured area $A(T, h_1)$ and summation of near wellbore width $\sum W(0,T, h_1)$ respectively, in which the HF parameters such as input volume are set as a)120 m³ b) 120 m³ c)720 m³ d) 720 m³

766 6 Conclusion

A new approximate ROM simulator, C3Frac, rapidly predicts how mechanical interaction among simultaneously growing radial hydraulic fractures effects their growth. This approximate simulation method is based on preserving global volume and energy balance and the elastically-determined crack opening while approximating the

fluid flow via a functional form preserving the pressure gradient near the inlet and tip and approximating the interaction stresses based on the analytical solution for uniformly pressurized cracks with the same length and volume as each hydraulic fracture. Validating through comparison to results from a fully-coupled, large scale planar 3D model (ILSA II) confirm the accuracy of the approximation, especially for prediction of the length of each fracture and the overall created fracture surface area.

The ROM is able to capture complex coupled phenomena. When the spacing 777 between fractures is uniform, the model confirms the phenomenon of stress shadowing 778 779 in which growth of one or more fractures is suppressed by the stresses generated by their neighbors. However, we have also shown that the model captures a "squeeze out" 780 phenomenon that takes place for certain non-uniform fracture spacing configurations 781 782 when the fracture radii substantially exceed the spacing. Simulations suggest there is the potential to increase the total fractured area in the array after 3600 seconds of 783 pumping by 100% compared to the uniform array for which the squeeze out effect does 784 785 not occur and the inner fractures are simply suppressed in their growth.

The ROM simulator computes within a few minutes on a typical personal computer, thereby enabling wide ranging parametric studies and optimization that requires hundreds of model evaluations. As a demonstration of this capability, it is shown that non-uniform spacing is one of several ways to impact the uniformity and total surface area of created fractures. Stage length and injection rate also provide variable parameters for optimization. From our study, strategic stage length choice is shown to be a complimentary approach. Somewhat counter-intuitively, we show decreasing stage result in the ability to generate fracture surface area with relatively uniform spacing because of the ability of shorter stage lengths to trigger the squeeze out effect. The numerical experiments also indicate that smaller injection rate generates more fracture area for a given injected volume, as expected due to the lower net pressure and resulting fracture opening. As a tradeoff, such a design will decrease the capacity for proppant admittance due to the smaller opening.

In summary, this work provides not only a new method for reduced order modeling 799 of hydraulic fractures, but also, practically, a demonstration that the stress shadow effect 800 801 can be modified and to some degree mitigated through selectable treating conditions such as fracture spacing, stage length, and injected volume. While beyond the present 802 scope, there is more that can be optimized such as fluid flow rate, fluid viscosity, and 803 804 so on. Future work will aim at expanding capability for optimizing horizontal well completions. These efforts will firstly be aimed at including the impact of leak off, 805 fracture toughness, and the presence of height growth barriers. Future work will also 806 807 focus on including proppant transport and developing benchmark laboratory and field experiments. 808

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