

# Influence of Atomic-Level Morphology on Catalysis: The Case of Sphere and Rod-Like Gold Nanoclusters for CO<sub>2</sub> Electroreduction

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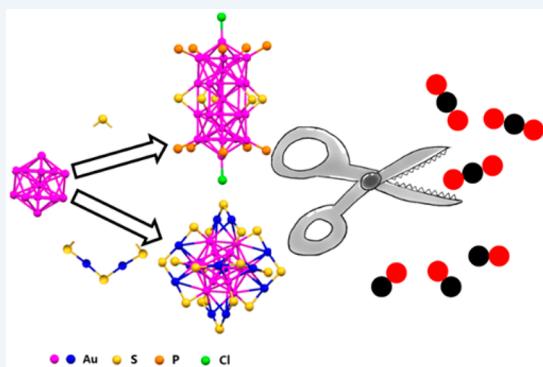
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## Supporting Information

**ABSTRACT:** Gold-based materials hold promise in electrocatalytic reduction of CO<sub>2</sub> to fuels. However, the polydispersity of conventional gold nanostructures limits mechanistic studies. Here, we report two types of atomically precise Au<sub>25</sub> nanoclusters (1 nm) with distinct morphology (i.e., nanosphere and nanorod) for CO<sub>2</sub> reduction catalysis. The Au<sub>25</sub> nanosphere exhibits higher Faradaic efficiency for CO with higher formation rates compared to the Au<sub>25</sub> nanorod. First-principles calculations reveal that the negative charge and the energetically favorable removal of one ligand to generate an active site on the nanosphere can better stabilize the important \*COOH intermediate in CO<sub>2</sub> electroreduction.



**KEYWORDS:** gold nanoclusters, atomic precision, morphology, CO<sub>2</sub> electroreduction

Ultrasmall, ligand-protected metal nanoclusters constitute an emerging class of novel nanomaterials and possess precise compositions and structures as well as extremely large surface-to-volume ratios, which are promising for heterogeneous catalysis.<sup>1–4</sup> Atomically precise, thiolate-protected gold nanoclusters (denoted as Au<sub>n</sub>(SR)<sub>m</sub>, where *n* and *m* are the numbers of gold atoms and thiolate ligands, respectively) have received intense interest owing to their high stability and molecular purity, as well as the availability of structures solved by X-ray crystallography.<sup>5,6</sup> In terms of the electronic properties, gold nanoclusters consisting of a few tens to several hundred atoms (1–3 nm) constitute the size regime from molecular-like (e.g., with a sizable HOMO–LUMO gap) to plasmonic nanoparticles (e.g., metallic state).<sup>5</sup> The special size regime and strong quantum confinement of electrons impart metal nanoclusters with unique properties and thus such nanoclusters hold great promise in diverse applications such as catalysis,<sup>7–14</sup> sensing,<sup>15,16</sup> optics,<sup>17–20</sup> and energy-conversion processes.<sup>21,22</sup>

With respect to research on CO<sub>2</sub> conversion, it is well-known that the heavy reliance on fossil fuels leads to the release of excessive amounts of CO<sub>2</sub> and causes global warming issues. Thus, converting greenhouse gas to energy-dense fuels by electrochemistry techniques is a promising way to mitigate atmospheric CO<sub>2</sub>.<sup>23,24</sup> Gold-based catalysts such as Au nanoparticles and nanowires show excellent activity as well as high selectivity toward CO.<sup>25–32</sup> Gold nanoparticles were reported to exhibit size-dependent catalytic activity and

selectivity.<sup>26</sup> Morphology control and surface engineering of the catalysts have been recognized as effective ways for tuning the catalytic performance.<sup>27–30</sup> However, fundamental understanding of CO<sub>2</sub> catalytic reduction is still limited by the polydispersity and ill-defined surfaces of conventional nanocatalysts. For example, quite different catalytic performance could be observed from nanoparticles of identical size, because such nanocatalysts, though being the same size (e.g., 5 nm), may have quite different surface structures and atomic-level morphology.<sup>26</sup> In this context, atomically precise gold nanoclusters with uniform structure are particularly attractive and hold great promise in fundamental studies.<sup>33–36</sup> Kaufmann et al. first reported the utilization of gold nanoclusters for CO<sub>2</sub> electroreduction to CO with high selectivity.<sup>33</sup> Compared to conventional nanocatalysts, atomically precise gold nanoclusters can serve as a well-defined platform for mechanistic studies.<sup>36</sup>

In this work, we investigate two types of Au nanoclusters of identical size (i.e., 25 atoms) but distinctly different atomic packing structures or morphology (i.e., Au<sub>25</sub> nanosphere and Au<sub>25</sub> nanorod) as electrocatalysts for CO<sub>2</sub> reduction. Interestingly, the Au<sub>25</sub> sphere exhibits constantly higher activity and selectivity for CO<sub>2</sub> reduction to CO than the Au<sub>25</sub> rod does. Based on density functional theory (DFT) calculations,

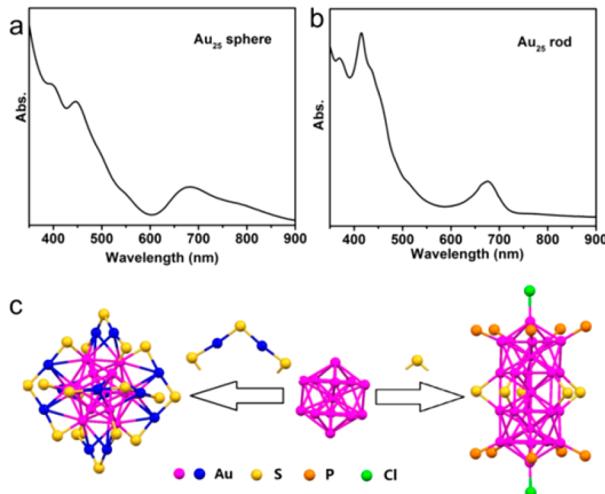
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we attribute the higher catalytic performance of the  $\text{Au}_{25}$  nanosphere to its negative charge and the energetically favorable exposure of an active site on the nanosphere that stabilizes the key  $^*\text{COOH}$  intermediate.

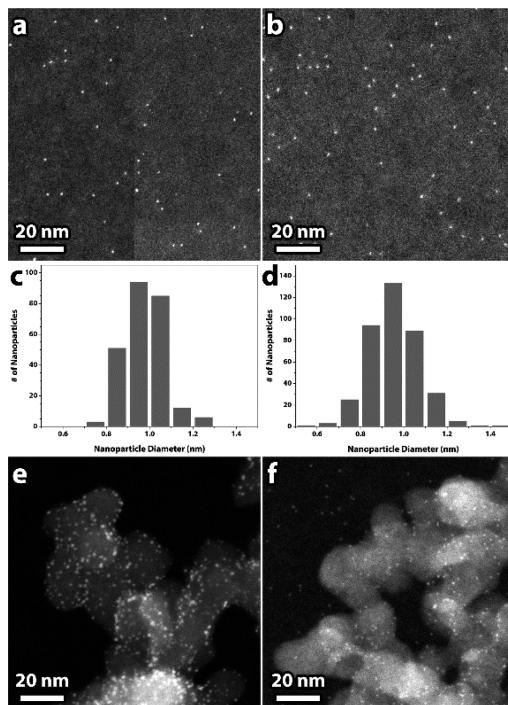
The syntheses of  $\text{Au}_{25}$  nanosphere and nanorod clusters followed the reported protocols.<sup>37,38</sup> The UV-vis spectra are characteristic of specific nanoclusters (different sizes or shapes) and thus can conveniently be used to confirm the purity. As shown in Figure 1a,b, the  $\text{Au}_{25}$  nanosphere exhibits distinct



**Figure 1.** UV-vis spectra of  $\text{Au}_{25}$  nanosphere (a) and nanorod (b). (c) Atom packing structures of  $\text{Au}_{25}$  nanosphere and nanorod.

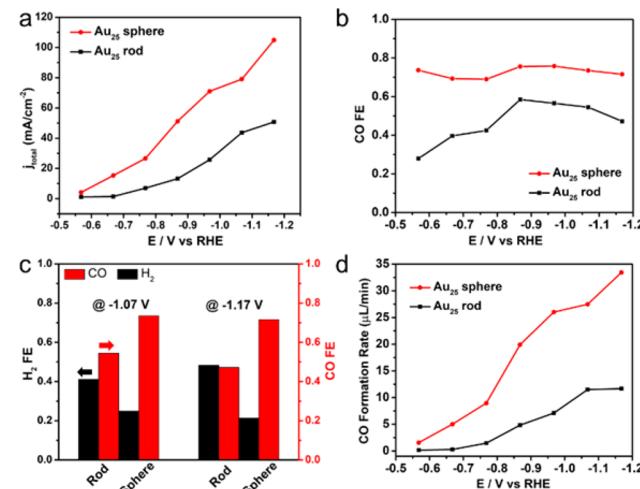
peaks at 400, 445, and 685 nm while  $\text{Au}_{25}$  nanorod shows absorption bands at 415 and 670 nm. These absorption features are spectroscopic fingerprints for the  $\text{Au}_{25}$  nanosphere and nanorod clusters and thus indicate their purity. Figure 1c shows the atom packing structures of the  $\text{Au}_{25}$  nanosphere and nanorod solved by X-ray crystallography.<sup>39,40</sup> The  $\text{Au}_{25}$  nanosphere comprises an icosahedral  $\text{Au}_{13}$  core protected by six dimeric surface staples (-SR-Au-SR-Au-SR-), giving rise to a quasi-spherical core-shell morphology. In the  $\text{Au}_{25}$  nanorod, two  $\text{Au}_{13}$  icosahedra share one vertex gold atom and are joined together by five bridging thiolates (-SR-) at the rod's waist. The remaining 10 phosphine ligands and 2 chlorides are evenly distributed to the two ends of the nanorod. The different atomic-level morphologies of these two nanoclusters may potentially affect their  $\text{CO}_2$  electrocatalytic reduction performance.

Scanning transmission electron microscopy (STEM)<sup>49</sup> was further employed to image the  $\text{Au}_{25}$  nanosphere and nanorod clusters. Figure 2a,b show typical STEM images of as-synthesized  $\text{Au}_{25}$  nanosphere and nanorod clusters. Their size ( $\sim 1$  nm) and monodispersity are confirmed by statistical analysis of size in Figure 2c,d, respectively (see the Supporting Information for more details). Notably, because the STEM is not aberration-corrected, it is unable to resolve the atomic-level structural morphology difference between the  $\text{Au}_{25}$  nanosphere and nanorod clusters. Figure 2e,f display the  $\text{Au}_{25}$  nanosphere and nanorod clusters loaded on carbon black support (as catalysts for  $\text{CO}_2$  reduction, *vide infra*), which show well-dispersed  $\text{Au}_{25}$  nanoclusters on the surface of the carbon black support. The statistical size distribution analysis in Figure S2 provides strong evidence that all ligands still remain on the nanoclusters when supported on carbon black support.



**Figure 2.** STEM images and particle size histograms of  $\text{Au}_{25}$  nanosphere (a,c) and nanorod (b,d). STEM images of  $\text{Au}_{25}$  nanosphere (e) and nanorod (f) supported on carbon black.

The gold nanoclusters (supported on carbon black support, Vulcan XC-72R, with 10 wt % loading) were then evaluated for the electrocatalytic  $\text{CO}_2$  reduction. Figure 3 compares the  $\text{CO}_2$



**Figure 3.** Electrocatalytic  $\text{CO}_2$  reduction performance of the two  $\text{Au}_{25}$  nanoclusters. (a) Total current density of  $\text{CO}_2$  reduction, (b) Faradaic Efficiency (FE) for CO production over  $\text{Au}_{25}$  nanosphere and nanorod catalysts, (c) FE for CO and  $\text{H}_2$  at the potential of -1.07 and -1.17 V over  $\text{Au}_{25}$  nanosphere and nanorod, respectively. (d) CO formation rates over  $\text{Au}_{25}$  nanosphere and nanorod.

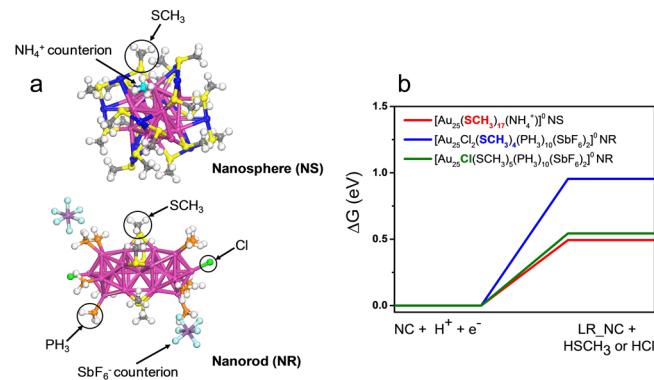
reduction performance of  $\text{Au}_{25}$  nanosphere and nanorod clusters. As shown in Figure 3a, the total current density values for the  $\text{Au}_{25}$  nanosphere cluster at all potentials are larger than the corresponding values of the  $\text{Au}_{25}$  nanorod cluster, indicating higher catalytic performance of the  $\text{Au}_{25}$  nanosphere. The selectivity of the catalysts in  $\text{CO}_2$  reduction is crucial in

practical applications due to the competing water reduction generating  $H_2$  as well as the formation of  $CO_2$  reduction products other than CO. As for the distribution of carbon-containing products, gold nanoclusters exhibit excellent selectivity for the CO product<sup>33</sup> than other carbon-containing products. Only CO and  $H_2$  were detected in all our experiments, which is consistent with previous results.<sup>33–35</sup> Figure 3b compares the FE for CO over the  $Au_{25}$  nanosphere and nanorod clusters. The  $Au_{25}$  nanosphere cluster shows higher FE toward CO than the  $Au_{25}$  nanorod cluster under all voltages. The better catalytic performance of the  $Au_{25}$  nanosphere is more distinct under high voltages where the  $Au_{25}$  nanosphere cluster exhibits a FE of 73.7% at  $-0.57$  V, which is 1.63 times higher than that of the  $Au_{25}$  nanorod cluster (FE  $\sim 28.0\%$ ). At  $-0.67$  V, a FE difference of 30% (i.e., 69.3% vs 39.7%) between the two clusters is also prominent in Figure 3b. Figure 3c shows the selectivity of the two  $Au_{25}$  nanoclusters in electrocatalytic  $CO_2$  reduction at the potential of  $-1.07$  V and  $-1.17$  V, respectively. At  $-1.07$  V, the FE for CO (73.5%) is much higher than that for  $H_2$  (24.9%), which indicates that the competing water reduction reaction is significantly suppressed by the reduction of  $CO_2$  to CO. While the  $Au_{25}$  nanorod also produces more CO than  $H_2$ , the  $Au_{25}$  nanosphere exhibits much higher selectivity with smaller FE toward  $H_2$  compared to the  $Au_{25}$  nanorod. At  $-1.07$  V, the  $Au_{25}$  nanosphere shows FE of 24.9% toward  $H_2$ , which is much lower than that of  $Au_{25}$  nanorod (41.2%).

Besides the high FE for CO, the  $Au_{25}$  nanosphere also produces CO at higher rates than the nanorod. Figure 3d compares the CO formation rates over the two  $Au_{25}$  catalysts under all voltages, where the  $Au_{25}$  nanosphere shows much higher CO formation rates than the  $Au_{25}$  nanorod does. The difference in the CO formation rate between the two clusters becomes more significant as the voltage moves negatively (i.e., at more reducing power). At  $-1.17$  V, the CO formation rate of the  $Au_{25}$  nanosphere reaches  $33.3 \mu L/min$ , which is 2.8 times that of  $Au_{25}$  nanorod ( $11.7 \mu L/min$ ). The larger FE for CO as well as higher CO formation rates over  $Au_{25}$  nanosphere consistently demonstrate its higher catalytic performance for  $CO_2$  reduction compared to the  $Au_{25}$  nanorod.

Our experiments clearly show the  $Au_{25}$  nanosphere possesses higher  $CO_2$  reduction activity and selectivity for CO product than the  $Au_{25}$  nanorod. To further understand their different catalytic behavior, DFT calculations were performed<sup>41–48</sup> (see the Supporting Information for details). In recent work, Alfonso et al. assessed  $CO_2$  reduction to CO on the fully protected  $Au_{25}(SCH_3)_{18}^-$  spherical nanocluster as well as the partially ligand-removed  $Au_{25}(SCH_3)_{17}^-$  spherical nanocluster.<sup>36</sup> They determined that the  $Au_{25}(SCH_3)_{17}^-$  species would promote  $CO_2$  reduction more favorably, compared to the  $Au_{25}(SCH_3)_{18}^-$ , because the  $Au_{25}(SCH_3)_{17}^-$  species stabilized the  $^*COOH$  intermediate on the exposed Au atoms of the ligand-removed site. Therefore, the removal of one ligand from the nanoclusters is critical for generating active sites for  $CO_2$  reduction. As a result, herein we first compare the ability of the two nanoclusters to partially release ligands and expose Au active sites, from the different sites of the nanosphere and nanorod.

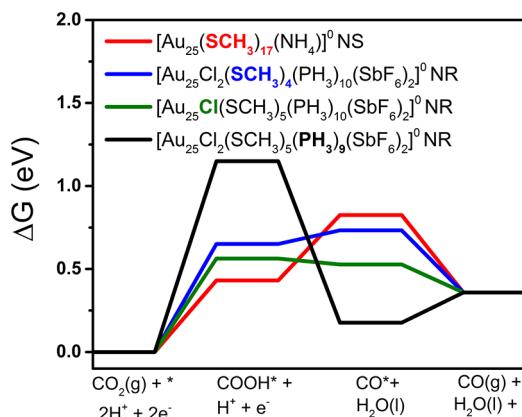
Figure 4a illustrates the two nanoclusters and sites from which ligands are removed. For the nanosphere, removal of a single  $-SCH_3$  is considered, whereas for the nanorod, removal of  $-SCH_3$ ,  $-Cl$ , or  $PH_3$  is considered. The  $-SCH_3$  and  $-Cl$  ligands are removed as reduction reaction steps using hydrogen while



**Figure 4.** (a) Structures for the nanorod and the nanosphere in the presence of the  $NH_4^+$  and  $SbF_6^-$  counterions, respectively. The color code is as shown in Figure 1(c) with the addition of N atoms shown in bright blue, Sb shown in purple, and F shown in light blue. The circled regions on the nanoclusters demonstrate sites of ligand removal on the nanosphere ( $-SCH_3$ ) and nanorod ( $-SCH_3$ ,  $-Cl$ , and  $PH_3$ ) (b)  $\Delta G$  values for ligand removal (in eV) from the nanoclusters at 0 V vs RHE, where LR\_NC represents “ligand-removed nanocluster”, NS is nanosphere, and NR is nanorod. The blue and green lines represent removing a  $-SCH_3$  and  $-Cl$  from the nanorod with  $SbF_6^-$  counterions, respectively and the red line represents removing  $-SCH_3$  from the nanosphere with a  $NH_4^+$  counterion. All ligand removal steps are treated as electrochemical reduction steps.

the removal of  $PH_3$  is considered as a desorption step (see Computational methods in Supporting Information). Figure 4b shows the  $\Delta G$  values for removing different ligands from the nanosphere and nanorod at 0 V vs reversible hydrogen electrode (RHE). Of note, the removal of the  $PH_3$  is not included in Figure 4b due to different pathways (reduction versus desorption steps). The desorption of  $PH_3$  from the nanorod is calculated to be  $\Delta G$ : 0.54 eV which is equivalent to the removal of  $-Cl$  (green line,  $\Delta G$ : 0.54 eV) as shown in Figure 4b. For the nanorod, the removal of  $PH_3$  and  $-Cl$  is more favorable than the removal of  $-SCH_3$  (blue line,  $\Delta G$ : 0.95 eV). Comparing ligand removal from the nanorod to the nanosphere, the removal of  $-SCH_3$  is less endergonic from the nanosphere (red line,  $\Delta G$ : 0.49 eV) than from the nanorod. It is observed that ligand removal from the nanosphere is slightly more favored than the ligand removal from the nanorod. Therefore, the ligand removal to release active sites on the nanosphere cluster is more energetically favorable than the nanorod cluster.

After the ligand removal, gold active sites on the nanosphere and nanorod are revealed for  $CO_2$  reduction catalysis. We then calculated the energetics for  $CO_2$  reduction to CO at 0 V vs RHE on the ligand-removed (i.e., active sites) of the nanoclusters. The formation of  $^*COOH$  ( $\Delta G_{^*COOH}$ ) has been shown to be an important intermediate in  $CO_2$  reduction to CO on Au.<sup>26,34</sup> Figure 5 illustrates that  $^*COOH$  is more stabilized on the  $Au_{25}(SCH_3)_{17}NH_4^-$  ( $-SCH_3$  removed) nanosphere (0.43 eV) than on any of the ligand-removed systems of the nanorod ( $\Delta G_{^*COOH}$ ,  $-SCH_3$  removed: 0.65 eV,  $-Cl$  removed: 0.56 eV, and  $PH_3$  removed: 1.15 eV). Illustrations of the adsorbed  $^*COOH$  structures are provided in Figure S3 (see the Supporting Information). It should be noted that even though  $PH_3$  and  $-Cl$  can be removed from the nanorod at a comparative  $\Delta G$  to  $-SCH_3$  removal from the nanosphere, the resulted active species do not stabilize  $^*COOH$  comparatively as shown in Figure 5. Therefore, the energetically favorable



**Figure 5.** Free energy diagrams ( $\Delta G$ ) for  $\text{CO}_2$  reduction to CO on the ligand-removed nanoclusters at 0 V vs RHE. The black, blue, green, and red lines represent  $\text{CO}_2$  reduction to CO on the nanorod with  $\text{PH}_3$  removed, the nanorod with  $-\text{SCH}_3$  removed, the nanorod with  $-\text{Cl}$  removed, and on the nanosphere with  $-\text{SCH}_3$  removed, respectively.

removal of  $-\text{SCH}_3$  from the nanosphere to release active sites as well as the stabilization of  $^*\text{COOH}$  over the obtained  $\text{Au}_{25}(\text{SCH}_3)_{17}$  species contribute to the higher catalytic performance of the  $\text{Au}_{25}$  nanosphere over the  $\text{Au}_{25}$  nanorod.

Kauffman et al. previously investigated  $\text{CO}_2$  reduction on the  $[\text{Au}_{25}(\text{SCH}_3)_{18}]^q$  nanosphere in three charge states ( $q = +1, 0, -1$ ).<sup>34</sup> The negatively charged Au nanocluster was found to promote  $\text{CO}_2$  reduction more significantly, compared to the neutral and positively charged nanoclusters, by stabilizing the reaction intermediates. To verify the role of nanocluster's charge on  $\text{CO}_2$  reduction, we performed Bader charge analysis calculations and determined that, in the presence of counterions, the nanosphere remained negatively charged ( $q = -0.77$ ), whereas the nanorod positively charged ( $q = +1.94$ ). Thus, the negative charge that the nanosphere possesses contributes to its higher activity in  $\text{CO}_2$  reduction observed in experiments compared to the nanorod. Thus, overall, the negative charge and the favorable exposure of an active site on the nanosphere stabilize the important  $^*\text{COOH}$  intermediate, which, in turn, contribute to enhanced activity of the nanosphere than the nanorod. Although we have not investigated multiple ligand removal steps from the surface of the nanoclusters, in the **Supporting Information** we report a preliminary analysis on removing 1 and 2 additional ligands from the nanoclusters (see **Figure S5**) and find that additional ligand removal remains endothermic, but still probable under the experimentally applied potentials. A thorough analysis of the energetics for multiple ligand removal steps is a future research direction.

In summary, we have investigated the atomic-level morphology effect of  $\text{Au}_{25}$  nanoclusters (sphere vs rod) as electrocatalysts for  $\text{CO}_2$  reduction. The distinctly different atomic-level morphology and charge states render the  $\text{Au}_{25}$  nanosphere more active for  $\text{CO}_2$  reduction than the  $\text{Au}_{25}$  nanorod. At  $-0.67$  V, the nanosphere cluster exhibits a higher FE (69.3% for CO) than that of the nanorod cluster (39.7%). We have further performed DFT calculations based on their X-ray crystallographic structures and obtained mechanistic insights for the observed difference in catalytic performance. It is revealed that the negative charge state of the nanosphere as well as the energetically favorable removal of  $-\text{SCH}_3$  from the nanosphere to expose active sites contribute to the higher catalytic features due to the stabilization of the important

$^*\text{COOH}$  intermediate. This work explicitly demonstrates that the atomic-level morphology and electronic properties can greatly influence the catalytic performance; thus, the attainment of atomic structures of nanoclusters is of critical importance in order to elucidate the fundamentals of catalytic reactions. The distinct morphology dependence of nanoclusters and the obtained mechanistic insights are expected to provide some guidelines for future design of advanced catalysts for  $\text{CO}_2$  reduction.

## ASSOCIATED CONTENT

### S Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acscatal.8b00365.

Experimental and DFT calculation details. QQ plots of STEM on clusters, diameter histograms for the supported nanoclusters, structures of clusters with adsorbed  $^*\text{COOH}$  and  $^*\text{CO}$  (and  $\text{H}_2\text{O}$  formation) in DFT simulation, additional ligand removal energetics, ionization potentials and electron affinities (PDF)

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### Notes

The authors declare no competing financial interest.

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