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Tropical soil profiles reveal the fate of plant wax biomarkers during soil storage

Mong Sin Wu, A. Joshua West, Sarah J. Feakins

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1 **Tropical soil profiles reveal the fate of plant wax biomarkers during soil storage**2 Mong Sin Wu^a, A. Joshua West^a and Sarah J. Feakins^{a*}3 ^aDepartment of Earth Sciences, University of Southern California, Los Angeles, California, USA4 *Corresponding author: feakins@usc.edu (Feakins)

5

6 **Highlights:**

- 7 • Plant wax was studied in soil pits under tropical forests at varied elevation.
- 8 • Plant wax concentration and composition were characterized in litter and soil profiles.
- 9 • Plant wax D/H invariant within the profiles.
- 10 • Significant down-profile ^{13}C -enrichment linked to Suess effect and diagenesis.
- 11 • Below-ground plant wax stocks greatly exceed above-ground stocks.

12

13 Keywords: soil profile; plant wax; leaf litter; Amazon; Andes; carbon isotope; hydrogen isotope.

14

15 **Abstract**

16 The waxy coating that protects the leaves and other soft tissues of plants includes *n*-alkane and *n*-
17 alkanoic acid compounds that are commonly used as biomarkers to reconstruct past environment.
18 Plant waxes have geological relevance given their persistence in soils and paleosols, as well as in
19 lake and marine sediments, yet diagenesis may alter their molecular and isotopic signatures from
20 synthesis to deposition. This study seeks to understand the fate of plant wax biomarkers in soils
21 after leaf-fall as characterized by a series of tropical soil profiles. We investigate the changes in
22 abundance, molecular distributions, and hydrogen (δD) and carbon isotopic compositions ($\delta^{13}C$)
23 of plant waxes (*n*-alkanes and *n*-alkanoic acids) in six litter-to-soil profiles along a 2740 m
24 elevation transect from the eastern flank of the Andes mountains down to the lowland Amazon
25 floodplain in Peru. From litter to soil, we find acid/alkane ratios increase, while absolute
26 abundances decrease. In contrast, within each soil, acid/alkane ratios are roughly constant and we
27 find an equivalent exponential decline in concentration in both compound classes with depth;
28 with molecular distributions indicating some new production. We observe a 4 – 6‰ ^{13}C -
29 enrichment from litter to deeper soils for both C_{29} *n*-alkanes and C_{30} *n*-alkanoic acids; of which
30 the Suess effect accounts for $\leq 2\text{\textperthousand}$. We infer that microbial degradation and production (or
31 ‘turnover’) processes influence the $\delta^{13}C$ of plant waxes that survive in soils; in contrast, no
32 systematic change in δD values is observed. The plant wax signal in soils includes averaging of
33 inputs and diagenetic effects, so this signature is particularly relevant for the interpretation of
34 plant waxes archives in paleosols and the plant waxes eroded from soils and exported to
35 downstream sedimentary archives. We show that soils represent the major stock of plant wax
36 under living ecosystems, suggesting that soils may be a quantitatively-important source of plant

37 waxes available for fluvial erosion, with implications for studies of carbon cycling and

38 paleoenvironmental reconstructions from downstream geological archives.

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39 **1. Introduction**

40 Plant wax biomarkers are commonly used to reconstruct past environments based upon the
41 carbon and hydrogen isotopic compositions that reflect aspects of vegetation and climate
42 (Eglinton & Eglinton, 2008). Geological applications focus on sedimentary deposits that archive
43 the spatial and temporal record of these molecular fossils, and plant waxes have been found
44 preserved in paleosols (e.g., Magill et al., 2016), lake sediments (e.g., Fornace et al., 2014) and
45 marine sediments (e.g., Tipple and Pagani, 2010). In order to calibrate how the plant wax proxy
46 records aspects of vegetation and climate, many studies have sampled leaves from living
47 vegetation, including studies of temperate forests (Sachse et al., 2006), arid ecosystems (Feehins
48 & Sessions, 2010), tropical forests (Vogts et al., 2009) and high latitude ecosystems (Wilkie et
49 al., 2013). Modern lake sediments (Sachse et al., 2004) and marine core tops (Rommerskirchen
50 et al., 2003) have been used to study plant wax delivered by wind and water transport. Soils have
51 also been surveyed to characterize plant wax variations along altitudinal transects (Jia et al.,
52 2008; Bai et al., 2011), latitudinal transects (Bush and McInerney, 2015; Bakkelund et al., 2018)
53 and aridity gradients (Schwab et al., 2015).

54 Given the ~2000 Pg of organic carbon stored in soils globally (Batjes, 1996; Jobbágy & Jackson,
55 2000), soils are a major source of the organic carbon (including plant waxes) eroded from the
56 continents to lake and ocean sediments (Blair et al., 2004). Soils are a particularly important
57 storage step (Blair et al., 2004) between new plant production and erosion by rivers given the age
58 of plant waxes transported by rivers revealed by compound specific radiocarbon (Kusch et al.,
59 2010; French et al., 2018), that suggests storage from decades to thousands of years.

60 Soils can be sampled as an archive of environmental information *in situ* integrating the time of
61 soil formation, and given requisite burial or protection from erosion soils may be preserved in the
62 form of paleosols, yielding information based on pedogenic structures and thicknesses (e.g.,
63 Retallack, 2013) as well as pedogenic carbonate nodules (e.g., Cerling and Quade, 1989, Quade
64 et al., 2013) and more recently plant waxes (e.g. Magill et al., 2016).

65 When interpreting plant waxes stored in paleosols or derived from soil erosion, we need to
66 understand how plant wax biomarkers are incorporated into soils and how diagenesis may alter
67 their molecular and isotopic signatures from synthesis to deposition. Once a leaf falls from the
68 canopy it forms the litter layer on top of the soil, with leaves comprising the majority,
69 often >60%, of litterfall (Kögel-Knabner & Amelung, 2014). Removal processes associated with
70 herbivory and microbial degradation (and/or runoff erosion on steep slopes) may be
71 considerable, but litter represents the input of organic matter at the top of the soil profile and can
72 contribute to the upward accumulation of soils. In lower layers of the soil, weathering of parent
73 rock may deepen the soil downwards (Amundson, 2014). Over time, soil erosion by water or
74 wind may remove surficial layers of the soil, or landsliding may remove forest, soil and rock
75 such as in the steep-sided Andes (Clark et al., 2016) or the banks of meandering lowland rivers
76 (Torres et al., 2017). The residence time of soil is therefore controlled by the balance of additions
77 from above and below, and removal processes of degradation and erosion (Heimsath et al.,
78 1997). The persistence of soil organic matter, and its individual compounds, is decoupled from
79 the intrinsic thermodynamic stability expected based on molecular structure: many compounds
80 persist decades beyond their expected residence time, reflecting the importance of packaging
81 within soil aggregates and adsorption to minerals (Schmidt et al., 2011). If the persistence of soil
82 organic carbon is an “ecosystem property” (Schmidt et al., 2011), then more work needs to be

83 done to characterize the fate of individual compounds in a range of ecosystems and terrains for
84 carbon cycle applications, as well as for paleoclimate reconstructions using biomarkers.

85 1.1 Diagenesis of plant wax biomarkers

86 Previous research to understand the effect of early diagenesis on plant wax biomarkers has
87 included field studies in low-diversity temperate ecosystems, comparing fresh leaves with litter
88 and soil (Nguyen Tu et al., 2004; Chikaraishi & Naraoka, 2006; Zhang et al., 2017), and
89 monitoring changes with time in litterbag experiments (Huang et al., 1997; Nguyen Tu et al.,
90 2017, 2011; Zech et al., 2011; Wang et al., 2014; Li et al., 2017). Most have studied *n*-alkanes
91 only, with the exception of Chikaraishi & Naraoka (2006) who studied a suite of lipids including
92 *n*-alkanes and *n*-alkanoic acids.

93 Study of hydrogen isotope effects associated with diagenesis is limited. In a litter bag experiment
94 of three broadleaf tree species, Zech et al. (2011) found seasonal variations of 10 – 20 ‰ in *n*-
95 alkane δD values that were attributed to microbial *n*-alkane production, but they found no
96 systematic overall trend across the 2 year study. In contrast, a study of a soil profile in a Japanese
97 maple forest found D-depletion (by ~50‰) in both *n*-alkanes and *n*-alkanoic acids from leaf to
98 soil, suggesting a significant hydrogen isotope effect during early diagenesis in soils (Chikaraishi
99 & Naraoka, 2006).

100 In contrast, the carbon isotopic effect associated with plant wax degradation is relatively well-
101 known. Prior studies have reported an increase (~1 – 2‰) in plant wax $\delta^{13}\text{C}$ during early
102 diagenesis, as reflected in differences between fresh leaves and leaf litter, and also seen in
103 changes during 1-3 yrs of litter decomposition in experiments (Nguyen Tu et al., 2004;
104 Chikaraishi & Naraoka, 2006; Wang et al., 2014; Li et al., 2017; Zhang et al., 2017), although

105 two shrub species showed no temporal change in $\delta^{13}\text{C}$ (Huang et al., 1997; Li et al., 2017).
106 Considering the diversity in species (including maple, ginkgo, bamboo, C3 and C4 grasses,
107 moss) and sites studied so far, a 1 – 2‰ ^{13}C -enrichment appears to be a widespread signature
108 associated with degradation.

109 1.2 Tropical soils in an Andes-Amazon transect

110 A litter translocation experiment across the Andes-Amazon transition in Peru has found a strong
111 dependence of litter degradation on soil temperatures, with ~3-fold higher degradation rates at
112 lowland sites of 24°C compared to upland sites of 12°C mean annual soil temperature (Salinas et
113 al., 2010). The dependence of degradation rates on temperature also leads to thicker soils and
114 higher soil organic carbon (OC) contents in the colder montane cloud forests compared to
115 lowland tropical rainforests (Whitaker et al., 2014). Microbial community also changes in
116 response to elevation, with increased microbial biomass and fungi relative to bacteria with
117 increasing altitude, which affects soil respiration rates (Whitaker et al., 2014). These
118 environmental controls and microbial processes not only determine the fate of bulk OC as a
119 whole, but also may have different influence on various types of organic compounds, including
120 plant wax *n*-alkanes and *n*-alkanoic acids.

121 Here, we study plant waxes in leaf litters and soils from a series of soil pits under tropical forests
122 at contrasting altitudes, spanning sites from the eastern flank of the Peruvian Andes to the
123 Amazon floodplain. We quantify how bulk organic carbon and plant wax molecular and isotopic
124 signatures vary during soil storage by sampling the progression from leaf litter down through the
125 soil profile, with detailed sampling of soil pits dug along the elevation transect across a wide
126 range of temperatures and soil organic layer thickness. We study molecular abundances and C

127 and H isotope compositions of both *n*-alkanes and *n*-alkanoic acids, aiming towards a more
128 comprehensive understanding of the preservation/alteration of plant wax biomarkers from plants
129 to soils.

130 This study adds to prior work on plant waxes in the Madre de Dios region of Peru including
131 canopy surveys of leaf wax *n*-alkane molecular abundance distributions and productivity
132 (Feakins et al., 2016a); canopy bulk leaf and leaf wax carbon isotopic composition (Wu et al.,
133 2017); and canopy leaf wax hydrogen isotopic composition together with plant ecohydrology
134 (Feakins et al., 2016b), as well as river export of plant waxes *n*-alkanoic acids related to soil
135 mineral horizon hydrogen isotopic composition (Ponton et al., 2014) and dual isotope
136 comparison of plant wax *n*-alkanes and *n*-alkanoic acids in soils and river (Feakins et al., 2018).
137 Those studies found a linear trend in both $\delta^{13}\text{C}$ and δD values of both *n*-alkanes and *n*-alkanoic
138 acids in canopy leaves and soils with elevation, supporting the use of these metrics as proxies for
139 elevation for paleoaltimetry for ancient deposits and to indicate sourcing-elevation of plant
140 waxes exported by rivers within the catchment. The latter study also found an isotopic offset in
141 $\delta^{13}\text{C}$ values of the C₂₉ *n*-alkane between canopy and soils (Feakins et al., 2018) that we
142 investigate further here.

143

144 **2. Materials and methods**145 **2.1 Field sampling**

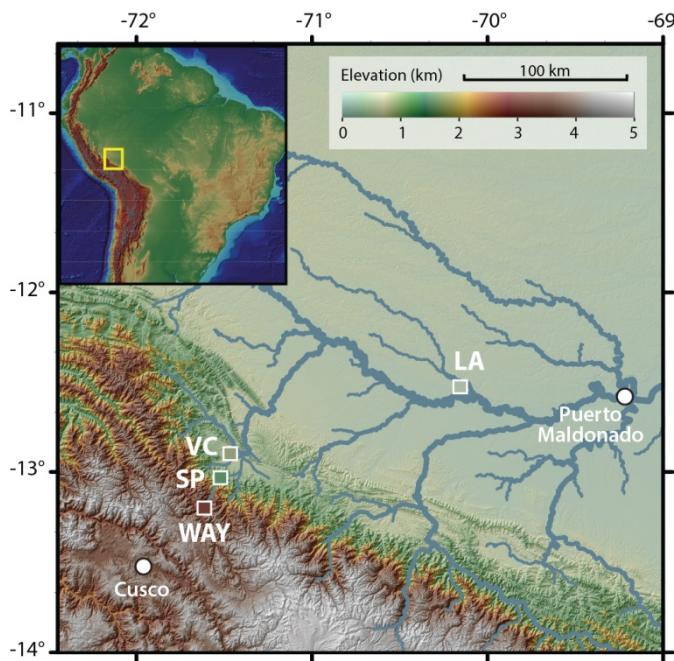
146 We collected samples from four sites across our study area located in the Madre de Dios region
147 of Peru, spanning elevations from 286 m in the Amazon floodplain to 3025 m along the eastern
148 flank of the Andes (Fig. 1, Table 1). The region receives 1560 – 5300 mm mean annual
149 precipitation (MAP) and is fully forested (tropical montane cloud forest to lowland rainforest).
150 The sample sites span a temperature range of 11.1 – 24.4 °C. All sites are primary forests with
151 one secondary growth forest site in the foothills, at Villa Carmen (VC), previously logged and
152 now dominated by bamboos. The primary forest sites are highly-biodiverse. Tree species with
153 high abundance include *Weinmannia crassifolia*, *Clusia alata* cf., and *Hesperomeles ferruginea*
154 at Wayqecha (WAY), as well as *Alchornea latifolia*, *Tachigali setifera*, and *Tapirira obtuse* at
155 San Pedro (SP). The lowland tropical rainforest (TR) is characterized by even higher
156 biodiversity, but abundant Amazonian lineages include *Inga*, *Swartzia*, *Protieae*, and *Guatteria*
157 including presence of species of those genera at the Los Amigos (LA) site (Dexter et al., 2017).
158 Soil types include Umbrisol at WAY, Cambisol at SP (Whitaker et al., 2014), and Ultisol LA
159 (Pittman et al., 2001), but have not been previously classified at our VC site. Soil organic layer
160 thickness varies from 1 to 26 cm with a tendency towards increasing thickness at higher altitudes
161 (Table 1). Along this transect are a series of permanent forest plots that are part of the Global
162 Ecosystems Monitoring Network (GEM; <http://gem.tropicalforests.ox.ac.uk/projects/aberg>),
163 where canopy leaf wax has been studied before (Feakins et al., 2016a,b; Wu et al., 2017), and
164 where aggregate soil organic (O) and mineral (M) samples have been collected and studied by
165 amalgamating soils from five locations at each plot (Ponton et al., 2014; Nottingham et al., 2015;
166 Feng et al., 2016; Feakins et al., 2018). Here we study individual vertical soil profiles, sampling

167 within a single pit to investigate degradation processes and transformation of plant wax
168 signatures during soil storage at each of 4 sites along the elevation transect. Although this region
169 experiences landslides in areas of steep relief (Clark et al., 2016), we selected soil pits at
170 locations where the surface did not appear to be disturbed. Within the soil pits, examination of
171 the color, texture, and structure of the soil profiles suggested that the soils had formed from
172 downward weathering and upward accumulation of leaf litter, without sedimentary structures
173 indicative of disturbance by erosional reworking. At two sites (VC and LA), an additional site
174 was sampled to contrast hillslope setting, by digging one pit at the ridgeline and one at the slope
175 base. In total we present data for 6 pits (Table 1). This soil profile study overlaps with the prior
176 plant wax study of soil O and M layers (Feehins et al., 2018) at WAY and SP where we can
177 make direct comparisons. In addition, we can compare the litter-soil profile at SP with data from
178 canopy leaves from previous studies (Feehins et al., 2016a,b; Wu et al., 2017).

Table 1: Locations and information of sampling sites along the Andes-Amazon transect.

Site name	Site code	Elev (m)	Lat	Long	Temp (°C)	Forest type*	Soil type	Soil pit	Organic layer thickness (cm)	Soil pit depth (cm)	Litter collection
Wayqecha	WAY	3025	-13.1926	-71.5880	11.1	TMCF	Umbrisol	single pit	26	90	Y
San Pedro	SP	1500	-13.0490	-71.5370	18.8	TMCF	Cambisol	single pit	16	40	Y
Villa Carmen	VC	614	-12.8961	-71.4183	22.9	TR	n.a.	ridgetop	5	63	N
Los Amigos	LA	286	-12.5588	-70.0993	24.4	TR	n.a.	slope base	23	100	Y
								ridgetop	1	90	Y
								slope base	13	150	N

* TMCF: tropical montane cloud forest; TR: tropical rainforest. All are primary forests except VC, which is a bamboo-dominated secondary growth forest.



179

180 Fig. 1. Sampling locations across a 2740 m elevation Andes-Amazon transect in the Cusco and Madre de Dios
 181 region of Peru (square symbols, color indicates elevation). Andean sites: Wayqecha (WAY) and San Pedro (SP).
 182 Foothills: Villa Carmen (VC) Lowland: Los Amigos (LA). Circles show major cities in the region.

183

184 The two soil profiles at the high elevation sites at Wayqecha (WAY) and San Pedro (SP) are
 185 located under tropical montane cloud forest and correspond to the RAINFOR sites of the same
 186 names, with the SP site equivalent to RAINFOR SP-1500 (www.rainfor.org). The Villa Carmen

187 (VC) plot is under a secondary forest in the foothills of Andes, and Los Amigos (LA) is located
188 in the tropical rain forest of the Amazon floodplain. Two soil pits were dug each at VC and LA,
189 with one located at a slope base (VC2 and LA5) and the other located on top of a nearby ridge
190 (VC3 and LA4).

191 We collected leaf litter at WAY, SP, VC2 and LA4, with the litter at WAY (the upper site)
192 divided into top, middle, and bottom litter because of its thickness (~12 cm). Soil pits were dug
193 to ~90 – 150 cm depth, and 3 – 4 samples (integrating 5 – 50 cm of soil vertically) were taken at
194 each pit based on the soil profile characterization (based on color and physical properties). We
195 also sampled roots at SP and LA4. Samples were stored under cool conditions in the field until
196 transport back to the laboratory where they were stored in a freezer at -20°C, before being
197 freeze-dried. As rock fragments were present in many soil samples, clasts >2 mm were removed
198 by sieving. The soil samples were then ground in a pestle and mortar to homogenize for
199 geochemical analyses.

200 2.2 Bulk organic carbon analysis

201 Aliquots of the soil samples were taken for total organic carbon (TOC) and bulk organic carbon
202 isotope ($\delta^{13}\text{C}_{\text{OC}}$) analysis. The samples were heated in dilute (10%) HCl to 70°C in a water bath
203 for 1 h to remove carbonates. The decarbonated samples were then rinsed three times with
204 deionized water, and dried in an oven at 56°C. The dried samples were analyzed for TOC and
205 $\delta^{13}\text{C}_{\text{OC}}$ using a Costech Elemental Combustion System (EA 4010) connected via a Picarro
206 Liaison (A0301) to a Picarro cavity ring down spectrometer (G2131-i). A USGS-40 standard
207 (Glutamic Acid with $\delta^{13}\text{C}_{\text{OC}} = -26.6\text{\textperthousand}$ in VPBD scale) was run with replicates at different
208 weights at the beginning and end of the sequence to provide a calibration curve for the measured

209 TOC, as well as an assessment of the precision in $\delta^{13}\text{C}_{\text{OC}}$ measurements (determined to be better
210 than 0.2‰).

211 2.3 Lipid extraction

212 Total lipid extracts (TLE) were extracted from freeze-dried samples with 9:1 dichloromethane
213 (DCM) to methane (MeOH) using an Accelerated Solvent Extraction system (ASE 350, Dionex)
214 at 100°C and 1500 psi for 2 cycles of 15 mins. The TLE was separated into neutral (FN;
215 containing *n*-alkanes) and acid (FA; containing *n*-alkanoic acids) fractions by eluting 2:1 DCM
216 to isopropanol and 4% formic acid in ethyl ether respectively through a column of LC-NH₂ gel.
217 The *n*-alkanes were then further separated from the FN fraction by eluting with hexane through a
218 silica gel column. The FA fraction was methylated in 5% HCl in MeOH of known isotopic
219 compositions at 70°C overnight, during which the *n*-alkanoic acids were reacted into fatty acid
220 methyl esters (FAMEs). The product was diluted with milliQ water and partitioned in hexane
221 using liquid-liquid extraction. The extract was further separated by eluting through a silica gel
222 column using hexane and DCM, with the DCM fraction carrying the FAMEs.

223 2.4 Compound identification and quantification

224 Samples were dissolved in hexane ready for compound identification and quantification using a
225 gas chromatograph (Agilent 6890) coupled with a mass spectrometer (Agilent 5973) and flame
226 ionization detector (GC-MS/FID). Compound identification was based on retention time and
227 mass spectra of target peaks. Absolute abundance was calculated from peak area response on the
228 FID, based on a calibration curve of an in-house standard mixture of *n*-alkanes and *n*-alkanoic
229 acids of known abundance. We recorded the abundance of *n*-alkanes (C₂₃ – C₃₃) and *n*-alkanoic
230 acids (C₂₂ – C₃₂), individual homologues conventionally considered terrestrial plant-derived (G.

231 Eglinton & Hamilton, 1967), and calculated their total abundance on a $\mu\text{g g}^{-1}$ dry weight basis
232 (Σ_{alk} and Σ_{acid}) as well as normalized to TOC, i.e., $\mu\text{g g OC}^{-1}$ (Λ_{alk} and Λ_{acid}). To represent
233 the molecular distributions of the plant wax homologues, we also calculated the average chain
234 length (ACL) and carbon preference index (CPI) using the following equations:

235 $\text{ACL} = \sum(n \times [C_n]) / \sum[C_n]$ (Eq. 1)

236 $\text{CPI} = 2 [C_n] / ([C_{n-1}] + [C_{n+1}])$ (Eq. 2)

237 where n indicates the chain length (n = 23 – 33 for *n*-alkanes and n = 22 – 32 for *n*-alkanoic
238 acids), and [C_n] indicates the abundance of that chain length.

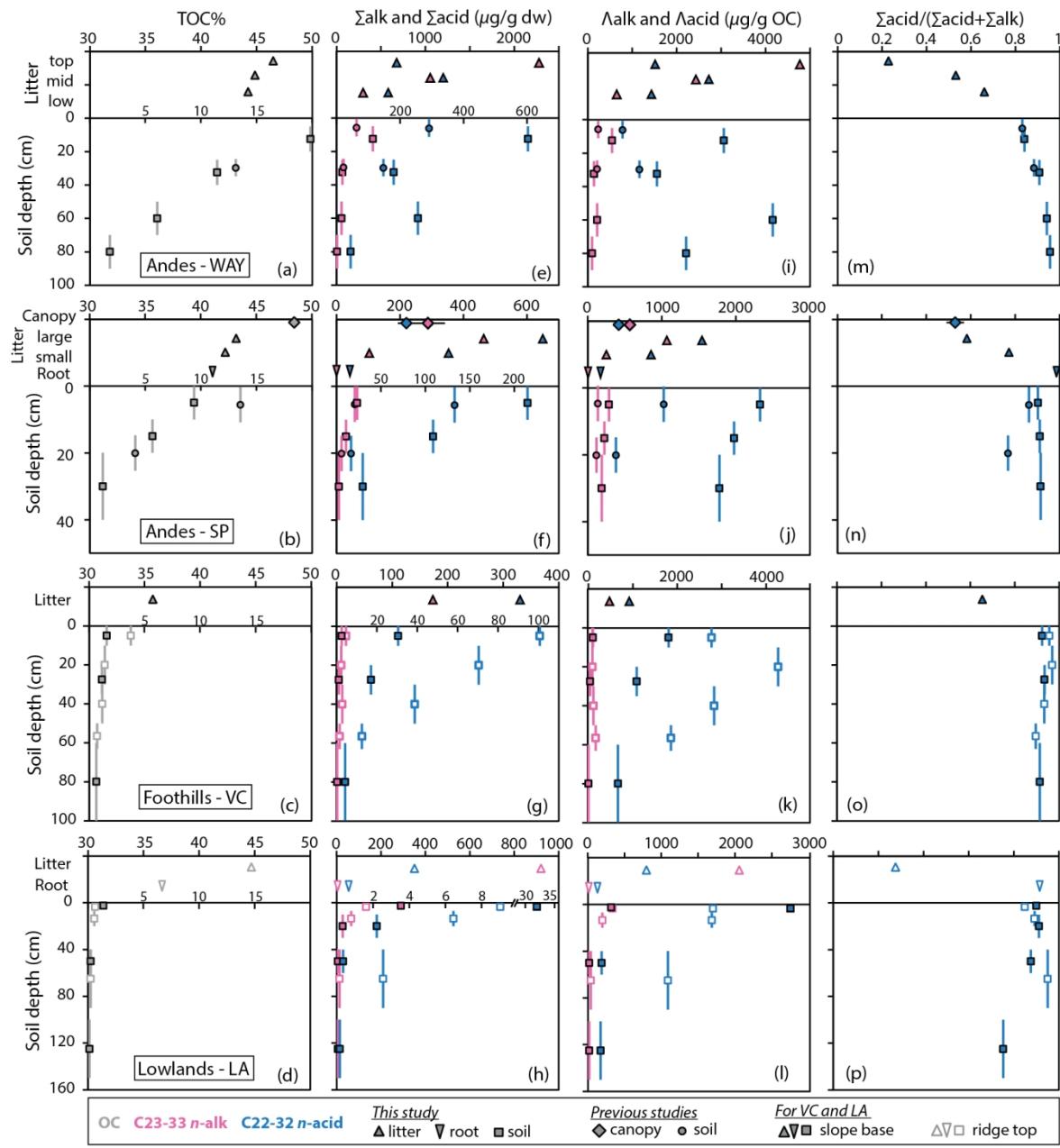
239 2.5 Compound-specific isotopic analysis

240 The compound-specific carbon and hydrogen isotopic compositions (δD and $\delta^{13}\text{C}$) were
241 measured by gas chromatography – isotopic ratio mass spectrometry (GC-IRMS) using a
242 Thermo Scientific Trace gas chromatograph connected to a Delta V Plus mass spectrometer via
243 an Isolink pyrolysis furnace at 1400°C for δD , and a combustion furnace at 1000°C for $\delta^{13}\text{C}$. We
244 monitored the linearity of isotopic determinations across 1-7 V peak amplitude daily and only
245 accepted measurements from peaks with amplitude within the range of acceptable linearity. δD
246 and $\delta^{13}\text{C}$ measurements were normalized to VSMOW/SLAP and VPDB standards respectively,
247 by calibrating against an external standard (A6-mix obtained from A. Schimmelmann, Indiana
248 University) containing a mixture of 15 *n*-alkane compounds (C₁₆ – C₃₀) with δD and $\delta^{13}\text{C}$ values
249 ranging from -9 to -263 and -26.2 to -33.8‰ respectively. The isotopic values of *n*-alkanoic
250 acids were then calculated from measured values of FAMEs and known values of the added
251 methyl group by mass balance.

252 3. Results

253 3.1 TOC and plant wax abundance

254 Here we report total abundance of organic carbon (TOC, mass C per gram sediment), and plant
255 wax mid- and long-chain (C_{23-33}) *n*-alkanes and (C_{22-32}) *n*-alkanoic acids normalized to per gram
256 dry sample (Σ alk and Σ acid) and per gram OC (Λ alk and Λ acid) (Fig. 2; Appendix A). TOC
257 ranges from 35.6 – 46.7% in leaf litter, and 0.5 – 19.8% in top soil samples declining to 0.1 – 2%
258 in the deepest soil samples. Σ alk and Σ acid range from 104 – 2279 and 330 – 1200 $\mu\text{g g}^{-1}$ in leaf
259 litter, and from 0.05 – 115 and 0.2 – 603 $\mu\text{g g}^{-1}$ in soil respectively. TOC-normalized Λ alk and
260 Λ acid range from 248 – 4878 and 786 – 2674 $\mu\text{g g}^{-1}$ in leaf litter, and from 27 – 578 and 170 –
261 4297 $\mu\text{g g}^{-1}$ in soil respectively. TOC and plant wax abundance varies significantly between sites,
262 and with depth in soils. In general, TOC, *n*-alkanes, and *n*-alkanoic acids trend towards lower
263 abundance with decreasing site elevation, and with increasing depth in each soil profile. At
264 WAY and SP where multiple litter layers were collected, wax abundance shows lower values in
265 the more-degraded litters (lower litter / smaller debris; Fig. 2a,b). In roots, Σ alk and Σ acid range
266 from 0.6 – 5.1 and 42 – 55 $\mu\text{g g}^{-1}$ respectively, which represent <0.6% and <16% of the
267 abundance found in leaf litter. While *n*-alkane and *n*-alkanoic acid abundance both decrease from
268 top to bottom in each profile, the ratio of relative abundance between the two compounds classes
269 exhibits a vertical trend; the fraction of *n*-alkanoic acid, $F_{\text{acid}} = \Sigma\text{acid}/(\Sigma\text{alk} + \Sigma\text{acid})$, shows
270 increasing values from litter (ranging 0.2 – 0.8) to soil (generally >0.8). Furthermore, Λ alk
271 decreases from litter to top soil whereas Λ acid generally increases for all four litter-soil profiles
272 in this study. These trends indicate an increase in abundance of *n*-alkanoic acids relative to *n*-
273 alkanes from litter to soil.



274

275 Fig. 2. Vertical profiles of plant wax and bulk OC abundance at the four study sites (pink: C_{23-33} *n*-alkanes; blue: C_{22-32} *n*-alkanoic acids), showing (a-d) total organic carbon concentrations, (e-h) abundance per gram dry weight (Σ alk and Σ acid), (i-l) abundance normalized to OC (Λ alk and Λ acid), (m-p) *n*-alkanoic acid fraction. Data shown are from this study (litter: triangle; root: inverted triangle; soil: square), as well as from previous studies (canopy: diamond; soil: circle) of overlapping sites at WAY and SP (Feehans et al. 2016a,b; Feehans et al., 2018). Open symbols at LA and VC denote additional pits at these sites at the ridge top, with closed symbols representing slope base. Vertical bars indicate the depth range from which the soil profile samples were taken. Horizontal bars of canopy data at SP represent standard error of the site means ($n = 39$). Note the change in x-axes for soil data on the left panels.

284

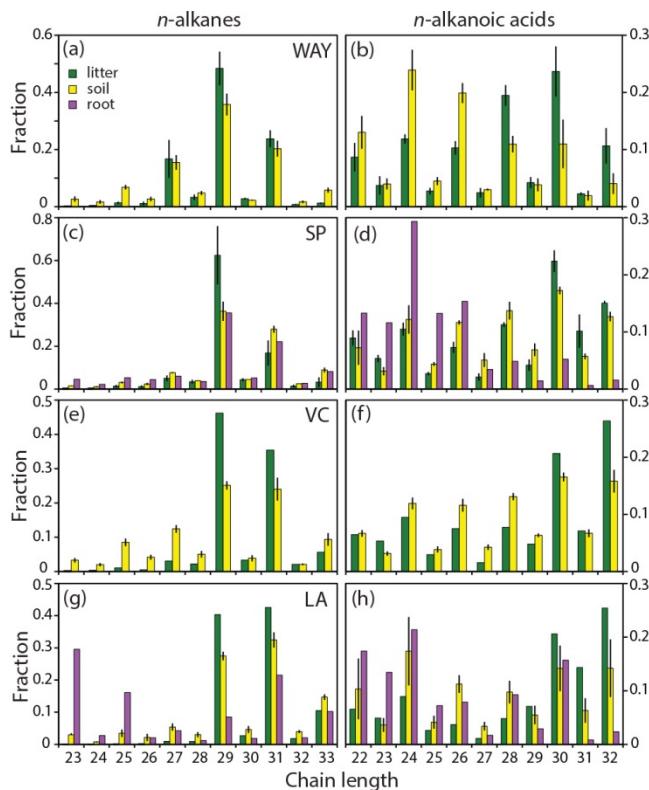
285 3.2 Chain length distributions

286 In litter and soil, *n*-alkanes show a strong odd-over-even preference from C₂₃ – C₃₃, with C₂₉ and
287 C₃₁ being the dominant compounds, trending towards higher C₃₁/C₂₉ ratio in the lower-elevation
288 sites (Fig. 3, left). From litter to soil, we observe a general increase in the mid-chains (C₂₃₋₂₇)
289 leading to lower relative abundance of C₂₉ and C₃₁. The two root samples have different chain
290 length distributions of *n*-alkanes, with the sample from SP showing similar distributions to that
291 of litter and soil (C₂₉ and C₃₁ dominating with low C₂₃₋₂₇; Fig. 3c), while the sample from LA
292 shows exceptionally high C₂₃ and C₂₅ that is distinct from litter and soil distributions at this site
293 (Fig. 3g).

294 *n*-Alkanoic acids exhibit an even-over-odd preference from C₂₂ – C₃₂, with C₃₀ or C₃₂ being the
295 dominant compound in litter, whereas soil shows a more ‘flat’ distribution across chain lengths
296 owing to an increase in the abundance of mid-chains C₂₂₋₂₆ (Fig. 3). Similar to *n*-alkanes, we also
297 observe a trend towards longer chain lengths (higher C₃₂/C₃₀ ratio) towards lower-elevation sites.
298 Roots show distinctively different distributions with dominance by mid-chains in both sites (Fig.
299 3d,h).

300 Carbon preference index (CPI) and average chain length (ACL) calculations provide more
301 quantitative comparisons of molecular distributions between samples (Fig. 4). We find that CPI
302 of *n*-alkanes exhibits a wide range from ~4 – 16, with decreasing values from litter to soil at all
303 sites. Lower *n*-alkane CPI values are found in roots (CPI = 4.1–7.1) compared to litter (CPI =
304 6.6–16.1). In contrast, CPI of *n*-alkanoic acids shows a relatively invariant vertical profile among
305 litter, roots, and soil, with only the top litter at WAY and the canopy leaves at SP being
306 exceptions with slightly elevated CPI.

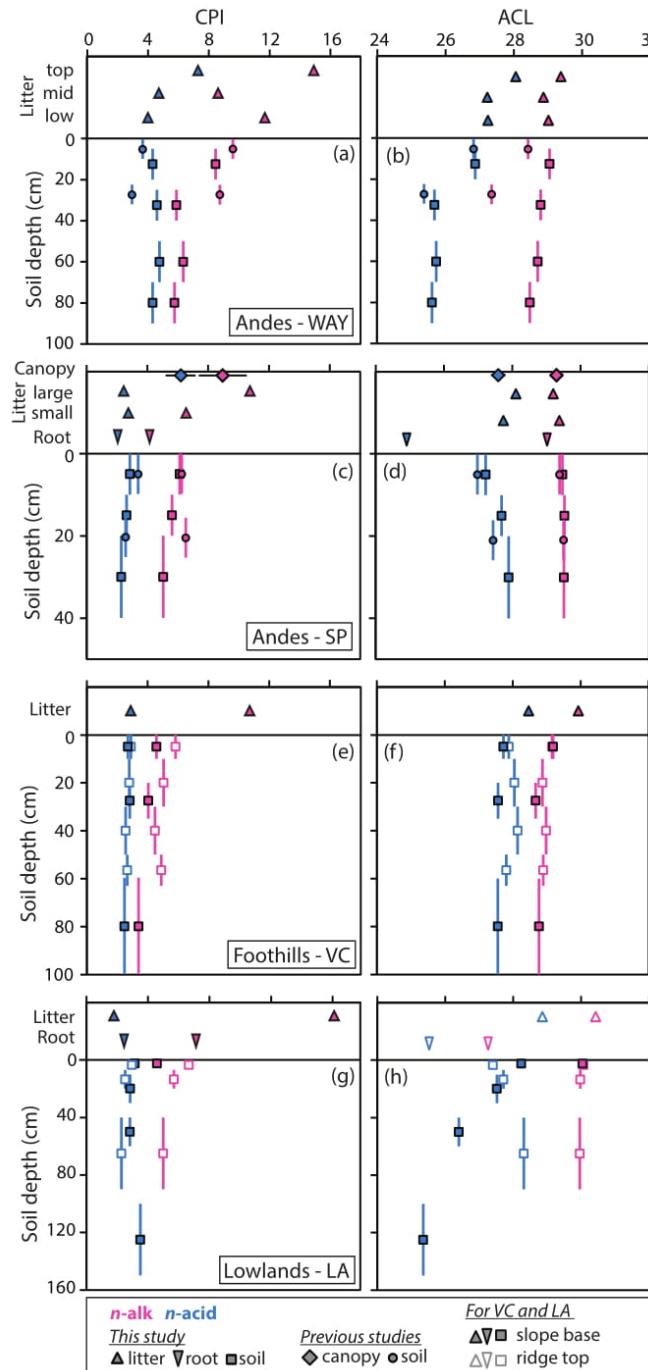
307 ACL of both *n*-alkanes and *n*-alkanoic acids shows a decrease (by *c.1*) from litter to soil at
 308 WAY, VC and LA, but a relatively straight profile at SP. Litter shows a trend towards higher
 309 ACL at lower-elevation sites. Roots exhibit lower ACL compared to litter and soil, related to
 310 high abundance of mid-chain length compounds (Fig. 3). Overall, the soil CPI and ACL results
 311 from this study match well with data from previous soil studies (Fig. 4 circles; Feakins et al.
 312 2016a,b; Feakins et al., 2018) at WAY and SP.



313

314 Fig. 3. Chain length distributions of *n*-alkanes (left) and *n*-alkanoic acids (right) in litter (green), soil (yellow), and
 315 roots (purple) at the four study sites. Error bars represent 1σ deviation from the mean values when multiple litter or
 316 soil samples are present at a single site.

317



318

319 Fig. 4. Vertical profiles of (left) carbon preference index (CPI) and (right) average chain length (ACL) of C_{23-33} *n*-
 320 alkanes (pink) and C_{22-32} *n*-alkanoic acids (blue) at the four study sites, showing data from this study (litter: triangle;
 321 roots: inverted triangle; soil: square). Open symbols at LA and VC denote additional pits at these sites at the ridge
 322 top, with closed symbols representing slope base. Also shown are canopy (diamond) and soil (circle) data from
 323 previous studies of overlapping sites at WAY and SP (Fekkins et al. 2016a,b; Fekkins et al., 2018). Vertical bars
 324 indicate the depth range from which the soil profile samples were taken. Horizontal error bars of canopy data at SP
 325 represent standard error of the site means ($n = 39$).
 326

327 3.3 Hydrogen and carbon isotopic compositions

328 We report δD and $\delta^{13}C$ values of C_{27-31} odd-chain *n*-alkanes and C_{22-32} even-chain *n*-alkanoic
329 acids when reliable isotopic measurements could be made on these samples (Appendix A). We
330 focus our attention on the most dominant chain length of each compound class, C_{29} *n*-alkane and
331 C_{30} *n*-alkanoic acid. Although we do not show data from all the chain lengths on Fig. 5, the
332 general isotopic patterns described below are shared among the homologues of each compound
333 class, as reported in Appendix A. We also report bulk $\delta^{13}C_{OC}$ values to compare with the plant
334 wax data.

335 The hydrogen isotopic composition of C_{29} *n*-alkane ($\delta D_{29\text{alk}}$) and C_{30} *n*-alkanoic acid ($\delta D_{30\text{acid}}$)
336 ranges from -173 to -210‰ and -158 to -207‰ respectively across all sites, with a general trend
337 towards more enriched values at lower-elevation sites (Fig. 5). C_{30} *n*-alkanoic acid is generally
338 about 5 – 20‰ enriched relative to C_{29} *n*-alkane in the same samples. Both $\delta D_{29\text{alk}}$ and $\delta D_{30\text{acid}}$
339 show relatively small (<20‰) variations within the soil profiles. However we see no systematic
340 patterns in δD with depth across the four sites: a 5 – 20‰ decreasing trend at WAY and VC
341 slope base for C_{29} *n*-alkane, and at WAY, SP, VC slope base and LA ridge top for C_{30} *n*-alkanoic
342 acid; a ~5‰ increase for C_{30} *n*-alkanoic acid at LA slope base; a ~10‰ increase towards ~40cm
343 depth followed by a 5‰ decrease below at VC ridgetop for both compounds; and no trend for
344 C_{29} *n*-alkane at SP and LA (Fig. 5). We find a much depleted $\delta D_{30\text{acid}}$ value (-206‰) for the root
345 sample from LA compared to soil at this site (ranging ~160 – 170‰), though abundance of C_{29}
346 *n*-alkane was insufficient for δD analysis. For the root sample from SP (10 – 20g mass), neither
347 compound was sufficiently abundant for δD analysis.

348 The carbon isotopic compositions of C_{29} *n*-alkane ($\delta^{13}C_{29\text{alk}}$), C_{30} *n*-alkanoic acid ($\delta^{13}C_{30\text{acid}}$), and
 349 bulk OC ($\delta^{13}C_{\text{bulk}}$) range from -32.4 to -42.9‰, -31.5 to -40.4‰, and -24.5 to -33‰ respectively
 350 across all sites (Fig. 5). C_{29} *n*-alkanes are depleted relative to C_{30} *n*-alkanoic acids (by ~2‰)
 351 which are in turn depleted by ~6‰ from bulk OC in the same samples. We find consistent
 352 patterns of $\delta^{13}C$ with depth for bulk OC and both plant wax compounds across all four sites: a
 353 trend of *c.* 4 – 6‰ enrichment from litter to soil at depth, which is a combination of *c.* 2 – 4‰
 354 enrichment between litter and top-layer soil, and *c.* 2‰ gradual enrichment down the soil profile
 355 (Fig. 5). Roots yield $\delta^{13}C$ values that are similar to litter or top-layer soil but more depleted than
 356 soils at depth (Fig. 5f,h). Across the elevation transect, we find a general trend towards more
 357 depleted $\delta^{13}C$ values in lower-elevation sites.

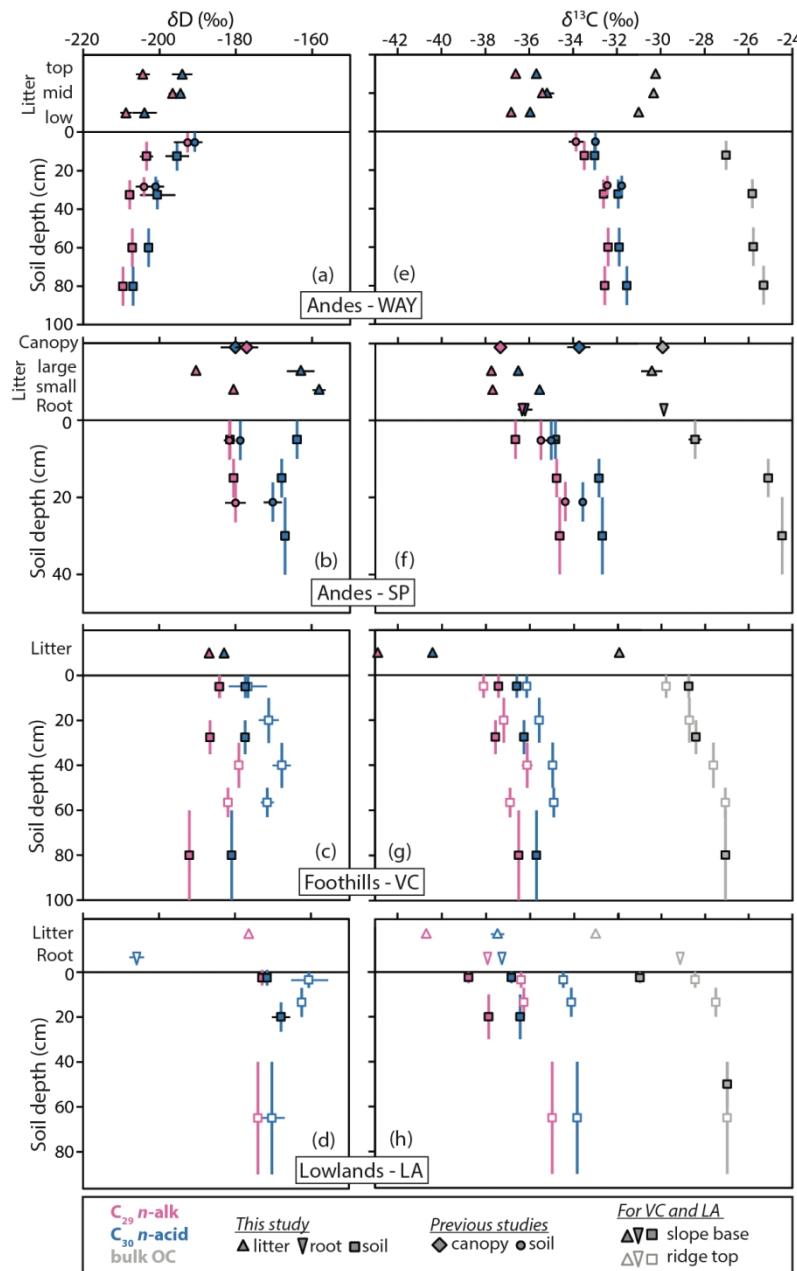
358 When comparing soil data from this study (Fig. 5, squares) to that at WAY and SP from previous
 359 studies (Fig. 5, circles; data from Feakins et al., 2018) in which isotopic data were measured at
 360 two depth ranges (organic and mineral horizons), we find results are consistent, with both sets of
 361 data showing no systematic patterns in δD with depth, and a ^{13}C -enrichment in the deeper soil.
 362 We find the vertical profiles of δD (no consistent trend) and $\delta^{13}C$ (deeper layers are more
 363 enriched) are consistent among all chain lengths of *n*-alkanes and *n*-alkanoic acids (Appendix A).

364 3.3.1 Slope base-ridgetop comparisons

365 We contrasted slope base and ridgetop settings by digging two soil pits each at VC and LA, to
 366 reveal possible difference at locations that are less well-drained with thicker O-layer (slope base)
 367 and more well-drained with thin O-layer (ridgetop). While *n*-alkane δD at LA has limited data to
 368 allow comparison, we find that in general, the ridgetop shows enrichment in both H (by ~10–
 369 20‰) and C (by ~1–3‰) isotopes relative to slope base. At VC, while the uppermost soil

370 samples show similar δD and $\delta^{13}C$ values, the ridgetop location appears to be more enriched in
371 both isotopes at deeper depths. At LA, there is consistently isotopic enrichment from the top soil
372 to deeper soil.

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374 Fig. 5. Vertical profiles of (left, a-d) δD and (right, e-h) $\delta^{13}\text{C}$ of C_{29} *n*-alkane (pink), C_{30} *n*-alkanoic acid (blue), and
 375 bulk OC (grey) at the four study sites, showing data from this study (litter: triangle; root: inverted triangle; soil:
 376 square). Open symbols at LA and VC denote additional pits at these sites at the ridge top, with closed symbols
 377 representing slope base. Also shown are canopy (diamond) and soil (circle) data from previous studies of
 378 overlapping sites at WAY and SP (Feakins et al. 2016a; Wu et al. 2017; Feakins et al., 2018) for comparison.
 379 Vertical bars indicate the depth range from which the soil profile samples were taken. Horizontal bars indicate 1σ
 380 error from replicate measurements (for soil and litter data) or standard errors of site mean (canopy data at SP).
 381

382

383 **4. Discussions**384 **4.1 Alteration of plant wax signatures across the litter-soil profile**

385 Numerous plant-based surveys have characterized how plant waxes record environmental
386 variables, providing a basis for interpreting the chemical fingerprints in these biomarkers as
387 climate proxies in sedimentary archives. But an unresolved question relates to possible changes
388 in plant wax signatures between plant and sediment which may compromise the environmental
389 information they carry. In this section, we evaluate the changes observed in abundance,
390 molecular distributions, and isotopic compositions of plant wax from litter to soil in the Peru
391 transect studied here, and we discuss the processes that may lead to these changes.

392 **4.1.1 Plant wax transformation within leaf litter**

393 Sampling of thick leaf litter accumulations at WAY and SP reveals substantial loss of plant
394 waxes within the leaf litter, in contrast to a limited OC loss (~2 – 5%). We find a decrease in
395 concentrations (in terms of both per gram dry weight and OC-normalized) by ~77 – 87% for *n*-
396 alkanes and ~10 – 45% for *n*-alkanoic acids between top litter (large litter) and bottom litter
397 (litter debris) at both sites. We note that there is an increase in Σ acid in the middle litter layer at
398 WAY, which may imply new additions (perhaps by microbial productions during litter
399 diagenesis) or simply heterogeneity within the coarse debris. The overall significant decrease in
400 *n*-alkane abundance within litter suggests rapid degradation of these molecules during early
401 diagenesis. Such rapid loss via degradation has also been observed from litterbag experiments
402 that show >80% loss of plant waxes within 1-3 years, as a result of microbial degradation and
403 perhaps also consumption by herbivores such as mesofauna (Zech et al., 2011; Li et al., 2017;
404 Nguyen Tu et al., 2011, 2017). Our field data corroborate these experimental observations.

405 Although both compound classes lose concentrations within litter, there appears a better
 406 preservation for *n*-alkanoic acids, as shown by an increase of their abundance relative to *n*-
 407 alkanes from top (large) to lower (small) litter at WAY and SP (Fig. 2i-l). The better preservation
 408 for *n*-alkanoic acids is also evidenced by generally higher litter-to-soil Λ acid in contrast to the
 409 decrease in Λ alk, indicating a greater portion of *n*-alkanoic acids survive litter degradation and
 410 enter the soil (Fig. 2e-h). It is unclear why *n*-alkanes may be lost at a faster rate than *n*-alkanoic
 411 acids during litter decomposition; this phenomenon has not been observed in the previous leaf
 412 litterbag studies which lack the compound class comparison. We speculate that a different rate of
 413 loss between the two compound classes is possible if they are not homogenous on the leaf
 414 surface, such as if they exhibit different morphological structures, and if *n*-alkanes dominate the
 415 more fragile surface wax crystals whereas *n*-alkanoic acids form the lower wax layers. For
 416 example, under scanning electron microscopy of plant leaves of other species, C_{24-30} *n*-alkanoic
 417 acids are observed to form smooth wax films, whereas C_{29-31} *n*-alkanes form various wax types
 418 including films, crust, and ridged rodlets (Koch et al., 2009), with crystal structures that extrude
 419 from the surface and hence may be more easily lost. Though the exact mechanism unclear, the
 420 observation that *n*-alkanes are preferentially lost within leaf litter bridges the discrepancy in the
 421 relative concentrations of these two compounds between canopy leaves and soils: canopy leaves
 422 commonly contain more *n*-alkanes than *n*-alkanoic acids (abundance data from Feakins et al.,
 423 2016b, Wu et al., 2017) whereas *n*-alkanoic acids dominate in soils and river suspended
 424 sediments (abundance data from Feakins et al., 2018).

425 4.1.2 Exponential decline of plant wax concentrations with depth in soils

426 Below the litter layer, we find further significant drop in plant wax concentrations in soils with
 427 depth (by ~65 – 99% from top soil to 50 cm depth for both compounds, similar to the decline in

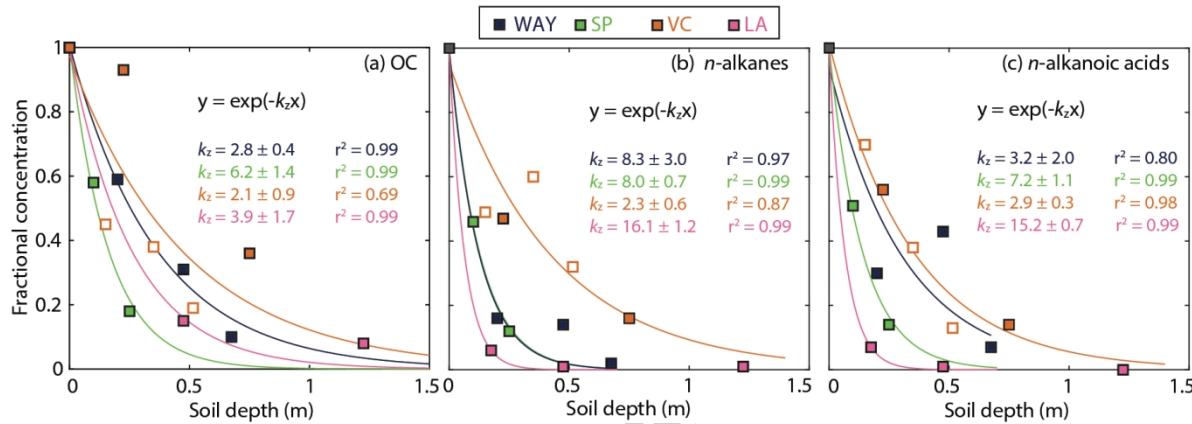
428 TOC by ~57 – 90% from top to 50 cm; Fig. 2). Since the absolute concentrations at different
429 sites significantly vary, in order to compare sites, we first calculated fractional concentrations
430 relative to the top sample (within O horizon) at each site, and then characterized the rate of loss
431 (k_z ; depth-dependent decay rate) by fitting an exponential decay function. We find exponential
432 loss in concentrations in soils with k_z ranging from 2.1 ± 0.9 to $6.2 \pm 1.4 \text{ m}^{-1}$ for OC, from 2.3 ± 0.6
433 to $16.1 \pm 1.2 \text{ m}^{-1}$ for *n*-alkanes, and from 2.9 ± 0.3 to $15.2 \pm 0.7 \text{ m}^{-1}$ for *n*-alkanoic acids (Fig. 6).

434 In contrast to the greater loss of *n*-alkanes relative to *n*-alkanoic acids observed in litter as
435 described in section 4.1.1, the two compound classes appear to drop in concentration with depth
436 at the same rate (no significant difference in k_z values) except at WAY where the k_z value of *n*-
437 alkanes is about double that of *n*-alkanoic acids. This distinction between plant wax loss in litter
438 and within soil profiles soil implies different mechanisms governing the resilience of plant waxes
439 in litter vs. soils. Within soils, physical protection in soil aggregates and absorption to soil
440 minerals is known to play an important role in the stability of soil organic matter (Schmidt et al.,
441 2011). Previous soil studies have found turnover times for both *n*-alkanes and *n*-alkanoic acids
442 also to be similar and on the order of several decades (Wiesenberge et al. 2004; Schmidt et al.,
443 2011).

444 We cannot infer turnover times from the k_z values calculated based on the decreases in
445 concentration within depth in our soil profiles, because we lack chronological information for the
446 soils in this study. Further, we note that the exponential decline in concentrations with depth
447 observed in this study may be affected by downward mobilization in addition to decomposition,
448 although downward transport would not be expected to produce a carbon isotope fractionation
449 with depth. Overall, the exponential decrease in plant wax concentrations is probably determined

450 by a combination of accumulation of plant wax inputs on the soil top, downward-transportation
 451 by mesofauna such as earthworms (Oades et al., 1993) and decomposition of plant waxes within
 452 the soil over time.

453



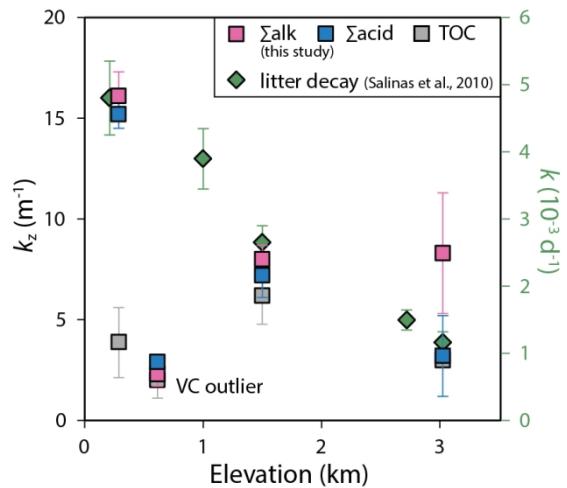
455 Fig. 6. Exponential decrease in the total abundance of (a) bulk OC, (b) *n*-alkanes (C_{23-33}), and (c) *n*-alkanoic acids
 456 (C_{22-32}) within soils profiles at the four sites. Data show fractional concentrations relative to the top soil samples at
 457 each site. Soil data are plotted on the mean sample depth below the top sample. Depth-dependent rate constants (k_z)
 458 are estimated with 1σ uncertainties, which show the same values within uncertainties among compounds, except at
 459 for *n*-alkanes WAY and bulk OC at LA. Soil profiles at ridgeline (open symbol) and slope base (solid symbol) at VC
 460 are grouped for curve fitting. Note that the ridgeline soil pit at LA is excluded in this analysis due to the very thin
 461 soil O layer (1 cm) at much finer resolution than the top sample (0–7 cm).

462 Across the four sites, we observe up to six-fold difference in the rate of loss with soil depth, with
 463 increase in k_z values from VC, to WAY, SP and LA (except for OC at LA). What determines the
 464 difference among sites? The tendency is for an increase in k_z as elevation decreases and
 465 temperature increases, which is a known factor for determining rates of respiration. Across the
 466 same Andes–Amazon transect, a litterbag translocation experiment which tracked litter
 467 decomposition of 15 species over 1.2 yr (Salinas et al., 2010) found that while species type has a
 468 large influence on the decomposition rate (k), soil temperature stands out as the main control
 469 when averaging all species, such that a five-fold increase in the k value is observed from the high

470 Andes (WAY at 3025 m, 11.1°C) to the lowland Amazon (Tambopata at 210 m, 23.9°C; similar
 471 elevation to LA site in this study). This temperature-sensitivity for litter decomposition rates (k ;
 472 Salinas et al., 2010) is reflected in the different k_z values of plant waxes at WAY, SP and LA
 473 (Fig. 7), which increase progressively from high to low elevation, implying that temperature may
 474 also be the main control on the rate of plant wax loss. The k_z values of OC at WAY and SP also
 475 follow the same trend as plant waxes, but the apparent lower rate of OC loss at LA is surprising
 476 (Fig. 6a; Fig. 7). It is possible that the OC left in these deeper soils at LA is relatively
 477 recalcitrant, if the majority of labile OC have already been degraded near the top of the soil due
 478 to the warm temperature at this site. This change in recalcitrance would not be expected to be
 479 seen in the plant waxes, perhaps explaining with the trends in k_z for waxes are more
 480 systematically related to temperature than bulk OC. Overall, we infer that temperature is a
 481 primary control on the rate of decline of plant wax concentration and that decomposition likely
 482 dominates the depth-decay of plant wax concentration profiles.

483 An exception to the overall temperature trend for the k_z of the plant waxes is found at VC, which
 484 shows much slower loss (lower k_z) than predicted for its elevation (Fig. 7). We note that while
 485 the other three sites are in primary tropical forests, VC is in a secondary forest previously logged
 486 for timber (Table 1), with secondary-growth bamboos dominating the canopy. Bamboos are
 487 known as one of the fastest growing plants on earth, with relatively slow litter decomposition
 488 rates (Liu et al., 2010) partly due to the abundance of phytoliths (Piperno and Pearsall, 1998),
 489 hence having significant implications for carbon accumulation and storage in soils (Zhou et al.,
 490 2005). However, little plant wax research has been done on bamboo leaves (Li et al., 2012,
 491 2016), and the preservation of plant waxes in soils of bamboo forests remains unknown. Here,
 492 we find a lower than expected k_z which may imply a greater accumulation of soil organic matter

493 in this presently bamboo-dominated forest, or some other aspect of the landscape disturbance,
 494 such as any use of fire which may add to soil organic carbon and slow decomposition. This
 495 exception is a useful reminder that while temperature may be a major control on the rate of
 496 organic carbon and plant wax loss in well-drained soils, other factors like waterlogging,
 497 disturbance and species succession may also modify soil organic properties.

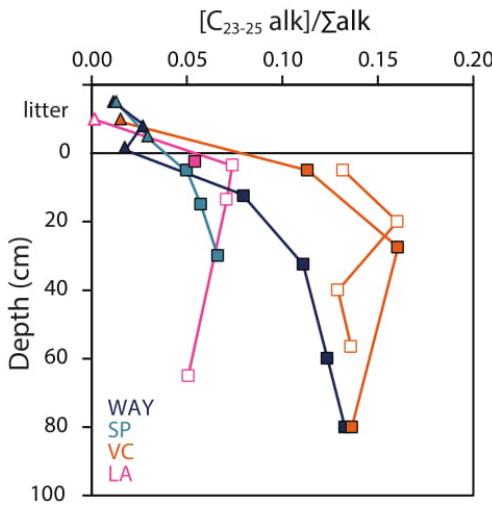


498
 499 Fig. 7. The rate of OC and plant wax loss with soil depth (k_z ; this study) and litter decomposition rate (k ; Salinas et
 500 al., 2010) along the Andes-Amazon elevation transect. Note that the soil under secondary growth at VC is an outlier
 501 that does not follow the temperature-dependency of k_z values. Litter bag experiments were not conducted at the
 502 secondary forest sites at VC. The lower than expected k_z value for bulk OC at LA (the lowest elevation site) may be
 503 in part explained by the recalcitrance of residual bulk organic material at depth in these soils.

504 4.1.3 The role of microbial activities in plant wax degradation in soils

505 Microbial activities are prevalent in the tropical soils along the Andes-Amazon transect, and
 506 prior studies found them to be critical in soil carbon cycling processes (Whitaker et al., 2014).
 507 The degradation and alteration of plant waxes in the soils studied here is likely also significantly
 508 governed by soil microbial activities. Laboratory-based experiments have provided direct
 509 evidence for microbial activity increasing alongside plant wax decay. During a 3-year room-
 510 condition soil storage experiment, Brittingham et al. (2017) found increased genes linked to *n*-

511 alkane degrading enzymes, alongside a loss of long-chain (C_{29-31}) and rise in shorter-chain (C_{21-25}) n -alkane relative abundance, indicating microbial reworking of long-chain into mid-chain
 512 homologues. This change in the molecular distribution was also marked by decreased ACL and
 513 CPI in the experiments. In line with those experimental results, an increase in mid-chain (C_{23-25})
 514 n -alkanes relative to total (Fig. 8), together with a decrease in CPI (Fig. 4), is apparent down
 515 profile in our study, consistent with the occurrence of microbial degradation of n -alkanes. The
 516 soil profiles suggest that microbial degradation affects plant waxes from the very earliest stages
 517 of diagenesis; for example the biggest drop in n -alkane CPI happens at the litter-soil interface
 518 (Fig. 4).
 519



520
 521 Fig. 8. Increase in mid-chain (C_{23-25}) n -alkane fractional abundance down the litter-soil profiles, showing data from
 522 litter (triangles) and soils (squares) from the four sites. Note that both ridgetop (open symbols) and slope base (solid
 523 symbols) pits are shown for VC and LA. We find no such consistent increase in mid-chain proportions for n -
 524 alkanoic acids across the sites.

525 Apart from degradation, microbial activities can also affect plant wax signatures by contributing
 526 n -alkanes and n -alkanoic acids to the soil pool. Though commonly assumed to be dominated by
 527 terrestrial vascular plant sources in paleo reconstructions, long-chain n -alkanes ($>C_{27}$) and n -

528 alkanoic acids ($>\text{C}_{28}$) could also be produced by microbes as previous studies have shown
529 (Nguyen Tu et al., 2011; Summons et al., 2013; Makou et al., 2018). It is possible that both
530 degradation and addition of these compound classes may occur in soils, and this can be detected
531 by examination of molecular abundance distributions. In a 1.5-year soil incubation experiment,
532 researchers detected microbial production of long-chain (C_{27-31}) *n*-alkanes with an estimated
533 turnover rate of $\sim 0.1\%$ per year (for *n*- C_{29}) under aerobic conditions, though no significant
534 production was detected under anaerobic conditions (Li et al., 2018). *n*-Alkane-degrading
535 microbes can convert these molecules into *n*-alkanoic acids following identified aerobic and
536 anaerobic degradation pathways involving alkane hydroxylases (Ji et al., 2013), leading to
537 accumulation of *n*-alkanoic acids relative to *n*-alkanes, which is favored in soils with low pH
538 ~ 3.8 as observed in a soil experiment, whereas higher pH ~ 7.3 favors higher abundance of *n*-
539 alkanes (Bull et al., 2000). We note that soils throughout the Peruvian Andes-Amazon transect
540 have low pH values ~ 4 (Whitaker et al., 2014), which based on these experimental results may
541 enhance the accumulation of *n*-alkanoic acids relative to *n*-alkanes as we see in our soils (Fig. 2).

542 4.1.4 Are root and fungal contributions of plant waxes significant?

543 Root-derived organic carbon (OC) represents a significant source of soil organic matter, in part
544 due to enhanced protection mechanisms of root-derived versus shoot-derived OC in soils, e.g. as
545 root-hairs can burrow inside of soil aggregates providing physical protection of root-derived OC
546 (Rasse et al., 2005). In terms of plant waxes, grass roots have been found to produce long-chain
547 *n*-alkanes with high odd-over-even preference and C_{31} dominance (Marseille et al., 1999), as
548 well as *n*-alkanoic acids with a distinct chain length distribution (C_{22-24} dominance) compared to
549 leaves and stems (C_{28} and C_{30} dominance) (Wiesenbergs et al., 2012). From a litter-soil profile in

550 a grass-dominated landscape, Naafs et al. (2004) deduced substantial root input of lipids,
551 including long-chain *n*-alkanes and *n*-alkanoic acids, into soils. It is unclear how much roots
552 contribute to plant waxes in soils in natural tropical forests such as in our study area, as such data
553 are lacking perhaps in part due to the difficulties in field collection and identification of
554 entangled roots from diverse tree species, especially in the context of very high biodiversity in
555 the western Amazon and Andes (Silman, 2014). However, given the anatomy of plants, root-
556 derived OC is presumably less important in forests than in grasslands (Oades, 1993), given the
557 higher above-ground biomass of trees relative to grasses.

558 Although we only have studied two available root samples from SP and LA, the results provide
559 some clues to whether root inputs of *n*-alkanes and *n*-alkanoic acids are important in these soils.
560 These two roots show very low plant wax abundance, with <1% *n*-alkane and 6-16% *n*-alkanoic
561 acid concentrations compared to litter (Fig. 2b,d). Given the lower net primary productivity
562 allocated to roots than canopy across the same Andean transect (Malhi et al., 2016), a low plant
563 wax concentration in roots suggests a tiny root contribution to soil on a biomass basis, although
564 these may be overrepresented given the greater preservation potential previously noted. Another
565 line of evidence comes from the molecular distributions. If plant waxes in soils are mainly
566 derived from roots, we would expect to see molecular distributions in soils that are more similar
567 to roots compared to leaf litter. While the *n*-alkane molecular distributions are similar between
568 litter and roots at SP (Fig. 3c), confounding any separation on this basis, the molecular
569 distributions of *n*-alkanes at LA (Fig. 3g) and *n*-alkanoic acids at both SP and LA (Fig. 3d,h) are
570 distinct in roots versus litter. In general, we do not find evidence of significant root inputs of *n*-
571 alkanes and *n*-alkanoic acids, as soils show more similar molecular distributions to that of litter
572 (C_{29} and C_{31} *n*-alkane, and C_{30} and C_{32} *n*-alkanoic acid dominance), and lack the distinct

573 signatures shown in roots (C_{23} and C_{25} *n*-alkane dominance at LA, C_{22-26} *n*-alkanoic acid
574 dominance with low even-over-odd preference in that range). Moreover, the one available δD
575 measurement of root *n*-alkanoic acid at LA is significantly D-depleted (by ~40‰) relative to the
576 adjacent soil profile (Fig. 5d), further supporting a minor influence of root inputs to soil plant
577 waxes.

578 Fungi have been reported to contain *n*-alkanes often with C_{27} , C_{29} and C_{31} dominance similar to
579 that of vascular plants (Weete, 1972), but not long-chain ($>C_{28}$) *n*-alkanoic acids (Weete, 1972;
580 Madan et al., 2002). Other studies have found increases in C_{25} and C_{27} *n*-alkanes in sub-surface
581 horizons and attributed these to fungal production (Huang et al., 1996; Marseille et al., 1999). It
582 may be difficult to use *n*-alkane molecular distributions to detect fungal inputs given the wide
583 diversity of fungi, poor characterization of *n*-alkane production (only few species characterized),
584 and possible confounded distributions with vascular plants (Weete, 1972). A sub-surface
585 increase in abundance of total *n*-alkanes or particular chain lengths such as C_{27} is expected if
586 fungal input is substantial as in previous reports (Huang et al., 1996; Marseille et al., 1999), and
587 we do not observe any such feature in our profiles. However, we note that in those prior fungal
588 studies, the sub-surface increase in fungal *n*-alkanes occurs within a discrete, thin layer a few to
589 ten centimeters down from soil tops, perhaps guided by visual evidence during sampling. If any
590 fungal *n*-alkane production happens at such shallow depth within our soils, this production would
591 not be observed by our relatively coarse profile sampling, and no fungal evidence was observed
592 in the field.

593 4.1.5 No systematic change in plant wax δD between canopy, litter and soil

594 The hydrogen isotopic compositions of both C₂₉ *n*-alkane and C₃₀ *n*-alkanoic acid (the dominant
595 chain length of each compound class) show minor variations (<20‰) down profile with no
596 systematic pattern observed across sites (Fig. 5). The lack of systematic trend in plant wax δD
597 values within the litter layer and soil profiles in this study means we have no evidence for any
598 isotopic effect, whether via new inputs or below ground processes such as degradation and
599 remobilization, during soil formation. One possibility is that downward-transport of plant waxes
600 (such as by mesofauna), may have homogenized plant wax characteristics; however the different
601 patterns of δD and δ¹³C values with depth, measured on the same molecules, do not support
602 mixing as a major process for these soils. Concentration data have been interpreted as indicating
603 microbial decomposition within litter and soil during the timescales of soil formation. As we do
604 not find a systematic change in δD values, we infer no evidence for any consistent hydrogen
605 isotope fractionation effects associated with early diagenesis here.

606 This interpretation is consistent with a 27-month litterbag degradation study conducted on three
607 higher plant species in a German spruce forest (Zech et al., 2011). In that study, researchers
608 found no overall trend in C₂₇₋₃₁ *n*-alkane δD over the course of the study. They suggested minor
609 (~10 – 20‰) fluctuations were linked to seasonal variations of soil water δD on the microbial
610 community, but no systematic change in *n*-alkane δD was observed in their 2-year litterbag
611 experiment. Our study extends from leaf litter to consider the soil profile and finds that there is
612 no change in plant wax δD during the timescale of soil formation in this system.

613 In contrast, a leaf-litter-soil profile in a maple forest in Japan (Chikaraishi & Naraoka, 2006)
614 found D-depletion by 33 – 77‰ for both compound classes. Most of that D-depletion occurred
615 between canopy and leaves on the ground (litter), whereas the D-depletion within the litter-soil

616 profile was only \sim 5 – 20‰. We find no such systematic directional change, and at SP, we
617 observe that litter is 5 – 15‰ depleted for C₂₉ *n*-alkane, but 20 – 30‰ enriched for C₃₀ *n*-
618 alkanoic acid relative to average canopy (Fig. 5b). Although it is hard to reconcile the different
619 findings in a Japanese temperate maple forest dominated by just two species (*Acer argutum* and
620 *Acer carpinifolium*) and the Peruvian tropical high biodiversity forest sites spanning an altitude
621 range (this study), one possibility is that there has been a directional change in the hydroclimate
622 at the Japanese location during the time of soil formation. Another possibility is that high
623 biodiversity at our Peruvian sites masks any diagenetic changes, with variability down-profile
624 driven primarily by different species inputs over time. Future work might study the isotope
625 effects down-profile in a wider range of soil types and ecosystems in order to better constrain
626 plant wax δ D values in soil archives.

627 4.1.6 A systematic shift in plant wax $\delta^{13}\text{C}$ across between canopy, litter and soil

628 This study was motivated by the observation of plant wax $\delta^{13}\text{C}$ offsets between canopy leaves
629 and soils (Feehins et al., 2018). In the current detailed study of leaf litter and soil profiles we
630 confirm that offset and study the progression via more detailed sampling within soil pits. We find
631 a 4 – 6‰ enrichment in both plant wax compounds from litter to deeper soils (Fig. 5). The larger
632 enrichment step happens between the litter and top soil (\sim 2 – 4‰) followed by a smaller change
633 (\sim 2‰) deeper in the soil, and the profiles in plant waxes mirror that of bulk OC. The ^{13}C -
634 depletion in litter relative to canopy leaves at SP (\sim 1‰ for C₂₉ *n*-alkane and \sim 3‰ for C₃₀ *n*-
635 alkanoic acid, Fig. 5f) may indicate the addition of relatively ^{13}C -depleted understory leaves (Wu
636 et al., 2017). Up to 2‰ of the down-profile ^{13}C -enrichment may be explained by the more
637 enriched pre-industrial atmospheric CO₂ compared to today due to the Suess effect (Francey et

638 al., 2002; Scripps CO₂ program), if the plant waxes in the deeper soils were entirely pre-
639 industrial. Root inputs cannot explain the enrichment in soils, as the roots are 2 – 4‰ depleted
640 relative to soils (Fig. 5f,h). Plant wax $\delta^{13}\text{C}$ entering the soils could have shifted through time if
641 there was a directional change in plant type/composition over the timescale of decades; however
642 this is very unlikely in these pristine highly biodiverse tropical forests (except VC) where no
643 single tree species dominate the landscape. Hence, after accounting for Suess effect, we infer at
644 least ~2 – 4‰ of post-mortem ^{13}C -enrichment of plant waxes within soils which is likely a result
645 of diagenesis.

646 Our profiles corroborate previous studies of a leaf-litter-soil sequence in a Japanese maple forest
647 that found ~2.5 – 4‰ ^{13}C -enrichment between leaf and surface soil (Chikaraishi and Naraoka,
648 2006), and depth profiles of three types of tundra-covered British acid upland soils that found ~2
649 – 4‰ ^{13}C -enrichment of C₂₇₋₃₁ *n*-alkanes downwards (Huang et al., 1996). Considering very
650 different settings among the three studies (and the continued Suess effect over recent decades),
651 the ^{13}C -enrichment of plant waxes during soil storage appears to be a common feature across a
652 range of environmental and soil conditions. ^{13}C -enrichment of *n*-alkanes during early diagenesis
653 has also been observed in several litterbag experiments in mid-latitude temperate forests, mostly
654 showing 1 – 2‰ enrichment within 1 – 3 years, likely a result of microbial processes (Nguyen
655 Tu et al., 2004; Wang et al., 2014; Li et al., 2017; Zhang et al., 2017). Our study confirms that
656 direction and trend, and reveals additional change down profile, which could be due to the much
657 longer time scale of soil formation compared to the litterbag experiments. Not only do we find
658 this result for the *n*-alkanes, we also confirm this transition in litter and soil profiles for the *n*-
659 alkanoic acids, which have been less often reported in litterbag degradation experiments.

660 4.2 Implications for plant wax calibration studies for paleoclimate applications

661 4.2.1 Soil-based surveys as integrators of plant signals

662 Much attention for modern plant wax studies has focused on leaves from living plants (as

663 summarized in review papers by Sachse et al., 2012; Diefendorf & Freimuth, 2017), but soil-

664 based studies (e.g. Jia et al., 2008) provide integrated records of multi-species plant inputs and

665 post-mortem soil processes that may affect plant wax signatures in the transition from leaf to soil

666 (Nguyen Tu et al., 2004; Chikaraishi & Naraoka, 2006). Several studies have surveyed soils

667 across environmental transects using soils to understand molecular abundance distribution (Bush

668 & McInerney, 2015), carbon isotopic composition (Wei & Jia, 2009; Schwab et al., 2015;

669 Feakins et al., 2018) and hydrogen isotopic composition (e.g. Jia et al., 2008; Bai et al., 2011;

670 Zhang & Liu, 2011; Ernst et al., 2013; Ponton et al., 2014; Zhuang et al., 2015; Nieto-Moreno et

671 al., 2016; Wang et al., 2017; Feakins et al., 2018), almost all of which studied *n*-alkanes, with

672 only few exceptions that have studied *n*-alkanoic acids (Ponton et al., 2014; Feakins et al., 2018;

673 Bakkelund et al., 2018). Although both plant and soil-based approaches have merits, plant-based

674 calibrations include significant scatter among individual plants associated with differences in

675 plant type, species, biosynthetic processes, seasonality and microclimate, whereas soils provide

676 an average of plant inputs and reveal how environmental controls are represented in the soil

677 archive. For example, along a slope of Mount Taibai in China, soil *n*-alkane δD values capture

678 the altitudinal gradient in source water composition which was not observed in plant

679 measurements due to significant scatter among individuals, especially between woody plants and

680 grasses (Zhang & Liu, 2011).

681 Regions of high biodiversity, such as tropical forests, pose even bigger challenges for plant-

682 based calibrations, as large-quantity sampling and knowledge of species dominance may be

683 required to adequately capture the ecosystem-scale average signatures. Recent surveys of plant
684 wax δD and $\delta^{13}C$ in canopy leaves in the same region as this study, along a 3320 m elevation
685 transect in the highly-biodiverse tropical forests of the Peruvian Andes, sampled at an
686 unprecedented scale (>300 samples) and revealed significant scatter among individual tree leaves
687 and species. Despite the scatter these studies could identify a robust altitudinal trend (Feeckins et
688 al., 2016a,b; Wu et al., 2017), but one that would have been difficult to reveal without substantial
689 sampling of leaves and sites as demonstrated by Monte Carlo simulations (Wu et al., 2017). In
690 contrast, a relatively small number of soil samples may be needed to calibrate the archived proxy
691 across an environmental transect (e.g., as shown for this region in Ponton et al., 2014 and
692 Feeckins et al., 2018). In a series of studies in this region, we have both constrained the isotopic
693 signal fixed in the plant canopy (Feeckins et al., 2016a,b; Wu et al., 2017), the processes of
694 alteration down profile (this study) and the archived proxy in soils (Ponton et al., 2014; Feeckins
695 et al., 2018).

696 Another advantage of soil-based calibrations is that these capture the post-mortem alterations to
697 plant wax signatures during residence in soil, which may modify the environmental information
698 being recorded from time of synthesis. While we find that δD does not systematically vary, we
699 find a 4 – 6‰ ^{13}C -enrichment in both plant wax compounds from litter to deeper soils (Fig. 5).
700 The larger enrichment step happens between the litter and top soil (~2 – 4‰) followed by a
701 smaller change (~2‰) deeper in the soil, and the profiles in plant waxes mirror that of bulk OC.
702 Knowing this, what are the implications for application of plant-based calibrations to the
703 sedimentary record? Corrections for the changing $\delta^{13}C$ values of atmospheric CO₂ (Suess effect)
704 based on the year of plant collection can be readily applied, when using modern plant-based
705 calibrations for interpretations of the pre-industrial geologic record. Corrections associated with

706 diagenetic processes in soils will be harder to quantify and we anticipate that the diagenetic
 707 effect will likely vary with climate, soil type and microbial community. Based on this study of
 708 tropical forests, a 2 – 4‰ diagenetic correction may be relevant for vegetation reconstructions in
 709 tropical settings based on soils, paleosols, and sedimentary archives where plant waxes are
 710 mainly derived from pre-aged plant waxes that have been diagenetically altered in soils. In
 711 contrast, archives that mainly integrate leaves that did not go through soil storage (e.g. swamps,
 712 some lakes) may not experience such diagenetic effects.

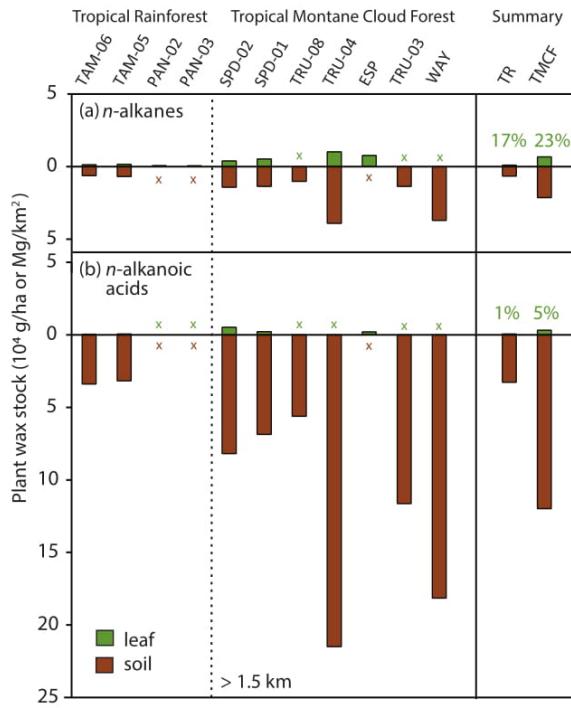
713 Without a diagenetic correction for soil-stored plant waxes, the reconstruction of vegetation
 714 composition using soil-derived plant wax $\delta^{13}\text{C}$ may be subject to bias relative to calibrations
 715 based on living plants. For example, a common tropical application of carbon isotopic analyses is
 716 to estimate the proportion of plants using the C4 pathway (e.g., Schefuß et al., 2003, Castaneda
 717 et al., 2009). Based on our C3 tropical forest soils, a 3‰ post-mortem diagenetic enrichment
 718 would lead to a ~20% overestimation of C4 coverage (based on a 14‰ difference between C3
 719 and C4 end-members in Cerling et al., 1997). Although our study shows a relatively consistent
 720 magnitude of ^{13}C -enrichment across a range of elevation and forest types (tropical rain forest,
 721 bamboo forest, montane cloud forest), it is likely that the diagenetic isotope effect may vary with
 722 climate, soil and ecosystem (e.g. Arctic tundra vs. tropical savanna) as diagenetic changes are
 723 likely controlled by various biologic and environmental factors (e.g., leaf structure, temperature,
 724 soil porosity, soil wetness and microbial community). Further studies would ideally characterize
 725 diagenetic alteration of plant wax in a broad range of *in situ* soil profiles (ideally with age
 726 information) and controlled experimental studies of individual variables.

727 4.2.2 Soils, not plants, are the major stock of plant wax

728 We posit that soils are the dominant plant wax stock relative to plant biomass in these tropical
729 forest ecosystems. This inference comes from our estimates of the stock of plant waxes in plants
730 vs soils (Appendix A), explained as follows and calculated along a series of tropical rainforest
731 (TR) and tropical montane cloud forest (TMCF) sites across the same Andes-Amazon transect
732 that were previously studied for plant wax work (Ponton et al., 2014; Feakins et al., 2016a,b; Wu
733 et al., 2017) and are analogous to those studied in more detailed here.

734 To estimate plant wax stock in the leaves of living trees, we take the OC-normalized plant wax
735 concentration data from prior studies (Feakins et al., 2016a,b) multiplied by leaf net primary
736 productivity NPP_{leaf} (Malhi et al. 2016) and average leaf lifespan (1 yr in tropical rainforest and 3
737 yr in tropical montane cloud forest sites; Girardin et al., 2014; Huaraca Huasco et al., 2014) to
738 estimate plant wax stock in the leaves. We estimate that plant wax stock ranges from 0.06 – 1
739 Mg km⁻² for C₂₃₋₃₃ *n*-alkanes, and from 0.03 – 0.52 Mg km⁻² for C₂₂₋₃₂ *n*-alkanoic acids, with a
740 tendency towards greater stocks of plant waxes on living plants in the TMCF sites.

741 We estimate plant wax stock in soils for the top 30 cm of soils only, using a two-layer (organic
742 and mineral layer) approach, based on OC-normalized plant wax concentration data (Feakins et
743 al., 2018) and soil OC stock estimates (Girardin et al., 2014). We find an estimated 0.6 – 3.9 Mg
744 km⁻² for *n*-alkanes and 3.2 – 21.5 Mg km⁻² for *n*-alkanoic acids for the top 30 cm of soils again
745 with a tendency towards bigger stocks in the TMCF. Together these results show a much bigger
746 plant wax stock in soils compared to canopy, which accounts for only 17% and 23% of total *n*-
747 alkane stock, and 1% and 5% of total *n*-alkanoic acid stock, on average for TR and TMCF sites
748 respectively (Fig. 9).



749

750 Fig. 9. Estimates of the stock of plant waxes for (a) C_{23-33} n -alkanes (green) and
 751 soil top 30cm (brown) across the Peruvian Andes-Amazon elevation transect (sites are ordered in increasing
 752 elevation). Plant wax stock in leaves is calculated based on OC-normalized plant wax concentration data (Feeckins et
 753 al., 2016a,b) multiplied by leaf net primary productivity NPP_{leaf} (Malhi et al., 2016) and then leaf lifespan, using 1 yr
 754 in tropical rainforest (TR) sites, and 3 yr in tropical montane cloud forest (TMCF) sites (Girardin et al., 2014;
 755 Huaraca Huasco et al., 2014). Soil wax stock is estimated based on OC-normalized plant wax concentration data
 756 from soil organic and mineral layers (Feeckins et al., 2018) and OC stock estimates for the top 30cm of soils
 757 (Girardin et al., 2014). The average of TR and TMCF sites is shown in the summary, with numbers in green
 758 indicating the fraction of plant wax stock allocated to the leaves. Crosses denote where leaf or soil estimate is
 759 unavailable for the site. Readers are referred to previous publications (Wu et al., 2017, Feeckins et al., 2018) for site
 760 information.

761 While these estimates are subject to caveats such as not accounting for non-leaf plant waxes in
 762 living biomass, and simplification of the two-layer model for soil (top 30 cm) estimates, they
 763 depict an overall picture that the vast majority of terrestrial plant waxes is stored in the soils
 764 rather than in the living biomass. Moreover, the soil top 30 cm stock represents an
 765 underestimation of the overall soil stock, especially in the higher-elevation sites where the
 766 organic layers are deeper (Table 1) and plant wax loss with depth is gentler (Fig. 7). Although it
 767 has long been known that soils are important archives of OC (Blair et al., 2004), the effort to
 768 quantify plant wax production (Feeckins et al., 2016a) and here to quantify and compare plant

769 waxes stocks above and below ground, is a new contribution. It would be interesting for carbon
770 cycle quantification and tracking to see this biomarker approach to stocks and fluxes expanded to
771 more climates and ecosystems.

772 4.2.3 Soil stocks as sources for fluvial erosion

773 Given the much greater stock of plant waxes in soils relative to living plants (Fig. 9), soils are the
774 likely source for the majority of riverine-erosion and export of plant waxes used in studies of
775 catchment sourcing (e.g. Ponton et al., 2014; Häggi et al., 2016; Hemingway et al., 2016), and
776 thus for the plant waxes deposited downstream in sedimentary repositories used for paleoclimate
777 reconstructions (e.g., Tipple and Pagani, 2010; Schefuß et al., 2011; Hein et al., 2017). We
778 acknowledge that the actual sourcing from plants vs soils depends not just on the stock, but also
779 on the erosional processes. For example, it has been suggested that landsliding plays an
780 important role in soil OC sourcing to rivers in the Peruvian Andes, stripping 80% of the OC from
781 soils and 20% from vegetation (Clark et al., 2016). Landslides would enhance supply of soil
782 plant waxes from deeper depths (beyond 30 cm), as well as the plant waxes directly from the
783 living biomass. While estimating the exact living vegetation vs soil plant wax sourcing is beyond
784 the scope of this study, we suggest that the stock estimates gives us a first-order view on the
785 relative importance of these two pools, such that soil-based calibrations carry merit of likely
786 being the pool from which most riverine plant waxes are sourced. In terms of parsing fluvial
787 sourcing proportions between living plants and soil stocks of plant wax, compound specific
788 radiocarbon analysis is needed (French et al., 2018).

789 The idea that soil is the major source of sedimentary and riverine plant waxes has important
790 implications especially for elevation-sourcing studies. For example, Feakins et al. (2018)

evaluated fluvial sourcing of plant waxes within the Andes-Amazon Madre de Dios catchment based on plant wax isotopic gradients in soils. If the $\delta^{13}\text{C}$ gradient in canopy leaves (which is c. -1 and -2‰ offset from soil organic and mineral layer respectively) were to be used instead, this would result in an overestimate of the average sourcing elevation by more than 700 m (taking $c.1.5\text{‰ km}^{-1}$ altitudinal gradient for C_{29} *n*-alkane; Feakins et al., 2018). The degree of litter-to-soil ^{13}C -enrichment, however, appears similar across the four sites that span a range in elevation (286 – 3025 m), forest structure (from montane cloud forests to lowland tropical rain forests), and soil organic content (soil organic layer 1 – 26 cm thick, thinner towards lower elevation), such that the $\delta^{13}\text{C}$ altitudinal gradients are kept nearly constant between plant and soil, though their values may be offset (Feakins et al., 2018). This implies that when relative isotopic changes are interpreted (e.g., as relative shifts in C3/C4 coverage, or when applying $\delta^{13}\text{C}$ in plant waxes for paleoaltimetry reconstruction) instead of the absolute values, the problem caused by ^{13}C -enrichment within soils may be avoided.

5. Conclusions

Here we studied plant wax biomarkers (*n*-alkanes and *n*-alkanoic acids) from four locations (six soil pits) along a 2740 m elevation transect in the Andes-Amazon. We measured plant wax concentrations, molecular distributions, and hydrogen and carbon isotopic compositions, as well as bulk organic carbon and carbon isotopic composition, in these litter-soil profiles. Based on the observations within these profiles we draw inferences about inputs, degradation processes and alteration of plant wax properties within these tropical soil profiles. Within leaf litter, although both compound classes decline in absolute abundance, we find that *n*-alkanes are lost relative to *n*-alkanoic acids, and it is this greater loss of *n*-alkanes (rather than in-soil inputs of *n*-alkanoic

813 acids) which leads to an increase in *n*-alkanoic acid relative abundance from litter to soil. Within
814 the soil profiles, concentrations of both compound classes decline with depth (k_z) at similar rates
815 within a site. Between sites, k_z decreases with elevation, such that k_z is smaller at higher
816 elevation (colder) sites and larger at lowland (warmer) sites. The only exception to this trend is at
817 VC, the only soil sampled under a secondary growth forest, now dominated by bamboo, and
818 aspects of the disturbance history or bamboo regrowth may explain the lower than expected k_z .
819 We find signs of microbial activities altering molecular distributions of plant waxes, but no
820 evidence of root and fungal contributions being quantitatively important. Across the litter-soil
821 profiles, we find no systematic change in δD values, but a consistent 4 – 6‰ increase in $\delta^{13}\text{C}$
822 down-profile, which is attributed to a combination of Suess effect ($\leq 2\text{\textperthousand}$) and diagenetic
823 processes (2 – 4‰), corroborating results from previous litter degradation experiments. With
824 these observations, we suggest that soil-based calibrations carry considerable merit as integrated
825 recorder of plant signals, and this approach is especially relevant in high biodiversity ecosystems
826 where it reduces the number of samples needed to adequately characterize the system. Further,
827 soil-based calibrations capture the post-mortem diagenetic processes that affect plant wax. It is
828 important to characterize plant waxes in soils for a range of applications. Most obviously,
829 surveying plant waxes within modern soil profiles is important for calibration of the recorded
830 signals that may inform applications to paleosol archives of the plant wax proxy. As we show the
831 below-ground stock of plant wax is much greater than that of the living forest here, further
832 quantification and characterization of the soil stock of plant waxes in a range of environments
833 and ecosystems would be informative for carbon cycle and sourcing studies. While not all soils
834 are connected to fluvial systems, some soils are episodically eroded in this system by landslides
835 in high relief areas and by migrating river meanders in lowland systems. Overall, river studies of

836 plant wax export have shown that soil storage contributes pre-aged plant waxes to the export
837 flux, although to variable extent in different climates and river systems (Kusch et al., 2010; Feng
838 et al., 2015; French et al., 2018). We therefore encourage further modern soil-surveys of plant
839 waxes to understand the exported signal of eroded soils and plant waxes for carbon cycle
840 implications, and when deposited in sedimentary archives, for paleoclimate reconstructions.

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853 **Appendix A. Supplementary material**

854 Supplementary data associated with this article can be found, in the online version, at
855 <https://doi.org/10.101x/j.orggeochem.2019.xx.xxx>. These data include an Excel file of all the
856 data, as well as Google maps of the most important areas described in this article.

857 Data are permanently archived at Pangaea and can be downloaded from
 858 <https://doi.pangaea.de/10.1594/PANGAEA.895994>.

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1081 **Figure Captions**

1082 Fig. 1. Sampling locations across a 2740 m elevation Andes-Amazon transect in the Cusco and
 1083 Madre de Dios region of Peru (square symbols, color indicates elevation). Andean sites:
 1084 Wayqecha (WAY) and San Pedro (SP). Foothills: Villa Carmen (VC) Lowland: Los Amigos
 1085 (LA). Circles show major cities in the region.

1086 Fig. 2. Vertical profiles of plant wax and bulk OC abundance at the four study sites (pink: C_{23-33}
 1087 n -alkanes; blue: C_{22-32} n -alkanoic acids), showing (a-d) total organic carbon concentrations, (e-
 1088 h) abundance per gram dry weight (Σ_{alk} and Σ_{acid}), (i-l) abundance normalized to OC (Λ_{alk}
 1089 and Λ_{acid}), (m-p) n -alkanoic acid fraction. Data shown are from this study (litter: triangle; root:
 1090 inverted triangle; soil: square), as well as from previous studies (canopy: diamond; soil: circle) of
 1091 overlapping sites at WAY and SP (Feakins et al. 2016a,b; Feakins et al., 2018). Open symbols at
 1092 LA and VC denote additional pits at these sites at the ridge top, with closed symbols representing
 1093 slope base. Vertical bars indicate the depth range from which the soil profile samples were taken.
 1094 Horizontal bars of canopy data at SP represent standard error of the site means ($n = 39$). Note the
 1095 change in x-axes for soil data on the left panels.

1096 Fig. 3. Chain length distributions of n -alkanes (left) and n -alkanoic acids (right) in litter (green),
 1097 soil (yellow), and root (purple) at the four study sites. Error bars represent 1σ deviation from the
 1098 mean values when multiple litter or soil samples are present at a single site.

1099 Fig. 4. Vertical profiles of (left) carbon preference index (CPI) and (right) average chain length
 1100 (ACL) of C_{23-33} n -alkanes (pink) and C_{22-32} n -alkanoic acids (blue) at the four study sites,
 1101 showing data from this study (litter: triangle; root: inverted triangle; soil: square). Open symbols
 1102 at LA and VC denote additional pits at these sites at the ridge top, with closed symbols
 1103 representing slope base. Also shown are canopy (diamond) and soil (circle) data from previous
 1104 studies of overlapping sites at WAY and SP (Feakins et al. 2016a,b; Feakins et al., 2018).
 1105 Vertical bars indicate the depth range from which the soil profile samples were taken. Horizontal
 1106 error bars of canopy data at SP represent standard error of the site means ($n = 39$).

1107 Fig. 5. Vertical profiles of (left, a-d) δD and (right, e-h) $\delta^{13}\text{C}$ of C_{29} n -alkane (pink), C_{30} n -
 1108 alkanoic acid (blue), and bulk OC (grey) at the four study sites, showing data from this study
 1109 (litter: triangle; root: inverted triangle; soil: square). Open symbols at LA and VC denote
 1110 additional pits at these sites at the ridge top, with closed symbols representing slope base. Also
 1111 shown are canopy (diamond) and soil (circle) data from previous studies of overlapping sites at
 1112 WAY and SP (Feakins et al. 2016a; Wu et al. 2017; Feakins et al., 2018) for comparison.
 1113 Vertical bars indicate the depth range from which the soil profile samples were taken. Horizontal
 1114 bars indicate 1σ error from replicate measurements (for soil and litter data) or standard errors of
 1115 site mean (canopy data at SP).

1116 Fig. 6. Exponential decrease in the total abundance of (a) bulk OC, (b) n -alkanes (C_{23-33}), and (c)
 1117 n -alkanoic acids (C_{22-32}) within soils profiles at the four sites. Data show fractional

1118 concentrations relative to the top soil samples at each site. Soil data are plotted on the mean
 1119 sample depth below the top sample. Depth-dependent rate constants (k_z) are estimated with 1σ
 1120 uncertainties, which show the same values within uncertainties among compounds, except at for
 1121 *n*-alkanes WAY and bulk OC at LA. Soil profiles at ridgeline (open symbol) and slope base
 1122 (solid symbol) at VC are grouped for curve fitting. Note that the ridgeline soil pit at LA is
 1123 excluded in this analysis due to the very thin soil O layer (1 cm) at much finer resolution than the
 1124 top sample (0-7 cm).

1125 Fig. 7. The rate of OC and plant wax loss with soil depth (k_z ; this study) and litter decomposition
 1126 rate (k ; Salinas et al., 2010) along the Andes-Amazon elevation transect. Note that the soil under
 1127 secondary growth at VC is an outlier that does not follow the temperature-dependency of k_z
 1128 values. Litter bag experiments were not conducted at the secondary forest sites at VC. The lower
 1129 than expected k_z value for bulk OC at LA (the lowest elevation site) may be in part explained by
 1130 the recalcitrance of residual bulk organic material at depth in these soils.

1131 Fig. 8. Increase in mid-chain (C_{23-25}) *n*-alkane fractional abundance down the litter-soil profiles,
 1132 showing data from litter (triangles) and soils (squares) from the four sites. Note that both
 1133 ridgeline (open symbols) and slope base (solid symbols) pits are shown for VC and LA. We find
 1134 no such consistent increase in mid-chain proportions for *n*-alkanoic acids across the sites.

1135 Fig. 9. Estimates of the stock of plant waxes for (a) C_{23-33} *n*-alkanes (b) C_{22-32} *n*-alkanoic acids in
 1136 leaves (green) and soil top 30cm (brown) across the Peruvian Andes-Amazon elevation transect
 1137 (sites are ordered in increasing elevation). Plant wax stock in leaves is calculated based on OC-
 1138 normalized plant wax concentration data (Feakins et al., 2016a,b) multiplied by leaf net primary
 1139 productivity NPP_{leaf} (Malhi et al., 2016) and then leaf lifespan, using 1 yr in tropical rainforest
 1140 (TR) sites, and 3 yr in tropical montane cloud forest (TMCF) sites (Girardin et al., 2014; Huaraca
 1141 Huasco et al., 2014). Soil wax stock is estimated based on OC-normalized plant wax
 1142 concentration data from soil organic and mineral layers (Feakins et al., 2018) and OC stock
 1143 estimates for the top 30cm of soils (Girardin et al., 2014). The average of TR and TMCF sites is
 1144 shown in the summary, with numbers in green indicating the fraction of plant wax stock
 1145 allocated to the leaves. Crosses denote where leaf or soil estimate is unavailable for the site.
 1146 Readers are referred to previous publications (Wu et al., 2017, Feakins et al., 2018) for site
 1147 information.

1148 **Highlights:**

- 1149 • Plant wax was studied in soil pits under tropical forests at varied elevation.
- 1150 • Plant wax concentration and composition were characterized in litter and soil profiles.
- 1151 • Plant wax D/H invariant within the profiles.
- 1152 • Significant down-profile ^{13}C -enrichment linked to Suess effect and diagenesis.
- 1153 • Below-ground plant wax stocks greatly exceed above-ground stocks.