

Macromolecular Drug Carriers for Targeted Glioblastoma Therapy: Preclinical Studies, Challenges and Future Perspectives

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Abstract

Glioblastoma, the most common, aggressive brain tumor, ranks among the least curable cancers--owing to its strong tendency for intracranial dissemination, high proliferation potential, and inherent tumor resistance to radiation and chemotherapy. Current glioblastoma treatment strategies are further hampered by a critical challenge: adverse, nonspecific treatment effects in normal tissue combined with the inability of drugs to penetrate the blood brain barrier and reach the tumor microenvironment. Thus, the creation of effective therapies for glioblastoma requires development of targeted drug-delivery systems that increase accumulation of the drug in the tumor tissue while minimizing systemic toxicity in healthy tissues. As demonstrated in various preclinical glioblastoma models, macromolecular drug carriers have the potential to improve delivery of small molecule drugs, therapeutic peptides, proteins, and genes to brain tumors.

Currently used macromolecular drug delivery systems, such as liposomes and polymers, passively target solid tumors, including glioblastoma, by capitalizing on abnormalities of the tumor vasculature, its lack of lymphatic drainage, and the enhanced permeation and retention (EPR) effect. In addition to passive targeting, active targeting approaches include the incorporation of various ligands on the surface of macromolecules that bind to cell surface receptors expressed on specific cancer cells. Active targeting approaches also utilize stimulus responsive macromolecules which further improve tumor accumulation by triggering changes in the physical properties of the macromolecular carrier. The stimulus can be an intrinsic property of the tumor tissue, such as low pH, or extrinsic, such as local application of ultrasound or heat.

This review article explores current preclinical studies and future perspectives of targeted drug delivery to glioblastoma by macromolecular carrier systems, including polymeric micelles, nanoparticles and biopolymers. We highlight key aspects of the design of diverse macromolecular drug delivery systems through a review of their preclinical applications in various glioblastoma animal models. We also review the principles and advantages of passive and active targeting based on various macromolecular carriers. Additionally, we discuss the potential disadvantages that may prevent clinical application of these carriers in targeting glioblastoma, as well as approaches to overcoming these obstacles.

Introduction

Glioblastoma (GBM) is the most common and the most aggressive primary malignant tumor of the central nervous system. Current therapy regimens are initial surgical resection which is followed by radiation and chemotherapy using the DNA alkylating agent Temozolomide. However, glioblastoma tumors are very aggressive and resistant to multimodal therapies, and the average life expectancy and overall survival is less than 18 months. Therefore, current clinical therapies are ineffective as they are

43 more palliative in nature than curative. Treatment options are limited since complete surgical resection
44 is impossible and since tumor tissue is heterogeneous and penetrates surrounding healthy brain tissue.
45 As a result, almost all the patients develop recurrent tumors, which are more aggressive and often
46 resistant to anticancer drugs. Furthermore, drug delivery to the brain is hampered by the presence of
47 blood brain barrier (BBB)(Figure 1.), resulting in poor delivery of drugs to the tumor tissue and dose
48 related systemic toxicity in healthy tissues. Considering limitations and overall ineffectiveness of the
49 current approaches in the treatment of glioblastoma, there is an urgent need for more efficient treatments
50 to achieve improved outcome and increase overall survival in glioblastoma patients.

51 One of the approaches of tumor specific drug delivery is based on macromolecular drug carriers.
52 Advantages of macromolecular carriers over small molecule drugs include protection of the drugs from
53 degradation, improvement of drug solubility and blood plasma half-life-time, release of the drugs in the
54 optimal dosage range and delivery of the anticancer agents specifically to the tumor. Currently used
55 macromolecular drug delivery systems, such as liposomes and polymers, passively target solid tumors
56 by capitalizing on abnormalities of the tumor vasculature, its lack of lymphatic drainage, and the
57 enhanced permeation and retention (EPR) effect. However, to achieve therapeutic efficacy in treating
58 GBM, polymeric carriers must successfully overcome several transport barriers (including BBB),
59 extravasate tumor micro vessel walls, and penetrate the plasma membrane of the tumor cells. In addition
60 to passive targeting, further selectivity of macromolecules can be achieved by active targeting. Active
61 targeting approaches include the application of cancer biomarker proteins that bind to overexpressed cell
62 surface proteins in specific cancer cells. It also includes stimuli-responsive macromolecular carriers
63 which can release anticancer drugs specifically in the tumor tissue or tumor cells in response to internal
64 or external stimuli. Internal stimuli drug release is based on the fact that tumor tissue has a different
65 environment compared to normal tissue; more acidic pH, higher redox potential, and/or overexpressed
66 proteins and enzymes. In addition, stimuli such as light, ultrasound, a magnetic field and temperature,
67 can be also applied to the tumor site externally to allow drug to be released and their molecular target in
68 the cancer cells reached.

69 In the present review, we report the use of macromolecular carriers with different composition,
70 including lipids, proteins, and synthetic nanoparticles and and we consider their targeting aspects. We
71 also review selected preclinical brain drug delivery macromolecular carriers and highlight their potential
72 in the clinical treatment of glioblastoma.

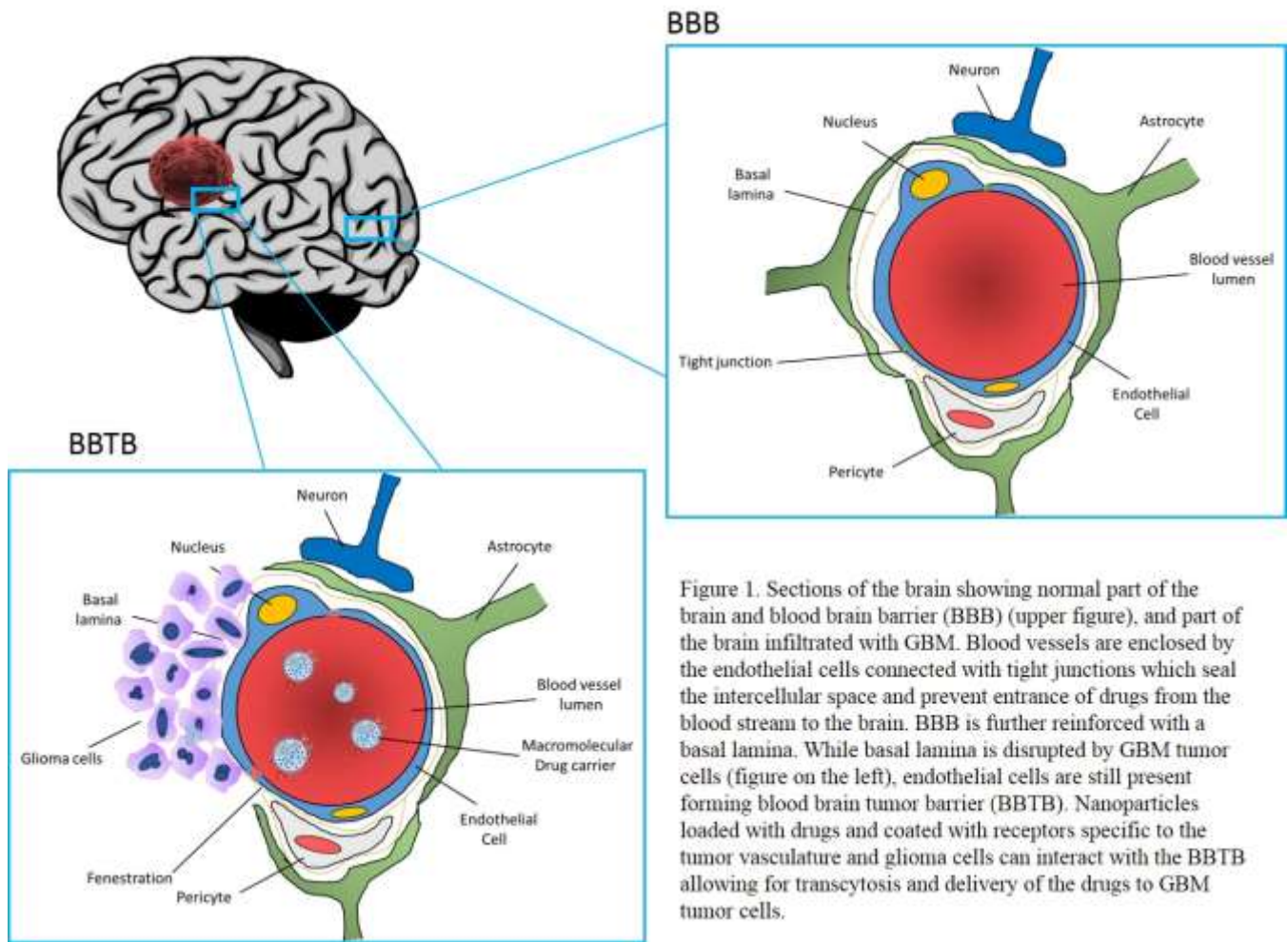


Figure 1. Sections of the brain showing normal part of the brain and blood brain barrier (BBB) (upper figure), and part of the brain infiltrated with GBM. Blood vessels are enclosed by the endothelial cells connected with tight junctions which seal the intercellular space and prevent entrance of drugs from the blood stream to the brain. BBB is further reinforced with a basal lamina. While basal lamina is disrupted by GBM tumor cells (figure on the left), endothelial cells are still present forming blood brain tumor barrier (BBTB). Nanoparticles loaded with drugs and coated with receptors specific to the tumor vasculature and glioma cells can interact with the BBTB allowing for transcytosis and delivery of the drugs to GBM tumor cells.

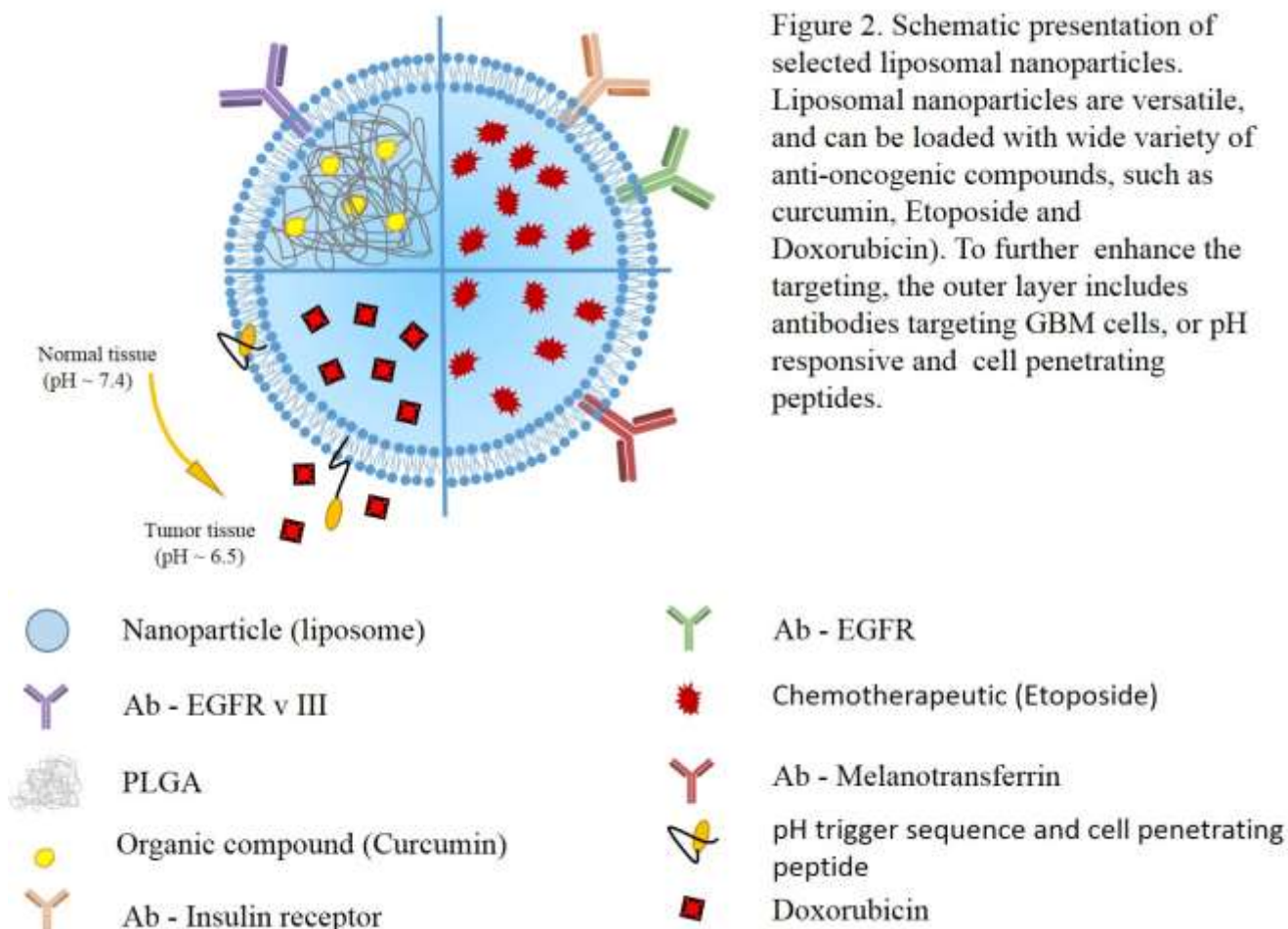
1. Active targeting

Active targeting to tumor sites generally exploits an intrinsic cell characteristic to obtain drug delivery. Utilizing a homing device such as an antibody or ligand, a drug can bind tumor cells through antigens or receptors without affecting any other normal tissues (Ryu et al., 2014).

This classic concept of active targeting has been successful due to its high selectivity and binding affinity to produce a series of antibody-drug conjugates or ADC. Currently, there are several marketed ADC including Brentuximab and Trastuzumab; however, much more promising ADC are under investigation in clinical trials (Bazak et al., 2015). Despite all of the enthusiasm toward this type of approach, ADC strategy is also facing a few problems that must be resolved in order to take a greater step forward. These problems include low efficiency in cellular uptake or in endosomal escape, heterogeneity of tumor cells in the expression of specific receptors and challenges in manufacturing (Rosenblum et al., 2018).

Another example of active targeting is stimuli-responsive targeting. As knowledge of tumor biology and technologies advances, a variety of novel and smart devices have been introduced showing unprecedented efficiency of drug delivery. Environmentally responsive macromolecular drug carriers can release cargo drugs in the targeted tumor tissues as a response of external stimuli such as heat, light, ultrasound, and a magnetic field. This triggered drug release provides advantages over other types of active targeting technologies in that it allows exquisite control over time and location of drug release (Ryu and Raucher, 2015).

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1.1. Receptor-mediated endocytosis

98 Clathrin-dependent endocytosis is known for a predominant mechanism for the internalization of ADC
99 even though the other pathways including caveolin-mediated, clathrin–caveolin-independent and
100 cholesterol/macropinocytosis-mediated are also reported in literature (Kalim et al., 2017). To briefly
101 describe this process, once binding to specific receptor, ADC-receptors are invaginated by cells through
102 the formation of clathrin-coated vesicles. With dynamin GTPase, the vesicles are then released from the
103 membrane with some of the mature vesicles fusing with lysosomes to form lysosome-late-endosome
104 hybrids through Ras-related protein 7 (Rab7). While cells perform this whole procedure for acceptance
105 of the ADC-receptors, ADC have a couple of opportunities to release drugs from the antibody. First,
106 ADC can release drugs in the endosomal phase. Acid-labile linkers such as (6-
107 maleimidocaproyl)hydrazine (EMCH) allow ADC to unload drugs in the endosome because of
108 the acidic environment of endosomes. Second, ADC can release drug in the lysosome. The high content
109 of enzymes such as cathepsins and collagenases in lysosomes can digest some dipeptide linkers such as
110 valine-citrulline (vc) or phenylalanine-lysine linkers which are specific for cathepsin B. Third, even
111 without a cleavable linker between antibody and drug, drugs can be released by proteolytic digestion in
112 the lysosome. Some products from the digestion of metabolites still retain the original activities of the
113 drug and express their activities in the cells or neighboring cells; the bystander effect (Lewis Phillips et
114 al., 2008).

115 Another mechanism involved in internalization of ADC-receptor is autophagy. As a part of the autophagy
116 process, ADC-receptor can be taken up by autophagosomes and digested in autolysosomes releasing drugs
117 afterward (Diessner et al., 2014).

118 **1.2. Antibodies**

119 **1.2.1. Epidermal growth factor receptor EGFR**

120 The most common genetic aberration associated with malignant glioma is amplification of the epidermal
121 growth factor receptor, with a frequency of about 50%. (Furnari et al., 2007)

122 Targeting the receptor for epidermal growth factor receptor (EGFR) has been rewarding in cancer and
123 many pharmaceuticals are approved alone or in combination with chemotherapy for colorectal cancer,
124 non-small-cell lung cancer, and pancreatic cancer, among others, but not for gliomas (Yewale et al.,
125 2013). It remains unresolved why EGFR targeting has not been successful for glioma as it should be
126 ideally suitable in the context of this disease (Yewale et al., 2013).

127 Jamali et al. delivered curcumin using Poly (D, L-lactic-co-glycolic acid) nanoparticles (PLGA NPs).
128 Monoclonal antibody targeting epidermal growth factor receptor variant III (EGFRvIII) was
129 incorporated into PLGA NPs showing selective internalization of the NPs by an EGFRvIII
130 overexpressed human glioblastoma cells and increased photodynamic toxicity of curcumin (Jamali et al.,
131 2018).

132 In another study, etoposide (ETP) was loaded in solid lipid nanoparticles (SLNs) containing a
133 monoclonal antibody for insulin receptors and another monoclonal antibody against EGFR (Kuo and
134 Lee, 2016). Since insulin receptors are found on human brain microvascular endothelial cells (HBMEC),
135 these dual targeting nanoparticles passed across HBMEC/HA (human astrocytes), an *in vitro* model for
136 blood-brain barrier, and increased cytotoxicity in the treatment of U87MG cells (table 1).

137 **1.2.2. Transferrin receptor (TfR)**

139 TfR plays a key role in the control of the rate of cellular iron uptake, tuning the amount of iron delivered
140 to the metabolic needs of the cells (Calzolari et al., 2010).

141 The presence of BBB and hard parenchyma of the GBM has been a predominant challenge in
142 chemotherapy in the treatment of GBM. Ever since the finding that iron-loaded transferrin is taken up via
143 receptor-mediated endocytosis at the brain capillaries and transcytosed, many researchers have utilized
144 transferring-transferrin receptor to transfer drugs across the BBB (Roberts et al., 1993).

145 Cuo et al. also utilized this idea to deliver etoposide for the GBM treatment. They generated solid lipid
146 nanoparticles (SLNs) conjugated with melanotransferrin antibody (MA) and examined its transcytosis
147 efficiency across human brain-microvascular endothelial cells (HBMECs) and the resulting growth
148 inhibition of U87MG cells. The *in vitro* transwell assay strategy triggered melanotransferrin-mediated
149 transcytosis and promoted the growth-inhibitory efficacy in U87MG cells suggesting the MA-ETP-
150 SLNs as a promising delivery system for malignant GBM (Kuo and Chao, 2016).

151 The findings that there is a higher reactivity in GBM for anti-TfR and that GBM cells are very sensitive
152 to the effects of anti-TfR mAbs instigated research targeting TfR as a direct way to kill GBM cells rather
153 than a way to bypass BBB (Voth et al., 2015).

154 Ramalho et al. developed poly(lactic-co-glycolic acid) nanoparticles functionalized with OX26 type
155 transferrin monoclonal antibody with a purpose to target transferrin receptors on GBM cells (U251 and
156 U87). In this study, the approach facilitated uptake of the nanoparticles by the GBM cells while normal
157 human astrocytes did not internalize the nanoparticles efficiently. However, this encouraging data was

not reproduced in comparative cytotoxicity tests with native nanoparticle and TfR-targeting nanoparticle (Ramalho et al., 2018).

1.2.3. Antibodies for cancer stem cell

Cancer stem cells (CSCs), a small population of quiescent or slowly dividing cells, significantly contributes to the resistance to therapy and recurrence of cancer. Targeting CSCs could be a good strategy to improve the outcome of cancer therapy. There have also been extensive research to cure GBM through targeting specific markers of CSCs such as CD44, aldehyde dehydrogenase (ALDH) and CD133 as follows.

Mahmud et al. fused human IgG Fc of CD44 with a chlorotoxin peptide (M-CTX-Fc). The authors verified the superiority of M-CTX-Fc by comparing U251MG-P1 cells (CD44+) with CD44-negative cells (SKBR3) in cellular uptake, *in vitro* cytotoxicities and *in vivo* tumor growth inhibition. Since CD44 positivity represent stemness of a cancer cell line along with other markers such as OCT3/4, SOX2, KLF4 and Nanog, this approach may contribute to the retardation of tumor growth by restricting cancer stem cell population (Mahmud et al., 2018).

CD133+/ALDH1+ in glioblastoma stem cells (GSCs) were targeted by Kim et al., to deliver Temozolomide with liposome (Kim et al., 2018). With additional BBB targeting molecule, angiopep-2 (An2), this dual-targeting immunoliposome encapsulating TMZ (Dual-LP-TMZ) increased *in vitro* cytotoxicity and apoptosis in U87MG GSCs. This approach suggests a potential use of Dual-LP-TMZ as a therapeutic modality for GBM demonstrating significant *in vivo* tumor reduction in intracranial U87MG-TL GSC xenografts (table 1).

Table 1. Active targeting with antibodies (or ligands) for GBM treatment

Group	Carrier/Technology	Targeting method	Cargos	Ref.
Nanoparticle	Poly (D, L-lactic-co-glycolic acid), PLGA	Anti-EGFRvIII (A-EGFRvIII-f)	Curcumin	(Kuo and Lee, 2016)
	PLGA	OX26 type monoclonal antibody for transferrin receptor	Temozolomide	(Ramalho et al., 2018)
	Bovine serum albumin-polycaprolactone (BSA-PCL)	Anti-EGFR	Radioiodine	(Li et al., 2017)
	(PLGA) and PLGA-polyethylene glycol (PLGA-PEG) polymers	Anti-Fn14 receptor		(Wadajkar et al., 2017)
	PEGylated-hydrophilic carbon clusters	epidermal growth factor receptor (EGFR) binding peptide		(Nilewski et al., 2018)
	superparamagnetic iron oxide nanoparticle (SPION) based polymeric nanocomposites	antibody against nestin, a stem cell marker, and transferrin	Temozolomide	(Prabhu et al., 2017)
	PLGA	human/mouse chimeric anti-GD2 antibody ch14.18/CHO, enabling specific targeting of GD2-positive GBM cells	Letrozole,	(Tivnan et al., 2017)
	PEG-PE-based polymeric micelles	The micellar system was decorated with GLUT1 antibody single chain fragment variable (scFv)	Doxorubicin, Durcumin	(Sarisozen et al., 2016)
	Magnetite particles + PEG	Plant lectin viscumin		(Khutornenko et al., 2016)
	non-living bacterially-derived minicells	epidermal growth factor receptor (EGFR) targeting	Doxorubicin	(MacDiarmid et al., 2016)
	Nanorings made of dihydrofolate reductase (DHFR) fusion proteins	a PEGylated EGFR targeting peptide (LARLLT)	Methotrexate	(Shah et al., 2016)
	Graphene oxide (NGO)	integrin avβ3 monoclonal antibody (mAb)	Pyropheophorbide-a	(Wei et al., 2016)
	Solid lipid nanoparticles (SLNs)	83-14 monoclonal antibody and anti-epithelial growth factor receptor	Etoposide (ETP)	(Kuo and Lee, 2016)
	SLN	Melanotransferrin antibody and tamoxifen	ETP	(Kuo and Wang, 2016)

	SLN	melanotransferrin antibody	ETP	(Kuo and Chao, 2016)
	superparamagnetic iron nanoparticles (SPION)	Hsp70-specific antibody (cmHsp70.1)		(Shevtsov et al., 2015)
	polylysine-DTPA (Diethylenetriamine pentaacetate)	monoclonal antibody to Connexin 43	Gd(III)	(Abakumova et al., 2016)
	iron oxide nanoparticles (IONP)	Anti-EGFRvIII-cetuximab (an EGFR- and EGFRvIII-specific antibody)		(Bouras et al., 2015), (Kaluzova et al., 2015)
	Bovine serum albumin	Monoclonal antibodies against vascular endothelial growth factor (VEGF)	Ferric oxide (Fe ₃ O ₄) as a MRI contrast agent	(Abakumov et al., 2015)
	nanoparticles	Fn14 monoclonal antibody		(Schneider et al., 2015)
	Nanogels based on PEG and polymethacrylic acid block copolymer (PEG-b-PMAA)	monoclonal antibodies to connexin 43 (Cx43)	Cisplatin	(Nukolova et al., 2014)
	Activatable cell-penetrating peptides (ACPP) : cyclic-RGD PLGC(Me)AG-MMAE-ACP	integrin $\alpha(v)\beta(3)$ -binding domain, cyclic-RGD, was covalently linked to the ACPP	Monomethyl-lauristatin E (MMAE)	(Crisp et al., 2014)
	Nanogels (PEG-b-PMAA) diblock copolymer base)	monoclonal antibodies to connexin 43 and brain-specific anion transporter (BSAT1)	Cisplatin	(Baklaushev et al., 2015)
Liposome	liposomes	a chlorotoxin peptide fused to human IgG Fc region without hinge sequence (M-CTX-Fc) targeting CD44	Doxorubicin	(Mahmud et al., 2018)
	immunoliposome	angiopep-2 (An2) and anti-CD133 monoclonal antibody (CD133 mAb)	Temozolomide	(Kim et al., 2018)
	Lipid nanocapsule	Antibody for CXCR4	Rhenium-188	(Sehedic et al., 2017)
	nanometric liposome	LAT1 antibody	WP1066	(Bhunia et al., 2017)
	liposomes	iNGR	Doxorubicin	(Zhou et al., 2017)
	PEGylated liposomes	anti-VEGF and anti-VEGFR2 monoclonal antibody	Cisplatin	(Shein et al., 2016)
	liposomes	anti-CD133 monoclonal antibody	Gemcitabine and Bevacizumab	(Shin et al., 2015)
	a cationic liposome	anti-transferrin receptor single-chain antibody fragments	Temozolomide	(Kim et al., 2015)
	PEGylated liposomes	anti-EGFR		(Mortensen et al., 2013)
ADC	Monoclonal antibody	the single chain variable fragment (scFv) from the D2C7 monoclonal antibody (mAb) of EGFR	Pseudomonas Exotoxin PE38KDEL	(Bao et al., 2016)
	Monoclonal antibody	monoclonal antibody against uPARAP/Endo180,	Dolastatin derivative, monomethyl auristatin E	(Nielsen et al., 2017)
	Monoclonal antibody	anti-CD40 agonistic monoclonal antibody (FGK45)		(Shoji et al., 2016)
	Monoclonal antibody	glioblastoma-specific CD68 antibody	Curcumin	(Langone et al., 2014)

1.3.pH-responsive Drug Carriers

One of the most widely used intrinsic stimulus for controlled drug release is pH difference between normal tissues and tumor tissue, as well as between cellular compartments. Since tumor metabolism is

183 very active and requires considerable energy for tumor growth, there is increased production of
184 hydrogen ions (H^+) and lactate resulting in an acidic tumor environment (pH 6.5)(Zhang et al., 2010;
185 Kanamala et al., 2016). Since normal tissue has a pH 7.4, this difference can then be exploited for
186 triggering drug release in the more acidic tumor tissue. Furthermore, the difference in pH between
187 cellular compartments at the cellular level, between endosomes (pH 5.5) or lysosomes (pH 5.0) can be
188 also used to trigger drug release in the cytoplasm. Drug release is usually accomplished by incorporation
189 of an acid sensitive spacer between carrier and drug, which enables drug release at slightly acidic tumor
190 environment or endosomes and lysosomes of cancer cells.

191 While these reports use only a pH-triggered drug release mechanism to locally release drug at the tumor
192 site, to further increase specificity Miller et al. (Miller et al., 2016) constructed a pH-responsive micelle
193 conjugated with a novel moiety against overexpressed cell surface platelet derived growth factor
194 receptor (PDGFR). These micelles are loaded with Temozolomide (TMZ), targeted to PDGFR on
195 glioblastoma cells, resulting in pH-dependent release of TMZ preferably in tumor tissue, thereby
196 reducing systemic toxicity. *In vitro* studies have shown that these micelles exhibit specific uptake and
197 increased cell killing in glioblastoma cells, and *in vivo* studies demonstrated increased accumulation of
198 micelles in brain tumor tissues. Although these results are promising, addition of *in vivo* tumor reduction
199 efficacy and survival experiments would greatly improve the potential of this approach in clinics.

200 An interesting approach to target glioblastoma, reported by Zhao et. al (Zhao et al., 2016) used tumor-
201 specific pH-responsive peptide $H_7K(R_2)_2$ as a targeting ligand. This peptide contained the pH trigger
202 sequence polyhistidine H_7 and cell penetrating peptide arginine rich sequence $(R_2)_2$ and exhibited
203 activity at an acidic pH environment due to the ionization of the histidine thus switching from
204 hydrophobic to hydrophilic conditions. This peptide was used to modify pH-sensitive liposomes loaded
205 with doxorubicin (DOX-PSL- $H_7K(R_2)_2$). The pH-triggered doxorubicin release from the pH-sensitive
206 liposomes and targeting effect under acidic conditions was demonstrated in *in vitro* experiments.
207 Furthermore, *in vivo* experiments in C6 tumor-bearing mice and U87-MG orthotopic tumor-bearing
208 nude mice confirmed the anti-tumor activity of pH-responsive peptide modified liposomes loaded with
209 doxorubicin. Results showed that the DOX-PSL- $H_7K(R_2)_2$ (37 days) significantly improved the survival
210 rate of mice compared with control animals (23 days) or doxorubicin treated animals (24 days).

211

212 Since doxorubicin is a highly effective anticancer therapeutic for the treatment of many malignancies,
213 there is a great interest in using it in the treatment of glioblastoma. Marrero et al. (Marrero et al., 2014)
214 examined the hydrazine-conjugated doxorubicin derivative, Aldoxorubicin, which binds selectively to
215 Cysteine34 of blood circulating serum albumin, and releases doxorubicin selectively at the tumor site in
216 response to low pH tumor environment. Aldoxorubicin-treated mice exhibited high levels of
217 doxorubicin within the tumor tissue, accompanied by apoptosis of glioblastoma cells and a three-fold
218 decrease in tumor cell proliferation. Effectiveness of Aldoxorubicin treatment was confirmed in *in vivo*
219 experiments, which demonstrated that when mice were treated with Aldoxorubicin, U87-luc tumors
220 were 10-fold smaller when compared to control animals, or 8-fold smaller when compared with tumors
221 in animals treated with doxorubicin. Importantly, median survival of Aldoxorubicin treated mice was 62
222 days, compared to 26 days median survival of control and doxorubicin-treated mice. These encouraging
223 results provide a strong rationale to further investigate this approach for the treatment of glioblastoma.

224 1.4.Redox-responsive Drug Carriers

225 Redox-responsive drug delivery carriers exploit the difference in redox potential between the tumor and
226 intracellular environment and normal tissue and blood plasma. Tumor tissues have four times more
227 glutathione (GSH) than normal tissue (Sosa et al., 2013). Furthermore, intracellular concentration of
228 GSH is 3-4 magnitudes higher as compared to the extracellular environment (Cheng et al., 2011).

229 Redox-responsive drug carriers are based on macromolecules containing disulfide bonds which
230 encapsulate drugs. After these redox-responsive carriers are exposed to GSH, disulfide bonds are
231 reduced to sulfhydryl groups resulting in release of encapsulated drugs.

232 Macromolecular carriers based on different materials, such as proteins, lipids, and polysaccharides have
233 been used as redox-responsive drug delivery systems to target glioblastoma. For example, Stephen et
234 al.(Stephen et al., 2014) developed superparamagnetic iron oxide nanoparticles coated with cross-linked,
235 redox-responsive chitosan PEG copolymers loaded with *O*⁶-benzylguanine (BG). The aim of the study
236 was to selectively deliver BG to the glioblastoma in mice, inhibit the DNA repair protein *O*⁶-
237 methylguanine-DNA methyltransferase (MGMT), and overcome Temozolomide resistance. To further
238 improve tumor targeting, particles were also modified with the tumor-targeting peptide chlorotoxin
239 (CTX). *In vitro* studies confirmed that BG was released from the particles in the reducing environment,
240 and glioblastoma cells were more responsive to TMZ. *In vivo* studies, have shown that treatment with
241 such constructed nanoparticles and TMZ showed a 3-fold increase in median overall survival in
242 comparison to TMZ treated and untreated animals.

243 In another report, Jiang et al. (Jiang et al., 2018) synthesized redox-responsive virus-mimicking
244 polymersomes (PS) which can efficiently deliver saporin (SAP), a highly potent natural protein toxin, to
245 orthotopic human glioblastoma engrafted in nude mice. To enhance delivery of the drug, polymeromes
246 were modified with angiopep-2 (ANG), a peptide that binds with high affinity to low-density lipoprotein
247 receptor-related protein-1 (LRP-1) which is often overexpressed in glioblastoma cells and brain capillary
248 endothelial cells (Demeule et al., 2008; Demeule et al., 2014). *In vivo* anti-glioblastoma efficacy
249 experiments have shown that ANG-PS-SAP-treated mice had approximately sevenfold lower tumor
250 bioluminescence intensity than control mice, indicating efficient tumor reduction by ANG-PS-SAP. This
251 was confirmed with two-fold improvement in median survival time from 22 days in control group
252 compared to 43 days in animal treated with ANG-PS- SAP.

253 A different approach using human serum albumin (HSA) nanoparticles stabilized with intramolecular
254 disulfide bonds and modified by substance P (SP) tumor-targeting peptide to deliver paclitaxel (PTX) to
255 U87 orthotopic xenografts (Ruan et al., 2018) . Animals treated with SP-HAS-PTX nanoparticles
256 exhibited antitumoral effect and prolonged survival time of treated mice when compared to control group.

257

258 **1.5. Enzyme-responsive Drug Carriers**

259 Enzymes play important roles in all metabolic and biological processes and dysregulation of enzyme
260 activity and expression is exhibited in many diseases including glioblastoma. Therefore, exploiting
261 overexpression of enzymes and their selective catalytic activity as a trigger to release the drug at the
262 tumor site is a very promising approach.

263 Mohanty et. al. (Mohanty et al., 2017) applied this concept to deliver the azademethylcolchicine potent
264 active vascular-disrupting agent. They designed an enzyme-responsive carrier consisting of three main
265 elements: (1) theranostic cross-linked iron oxide nanoparticle backbone, (2) matrix metalloproteinase
266 14 MMP-14 cleavable linker, and (3) drug azademethylcolchicine. The iron core of nanoparticles
267 enabled *in vivo* tracking of the carrier with MRI imaging, which demonstrated significant accumulation
268 of drugs in the glioblastoma tumors in mice.

269 Treatment with nanoparticles in combination with Temozolomide achieved tumor remission and
270 increased survival pcGBM2-bearing mice by more than 2-fold compared with treatment with
271 temozolomide alone. Thus, this synergistic combination therapeutic strategy may have significant
272 potential for clinical translation to improve long-term outcomes of glioblastoma patients.

Besides MMP-14, some glioblastomas have upregulated MMP-9 and MMP-2. To exploit increased expression of these proteases, Gu et al. (Gu et al., 2013) constructed nanoparticles composed of poly(ethylene glycol)-poly(ϵ -caprolactone) block copolymer (PEG-PCL) as the matrix conjugated with activatable cell penetrating peptide protamine (ALWMP, E₁₀-PLGLAG-VSRRRRRRGRRRR). Positive charges on the LWMP necessary for transduction were at first masked by a polyanionic peptide (E10) via a MMP-2/9-cleavable peptide linker sequence PLGLAG. Once the nanoparticles were exposed to proteolytic activity of the MMPs, transduction activity of cell penetrating peptides was restored. As a result, these particles loaded with paclitaxel (PTX) exhibited elevated MMP-dependent intracellular accumulation in C6 cells, and improved cytotoxicity. *In vivo* imaging demonstrated specific accumulation of the particles in intracranial C6 glioma model in nude mice. Specific accumulation of PEG-PCL nanoparticles in glioblastoma was reflected in increased median survival of 48 days when compared to control group (21 days) or taxol (24) alone. These results are promising, and encourage further *in vivo* experiments in different animal models which would open new modalities for the treatment of glioblastoma based on enzyme-responsive targeted drug release.

1.6. Magnetic and Ultrasound

The integrity of the brain is compromised not only by the highly invasive nature of glioblastoma multiforme tumors, but also further exacerbation occurs with standard surgical resection of the tumor. Surgical resection is followed by radiotherapy and chemotherapy, and efficacy of the therapy is monitored by imaging techniques. There are ongoing efforts in clinics to develop approaches to monitor specificity of the therapy and image the tumor at the same time. These tools are called “theranostics”, and they integrate imaging and therapeutic modality in the single macromolecule. Wide application and versatility of theranostic complexes have led to design and production of various different theranostic compounds.

To use the maximum potential of such theranostic compounds, several groups have included ultrasound in their methodology. Effective drug delivery to the brain tumor is primarily hampered by blood-brain barrier and to overcome BBB, it has been reported that focused ultrasound (FUS) can be used for temporarily opening of the BBB (Wei et al., 2013; Kovacs et al., 2014). One such approach was used by Fan et al. (Fan et al., 2016), 2016 for local drug delivery in a rat glioma model. The group has fabricated Superparamagnetic iron oxide (SPIO) conjugated with doxorubicin and embedded in lipid microbubbles (MBs), namely SD-MBs. SD-MBs compounds were used for augmented drug delivery to the brain tumor. The animals underwent FUS sonication after bolus injection of SD-MBs, with the purpose of opening BBB and easier tumor perfusion. The FUS sonication was followed by magnetic resonance imaging (MRI) for SD-MBs visualization, simultaneously with magnetic targeting (MT) for increased drug delivery to the tumor site.

Although theranostic tools are very promising and versatile, future studies should be further focused on efficiency of tumor reduction and survival in glioblastoma animal models as well as treatment safety. These more extensive preclinical studies would justify applying this approach in future clinical treatments.

Similar strategy has been used in another study with thermo-responsive liposomes (Kuijten et al., 2015). Liposomes were modified with gadolinium and rhodamine and could therefore be used for both ultrasound-mediated drug delivery as well as MRI and optical imaging. The group synthesized liposomes with different transition temperature (T_t), the temperature at which liposomes undergoes gel-to-liquid crystalline phase transition. One thermoresponsive liposome, The New Thermosensitive liposome (NLP), was designed with a Gadolinium – DOTA lipid bilayer and a T_t of 42°C. The second thermosensitive liposome The Conventional liposome (CLP), was designed with Gd- DTPA-BSA lipid

and a Tt of 60°C (Strijkers et al., 2010; Kuijten et al., 2015). At determined Tt the transmembrane permeability of liposomal complex was increased.

Using light microscopy to show that the designed liposomes accumulated in the flank of a murine glioma model, they further modified the liposome surface with biotin and rhodamine, which tightly binds to Gli36 glioma cells expressing biotin acceptor peptide (BAP). Significantly higher accumulation of liposomes was observed in BAP-expressing tumors, indicating efficient tumor targeting and imaging capabilities using MRI.

Since the designed liposome are thermo-responsive they have a potential to be targeted to the tumor tissue and release the drug when external mild heat is applied. To further demonstrate drug delivery potential, additional experiments including drug encapsulation and determination of stability of liposomes in plasma and efficacy in orthotopic glioma model are necessary to advance this technology to its full potential.

1.7. Temperature-responsive Drug Carriers

Thermo-responsive drug delivery carriers are one of the most investigated stimuli-responsive strategies for targeted, stimuli-responsive drug delivery. Temperature-responsive drug carriers undergo phase transition and rapid change in their physical property at certain temperatures; lower critical solution temperature (LCST). Below LCST, drug carriers are soluble but upon heating they become insoluble, which may increase drug carrier accumulation or trigger drug release in the heated tumor area. Moreover, LCST may be modulated by incorporation of hydrophilic or hydrophobic monomers to achieve LCST temperature corresponding to mild hyperthermia (37-42° C). This temperature range is desirable, since it is higher than normal temperature, but lower than temperatures which may damage healthy tissue. Furthermore, mild hyperthermia can be effectively localized and contained within the tumor site without spilling into adjacent normal tissue. As tumors have a defective vascular architecture and impaired lymphatic drainage, the application of mild heat results in the preferential retention and increased concentration of drugs. Additionally, hyperthermia is a mature clinical modality currently used in clinics, rendering the methods and techniques necessary to employ targeting of thermally sensitive polypeptides available in the clinical setting. Further hyperthermia increases blood flow, resulting in an increased permeability of the tumor, as compared to normal vasculature and hyperthermia increases tumor vasculature pore size, enhancing extravasation of macromolecules (Issels, 1995; Feyerabend et al., 1997) and cellular uptake (Raucher and Chilkoti, 2001; Massodi and Raucher, 2007).

1.7.1. Elastin-like Polypeptides

One class of thermo-responsive drug carriers, which was developed in our lab, is based on the thermally responsive biopolymer elastin-like polypeptide (ELP). An ELP, soluble at physiological temperatures, undergoes a phase transition and aggregates in response to an externally applied mild hyperthermia (40-41°C). Our ELP's coding sequence was modified by adding a cell penetrating peptide (CPP) Bac, to enhance polypeptide delivery across the blood brain barrier (BBB) and to facilitate cell entry. Also added was a peptide, derived from helix 1 (H1) of the helix-loop-helix region of c-Myc (H1-S6A, F8A), to inhibit c-Myc transcriptional activity and cell proliferation. Schematic of the ELP based drug delivery vector was presented in Figure 3.

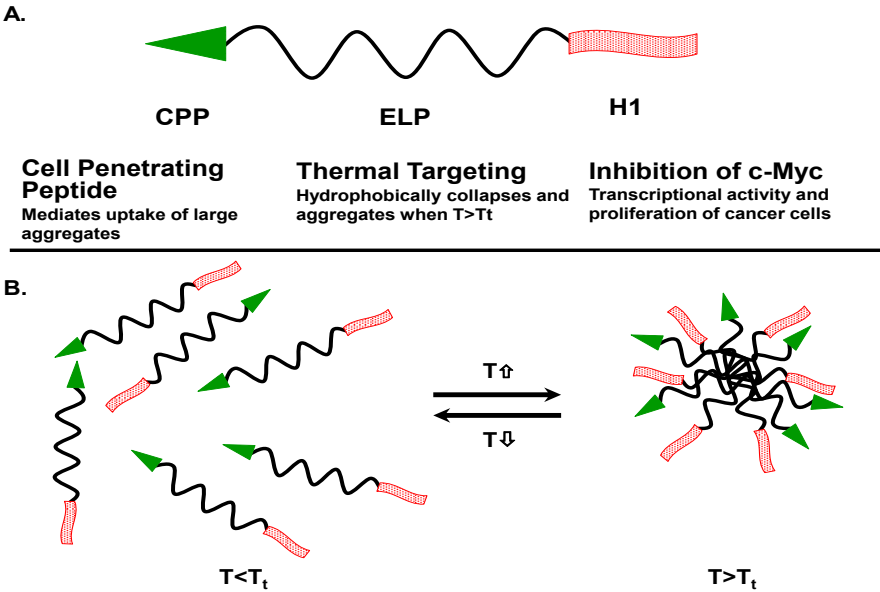


Figure 3. Schematics of the ELP-based drug delivery vector. (A) The delivery system consists of the cell penetrating peptide (CPP) Bac, which promotes cellular uptake of the polypeptide, the thermally responsive elastin-like polypeptide, and a c-Myc transcriptional activity inhibitory peptide (H1), which inhibits cancer cell proliferation. (B) ELP remains a soluble monomer when the solution temperature is at or below body temperature. When solution temperature is raised above body temperature $T > T_t$, it hydrophobically collapses and forms aggregates.

ELPs are genetically engineered biopolymers that, in addition to all the benefits of macromolecular drug delivery systems, provide a number of additional advantages: (1) ELPs are thermally responsive biopolymers which undergo a sharp (2-3°C range) phase transition, leading to desolvation and aggregation of the biopolymer when the temperature is raised above their T_t (Urry et al., 1985; Urry et al., 1991), rendering them suitable for thermal targeting; (2) ELPs are genetically encoded, providing control over the ELP sequence and molecular weight (MW) to an extent impossible with synthetic polymer analogs which allows ELP molecular weights to be precisely specified, resulting in monodisperse polymers, a feat difficult to achieve with synthetic polymers; (3) ELP composition can be encoded at the gene level, allowing an ELP sequence to be modified by adding cell penetrating peptides and therapeutic peptides. These targeting peptides can then be used to define tissue distribution, tumor penetration, and sub-cellular uptake/localization. Together, these properties make ELPs a promising class of biopolymers for targeted drug delivery.

1.7.1.1. Thermally Targeting Increases Delivery of CPP-ELP1-H1 to Intracerebral Gliomas

We tested the ability of the CPP-ELP1-H1 polypeptide to be thermally targeted to tumors. Rats bearing intracerebral tumors were injected IV with Alexa750-labeled BacELP1-H1. Tumors were heated using

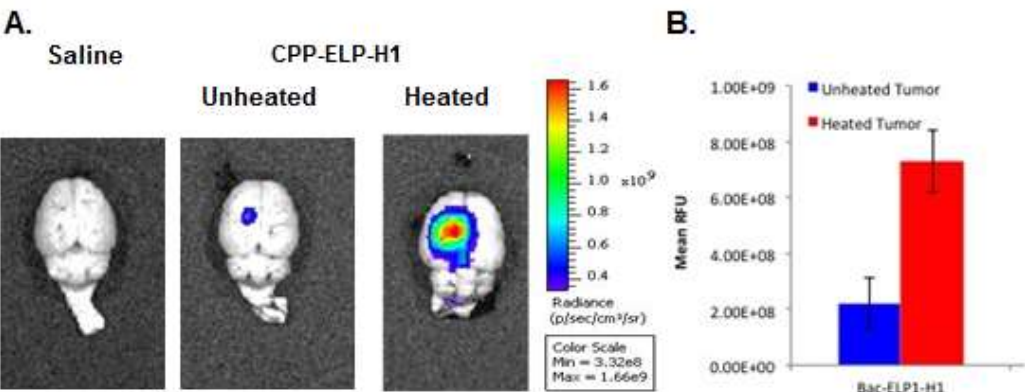


Figure 4 . Enhancement of CPP-ELP1-H1 Tumor Uptake by Thermal Targeting. Following IV administration of Alexa750-labeled CPP-ELP1-H1 with or without hyperthermia, construct levels in tumors and organs were determined by *ex vivo* whole organ fluorescence imaging. A. Representative brain images from each treatment group. B. Quantitation of tumor fluorescence from each group. Bars, s.e.m. *, Fluorescence levels differ statistically (p,0.01, one way ANOVA with post hoc Bonferroni; n=4 rats/group).

the described thermal cycling protocol (Bidwell et al., 2013), and tumor deposition was determined by *ex vivo* imaging of rat brains 4h after injection using an IVIS Spectrum animal imager. Polypeptide accumulation in tumors occurred at a high level relative to adjacent normal brain (Figure 4.A). Moreover, tumor polypeptide levels noticeably increased when Bac-ELP1-H1 treatment was combined with tumor hyperthermia. Quantitation of tumor fluorescence intensity revealed that thermal targeting increased Bac-ELP1-H1-Alexa750 tumor accumulation by 3.3 fold (Figure 4.B, p=0.0004, Student's t-test).

1.7.1.2. CPP-ELP1-H1 can penetrate the BBB and enter GBM cells

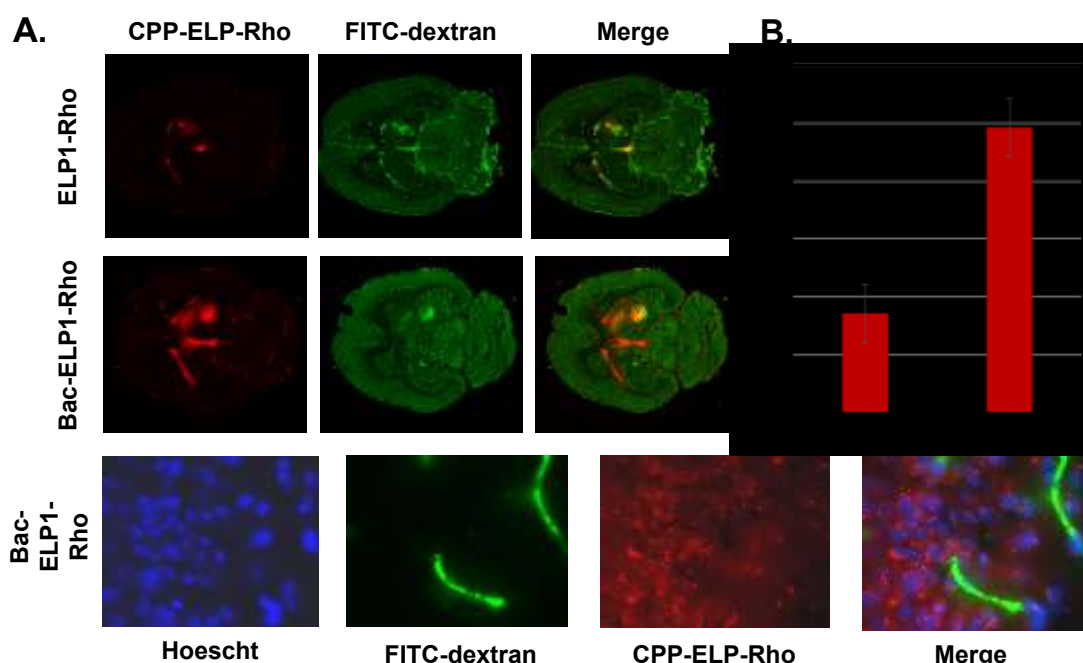


Figure 5. Tumor and Cellular Uptake of CPP-ELP. A. Distribution of rhodamine-labeled polypeptides in tumor and normal brain relative to perfused vasculature. Rhodamine fluorescence was used to follow the localization of the polypeptide within the tumor (left panel); the perfused vasculature was marked by infusion of high molecular weight dextran 1min prior to euthanasia (middle panel). B. Tumor levels 4h after IV administration of rhodamine-labeled ELP or CPP-ELPs. Bars, s.e. *, Tumor levels are significantly enhanced (p, 0.01, one way ANOVA with post hoc Bonferroni, n=6 rats/group). C. Microscopic images of tumor sections were collected after staining cell nuclei with Hoechst 33342 using a 60 X magnification objective.

A major barrier to GBM treatment is posed by the BBB, which any proposed therapeutic must penetrate. To assess the ability of CPP peptides to do so, and to determine their capacity to mediate ELP drug carrier delivery into C6 brain tumors, rats bearing intracranial C6 tumors were IV injected with Rhodamine-labeled CPP-ELP1 or an ELP1 control. At 4h after injection, a 500 kDa FITC-dextran was

400 injected to mark perfused vasculature, the animal sacrificed, and the brain removed, frozen, and
 401 sectioned (Figure 5.A.) . Slides were scanned with a ScanArray Express slide scanner (Perkin Elmer),
 402 with fluorescence intensity determined using Image J software. Tumor intensity, expressed relative to
 403 plasma concentration at time zero (RFU/C₀), was averaged for all animals. ELP passive accumulation in
 404 C6 tumors was higher than in normal brain tissue; however, adding a CPP greatly enhanced tumor
 405 fluorescence relative to unmodified ELP. Comparing CPP-ELP-Rhodamine fluorescence with FITC-
 406 dextran fluorescence (Figure 5B) showed: (1) slightly greater enrichment of perfused vessels in tumors
 407 than in normal brain, and (2) significantly greater polypeptide accumulation in the tumor than in normal
 408 neural tissue. Microscopic examination of tumor sections showed the presence of CPP-delivered ELP in
 409 the blood vessels, extravascular space, and within tumor cells (Figure 5C). These data indicate the ELP
 410 polypeptide's passive accumulation in brain tumors in this rat model, as well as the enhancement
 411 conferred for total tumor levels and deposition throughout the tumor, relative to a non-CPP containing
 412 control, by using the CPP.

413 **1.3.1.1.Reduction of Intracranial C6 Tumor Proliferation by Bac-ELP-H1.** After demonstrating
 414 that CPP-ELP-H1 can enter C6 tumors in brain, the construct's effects on tumor progression
 415 and animal survival were evaluated.

416 Rats bearing intracerebral C6 tumors were treated daily for 4 days beginning on day 9 after implantation.
 417 The CPP-ELP1-H1 polypeptide, or control polypeptides lacking the H1 peptide (Bac-ELP1) or utilizing
 418 the non-thermally responsive version of ELP (Bac-ELP2-H1), was injected IV. In the hyperthermia
 419 groups, hyperthermia was applied to the tumor site using a thermal cycling protocol immediately after
 420 each injection. Tumor progression was monitored using multi-slice 3D T1 trans-axial
 421 Imaging with a gadolinium-based contrast on days 10, 15, 18, and 22. As shown in Figure 5, the C6
 422 tumors progressed rapidly in all treatment groups except those in the Bac-ELP1-H1+ hyperthermia
 423 group; in this group, tumor volumes were 80% smaller, with a mean volume of 31mm³ (p=0.004, one-
 424 way ANOVA, Figure 6).

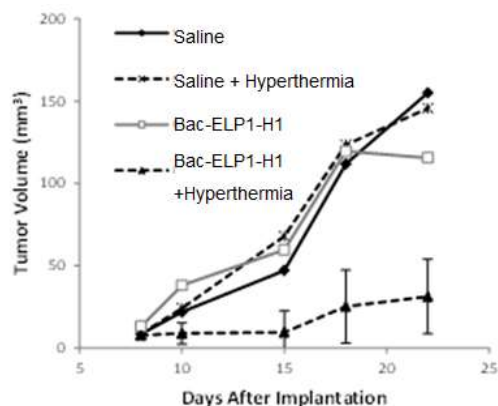


Figure 6. Inhibition of Glioma Progression by the Thermally Targeted c-Myc Inhibitory Polypeptide. Sprague Dawley rats bearing intracerebral C6 tumors were treated with 4 daily IV injections of the Thermally Targeted c-Myc Inhibitory Polypeptide, with MRI monitoring of tumor volume. Average tumor volume for each treatment group. n = 6-8 animals per group; *, Tumor volume was significantly reduced compared to control tumors (one way ANOVA, post hoc Bonferroni)

425 Control polypeptides without H1 peptide
 426 (CPP-ELP1) had no effect on tumor reduction, while the non-thermally responsive ELP (CPP-ELP2-H1)
 427 resulted in a 30% tumor reduction (data not shown).

428
 429 These results are significant, since they demonstrate that it is feasible to increase brain tumor uptake of
 430 thermally responsive ELP drug carriers with focused hyperthermia, but also thermal targeting of the
 431 Bac-ELP1-H1 polypeptide to the tumors resulted in significant delayed onset of neurological deficits,
 432 80% tumor volume reduction, and at least doubled survival.

433 While these results demonstrate that use of ELP to thermally target the H1 peptide, similar approach
 434 may be used to apply ELP technology for delivery of other therapeutic peptides for glioma. Future

435 studies should expand this testing into other GBM models, including mouse orthotopic xenografts of
 436 human glioblastoma cells.

437

438 Table 2.

Carrier	Composition	Targeting mechanism(s)	Drug delivered	Animal model	Outcome	Reference
Polymer based carriers	Albumin	(6-maleimidocaproyl) hydrazone conjugate of doxorubicin	doxorubicin	U87-luciferase expressing orthotopic xenografts	Aldoxorubicin, U87-luc tumors were 10-fold smaller when compared to control animals, and median survival of Aldoxorubicin treated mice was 62 days, compared to 26 days median survival of control or doxorubicin treated animals	(Marrero et al., 2014)
	Elastin-like polypeptide	Thermo-responsive	c-Myc inhibitory peptide	Rat C6 glioma orthotopic model	Thermal targeting of the Bac-ELP1-H1 polypeptide to the tumors resulted in significant delayed onset of neurological deficits, 80% tumor volume reduction, and doubled survival.	(Bidwell et al., 2013)
	1,2-Distearoyl-sn-glycero-3-phosphoethanolamine (methoxy(polyethylene glycol)-2000) (DSPE-PEG)	pH-sensitive liposomes modified with tumor-specific pH-responsive peptide H ₇ K(R ₂) ₂	doxorubicin	Rat C6 glioma, U87MG human glioblastoma	<i>in vitro</i> experiments show pH-triggered DOX release from the pH-sensitive liposomes under acidic conditions The anti-tumor activity has been confirmed in C6 tumor-bearing mice and U87-MG orthotopic tumor-bearing nude mice	(Zhao et al., 2016)
Liposomes	Superparamagnetic iron oxide 1,2-distearoyl-sn-glycero-3-phosphocholine; 1,2-Distearoyl-snglycero-3-phospho-rac-glycerol sodium salt	Magnetic responsive	Doxorubicin	Rat C6 glioma orthotopic model		(Fan et al., 2016)
	chitosan-PEG copolymer coated iron oxide nanoparticles, cross-linked and functionalized with BG	tumor targeting peptide chlorotoxin, redox responsive	MGMT inhibitor O ⁶ -benzylguanine (BG)	primary GBM6 xenograft tumor model which expresses high levels of MGMT	Treatment with nanoparticles and TMZ showed a 3-fold increase in median overall survival in comparison to TMZ treated and untreated animals.	(Stephen et al., 2014)
Nanoparticles	poly(ethylene glycol)-b-poly(trimethylene carbonate-co-dithiolane trimethylene carbonate)-b-polyethylenimine (PEG-P(TMC-DTC)-PEI,	tumor targeting peptide angiopep-2 (ANG), redox responsive	Protein toxin saporin	U-87 MG-Luc cells orthotopic xenografts	treatment with polymersomes resulted in 2-fold increase in median overall survival in comparison untreated animals.	(Jiang et al., 2018)
	human serum albumin (HSA) NPs stabilized with intramolecular disulfide bonds	tumor targeting peptide substance P (SP) redox responsive	paclitaxel (PTX)	U-87 MG-Luc cells orthotopic xenografts	The <i>in vitro</i> PTX release from NPs occurred in a redox-responsive manner. Treatment in vivo showed pro-apoptotic effect and resulted prolonged survival period of treated animals	(Ruan et al., 2018)

	distearoyl phosphoethanolamine-PEG-2000-amine and N-palmitoyl homocysteine	Peptide targeting PDGF receptor, pH-responsive	Temozolomide (TMZ)	U-87 MG-Luc cells orthotopic xenografts	In vitro studies have shown that micelles have specific uptake and increased cell killing in glioblastoma cells, and in vivo studies reported selective accumulation of micelles in orthotopic glioblastoma model.	(Miller et al., 2016)
	iron oxide nanoparticles ferumoxytol	MMP-14 activatable peptide, enzyme-responsive	azademethylcolchicine	pcGBM39 or pcGBM2-orthotopic xenografts	In vivo studies demonstrated significant apoptosis of cancer cells and prolonged survival of pcGBM39-bearing mice and complete tumor remission of pcGBM2-bearing mice.	(Mohanty et al., 2017)
	poly(ethylene glycol)-poly(ϵ -caprolactone) block copolymer (PEG-PCL)	protamine (LMWP) MMP2/MMP9 activatable peptide, enzyme-responsive	paclitaxel (PTX)	C6 glioma cells in orthotopic xenografts in mice	Specific accumulation of PEG-PCL, increased median survival of 48 days when compared to control group (21 days)	(Gu et al., 2013)

2. Conclusion and Future Perspectives

The current treatment of glioblastoma is particularly challenging not only because of the delivery of therapeutics to the brain, but also because of the tumor heterogeneity, aggressiveness and recurrence. Although prognosis for the glioblastoma patients remains poor, recent developments in drug delivery approaches provide hope for the successful treatment of glioblastoma. This article reviewed recent progress and potential of macromolecular drug carriers. Macromolecular carriers increase efficacy, stability and plasma half-life of anticancer drugs, and reduce toxicity to healthy tissues. Tumor targeting of macromolecular carriers mostly rely on the passive tumor targeting via the enhanced permeability and retention effect. However, in addition to passive targeting, numerous macromolecular carriers have been developed to deliver and/or release drugs in response to internal or external stimuli, including pH, enzymes, redox potential, magnetic field, ultrasound and temperature. These stimuli-responsive macromolecules provide active targeting for anticancer drugs and further improve delivery of the drugs specifically to the tumor tissue. However, despite the progress which has been achieved in development of macromolecular carriers, some challenges for their successful clinical application remain. Beside heterogeneity of tumors across the patients and tumor types, such as difference in pH and expression of specific enzymes, both of which may influence drug delivery in response to internal stimuli, there is also the issue of nonspecific biodistribution of macromolecular carriers in other organs, such as liver and kidneys. Furthermore, complex design of some of the carriers and difficulties in scaling up their production may present further limitations in clinical applications. Due to these reasons there are only a limited number of macromolecular carriers presently used in clinics. Substantial progress may be possible if the research efforts are also focused not only on developing efficient macromolecular carriers, but also on development and selection of clinically-relevant animal models and assays which can more precisely predict their potential toxic effects.

Author Contributions

DR wrote the initial drafts of the manuscript. SD and JR wrote subsequent drafts of the manuscripts, and produced figures. All authors reviewed and approved the final submitted manuscript.

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