

## Core and region enriched networks of behaviorally regulated genes and the singing genome

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## 19 Abstract:

- 20 Songbirds represent an important model organism for elucidating molecular mechanisms that 21 link genes with complex behaviors, in part because they have discrete vocal learning circuits that
- 21 link genes with complex benaviors, in part because they have discrete vocal learning circuits that 22 has parallels with those that mediate human speech. We found that ~10% of the genes in the
- 23 avian genome were regulated by singing, and a striking regional diversity of both basal and
- 24 singing-induced programs in the four key song nuclei of the zebra finch, a vocal learning
- 25 songbird. The region enriched patterns were a result of distinct combinations of region-enriched
- transcription factors (TF), their binding motifs and pre-singing H3K27ac enhancer activity in the regulatory regions of the associated genes. RNAi manipulations validated the role of the
- calcium-response transcription factor (CaRF) in regulating genes preferentially expressed in
- 29 specific song nuclei in response to singing. Thus, differential combinatorial binding of a small
- 30 group of activity-regulated TFs and pre-defined epigenetic enhancer activity influences the
- 31 anatomical diversity of behaviorally regulated gene networks.
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# 33 One Sentence Summary:

- 34 Singing-induced gene expression arises in the brain through diverse, region-specific gene
- 35 regulatory networks.
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#### 37 Introduction:

38 Songbirds offer an important in vivo model system for studying transcriptional programs 39 regulated during behavior. This system consists of interconnected brain nuclei that control 40 production of a learned vocal behavior (singing) with parallels to human speech (1, 2). Four key song nuclei are embedded within three regionally distinct telencephalic brain cell populations: 41 42 HVC and LMAN in the nidopallium; RA in the arcopallium; and Area X in the striatum (Fig. 43 1A; (3-6)). These nuclei are connected in a vocal motor pathway (HVC to RA) and a vocal 44 learning pathway (LMAN and Area X; (7-13)). Human functional analogues to these avian brain regions are in the cortex (pallium) and basal ganglia (striatum) (2, 6, 14, 15). This includes song 45 46 (avian) and speech (human) brain regions that have convergence of differentially expressed 47 genes (15) suggesting that the behavioral and neuro-anatomical similarities for the production of 48 learned vocalizations are accompanied by similarities in molecular and genetic mechanisms, 49 such as with FoxP2 (16).

50 The neural activity within song nuclei that underlies singing was initially shown to drive 51 induction of two immediate early genes (IEGs), the transcription factors EGR1 and FOS (17-19). 52 Their levels of expression correlate with the amount of singing in a motor-driven and social-53 context-dependent manner (20-23). Subsequent studies identified an additional 33 genes 54 regulated within song nuclei by singing (24). The identified gene products have a wide range of 55 cellular and biological process functions (24), including from neurogenesis (25, 26) to speech 56 (27, 28). The genes were also found to cluster in a few anatomical and short temporal patterns of 57 expression, although this was determined manually. As a result we hypothesized that *in vivo* 58 behaviorally induced gene expression may consist of anatomically and temporally diverse gene 59 expression programs that can be regulated by networks of combinatorial transcription factor 60 complexes or epigenetic chromatin differences (24). Two reports (29, 30) using our 61 oligonucleotide microarrays found many more genes, 800-2,000 gene transcripts, regulated in 62 the song nucleus Area X as a result of singing, but could not test this hypothesis since the data 63 was from only one song nucleus, and/or one time point.

64 To test this hypothesis, we profiled baseline and singing-regulated gene expression across 65 time in the four key song nuclei using our songbird gene expression microarray, which we annotated based on recently sequenced avian genomes (15, 31) and the human genome. 66 67 Combined with genomic transcription factor motif analyses and chromatin immunoprecipitation 68 sequencing (ChIP-Seq) detection of active chromatin, we find predominantly diverse networks of 69 simultaneously activated cascades of behaviorally regulated genes across brain regions, which 70 can be explained in part by a combination of transcription factor complexes and epigenetic 71 regulatory activity in the genome. 72

### 73 **Results:**

74 We analyzed singing-regulated gene expression at a genomic-scale in HVC, LMAN, RA, and 75 Area X of the zebra finch (Figs. 1, S1). To do so, we recorded moment-to-moment singing 76 behavior of all animals over a 7-hour time course, laser micro-dissected individual song nuclei 77 from multiple birds at each timepoint, amplified their mRNA, hybridized the resulting cDNA to 78 our custom-designed 44K oligonucleotide microarrays (Table S1), and developed a 79 computational approach that yielded a true positive rate >87% as verified by *in situ* hybridization 80 and RT-PCR (Fig. S2; Tables S2, S3; supplementary material sections [SM] 1-7). This analysis 81 detected 24,498 uniquely expressed transcripts among the four song nuclei in silent and/or 82 singing animals (Table S4), of which 18,478 (75%) mapped to 9,059 ENSEMBL v60 annotated

genes of the zebra finch genome, indicating that at least 50% of the transcribed genome is
 expressed in the song-control circuit of an adult animal during awake behaving hours.

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### 86 Distinct baseline gene expression profiles define the song circuit

Using a linear model we developed to identify differentially expressed transcripts in each brain 87 88 region and combinations thereof (SM6), we found that of the 24,498 transcripts, ~5,167 (21%; 89 representing 3,168 genes or approximately 17% of the genes in the avian genome (29)) were 90 differentially expressed among song nuclei at baseline in silent animals (i.e. before singing 91 began). These 5,167 transcripts were organized hierarchically into at least 5 major region-92 specific clusters (Fig. 2A; Table S5) with different functional enrichments (Tables S6, S7). A 93 striatal song nucleus (Area X) cluster was enriched with non-coding RNAs, G-protein coupled 94 receptors and synaptic transmission proteins (Fig. 2A, turquoise cluster; Table S6). Cortical-95 like song nuclei (HVC, LMAN, and RA) were enriched for cell-to-cell signaling membrane-96 associated, axonal connectivity, and post-synaptic density (PSD) proteins (Fig. 2A, blue cluster; 97 Table S6). The nidopallium song nuclei (HVC and LMAN) were further enriched for another 98 group of cell-cell communication and neural connectivity, membrane-associated proteins (Fig. 99 2A, vellow cluster; Table S6). The accopallium song nucleus RA was enriched for another set 100 of neural connectivity proteins and for proteins involved in epilepsy and Alzheimer's (Fig. 2A, 101 green cluster; Table S6). RA was the only pallial brain region that had a large cluster of genes 102 with a lower level of expression, which was enriched for PSD proteins different from the cortical 103 enrichment (Fig. 2A, brown cluster; Table S6), and LMAN was the only song nucleus that did 104 not have a large enrichment of genes of its own.

105 *In* situ hybridizations of example genes (e.g. some dopamine and glutamate receptors) 106 revealed that most of the song nuclei expression patterns were consistent with the brain 107 subdivisions to which they belonged (**Fig. 3A-C; Table S2**) (32-34). However, as seen 108 previously (33, 35, 36), some of the song nuclei had highly differential expression from their 109 surrounding brain divisions (i.e. *FMNL1*, *DGKI*, *GPSM1* in **Fig. 3A-C**). The most song-nucleus– 110 specific gene was *FAM40B* (aka, *STRIP2*), a phosphatase that was restricted to cortical-like song 111 nuclei and the primary cortical sensory populations (like auditory area L2; **Fig. 3A**).

112 A dendrogram analysis separated the cortical song nuclei from the striatal, and showed a 113 stronger relationship between HVC and LMAN of the nidopallium (**Figs. 2B, 1A**), consistent 114 with the recently revised understanding of avian brain organization and homologies with 115 mammals (5, 6, 37). These findings show that even before singing starts, the song learning nuclei 116 have thousands of differentially expressed genes that define unique molecular functions for each 117 (see (15) for characterization of the specializations in song nuclei).

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#### 119 Singing activates both a core and regionally diverse patterns of genes

120 Of the 24,498 transcripts, we found an estimated 2,740 (~11%) that were singing-regulated, up 121 or down in time, in one or more song nuclei (Fig. 4A, B; Table S8). These transcripts mapped to 1,833 genes, indicating a conservative estimate of ~10% of the transcribed avian genome that is 122 123 regulated by singing behavior. Area X had the most regulated transcripts (1,162), followed by 124 HVC (772), RA (702), and LMAN (635; Fig. 4B; sum is higher than 2,740 because of transcripts expressed in more than one song nucleus). A small number of genes (82) had singing-regulated 125 126 splice variant differences (Table S9), consistent with splice variant differences at baseline 127 among song nuclei for glutamate receptor subunits (33), which can regulate activity-dependent 128 genes in the brain. The vast majority (96%) of the 2,740 singing-regulated transcripts were enriched in only 1 or 2 song nuclei, and a core set of only about 97 transcripts was regulated in at least three or four (<1.0%) song nuclei; of the latter, only 20 genes were equally regulated in all

131 four song nuclei (Fig. 4A, B; Table S8, green and yellow).

132 The core set of 97 transcripts were enriched for known IEGs (38), including membrane depolarization-regulated (Ca2+ responsive) genes identified in cultured hippocampal (39) and 133 134 cortical neurons (40), and genes induced in the auditory pathway by hearing song (41) (Tables 135 S10A, S7). In contrast, the brain region-specific singing-regulated genes had very little overlap 136 with classic IEGs or a list of cell cultured-defined depolarization-induced genes (Table S10A). 137 Rather, the striatal Area X singing-regulated genes were enriched for cytoskeletal neural 138 connectivity and neural migration functions, and RA was enriched for mitogen-activated protein 139 kinase pathway transcripts, which control gene expression, differentiation, and cell survival. This 140 suggests that our in vivo analyses are useful for finding region-specific or stimulus-specific genes 141 that may be relevant for the underlying singing behavior.

142 Similar to the baseline expression, in situ hybridizations revealed that song nuclei 143 expression patterns were consistent with the brain subdivisions to which they belong (Fig. 3A-C; 144 Table S3), except that the surrounding brain areas in some birds tended to have lower 145 expression, presumably because they sang without much other movement behavior to cause 146 movement-induced gene expression in the surrounding regions (42). We also noted that even 147 among the core early-response genes induced in all song nuclei, expression levels at baseline 148 differed among song nuclei (Fig. 3D). This suggests that there is even greater diversity among 149 the song nuclei singing-regulated genes than simply presence or absence of regulation.

150 Analysis of the behaviorally regulated gene expression across time, using unsupervised hierarchical clustering (SM8), revealed up to 20 temporal profiles (clusters) among the four song 151 152 nuclei, including transient or sustained, increased or decreased, early (0.5–2 hr) or late (3–7 hr), 153 or two peaks of expression (Fig. S3A-D; Table S8). These 20 clusters can be further grouped 154 into four super-clusters of temporal profiles: 1) transient early increases; 2) late-response 155 increases; 3) transient early decreases; and 3) late-response decreases (Fig. 5A-D). Only three of 156 the temporal clusters had relatively comparable representations of genes in all brain regions, all belonging to transient early increase clusters, including the IEG 0.5-1 hr cluster (Figs. 5A, S3, 157 158 tan cluster; Table S11), which contained a significant proportion (16%) of the core set of 97 159 transcripts (p < 1E-5, hypergeometric test). For the remaining super-temporal profiles, each song 160 nucleus had a region-enriched set of genes, except the late-response increasing pattern in LMAN 161 (Figs. 5, S3E; Table S11).

162 Functional enrichment analyses showed that the activity-regulated gene expression sets 163 from previous cell culture experiments (Table S7) were highly enriched in the early transient IEG temporal cluster expressed in all song nuclei (Table S10B). All of the late-increase singing-164 regulated clusters (Fig. 5B) also had detectable functional enrichments of genes, with Area 165 166 X+HVC enriched in calcium ion binding and phosphatase proteins (blue temporal cluster); Area X late-increase genes was additionally enriched in chromosome organization, biogenesis 167 168 (green), activity-dependent late-response genes identified in cultured neurons (40) (turquoise), 169 and ribosomal proteins (black); HVC was additionally enriched in RNA-protein complexes and 170 PSD proteins (cvan); and RA late-increase genes (salmon) was enriched in a different set of calcium ion-binding and ribosomal proteins (Table S10B; Figs. 5B). Remarkably, we did not 171 172 find any functional enrichment for the remaining transiently increased or any of the decreased 173 clusters, except genes regulated by the serum response transcription factor (SRF) in the slow 174 decreasing cluster of RA (Table S10B; Figs. 5D, yellow). These findings show that all song 175 nuclei share a core set of genes with rapid transient up-regulation, but each song nucleus has its 176 own dominant (though partly overlapping) set of other early- and late-responsive behaviorally 177 regulated genes, suggesting cascades of gene regulation specific to each song nucleus with 178 functions that remain to be discovered.

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### 180 **Relationships between differential baseline and differential singing-regulated genes**

181 We next investigated how a small core set of behaviorally regulated transcription factors 182 expressed in most brain regions could regulate a diverse set of downstream genes, with little 183 overlap among regions. We hypothesized that the differential transcriptional state at baseline, 184 before cell stimulation with singing, affects region-enriched singing-regulated expression (43, 44). Three lines of evidence support this hypothesis. First, hypergeometric tests revealed 185 186 significant overlap between subsets of transcripts from the baseline region-enriched clusters (Fig. 187 4C, top gray box) with the singing-regulated region-enriched clusters (Fig. 4C, red lines; Table 188 S12) and with 10 of the 20 temporal clusters (Fig. 4C, blue + black lines between two gray 189 boxes). If a gene was expressed at higher levels in a region relative to others at baseline before 190 singing, it was also more likely to increase in that region during singing; the converse was not 191 true for the decreasing sets of singing-regulated genes.

192 Second, a genome-wide binding site analysis of motifs for transcription factors (SM11 193 (45, 46)) revealed ~100 motifs enriched in regulatory regions (e.g. directly upstream of 194 transcription start sites) of genes in the temporal behaviorally regulated clusters (Table S13, S14; 195 Fig. 6A, B), and these matched genomic locations also found in mammalian genomes (47, 48). 196 With these motifs, we performed an association analysis between the region-specific and 197 temporal clusters of genes to generate song nuclei-specific "transcription factor motif to gene 198 cluster networks" (Fig. 6C, simplified network; Fig. S4, detailed network; Table S15, edge list; 199 statistical significance tested with Euclidean distance to randomly generated networks (SM11-200 12)). Consistent with the core IEG cluster findings, we found that binding sites for 5 earlyactivated transcription factors (EATFs: MEF2, SRF, NFKB, CREB, and CaRF) that are 201 202 constitutively expressed at baseline and activated in response to neural activity (38, 49, 50), were 203 significantly over-represented in the singing-regulated cluster of IEGs expressed in most song 204 nuclei (Figs. 6C, S4, S5A). In turn, the binding motifs of the singing-regulated AP-1 (bound by a 205 FOS-JUN dimer) and EGR1 IEG transcription factors were also enriched directly upstream of 206 the transcription start sites of many genes in our avian IEG cluster (Fig. 6A-C). EGR1 can bind 207 to its own promoter and down-regulate itself (51), which is consistent with the transient increase 208 and subsequent decrease of some transcripts in the IEG temporal cluster. Also over-represented 209 in the IEG cluster was the ARNT motif, which also has the binding motif for the IEG NPAS4.

210 Third, consistent with our region-specific clusters, some transcription factors that were 211 differentially expressed in a region or a combination of regions at baseline had binding motifs in 212 genes that were differentially regulated in that region(s) at baseline or during singing. For example, variants of the NFE2L1 and MAF transcription factors that dimerize and bind to the 213 214 TCF11 motif (52) were higher or lower in Area X relative to the pallial song nuclei at baseline 215 (Fig. S6) and the TCF11 binding motif was over-represented in the slow increase singing-216 regulated cluster of genes in Area X (Figs. 6C, S4, S5B). However, there were many other cases where EATFs and other transcription factors did not exhibit differential regional baseline 217 218 expression but had binding motifs enriched in clusters of singing-regulated genes specific for a 219 song nucleus. For example, the EATF transcription factors SRF and CaRF, which are not 220 differentially expressed at baseline (Table S5), had strong motif associations to singingregulated genes in Area X and HVC. The *MZF1* and *PRRX2* transcription factors had associations with different sets of genes in Area X and RA (**Figs. 6C, S4, S5B**). Thus, we experimentally tested whether one of these EATFs, *CaRF*, regulated the predicted regionspecific genes.

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### 226 CaRF is required for regulation of both core and regional expressed sets of genes

We investigated the  $Ca^{2+}$  responsive transcription factor *CaRF* because the network analyses 227 implicated it in both the regulation of the Ca<sup>2+</sup> responsive IEGs that are induced in most song 228 229 nuclei and some that are regionally enriched in Area X and HVC (Figs. 4C, S6). Because we 230 lacked an established zebra finch neural cell culture method to test CaRF function, we used 231 RNAi against *CaRF* in cultured mouse cortical neurons and hybridized labeled cDNA to mouse 232 oligonucleotide microarrays representing many of the same genes on our zebra finch 233 oligonucleotide microarray (SM4). We identified a set of genes that showed decreased or 234 increased expression after CaRF knockdown independent of membrane depolarization (Fig. 235 S7A; Table S16), and many of these function in calcium signaling pathways (Fig. S7; Table 236 S17; (53)). This is consistent with the proposed role of CaRF in regulating neuronal gene expression under basal neural activity (48, 54), as both a repressor and activator (48). 237 238 Importantly, as predicted by our promoter motif analyses in birds, the ranked list of CaRF-239 regulated genes showed enrichment for singing-regulated genes that had a nearby CaRF binding 240 site (p = 0.0014 Wilcox test; Fig. 8B). This enrichment was highest in the set of genes regulated 241 in Area X and HVC (Fig. 8B), supporting our network result (Fig. 6C).

242 CaRF RNAi knockdown also caused genes that were normally up-regulated by 243 membrane depolarization to be suppressed to normal baseline levels and conversely genes that 244 were normally down-regulated by membrane depolarization to be up-regulated (Fig. 8C; Table 245 **S18**). This suggests that *CaRF* is required to buffer activity of these gene promoters under basal 246 conditions such that they can become stimulus-responsive upon membrane depolarization. 247 Importantly, this same set of membrane depolarization- and *CaRF*-regulated genes significantly 248 overlapped with those that had the *CaRF* binding site in the singing-regulated genes of the IEG 249 (tan) cluster. They also significantly overlapped with several other clusters that were specifically 250 upregulated in Area X and HVC (Fig. 8D, magenta and cyan clusters; Table S19; Fig. S3E). 251 Genes that showed decreased expression preferentially in RA, but also in other song nuclei (Fig. 252 S3, yellow) after 2-3 hours of singing (the same amount of time the cultured cells were 253 depolarized) had even greater overlap (Figs. 8D, yellow).

Overall, the findings demonstrate a requirement of the *CaRF* transcription factor for baseline and activity-dependent regulation of some of the very same genes for which we found *CaRF* binding motifs that are regulated at baseline and by singing in a region-specific manner, respectively. The calcium signaling and calcium ion binding genes tended to increase during song production and were affected in the *CaRF* knockdown experiments, proving evidence of consistent function across species. We next sought an explanation of how could EATFs that are not differentially expressed at baseline regulate these genes in a region specific manner.

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## 262 Epigenetic modifications predefine region specificity of gene regulation

Although transcription factors are the ultimate regulators of gene expression, their ability to bind to sites in the genome is gated by chromatin structural changes. Chromatin regulation by acetylation of histone 3 at lysine 27 (H3K27ac) has been extensively studied and shown to be a strong indicator of active enhancers (55). We thus performed an experiment to identify active transcriptional regulatory regions in the genomes of individual dissected song nuclei (RA and Area X, which showed the largest regional differences) before and after singing, as measured by a genome-wide histone ChIP-Seq analysis of H3K27ac (SM14-15; **Table S20**). The active genomic regions can be searched as tracks in the UCSC browser against the zebra finch genome (56). This analysis also required that we create a more stringent selection of regional, early- and late singing-responsive genes from the respective clusters in RA and Area X (**Figs. 5, S3**), using principle components analyses (**Fig. S8**).

274 Out of 35,958 peaks, we found 30% (10,749) enriched in Area X and 21% (7,673) 275 enriched in RA. Under basal conditions, genes with song nuclei-specific expression patterns had 276 nearby genomic regions that were significantly more likely to be marked by H3K27ac in that 277 brain region (Fig. 8A, blue and red; Table S21; ~1300 genes). Conversely, genes that were 278 expressed similarly in RA and Area X did not show a significant regional bias in the distribution 279 of this chromatin mark (Fig. 8A, grey; Table S21; ~1100 genes examined). Interestingly, when 280 we considered only the set of RA or Area X region-specific genes that were also upregulated by 281 singing, we found that they were already associated with higher nearby H3K27ac in their 282 preferred brain region prior to singing (Figs. 6B,D,E, S9A-E; Table S22). There was a strong 283 positive correlation between differences in nearby H3K27ac at baseline and differences in 284 singing dependent upregulation of these genes in RA and Area X (R=0.37, p=1.6E-12; Pearson 285 correlation). Conversely late-response genes that were comparably induced by singing in both RA and Area X showed comparable H3K27ac under basal conditions (Fig. 8B, grey; Table 286 287 S22). Furthermore, the early-response cluster of genes, which were expressed and induced 288 comparably in both RA and Area X (e.g. FOS), also showed comparable H3K27ac in both brain 289 regions at baseline (Figs. 8C, S9A, S10A). Notably, we did not find any significant difference 290 (e.g. 0 significant peaks, FDR threshold < 0.01) in H3K27ac peaks within either song nucleus 291 when we compared ChIP-Seq profiles obtained before and after singing (Fig. S10A). We 292 detected a weak signal for increased H3K27ac peaks in the Area X downregulated genes (Fig. 293 S10B).

294 These data suggest that the regional differences in chromatin activity present before 295 singing begins are predictive of differential singing-dependent induction of late-response genes. 296 This hypothesis was further supported by our observation of regional H3K27ac differences at 297 baseline for 50 genes that had equivalent basal expression in RA and Area X but region-specific 298 upregulation upon singing (Table S22, blue and red highlights). An ingenuity pathway analysis 299 on the Area X set of genes out of the 50 mentioned above (Table S22, blue; SM15) revealed that 300 they were enriched for locomotion behavior (p=0.004; ARNTL, CALB1, FGF14, RCAN2, 301 RIMS1) and movement disorder functions (p=0.004; ARNTL, CALB1, CAPZB, DIRAS2, EEF1A2, ELMO1, FGF14, MTMR2, RPSA, TMED10) consistent with the function of Area X 302 303 and the surrounding striatum. There were too few RA-specific genes without baseline differential 304 expression (10 genes) to be tested by pathway analyses. Overall, these findings indicate that 305 region-specific epigenetic chromatin activity at or near transcription factor binding sites for 306 transcription factors expressed in all brain regions could determine which singing or baseline 307 differentially regulated genes are expressed in each brain region.

#### 309 **Discussion**:

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310 The magnitude of the anatomical diversity of behaviorally regulated genes and their networks in

- different brain regions of the same circuit was unexpected (24, 29, 30, 41). Our findings suggest
- this that diversity is controlled by at least two of the following mechanisms: 1) Region-enriched

transcription factors that regulate region-enriched expression of their target genes; and 2) Region-enriched epigenetic marks that determine which genes can be expressed in specific brain regions in both baseline and behaviorally regulated states. The first mechanism is consistent with the hypothesis that interactions between early transcription factors and late response genes coordinates activity-dependent gene induction associated with behavior (57), but in this case, in a region specific manner. The second, epigenetic, mechanism is only just beginning to be explored at the level of neural activity (40, 58) and has not been addressed in complex behaviors.

320 Given our findings and known signaling pathways from experiments in cultured cells 321 (59) we propose the following overall mechanism (Abstract Fig. 1). Neural activity during the 322 performance of a behavior, such as singing, causes release of neurotransmitters at the synapses 323 between connected cells and activates post-synaptic receptors. These receptors initiate an 324 intracellular signaling response that alters the activity, often via phosphorylation, of 325 constitutively expressed EATFs. The activated EATFs bind or are already bound to the open 326 chromatin of promoters or enhancers of the core IEGs enabled in all brain regions, as measured 327 by H3K27ac, to activate their expression. The IEGs in turn, along with EATFs, bind to 328 recognition regions of open chromatin that have already been primed in a cell type-specific 329 manner, which leads to the induction of region-specific late-response genes. Some transcription 330 factors are already expressed in a region-specific manner and add to the diversity of regulation of 331 the downstream genes. Furthermore, our data to show that brain region-specific open enhancers 332 or promoters are already waiting in an active state, ready to do their job at a moment's notice 333 when the neurons fire to turn on programs of gene expression. Thus, the production of learned 334 behavior modulates an already primed transcriptional and epigenetic network specific to 335 different sub-regions of the circuit that controls the behavior.

336 This model may be an explanation for the finding that the IEG and EATF NPAS4, in 337 response to neural activity, activates different sets of genes in cultured excitatory versus 338 inhibitory neurons (60). Likewise, we find that common induction of IEGs across the many 339 different kinds of neurons that comprise all song nuclei is associated with distinct programs of 340 late-response genes, which are likely dependent at least in part on IEG regulation. However one 341 notable difference between our data and a recent study of activity-dependent enhancers in 342 cultured neuron preparations is that whereas membrane depolarization was found to further 343 induce H3K27ac at enhancers near activity-regulated genes (58), we find that H3K27ac peaks in 344 vivo in the brain are already enriched near singing-inducible genes under basal conditions and do 345 not show further activation upon singing. It is possible that the neural networks recruited upon 346 singing are sparse enough in the song nuclei that we were unable to detect H3K27ac changes in 347 these cells against the background noise. An alternative possibility is that ongoing neural activity 348 in the brain of an awake behaving animal is sufficient to keep enhancers poised in a fully active 349 state even prior to execution of a specific behavioral task like singing. In this model, it is 350 regulation of sequence-specific DNA binding of transcription factors that are most important for 351 instructing the level and nature of gene expression, whereas epigenetic marks on chromatin are 352 permissive for expression of the predetermined program.

Our *CaRF* manipulation experiments help reveal further complexity and potential novel mechanisms of activity-dependent gene regulation in the brain. The increased activity-regulated genes that is reversed in the absence of *CaRF* in response to membrane depolarization, suggests that *CaRF* may act as a modulating transcription factor for neural activity–dependent regulation of its target genes. In this scenario, it prevents differential expression of its target genes until neural firing increases. When *CaRF* is removed by knockdown, it can no longer buffer the expression of these genes in the absence of activity; consequently, in the presence of activity, other factors can regulate the genes in a direction opposite of what CaRF would do. The specific mechanisms by which CaRF might achieve this function remain to be determined, but the H3K27ac enhancer activity in CaRF target genes is likely to play a role.

Additional transcriptional anatomical diversity not tested in this study could possibly be generated with differential expression of neurotransmitter membrane receptors at baseline in different brain regions, which could activate different signaling pathways in those neurons during singing (2, 33). Our hypothesis does not explain the down-regulation of some gene clusters where regionally specific transcription factor motifs were not enriched in those genes, and thus their regulation would have to be explained by other mechanisms.

369 Our findings suggest that each song nucleus has diverse molecular functions and gene 370 networks. Consistent with their dominant roles in song production (7-13) compared to other song 371 nuclei, HVC is specifically enriched with singing-regulated increases in PSD proteins used for 372 cell-to-cell communication and RNA-protein complexes and RA is enriched with genes in the 373 MAPK pathway, such as DUSP1, which is proposed to be involved in neural protection of a 374 brain region that is highly active during behavior performance (61, 62). Consistent with their 375 dominant roles in learning (7-13), LMAN shows greater specificity for the CREB pathway, a key 376 transcription factor involved in learning and memory (59, 63), and Area X is more enriched with 377 expression of neural connectivity, and chromosome organization and biogenesis genes. In 378 addition, the large over representation of non-coding RNA genes expressed at baseline in Area X 379 indicates that its transcriptional regulatory network may be more extensive than the pallial song 380 nuclei. The larger overrepresentation of neural connectivity and cell signaling genes in the pallial 381 song nuclei indicates greater focus on cell structure and communication.

382 In terms of memory, a long-held hypothesis is that neural activity will induce an early 383 wave of responsive genes, which in turn regulate a late wave of genes, and that the first wave 384 would act as a molecular switch converting short-term memories into long-term memories (57, 385 64, 65). If true, singing would be associated with continuous memory consolidation and song 386 fine-tuning, with each nucleus having specific waves of gene regulation for their specific 387 functions. An alternative, not mutually exclusive, proposal states that the activity-dependent 388 waves function as a metabolic mechanism to maintain protein turnover for normal cell 389 homeostasis due to increased protein catabolism that occurs during high activity levels (17). If 390 true, it would be associated with continued repair of the circuit when used. Our transcription 391 factor binding motif analysis suggests that both the early and late transcriptional responses could 392 be driven by some of the same EATFs. This would indicate that the two waves of gene 393 expression may not entirely depend on each other, and that they could be used for both memory 394 and homeostasis functions.

395 In summary, as the mechanisms that define the genome-phenotype relationship, including 396 the diversity of gene expression patterns, begins to be understood, so will the role of individual 397 genes and pathways in learning, maintenance, and production of behavior. Performance of complex behavior involves interaction between neural activity, networks of cells, and networks 398 399 of genes. Untangling the subtle differences in connected neurons, firing patterns, signaling 400 pathways, and transcription factor activity may lead to a greater understanding of the diversity of gene expression patterns we observe here in highly interconnected cells within an intact 401 402 multicellular organ.

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# 783 **Figure Legends**:

784 Fig. 1. Song system and laser micro-dissection. (A) Sagittal schematic of the zebra finch brain 785 showing positions and some connections of song nuclei. Pallial, striatal, and pallidal regions are 786 distinguished by colors. Black arrows, posterior vocal pathway involved in song production; 787 white arrows, anterior vocal pathway involved in song learning and modulation; dashed arrows, connections between the two pathways. Smaller song nuclei of NIF, Av, and MO are not shown. 788 789 (B) Song nuclei were laser-capture microdissected from males that were either silent or 790 continuously singing for 0.5 hr, 1 hr, and for each hour thereafter, up to 7 hr, resulting in over 791 200 total microarrays. Shown are images of 10 µm tissue sections before and after laser capture 792 microdissection at 10X magnification. Before: Following dehydration, song nuclei fiber density 793 appears darker than surrounding tissue. After: Song nuclei regions are selectively cut out using 794 an infrared laser. Capture: The cut song nuclei transferred to the cap by the LCM system. For 795 microarray analysis each of the 4 song nuclei from each animal was captured separately to 796 individual LCM caps. Dorsal is up, anterior is right. Scale bar 2 mm.

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798 Fig. 2. Region-enriched gene expression at baseline. (A) A heatmap of hierarchically clustered 799 expression profiles of 5,167 transcripts (rows) that are differentially expressed across regions at 800 baseline (FDR q < 0.1; see Fig. S11 for FDR q < 0.2) in silent birds (red: increases; blue: 801 decreases; white: no change) relative to mean Area X expression (numbers of transcripts not 802 shown for small size clusters). Each transcript is normalized to the average value of expression in 803 Area X. Each column is an animal replicate. Detailed results are in Table S4. (B) Average 804 linkage hierarchical tree, generated from mean expression in each brain region, representing the 805 molecular expression relationships between regions.

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**Fig. 3** *In situ* hybridizations of baseline and singing-regulated genes. (A) Genes higher in all pallial song nuclei (RA, HVC, LMAN) relative to the striatal song nucleus (Area X) at baseline (**Fig. 2A**, blue clusters). (**B**) Genes differentially expressed just among the pallial song nuclei (green, yellow, and brown clusters) at baseline. (**C**) Genes higher in the striatal song nucleus relative to pallial song nuclei (turquoise cluster). (**D**) Core singing-regulated genes regulated in 3–4 song nuclei detected by microarrays, but detected in all 4 with diverse levels by *in situ* hybridization, most peaking at 30 minutes. (**E**) Region-enriched singing-regulated genes in one

- 814 or two song nuclei, with peaks of expression at later time points. Film autoradiograph images are 815 inverted showing white as labeled mRNA expression of the gene indicated below the image.
- 816 Dorsal is up, anterior is right. Scale bar 2 mm.
- 817

818 Fig. 4. Region-enriched gene expression in response to singing. (A) A 4-way Venn diagram showing regional singing-regulated distribution of 2,740 transcripts (FDR q < 0.2). (B) Heatmap 819 820 all 2,740 transcripts from the Venn diagram, hierarchically clustered independently in all four 821 song nuclei, and then sorted by increased or decreased expression, and level of significance from 822 highest to lowest in the linear model. Each column (170 total) is an animal replicate within a 823 time point, and white lines separate time points. Red: increases; blue: decreases; white: no 824 change relative to 0-hr samples for each song nucleus. Each transcript is normalized so that the 825 maximum increase relative to non-singing birds in any region is the darkest shade of red for 826 increasing transcripts and the maximum decrease is the darkest shade of blue for decreasing 827 transcripts. Boxes highlight significant behaviorally regulated enrichment for each region (FDR q < 0.2 for that region). Fig. S12 shows a more stringent heat map of region-enriched expression 828 829 with a similar result. (C) Relationships among clusters of transcripts from the baseline region-830 enriched (top grey box, from Fig. 2A), singing temporal-enriched (rectangular nodes, from Fig. 831 S3A-D), and singing region-enriched (bottom grey box, from panel B) patterns. Nodes are 832 colored according to their cluster colors in the respective figures. Edges between two nodes 833 correspond to significant overlap between two groups of transcripts (p < 0.001, hypergeometric 834 test). Nodes are sorted to optimize non-crossing of edges. Detailed results are in Table S8.

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Fig. 5. Temporal singing-regulated patterns across time. (A) Averages of gene expression
levels in four temporal clusters of transient early response increases. (B) Averages of six lateresponse gene cluster increases. (C) Averages of four transient early response cluster decreases.
(D) Averages of six late-response gene cluster decreases. The temporal profiles are normalized
such that non-singing birds have a value of 0 and each gene has a maximum increase or decrease
of 1. Each point represents the mean across all gene-brain region combinations for that time
point. The 20 colors match the major temporal clusters in Fig. S3A-D.

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844 Fig. 6. Transcription factor binding motifs found in singing-regulated genes. (A) Location 845 bias of the target window of several motifs relative to its nearby gene when the motif search was 846 confined to the local promoter, i.e., 5 kb upstream and 2 kb downstream of the start of the first 847 nucleotide of the first exon of the gene. Fold change (plotted on the log scale y-axes) is the ratio 848 of the percentage of the motif target windows that fell within a particular position category 849 relative to the first exon of a gene (target %) versus the percentage of windows that fall within that position category genome-wide (genome %). (B) Location bias of the motif target window 850 851 relative to its nearby gene when the motif search was performed over the gene territory, i.e., half 852 way upstream and half way downstream to the last or first exon of the nearest, non-overlapping 853 gene. (C) Transcription factor motif-gene cluster network summarized from Fig. S4 showing 854 relationships between enriched EATFs (gray circles) and their binding motifs in subsets of genes from the temporal singing-regulated clusters (colored rectangular nodes as in Fig. S3A-D). 855 Edges are colored on the basis of the region specific expression of the predicted regulatory 856 857 targets of the TF within each singing-regulated cluster (SM11-12). Detailed results are in Table 858 S13 and Figure S4.

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860 Fig. 7. RNAi knockdown illuminates CaRF binding motif relationships with singing-861 regulated genes. (A) Heatmap of genes affected by CaRF knockdown independent of membrane 862 depolarization in mouse cultured neurons. Rows represent the 100 transcripts most changed by *CaRF* RNAi knockdown (p < 0.0014, FDR q < 0.475), sorted according to the t-statistic, which 863 takes direction of regulation into account. Each column is an independent sample (n = 3864 865 unstimulated controls, n = 3 KCl depolarized in the presence of either scrambled RNAi or CaRF 866 RNAi knockdown virus). Color intensities (blue to red) represent the log fold change in 867 knockdown cells relative to the mean of the scrambled control conditions. (B) Significance of the 868 enrichment of zebra finch baseline genes (cluster colors according to Fig. 2A) with CaRF 869 promoter motifs in the ranked list of t-values for CaRF knockdown affected genes in mouse 870 cultured neurons. p < 0.05 (above line) is a significant association, Wilcox rank sum statistic 871 over multiple permutations (66). (C) Similar to (A), except for genes that respond differently to 872 KCl activity in the CaRF knockdown cells. Rows represent the 100 transcripts most changed in expression (p < 0.015, factorial test), sorted according to the t-statistic. (**D**) Significance of the 873 874 enrichment of zebra finch singing-regulated genes (cluster colors according to Figs. 5, S3) with 875 *CaRF* promoter motifs in the ranked list of t-values for genes differentially regulated by neural 876 activity in mouse cortical neurons during *CaRF* knockdown versus control. p < 0.05 (above line) 877 is a significant association, Wilcox rank sum statistic over multiple permutations (66).

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879 Fig. 8. Region-specific epigenetic signatures predefine behaviorally regulated gene 880 expression. (A) Density plot of genes differentially expressed at baseline in RA vs. Area X and 881 the difference in the level of nearby H3K27ac peaks in the genomes of cells in RA X vs. Area X. 882 Each H3K27ac peak is mapped to a gene with the nearest transcription start site. For each gene, 883 the change in all mapped H3K27ac peaks are averaged. The H3K27ac distributions for RA vs. 884 Area X enriched genes are significantly different (p=1.5E-186, t test). (B) Similar plot as in (A) 885 except for differentially expressed late-response singing-regulated genes. The distributions for RA and Area X are also significantly different (p=1.8E-5, t test). Note, however, there are two 886 887 peaks in RA, which suggest active genomic sites in Area X in the negative peak for RA could be 888 genes that are actively suppressed in Area X. Corresponding data can be found in Tables S21, 889 S22. (C) H3K27ac peaks surrounding a gene induced by singing in across all brain regions, FOS; 890 (D) H3K27ac peaks of a gene induced specifically in Area X, PTPN5. (E) H3K27ac peaks of a 891 gene induced at low levels in RA but not detectable in Area X, BDNF. The plots show the log-892 likelihood ratios of H3K27ac signal in pooled baseline RA and pooled baseline Area X samples 893 versus input DNA around the genomic regions in the zebra finch. The relevant gene models from 894 the UCSC genome browser are shown below. Peaks measure both enhancer and promoter 895 regions. Left of the H3K27ac peaks are in-situ hybridization mRNA signal in singing animals. 896 FOS and PTPN5 are shown in Fig. 3 and BDNF is used with permission from (37).

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