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The extremal functions for triangle-free graphs with excluded minors

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ABSTRACT

We prove two results:

1. A graph G on at least seven vertices with a vertex v such that $G - v$ is planar and t triangles satisfies $|E(G)| \leq 3|V(G)| - 9 + t/3$.
2. For $p = 2, 3, \dots, 9$, a triangle-free graph G on at least $2p - 5$ vertices with no K_p -minor satisfies $|E(G)| \leq (p - 2)|V(G)| - (p - 2)^2$.

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1. Introduction

All graphs in this paper are finite and simple. Cycles have no “repeated” vertices. A graph is a *minor* of another if the first can be obtained from a subgraph of the second by contracting edges. An H -minor is a minor isomorphic to H . Mader [6] proved the following beautiful theorem.

Theorem 1.1. For $p = 2, 3, \dots, 7$, a graph with no K_p -minor and $V \geq p - 1$ vertices has at most $(p - 2)V - \binom{p-1}{2}$ edges.

For large p however, a graph on V vertices with no K_p -minor can have up to $\Omega(p\sqrt{\log p}V)$ edges as shown by several people (Kostochka [4,5], and Fernandez de la Vega [2] based on Bollobás, Catlin and Erdős [1]). Already for $p = 8, 9$, there are K_p -minor-free graphs on V vertices with strictly more than $(p - 2)V - \binom{p-1}{2}$ edges, but the exceptions are known. Given a graph G and a positive integer k , we define (G, k) -cockades recursively as follows. A graph isomorphic to G is a (G, k) -cockade. Moreover, any graph isomorphic to one obtained by identifying complete subgraphs of size k of two (G, k) -cockades is also a (G, k) -cockade, and every (G, k) -cockade is obtained this way. The following is a theorem of Jørgensen [3].

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Theorem 1.2. *A graph on $V \geq 7$ vertices with no K_8 -minor has at most $6V - 21$ edges, unless it is a $(K_{2,2,2,2,2}, 5)$ -cockade.*

The next theorem is due to Song and the first author [10].

Theorem 1.3. *A graph on $V \geq 8$ vertices with no K_9 -minor has at most $7V - 28$ edges, unless it is a $(K_{1,2,2,2,2,2}, 6)$ -cockade or isomorphic to $K_{2,2,2,3,3}$.*

The first author and Zhu [11] conjecture the following generalization.

Conjecture 1.4. *A graph on $V \geq 9$ vertices with no K_{10} -minor has at most $8V - 36$ edges, unless it is isomorphic to one of the following graphs:*

- (1) $a(K_{1,1,2,2,2,2,2}, 7)$ -cockade,
- (2) $K_{1,2,2,2,3,3}$,
- (3) $K_{2,2,2,2,2,3}$,
- (4) $K_{2,2,2,2,2,3}$ with an edge deleted,
- (5) $K_{2,3,3,3,3}$,
- (6) $K_{2,3,3,3,3}$ with an edge deleted,
- (7) $K_{2,2,3,3,4}$, and
- (8) the graph obtained from the disjoint union of $K_{2,2,2,2}$ and C_5 by adding all edges joining them.

McCarty and the first author studied the extremal functions for *linklessly embeddable graphs*: graphs embeddable in 3-space such that no two disjoint cycles form a non-trivial link. Robertson, Seymour, and the first author [9] showed that a graph is linklessly embeddable if and only if it has no minor isomorphic to a graph in the *Petersen family*, which consists of the seven graphs (including the Petersen graph) that can be obtained from K_6 by ΔY - or $Y \Delta$ -transformations. Thus, Mader's theorem implies that a linklessly embeddable graph on V vertices has at most $4V - 10$ edges. McCarty and the first author [8] proved the following.

Theorem 1.5. *A bipartite linklessly embeddable graph on $V \geq 5$ vertices has at most $3V - 10$ edges, unless it is isomorphic to $K_{3,V-3}$.*

In the same paper McCarty and the first author made the following three conjectures.

Conjecture 1.6. *A triangle-free linklessly embeddable graph on $V \geq 5$ vertices has at most $3V - 10$ edges, unless it is isomorphic to $K_{3,V-3}$.*

As a possible approach to Conjecture 1.6 McCarty and the first author proposed the following.

Conjecture 1.7. *A linklessly embeddable graph on $V \geq 7$ vertices with t triangles has at most $3V - 9 + t/3$ edges.*

The third conjecture of McCarty and the first author is as follows.

Conjecture 1.8. *For $p = 2, 3, \dots, 8$, a bipartite graph on $V \geq 2p - 5$ vertices with no K_p -minor has at most $(p - 2)V - (p - 2)^2$ edges.*

1.1. Our results

We first give a partial result to Conjectures 1.6 and 1.7. An *apex graph* is a graph G with a vertex a such that $G - a$ is planar. All apex graphs are linklessly embeddable. We show that Conjectures 1.6 and 1.7 hold for apex graphs:

Theorem 1.9. *A triangle-free apex graph on $V \geq 5$ vertices has at most $3V - 10$ edges, unless it is isomorphic to $K_{3,V-3}$. Moreover, an apex graph on $V \geq 7$ vertices with t triangles has at most $3V - 9 + t/3$ edges.*

Let us remark that the assumption that $V \geq 7$ is necessary: let G be the graph obtained from K_6 by deleting a perfect matching. Then G has six vertices, 12 edges and eight triangles; thus $|E(G)| = 12 \not\leq 35/3 = 3V - 9 + t/3$.

Our second result proves a generalization of [Conjecture 1.8](#) to triangle-free graphs for values of p up to 9:

Theorem 1.10. *For $p = 2, 3, \dots, 9$, a triangle-free graph with no K_p -minor on $V \geq 2p - 5$ vertices has at most $(p - 2)V - (p - 2)^2$ edges.*

We prove [Theorem 1.9](#) in [Section 2](#) and [Theorem 1.10](#) in [Section 3](#).

2. Proof of [Theorem 1.9](#)

For an integer V , by V^+ we denote $\max\{V, 0\}$, and we define $\psi(V) := (7 - V)^+ + (5 - V)^+$. We need the following lemma.

Lemma 2.1. *Let $V_1, V_2 \geq 2$ be integers, and let $V = V_1 + V_2 - 1$. Then*

$$\max\{\psi(V_1), 1\} + \max\{\psi(V_2), 1\} \leq \psi(V) + 10$$

with equality if and only if $V \leq 5$.

Proof. Assume first that both V_1, V_2 are at most five. If $V \geq 6$, then $V_1 + V_2 \geq 7$ and we have

$$\begin{aligned} \max\{\psi(V_1), 1\} + \max\{\psi(V_2), 1\} &= \psi(V_1) + \psi(V_2) = 7 - V_1 - 1 + 17 - (V_1 + V_2) \\ &\leq \psi(V) + 9. \end{aligned}$$

If $V \leq 5$, then

$$\begin{aligned} \max\{\psi(V_1), 1\} + \max\{\psi(V_2), 1\} &= \psi(V_1) + \psi(V_2) = 7 - V_1 - 1 + 5 - V_2 - 1 + 12 \\ &= \psi(V) + 10. \end{aligned}$$

We may therefore assume that say $V_2 \geq 6$. Then

$$\begin{aligned} \max\{\psi(V_1), 1\} + \max\{\psi(V_2), 1\} &= \max\{(5 - V_1)^+ + (7 - V_1)^+, 1\} + \max\{(7 - V_2)^+, 1\} \\ &\leq 3 + 5 + 1 = 9, \end{aligned}$$

as desired. $\square$

Let G be an apex graph on V vertices and E edges with a vertex a such that $G - a$ is planar. Let $G^\circ := G - a$ be embedded in the plane, and let $V^\circ := |V(G^\circ)|$ and $E^\circ := |E(G^\circ)|$. Note that $V = V^\circ + 1$ and $E = E^\circ + d(a)$.

2.1. Triangle-free case

First suppose that G is triangle-free and that $V \geq 5$. Then $N(a)$ is an independent set. As G° is triangle-free, planar and has at least three vertices, it follows from Euler's formula that $E^\circ \leq 2V^\circ - 4$, so

$$E = E^\circ + d(a) \leq 2V^\circ - 4 + d(a) = 2V - 6 + d(a)$$

If $d(a) \leq V - 4$, then we are done. As $d(a) \leq V - 1$, we just need to check 3 cases:

1. $d(a) = V - 1$. As $N(a)$ is independent, G° is the empty graph on $V - 1$ vertices, so $E = V - 1 \leq 3V - 10$, since $V \geq 5$.
2. $d(a) = V - 2$. Then a is adjacent to all but one vertex u in G° . Since $d(u) \leq V - 2$ and $N(a)$ is independent, it follows that $E \leq 2V - 4 \leq 3V - 10$, unless $V = 5$, in which case $E \leq 3V - 10$, except when G is isomorphic to $K_{2,3}$, as desired.

3. $d(a) = V - 3$. Then a is adjacent to all but two vertices u, v in G° . Since G° is triangle-free and $N(a)$ is independent, if u is adjacent to v , then $E^\circ \leq V^\circ - 1$, in which case $E = E^\circ + V - 3 \leq 2V - 5 \leq 3V - 10$, since $V \geq 5$; and if u is not adjacent to v , then $E^\circ \leq 2(V^\circ - 2)$, in which case $E \leq E^\circ + V - 3 \leq 3V - 9$, with equality if and only if G° is isomorphic to $K_{2,V-3}$ and G is isomorphic to $K_{3,V-3}$.

Therefore $E \leq 3V - 10$, unless G is isomorphic to $K_{3,V-3}$, as desired.

2.2. General case

Now suppose that G has t triangles. Let t° denote the number of triangular faces of G° and let t_a denote the number of triangles of G incident with a . Let $t' = t^\circ + t_a$. Since $t' \leq t$, it would suffice to show that

$$E \leq 3V - 9 + t'/3 \quad (1)$$

However, this inequality does not always hold. Consider a graph G obtained from $K_{3,V-3}$ with bipartition $(\{a, b, c\}, \{v_1, \dots, v_{V-3}\})$ by adding the edge bc and any subset of the edges $\{v_1v_2, v_2v_3, \dots, v_{V-4}v_{V-3}\}$. This gives an apex graph, where $G - a$ is planar, with $E = 3V - 9 + t'/3 + 1/3$, violating the inequality (1). Let us call any graph isomorphic to such a graph *exceptional*. What we will show is that every graph G on at least seven vertices satisfies (1), unless G is exceptional. Note that this proves Theorem 1.9, since an exceptional graph has at least two triangles which are not counted in t' , and hence satisfies the inequality in Theorem 1.9.

In fact, we prove a stronger statement, and for the sake of the inductive argument we allow graphs on fewer than seven vertices. Let $\mathcal{F}$ denote the set of faces of G° . Define

$$\phi(G, a) := \frac{t_a}{3} - \sum_{f \in \mathcal{F}} \frac{|f| - 4}{3} = \frac{t_a}{3} + \frac{t^\circ}{3} - \sum_{\substack{f \in \mathcal{F} \\ |f| \geq 5}} \frac{|f| - 4}{3} \leq \frac{t'}{3}.$$

We prove the following:

Theorem 2.2. *Let G, a, V, E be as before. and let $V \geq 2$. Then*

- (1) *if G is exceptional, then $E = 3V - 9 + \phi(G, a) + 1/3$.*

Otherwise

- (2) $E \leq 3V - 9 + \phi(G, a) + \psi(V)/3$,
 (3) *if $G - a$ has at least one non-neighbour of a , then $E \leq 3V - 9 + \phi(G, a) + (7 - V)^+/3$, and*
 (4) *if $G - a$ has at least two non-neighbours of a , then $E \leq 3V - 9 + \phi(G, a)$.*

Proof. We proceed by induction on $V + E$. If $V = 2$ and $E = 0$, then

$$E = 0 = 6 - 9 + 4/3 + (7 - 2)/3 = 3V - 9 + \phi(G, a) + (7 - V)^+/3.$$

If $V = 2$ and $E = 1$, then

$$E = 1 = 6 - 9 + 4/3 + (7 - 2)/3 + (5 - 2)/3 = 3V - 9 + \phi(G, a) + \psi(V)/3.$$

We may therefore assume that $V \geq 3$ and that the theorem holds for all graphs G' with $|V(G')| + |E(G')| < V + E$. We suppose for a contradiction that the theorem does not hold for G . It follows that G is not exceptional, because exceptional graphs satisfy the theorem. Let $G^\circ := G - a$, V° and E° be as before.

Claim 2.2.1. *The graph G° has no cut-edges.*

Proof. Suppose $e = xy$ is a cut-edge of G° incident with a face f_e . Let C_1 be the connected component of $G^\circ - e$ containing x , and let $C_2 = G^\circ - V(C_1)$. Define $G_i := G[V(C_i) \cup \{a\}]$, $V_i := |V(G_i)|$ and

$E_i := |E(G_i)|$ for $i = 1, 2$. Let $\mathcal{F}_i$ denote the set of faces of G_i , let f_i denote the face of G_i that contains f_e , and let $t_{a,i}$ denote the number of triangles incident with a in G_i for $i = 1, 2$. Then $V = V_1 + V_2 - 1$, $|f_e| = |f_1| + |f_2| + 2$, $\mathcal{F} = ((\mathcal{F}_1 \cup \mathcal{F}_2) \setminus \{f_1, f_2\}) \cup \{f_e\}$, and $t_{a,1} + t_{a,2} \leq t_a - \epsilon$, where $\epsilon = 1$ if a is adjacent to every vertex of $G - a$ and $\epsilon = 0$ otherwise, and so

$$\begin{aligned} \phi(G_1, a) + \phi(G_2, a) &= \frac{t_{a,1} + t_{a,2}}{3} - \sum_{f \in \mathcal{F}_1} \frac{|f| - 4}{3} - \sum_{f \in \mathcal{F}_2} \frac{|f| - 4}{3} \\ &\leq \frac{t_a}{3} - \frac{\epsilon}{3} - \left(\sum_{f \in \mathcal{F}} \frac{|f| - 4}{3} \right) + \frac{|f_e| - 4}{3} - \frac{|f_1| + |f_2| - 8}{3} \\ &= \phi(G, a) + 2 - \epsilon/3. \end{aligned}$$

By Lemma 2.1 $\max\{\psi(V_1), 1\} + \max\{\psi(V_2), 1\} \leq \psi(V) + 10$, with equality if and only if $V \leq 5$. Note that $E = E_1 + E_2 + 1$. By the induction hypothesis each G_i satisfies

$$E_i \leq 3V_i - 9 + \phi(G_i, a) + \max\{\psi(V_i), 1\}/3,$$

where for $V_i \leq 4$ equality holds only if a is adjacent to every vertex of $G_i - a$; thus

$$\begin{aligned} E &= E_1 + E_2 + 1 \\ &\leq 3(V_1 + V_2) - 18 + \phi(G_1, a) + \phi(G_2, a) + (\max\{\psi(V_1), 1\} + \max\{\psi(V_2), 1\})/3 + 1 \\ &\leq 3V - 15 + \phi(G, a) + 3 + (\psi(V) + 10 - \epsilon)/3. \end{aligned}$$

It follows that $E \leq 3V - 9 + \phi(G, a) + \psi(V)/3$, a contradiction, because if equality holds in the two inequalities above, then $V \leq 5$, which implies that $V_1, V_2 \leq 4$, and hence a is adjacent to every vertex of $G - a$, and consequently $\epsilon = 1$. This proves the claim in the case when either $V \geq 7$ or a is adjacent to every vertex of $G - a$.

We may therefore assume that $V \leq 6$ and that a is not adjacent to every vertex of $G - a$. Assume next that a is adjacent to all but one vertex of $G - a$. By the symmetry we may assume that a is adjacent to every vertex of $G_1 - a$ and all but one vertex of $G_2 - a$. Then

$$\psi(V_1) + (7 - V_2)^+ = 7 - V - 1 + 12 - V_1 \leq (7 - V)^+ + 9,$$

and hence

$$\begin{aligned} E &= E_1 + E_2 + 1 \\ &\leq 3(V_1 + V_2) - 18 + \phi(G_1, a) + \phi(G_2, a) + (\psi(V_1) + (7 - V_2)^+)/3 + 1 \\ &\leq 3V - 15 + \phi(G, a) + 3 + ((7 - V)^+ + 9)/3 \\ &\leq 3V - 9 + \phi(G, a) + (7 - V)^+/3, \end{aligned}$$

a contradiction.

We may therefore assume that a is not adjacent to at least two vertices of $G - a$. Assume next that a is not adjacent to at least two vertices of $G_2 - a$. Then

$$\begin{aligned} E &= E_1 + E_2 + 1 \\ &\leq 3(V_1 + V_2) - 18 + \phi(G_1, a) + \phi(G_2, a) + \psi(V_1)/3 + 1 \\ &\leq 3V - 15 + \phi(G, a) + 3 + 8/3 \\ &\leq 3V - 9 + \phi(G, a), \end{aligned}$$

a contradiction.

We may therefore assume that a is not adjacent to exactly one vertex of $G_i - a$ for $i = 1, 2$. We have

$$\begin{aligned} E &= E_1 + E_2 + 1 \\ &\leq 3(V_1 + V_2) - 18 + \phi(G_1, a) + \phi(G_2, a) + ((7 - V_1)^+ + (7 - V_2)^+)/3 + 1 \\ &\leq 3V - 15 + \phi(G, a) + 3 + 10/3 \\ &= 3V - 9 + \phi(G, a) + 1/3, \end{aligned}$$

with equality if and only if $V_1 = V_2 = 2$, in which case G is exceptional, in either case a contradiction. Thus the claim holds. $\square$

Claim 2.2.2. *We have that $t_a = 0$; that is, $N(a)$ is independent. In particular, $\phi(G, a) = \sum_{f \in \mathcal{F}} \frac{4-|f|}{3}$.*

Proof. Suppose there exist adjacent vertices $x, y \in N(a)$. As xy is not a cut-edge of G° by Claim 2.2.1, it is incident with two distinct faces f_1, f_2 . Let $G' := G - xy$, let $E' := |E(G')|$ and let f' be the new face obtained in $G^\circ - xy$. Let $\mathcal{F}'$ denote the set of faces of $G^\circ - xy$ and let t'_a denote the number of triangles of G' incident with a . Then $t'_a = t_a - 1$, $|f_1| + |f_2| = |f'| + 2$, and $\mathcal{F} = (\mathcal{F}' \setminus \{f'\}) \cup \{f_1, f_2\}$, so

$$\begin{aligned} \phi(G', a) &= \frac{t'_a}{3} - \sum_{f \in \mathcal{F}'} \frac{|f| - 4}{3} \\ &= \frac{t_a}{3} - \frac{1}{3} - \left(\sum_{f \in \mathcal{F}} \frac{|f| - 4}{3} \right) + \frac{|f_1| + |f_2| - 8}{3} - \frac{|f'| - 4}{3} \\ &= \phi(G, a) - 1 \end{aligned}$$

Since G does not satisfy the theorem, it is not exceptional, and so neither is G' . Let $x := \psi(V)$ if a is adjacent to every vertex of $G - a$, let $x := (7 - V)^+$ if a is adjacent to all but one vertex of $G - a$ and let $x := 0$ otherwise. By the induction hypothesis

$$\begin{aligned} E &= E' + 1 \\ &\leq 3V - 9 + \phi(G', a) + 1 + x \\ &= 3V - 9 + \phi(G, a) + x, \end{aligned}$$

a contradiction. $\square$

Claim 2.2.3. *The graph G° has no isolated vertices.*

Proof. Suppose for a contradiction that v is an isolated vertex of G° . Let $G' = G - v$, let $V' := |V(G')|$ and let $E' = |E(G')|$. Then $\phi(G', a) = \phi(G, a)$. Let $x' := \psi(V')$ and $x := \psi(V)$ if a is adjacent to every vertex of $G - a$, let $x' := (7 - V')^+$ and $x := (7 - V)^+$ if a is adjacent to all but one vertex of $G - a$ and let $x = x' := 0$ otherwise. If v is adjacent to a , then by the induction hypothesis

$$\begin{aligned} E &= E' + 1 \\ &\leq 3V' - 9 + \phi(G', a) + 1 + \max\{x', 1\}/3 \\ &\leq 3V - 3 - 9 + \phi(G, a) + 1 + x/3 + 2/3 \\ &\leq 3V - 9 + \phi(G, a) + x/3, \end{aligned}$$

and if v is not adjacent to a , then

$$\begin{aligned} E &= E' \leq 3V' - 9 + \phi(G', a) + \max\{\psi(V'), 1\}/3 \\ &\leq 3V - 3 - 9 + \phi(G, a) + 8/3 \\ &\leq 3V - 9 + \phi(G, a), \end{aligned}$$

a contradiction in either case. $\square$

Claim 2.2.4. *If $v \in N(a)$, then v has at least three neighbours in G° ; that is, $d(v) \geq 4$.*

Proof. Since G° has no cut-edges by Claim 2.2.1 and no isolated vertices by Claim 2.2.3, v has at least two neighbours in G° . Suppose it has exactly two neighbours, and let f_1, f_2 be the two faces of G° incident to v . Let $G' = G - v$, let $V' := |V(G')|$, let $E' = |E(G')|$ and let f' denote the new face in $G^\circ - v$. Then $|f_1| + |f_2| = |f'| + 4$, and

$$\phi(G', a) = - \sum_{f \in \mathcal{F}'} \frac{|f| - 4}{3}$$

$$\begin{aligned}
&= - \left(\sum_{f \in \mathcal{F}} \frac{|f| - 4}{3} \right) + \frac{|f_1| + |f_2| - 8}{3} - \frac{|f'| - 4}{3} \\
&= \phi(G, a)
\end{aligned}$$

As G does not satisfy the theorem, it is not exceptional, and hence neither is G' . Furthermore, the neighbours of v are not adjacent to a by Claim 2.2.2, and so by the induction hypothesis

$$\begin{aligned}
E &= E' + 3 \\
&\leq 3V' - 9 + \phi(G', a) + 3 \\
&= 3V - 9 + \phi(G, a),
\end{aligned}$$

a contradiction. $\square$

We now show an upper bound on the degree of a by a simple discharging argument. Start by assigning a charge of one to each vertex in $N(a)$, and for each $v \in N(a)$ distribute its charge equally to its incident faces in G° . Then the sum of the charges of the faces of G° is equal to $d(a)$.

By Claim 2.2.4, each $v \in N(a)$ is incident to at least three faces, so it gives at most $1/3$ charge to each incident face. By Claim 2.2.2, each face $f \in \mathcal{F}$ is incident to at most $\lfloor |f|/2 \rfloor$ neighbours of a . Thus the final charge of face f is at most $\lfloor |f|/2 \rfloor / 3$, and

$$d(a) \leq \sum_{f \in \mathcal{F}} \frac{\lfloor |f|/2 \rfloor}{3}$$

Since $\lfloor k/2 \rfloor \leq k - 2$ for all $k \geq 3$,

$$d(a) \leq \sum_{f \in \mathcal{F}} \frac{|f| - 2}{3} \quad (2)$$

The remainder of the proof follows from arithmetic using Euler's formula. Let F° denote the number of faces of G° . By the handshaking lemma, we have $2E^\circ = \sum_{f \in \mathcal{F}} |f|$. Since $F^\circ = \sum_{f \in \mathcal{F}} 1$, by Euler's formula:

$$\begin{aligned}
8 &\leq 4V^\circ - 4E^\circ + 4F^\circ \\
&= 4V^\circ - 2E^\circ - \sum_{f \in \mathcal{F}} (|f| - 4)
\end{aligned}$$

Rearranging, we have

$$E^\circ \leq 2V^\circ - 4 - \sum_{f \in \mathcal{F}} \frac{|f| - 4}{2} \quad (3)$$

Similarly, we have $3F^\circ \leq 2E^\circ \leq 2V^\circ + 2F^\circ - 4$, which gives

$$F^\circ \leq 2V^\circ - 4. \quad (4)$$

Putting (2), (3), and (4) together, we have

$$\begin{aligned}
E &= E^\circ + d(a) \\
&\leq V^\circ + F^\circ - 2 + \sum_{f \in \mathcal{F}} \frac{|f| - 2}{3} \\
&= V^\circ + \frac{1}{3}F^\circ + \frac{2}{3}E^\circ - 2 \\
&\leq V^\circ + \frac{1}{3}(2V^\circ - 4) + \frac{2}{3} \left(2V^\circ - 4 - \sum_{f \in \mathcal{F}} \frac{|f| - 4}{2} \right) - 2
\end{aligned}$$

$$\begin{aligned}
 &= 3V^\circ - 6 - \sum_{f \in \mathcal{F}} \frac{|f| - 4}{3} \\
 &= 3V - 9 + \phi(G, a),
 \end{aligned}$$

a contradiction. $\square$

3. Proof of Theorem 1.10

We prove the following slightly more general statement from which Theorem 1.10 follows:

Theorem 3.1. *Let $p \geq 4$ be an integer. Suppose that no graph G with $|E(G)| > (p-2)|V(G)| - \binom{p-1}{2}$ can be obtained by contracting $\max\{p-4, 2\}$ edges from a triangle-free graph on at least $2p-3$ vertices with no K_p -minor. Then every triangle-free graph on $V \geq 2p-5$ vertices with no K_p -minor has at most $(p-2)V - (p-2)^2$ edges.*

Let us first show that Theorem 3.1 implies Theorem 1.10:

Proof of Theorem 1.10, assuming Theorem 3.1. For $p = 2, 3$ Theorem 1.10 is easy. For $p = 4, 5, 6, 7$, it follows directly from Theorems 1.1 and 3.1, as there are no graphs G on at least $p-1$ vertices with no K_p -minor and strictly more than $(p-2)|V(G)| - \binom{p-1}{2}$ edges.

For $p = 8$, by Theorem 1.2, a graph G on at least seven vertices with no K_8 -minor and strictly more than $6|V(G)| - 21$ edges is a $(K_{2,2,2,2,2}, 5)$ -cockade. It is easy to see that, given any four vertices of a $(K_{2,2,2,2,2}, 5)$ -cockade, one can always find a triangle disjoint from those four vertices. Thus a $(K_{2,2,2,2,2}, 5)$ -cockade cannot be obtained by contracting four edges from a triangle-free graph, and the result follows by Theorem 3.1.

For $p = 9$, by Theorem 1.3, a graph G on at least eight vertices with no K_9 -minor and strictly more than $7|V(G)| - 28$ edges is either a $(K_{1,2,2,2,2,2}, 6)$ -cockade or isomorphic to $K_{2,2,2,3,3}$. Again it is easy to verify that, given any five vertices of such a graph, one can always find a triangle disjoint from those five vertices. Therefore neither a $(K_{1,2,2,2,2,2}, 6)$ -cockade nor $K_{2,2,2,3,3}$ can be obtained by contracting five edges from a triangle-free graph, and the result follows by Theorem 3.1. $\square$

Let us remark that the same argument shows that Conjecture 1.4 and Theorem 3.1 imply that Theorem 1.10 holds for $p = 10$, formally as follows:

Theorem 3.2. *If Conjecture 1.4 holds, then every triangle-free graph on $V \geq 15$ vertices with no K_{10} -minor has at most $8V - 64$ edges.*

3.1. Proof of Theorem 3.1

Let $p \geq 4$ be an integer and let G be a counterexample with $|V(G)|$ minimum. Let $V = |V(G)|$ and $E = |E(G)|$. We prove by a series of claims that G is a complete bipartite graph. This leads to a contradiction: suppose G is isomorphic to $K_{n,V-n}$ with $n \leq V/2$. If $n \geq p-1$, then G contains a K_p -minor, and if $n \leq p-2$, then $E = n(V-n) \leq (p-2)(V-(p-2))$ as $V \geq 2p-5$.

Claim 3.2.1. *The graph G has at least $2p-3$ vertices.*

Proof. If $V \leq 2p-4$, then by Mantel's theorem [7] $E \leq (p-2)(V-p+2)$, contrary to G being a counterexample. $\square$

Claim 3.2.2. $\delta(G) > p-2$

Proof. Let v be a vertex of G of minimum degree, and let $G' = G - v$. Then $|E(G')| = E - \delta(G)$ and $|V(G')| = V - 1$. Since G is a minimal counterexample and $V > 2p-3$ by Claim 3.2.1,

$$(p-2)V - (p-2)^2 < E$$

$$\begin{aligned}
&= |E(G')| + \delta(G) \\
&\leq (p-2)|V(G')| - (p-2)^2 + \delta(G) \\
&= (p-2)V - (p-2)^2 + \delta(G) - (p-2),
\end{aligned}$$

and so $p-2 < \delta(G)$, as desired. $\square$

Claim 3.2.3. For $1 \leq k \leq p-2$, given any set of k disjoint edges $\{e_1, \dots, e_k\}$ in G , we can find another edge disjoint from each e_i , $1 \leq i \leq k$.

Proof. Let $e_1, \dots, e_k$ be given, where $e_i = x_i y_i$. By Claim 3.2.1 there exists a vertex v not equal to any x_i, y_i . Since G is triangle-free, v can be adjacent to at most one of $\{x_i, y_i\}$ for each i . Since $\deg(v) \geq \delta(G) > p-2 \geq k$ by Claim 3.2.2, there is an edge incident with v disjoint from each e_i , as desired. $\square$

Claim 3.2.4. Let e_1, e_2 be any two disjoint edges of G . Then $G[e_1 \cup e_2]$ forms a 4-cycle.

Proof. Since G is triangle-free, there are at most two edges between e_1 and e_2 , and if there are two edges, $G[e_1 \cup e_2]$ forms a 4-cycle. Suppose for a contradiction that there is at most one edge between e_1 and e_2 . Let $k = \max\{p-4, 2\}$. By Claim 3.2.3, we can find pairwise disjoint edges $e_3, \dots, e_k$, each disjoint from both e_1 and e_2 . Let G' be the graph obtained by contracting all edges $e_1, \dots, e_k$ and let ℓ denote the number of parallel edges identified. Then $|E(G')| = E - k - \ell$, $|V(G')| = V - k$, and $|E(G')| \leq (p-2)|V(G')| - \binom{p-1}{2}$ by hypothesis as G' is obtained from the graph G by contracting k edges. Since there are $\binom{k}{2}$ pairs of edges in $\{e_1, \dots, e_k\}$ and there is at most one edge between e_1 and e_2 , we have $\ell \leq \binom{k}{2} - 1$. If $p \leq 5$, then let $\epsilon = 1$; otherwise let $\epsilon = 0$. Then

$$\begin{aligned}
E &= |E(G')| + \ell + k \\
&\leq \left((p-2)|V(G')| - \binom{p-1}{2} \right) + \left(\binom{k}{2} - 1 \right) + k \\
&= (p-2)(V-k) - \frac{(p-1)(p-2) - k(k-1)}{2} + k - 1 \\
&= (p-2)V - (p-2)^2 - \epsilon \\
&\leq (p-2)V - (p-2)^2,
\end{aligned}$$

a contradiction since G is a counterexample. $\square$

Claim 3.2.5. G is a complete bipartite graph.

Proof. Let $e = xy$ be an edge and let $v \in V(G) \setminus \{x, y\}$. By Claims 3.2.2 and 3.2.4, v is adjacent to either x or y , but not both as G is triangle-free. Thus $V(G) \setminus \{x, y\}$ can be partitioned into two disjoint sets $X' \cup Y'$ where every vertex in X' is adjacent to y and every vertex in Y' is adjacent to x . Since G is triangle-free, there are no edges between vertices of X' and between vertices of Y' . Thus G is bipartite with bipartition $X \cup Y$, where $X = X' \cup \{x\}$ and $Y = Y' \cup \{y\}$. Moreover, for any $x' \in X'$ and $y' \in Y'$, the two edges xy' and $x'y$ induce a 4-cycle by Claim 3.2.4. Therefore x' is adjacent to y' , completing the proof of the claim. $\square$

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