

# 1 Linear Sketching over $\mathbb{F}_2$ \*

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## 15 — Abstract —

16 We initiate a systematic study of linear sketching over  $\mathbb{F}_2$ . For a given Boolean function treated  
17 as  $f: \mathbb{F}_2^n \rightarrow \mathbb{F}_2$  a randomized  $\mathbb{F}_2$ -sketch is a distribution  $\mathcal{M}$  over  $d \times n$  matrices with elements  
18 over  $\mathbb{F}_2$  such that  $\mathcal{M}x$  suffices for computing  $f(x)$  with high probability. Such sketches for  $d \ll n$   
19 can be used to design small-space distributed and streaming algorithms.

20 Motivated by these applications we study a connection between  $\mathbb{F}_2$ -sketching and a two-  
21 player one-way communication game for the corresponding XOR-function. We conjecture that  
22  $\mathbb{F}_2$ -sketching is optimal for this communication game. Our results confirm this conjecture for  
23 multiple important classes of functions: 1) low-degree  $\mathbb{F}_2$ -polynomials, 2) functions with sparse  
24 Fourier spectrum, 3) most symmetric functions, 4) recursive majority function. These results  
25 rely on a new structural theorem that shows that  $\mathbb{F}_2$ -sketching is optimal (up to constant factors)  
26 for uniformly distributed inputs.

27 Furthermore, we show that (non-uniform) streaming algorithms that have to process random  
28 updates over  $\mathbb{F}_2$  can be constructed as  $\mathbb{F}_2$ -sketches for the uniform distribution. In contrast with  
29 the previous work of Li, Nguyen and Woodruff (STOC'14) who show an analogous result for  
30 linear sketches over integers in the adversarial setting our result does not require the stream  
31 length to be triply exponential in  $n$  and holds for streams of length  $\tilde{O}(n)$  constructed through  
32 uniformly random updates.

33 **2012 ACM Subject Classification** Theory of computation  $\rightarrow$  Probabilistic computation, Theory  
34 of computation  $\rightarrow$  Streaming models, Theory of Computation  $\rightarrow$  Computational complexity and  
35 cryptography  $\rightarrow$  Communication complexity.

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\* This is an improved version of Kannan, Mossel, Yaroslavltssev <https://arxiv.org/pdf/1611.01879.pdf> [24] giving tight dependence on error in Theorem 4, a new result for degree- $d$   $\mathbb{F}_2$  polynomials and including several other changes. ECCC preprint is available at <https://eccc.weizmann.ac.il/report/2018/064/>.

<sup>1</sup> This work was supported by grants NSF CICI 1547360 and ONR N00014-15-1-2006.

<sup>2</sup> E.M. acknowledges the support of grant N00014-16-1-2227 from Office of Naval Research and of NSF awards CCF 1320105 and DMS-1737944 as well as support from Simons Think Tank on Geometry & Algorithms.

<sup>3</sup> S.S. acknowledges the support by the Singapore National Research Foundation under NRF RF Award No. NRF-NRFF2013-13. Part of this work was done when S. S. was a visiting research fellow at the Tata Institute of Fundamental Research, Mumbai.

<sup>4</sup> This work was supported by NSF award 1657477.

36 **Keywords and phrases** Linear sketch, Streaming algorithms, XOR-functions, Communication  
 37 complexity.

38 **Digital Object Identifier** 10.4230/LIPIcs.CCC.2018.8

## 39 **1 Introduction**

40 Linear sketching is the underlying technique behind many of the biggest algorithmic break-  
 41 throughs of the past two decades. It has played a key role in the development of streaming  
 42 algorithms since [3] and most recently has been the key to modern randomized algorithms for  
 43 numerical linear algebra (see survey [52]), graph compression (see survey [38]), dimensionality  
 44 reduction, etc. Linear sketching is robust to the choice of a computational model and can be  
 45 applied in settings as seemingly diverse as streaming, MapReduce as well as various other  
 46 distributed models of computation including the congested clique model [19, 12, 23], allowing  
 47 to save computational time, space and reduce communication in distributed settings. This  
 48 remarkable versatility is based on properties of linear sketches enabled by linearity: simple  
 49 and fast updates and mergeability of sketches computed on distributed data. Compatibility  
 50 with fast numerical linear algebra packages makes linear sketching particularly attractive for  
 51 applications.

52 Even more surprisingly linear sketching over the reals is known to be the best possible  
 53 algorithmic approach (unconditionally) in certain settings. Most notably, under some mild  
 54 conditions linear sketches are known to be almost space optimal for processing dynamic  
 55 data streams [10, 32, 1]. Optimal bounds for streaming algorithms for a variety of computa-  
 56 tional problems can be derived through this connection by analyzing linear sketches rather  
 57 than general algorithms. Examples include approximate matchings [5, 4], additive norm  
 58 approximation [1] and frequency moments [32, 51].

59 In this paper we study the power of linear sketching over  $\mathbb{F}_2$ .<sup>5</sup> To the best of our  
 60 knowledge no such systematic study currently exists as prior work focuses on sketching over  
 61 the field of reals (or large finite fields as reals are represented as word-size bounded integers).  
 62 Formally, for a random set  $\mathbf{S} \subseteq [n]$  let  $\chi_{\mathbf{S}} = \bigoplus_{i \in \mathbf{S}} x_i$ . Given a function  $f: \mathbb{F}_2^n \rightarrow \mathbb{F}_2$  that  
 63 needs to be evaluated over an input  $x = (x_1, \dots, x_n)$  we are looking for a distribution over  
 64  $k$  subsets  $\mathbf{S}_1, \dots, \mathbf{S}_k \subseteq [n]$  such that the following holds: for any input  $x$  given parities  
 65 computed over these sets and denoted as  $\chi_{\mathbf{S}_1}(x), \chi_{\mathbf{S}_2}(x), \dots, \chi_{\mathbf{S}_k}(x)$ , it should be possible  
 66 to compute  $f(x)$  with probability  $1 - \delta$ . While the switch from reals to  $\mathbb{F}_2$  might seem  
 67 restrictive, we are unaware of any problem for which sketching over reals gives any advantage  
 68 over  $\mathbb{F}_2$ . Furthermore, as shown very recently and subsequently to the early version of this  
 69 work [24], almost all dynamic graph streaming algorithms<sup>6</sup> can be seen as  $\mathbb{F}_2$ -sketches [26]  
 70 without losing optimality in space<sup>7</sup>.

71 In matrix form  $\mathbb{F}_2$ -sketching corresponds to multiplication over  $\mathbb{F}_2$  of the row vector  
 72  $x \in \mathbb{F}_2^n$  by a random  $n \times k$  matrix whose  $i$ -th column is a characteristic vector of the random  
 73 parity  $\chi_{\mathbf{S}_i}$ :

<sup>5</sup> It is easy to see that sketching over finite fields can be significantly better than linear sketching over integers for certain computations. As an example, consider a function  $(x \bmod 2)$  (for an integer input  $x$ ) which can be trivially sketched with 1 bit over the field of two elements while any linear sketch over the integers requires word-size memory.

<sup>6</sup> With the only exception being the work of [25] on spectral graph sparsification.

<sup>7</sup> Technically [26] uses  $\mathbb{F}_3$ , but replacing  $\mathbb{F}_3$  with  $\mathbb{F}_2$  doesn't change their results.

$$\begin{pmatrix} x_1 & x_2 & \dots & x_n \end{pmatrix} \begin{pmatrix} \vdots & \vdots & \vdots & \vdots \\ \chi_{\mathbf{S}_1} & \chi_{\mathbf{S}_2} & \dots & \chi_{\mathbf{S}_k} \\ \vdots & \vdots & \vdots & \vdots \end{pmatrix} = \begin{pmatrix} \chi_{\mathbf{S}_1}(x) & \chi_{\mathbf{S}_2}(x) & \dots & \chi_{\mathbf{S}_k}(x) \end{pmatrix}$$

74 This sketch alone should then be sufficient for computing  $f$  with high probability for any  
 75 input  $x$ . This motivates us to define the *randomized linear sketch complexity* of a function  $f$   
 76 over  $\mathbb{F}_2$  as the smallest  $k$  which allows one to satisfy the above guarantee.

► **Definition 1** ( $\mathbb{F}_2$ -sketching). For a function  $f: \mathbb{F}_2^n \rightarrow \mathbb{F}_2$  we define its *randomized linear sketch complexity*<sup>8</sup> over  $\mathbb{F}_2$  with error  $\delta$  (denoted as  $R_\delta^{\text{lin}}(f)$ ) as the smallest integer  $k$  such that there exists a distribution  $\chi_{\mathbf{S}_1}, \chi_{\mathbf{S}_2}, \dots, \chi_{\mathbf{S}_k}$  over  $k$  linear functions over  $\mathbb{F}_2$  and a postprocessing function  $g: \mathbb{F}_2^k \rightarrow \mathbb{F}_2$ <sup>9</sup> which satisfies:

$$\forall x \in \mathbb{F}_2^n: \Pr_{\mathbf{S}_1, \dots, \mathbf{S}_k} [f(x_1, x_2, \dots, x_n) = g(\chi_{\mathbf{S}_1}(x), \chi_{\mathbf{S}_2}(x), \dots, \chi_{\mathbf{S}_k}(x))] \geq 1 - \delta.$$

77 We note that while the above definition requires that  $f$  is computed exactly, most of our  
 78 structural results including Theorem 4 can be extended to allow approximate computation  
 79 of real-valued functions  $f: \mathbb{F}_2^n \rightarrow \mathbb{R}$  as shown in [54].

80 As we show in this paper the study of  $R_\delta^{\text{lin}}(f)$  is closely related to a certain communication  
 81 problem. For  $f: \mathbb{F}_2^n \rightarrow \mathbb{F}_2$  define the XOR-function  $f^+: \mathbb{F}_2^n \times \mathbb{F}_2^n \rightarrow \mathbb{F}_2$  as  $f^+(x, y) = f(x + y)$   
 82 where  $x, y \in \mathbb{F}_2^n$ . Consider a communication game between two players Alice and Bob holding  
 83 inputs  $x$  and  $y$  respectively. Given access to a shared source of random bits Alice has to send  
 84 a single message to Bob so that he can compute  $f^+(x, y)$ . This is known as the one-way  
 85 communication problem for XOR-functions.

86 ► **Definition 2** (Randomized one-way communication complexity of XOR function). For a  
 87 function  $f: \mathbb{F}_2^n \rightarrow \mathbb{F}_2$  the *randomized one-way communication complexity* with error  $\delta$   
 88 (denoted as  $R_\delta^{\rightarrow}(f^+)$ ) of its XOR-function is defined as the smallest size<sup>10</sup> (in bits) of the  
 89 (randomized using public randomness) message  $M(x)$  from Alice to Bob which allows Bob to  
 90 evaluate  $f^+(x, y)$  for any  $x, y \in \mathbb{F}_2^n$  with error probability at most  $\delta$ .

91 Communication complexity of XOR-functions has been recently studied extensively in the  
 92 context of the log-rank conjecture (see e.g. [45, 55, 39, 29, 31, 47, 33, 49, 35, 18]). However,  
 93 such studies either mostly focus on deterministic communication complexity or are specific  
 94 to the two-way communication model. We discuss implications of this line of work for our  
 95  $\mathbb{F}_2$ -sketching model in our discussion of prior work.

96 It is easy to see that  $R_\delta^{\rightarrow}(f^+) \leq R_\delta^{\text{lin}}(f)$  as using shared randomness for sampling  
 97  $\mathbf{S}_1, \dots, \mathbf{S}_k$  Alice can just send  $k$  bits  $\chi_{\mathbf{S}_1}(x), \chi_{\mathbf{S}_2}(x), \dots, \chi_{\mathbf{S}_k}(x)$  to Bob who can for each

<sup>8</sup> In the language of decision trees this can be interpreted as randomized non-adaptive parity decision tree complexity. We are unaware of any systematic study of this quantity either. Since heavy decision tree terminology seems excessive for our applications (in particular, sketching is done in one shot so there isn't a decision tree involved) we prefer to use a shorter and more descriptive name.

<sup>9</sup> Technically  $g$  can also depend on the sampled sets  $\mathbf{S}_1, \dots, \mathbf{S}_k$ , but all sketches used in this paper are oblivious to the choice of these sets.

<sup>10</sup> Formally the minimum here is taken over all possible protocols where for each protocol the size of the message  $M(x)$  refers to the largest size (in bits) of such message taken over all inputs  $x \in \mathbb{F}_2^n$ . See [28] for a formal definition.

98  $i \in [k]$  compute  $\chi_{\mathbf{s}_i}(x+y) = \chi_{\mathbf{s}_i}(x) + \chi_{\mathbf{s}_i}(y)$ . This gives Bob an  $\mathbb{F}_2$ -sketch of  $f$  on  $x+y$  and  
 99 hence suffices for computing  $f^+(x,y)$  with probability  $1 - \delta$ . The main open question raised  
 100 in our work is whether the reverse inequality holds (at least approximately), thus implying  
 101 the equivalence of the two notions.

102 ► **Conjecture 3.** Is it true that  $R_\delta^\rightarrow(f^+) = \tilde{\Theta}(R_\delta^{lin}(f))$  for every  $f: \mathbb{F}_2^n \rightarrow \mathbb{F}_2$  and  $0 < \delta < 1/2$ ?

103 In fact all known one-way protocols for XOR-functions can be seen as  $\mathbb{F}_2$ -sketches so it is  
 104 natural to ask whether this is always true. In this paper we further motivate this conjecture  
 105 through a number of examples of classes of functions for which it holds. One important  
 106 such example from the previous work is a function  $Ham_{\geq k}$  which evaluates to 1 if and only  
 107 if the Hamming weight of the input string is at least  $k$ . The corresponding XOR-function  
 108  $Ham_{\geq k}^+$  can be seen to have one-way communication complexity of  $\Theta(k \log k)$  via the small  
 109 set disjointness lower bound of [9] and a basic upper bound based on random parities [20].  
 110 Conjecture 3 would imply that in order to prove a one-way disjointness lower bound it suffices  
 111 to only consider  $\mathbb{F}_2$ -sketches.

112 A deterministic analog of Definition 1 requires that  $f(x) = g(\chi_{\alpha_1}(x), \chi_{\alpha_2}(x), \dots, \chi_{\alpha_k}(x))$   
 113 for a fixed choice of  $\alpha_1, \dots, \alpha_k \in \mathbb{F}_2^n$ . The smallest value of  $k$  which satisfies this definition is  
 114 known to be equal to the Fourier dimension of  $f$  denoted as  $dim(f)$ . It corresponds to the  
 115 smallest dimension of a linear subspace of  $\mathbb{F}_2^n$  that contains the entire spectrum of  $f$  (see  
 116 Section 2.2 for a formal definition). In order to keep the notation uniform we also denote  
 117 it as  $D^{lin}(f)$ . Most importantly, as shown in [39] an analog of Conjecture 3 holds without  
 118 any loss in the deterministic case, i.e.  $D^\rightarrow(f^+) = dim(f) = D^{lin}(f)$ , where  $D^\rightarrow$  denotes the  
 119 deterministic one-way communication complexity. This striking fact is one of the reasons  
 120 why we suggest Conjecture 3 as an open problem.

## 121 Previous work and our results

122 In the discussion below using Yao's principle we switch to the equivalent notion of distribu-  
 123 tional complexity of the above problems denoted as  $\mathcal{D}_\delta^\rightarrow$  and  $\mathcal{D}_\delta^{lin}$  respectively. For the formal  
 124 definitions we refer to the reader to Section 2.1 and a standard textbook on communication  
 125 complexity [28]. Equivalence between randomized and distributional complexities allows us  
 126 to restate Conjecture 3 as  $\mathcal{D}_\delta^\rightarrow = \tilde{\Theta}(\mathcal{D}_\delta^{lin})$ .

127 For a fixed distribution  $\mu$  over  $\mathbb{F}_2^n$  we define  $\mathcal{D}_\delta^{lin, \mu}(f)$  to be the smallest dimension of an  
 128  $\mathbb{F}_2$ -sketch that correctly outputs  $f$  with probability  $1 - \delta$  over  $\mu$ . Similarly for a distribution  
 129  $\mu$  over  $(x, y) \in \mathbb{F}_2^n \times \mathbb{F}_2^n$  we denote distributional one-way communication complexity of  $f$   
 130 with error  $\delta$  as  $\mathcal{D}_\delta^{\rightarrow, \mu}(f^+)$  (See Section 2 for a formal definition). Our first main result is an  
 131 analog of Conjecture 3 for the uniform distribution  $U$  over  $(x, y)$  that matches the statement  
 132 of the conjecture up to constant factors:

133 ► **Theorem 4.** For any  $f: \mathbb{F}_2^n \rightarrow \mathbb{F}_2$  it holds that  $\mathcal{D}_{1/9}^{\rightarrow, U}(f^+) \geq \frac{1}{6} \cdot \mathcal{D}_{1/3}^{lin, U}(f)$ .

134 In order to prove Theorem 4 we introduce the notion of an *approximate Fourier dimension*  
 135 (Definition 13) that extends the definition of exact Fourier dimension to allow that only  $1 - \epsilon$   
 136 fraction of the total “energy” in  $f$ 's spectrum should be contained in the linear subspace.  
 137 The key ingredient in the proof is a structural theorem, Theorem 14, that characterizes both  
 138  $\mathcal{D}_\delta^{lin, U}(f)$  and  $\mathcal{D}_\delta^{\rightarrow, U}(f^+)$  in terms of  $f$ 's approximate Fourier dimension.

139 Using Theorem 14 we confirm Conjecture 3 for several well-studied classes of functions in  
 140 Section 4. It is important to note that while we could have stated these results for randomized  
 141 one-way communication it is critical that all lower bounds in this section hold for uniform  
 142 distribution in order to derive our results for random streams in Section 5.

### Low-degree $\mathbb{F}_2$ polynomials

Low-degree  $\mathbb{F}_2$  polynomials have been extensively studied in theoretical computer science in various contexts: learning theory (Mossel, O'Donnell and Servedio [40]), property testing (Rubinfeld and Sudan [42], Bhattacharyya *et al.* [6], Alon *et al.* [2]), pseudorandomness (Bogdanov and Viola [8], Lovett [34], Viola [50]), communication complexity (Tsang *et al.* [49]), etc.

Tsang *et al.* [49] studied deterministic two-way communication protocols for XOR-functions with low  $\mathbb{F}_2$ -degree. They gave an upper bound on deterministic communication complexity of  $f^+$  in terms of the spectral norm and the  $\mathbb{F}_2$ -degree of  $f$ . Their result was obtained by observing that the communication complexity of  $f^+$  is bounded above by the parity decision tree complexity of  $f$ , and then bounding the latter. In this work, we prove a lower bound on the randomized one-way communication complexity of  $f^+$  in terms of the Fourier dimension of  $f$  and the  $\mathbb{F}_2$ -degree of  $f$ , denoted as  $d$ . We prove the following result:

$$D^{lin}(f) = O\left(R_{1/3}^{\rightarrow}(f^+) \cdot d\right).$$

In the regime  $d = O(1)$ , the above result implies that use of randomness does not enable us to design a better linear-sketching or a one-way communication protocol. Furthermore, since  $R_{1/3}^{lin}(f) \leq D^{lin}(f)$ , the above result implies Conjecture 3 for constant degree  $\mathbb{F}_2$ -polynomials. For  $\mathbb{F}_2$  polynomials with bounded spectral norm this implies a new bound on Fourier dimension shown in Corollary 23:  $D^{lin}(f) = \dim(f) = O(d\|\hat{f}\|_1^2)$  improving a result of Tsang *et al.* for  $d = \omega\left(\log^{1/3}\|\hat{f}\|_1\right)$ .

### Address function and Fourier sparsity

The number  $s$  of non-zero Fourier coefficients of  $f$  (known as Fourier sparsity) is one of the key quantities in the analysis of Boolean functions. It also plays an important role in the recent work on log-rank conjecture for XOR-functions [49, 46]. A recent result by Sanyal [44] shows that for Boolean functions  $\dim(f) = O(\sqrt{s} \log s)$ , namely all non-zero Fourier coefficients are contained in a subspace of a polynomially smaller dimension. This bound is almost tight as the *address function* (see Section 4.2 for a definition) exhibits a quadratic gap. A direct implication of Sanyal's result is a deterministic  $\mathbb{F}_2$ -sketching upper bound of  $O(\sqrt{s} \log s)$  for any  $f$  with Fourier sparsity  $s$ . As we show in Section 4.2 this dependence on sparsity can't be improved even if randomization is allowed.

### Symmetric functions

A function  $f$  is symmetric if it only depends on the Hamming weight of its input. In Section 4.3 we show that Conjecture 3 holds for all symmetric functions which are not too close to a constant function or the parity function  $\sum_i x_i$ , where the sum is taken over  $\mathbb{F}_2$ .

### Composition theorem for recursive majority

As an example of a composition theorem we give such a theorem for recursive majority. For an odd integer  $n$  the majority function  $Maj_n$  is defined to be 1 if and only if the Hamming weight of the input is greater than  $n/2$ . Of particular interest is the recursive majority function  $Maj_3^{\circ k}$  that corresponds to  $k$ -fold composition of  $Maj_3$  for  $k = \log_3 n$ . This function was introduced by Boppana [43] and serves as an important example of various properties of Boolean functions, most importantly in randomized decision tree complexity

([43, 22, 37, 30, 36]), deterministic parity decision tree complexity [7] and communication complexity [22, 13].

In Section 4.4 we use Theorem 14 to obtain the following result:

► **Theorem 5.** *For any  $\epsilon \in [0, \frac{1}{2}]$ ,  $\xi > 4\epsilon^2$  and  $k = \log_3 n$  it holds that:*

$$\mathcal{D}_{\frac{1-\xi}{6}}^{\rightarrow, U}(Maj_3^{\circ k+}) = \Omega(\epsilon^2 n).$$

## Applications to streaming and distributed computing

In the turnstile streaming model of computation a vector  $x$  of dimension  $n$  is updated through a sequence of additive updates applied to its coordinates and the goal of the algorithm is to be able to output  $f(x)$  at any point during the stream while using space that is sublinear in  $n$ . In the real-valued case we have either  $x \in [0, m]^n$  or  $x \in [-m, m]^n$  for some universal upper bound  $m$  and updates can be increments or decrements to  $x$ 's coordinates of arbitrary magnitude.

For  $x \in \mathbb{F}_2^n$  additive updates have a particularly simple form as they always flip the corresponding coordinate of  $x$ . In the streaming literature this model is referred to as the XOR update model (see e.g. [48]) Note that XOR updates can't be handled using standard turnstile streaming algorithms as only the coordinate but not the sign of the update is given. As we show in Section 5.2 it is easy to see based on the recent work of [10, 32, 1] that in the adversarial streaming setting the space complexity of turnstile streaming algorithms over  $\mathbb{F}_2$  is determined by the  $\mathbb{F}_2$ -sketch complexity of the function of interest. However, this proof technique only works for very long streams which are unrealistic in practice – the length of the adversarial stream has to be triply exponential in  $n$  in order to enforce linear behavior. Large stream length requirement is inherent in the proof structure in this line of work and while one might expect to improve triply exponential dependence on  $n$  at least an exponential dependence appears necessary, which is a major limitation of this approach.

As we show in Section 5.1 it follows directly from our Theorem 4 that turnstile streaming algorithms that achieve low error probability under random  $\mathbb{F}_2$  updates might as well be  $\mathbb{F}_2$ -sketches. For two natural choices of the random update model short streams of length either  $O(n)$  or  $O(n \log n)$  suffice for our reduction. We stress that our lower bounds are also stronger than the worst-case adversarial lower bounds as they hold under an average-case scenario. Furthermore, our Conjecture 3 would imply that space optimal turnstile streaming algorithms over  $\mathbb{F}_2$  have to be linear sketches for adversarial streams of length only  $2n$ . We believe that such result will also help show an analogous statement for real-valued linear sketches thus removing the triply exponential in  $n$  stream length assumption of [32, 1].

By linearity all  $\mathbb{F}_2$ -sketching upper bounds are also applicable in the distributed setting where two parties Alice and Bob need to send messages to the coordinator who is required to output  $f^+$ . This is also known as the Simultaneous Message Passing (SMP) model and all our one-way lower bounds hold in this model as well.

## Other previous work

Closely related to ours is work on communication protocols for XOR-functions [45, 39, 49, 18]. In particular [39] presents two basic one-way communication protocols based on random parities. The first one, stated as Fact 20 generalizes the classic communication protocol for equality. The second one uses the result of Grolmusz [17] and implies that  $\ell_1$ -sampling of Fourier characters gives a randomized  $\mathbb{F}_2$ -sketch of size  $O(\|\hat{f}\|_1^2)$  (for constant error).

In [18] structural results about deterministic two-way communication protocols for XOR-functions have been obtained. In particular, they show that the parity decision tree complexity of  $f$  is  $O(D(f^+)^6)$ . The key difference between our work and [18] lies in our focus on randomized protocols. In [18] it is left as the main open problem whether randomized parity decision tree complexity can be bounded by  $\text{poly}(R(f^+))$ . Our results can be seen as a step towards resolving this open problem in one-way communication setting. Full resolution of Conjecture 3 would show that the conjecture of [18] holds even without polynomial loss for one-way communication as we show for all the classes considered in Section 4.

Another line of work that is closely related to ours is the study of the two-player simultaneous message passing model (SMP). This model can also allow to prove lower bounds on  $\mathbb{F}_2$ -sketching complexity. Since our results hold for one-way communication they also hold in the SMP model. Moreover, in the context of our work there is no substantial difference as for product distributions the two models are essentially equivalent. Recent results in the SMP model include [39, 31, 33].

While decision tree literature is not directly relevant to us since our model doesn't allow adaptivity we remark that there has been interest recently in the study of (adaptive) deterministic parity decision trees [7] and non-adaptive deterministic parity decision trees [46, 44]. As mentioned above, our model can be interpreted as non-adaptive randomized parity decision trees and to the best of our knowledge it hasn't been studied explicitly before. Another related model is that of *parity kill numbers*. In this model a composition theorem has recently been shown by [41] but the key difference is again adaptivity.

Finally recent developments in the line of work on lifting theorems such as [15, 14] might suggest that such results might be applied in our context. However for our purposes we would need a lifting theorem for the XOR gadget and to the best of our knowledge no such result is known for randomized one-way communication.

## Organization

The rest of this paper is organized as follows. In Section 2 we introduce the required background from communication complexity and Fourier analysis of Boolean functions. In Section 3 we prove Theorem 4. In Section 4 we give applications of this theorem for recursive majority (Theorem 5), address function, low-degree  $\mathbb{F}_2$  polynomials and symmetric functions. In Section 5 we describe applications to streaming.

In Appendix B we give some basic results about deterministic  $\mathbb{F}_2$ -sketching (or Fourier dimension) of composition and convolution of functions. We also present a basic lower bound argument based on affine dispersers. In Appendix C we give some basic results about randomized  $\mathbb{F}_2$ -sketching including a lower bound based on extractors and a classic protocol based on random parities which we use as a building block in our sketch for LTFs. We also present evidence for why an analog of Theorem 14 doesn't hold for arbitrary distributions. In Appendix D we show a lower bound for one-bit protocols making progress towards resolving Conjecture 3.

## 2 Preliminaries

For an integer  $n$  we use notation  $[n] = \{1, \dots, n\}$ . For integers  $n \leq m$  we use notation  $[n, m] = \{n, \dots, m\}$ . For an arbitrary domain  $\mathcal{D}$  we denote the uniform distribution over this domain as  $U(\mathcal{D})$ . We use the notation  $x, x' \sim U(\mathcal{D})$  to denote that  $x$  and  $x'$  are sampled uniformly at random and independently from  $\mathcal{D}$ . The variance of a random variable  $X$  is



denoted by  $\text{Var}[X]$ . For a vector  $x$  and  $p \geq 1$  we denote the  $p$ -norm of  $x$  as  $\|x\|_p$  and reserve the notation  $\|x\|_0$  for the Hamming weight.

## 2.1 Communication complexity

Consider a function  $f: \mathbb{F}_2^n \times \mathbb{F}_2^n \rightarrow \mathbb{F}_2$  and a distribution  $\mu$  over  $\mathbb{F}_2^n \times \mathbb{F}_2^n$ . The *one-way distributional complexity* of  $f$  with respect to  $\mu$ , denoted as  $\mathcal{D}_{\delta}^{\rightarrow, \mu}(f)$  is the smallest communication cost of a one-way deterministic protocol that outputs  $f(x, y)$  with probability at least  $1 - \delta$  over the inputs  $(x, y)$  drawn from the distribution  $\mu$ . The *one-way distributional complexity* of  $f$  denoted as  $\mathcal{D}_{\delta}^{\rightarrow}(f)$  is defined as  $\mathcal{D}_{\delta}^{\rightarrow}(f) = \sup_{\mu} \mathcal{D}_{\delta}^{\rightarrow, \mu}(f)$ . By Yao's minimax theorem [53] it follows that  $R_{\delta}^{\rightarrow}(f) = \mathcal{D}_{\delta}^{\rightarrow}(f)$ . *One-way communication complexity over product distributions* is defined as  $\mathcal{D}_{\delta}^{\rightarrow, \times}(f) = \sup_{\mu = \mu_x \times \mu_y} \mathcal{D}_{\delta}^{\rightarrow, \mu}(f)$  where  $\mu_x$  and  $\mu_y$  are distributions over  $\mathbb{F}_2^n$ .

With every two-party function  $f: \mathbb{F}_2^n \times \mathbb{F}_2^n$  we associate a *communication matrix*  $M^f \in \mathbb{F}_2^{2^n \times 2^n}$  with entries  $M_{x,y}^f = f(x, y)$ . We say that a deterministic protocol  $M(x)$  with length  $t$  of the message that Alice sends to Bob partitions the rows of this matrix into  $2^t$  *combinatorial rectangles* where each rectangle contains all rows of  $M^f$  corresponding to the same fixed message  $y \in \{0, 1\}^t$ .

## 2.2 Fourier analysis

We consider functions<sup>11</sup> from  $\mathbb{F}_2^n$  to  $\mathbb{R}$ . For any fixed  $n \geq 1$ , the space of these functions forms an inner product space with the inner product  $\langle f, g \rangle = \mathbb{E}_{x \in \mathbb{F}_2^n} [f(x)g(x)] = \frac{1}{2^n} \sum_{x \in \mathbb{F}_2^n} f(x)g(x)$ . The  $\ell_2$  norm of  $f: \mathbb{F}_2^n \rightarrow \mathbb{R}$  is  $\|f\|_2 = \sqrt{\langle f, f \rangle} = \sqrt{\mathbb{E}_x[f(x)^2]}$  and the  $\ell_2$  distance between two functions  $f, g: \mathbb{F}_2^n \rightarrow \mathbb{R}$  is the  $\ell_2$  norm of the function  $f - g$ . In other words,  $\|f - g\|_2 = \sqrt{\langle f - g, f - g \rangle} = \sqrt{\frac{1}{2^n} \sum_{x \in \mathbb{F}_2^n} (f(x) - g(x))^2}$ .

For  $\alpha \in \mathbb{F}_2^n$ , the *character*  $\chi_{\alpha}: \mathbb{F}_2^n \rightarrow \{+1, -1\}$  is the function defined by  $\chi_{\alpha}(x) = (-1)^{\alpha \cdot x}$ . Characters form an orthonormal basis as  $\langle \chi_{\alpha}, \chi_{\beta} \rangle = \delta_{\alpha\beta}$  where  $\delta$  is the Kronecker symbol. The *Fourier coefficient* of  $f: \mathbb{F}_2^n \rightarrow \mathbb{R}$  corresponding to  $\alpha$  is  $\hat{f}(\alpha) = \mathbb{E}_x[f(x)\chi_{\alpha}(x)]$ . The *Fourier transform* of  $f$  is the function  $\hat{f}: \mathbb{F}_2^n \rightarrow \mathbb{R}$  that returns the value of each Fourier coefficient of  $f$ . We use notation  $\text{Spec}(f) = \{\alpha \in \mathbb{F}_2^n : \hat{f}(\alpha) \neq 0\}$  to denote the set of all non-zero Fourier coefficients of  $f$ . The Fourier  $\ell_1$  norm, or the *spectral norm* of  $f$ , is defined as  $\|\hat{f}\|_1 := \sum_{\alpha \in \mathbb{F}_2^n} |\hat{f}(\alpha)|$ .

► **Fact 6** (Parseval's identity). For any  $f: \mathbb{F}_2^n \rightarrow \mathbb{R}$  it holds that

$$\|f\|_2 = \|\hat{f}\|_2 = \sqrt{\sum_{\alpha \in \mathbb{F}_2^n} \hat{f}(\alpha)^2}.$$

Moreover, if  $f: \mathbb{F}_2^n \rightarrow \{+1, -1\}$  then  $\|f\|_2 = \|\hat{f}\|_2 = 1$ .

We use notation  $A \leq \mathbb{F}_2^n$  to denote the fact that  $A$  is a linear subspace of  $\mathbb{F}_2^n$ .

► **Definition 7** (Fourier dimension). The *Fourier dimension* of  $f: \mathbb{F}_2^n \rightarrow \{+1, -1\}$  denoted as  $\dim(f)$  is the smallest integer  $k$  such that there exists  $A \leq \mathbb{F}_2^n$  of dimension  $k$  for which  $\text{Spec}(f) \subseteq A$ .

<sup>11</sup> In all Fourier-analytic arguments Boolean functions are treated as functions of the form  $f: \mathbb{F}_2^n \rightarrow \{+1, -1\}$  where 0 is mapped to 1 and 1 is mapped to -1. Otherwise we use these two notations interchangeably.



We say that  $A \leq \mathbb{F}_2^n$  is a *standard subspace* if it has a basis  $v_1, \dots, v_d$  where each  $v_i$  has Hamming weight equal to 1. An *orthogonal subspace*  $A^\perp$  is defined as:

$$A^\perp = \{\gamma \in \mathbb{F}_2^n : \forall x \in A \quad \gamma \cdot x = 0\}.$$

An *affine subspace* (or coset) of  $\mathbb{F}_2^n$  of the form  $A = H + a$  for some  $H \leq \mathbb{F}_2^n$  and  $a \in \mathbb{F}_2^n$  is defined as:

$$A = \{\gamma \in \mathbb{F}_2^n : \forall x \in H^\perp \quad \gamma \cdot x = a \cdot x\}.$$

We now introduce notation for restrictions of functions to affine subspaces.

► **Definition 8.** Let  $f : \mathbb{F}_2^n \rightarrow \mathbb{R}$  and  $z \in \mathbb{F}_2^n$ . We define  $f^{+z} : \mathbb{F}_2^n \rightarrow \mathbb{R}$  as  $f^{+z}(x) = f(x + z)$ .

► **Fact 9.** The Fourier coefficients of  $f^{+z}$  are  $\widehat{f^{+z}}(\gamma) = (-1)^{\gamma \cdot z} \hat{f}(\gamma)$  and hence:

$$f^{+z} = \sum_{S \in \mathbb{F}_2^n} \hat{f}(S) \chi_S(z) \chi_S.$$

► **Definition 10** (Coset restriction). For  $f : \mathbb{F}_2^n \rightarrow \mathbb{R}$ ,  $z \in \mathbb{F}_2^n$  and  $H \leq \mathbb{F}_2^n$  we write  $f_H^{+z} : H \rightarrow \mathbb{R}$  for the restriction of  $f$  to  $H + z$ .

► **Definition 11** (Convolution). For two functions  $f, g : \mathbb{F}_2^n \rightarrow \mathbb{R}$  their convolution  $(f * g) : \mathbb{F}_2^n \rightarrow \mathbb{R}$  is defined as  $(f * g)(x) = \mathbb{E}_{y \sim U(\mathbb{F}_2^n)} [f(y)g(x + y)]$ .

For  $S \in \mathbb{F}_2^n$  the corresponding Fourier coefficient of convolution is given as  $\widehat{f * g}(S) = \hat{f}(S)\hat{g}(S)$ .

### 3 $\mathbb{F}_2$ -sketching over the uniform distribution

We use the following definition of Fourier concentration that plays an important role in learning theory [27]. As mentioned above in all Fourier-analytic arguments we replace the range of the functions with  $\{+1, -1\}$ .

► **Definition 12** (Fourier concentration). The spectrum of a function  $f : \mathbb{F}_2^n \rightarrow \{+1, -1\}$  is  $\epsilon$ -concentrated on a collection of Fourier coefficients  $Z \subseteq \mathbb{F}_2^n$  if  $\sum_{\alpha \in Z} \hat{f}^2(\alpha) \geq \epsilon$ .

We now introduce the notion of *approximate Fourier dimension* of a Boolean function.

► **Definition 13** (Approximate Fourier dimension). Let  $\mathcal{A}_k$  be the set of all linear subspaces of  $\mathbb{F}_2^n$  of dimension  $k$ . For  $f : \mathbb{F}_2^n \rightarrow \{+1, -1\}$  and  $\epsilon \in (0, 1]$  the  $\epsilon$ -approximate Fourier dimension  $\dim_\epsilon(f)$  is defined as:

$$\dim_\epsilon(f) = \min \left\{ k : \exists A \in \mathcal{A}_k : \sum_{\alpha \in A} \hat{f}^2(\alpha) \geq \epsilon \right\}.$$

The following theorem shows that for uniformly distributed inputs, both the one-way communication complexity of  $f^+$  and the linear sketch complexity of  $f$  are characterized by the approximate Fourier dimension of  $f$ . An immediate corollary is that, up to some slack in the dependence on the probability of error, the one-way communication complexity under the uniform distribution matches the linear sketch complexity. We note that the lower bounds given by this theorem are stronger than the basic extractor lower bound given in Appendix C.1. See Remark C.1 for further discussion.

338 ► **Theorem 14.** Let  $f: \mathbb{F}_2^n \rightarrow \{+1, -1\}$  be a Boolean function. Let  $\xi \in [0, 1]$  and  $\gamma < \frac{1-\sqrt{\xi}}{2}$ .  
 339 Let  $d = \dim_\xi(f)$ . Then,

$$340 \quad 1. \quad \mathcal{D}_{(1-\xi)/2}^{\rightarrow, U}(f^+) \leq \mathcal{D}_{(1-\xi)/2}^{lin, U}(f) \leq d, \quad 2. \quad \mathcal{D}_\gamma^{lin, U}(f) \geq d, \quad 3. \quad \mathcal{D}_{(1-\xi)/6}^{\rightarrow, U} \geq \frac{d}{6}.$$

342 **Proof. Part 1<sup>12</sup>.** Since  $d = \dim_\xi(f)$ , there exists a subspace  $A \leq \mathbb{F}_2^n$  of dimension at most  
 343  $d$  which satisfies  $\sum_{\alpha \in A} \hat{f}^2(\alpha) \geq \xi$ . Let  $g: \mathbb{F}_2^n \rightarrow \mathbb{R}$  be a function defined by its Fourier  
 344 transform as follows:

$$345 \quad \hat{g}(\alpha) = \begin{cases} \hat{f}(\alpha), & \text{if } \alpha \in A \\ 0, & \text{otherwise.} \end{cases}$$

347 Consider drawing a random variable  $\theta$  from the distribution with p.d.f  $1 - |\theta|$  over  $[-1, 1]$ .

► **Proposition 15.** For all  $t$  such that  $-1 \leq t \leq 1$  and  $z \in \{+1, -1\}$  random variable  $\theta$  satisfies:

$$\Pr_\theta[\text{sgn}(t - \theta) \neq z] \leq \frac{1}{2}(z - t)^2.$$

**Proof.** W.l.o.g we can assume  $z = 1$  as the case  $z = -1$  is symmetric. Then we have:

$$\Pr_\theta[\text{sgn}(t - \theta) \neq 1] = \int_t^1 (1 - |\gamma|) d\gamma \leq \int_t^1 (1 - \gamma) d\gamma = \frac{1}{2}(1 - t)^2. \quad \blacksquare$$

348 Define a family of functions  $g_\theta: \mathbb{F}_2^n \rightarrow \{+1, -1\}$  as  $g_\theta(x) = \text{sgn}(g(x) - \theta)$ . Then we have:

$$\begin{aligned} 349 \quad \mathbb{E}_\theta \left[ \Pr_{x \sim \mathbb{F}_2^n} [g_\theta(x) \neq f(x)] \right] &= \mathbb{E}_{x \sim \mathbb{F}_2^n} \left[ \Pr_\theta [g_\theta(x) \neq f(x)] \right] \\ 350 &= \mathbb{E}_{x \sim \mathbb{F}_2^n} \left[ \Pr_\theta [\text{sgn}(g(x) - \theta) \neq f(x)] \right] \\ 351 &\leq \mathbb{E}_{x \sim \mathbb{F}_2^n} \left[ \frac{1}{2}(f(x) - g(x))^2 \right] \text{ (by Proposition 15)} \\ 352 &= \frac{1}{2} \|f - g\|_2^2. \end{aligned}$$

354 Using the definition of  $g$  and Parseval we have:

$$355 \quad \frac{1}{2} \|f - g\|_2^2 = \frac{1}{2} \|\widehat{f - g}\|_2^2 = \frac{1}{2} \|\hat{f} - \hat{g}\|_2^2 = \frac{1}{2} \sum_{\alpha \notin A} \hat{f}^2(\alpha) \leq \frac{1 - \xi}{2}.$$

357 Thus, there exists a choice of  $\theta$  such that  $g_\theta$  achieves error at most  $\frac{1-\xi}{2}$ . Clearly  $g_\theta$  can be  
 358 computed based on the  $d$  parities forming a basis for  $A$  and hence  $\mathcal{D}_{(1-\xi)/2}^{lin, U}(f) \leq d$ .

## 359 Part 2.

360 Fix any deterministic sketch that uses  $d-1$  parities  $\chi_{\alpha_1}, \dots, \chi_{\alpha_{d-1}}$  and let  $S = (\alpha_1, \dots, \alpha_{d-1})$ .  
 361 For fixed values of these sketches  $b = (b_1, \dots, b_{d-1})$  where  $b_i = \chi_{\alpha_i}(x)$  we denote the resulting

<sup>12</sup>This argument is a refinement of the standard “sign trick” from learning theory which approximates a Boolean function by taking a sign of its real-valued approximation under  $\ell_2$ .

362 affine restriction of  $f$  as  $f|_{(S,b)}$ . Using the standard expression for the Fourier coefficients of  
 363 an affine restriction the constant Fourier coefficient of the restricted function is given as:

$$364 \quad \widehat{f|_{(S,b)}}(\emptyset) = \sum_{Z \subseteq [d-1]} (-1)^{\sum_{i \in Z} b_i} \hat{f}\left(\sum_{i \in Z} \alpha_i\right).$$

365  
 366 Thus, we have:

$$367 \quad \widehat{f|_{(S,b)}}^2(\emptyset) = \sum_{Z \subseteq [d-1]} \hat{f}^2\left(\sum_{i \in Z} \alpha_i\right) + \sum_{Z_1 \neq Z_2 \subseteq [d-1]} (-1)^{\sum_{i \in Z_1 \Delta Z_2} b_i} \hat{f}\left(\sum_{i \in Z_1} \alpha_i\right) \hat{f}\left(\sum_{i \in Z_2} \alpha_i\right).$$

368  
 369 Taking expectation over a uniformly random  $b \sim U(\mathbb{F}_2^d)$  we have:

$$\begin{aligned} 370 \quad & \mathbb{E}_{b \sim U(\mathbb{F}_2^d)} \left[ \widehat{f|_{(S,b)}}^2(\emptyset) \right] \\ 371 \quad &= \mathbb{E}_{b \sim U(\mathbb{F}_2^d)} \left[ \sum_{Z \subseteq [d-1]} \hat{f}^2\left(\sum_{i \in Z} \alpha_i\right) + \right. \\ 372 \quad & \quad \left. \sum_{Z_1 \neq Z_2 \subseteq [d-1]} (-1)^{\sum_{i \in Z_1 \Delta Z_2} b_i} \hat{f}\left(\sum_{i \in Z_1} \alpha_i\right) \hat{f}\left(\sum_{i \in Z_2} \alpha_i\right) \right] \\ 373 \quad &= \sum_{Z \subseteq [d-1]} \hat{f}^2\left(\sum_{i \in Z} \alpha_i\right). \\ 374 \end{aligned}$$

The latter sum is the sum of squared Fourier coefficients over a linear subspace of dimension  $d-1 < \dim_\xi(f)$ , and hence is strictly less than  $\xi$ . Using Jensen's inequality:

$$\mathbb{E}_{b \sim U(\mathbb{F}_2^d)} \left[ |\widehat{f|_{(S,b)}}(\emptyset)| \right] \leq \sqrt{\mathbb{E}_{b \sim U(\mathbb{F}_2^d)} \left[ \widehat{f|_{(S,b)}}^2(\emptyset) \right]} < \sqrt{\xi}.$$

375 For a fixed restriction  $(S, b)$  if  $|\widehat{f|_{(S,b)}}(\emptyset)| < \alpha$  then  $|\Pr[f|_{(S,b)} = 1] - \Pr[f|_{(S,b)} = -1]| < \alpha$   
 376 and hence no algorithm can predict the value of the restricted function on this coset with  
 377 probability at least  $\frac{1+\alpha}{2}$ . Thus no algorithm can predict  $f|_{(\alpha_1, b_1), \dots, (\alpha_{d-1}, b_{d-1})}$  for a uniformly  
 378 random choice of  $(b_1, \dots, b_{d-1})$ , and hence also on a uniformly at random chosen  $x$ , with  
 379 probability at least  $\frac{1+\sqrt{\xi}}{2}$ .

### 380 Part 3.

381 We will need the following fact about entropy of a binary random variable. The proof is  
 382 given in the appendix (Section A.1).

383 ► **Fact 16.** For any random variable  $X$  supported on  $\{1, -1\}$ ,  $H(X) \leq 1 - \frac{1}{2}(\mathbb{E}X)^2$ .

384 We will need the following proposition that states that random variables taking value in  
 385  $\{1, -1\}$  that are highly biased have low variance. The proof of Proposition 17 can be found  
 386 in the appendix (Section E.1).

387 ► **Proposition 17.** Let  $X$  be a random variable taking values in  $\{1, -1\}$ . Define  $p :=$   
 388  $\min_{b \in \{1, -1\}} \Pr[X = b]$ . Then  $\text{Var}[X] \in [2p, 4p]$ .

389 In the next two lemmas, we look into the structure of a one-way communication protocol  
 390 for  $f^+$ , and analyze its performance when the inputs are uniformly distributed. We give

a lower bound on the number of bits of information that any correct randomized one-way protocol reveals about Alice's input, in terms of the linear sketching complexity of  $f$  for uniform distribution<sup>13</sup>.

The next lemma bounds the probability of error of a one-way protocol from below in terms of the Fourier coefficients of  $f$ , and the conditional distributions of different parities of Alice's input conditioned on Alice's random message.

► **Lemma 18.** *Let  $\epsilon \in [0, \frac{1}{2})$ . Let  $\Pi$  be a deterministic one-way protocol for  $f^+$  such that  $\Pr_{x,y \sim U(\mathbb{F}_2^n)}[\Pi(x,y) \neq f^+(x,y)] \leq \epsilon$ . Let  $M$  denote the distribution of the random message sent by Alice to Bob in  $\Pi$ . For any fixed message  $m$  sent by Alice, let  $D_m$  denote the distribution of Alice's input  $x$  conditioned on the event that  $M = m$ . Then,*

$$4\epsilon \geq \sum_{\alpha \in \mathbb{F}_2^n} \widehat{f^2}(\alpha) \cdot \left(1 - \mathbb{E}_{m \sim M} \left( \mathbb{E}_{x \sim D_m} [\chi_\alpha(x)] \right)^2\right).$$

**Proof.** For any fixed input  $y$  of Bob, define  $\epsilon_m^{(y)} := \Pr_{x \sim D_m}[\Pi(x,y) \neq f^+(x,y)]$ . Thus,

$$\epsilon \geq \mathbb{E}_{m \sim M} \mathbb{E}_{y \sim U(\mathbb{F}_2^n)} [\epsilon_m^{(y)}]. \quad (1)$$

Note that the output of the protocol is determined by Alice's message and  $y$ . Hence for a fixed message and Bob's input, if the restricted function is largely unbiased, then any protocol is forced to commit an error with high probability. Formally,

$$\epsilon_m^{(y)} \geq \min_{b \in \{+1, -1\}} \Pr_{x \sim D_m} [f^+(x,y) = b] \geq \frac{\text{Var}_{x \sim D_m} [f^+(x,y)]}{4}. \quad (2)$$

Since  $f^+(\cdot, \cdot)$  takes values in  $\{+1, -1\}$ , the second inequality follows from Proposition 17. Now,

$$\begin{aligned} \text{Var}_{x \sim D_m} [f^+(x,y)] &= 1 - \left( \mathbb{E}_{x \sim D_m} [f^+(x,y)] \right)^2 \quad (\text{since } f^+(x,y) \in \{1, -1\}) \\ &= 1 - \left( \sum_{\alpha \in \mathbb{F}_2^n} \widehat{f}(\alpha) \chi_\alpha(y) \mathbb{E}_{x \sim D_m} [\chi_\alpha(x)] \right)^2 \quad (\text{by Fact 9 and linearity of expectation}) \\ &= 1 - \left( \sum_{\alpha \in \mathbb{F}_2^n} \widehat{f^2}(\alpha) \left( \mathbb{E}_{x \sim D_m} [\chi_\alpha(x)] \right)^2 \right. \\ &\quad \left. + \sum_{(\alpha_1, \alpha_2) \in \mathbb{F}_2^n \times \mathbb{F}_2^n : \alpha_1 \neq \alpha_2} \widehat{f}(\alpha_1) \widehat{f}(\alpha_2) \chi_{\alpha_1 + \alpha_2}(y) \mathbb{E}_{x \sim D_m} [\chi_{\alpha_1}(x)] \mathbb{E}_{x \sim D_m} [\chi_{\alpha_2}(x)] \right). \end{aligned}$$

Taking expectation over  $y$  we have:

$$\mathbb{E}_{y \sim U(\mathbb{F}_2^n)} [\text{Var}_{x \sim D_m} [f^+(x,y)]] = 1 - \sum_{\alpha \in \mathbb{F}_2^n} \widehat{f^2}(\alpha) \left( \mathbb{E}_{x \sim D_m} [\chi_\alpha(x)] \right)^2. \quad (3)$$

<sup>13</sup> We thus prove an *information complexity* lower bound. See, for example, [21] for an introduction to information complexity.

420 Taking expectation over messages it follows from (1), (2) and (3) that,

$$\begin{aligned}
 4\epsilon &\geq 1 - \sum_{\alpha \in \mathbb{F}_2^n} \hat{f}^2(\alpha) \cdot \mathbb{E}_{m \sim M} \left( \mathbb{E}_{x \sim D_m} [\chi_\alpha(x)] \right)^2 \\
 &= \sum_{\alpha \in \mathbb{F}_2^n} \hat{f}^2(\alpha) \cdot \left( 1 - \mathbb{E}_{m \sim M} \left( \mathbb{E}_{x \sim D_m} [\chi_\alpha(x)] \right)^2 \right).
 \end{aligned}
 \tag{4}$$

425 The second equality above follows from the Parseval's identity (Fact 6). The lemma follows.

426 ■

427 Let  $\epsilon := \frac{1-\xi}{6}$ . Let  $\Pi$  be a deterministic protocol such that  $\Pr_{x,y \sim U(\mathbb{F}_2^n)}[\Pi(x,y) \neq f^+(x,y)] \leq \epsilon$ ,  
 428 with optimal cost  $c_\Pi := \mathcal{D}_\epsilon^{\rightarrow,U}(f^+) = \mathcal{D}_{\frac{1-\xi}{6}}^{\rightarrow,U}(f^+)$ . Let  $M$  denote the distribution of the  
 429 random message sent by Alice to Bob in  $\Pi$ . For any fixed message  $m$  sent by Alice, let  $D_m$   
 430 denote the distribution of Alice's input  $x$  conditioned on the event that  $M = m$ . To prove  
 431 Part 3 of Theorem 14 we use the protocol  $\Pi$  to come up with a subspace of  $\mathbb{F}_2^n$ . Next, in  
 432 Lemma 19 (a) we prove, using Lemma 18, that  $f$  is  $\xi$ -concentrated on that subspace. In  
 433 Lemma 19 (b) we upper bound the dimension of that subspace in terms of  $c_\Pi$ .

434 ► **Lemma 19.** Let  $\mathcal{A} := \{\alpha \in \mathbb{F}_2^n : \mathbb{E}_{m \sim M} (\mathbb{E}_{x \sim D_m} \chi_\alpha(x))^2 \geq \frac{1}{3}\} \subseteq \mathbb{F}_2^n$ . Let  $\ell = \dim(\text{span}(\mathcal{A}))$ .

435 Then,

436 (a)  $\ell \geq d$ .

437 (b)  $\ell \leq 6c_\Pi$ .

438 **Proof.** (a) We prove part (a) by showing that  $f$  is  $\xi$ -concentrated on  $\text{span}(\mathcal{A})$ . By Lemma 18  
 439 we have that

$$\begin{aligned}
 4\epsilon &\geq \sum_{\alpha \in \text{span}(\mathcal{A})} \hat{f}^2(\alpha) \cdot \left( 1 - \mathbb{E}_{m \sim M} \left( \mathbb{E}_{x \sim D_m} \chi_\alpha(x) \right)^2 \right) + \\
 &\quad \sum_{\alpha \notin \text{span}(\mathcal{A})} \hat{f}^2(\alpha) \cdot \left( 1 - \mathbb{E}_{m \sim M} \left( \mathbb{E}_{x \sim D_m} \chi_\alpha(x) \right)^2 \right) \\
 &> \frac{2}{3} \cdot \sum_{\alpha \notin \text{span}(\mathcal{A})} \hat{f}^2(\alpha).
 \end{aligned}$$

444 Thus  $\sum_{\alpha \notin \text{span}(\mathcal{A})} \hat{f}^2(\alpha) < 6\epsilon$ . Hence,  $\sum_{\alpha \in \text{span}(\mathcal{A})} \hat{f}^2(\alpha) \geq 1 - 6\epsilon = \xi$ . Hence we have  
 445  $\ell = \dim(\text{span}(\mathcal{A})) \geq \dim_\xi(f) = d$ .

446

447 (b) Notice that  $\chi_\alpha(x)$  is a unbiased random variable taking values in  $\{1, -1\}$ . For each  $\alpha$   
 448 in the set  $\mathcal{A}$  in Proposition 19, the value of  $\mathbb{E}_{m \sim M} (\mathbb{E}_{x \sim D_m} \chi_\alpha(x))^2$  is bounded away from  
 449 0. This suggests that for a typical message  $m$  drawn from  $M$ , the distribution of  $\chi_\alpha(x)$   
 450 conditioned on the event  $M = m$  is significantly biased. Fact 16 enables us to conclude  
 451 that Alice's message reveals  $\Omega(1)$  bit of information about  $\chi_\alpha(x)$ . However, since the total  
 452 information content of Alice's message is at most  $c_\Pi$ , there can be at most  $O(c_\Pi)$  independent  
 453 vectors in  $\mathcal{A}$ . Now we formalize this intuition.

$$\begin{aligned}
454 \quad & \text{Let } \mathcal{T} = \{\alpha_1, \dots, \alpha_\ell\} \text{ be a basis of } \text{span}(\mathcal{A}). \text{ Then,} \\
455 \quad & c_\Pi \geq H(M) \quad (\text{by the third inequality of Fact 5 (1)}) \\
456 \quad & \geq I(M; \chi_{\alpha_1}(x), \dots, \chi_{\alpha_\ell}(x)) \quad (\text{by observation 7}) \\
457 \quad & = H(\chi_{\alpha_1}(x), \dots, \chi_{\alpha_\ell}(x)) - H(\chi_{\alpha_1}(x), \dots, \chi_{\alpha_\ell}(x) \mid M) \\
458 \quad & = \ell - H(\chi_{\alpha_1}(x), \dots, \chi_{\alpha_\ell}(x) \mid M) \\
459 \quad & \quad (\text{by Fact 5 (3) as } \chi_{\alpha_i}(x) \text{'s are independent as random variables}) \\
460 \quad & \geq \ell - \sum_{i=1}^{\ell} H(\chi_{\alpha_i}(x) \mid M) \quad (\text{by Fact 5 (2)}) \\
461 \quad & \geq \ell - \ell \left(1 - \frac{1}{2} \cdot \frac{1}{3}\right) \quad (\text{by Fact 16}) \\
462 \quad & = \frac{\ell}{6}. \\
463 \quad & \\
464 \quad & \blacksquare
\end{aligned}$$

465 Recall that  $c_\Pi = \mathcal{D}_{\frac{1-\xi}{6}}^{\rightarrow, U}(f^+)$ . Part 3 of Theorem 14 follows easily from Lemma 19:

$$\begin{aligned}
466 \quad & \mathcal{D}_{\frac{1-\xi}{6}}^{\rightarrow, U}(f^+) = c_\Pi \\
467 \quad & \geq \frac{\ell}{6} \quad (\text{by Lemma 19 (b)}) \\
468 \quad & \geq \frac{d}{6}. \quad (\text{by Lemma 19 (a)}) \\
469 \quad & \\
470 \quad & \blacksquare
\end{aligned}$$

471 The proof of Theorem 4 now follows directly from Part 1 and Part 3 of Theorem 14 by  
472 setting  $\xi = 1/3$ .

## 473 4 Applications

474 In this section using Theorem 14 we confirm Conjecture 3 for several function classes: low-  
475 degree  $\mathbb{F}_2$  polynomials, functions with sparse Fourier spectrum and symmetric functions  
476 (which are not too imbalanced). We also give an example of a composition theorem using  
477 recursive majority function as an example.

### 478 4.1 Low-degree $\mathbb{F}_2$ polynomials

479 In this section we show that for Boolean functions with low  $\mathbb{F}_2$ -degree randomness does not  
480 help in the design of linear sketches or one-way communication protocols. We briefly review  
481 some basic definitions, facts and results below.

482 ► **Fact 20.** For every Boolean function  $f: \mathbb{F}_2^n \rightarrow \mathbb{F}_2$  there is a unique  $n$ -variate polynomial  
483  $p \in \mathbb{F}_2[x_1, \dots, x_n]$  such that for every  $(x_1, \dots, x_n) \in \mathbb{F}_2^n$ ,  $f(x_1, \dots, x_n) = p(x_1, \dots, x_n)$ .

484 The uniqueness of this representation in particular implies that the only  $\mathbb{F}_2$  polynomial  
485 representing the constant 0 function is the polynomial 0. Taking the contrapositive, we have  
486 that for every non-constant  $\mathbb{F}_2$  polynomial there is an assignment to its input variables on  
487 which the polynomial evaluates to 1.

488 The degree of  $p$  is referred to as the  $\mathbb{F}_2$ -degree of  $f$ . We will need the following standard  
489 result which states that a function with low  $\mathbb{F}_2$ -degree cannot vanish on too many points in  
490 its domain. For the sake of completion, we add a proof of it in the appendix (Section E.2).

491 ► **Lemma 21.** *Let  $f$  be a Boolean function different than the constant 0 function with  $\mathbb{F}_2$*   
 492 *degree  $d$ . Then,*

$$493 \quad \Pr_{x \sim U(\mathbb{F}_2^n)} [f(x) = 1] \geq \frac{1}{2^d}.$$

494

495 In this section we prove the following theorem.

496 ► **Theorem 22.** *Let  $f : \mathbb{F}_2^n \rightarrow \mathbb{F}_2$  be a Boolean function, and let the  $\mathbb{F}_2$ -degree of  $f$  be  $d$ .*  
 497 *Then,*

$$498 \quad D^{lin}(f) = \dim(f) = O\left(R_{1/3}^{\rightarrow}(f^+) \cdot d\right).$$

499

500 **Proof.** Let  $\ell = \mathcal{D}_{\frac{1}{4 \cdot 2^d}}^{lin, U}(f)$ . This implies that there is a set  $\mathcal{P} = \{P_1, \dots, P_\ell\}$  of at most  $\ell$   
 501 parities and a Boolean function  $g$  such that  $\Pr_{x \sim U(\mathbb{F}_2^n)} [f(x) \neq g(P_1(x), \dots, P_\ell(x))] \leq \frac{1}{4 \cdot 2^d}$ .  
 502 We now prove that  $D^{lin}(f)$  (or equivalently Fourier dimension) of  $f$  is at most  $\ell$ . That will  
 503 prove the theorem as:

$$\begin{aligned} 504 \quad \mathcal{D}_{\frac{1}{4 \cdot 2^d}}^{lin, U}(f) &= O\left(\mathcal{D}_{\frac{1}{12 \cdot 2^d}}^{\rightarrow, U}(f^+)\right), \\ 505 \quad \mathcal{D}_{\frac{1}{12 \cdot 2^d}}^{\rightarrow, U}(f^+) &= O\left(R_{\frac{1}{12 \cdot 2^d}}^{\rightarrow}(f^+)\right), \\ 506 \quad R_{\frac{1}{12 \cdot 2^d}}^{\rightarrow}(f^+) &= O\left(R_{1/3}^{\rightarrow}(f^+) \cdot d\right). \end{aligned}$$

508 where the first relation follows by invoking parts 1 and 3 of Theorem 14 with  $\xi = 1 - \frac{1}{2^{d+1}}$ ,  
 509 the second relation holds by fixing the randomness of a randomized one-way protocol  
 510 appropriately, and the third relation is true because the error of a randomized one-way  
 511 protocol can be reduced from  $1/3$  to  $\frac{1}{12 \cdot 2^d}$  by taking the majority of  $O(d)$  independent parallel  
 512 repetitions.

It is left to prove that  $D^{lin}(f) \leq \ell$ . We prove it by showing that evaluations of all the  
 parities in the set  $\mathcal{P}$  determine the value of  $f$ . For each  $b = (b_1, \dots, b_\ell) \in \mathbb{F}_2^\ell$ , let  $V_b$  denote  
 the affine subspace  $\{x \in \mathbb{F}_2^n : P_1(x) = b_1, \dots, P_\ell(x) = b_\ell\}$  and define:

$$p_b := \Pr_{x \sim U(V_b)} [f(x) \neq g(P_1(x), \dots, P_\ell(x))] = \Pr_{x \sim U(V_b)} [f(x) \neq g(b_1, \dots, b_\ell)].$$

513 Note that:

$$514 \quad p_b \geq \min\left\{\Pr_{x \sim U(V_b)} [f(x) = 0], \Pr_{x \sim U(V_b)} [f(x) = 1]\right\} \geq \frac{1}{2} \Pr_{x, x' \sim U(V_b)} [f(x) \neq f(x')]. \quad (5)$$

515

Given this observation, define  $F : \mathbb{F}_2^n \times \mathbb{F}_2^n \rightarrow \mathbb{F}_2$  as follows. For  $x, x' \in \mathbb{F}_2^n$  let:

$$F(x, x') := \mathbf{1}_{f(x) \neq f(x')} = f(x) + f(x') \pmod{2}.$$



516 Note that  $\mathbb{F}_2$ -degree of  $F$  is at most  $d$ . Now,

$$\begin{aligned}
517 \quad & \Pr_{x \sim U(\mathbb{F}_2^n)} [f(x) \neq g(P_1(x), \dots, P_\ell(x))] \leq \frac{1}{4 \cdot 2^d} \\
518 \quad \Rightarrow \quad & \mathbb{E}_{b \sim U(\mathbb{F}_2^\ell)} \left[ \Pr_{x \sim U(V_b)} [f(x) \neq g(b_1, \dots, b_\ell)] \right] \leq \frac{1}{4 \cdot 2^d} \\
519 \quad \Rightarrow \quad & \mathbb{E}_{b \sim U(\mathbb{F}_2^\ell)} [p_b] \leq \frac{1}{4 \cdot 2^d} \\
520 \quad \Rightarrow \quad & \mathbb{E}_{b \sim U(\mathbb{F}_2^\ell)} \left[ \Pr_{x, x' \sim U(V_b)} [f(x) \neq f(x')] \right] \leq \frac{1}{2 \cdot 2^d} \quad (\text{From equation (5)}) \\
521 \quad \Rightarrow \quad & \mathbb{E}_{b \sim U(\mathbb{F}_2^\ell)} \left[ \Pr_{x, x' \sim U(V_b)} [F(x, x') = 1] \right] \leq \frac{1}{2 \cdot 2^d} \quad (6) \\
522
\end{aligned}$$

523 Let  $V$  denote the subspace  $\{(x, x') \in \mathbb{F}_2^n \times \mathbb{F}_2^n : P_1(x) = P_1(x'), \dots, P_\ell(x) = P_\ell(x')\}$  of  
524  $\mathbb{F}_2^n \times \mathbb{F}_2^n$ . From 6 we have that

$$\begin{aligned}
525 \quad & \Pr_{(x, x') \sim U(V)} [F(x, x') = 1] \leq \frac{1}{2 \cdot 2^d} < \frac{1}{2^d}. \quad (7) \\
526
\end{aligned}$$

527 Since  $\mathbb{F}_2$ -degree of  $F$  is at most  $d$ , restriction of  $F$  to  $V$  also has  $\mathbb{F}_2$  degree at most  $d$ .  
528 Equation 7 and Fact 21 imply that  $F$  is the constant 0 function on  $V$ . Thus for each  $x, x'$   
529 such that  $P_1(x) = P_1(x'), \dots, P_\ell(x) = P_\ell(x')$ ,  $f(x) = f(x')$ . Thus  $f(x)$  is a function of  
530  $P_1(x), \dots, P_\ell(x)$ . Hence, Fourier dimension of  $f$  is at most  $\ell$ . ■

531 For low-degree polynomials with bounded spectral norm we obtain the following corollary.

► **Corollary 23.** *Let  $f : \mathbb{F}_2^n \rightarrow \mathbb{F}_2$  be a Boolean function of  $\mathbb{F}_2$ -degree  $d$ . Then*

$$D^{lin}(f) = \dim(f) = O\left(d \cdot \|\hat{f}\|_1^2\right).$$

532 **Proof.** The proof follows from the result of Grolmusz [17, 39] that shows that  $R_{1/3}^\rightarrow(f^+) =$   
533  $O(\|\hat{f}\|_1^2)$  and Theorem 22. ■

534 This result should be compared with Corollary 6 in Tsang et al. [49] who show that  
535  $D^{lin}(f) = O(2^{d^3/2} \log^{d^2} \|\hat{f}\|_1)$ . Corollary 23 gives a stronger bound for  $d = \omega(\log^{1/3} \|\hat{f}\|_1)$ .

## 536 4.2 Address function and Fourier sparsity

537 Consider the *addressing function*  $Add_n : \{0, 1\}^{\log n + n} \rightarrow \{0, 1\}$  defined as follows<sup>14</sup>:

$$538 \quad Add_n(x, y_1, \dots, y_n) = y_x, \text{ where } x \in \{0, 1\}^{\log n}, y_i \in \{0, 1\},$$

540 i.e. the value of  $Add_n$  on an input  $(x, y)$  is given by the  $x$ -th bit of the vector  $y$  where  
541  $x$  is treated as a binary representation of an integer number in between 1 and  $n$ . Here  
542  $x$  is commonly referred to as the *address block* and  $y$  as the *addressee block*. Addressing  
543 function has only  $n^2$  non-zero Fourier coefficients. In fact, as shown by Sanyal [44] the Fourier  
544 dimension, and hence by Fact 8 also the deterministic sketch complexity, of any Boolean  
545 function with Fourier sparsity  $s$  is  $O(\sqrt{s} \log s)$ .

546 Below using the addressing function we show that this relationship is tight (up to a  
547 logarithmic factor) even if randomization is allowed, i.e. even for a function with Fourier  
548 sparsity  $s$  an  $\mathbb{F}_2$  sketch of size  $\Omega(\sqrt{s})$  might be required.

<sup>14</sup>In this section it will be more convenient to represent both domain and range of the function using  $\{0, 1\}$  rather than  $\mathbb{F}_2$ .

► **Theorem 24.** *For the addressing function  $Add_n$  and values  $1 \leq d \leq n$  and  $\xi > d/n$  it holds that:*

$$\mathcal{D}_{\frac{1-\sqrt{\xi}}{2}}^{lin,U}(Add_n^+) > d, \quad \mathcal{D}_{\frac{1-\xi}{6}}^{\rightarrow,U}(Add_n) > \frac{d}{6}.$$

**Proof.** If we apply the standard Fourier notation switch where we replace 0 with 1 and 1 with  $-1$  in the domain and the range of the function then the addressing function  $Add_n(x, y)$  can be expressed as the following multilinear polynomial:

$$Add_n(x, y) = \sum_{i \in \{0,1\}^{\log n}} y_i \prod_{j: i_j=1} \left( \frac{1-x_j}{2} \right) \prod_{j: i_j=0} \left( \frac{1+x_j}{2} \right),$$

which makes it clear that the only non-zero Fourier coefficients correspond to the sets that contain a single variable from the addressee block and an arbitrary subset of variables from the address block. This expansion also shows that the absolute value of each Fourier coefficient is equal to  $\frac{1}{n}$ .

Fix any  $d$ -dimensional subspace  $\mathcal{A}_d$  and consider the matrix  $M \in \mathbb{F}_2^{d \times (\log n + n)}$  composed of the basis vectors as rows. We add to  $M$  extra  $\log n$  rows which contain an identity matrix in the first  $\log n$  coordinates and zeros everywhere else. This gives us a new matrix  $M' \in \mathbb{F}_2^{(d+\log n) \times (\log n + n)}$ . Applying Gaussian elimination to  $M'$  we can assume that it is of the following form:

$$M' = \begin{pmatrix} I_{\log n} & 0 & 0 \\ 0 & I_{d'} & M'' \\ 0 & 0 & 0 \end{pmatrix},$$

where  $d' \leq d$ . Thus, the total number of non-zero Fourier coefficients spanned by the rows of  $M'$  equals  $nd'$ . Hence, the total sum of squared Fourier coefficients in  $\mathcal{A}_d$  is at most  $\frac{d'}{n} \leq \frac{d}{n}$ , i.e.  $\dim_{\xi}(Add_n) > d$ . By Part 2 and Part 3 of Theorem 14 the statement of the theorem follows. ■

### 4.3 Symmetric functions

A function  $f: \mathbb{F}_2^n \rightarrow \mathbb{F}_2$  is symmetric if it can be expressed as  $g(\|x\|_0)$  for some function  $g: [0, n] \rightarrow \mathbb{F}_2$ . We give the following lower bound for symmetric functions:

► **Theorem 25** (Lower bound for symmetric functions). *For any symmetric function  $f: \mathbb{F}_2^n \rightarrow \mathbb{F}_2$  that isn't  $(1-\epsilon)$ -concentrated on  $\{\emptyset, \{1, \dots, n\}\}$ :*

$$\mathcal{D}_{\epsilon/8}^{lin,U}(f) \geq \frac{n}{2e}, \quad \mathcal{D}_{\epsilon/12}^{\rightarrow,U}(f^+) \geq \frac{n}{2e}.$$

**Proof.** First we prove an auxiliary lemma. Let  $W_k$  be the set of all vectors in  $\mathbb{F}_2^n$  of Hamming weight  $k$ .

► **Lemma 26.** *For any  $d \in [n/2]$ ,  $k \in [n-1]$  and any  $d$ -dimensional subspace  $\mathcal{A}_d \leq \mathbb{F}_2^n$ :*

$$\frac{|W_k \cap \mathcal{A}_d|}{|W_k|} \leq \left( \frac{ed}{n} \right)^{\min(k, n-k, d)} \leq \frac{ed}{n}.$$

**Proof.** Fix any basis in  $\mathcal{A}_d$  and consider the matrix  $M \in \mathbb{F}_2^{d \times n}$  composed of the basis vectors as rows. W.l.o.g we can assume that this matrix is diagonalized and is in the standard form  $(I_d, M')$  where  $I_d$  is a  $d \times d$  identity matrix and  $M'$  is a  $d \times (n-d)$ -matrix. Clearly, any

linear combination of more than  $k$  rows of  $M$  has Hamming weight greater than  $k$  just from the contribution of the first  $d$  coordinates. Thus, we have  $|W_k \cap \mathcal{A}_d| \leq \sum_{i=0}^k \binom{d}{i}$ .

For any  $k \leq d$  it is a standard fact about binomials that  $\sum_{i=0}^k \binom{d}{i} \leq \left(\frac{ed}{k}\right)^k$ . On the other hand, we have  $|W_k| = \binom{n}{k} \geq (n/k)^k$ . Thus, we have  $\frac{|W_k \cap \mathcal{A}_d|}{|W_k|} \leq \left(\frac{ed}{n}\right)^k$  and hence for  $1 \leq k \leq d$  the desired inequality holds.

If  $d < k$  then consider two cases. Since  $d \leq n/2$  the case  $n - d \leq k \leq n - 1$  is symmetric to  $1 \leq k \leq d$ . If  $d < k < n - d$  then we have  $|W_k| > |W_d| \geq (n/d)^d$  and  $|W_k \cap \mathcal{A}_d| \leq 2^d$  so that the desired inequality follows. ■

Any symmetric function has its spectrum distributed uniformly over Fourier coefficients of any fixed weight. Let  $w_i = \sum_{S \in W_i} \hat{f}^2(S)$ . By the assumption of the theorem we have  $\sum_{i=1}^{n-1} w_i \geq \epsilon$ . Thus, by Lemma 26 any linear subspace  $\mathcal{A}_d$  of dimension at most  $d \leq n/2$  satisfies that:

$$\begin{aligned} \sum_{S \in \mathcal{A}_d} f^2(S) &\leq \hat{f}^2(\emptyset) + \hat{f}^2(\{1, \dots, n\}) + \sum_{i=1}^{n-1} w_i \frac{|W_i \cap \mathcal{A}_d|}{|W_i|} \\ &\leq \hat{f}^2(\emptyset) + \hat{f}^2(\{1, \dots, n\}) + \sum_{i=1}^{n-1} w_i \frac{ed}{n} \\ &\leq (1 - \epsilon) + \epsilon \frac{ed}{n}. \end{aligned}$$

Thus,  $f$  isn't  $1 - \epsilon(1 - \frac{ed}{n})$ -concentrated on any  $d$ -dimensional linear subspace, i.e.  $\dim_\xi(f) > d$  for  $\xi = 1 - \epsilon(1 - \frac{ed}{n})$ . By Part 2 of Theorem 14 this implies that  $f$  doesn't have randomized sketches of dimension at most  $d$  which err with probability less than:

$$\frac{1}{2} - \frac{\sqrt{1 - \epsilon(1 - \frac{ed}{n})}}{2} \geq \frac{\epsilon}{4} \left(1 - \frac{ed}{n}\right) \geq \frac{\epsilon}{8}$$

where the last inequality follows by the assumption that  $d \leq \frac{n}{2e}$ . The communication complexity lower bound follows by Part 3 of Theorem 14 by setting  $d = \frac{n}{2e}$ .

#### 4.4 Composition theorem for majority

In this section using Theorem 14 we give a composition theorem for  $\mathbb{F}_2$ -sketching of the composed  $Maj_3$  function. Unlike in the deterministic case for which the composition theorem is easy to show (see Lemma 13) in the randomized case composition results require more work.

► **Definition 27** (Composition). For  $f: \mathbb{F}_2^n \rightarrow \mathbb{F}_2$  and  $g: \mathbb{F}_2^m \rightarrow \mathbb{F}_2$  their composition  $f \circ g: \mathbb{F}_2^{mn} \rightarrow \mathbb{F}_2$  is defined as:

$$(f \circ g)(x) = f(g(x_1, \dots, x_m), g(x_{m+1}, \dots, x_{2m}), \dots, g(x_{m(n-1)+1}, \dots, x_{mn})).$$

Consider the recursive majority function  $Maj_3^{\circ k} \equiv Maj_3 \circ Maj_3 \circ \dots \circ Maj_3$  where the composition is taken  $k$  times.

► **Theorem 28.** For any  $d \leq n$ ,  $k = \log_3 n$  and  $\xi > \frac{4d}{n}$  it holds that  $\dim_\xi(Maj_3^{\circ k}) > d$ .

First, we show a slightly stronger result for standard subspaces and then extend this result to arbitrary subspaces with a loss of a constant factor. Fix any set  $S \subseteq [n]$  of variables. We associate this set with a collection of standard unit vectors corresponding to these variables. Hence in this notation  $\emptyset$  corresponds to the all-zero vector.

► **Lemma 29.** *For any standard subspace whose basis consists of singletons from the set  $S \subseteq [n]$  it holds that:*

$$\sum_{Z \in \text{span}(S)} \left( \widehat{Maj_3^{\circ k}}(Z) \right)^2 \leq \frac{|S|}{n}$$

**Proof.** The Fourier expansion of  $Maj_3$  is given as

$$Maj_3(x_1, x_2, x_3) = \frac{1}{2}(x_1 + x_2 + x_3 - x_1 x_2 x_3)$$

. For  $i \in \{1, 2, 3\}$  let  $N_i = \{(i-1)n/3 + 1, \dots, in/3\}$ . Let  $S_i = S \cap N_i$ . Let  $\alpha_i$  be defined as:

$$\alpha_i = \sum_{Z \in \text{span}(S_i)} \left( \widehat{Maj_3^{\circ k-1}}(Z) \right)^2.$$

Then we have:

$$\begin{aligned} \sum_{Z \in \text{span}(S)} \left( \widehat{Maj_3^{\circ k}}(Z) \right)^2 &= \sum_{i=1}^3 \sum_{Z \in \text{span}(S_i)} \left( \widehat{Maj_3^{\circ k}}(Z) \right)^2 + \\ &\quad \sum_{Z \in \text{span}(S) - \cup_{i=1}^3 \text{span}(S_i)} \left( \widehat{Maj_3^{\circ k}}(Z) \right)^2. \end{aligned}$$

For each  $S_i$  we have

$$\sum_{Z \in \text{span}(S_i)} \left( \widehat{Maj_3^{\circ k}}(Z) \right)^2 = \frac{1}{4} \sum_{Z \in \text{span}(S_i)} \left( \widehat{Maj_3^{\circ k-1}}(Z) \right)^2 = \frac{\alpha_i}{4}.$$

Moreover, for each  $Z \in \text{span}(S) - \cup_{i=1}^3 \text{span}(S_i)$  we have:

$$\widehat{Maj_3^{\circ k}}(Z) = \begin{cases} -\frac{1}{2} \widehat{Maj_3^{\circ k-1}}(Z_1) \widehat{Maj_3^{\circ k-1}}(Z_2) \widehat{Maj_3^{\circ k-1}}(Z_3) & \text{if } Z \in \times_{i=1}^3 (\text{span}(S_i) \setminus \emptyset) \\ 0 & \text{otherwise.} \end{cases}$$

Thus, we have:

$$\begin{aligned} &\sum_{Z \in (\text{span}(S_1) \setminus \emptyset) \times (\text{span}(S_2) \setminus \emptyset) \times (\text{span}(S_3) \setminus \emptyset)} \left( \widehat{Maj_3^{\circ k}}(Z) \right)^2 \\ &= \sum_{Z \in (\text{span}(S_1) \setminus \emptyset) \times (\text{span}(S_2) \setminus \emptyset) \times (\text{span}(S_3) \setminus \emptyset)} \frac{1}{4} \left( \widehat{Maj_3^{\circ k-1}}(Z_1) \right)^2 \cdot \left( \widehat{Maj_3^{\circ k-1}}(Z_2) \right)^2 \cdot \left( \widehat{Maj_3^{\circ k-1}}(Z_3) \right)^2 \\ &= \frac{1}{4} \left( \sum_{Z \in (\text{span}(S_1) \setminus \emptyset)} \left( \widehat{Maj_3^{\circ k-1}}(Z_1) \right)^2 \right) \cdot \left( \sum_{Z \in (\text{span}(S_2) \setminus \emptyset)} \left( \widehat{Maj_3^{\circ k-1}}(Z_2) \right)^2 \right) \cdot \left( \sum_{Z \in (\text{span}(S_3) \setminus \emptyset)} \left( \widehat{Maj_3^{\circ k-1}}(Z_3) \right)^2 \right) \\ &= \frac{1}{4} \alpha_1 \alpha_2 \alpha_3. \end{aligned}$$

where the last equality holds since  $\widehat{Maj_3^{\circ k-1}}(\emptyset) = 0$ . Putting this together we have:

$$\begin{aligned} \sum_{Z \in \text{span}(S)} \left( \widehat{Maj_3^{\circ k}}(Z) \right)^2 &= \frac{1}{4}(\alpha_1 + \alpha_2 + \alpha_3 + \alpha_1\alpha_2\alpha_3) \\ &\leq \frac{1}{4} \left( \alpha_1 + \alpha_2 + \alpha_3 + \frac{1}{3}(\alpha_1 + \alpha_2 + \alpha_3) \right) = \frac{1}{3}(\alpha_1 + \alpha_2 + \alpha_3). \end{aligned}$$

Applying this argument recursively to each  $\alpha_i$  for  $k-1$  times we have:

$$\sum_{Z \in \text{span}(S)} \left( \widehat{Maj_3^{\circ k}}(Z) \right)^2 \leq \frac{1}{3^k} \sum_{i=1}^{3^k} \gamma_i,$$

where  $\gamma_i = 1$  if  $i \in S$  and 0 otherwise. Thus,  $\sum_{Z \in \text{span}(S)} \left( \widehat{Maj_3^{\circ k}}(Z) \right)^2 \leq \frac{|S|}{n}$ . ■

To extend the argument to arbitrary linear subspaces we show that any such subspace has less Fourier weight than a collection of three carefully chosen standard subspaces. First we show how to construct such subspaces in Lemma 30.

For a linear subspace  $L \leq \mathbb{F}_2^n$  we denote the set of all vectors in  $L$  of odd Hamming weight as  $\mathcal{O}(L)$  and refer to it as the *odd set* of  $L$ . For two vectors  $v_1, v_2 \in \mathbb{F}_2^n$  we say that  $v_1$  *dominates*  $v_2$  if the set of non-zero coordinates of  $v_1$  is a (not necessarily proper) subset of the set of non-zero coordinates of  $v_2$ . For two sets of vectors  $S_1, S_2 \subseteq \mathbb{F}_2^n$  we say that  $S_1$  *dominates*  $S_2$  (denoted as  $S_1 \prec S_2$ ) if there is a matching  $M$  between  $S_1$  and  $S_2$  of size  $|S_2|$  such that for each  $(v_1 \in S_1, v_2 \in S_2) \in M$  the vector  $v_1$  dominates  $v_2$ .

► **Lemma 30** (Standard subspace domination lemma). *For any linear subspace  $L \leq \mathbb{F}_2^n$  of dimension  $d$  there exist three standard linear subspaces  $S_1, S_2, S_3 \leq \mathbb{F}_2^n$  such that:*

$$\mathcal{O}(L) \prec \mathcal{O}(S_1) \cup \mathcal{O}(S_2) \cup \mathcal{O}(S_3),$$

and  $\dim(S_1) = d-1$ ,  $\dim(S_2) = d$ ,  $\dim(S_3) = 2d$ .

**Proof.** Let  $A \in \mathbb{F}_2^{d \times n}$  be the matrix with rows corresponding to the basis in  $L$ . We will assume that  $A$  is normalized in a way described below. First, we apply Gaussian elimination to ensure that  $A = (I, M)$  where  $I$  is a  $d \times d$  identity matrix. If all rows of  $A$  have even Hamming weight then the lemma holds trivially since  $\mathcal{O}(L) = \emptyset$ . By reordering rows and columns of  $A$  we can always assume that for some  $k \geq 1$  the first  $k$  rows of  $A$  have odd Hamming weight and the last  $d-k$  have even Hamming weight. Finally, we add the first column to each of the last  $d-k$  rows, which makes all rows have odd Hamming weight. This results in  $A$  of the following form:

$$A = \left( \begin{array}{c|cc|c} 1 & 0 \cdots 0 & 0 \cdots 0 & a \\ 0 & I_{k-1} & 0 & M_1 \\ \vdots & & & \\ 0 & & & \\ \hline 1 & 0 & I_{d-k} & M_2 \\ \vdots & & & \\ 1 & & & \end{array} \right)$$

We use the following notation for submatrices:  $A[i_1, j_1; i_2, j_2]$  refers to the submatrix of  $A$  with rows between  $i_1$  and  $j_1$  and columns between  $i_2$  and  $j_2$  inclusive. We denote to the

first row by  $v$ , the submatrix  $A[2, k; 1, n]$  as  $\mathcal{A}$  and the submatrix  $A[k + 1, d; 1, n]$  as  $\mathcal{B}$ . Each  $x \in \mathcal{O}(L)$  can be represented as  $\sum_{i \in S} A_i$  where the set  $S$  is of odd size and the sum is over  $\mathbb{F}_2^n$ . We consider the following three cases corresponding to different types of the set  $S$ .

**Case 1.**  $S \subseteq \text{rows}(\mathcal{A}) \cup \text{rows}(\mathcal{B})$ . This corresponds to all odd size linear combinations of the rows of  $A$  that don't include the first row. Clearly, the set of such vectors is dominated by  $\mathcal{O}(S_1)$  where  $S_1$  is the standard subspace corresponding to the span of the rows of the submatrix  $A[2, d; 2, d]$ .

**Case 2.**  $S$  contains the first row,  $|S \cap \text{rows}(\mathcal{A})|$  and  $|S \cap \text{rows}(\mathcal{B})|$  are even. All such linear combinations have their first coordinate equal 1. Hence, they are dominated by a standard subspace corresponding to span of the rows the  $d \times d$  identity matrix, which we refer to as  $S_2$ .

**Case 3.**  $S$  contains the first row,  $|S \cap \text{rows}(\mathcal{A})|$  and  $|S \cap \text{rows}(\mathcal{B})|$  are odd. All such linear combinations have their first coordinate equal 0. This implies that the Hamming weight of the first  $d$  coordinates of such linear combinations is even and hence the other coordinates cannot be all equal to 0. Consider the submatrix  $M = A[1, d; d + 1, n]$  corresponding to the last  $n - d$  columns of  $A$ . Since the rank of this matrix is at most  $d$  by running Gaussian elimination on  $M$  we can construct a matrix  $M'$  containing as rows the basis for the row space of  $M$  of the following form:

$$M' = \begin{pmatrix} I_t & M_1 \\ 0 & 0 \end{pmatrix}$$

where  $t = \text{rank}(M)$ . This implies that any non-trivial linear combination of the rows of  $M$  contains 1 in one of the first  $t$  coordinates. We can reorder the columns of  $A$  in such a way that these  $t$  coordinates have indices from  $d + 1$  to  $d + t$ . Note that now the set of vectors spanned by the rows of the  $(d + t) \times (d + t)$  identity matrix  $I_{d+t}$  dominates the set of linear combinations we are interested in. Indeed, each such linear combination has even Hamming weight in the first  $d$  coordinates and has at least one coordinate equal to 1 in the set  $\{d + 1, \dots, d + t\}$ . This gives a vector of odd Hamming weight that dominates such linear combination. Since this mapping is injective we have a matching. We denote the standard linear subspace constructed this way by  $S_3$  and clearly  $\dim(S_3) \leq 2d$ . ■

The following proposition shows that the spectrum of the  $\text{Maj}_3^{\circ k}$  is monotone decreasing under inclusion if restricted to odd size sets only:

► **Proposition 31.** For any two sets  $Z_1 \subseteq Z_2$  of odd size it holds that:

$$\left| \widehat{\text{Maj}_3^{\circ k}}(Z_1) \right| \geq \left| \widehat{\text{Maj}_3^{\circ k}}(Z_2) \right|.$$

**Proof.** The proof is by induction on  $k$ . Consider the Fourier expansion of  $\text{Maj}_3(x_1, x_2, x_3) = \frac{1}{2}(x_1 + x_2 + x_3 - x_1x_2x_3)$ . The case  $k = 1$  holds since all Fourier coefficients have absolute value  $1/2$ . Since  $\text{Maj}_3^{\circ k} = \text{Maj}_3 \circ (\text{Maj}_3^{\circ k-1})$  all Fourier coefficients of  $\text{Maj}_3^{\circ k}$  result from substituting either a linear or a cubic term in the Fourier expansion by the multilinear expansions of  $\text{Maj}_3^{\circ k-1}$ . This leads to four cases.

**Case 1.**  $Z_1$  and  $Z_2$  both arise from linear terms. In this case if  $Z_1$  and  $Z_2$  aren't disjoint then they arise from the same linear term and thus satisfy the statement by the inductive hypothesis.

**Case 2.** If  $Z_1$  arises from a cubic term and  $Z_2$  from the linear term then it can't be the case that  $Z_1 \subseteq Z_2$  since  $Z_2$  contains some variables not present in  $Z_1$ .

**Case 3.** If  $Z_1$  and  $Z_2$  both arise from the cubic term then we have  $(Z_1 \cap N_i) \subseteq (Z_2 \cap N_i)$  for each  $i$ . By the inductive hypothesis we then have  $\left| \widehat{\text{Maj}_3^{\circ k-1}}(Z_1 \cap N_i) \right| \geq \left| \widehat{\text{Maj}_3^{\circ k-1}}(Z_2 \cap N_i) \right|$ .

Since for  $j = 1, 2$  we have  $\widehat{Maj_3^{\circ k}}(Z_j) = -\frac{1}{2} \prod_i \widehat{Maj_3^{\circ k-1}}(Z_j \cap N_i)$  the desired inequality follows.

**Case 4.** If  $Z_1$  arises from the linear term and  $Z_2$  from the cubic term then w.l.o.g. assume that  $Z_1$  arises from the  $x_1$  term. Note that  $Z_1 \subseteq (Z_2 \cap N_1)$  since  $Z_1 \cap (N_2 \cup N_3) = \emptyset$ . By the inductive hypothesis applied to  $Z_1$  and  $Z_2 \cap N_1$  the desired inequality holds.

We can now complete the proof of Theorem 28

**Proof of Theorem 28.** By combining Proposition 31 and Lemma 29 we have that any set  $\mathcal{T}$  of vectors that is dominated by  $\mathcal{O}(\mathcal{S})$  for some standard subspace  $\mathcal{S}$  satisfies  $\sum_{S \in \mathcal{T}} \widehat{Maj_3^{\circ k}}(S)^2 \leq \frac{\dim(\mathcal{S})}{n}$ . By the standard subspace domination lemma (Lemma 30) any subspace  $L \subseteq \mathbb{F}_2^n$  of dimension  $d$  has  $\mathcal{O}(L)$  dominated by a union of three standard subspaces of dimension  $2d$ ,  $d$  and  $d-1$  respectively. Thus, we have  $\sum_{S \in \mathcal{O}(L)} \widehat{Maj_3^{\circ k}}(S)^2 \leq \frac{2d}{n} + \frac{d}{n} + \frac{d-1}{n} \leq \frac{4d}{n}$ . ■

We have the following corollary of Theorem 28 that proves Theorem 5.

► **Corollary 32.** For any  $\epsilon \in [0, \frac{1}{2}]$ ,  $\xi > 4\epsilon^2$  and  $k = \log_3 n$  it holds that:

$$\mathcal{D}_{\frac{1-\sqrt{\xi}}{2}}^{lin,U}(Maj_3^{\circ k}) > \epsilon^2 n, \quad \mathcal{D}_{\frac{1-\xi}{6}}^{\rightarrow,U}(Maj_3^{\circ k+}) > \frac{\epsilon^2 n}{6}.$$

**Proof.** Fix  $d = \epsilon^2 n$ . For this choice of  $d$  Theorem 28 implies that for  $\xi > 4\epsilon^2$  it holds that  $\dim_{\xi}(Maj_3^{\circ k}) > d$ . The first part follows from Part 2 of Theorem 14. The second part is by Part 3 of Theorem 14.

## 5 Streaming algorithms over $\mathbb{F}_2$

Let  $e_i$  be the standard unit vector in  $\mathbb{F}_2^n$ . In the turnstile streaming model the input  $x \in \mathbb{F}_2^n$  is represented as a stream  $\sigma = (\sigma_1, \sigma_2, \dots)$  where  $\sigma_i \in \{e_1, \dots, e_n\}$ . For a stream  $\sigma$  the resulting vector  $x$  corresponds to its frequency vector  $\text{freq } \sigma \equiv \sum_i \sigma_i$ . Concatenation of two streams  $\sigma$  and  $\tau$  is denoted as  $\sigma \circ \tau$ .

### 5.1 Random streams

In this section we show how to translate our results in Section 3 and 4 into lower bounds for streaming algorithms. We consider the following two natural models of random streams over  $\mathbb{F}_2$ :

**Model 1.** In the first model we start with  $x \in \mathbb{F}_2^n$  that is drawn from the uniform distribution over  $\mathbb{F}_2^n$  and then apply a uniformly random update  $y \sim U(\mathbb{F}_2^n)$  obtaining  $x + y$ . In the streaming language this corresponds to a stream  $\sigma = \sigma_1 \circ \sigma_2$  where  $\text{freq } \sigma_1 \sim U(\mathbb{F}_2^n)$  and  $\text{freq } \sigma_2 \sim U(\mathbb{F}_2^n)$ . A specific example of such stream would be one where for both  $\sigma_1$  and  $\sigma_2$  we flip an unbiased coin to decide whether or not to include a vector  $e_i$  in the stream for each value of  $i$ . The expected length of the stream in this case is  $n$ .

**Model 2.** In the second model we consider a stream  $\sigma$  which consists of uniformly random updates. Let  $\sigma_i = e_{r(i)}$  where  $r(i) \sim U([n])$ . This corresponds to each update being a flip in a coordinate of  $x$  chosen uniformly at random. This model is equivalent to the previous model but requires longer streams to mix. Using coupon collector's argument such streams of length  $\Theta(n \log n)$  can be divided into two substreams  $\sigma_1$  and  $\sigma_2$  such that with high probability both  $\text{freq } \sigma_1$  and  $\text{freq } \sigma_2$  are uniformly distributed over  $\mathbb{F}_2^n$  and  $\sigma = \sigma_1 \circ \sigma_2$ .



757 ► **Theorem 33.** *Let  $f: \mathbb{F}_2^n \rightarrow \mathbb{F}_2$  be an arbitrary function. In the two random streaming*  
 758 *models for generating  $\sigma$  described above any algorithm that computes  $f(\text{freq } \sigma)$  with probability*  
 759 *at least  $8/9$  in the end of the stream has to use space that is at least  $\mathcal{D}_{1/3}^{\text{lin},U}(f)$ .*

760 **Proof.** The proof follows directly from Theorem 4 as in both models we can partition the  
 761 stream into  $\sigma_1$  and  $\sigma_2$  such that  $\text{freq } \sigma_1$  and  $\text{freq } \sigma_2$  are both distributed uniformly over  $\mathbb{F}_2^n$ .  
 762 We treat these two frequency vectors as inputs of Alice and Bob in the communication game.  
 763 Since communication  $\mathcal{D}_{1/9}^{\rightarrow,U}(f^+) \geq \mathcal{D}_{1/3}^{\text{lin},U}(f)$  is required no streaming algorithm with less  
 764 space exists as otherwise Alice would transfer its state to Bob with less communication. ■

765 Using the same proof as in Theorem 33 it follows that all the lower bounds in Section 4  
 766 hold for both random streaming models described above.

## 767 5.2 Adversarial streams

768 We now show that any randomized turnstile streaming algorithm for computing  $f: \mathbb{F}_2^n \rightarrow \mathbb{F}_2$   
 769 with error probability  $\delta$  has to use space that is at least  $R_{6\delta}^{\text{lin}}(f) - O(\log n + \log(1/\delta))$  under  
 770 adversarial sequences of updates. The proof is based on the recent line of work that shows that  
 771 this relationship holds for real-valued sketches [10, 32, 1]. The proof framework developed  
 772 by [10, 32, 1] for real-valued sketches consists of two steps. First, a turnstile streaming  
 773 algorithm is converted into a path-independent stream automaton (Definition 35). Second,  
 774 using the theory of modules and their representations it is shown that such automata can  
 775 always be represented as linear sketches. We observe that the first step of this framework  
 776 can be left unchanged under  $\mathbb{F}_2$ . However, as we show the second step can be significantly  
 777 simplified as path-independent automata over  $\mathbb{F}_2$  can be directly seen as linear sketches  
 778 without using module theory. Furthermore, since we are working over  $\mathbb{F}_2$  we also avoid the  
 779  $O(\log m)$  factor loss in the reduction between path independent automata and linear sketches  
 780 that is present in [10].

781 We use the following abstraction of a *stream automaton* from [10, 32, 1] adapted to our  
 782 context to represent general turnstile streaming algorithms over  $\mathbb{F}_2$ .

783 ► **Definition 34** (Deterministic Stream Automaton). A *deterministic stream automaton*  $\mathcal{A}$  is a  
 784 Turing machine that uses two tapes, an unidirectional read-only input tape and a bidirectional  
 785 work tape. The input tape contains the input stream  $\sigma$ . After processing the input, the  
 786 automaton writes an output, denoted as  $\phi_{\mathcal{A}}(\sigma)$ , on the work tape. A configuration (or state)  
 787 of  $\mathcal{A}$  is determined by the state of its finite control, head position, and contents of the work  
 788 tape. The computation of  $\mathcal{A}$  can be described by a transition function  $\oplus_{\mathcal{A}}: C \times \mathbb{F}_2 \rightarrow C$ ,  
 789 where  $C$  is the set of all possible configurations. For a configuration  $c \in C$  and a stream  
 790  $\sigma$ , we denote by  $c \oplus_{\mathcal{A}} \sigma$  the configuration of  $\mathcal{A}$  after processing  $\sigma$  starting from the initial  
 791 configuration  $c$ . The set of all configurations of  $\mathcal{A}$  that are reachable via processing some  
 792 input stream  $\sigma$  is denoted as  $C(\mathcal{A})$ . The space of  $\mathcal{A}$  is defined as  $\mathcal{S}(\mathcal{A}) = \log |C(\mathcal{A})|$ .

793 We say that a deterministic stream automaton computes a function  $f: \mathbb{F}_2^n \rightarrow \mathbb{F}_2$  over a  
 794 distribution  $\Pi$  if  $\Pr_{\sigma \sim \Pi}[\phi_{\mathcal{A}}(\sigma) = f(\text{freq } \sigma)] \geq 1 - \delta$ .

795 ► **Definition 35** (Path-independent automaton). An automaton  $\mathcal{A}$  is said to be *path-*  
 796 *independent* if for any configuration  $c$  and any input stream  $\sigma$ ,  $c \oplus_{\mathcal{A}} \sigma$  depends only on  $\text{freq } \sigma$   
 797 and  $c$ .

798 ► **Definition 36** (Randomized Stream Automaton). A *randomized stream automaton*  $\mathcal{A}$  is  
 799 a deterministic automaton with an additional tape for the random bits. This random

tape is initialized with a random bit string  $R$  before the automaton is executed. During the execution of the automaton this bit string is used in a bidirectional read-only manner while the rest of the execution is the same as in the deterministic case. A randomized automaton  $\mathcal{A}$  is said to be path-independent if for each possible fixing of its randomness  $R$  the deterministic automaton  $\mathcal{A}_R$  is path-independent. The space complexity of  $\mathcal{A}$  is defined as  $\mathcal{S}(\mathcal{A}) = \max_R(|R| + \mathcal{S}(\mathcal{A}_R))$ .

Theorems 5 and 9 of [32] combined with the observation in Appendix A of [1] that guarantees path independence yields the following:

► **Theorem 37** (Theorems 5 and 9 in [32] + [1]). *Suppose that a randomized stream automaton  $\mathcal{A}$  computes  $f$  on any stream with probability at least  $1 - \delta$ . For an arbitrary distribution  $\Pi$  over streams there exists a deterministic<sup>15</sup> path independent stream automaton  $\mathcal{B}$  that computes  $f$  with probability  $1 - 6\delta$  over  $\Pi$  such that  $\mathcal{S}(\mathcal{B}) \leq \mathcal{S}(\mathcal{A}) + O(\log n + \log(1/\delta))$ .*

The rest of the argument below is based on the work of Ganguly [10] adopted for our needs. Since we are working over a finite field we also avoid the  $O(\log m)$  factor loss in the reduction between path independent automata and linear sketches that is present in Ganguly's work.

Let  $A_n$  be a path-independent stream automaton over  $\mathbb{F}_2$  and let  $\oplus$  abbreviate  $\oplus_{A_n}$ . Define the function  $*$  :  $\mathbb{F}_2^n \times C(A_n) \rightarrow C(A_n)$  as:  $x * a = a \oplus \sigma$ , where  $\text{freq}(\sigma) = x$ . Let  $o$  be the initial configuration of  $A_n$ . The kernel  $M_{A_n}$  of  $A_n$  is defined as  $M_{A_n} = \{x \in \mathbb{F}_2^n : x * o = 0^n * o\}$ .

► **Proposition 38.** The kernel  $M_{A_n}$  of a path-independent automaton  $A_n$  is a linear subspace of  $\mathbb{F}_2^n$ .

**Proof.** For  $x, y \in M_{A_n}$  by path independence  $(x + y) * o = x * (y * o) = 0^n * o$  so  $x + y \in M_{A_n}$ . ■

Since  $M_{A_n} \leq \mathbb{F}_2^n$  the kernel partitions  $\mathbb{F}_2^n$  into cosets of the form  $x + M_{A_n}$ . Next we show that there is a one to one mapping between these cosets and the states of  $A_n$ .

► **Proposition 39.** For  $x, y \in \mathbb{F}_2^n$  and a path independent automaton  $A_n$  with a kernel  $M_{A_n}$  it holds that  $x * o = y * o$  if and only if  $x$  and  $y$  lie in the same coset of  $M_{A_n}$ .

**Proof.** By path independence  $x * o = y * o$  iff  $x * (x * o) = x * (y * o)$  or equivalently  $0^n * o = (x + y) * o$ . The latter condition holds iff  $x + y \in M_{A_n}$  which is equivalent to  $x$  and  $y$  lying in the same coset of  $M_{A_n}$ . ■

The same argument implies that the transition function of a path-independent automaton has to be linear since  $(x + y) * o = x * (y * o)$ . Combining these facts together we conclude that a path-independent automaton has at least as many states as the best deterministic  $\mathbb{F}_2$ -sketch for  $f$  that succeeds with probability at least  $1 - 6\delta$  over  $\Pi$  (and hence the best randomized sketch as well). Putting things together we get:

► **Theorem 40.** *Any randomized streaming algorithm that computes  $f : \mathbb{F}_2^n \rightarrow \mathbb{F}_2$  under arbitrary updates over  $\mathbb{F}_2$  with error probability at least  $1 - \delta$  has space complexity at least  $R_{6\delta}^{\text{lin}}(f) - O(\log n + \log(1/\delta))$ .*

<sup>15</sup> We note that [32] construct  $\mathcal{B}$  as a randomized automaton in their Theorem 9 but it can always be made deterministic by fixing the randomness that achieves the smallest error.

## Acknowledgements

S. S. thanks Nikhil Mande, Rahul Jain and Anurag Anshu for helpful discussions.

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## Appendix

### A Information theory

Let  $X$  be a random variable supported on a finite set  $\{x_1, \dots, x_s\}$ . Let  $\mathcal{E}$  be any event in the same probability space. Let  $\mathbb{P}[\cdot]$  denote the probability of any event. The *conditional entropy*  $H(X | \mathcal{E})$  of  $X$  conditioned on  $\mathcal{E}$  is defined as follows.

► **Definition 1** (Conditional entropy).

$$H(X | \mathcal{E}) := \sum_{i=1}^s \mathbb{P}[X = x_i | \mathcal{E}] \log_2 \frac{1}{\mathbb{P}[X = x_i | \mathcal{E}]}$$

An important special case is when  $\mathcal{E}$  is the entire sample space. In that case the above conditional entropy is referred to as the *Shannon entropy*  $H(X)$  of  $X$ .

► **Definition 2** (Entropy).

$$H(X) := \sum_{i=1}^s \mathbb{P}[X = x_i] \log_2 \frac{1}{\mathbb{P}[X = x_i]}$$

Let  $Y$  be another random variable in the same probability space as  $X$ , taking values from a finite set  $\{y_1, \dots, y_t\}$ . Then the conditional entropy of  $X$  conditioned on  $Y$ ,  $H(X | Y)$ , is defined as follows.

► **Definition 3.**

$$H(X | Y) = \sum_{i=1}^t \mathbb{P}[Y = y_i] \cdot H(X | Y = y_i)$$

We next define the binary entropy function  $H_b(\cdot)$ .

► **Definition 4** (Binary entropy). For  $p \in (0, 1)$ , the binary entropy of  $p$ ,  $H_b(p)$ , is defined to be the Shannon entropy of a random variable taking two distinct values with probabilities  $p$  and  $1 - p$ .

$$H_b(p) := p \log_2 \frac{1}{p} + (1 - p) \log_2 \frac{1}{1 - p}.$$

The following properties of entropy and conditional entropy will be useful.

► **Fact 5.** (1) Let  $X$  be a random variable supported on a finite set  $\mathcal{A}$ , and let  $Y$  be another random variable in the same probability space. Then  $0 \leq H(X | Y) \leq H(X) \leq \log_2 |\mathcal{A}|$ .  
 (2) (*Sub-additivity of conditional entropy*). Let  $X_1, \dots, X_n$  be  $n$  jointly distributed random variables in some probability space, and let  $Y$  be another random variable in the same probability space, all taking values in finite domains. Then,

$$H(X_1, \dots, X_n | Y) \leq \sum_{i=1}^n H(X_i | Y).$$

(3) Let  $X_1, \dots, X_n$  are independent random variables taking values in finite domains. Then,

$$H(X_1, \dots, X_n) = \sum_{i=1}^n H(X_i).$$



1048 (4) (Taylor expansion of binary entropy in the neighbourhood of  $\frac{1}{2}$ ).

$$1049 \quad H_b(p) = 1 - \frac{1}{2 \log_e 2} \sum_{n=1}^{\infty} \frac{(1-2p)^{2n}}{n(2n-1)}$$

1050 ► **Definition 6** (Mutual information). Let  $X$  and  $Y$  be two random variables in the same  
 1051 probability space, taking values from finite sets. The mutual information between  $X$  and  $Y$ ,  
 1052  $I(X; Y)$ , is defined as follows.

$$1053 \quad I(X; Y) := H(X) - H(X | Y).$$

1054 It can be shown that  $I(X; Y)$  is symmetric in  $X$  and  $Y$ , i.e.  $I(X; Y) = I(Y; X) = H(Y) -$   
 1055  $H(Y | X)$ .

1056 The following observation follows immediately from the first inequality of Fact 5 (1).

1057 ► **Observation 7.** For any two random variables  $X$  and  $Y$ ,  $I(X; Y) \leq H(X)$ .

## 1058 A.1 Proof of Fact 16

1059 Let  $\mathbb{E}X = \delta$ . Then,

$$1060 \quad H(X) = \begin{cases} 1 & \text{with probability } \frac{1}{2} + \frac{\delta}{2} \\ -1 & \text{with probability } \frac{1}{2} - \frac{\delta}{2} \end{cases}$$

1061 So,

$$\begin{aligned} 1062 \quad H(X) &= H_b\left(\frac{1}{2} + \frac{\delta}{2}\right) \\ 1063 \quad &= 1 - \frac{1}{2 \log_e 2} \sum_{n=1}^{\infty} \frac{\delta^{2n}}{n(2n-1)} \quad (\text{From Fact 5 (4)}) \\ 1064 \quad &\leq 1 - \frac{\delta^2}{2}. \\ 1065 \end{aligned}$$

## 1066 B Deterministic $\mathbb{F}_2$ -sketching

1067 In the deterministic case it will be convenient to represent  $\mathbb{F}_2$ -sketch of a function  $f: \mathbb{F}_2^n \rightarrow \mathbb{F}_2$   
 1068 as a  $d \times n$  matrix  $M_f \in \mathbb{F}_2^{d \times n}$  that we call the *sketch matrix*. The  $d$  rows of  $M_f$  correspond  
 1069 to vectors  $\alpha_1, \dots, \alpha_d$  used in the deterministic sketch so that the sketch can be computed  
 1070 as  $M_f x$ . W.l.o.g below we will assume that the sketch matrix  $M_f$  has linearly independent  
 1071 rows and that the number of rows in it is the smallest possible among all sketch matrices  
 1072 (ties in the choice of the sketch matrix are broken arbitrarily).

1073 The following fact is standard (see e.g. [39, 16]):

1074 ► **Fact 8.** For any function  $f: \mathbb{F}_2^n \rightarrow \mathbb{F}_2$  it holds that  $D^{lin}(f) = \dim(f) = \text{rank}(M_f)$ .  
 1075 Moreover, set of rows of  $M_f$  forms a basis for a subspace  $A \leq \mathbb{F}_2^n$  containing all non-zero  
 1076 coefficients of  $f$ .

## 1077 B.1 Disperser argument

1078 We show that the following basic relationship holds between deterministic linear sketching  
 1079 complexity and the property of being an affine disperser. For randomized  $\mathbb{F}_2$ -sketching an  
 1080 analogous statement holds for affine extractors as shown in Lemma 16.

1081 ► **Definition 9** (Affine disperser). A function  $f$  is an affine disperser of dimension at least  $d$  if  
 1082 for any affine subspace of  $\mathbb{F}_2^n$  of dimension at least  $d$  the restriction of  $f$  on it is a non-constant  
 1083 function.

1084 ► **Lemma 10.** Any function  $f: \mathbb{F}_2^n \rightarrow \mathbb{F}_2$  which is an affine disperser of dimension at least  $d$   
 1085 has deterministic linear sketching complexity at least  $n - d + 1$ .

1086 **Proof.** Assume for the sake of contradiction that there exists a linear sketch matrix  $M_f$  with  
 1087  $k \leq n - d$  rows and a deterministic function  $g$  such that  $g(M_f x) = f(x)$  for every  $x \in \mathbb{F}_2^n$ .  
 1088 For any vector  $b \in \mathbb{F}_2^k$ , which is in the span of the columns of  $M_f$ , the set of vectors  $x$  which  
 1089 satisfy  $M_f x = b$  forms an affine subspace of dimension at least  $n - k \geq d$ . Since  $f$  is an  
 1090 affine disperser for dimension at least  $d$  the restriction of  $f$  on this subspace is non-constant.  
 1091 However, the function  $g(M_f x) = g(b)$  is constant on this subspace and thus there exists  $x$   
 1092 such that  $g(M_f x) \neq f(x)$ , a contradiction. ■

## 1093 B.2 Composition and convolution

1094 In order to prove a composition theorem for  $D^{lin}$  we introduce the following operation on  
 1095 matrices which for a lack of a better term we call matrix super-slam<sup>16</sup>.

1096 ► **Definition 11** (Matrix super-slam). For two matrices  $A \in \mathbb{F}_2^{a \times n}$  and  $B \in \mathbb{F}_2^{b \times m}$  their  
 1097 super-slam  $A \dagger B \in \mathbb{F}_2^{ab \times nm}$  is a block matrix consisting of  $a$  blocks  $(A \dagger B)_i$ . The  $i$ -th  
 1098 block  $(A \dagger B)_i \in \mathbb{F}_2^{b \times nm}$  is constructed as follows: for every vector  $j \in \{1, \dots, b\}^n$  the  
 1099 corresponding row of  $(A \dagger B)_i$  is defined as  $(A_{i,1}B_{j_1}, A_{i,2}B_{j_2}, \dots, A_{i,n}B_{j_n})$ , where  $B_k$  denotes  
 1100 the  $k^{th}$  row of  $B$ .

1101 ► **Proposition 12.**  $rank(A \dagger B) \geq rank(A)rank(B)$ .

1102 **Proof.** Consider the matrix  $C$  which is a subset of rows of  $A \dagger B$  where from each block  $(A \dagger B)_i$   
 1103 we select only  $b$  rows corresponding to the vectors  $j$  of the form  $\alpha^n$  for all  $\alpha \in \{1, \dots, b\}$ .  
 1104 Note that  $C \in \mathbb{F}_2^{ab \times mn}$  and  $C_{(i,k),(j,l)} = A_{i,j}B_{k,l}$ . Hence,  $C$  is a Kronecker product of  $A$  and  
 1105  $B$  and we have:

$$1106 \quad rank(A \dagger B) \geq rank(C) = rank(A)rank(B). \quad \blacksquare$$

1108 The following composition theorem for  $D^{lin}$  holds as long as the inner function is balanced:

1109 ► **Lemma 13.** For  $f: \mathbb{F}_2^n \rightarrow \mathbb{F}_2$  and  $g: \mathbb{F}_2^m \rightarrow \mathbb{F}_2$  if  $g$  is a balanced function then:

$$1110 \quad D^{lin}(f \circ g) \geq D^{lin}(f)D^{lin}(g)$$

1112 **Proof.** The multilinear expansions of  $f$  and  $g$  are given as  $f(y) = \sum_{S \in \mathbb{F}_2^n} \hat{f}(S)\chi_S(y)$  and  
 1113  $g(y) = \sum_{S \in \mathbb{F}_2^m} \hat{g}(S)\chi_S(y)$ . The multilinear expansion of  $f \circ g$  can be obtained as follows. For  
 1114 each monomial  $\hat{f}(S)\chi_S(y)$  in the multilinear expansion of  $f$  and each variable  $y_i$  substitute  
 1115  $y_i$  by the multilinear expansion of  $g$  on a set of variables  $x_{m(i-1)+1, \dots, mi}$ . Multiplying all  
 1116 these multilinear expansions corresponding to the term  $\hat{f}(S)\chi_S$  gives a polynomial which is  
 1117 a sum of at most  $b^n$  monomials where  $b$  is the number of non-zero Fourier coefficients of  $g$ .  
 1118 Each such monomial is obtained by picking one monomial from the multilinear expansions  
 1119 corresponding to different variables in  $\chi_S$  and multiplying them. Note that there are no

<sup>16</sup>This name was suggested by Chris Ramsey.

cancellations between the monomials corresponding to a fixed  $\chi_S$ . Moreover, since  $g$  is balanced and thus  $\hat{g}(\emptyset) = 0$  all monomials corresponding to different characters  $\chi_S$  and  $\chi_{S'}$  are unique since  $S$  and  $S'$  differ on some variable and substitution of  $g$  into that variable doesn't have a constant term but introduces new variables. Thus, the characteristic vectors of non-zero Fourier coefficients of  $f \circ g$  are the same as the set of rows of the super-slam of the sketch matrices  $M_f$  and  $M_g$  (note, that in the super-slam some rows can be repeated multiple times but after removing duplicates the set of rows of the super-slam and the set of characteristic vectors of non-zero Fourier coefficients of  $f \circ g$  are exactly the same). Using Proposition 12 and Fact 8 we have:

$$D^{lin}(f \circ g) = \text{rank}(M_{f \circ g}) = \text{rank}(M_f \uparrow M_g) \geq \text{rank}(M_f) \text{rank}(M_g) = D^{lin}(f) D^{lin}(g).$$

1130  
1131

Deterministic  $\mathbb{F}_2$ -sketch complexity of convolution satisfies the following property:

► **Proposition 14.**  $D^{lin}(f * g) \leq \min(D^{lin}(f), D^{lin}(g))$ .

**Proof.** The Fourier spectrum of convolution is given as  $\widehat{f * g}(S) = \hat{f}(S) \hat{g}(S)$ . Hence, the set of non-zero Fourier coefficients of  $f * g$  is the intersection of the sets of non-zero coefficients of  $f$  and  $g$ . Thus by Fact 8 we have  $D^{lin}(f * g) \leq \min(\text{rank}(M_f, M_g)) = \min(D^{lin}(f), D^{lin}(g))$ .

1137

## C Randomized $\mathbb{F}_2$ -sketching

We represent randomized  $\mathbb{F}_2$ -sketches as distributions over  $d \times n$  matrices over  $\mathbb{F}_2$ . For a fixed such distribution  $\mathcal{M}_f$  the randomized sketch is computed as  $\mathcal{M}_f x$ . If the set of rows of  $\mathcal{M}_f$  satisfies Definition 1 for some reconstruction function  $g$  then we call it a *randomized sketch matrix* for  $f$ .

### C.1 Extractor argument

We now establish a connection between randomized  $\mathbb{F}_2$ -sketching and affine extractors which will be used to show that the converse of Part 1 of Theorem 14 doesn't hold for arbitrary distributions.

► **Definition 15** (Affine extractor). A function  $f : \mathbb{F}_2^n \rightarrow \mathbb{F}_2$  is an affine  $\delta$ -extractor if for any affine subspace  $A$  of  $\mathbb{F}_2^n$  of dimension at least  $d$  it satisfies:

$$\min_{z \in \{0,1\}} \Pr_{x \sim U(A)} [f(x) = z] > \delta.$$

► **Lemma 16.** For any  $f : \mathbb{F}_2^n \rightarrow \mathbb{F}_2$  which is an affine  $\delta$ -extractor of dimension at least  $d$  it holds that:

$$R_\delta^{lin}(f) \geq n - d + 1.$$

**Proof.** For the sake of contradiction assume that there exists a randomized linear sketch with a reconstruction function  $g : \mathbb{F}_2^k \rightarrow \mathbb{F}_2$  and a randomized sketch matrix  $\mathcal{M}_f$  which is a distribution over matrices with  $k \leq n - d$  rows. First, we show that:

$$\Pr_{x \sim U(\mathbb{F}_2^n) M \sim \mathcal{M}_f} [g(Mx) \neq f(x)] > \delta.$$

Indeed, fix any matrix  $M \in \text{supp}(\mathcal{M}_f)$ . For any affine subspace  $\mathcal{S}$  of the form  $\mathcal{S} = \{x \in \mathbb{F}_2^n \mid Mx = b\}$  of dimension at least  $n - k \geq d$  we have that  $\min_{z \in \{0,1\}} \Pr_{x \sim U(\mathcal{S})} [f(x) = z] > \delta$ .

1154 This implies that  $\Pr_{x \sim U(S)}[f(x) \neq g(Mx)] > \delta$ . Summing over all subspaces corresponding  
 1155 to the fixed  $M$  and all possible choices of  $b$  we have that  $\Pr_{x \sim U(\mathbb{F}_2^n)}[f(x) \neq g(Mx)] > \delta$ .  
 1156 Since this holds for any fixed  $M$  the bound follows.

1157 Using the above observation it follows by averaging over  $x \in \{0, 1\}^n$  that there exists  
 1158  $x^* \in \{0, 1\}^n$  such that:

$$1159 \Pr_{M \sim \mathcal{M}_f} [g(Mx^*) \neq f(x^*)] > \delta.$$

1161 This contradicts the assumption that  $\mathcal{M}_f$  and  $g$  form a randomized linear sketch of dimension  
 1162  $k \leq n - d$ . ■

1163 ► **Fact 17.** The inner product function  $IP(x_1, \dots, x_n) = \sum_{i=1}^{n/2} x_{2i-1} \wedge x_{2i}$  is an  $(1/2 - \epsilon)$ -  
 1164 extractor for affine subspaces of dimension  $\geq (1/2 + \alpha)n$  where  $\epsilon = \exp(-\alpha n)$ .

1165 ► **Corollary 18.** *Randomized linear sketching complexity of the inner product function is at*  
 1166 *least  $n/2 - O(1)$ .*

1167 ► **Remark.** We note that the extractor argument of Lemma 16 is often much weaker than the  
 1168 arguments we give in Part 2 and Part 3 Theorem 14 and wouldn't suffice for our applications  
 1169 in Section 4. In fact, the extractor argument is too weak even for the majority function  
 1170  $Maj_n$ . If the first  $100\sqrt{n}$  variables of  $Maj_n$  are fixed to 0 then the resulting restriction has  
 1171 value 0 with probability  $1 - e^{-\Omega(n)}$ . Hence for constant error  $Maj_n$  isn't an extractor for  
 1172 dimension greater than  $100\sqrt{n}$ . However, as shown in Section 4.3 for constant error  $\mathbb{F}_2$ -sketch  
 1173 complexity of  $Maj_n$  is linear.

## 1174 C.2 Existential lower bound for arbitrary distributions

1175 Now we are ready to show that an analog of Part 1 of Theorem 14 doesn't hold for arbitrary  
 1176 distributions, i.e. concentration on a low-dimensional linear subspace doesn't imply existence  
 1177 of randomized linear sketches of small dimension.

1178 ► **Lemma 19.** *For any fixed constant  $\epsilon > 0$  there exists a function  $f: \mathbb{F}_2^n \rightarrow \{+1, -1\}$  such*  
 1179 *that  $R_{\epsilon/8}^{lin}(f) \geq n - 3 \log n$  such that  $f$  is  $(1 - 2\epsilon)$ -concentrated on the 0-dimensional linear*  
 1180 *subspace.*

1181 **Proof.** The proof is based on probabilistic method. Consider a distribution over functions  
 1182 from  $\mathbb{F}_2^n$  to  $\{+1, -1\}$  which independently assigns to each  $x$  value 1 with probability  $1 - \epsilon/4$   
 1183 and value  $-1$  with probability  $\epsilon/4$ . By a Chernoff bound with probability  $e^{-\Omega(\epsilon 2^n)}$  a random  
 1184 function  $f$  drawn from this distribution has at least an  $\epsilon/2$ -fraction of  $-1$  values and hence  
 1185  $\hat{f}(\emptyset) = \frac{1}{2^n} \sum_{\alpha \in \mathbb{F}_2^n} f(\alpha) \geq 1 - \epsilon$ . This implies that  $\hat{f}(\emptyset)^2 \geq (1 - \epsilon)^2 \geq 1 - 2\epsilon$  so  $f$  is  $(1 - 2\epsilon)$ -  
 1186 concentrated on a linear subspace of dimension 0. However, as we show below the randomized  
 1187 sketching complexity of some functions in the support of this distribution is large.

1188 The total number of affine subspaces of codimension  $d$  is at most  $(2 \cdot 2^n)^d = 2^{(n+1)d}$  since  
 1189 each such subspace can be specified by  $d$  vectors in  $\mathbb{F}_2^n$  and a vector in  $\mathbb{F}_2^d$ . The number  
 1190 of vectors in each such affine subspace is  $2^{n-d}$ . The probability that less than  $\epsilon/8$  fraction  
 1191 of inputs in a fixed subspace have value  $-1$  is by a Chernoff bound at most  $e^{-\Omega(\epsilon 2^{n-d})}$ .  
 1192 By a union bound the probability that a random function takes value  $-1$  on less than  $\epsilon/8$   
 1193 fraction of the inputs in any affine subspace of codimension  $d$  is at most  $e^{-\Omega(\epsilon 2^{n-d})} 2^{(n+1)d}$ .  
 1194 For  $d \leq n - 3 \log n$  this probability is less than  $e^{-\Omega(\epsilon n)}$ . By a union bound, the probability  
 1195 that a random function is either not an  $\epsilon/8$ -extractor or isn't  $(1 - 2\epsilon)$ -concentrated on  $\hat{f}(\emptyset)$   
 1196 is at most  $e^{-\Omega(\epsilon n)} + e^{-\Omega(\epsilon 2^n)} \ll 1$ . Thus, there exists a function  $f$  in the support of our

distribution which is an  $\epsilon/8$ -extractor for any affine subspace of dimension at least  $3 \log n$  while at the same time is  $(1 - 2\epsilon)$ -concentrated on a linear subspace of dimension 0. By Lemma 16 there is no randomized linear sketch of dimension less than  $n - 3 \log n$  for  $f$  which errs with probability less than  $\epsilon/8$ . ■

### 1201 C.3 Random $\mathbb{F}_2$ -sketching

1202 The following result is folklore as it corresponds to multiple instances of the communication  
1203 protocol for the equality function [28, 11] and can be found e.g. in [39] (Proposition 11). We  
1204 give a proof for completeness.

► **Fact 20.** A function  $f : \mathbb{F}_2^n \rightarrow \mathbb{F}_2$  such that  $\min_{z \in \{0,1\}} \Pr_x[f(x) = z] \leq \epsilon$  satisfies

$$R_\delta^{\text{lin}}(f) \leq \log \frac{\epsilon 2^{n+1}}{\delta}.$$

1205 **Proof.** We assume that  $\arg\min_{z \in \{0,1\}} \Pr_x[f(x) = z] = 1$  as the other case is symmetric.  
1206 Let  $T = \{x \in \mathbb{F}_2^n \mid f(x) = 1\}$ . For every two inputs  $x \neq x' \in T$  a random  $\mathbb{F}_2$ -sketch  $\chi_\alpha$  for  
1207  $\alpha \sim U(\mathbb{F}_2^n)$  satisfies  $\Pr[\chi_\alpha(x) \neq \chi_\alpha(x')] = 1/2$ . If we draw  $t$  such sketches  $\chi_{\alpha_1}, \dots, \chi_{\alpha_t}$  then  
1208  $\Pr[\chi_{\alpha_i}(x) = \chi_{\alpha_i}(x'), \forall i \in [t]] = 1/2^t$ . For any fixed  $x \in T$  we have:

$$1209 \Pr[\exists x' \neq x \in T \forall i \in [t] : \chi_{\alpha_i}(x) = \chi_{\alpha_i}(x')] \leq \frac{|T| - 1}{2^t} \leq \frac{\epsilon 2^n}{2^t} \leq \frac{\delta}{2}.$$

1211 Conditioned on the negation of the event above for a fixed  $x \in T$  the domain of  $f$  is  
1212 partitioned by the linear sketches into affine subspaces such that  $x$  is the only element of  $T$  in  
1213 the subspace that contains it. We only need to ensure that we can sketch  $f$  on this subspace  
1214 which we denote as  $\mathcal{A}$ . On this subspace  $f$  is isomorphic to an OR function (up to taking  
1215 negations of some of the variables) and hence can be sketched using  $O(\log 1/\delta)$  uniformly  
1216 random sketches with probability  $1 - \delta/2$ . For the OR-function existence of the desired  
1217 protocol is clear since we just need to verify whether there exists at least one coordinate of  
1218 the input that is set to 1. In case it does exist a random sketch contains this coordinate with  
1219 probability  $1/2$  and hence evaluates to 1 with probability at least  $1/4$ . Repeating  $O(\log 1/\delta)$   
1220 times the desired guarantee follows. ■

### 1221 D Towards the proof of Conjecture 3

We call a function  $f : \mathbb{F}_2^n \rightarrow \{+1, -1\}$  *non-linear* if for all  $S \in \mathbb{F}_2^n$  there exists  $x \in \mathbb{F}_2^n$  such that  $f(x) \neq \chi_S(x)$ . Furthermore, we say that  $f$  is  $\epsilon$ -far from being linear if:

$$\max_{S \in \mathbb{F}_2^n} \left[ \Pr_{x \sim U(\mathbb{F}_2^n)} [\chi_S(x) = f(x)] \right] = 1 - \epsilon.$$

1222 The following theorem is our first step towards resolving Conjecture 3. Since non-linear  
1223 functions don't admit 1-bit linear sketches we show that the same is also true for the  
1224 corresponding communication complexity problem, namely no 1-bit communication protocol  
1225 for such functions can succeed with a small constant error probability.

1226 ► **Theorem 21.** For any non-linear function  $f$  that is at most  $1/10$ -far from linear  $\mathcal{D}_{1/200}^{\rightarrow}(f^+)$   
1227  $> 1$ .

1228 **Proof.** Let  $S = \arg \max_T [\Pr_{x \in \mathbb{F}_2^n} [\chi_T(x) = f(x)]]$ . Pick  $z \in \mathbb{F}_2^n$  such that  $f(z) \neq \chi_S(z)$ . Let  
1229 the distribution over the inputs  $(x, y)$  be as follows:  $y \sim U(\mathbb{F}_2^n)$  and  $x \sim \mathcal{D}_y$  where  $\mathcal{D}_y$  is

defined as:

$$D_y = \begin{cases} y + z \text{ with probability } 1/2, \\ U(\mathbb{F}_2^n) \text{ with probability } 1/2. \end{cases}$$

Fix any deterministic Boolean function  $M(x)$  that is used by Alice to send a one-bit message based on her input. For a fixed Bob's input  $y$  he outputs  $g_y(M(x))$  for some function  $g_y$  that can depend on  $y$ . Thus, the error that Bob makes at predicting  $f$  for fixed  $y$  is at least:

$$\frac{1 - |\mathbb{E}_{x \sim D_y} [g_y(M(x))f(x+y)]|}{2}.$$

The key observation is that since Bob only receives a single bit message there are only four possible functions  $g_y$  to consider for each  $y$ : constants  $-1/1$  and  $\pm M(x)$ .

#### Bounding error for constant estimators.

For both constant functions we introduce notation  $B_y^c = |\mathbb{E}_{x \sim D_y} [g_y(M(x))f(x+y)]|$  and have:

$$B_y^c = |\mathbb{E}_{x \sim D_y} [g_y(M(x))f(x+y)]| = |\mathbb{E}_{x \sim D_y} [f(x+y)]| = \left| \frac{1}{2}f(z) + \frac{1}{2}\mathbb{E}_{w \sim U(\mathbb{F}_2^n)}[f(w)] \right|$$

If  $\chi_S$  is not constant then  $|\mathbb{E}_{w \sim U(\mathbb{F}_2^n)}[f(w)]| \leq 2\epsilon$  we have:

$$\left| \frac{1}{2}f(z) + \frac{1}{2}\mathbb{E}_{w \sim U(\mathbb{F}_2^n)}[f(w)] \right| \leq \frac{1}{2}(|f(z)| + |\mathbb{E}_{w \sim U(\mathbb{F}_2^n)}[f(w)]|) \leq 1/2 + \epsilon.$$

If  $\chi_S$  is a constant then w.l.o.g  $\chi_S = 1$  and  $f(z) = -1$ . Also  $\mathbb{E}_{w \sim U(\mathbb{F}_2^n)}[f(w)] \geq 1 - 2\epsilon$ . Hence we have:

$$\left| \frac{1}{2}f(z) + \frac{1}{2}\mathbb{E}_{w \sim U(\mathbb{F}_2^n)}[f(w)] \right| = \frac{1}{2}|-1 + \mathbb{E}_{w \sim U(\mathbb{F}_2^n)}[f(w)]| \leq \epsilon.$$

Since  $\epsilon \leq 1/10$  in both cases  $B_y^c \leq \frac{1}{2} + \epsilon$  which is the bound we will use below.

#### Bounding error for message-based estimators.

For functions  $\pm M(x)$  we need to bound  $|\mathbb{E}_{x \sim D_y} [M(x)f(x+y)]|$ . We denote this expression as  $B_y^M$ . Proposition 22 shows that  $\mathbb{E}_y[B_y^M] \leq \frac{\sqrt{2}}{2}(1 + \epsilon)$ .

► **Proposition 22.**  $\mathbb{E}_{y \sim U(\mathbb{F}_2^n)} [|\mathbb{E}_{x \sim D_y} [M(x)f(x+y)]|] \leq \frac{\sqrt{2}}{2}(1 + \epsilon)$ .

We have:

$$\begin{aligned} & \mathbb{E}_y [|\mathbb{E}_{x \sim D_y} [M(x)f(x+y)]|] \\ &= \mathbb{E}_y \left[ \left| \frac{1}{2} (M(y+z)f(z) + \mathbb{E}_{x \sim D_y} [M(x)f(x+y)]) \right| \right] \\ &= \frac{1}{2} \mathbb{E}_y [|(M(y+z)f(z) + (M * f)(y))|] \\ &\leq \frac{1}{2} \left( \mathbb{E}_y [((M(y+z)f(z) + (M * f)(y)))^2] \right)^{1/2} \\ &= \frac{1}{2} \left( \mathbb{E}_y [((M(y+z)f(z))^2 + ((M * f)(y))^2 + 2M(y+z)f(z)(M * f)(y))] \right)^{1/2} \\ &= \frac{1}{2} \left( \mathbb{E}_y [((M(y+z)f(z))^2] + \mathbb{E}_y [((M * f)(y))^2] + \right. \\ & \quad \left. 2\mathbb{E}_y [M(y+z)f(z)(M * f)(y))] \right)^{1/2} \end{aligned}$$

We have  $(M(y+z)f(z))^2 = 1$  and also by Parseval, expression for the Fourier spectrum of convolution and Cauchy-Schwarz:

$$\mathbb{E}_y[(M * f)(y)]^2 = \sum_{S \in \mathbb{F}_2^n} \widehat{M * f}(S)^2 = \sum_{S \in \mathbb{F}_2^n} \widehat{M}(S)^2 \widehat{f}(S)^2 \leq \|M\|_2 \|f\|_2 = 1$$

Thus, it suffices to give a bound on  $\mathbb{E}[M(y+z)f(z)(M * f)(y)]$ . First we give a bound on  $(M * f)(y)$ :

$$(M * f)(y) = \mathbb{E}_x[M(x)f(x+y)] \leq \mathbb{E}_x[M(x)\chi_S(x+y)] + 2\epsilon$$

Plugging this in we have:

Plugging this in we have:

$$\begin{aligned} & \mathbb{E}_y[M(y+z)f(z)(M * f)(y)] \\ &= -\chi_S(z)\mathbb{E}_y[M(y+z)(M * f)(y)] \\ &\leq -\chi_S(z)\mathbb{E}_y[M(y+z)(M * \chi_S)(y)] + 2\epsilon \\ &= -\chi_S(z)(M * (M * \chi_S))(z) + 2\epsilon \\ &= -\chi_S(z)^2 \hat{M}(S)^2 + 2\epsilon \\ &\leq 2\epsilon. \end{aligned}$$

where we used the fact that the Fourier spectrum of  $(M * (M * \chi_S))$  is supported on  $S$  only and  $M * (\widehat{M * \chi_S})(S) = \hat{M}^2(S)$  and thus  $(M * (M * \chi_S))(z) = \hat{M}^2(S)\chi_S(z)$ .

Thus, overall, we have:

$$\mathbb{E}_y[|\mathbb{E}_{x \sim D_y}[M(x)f(x+y)]|] \leq \frac{1}{2}\sqrt{2+4\epsilon} \leq \frac{\sqrt{2}}{2}(1+\epsilon). \quad \blacksquare$$

### Putting things together.

We have that the error that Bob makes is at least:

$$\mathbb{E}_y \left[ \frac{1 - \max(B_y^c, B_y^M)}{2} \right] = \frac{1 - \mathbb{E}_y[\max(B_y^c, B_y^M)]}{2}$$

Below we now bound  $\mathbb{E}_y[\max(B_y^c, B_y^M)]$  from above by 99/100 which shows that the error is at least 1/200.

$$\begin{aligned} & \mathbb{E}_y[\max(B_y^c, B_y^M)] \\ &= \Pr[B_y^M \geq 1/2 + \epsilon] \mathbb{E}[B_y^M | B_y^M \geq 1/2 + \epsilon] + \Pr[B_y^M < 1/2 + \epsilon] \left( \frac{1}{2} + \epsilon \right) \\ &= \mathbb{E}_y[B_y^M] + \Pr[B_y^M < 1/2 + \epsilon] \left( \frac{1}{2} + \epsilon - \mathbb{E}[B_y^M | B_y^M < 1/2 + \epsilon] \right) \end{aligned}$$

Let  $\delta = \Pr[B_y^M < 1/2 + \epsilon]$ . Then the first of the expressions above gives the following bound:

$$\mathbb{E}_y[\max(B_y^c, B_y^M)] \leq (1 - \delta) + \delta \left( \frac{1}{2} + \epsilon \right) = 1 - \frac{\delta}{2} + \epsilon\delta \leq 1 - \frac{\delta}{2} + \epsilon$$

The second expression gives the following bound:

$$\mathbb{E}_y[\max(B_y^c, B_y^M)] \leq \frac{\sqrt{2}}{2}(1+\epsilon) + \delta \left( \frac{1}{2} + \epsilon \right) \leq \frac{\sqrt{2}}{2} + \frac{\delta}{2} + \frac{\sqrt{2}}{2}\epsilon + \epsilon.$$



1304 These two bounds are equal for  $\delta = 1 - \frac{\sqrt{2}}{2}(1 + \epsilon)$  and hence the best of the two bounds  
 1305 is always at most  $(\frac{\sqrt{2}}{4} + \frac{1}{2}) + \epsilon \left(\frac{\sqrt{2}}{4} + 1\right) \leq \frac{99}{100}$  where the last inequality uses the fact that  
 1306  $\epsilon \leq \frac{1}{10}$ .

## 1307 **E Auxiliary Proofs**

### 1308 **E.1 Proof of Proposition 17**

1309 Without loss of generality assume that  $p = \Pr[X = 1]$

$$\begin{aligned}
 1310 \quad \text{Var}[X] &= \mathbb{E}[X^2] - (\mathbb{E}[X])^2 \\
 1311 \quad &= 1 - (\mathbb{E}[X])^2 \quad (X^2 = 1 \text{ as } X \text{ is supported on } \{1, -1\}) \\
 1312 \quad &= 1 - (p \cdot 1 + (1 - p)(-1))^2 \\
 1313 \quad &= 1 - (2p - 1)^2 \\
 1314 \quad &= 4p(1 - p) \\
 1315
 \end{aligned}$$

1316 Since  $p \leq \frac{1}{2}$ ,  $4(1 - p) \in [2, 4]$  and the proposition follows.

### 1317 **E.2 Proof of Lemma 21**

1318 Let  $p \in \mathbb{F}_2[x_1, \dots, x_n]$  be the  $\mathbb{F}_2$ -polynomial corresponding to  $f$ . Fix one monomial  $\mathcal{M} =$   
 1319  $\prod_{i \in S} x_i$  of the largest degree. Thus  $|S| = d$ . We will show that for each assignment  $a_{\bar{S}}$  to the  
 1320 variables outside of  $S$ , there is an assignment  $a_S$  to the variables in  $S$  such that  $p(a_S, a_{\bar{S}}) = 1$ .  
 1321 This will prove that there are at least  $2^{n-d}$  assignments on which  $p$  evaluates to 1, and will  
 1322 thus imply the lemma.

1323 To this end, fix an assignment  $a_{\bar{S}}$  to the variables in  $\bar{S}$ . Let  $p|_{\bar{S} \leftarrow a_{\bar{S}}}$  be the polynomial  
 1324 obtained from  $p$  by setting the variables in  $\bar{S}$  according to  $a_{\bar{S}}$ . Notice that since  $\mathcal{M}$  was a  
 1325 monomial of largest degree in  $p$ ,  $\mathcal{M}$  continues to be a monomial in  $p|_{\bar{S} \leftarrow a_{\bar{S}}}$ . Thus  $p|_{\bar{S} \leftarrow a_{\bar{S}}}$  is  
 1326 a non-constant polynomial in the variables  $\{x_i \mid i \in S\}$ . In particular, this implies that there  
 1327 exists an assignment  $a_S$  to the variables in  $S$ , such that  $p|_{\bar{S} \leftarrow a_{\bar{S}}}(a_S) = 1$  (see the discussion  
 1328 in the paragraph after fact 20). This in turn implies that  $p(a_S, a_{\bar{S}}) = 1$ .