Huilin Ye<sup>1</sup>, Zhiqiang Shen<sup>1</sup>, and Ying Li<sup>1,2</sup>

<sup>4</sup> <sup>1</sup>Department of Mechanical Engineering, University of Connecticut, 191 Auditorium Road, Unit
 <sup>5</sup> 3139, Storrs, Connecticut 06269, United States, Email: yingli@engr.uconn.edu
 <sup>6</sup> <sup>2</sup>Institute of Materials Science, University of Connecticut, 97 North Eagleville Road, Unit 3136,
 <sup>7</sup> Storrs, Connecticut 06269, United States

#### 8 ABSTRACT

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We explore the shape effect of micro-particles (MPs) on their margination behaviors in blood 9 flow through three-dimensional numerical simulations. Eight different shapes of MPs are consid-10 ered with identical volume, such as sphere, rod, cubic, disk, oblate and prolate with different aspect 11 ratios. These MPs are immersed in the blood plasma, which is consists of suspension of RBCs. 12 A simple shear flow is applied with moderate shear rate (200  $s^{-1}$ ). The fluid flow and immersed 13 particles (RBCs and MPs) are solved by the Lattice Boltzmann method (LBM) and spring-lattice 14 model, respectively. The fluid-structure interaction is coupled by immersed boundary method. 15 Additionally, we adopt a stochastic model to capture the adhesive behavior of MPs near the vessel 16 wall for ligand-receptor binding. Without near-wall adhesion, the spherical particle demonstrate 17 the strongest margination in the blood flow. It can be attributed to the large collision displacement 18 with RBCs and small migration distance in cross-stream direction under shear flow of spherical 19 particles. Furthermore, under the influence of near-wall adhesion, the margination of different 20 shaped MPs is examined. Interestingly, the adhesion can either promote or impede the margina-21 tion behavior depending on the shapes of MPs. When the major axes of MPs is smaller than or 22 comparative to the thickness of the cell-free layer in the flow channel, the adhesion can promote 23

margination of these MPs. While for MPs with large major axes, due to the near-wall adhesion 24 effect, the reduce tumbling frequencies enable them to have enough time to interact with RBCs. In 25 turn, the long time interaction with RBCs can drag these MPs to the central stream of blood flow, 26 impeding their margination. However, the prolate particles demonstrate distinct behaviors. Apart 27 from tumbling, the transition to precession of prolate particles near the vessel wall results in the 28 enhancement of margination. Overall, the sphereical MP outperform other non-spherical MPs for 29 its high margination propensity under the influence of near-wall adhesion and moderate shear flow 30 rate. This study might offer theoretical guidance to design MP-based drug carriers in blood flow 31 with high efficacy. 32

#### 33 INTRODUCTION

The transport of particles in the flow is ubiquitous in nature and many industrial applications, 34 such as chemical, biological, and mechanical engineering. The cross-stream migration of a par-35 ticle in blood flow towards the periphery of vessel wall is well-known as margination(Firrell and 36 Lipowsky 1989). Margination play an important role in understanding physiological phenomena 37 and relevant diseases, such as atherosclerosis. For example, before leukocytes perform organism 38 defense functions near inflammation sites, they should firstly marginate to the vessel wall region, 39 adhere to vascular endothelium and transmigrate into the inflammatory tissues(Ley and Tedder 40 1995; Goldsmith and Spain 1984; Fedosov et al. 2012). The physical mechanisms of particles mov-41 ing close to the endothelium and then adhering on the vessel wall have be extensively investigated 42 and adopted in drug delivery system(Blanco et al. 2015; Müller et al. 2014; Müller et al. 2016; 43 Decuzzi et al. 2005; Li et al. 2014; Li et al. 2016). These understandings can also guide the design 44 of microfluidic devices for the removal of pathogens and separation of circulating tumor cells (Hou 45 et al. 2010; Gossett et al. 2010; Bhagat et al. 2010; Li et al. 2015). 46

<sup>47</sup> Up to date, the fundamental mechanism of margination remains to be completely revealed. The <sup>48</sup> unique properties of blood, like the existence of a large number of red blood cells (RBCs), are <sup>49</sup> considered to play major roles(Farutin and Misbah 2013). For example, when a micro-particle <sup>50</sup> (MP) is injected into the blood flow, the dynamics of the MP is governed by the complex interplay

between hydrodynamic forces, near-wall lift force and adhesive interactions of ligands from MPs 51 with receptors on endothelium. Note that the Brownian motion, which is significant in nanoscale 52 phenomena, is not considered here due to the micro size of MP(Ramakrishnan et al. 2017; Ye 53 et al. 2018). Based on their dynamics, the physiological properties of MPs play different roles 54 during the margination. Size, shape, stiffness and surface functionalization of particle, namely 55 '4S' parameters, have been extensively studied and used in the optimal design of the particle-based 56 drug carriers in biomedical application(Decuzzi et al. 2009; Li et al. 2014; Li et al. 2016; Ye et al. 57 2018). For instance, Müller et al. 2014 found that micro-sized ellipsoidal particles outperformed 58 sub-micro spherical particles for drug delivery system by employing mesoscopic hydrodynamic 59 simulations using two- and three-dimensional models. 60

Among the '4S' parameters, shape effect attracts a broad attention in experimental, theoretical 61 and numerical studies. Without physical presence of RBCs, nonspherical particle (discoidal 62 particle in (Gentile et al. 2008) and rod particle in (Toy et al. 2011)) were found to marginate 63 better than spherical or hemispherical particles in experiments. Under theoretical analysis, the 64 discoidal particle exhibited the largest propensity to marginate in a linear laminar flow(Lee et al. 65 2009) and oblate particle was shown to adhere more effectively to the substrate comparing with 66 spherical particle(Decuzzi and Ferrari 2006). While in blood flow, RBCs occupy the most space 67 of the blood vessel. The volume fraction of RBCs is about 20  $\sim$  45% in the normal human 68 vasculature. The interaction between MPs and RBCs is unavoidable when MPs present in the 69 blood vessel. It was found that, presence of RBCs can lead to 50% higher binding rate for 70 nanoparticles to vessel wall comparing with the case without RBCs. Such a higher binding 71 rate was associated with high dispersion and margination of nanoparticles due to the tumbling 72 motion of RBCs(Tan et al. 2012). Further mathematical model proposed by Tokarev et al. 2011 73 revealed the complex characteristics of platelet margination, which was induced by the frequency 74 of near-wall rebounding collisions between platelets and RBCs. Also, starting from advection-75 diffusion equations considering the RBC-RBC collision, RBC-particle collision, and particle-76 particle collision, Qi and Shaqfeh 2017 presented the important roles of RBC deformability and 77

<sup>78</sup> hydrodynamic interaction in the accumulation of particle in near-wall region.

Nevertheless, local physiological environment of the blood flow varies from site to site. The 79 shear rate, hematocrit, and diameter of the vessel are not the same. Therefore, there is no consensus 80 on the influence of particle shape on their margination process. In the *in vivo* experiment(Decuzzi 81 et al. 2010), the discoidal particles were observed to accumulate more than others in most of the 82 organs. While in the liver, the cylindrical particles outperformed the others. Furthermore, the shear 83 rate ranges from tens in large veins to thousands in arterioles(Papaioannou and Stefanadis 2005). 84 MPs should demonstrate different behaviors in these vasculatures. (Thompson et al. 2013) found 85 that the margination and adhesion of MPs performs differently when varying the shear rate and 86 flow conditions (pulsatile flow and recirculation flow). In the low shear rate (200  $s^{-1}$ ), there was no 87 obvious difference among spherical and ellipsoidal particles. While under the high shear rate (500 88 and 1000  $s^{-1}$ ), the ellipsoidal particles exhibited high binding rate to vessel wall than spherical 89 ones. When considering the transport of MPs near the tumor sites, a relatively low shear rate 90 (~ 100  $s^{-1}$ ) should be considered(Lee et al. 2009). Therefore, in present work, a fixed shear rate 91  $200 \ s^{-1}$  is employed. The margination of MPs should be different from previous works performed 92 under a high shear rate(Vahidkhah and Bagchi 2015; Vahidkhah et al. 2014; Decuzzi et al. 2010; 93 Zhao and Shaqfeh 2011; Zhao et al. 2012). 94

Generally speaking, margination is regarded as the precondition of the near-wall adhesion(Müller 95 et al. 2016). While adhesion may, in turn, affect the margination process. Usually margination 96 is quantified by the number of particles moving into the cell-free layer (CFL), a thin layer near 97 blood vessel wall without presence of RBCs(Fåhræus and Lindqvist 1931). The thickness of the 98 CFL is typically about 2.0 ~ 4.5  $\mu m$  (Fedosov et al. 2010b). Micro size particle outperforms 99 nano size one during the margination process(Lee et al. 2013). Thus, the optimal size of particles 100 in margination can be comparable to the thickness of CFL. When particle moves near the CFl, 101 there exists a chance for particle to interact with the vessel wall through ligand-receptor binding 102 or non-specific interactions. Thus, adhesion should play an important role during the margination 103 of MPs. However, this margination to adhesion process rarely attracts attention in the past(Müller 104

et al. 2016). Researchers pay more attention to either margination or adhesion of particles in the
 blood flow (Vahidkhah and Bagchi 2015; Decuzzi and Ferrari 2006; Müller et al. 2014), not the
 whole process.

Considering the above aspect, present study focus on shape dependent transport of MPs in 108 blood flow, by simultaneously considering the margination and adhesion. Eight different shapes 109 of MPs are considered with identical volume, such as sphere, rod, cubic, disk, oblate and prolate 110 with different aspect ratios. The diameter of spherical MP is 2  $\mu m$  and its volume is about 111 4.18  $\mu m^3$ . We combine Lattice Boltzmann Method and molecular dynamics method to solve 112 fluid dynamics and particles (RBCs and MPs) motions, respectively. Immersed boundary (IB) 113 method is used to couple them together for fluid-structure interaction (FSI). IB method is firstly 114 proposed by Peskin(Peskin 1972) to study the flow pattern of blood in the heart. Afterwards, it is 115 further developed to simulate deformable objects immersed in the fluid flow (Peskin 2002; Krüger 116 et al. 2011; Feng and Michaelides 2004; Ye et al. 2017d). IB method is widely used due to its 117 simplicity in handling the FSI problem. IB method was a novel numerical scheme that the entire 118 simulation of the fluid flow was carried out on an Eulerian grid, which did not conform to the shape 119 of Lagrangian objects immersed in the flow(Mittal and Iaccarino 2005). Thus, comparing with 120 another approach, namely the Arbitrary-Lagrangian-Eulerian method (ALE) which is also widely 121 used to model fluid-structure interactions(Donea et al. 1982; Hughes et al. 1981; Belytschko et al. 122 2013), IB method can save the expensive computational cost used in the complex mesh generation 123 as well as the procedure to project solution onto newly generated grids. The primary advantage of 124 the IB method is that the grid generation has been greatly simplified. Generating body-conformal 125 structured or unstructured grid is very difficult before conducting simulation of the FSI. It needs to 126 on one side provide adequate local resolution, on the other hand expect minimum number of total 127 grid points. These conflicting requirements result in poor grid quality, which negatively impact 128 the accuracy and computation cost of the simulation(Ferziger and Peric 2012). Here IB method 129 can be considered as an interface between two independent solvers: fluid solver and solid solver. 130 These two solvers are decoupled due to the existence of IB method. Flow part is conducted on the 131

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Eulerian grids and solid part is carried out on Lagrangian grid. This results in a high degree of versatility to develop and implement various functions involving in the FSI (Mittal and Iaccarino 2005; Peskin 2002).

Additionally, we adopt a probabilistic model proposed by (Hammer and Lauffenburger 1987) to 135 represent the ligand-receptor binding process. First, the margination behavior of different shaped 136 MPs is investigated and used as a reference to explore the adhesion effect. We find that spherical 137 particle stands out due to its large collision displacement with RBCs and small shear stress induced 138 lateral migration to central stream. Afterwards, the margination behaviors of different shaped MPs 139 under influence of near-wall adhesion are obtained. Interestingly, the adhesion can either promote 140 or impede the margination behavior depending on the shapes of MPs. When the major axes of MPs 141 is smaller than or comparative to the thickness of the CFL, the adhesion can promote margination 142 of these MPs. While for MPs with large major axes, due to the near-wall adhesion effect, the reduce 143 tumbling frequencies enable them to have enough time to interact with RBCs. In turn, the long 144 time interaction with RBCs can drag these MPs to the central stream of blood flow, impeding their 145 margination. However, the prolate particles demonstrate distinct behaviors. Apart from tumbling, 146 the transition to precession of prolate particles near the vessel wall results in the enhancement of 147 margination. 148

This paper is organized as follows. Section 2 identifies the physical problem of MP transport in blood flow and describes numerical methods to solve fluid flow, particle dynamics and adhesion dynamics. Section 4 presents the margination and adhesion results, with a detailed discussion on their physical mechanisms. A short summary in Section 5 concludes this work.

#### 153 COMPUTATIONAL MODEL AND METHOD

#### <sup>154</sup> Physical problem of MP margination and adhesion

The MPs with encapsulated drug molecules are usually intravenously administrated into blood vessel and circulate with the blood flow. During this process, they may laterally migrate in the cross-stream direction, either moving to vessel wall or center of the vessel. This process is affected by collision with RBCs and shear stress exerted by the fluid flow. In the blood flow, most space <sup>159</sup> of the vessel is occupied by a large number of RBCs. In the normal human blood vasculature, the <sup>160</sup> volume fraction (hematocrit Ht) of RBCs is about  $20 \sim 45\%$  (Nadler et al. 1962). Therefore, the <sup>161</sup> MPs can collide very frequently with RBCs. Together with the influence of shear flow, MPs may <sup>162</sup> marginate from the central stream of blood to the region near the vessel wall (c.f.figure 1*b*).

A thin layer near vessel wall without RBCs, namely cell-free layer (CFL), can be formed due to 163 the deformability of RBCs. The formation of CFL plays a critical role as lubricant layer and reduces 164 the blood flow resistance, which is also called Fahraeus-Lindqvist effect (Fåhræus and Lindqvist 165 1931). If the MP moves into CFL, the ligands decorated on its surface will have the chance to 166 be captured by the receptors expressed on the endothelial cell from the vessel wall (figure 1a). 167 However, reaching CFL is not the precondition for this ligand-receptor binding. Only when the 168 MP is within a specific distance from the vessel wall, its ligands can interact with receptors. This 169 distance is determined by the reaction distance between ligands and receptors. Here we name the 170 thin layer within this distance as an adhesion layer ( $\chi$ ). The thickness of the adhesion layer is usually 171 about tens to hundreds nanometers (Decuzzi and Ferrari 2006; Müller et al. 2014; Müller et al. 172 2016). Here, we set it to be 800 nm, according to the reaction distance used in the ligand-receptor 173 binding model. It is a reasonable choice comparing with previous work of Müller et al. 2014. 174

The shape effect of MPs on their margination and adhesion is investigated systemically through 175 numerical simulations. We adopt a rectangular channel of height 36  $\mu m$ , width 27  $\mu m$  and length 176 54  $\mu m$  to model the vessel. Periodical boundary conditions are applied along width (x) and length 177 (y) directions. Height (z) direction is bounded by two flat plates. The bottom plate represents the 178 vessel wall, also namely substrate. It is fixed while the upper one is moving with a constant velocity 179 U to generate a simple shear flow. The major focus of this work is the shape effect of MPs on their 180 margination and adhesion. Thus in all simulations, the shear rate is fixed to be 200  $s^{-1}$ . There are 181 162 RBCs and 80 identical MPs placed inside the channel. The hematocrit (volume fraction of 182 RBCs) is about 30%. The particle shapes are given in figure 2, such as sphere, rod, cubic, disk, 183 oblate and prolate with different aspect ratios. They have the same volume as the spherical particle 184 with radius 1  $\mu m$ . The volume fraction of MPs in blood flow is about 0.64%. The details are 185

provided in Table 1 for size and shape of RBCs and MPs. In this table, the aspect ratio (AR) of 186 nonspherical partice represents the ratio of its major axis to minor axis. On the surfaces of MPs 187 and substrate, the ligands and receptors are uniformly distributed, respectively. The densities of 188 ligands and receptors are also given in Table. 4. 189

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### Lattice Boltzmann Method for fluid flow

Here, the minor components of blood, such as white blood cells and platelets, are not considered 191 due to their low volume fractions ( $\sim 1\%$ ). The blood flow is considered as a suspension of RBCs, 192 which are immersed in the Newtonian fluid. The dynamics of the fluid flow is governed by the 193 Navier-Stokes equation and the continuity equation in an Eulerian coordinate system as follows: 194

$$\frac{\partial \mathbf{v}}{\partial t} + \mathbf{v} \cdot \nabla \mathbf{v} = -\frac{1}{\rho} \nabla p + \frac{\mu}{\rho} \nabla^2 \mathbf{v} + \mathbf{F}, \tag{1}$$

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$$\mathbf{v} \cdot \mathbf{v} \mathbf{v} = --\mathbf{v}\rho + -\mathbf{v} \mathbf{v} + \mathbf{F}, \tag{1}$$

$$\nabla \cdot \mathbf{v} = 0, \tag{2}$$

where  $\rho$ , **v**, p are the fluid density, velocity, and pressure, respectively.  $\mu$  is the dynamic 197 viscosity of the fluid (plasma), and F is the body force. Lattice Boltzmann method (LBM), which 198 is an efficient and accurate method for Newtonian flow (Chen and Doolen 1998), is employed to 199 solve Navier-Stokes equations. The linearized Boltzmann equation has the following form as: 200

$$(\partial_t + e_{i\alpha}\partial_\alpha)f_i = -\frac{1}{\tau}(f_i - f_i^{eq}) + F_i,$$
(3)

where  $f_i(\mathbf{x}, t)$  is the distribution function for fluid particles with velocity  $\mathbf{e}_i$  at position  $\mathbf{x}$  and 202 time t.  $f_i^{eq}(\mathbf{x},t)$  is the equilibrium distribution function and  $\tau$  is the non-dimensional relaxation 203 time.  $F_i$  is an external forcing term. In this simulation scheme, D3Q19 model is used (Mackay 204 et al. 2013), and the fluid particles have possible discrete velocities stated by Mackay et al. 2013. 205 The equilibrium distribution function  $f_i^{eq}(\mathbf{x}, t)$  can be calculated as: 206

$$f_i^{eq}(\mathbf{x},t) = \omega_i \rho \left[ 1 + \frac{\mathbf{e}_i \cdot \mathbf{v}}{c_s^2} + \frac{(\mathbf{e}_i \cdot \mathbf{v})^2}{2c_s^4} - \frac{(\mathbf{v})^2}{2c_s^2} \right],\tag{4}$$

where the weighting coefficients  $\omega_i = 1/3$  (i = 0),  $\omega_i = 1/18$  (i = 1-6),  $\omega_i = 1/36$  (i = 7-18). The term  $c_s$  represents the sound speed which equals  $\Delta x/(\sqrt{3}\Delta t)$ . The relaxation time is related to the kinematic viscosity in Navier-Stokes equation in the form of

$$\nu = (\tau - \frac{1}{2})c_s^2 \Delta t.$$
<sup>(5)</sup>

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The external forcing term can be discretized by using this form (Guo et al. 2002):

 $F_i = (1 - \frac{1}{2\tau})\omega_i \left[\frac{\mathbf{e}_i - \mathbf{v}}{c_s^2} + \frac{(\mathbf{e}_i \cdot \mathbf{v})}{c_s^4}\mathbf{e}_i\right] \cdot \mathbf{F}.$  (6)

Eq. (3) is solved by the algorithm proposed by (Ollila et al. 2011).

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Once the particle density distribution is known, the fluid density and momentum are calculated
 as

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$$\rho = \sum_{i} f_{i}, \ \rho \mathbf{v} = \sum_{i} f_{i} \mathbf{e}_{i} + \frac{1}{2} \mathbf{F} \Delta t.$$
(7)

#### 218 Lattice-spring model for RBC and MP

Large-scale Atomic/Molecular Massively Parallel Simulator (LAMMPS), which is a highly parallelized tool for molecular dynamics simulations (Plimpton 1995) is adopted to capture the dynamics and deformation of deformable RBCs and rigid MPs. The RBCs are represented as liquid-filled elastic capsules with biconcave resting shape. It is modeled as a coarse-grained membrane, and the membrane is discretized to triangular elements, as given in figure 2 I, with details listed in Table. 1. The mechanical behaviors of RBC are captured by the potential function:

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$$U(\{\mathbf{x}_i\}) = U_{stretching} + U_{bending} + U_{area} + U_{volume},\tag{8}$$

where  $U_{stretching}$  represents the in-plane shear resistance of membrane to deformation.  $U_{bending}$ 

denotes the bending resistance of the lipid bilayer.  $U_{area}$  and  $U_{volume}$  are used to ensure the total area and volume conservation, corresponding to the area incompressibility of the lipid bilayer and incompressibility of the inner cytosol, respectively (Fedosov et al. 2010a; Fedosov et al. 2011b). The stretching potential  $U_{stretching}$  is consisted of two parts: attractive nonlinear spring potential - wormlike chain model (WLC) and repulsive power potential - power function (POW). They are expressed as:

$$U_{WLC} = \frac{k_{\rm B} T l_m}{4p} \frac{3x^2 - 2x^3}{1 - x}, \ U_{POW} = \frac{k_p}{l}, \tag{9}$$

where  $k_{\rm B}$  is the Boltzmann constant and *T* is the temperature.  $x = l/l_m \in (0, 1), l$  is the length of the spring and  $l_m$  is the maximum spring extension. *p* is the persistent length, and  $k_p$  is the POW force coefficient. To ensure the conservation of total area of the particle, local and global area constraints are applied. They are expressed as:

$$U_{area} = \sum_{k=1...N_t} \frac{k_d (A_k - A_{k0})^2}{2A_{k0}} + \frac{k_a (A_t - A_{t0})^2}{2A_t},$$
(10)

where the first term represents the local area constraint,  $A_k$  and  $A_{k0}$  denote the area of *k*-th element and its initial area, respectively, and  $k_d$  is the corresponding spring constant. In the following, subscript 0 represents the corresponding initial value. The second term is the global area constraint.  $A_t$  is the total area, and  $k_a$  is the spring constant. The total volume constraint is also imposed by a harmonic potential:

$$U_{volume} = \frac{k_v (V - V_0)}{2V_0},$$
(11)

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where  $k_v$  is the spring constant. V is total volume. The bending potential has the form:

$$U_{bending} = \sum_{k \in 1...N_s} k_b [1 - \cos(\theta_k - \theta_0)], \tag{12}$$

where  $k_b$  is the bending stiffness.  $\theta_k$  is dihedral angle between two adjacent triangular elements,

and  $N_s$  denotes the total number of dihedral angles.

The parameters used in our simulations for the RBC model are listed in Table. 2. And we apply the same model to simulate the rigid MPs. To preserve the shape of these MPs, the shear modulus of MP is set much larger than that of RBC. Additionally, we set the initial volume 2% larger than the original volume of the MP to make it initially inflated. Thus the external force like shear stress can hardly deform it. The coefficients in the potential function for MPs are also provided in Table. 3.

The accuracy of this lattice-spring model for RBC and rigid MPs has been validated in our previous works (Ye et al. 2017c; Ye et al. 2017b). In addition to above potentials, it is necessary to employ inter-molecular interactions between RBCs to represent their interactions. Here we use the Morse potential for these interactions (Liu and Liu 2006; Fedosov et al. 2011b; Tan et al. 2012), with functional form

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$$U_{morse} = D_0 [e^{-2\beta(r-r_0)} - 2e^{-\beta(r-r_0)}], r < r_c,$$
(13)

where  $D_0$  represents the energy well depth and  $\beta$  controls the width of potential well. r is the distance between two particles and  $r_0$  is the equilibrium distance.  $r_c$  is the cutoff distance. Additionally, a short range and pure repulsive Lennard-Jones potential is applied to prevent the overlapping between RBCs and MPs:

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$$U_{LJ}(r) = 4\epsilon [(\frac{\sigma}{r})^{12} - (\frac{\sigma}{r})^6], r < r_{LJ},$$
(14)

where  $\epsilon$  is the depth of potential well.  $\sigma$  is the finite distance at where the LJ potential is zero.  $r_{\rm LJ}$  is the cut-off distance. Here  $r_{\rm LJ} = 2^{1/6}\sigma$  for a short range pure repulsion, which will not influence the attraction between RBCs from Morse potential. Then we set the parameters for LJ potential as  $\epsilon = 1 k_{\rm B}T$ ,  $\sigma = 0.5 \mu m$  and  $r_{\rm LJ} = 0.56 \mu m$ .

#### **Immersed boundary method for fluid-structure interaction**

To account for the existence of suspended structures (i.e. RBCs or MPs) in the blood flow, the mechanical information should be transferred between fluid and structures across their boundaries.

Here, the IB method is used to couple the fluid and structure solvers. After IB was proposed by 272 Peskin(Peskin 1972) to investigate heart valve motion in the blood flow, it was further developed 273 to study objects such as rigid particles (Feng and Michaelides 2004), membrane (Ye et al. 2016) 274 and other deformable particles (Krüger et al. 2011) immersed in the flow environment. Here, we 275 adopt the original form of IB presented in Peskin(Peskin 2002) in which the detailed theoretical 276 derivation is demonstrated. The fluid domain is represented by Eulerian coordinates  $\mathbf{x}$ , while the 277 boundary of RBCs or MPs is represented by Lagrangian coordinates s. Any position on the RBC 278 membrane or MPs can be written as X(s, t). The Eulerian mesh is uniform and the resolution 279 is  $\Delta x = 250 \ nm$  in all directions. The Lagrangian mesh for RBC or MP is created by MATLAB 280 (Persson and Strang 2004; Persson 2005). The mesh is approximately uniform and the size is 281 about  $\Delta X = 0.6 \sim 0.8 \Delta x$ . There are about 32 Eulerian points across major axis of one RBC. This is 282 accurate enough to capture the deformation and motion of RBCs in the fluid flow (MacMECCAN 283 et al. 2009; Vahidkhah and Bagchi 2015). F(s, t) represents the membrane force density induced 284 by RBC (or MP) deformation, and f(x, t) denotes the fluid body force density. figure 3 shows the 285 schematic of interpolation from the immersed boundary method for the fluid-structure interaction. 286 To satisfy the no-slip boundary condition between RBC (or MPs) and fluid flow, the flexible 287 membrane vertices (denoted as red solid circle) should move at the same velocity as the fluid around 288 it (green solid squares). That is 289

$$\frac{\partial \mathbf{X}(\mathbf{s},t)}{\partial t} = \mathbf{u}(\mathbf{X}(\mathbf{s},t)). \tag{15}$$

This condition will cause the membrane to deform. The membrane force density  $\mathbf{F}(\mathbf{s}, t)$  is obtained by the potential functions discussed in above section, and is distributed to the surrounding fluid mesh points by

$$\mathbf{f}^{\text{FSI}}(\mathbf{x},t) = \int_{\Omega^s} \mathbf{F}^{\text{FSI}}(\mathbf{X}^s,t) \delta(\mathbf{x} - \mathbf{x}^s(\mathbf{X}^s,t)) d\Omega,$$
(16)

where  $\delta$  is a smoothed approximation of the Dirac-Delta function. It should be noted that  $\mathbf{F}^{\text{FSI}}$  is

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an area force density, while f<sup>FSI</sup> is a volumetric force density. Figure 3 shows a simple interpolation template. For simplicity, we use two-points template to illustrate the interpolation process.
However, in the present 3D study, four-points interpolation template is adopted, and it is chosen to be:

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$$\delta(\mathbf{x} - \mathbf{x}^{s}(\mathbf{X}^{s}, t)) = \delta(x - x(\mathbf{X}^{s}, t))\delta(y - y(\mathbf{X}^{s}, t))\delta(z - z(\mathbf{X}^{s}, t)),$$
(17)

301 where

$$\delta(r) = \begin{cases} \frac{1}{4}(1 + \cos(\frac{\pi|r|}{2})), & r \le 2\\ 0, & r > 2 \end{cases}$$
(18)

Then the interpolated fluid-structure interaction force is added back to the Lattice Boltzmann solver as a body force and discretized using the form Eq. (6). The accuracy of this scheme depends on the construction of delta function. Here, Eq. (18) is only the first order when sharp interfaces are simulated. The same approximation function is used to obtain the velocities of the Lagrangian nodes (RBCs or MPs) on the moving boundary. The mathematical form can be written as follows:

$$\mathbf{u}^{s}(\mathbf{X}^{s},t) = \int_{\Omega} \mathbf{u}(\mathbf{x},t)\delta(\mathbf{x}-\mathbf{x}^{s}(\mathbf{X}^{s},t))d\Omega.$$
(19)

#### **Adhesive model for ligand-receptor binding**

Here, we adopt a probabilistic adhesion model to capture the formation and dissociation of biological bonds between receptors and ligands. Figure 1(*a*) shows the schematic of this adhesion model. When ligands on the surface of MPs are close to receptors on the vessel wall within a characteristic length  $d_{on}$ , there is a probability  $P_{on}$  to create a biological bond. Reversely, existing bond suffers a breakup probability  $P_{off}$  within a critical length  $d_{off}$ . They are defined as:

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$$P_{\rm on} = \begin{cases} 1 - e^{-k_{on}\Delta t}, & l < d_{on} \\ 0, & l \ge d_{on} \end{cases}, \quad P_{\rm off} = \begin{cases} 1 - e^{-k_{off}\Delta t}, & l < d_{off} \\ 0, & l \ge d_{off} \end{cases},$$
(20)

where  $\Delta t$  is the time step in simulation, and  $k_{on}$  and  $k_{off}$  are the association and dissociation

rates, respectively. They are defined as:

$$k_{on} = k_{on}^{0} exp(-\frac{\sigma_{on}(l-l_{0})^{2}}{2k_{\rm B}T}), \quad k_{off} = k_{off}^{0} exp(-\frac{\sigma_{off}(l-l_{0})^{2}}{2k_{\rm B}T}), \tag{21}$$

where  $\sigma_{on}$  and  $\sigma_{off}$  are the effective on and off strengths, denoting a decrease and increase of 319 the corresponding rates within the interaction length  $d_{on}$  and  $d_{off}$ , respectively.  $k_{on}^0$  and  $k_{off}^0$  are 320 the reaction rates at the equilibrium bond length  $l = l_0$  between ligand and receptor, respectively. 321  $l_0$  represents the equilibrium length of the harmonic spring, which is used to model the biological 322 bond. Thus, the force exerted on the receptor and ligand due to existing bond is:  $F_b = k_s(l - l_0)$ . 323 This adhesive model has been confirmed by our previous study (Ye et al. 2017a), and the parameters 324 in adhesion model are chosen based on previous work of (Fedosov 2010) and (Fedosov et al. 2011a), 325 and listed in Table. 4. 326

#### 327 VALIDATION OF NUMERICAL METHOD

To validate the accuracy of our numerical framework, the stretching simulation of a single RBC 328 is firstly performed to confirm the coarse-grained model of RBC. Here we compare our results with 329 the experimental data from RBC deformation by optical tweezers(Suresh et al. 2015). We apply 330 the uniaxial stretching force along x-direction. Figure 4(a) shows the typical configurations of the 331 stretched RBC with stretching force 0, 68 and 100 pN. Qualitative consistence with experimental 332 results(Suresh et al. 2015) is obtained. Furthermore, we calculate the deformation parameters such 333 as  $D_A$  and  $D_T$ , which are the largest diameters of RBC along the stretching and transverse directions, 334 respectively. In figure 4(b), the simulation results of  $D_A$  and  $D_T$  as functions of stretching force 335 from 0 to 200 pN are presented. These simulation results are compared with the experimental 336 data(Suresh et al. 2015) and previous numerical results(Fedosov et al. 2010a). We find excellent 337 agreement between our simulation results with previous experimental and numerical results. It 338 further confirms that our numerical model and method can accurately reproduce the mechanical 339 behavior of a single RBC. 340



Then we place the RBC into the flow environment to validate the IB scheme. Figure 5(a)

shows a schematic of the RBC in shear flow driven by moving of the upper and bottom plates with 342 same velocity but opposite directions. Figure 5(b) presents the simulation results of frequency for 343 tumbling and tank-treading as a function of shear rate, in comparison with experimental data(Fischer 344 2004; Fischer 2007) and previous numerical results(Fedosov et al. 2010a). The frequency increases 345 with the increment of shear rate in both tumbling and tank-treading regimes. Additionally, we 346 also find an intermittent regime, in which the tumbling and tank-treading motions coexist. It is 347 highlighted in the Figure 5(b). In this regime, the RBC initially tumbles like a solid body, and 348 as simulation time progresses, its motion transits into tank-treading mode. The frequency in the 349 tumbling regime is consistent with the experimental and numerical results. However, it should be 350 emphasized that there is an obvious discrepancy between present results and the experimental data. 351 We believe that this difference is induced by the viscosity contrast between the interior fluid of 352 RBC and its surrounding fluid. Specifically, we compare present results with the numerical results 353 by Fedosov *et al.* (Fedosov et al. 2010a) under the same situation. We find that they are in good 354 agreement. Therefore, for simplicity, the viscosity contrast is set to be unity and it is confirmed to 355 be accurate enough to capture the dynamics of RBCs in shear flow. 356

Additionally, the grid independence studies of fluid domain and RBC membrane are conducted. 357 We perform a case study that a single RBC with diameter  $(D_r)$  moves in the simple shear flow 358 shown in figure 6(a). Here the RBC is discretized with different vertexes presented in figure 6(b). 359 First, we vary the mesh size  $\Delta x$  of the fluid, and track the trajectories of the RBC center along 360 height direction (z-direction). Figure 6(c) shows that when the mesh is coarse ( $\Delta x = 1/8D_r$ ), the 361 trajectory is obvious different from those with fine meshes, and it is not smooth. Further increase 362 of mesh resolution ( $\Delta x = 1/16D_r$ ) leads to a more consistent trajectory, and only small difference 363 exists. When the mesh resolution increases to  $\Delta x = 1/32D_r$ , the difference is negligible. Thus, 364 we adopt the mesh size  $\Delta x = 1/32D_r$ . Furthermore, we change the discretized vertexes of the 365 RBC membrane. Four cases V = 766, 1418, 3286 and 9864 are investigated here. Also, we track 366 the trajectory of the RBC center along the height direction. We find that the discretization of the 367 membrane has weak effect on the motion of RBC under current scheme (766 < V < 9864). There 368

is only small difference for the case of V = 766. To ensure enough convergence of mesh for RBC 369 membrane, we adopt a relatively fine mesh V = 3286. In the following simulations, the mesh size 370 is  $\Delta x = 1/32D_r$  and the discretization of RBC membrane is V = 3286. 371

372

#### **RESULTS AND DISCUSSION**

The margination of MPs with different shapes are examined (i) without and (ii) with adhesion 373 effect. At the beginning of simulations, MPs are randomly placed with RBCs in the flow channel. 374 However, the initial configurations for different shaped MPs may have a little difference. It is 375 difficult to control the location of each MPs when placing them among RBCs. Nevertheless, the 376 initial configuration effect should be negligible due to the extremely low volume fraction of MPs 377 (less than 1%). 378

#### Margination of MPs without adhesion 379

Margination behavior of rigid MPs with different shapes is firstly investigated. The snapshots 380 given in figure 7 show the margination process of spherical MP from central stream of blood flow to 381 CFL. The spherical MPs gradually accumulate at the CFL during the simulation. At t = 0 s, MPs are 382 randomly distributed among RBCs with at-rest biconcave shape. As simulation progresses, at time 383 t = 1.7 s, RBCs start to deform and align their major axes along the shear direction (y-direction) 384 under the shear stress of fluid flow. Besides, RBCs initially located near the wall gradually migrate 385 to the center, leading to the formation of CFL. With simulation time further advancing, at t = 3.4 s, 386 more and more spherical MPs move from center of channel to CFL. 387

Interestingly, different shaped MPs demonstrate different performance during the above margina-388 tion process. figure 8 presents the comparison of particle distribution along shear gradient direction 389 (z-direction) between spherical and disc MPs. The bin width is 3  $\mu m$ , which is chosen according to 390 the thickness of CFL. Here the thicknesses of CFL has been calculated in the absence of MPs, which 391 is about 2.8  $\mu m$  for Ht = 30%. This is consistent with the previous simulation results (Lee et al. 392 2013; Müller et al. 2014). The particle concentration in the bin locating at bottom  $(0 < z < 3\mu m)$ 393 represents MPs accumulating in the CFL. At the initial state (t = 0 s), MPs concentrate around 394 center region of channel with few locating in the CFL. We also confirm that MPs in CFL stay 395

within this near wall region and cannot demarginate to central stream of blood flow. At t = 1.7 s, MPs start to marginate toward vessel wall and accumulate within the CFL. While the near-wall accumulation of spherical particle outperforms disc particle. As simulation time progresses, the marginations of both type of MPs continue but not significantly change. To further quantify the margination propensity, margination probability  $\Phi(t)$  is defined to characterize the tendency, as:

$$\Phi(t) = \frac{n_f(t) - n_f(0)}{N},$$
(22)

where  $n_f$  represents the number of MPs locating in CFL at time t, and N denotes the total 402 number of MPs in the channel. The evolution of margination probabilities  $\Phi$  for different shaped 403 MPs are given in figure 9(a). In the end, the margination probabilities seem not high. It is because 404 there are some particles initially located in the near-wall region as shown in figure 8 at time t = 0 s. 405 According to the definition of margination probability in Eq. (22), it characterizes the increment 406 of MP accumulation in CFL. Therefore, there should be more MPs locating in the CFL comparing 407 with the margination probability. Besides, we also find that the spherical particle demonstrate the 408 highest margination probability, while disc particle is the lowest. The margination probabilities 409 of other different shaped MPs have no obvious difference. This observation is not consistent 410 with previous study (Vahidkhah and Bagchi 2015), in which the ellipsoidal particle demonstrates 411 stronger margination than spherical particle. Such a difference could be induced by different shear 412 rates. The shear rate in the previous work(Vahidkhah and Bagchi 2015) is 1000  $s^{-1}$ , which is much 413 higher than present study (200  $s^{-1}$ ). 414

To understand above observations, the mean square displacement (MSD) for MPs with different shapes is investigated. As we know, in the blood flow, the deformation of RBCs under shear stress can result in velocity fluctuation of the flow field around RBCs. This may be the major reason of particle migration in blood flow (Zhao et al. 2012). The cross-flow motion of MPs is diffusive, and we provide the evolution of MSD in figure 9(*b*). We find that MSDs for all particles are almost the same, and the diffusivity defined as  $D = \langle \Delta z^2 \rangle /2t$  ranges from about 4 to  $5 \times 10^{-8} cm^{-2} s^{-1}$ . This is consistent with previous studies (Vahidkhah and Bagchi 2015; Zhao and Shaqfeh 2011), and the diffusivity of MPs is about 2 orders of magnitude higher than the Brownian diffusivity. It indicates that the existence of RBCs can enhance the diffusion of particles. However, from our simulation results, this RBC-enhanced diffusion is shape independent for MPs. Thus, the diffusion can not solely explain the shape-depended margination behavior of MPs.

The motion of MPs in blood flow is affected by two major forces: (i) viscous force due to 426 shear flow; and (ii) collision force exerted by RBCs. It should be emphasized that the collision 427 force exerted by RBCs on MPs is also fulfilled by the perturbation of flow field due to deformation 428 of RBC. To determine which one dominates, these two factors are isolated to investigate through 429 additional simulations. We remove RBCs from the channel, and only consider the movement of 430 MPs in the shear flow under same conditions (identical shear rate, channel size, initial distribution 431 of MPs, etc.). The migration of disc particle is given as an example in figure 10. The snapshots in 432 figure 10(a) shows that initial randomly distributed disc MPs can migrate to the channel center as 433 simulation advances. In particular, the MPs initially located in the near-wall region move toward the 434 center region during the simulation. It is further confirmed by the distribution shown in figure 10(b). 435 The particle concentration in the center region becomes larger, while in the near-wall regions, there 436 is no MPs any more as simulation progresses. When only considering the viscous force coming 437 from the fluid flow, MPs demonstrate the opposite tendency comparing with the margination 438 behavior. Here, we name this type of motion as demargination. It is consistent with the result 439 of a single particle dynamics in viscous flow (Leal 1980). Axisymmetric nonspherical particle 440 generally migrates to the central stream in Couette flow through theoretical analysis. Furthermore, 441 the shape dependence on the demargination behavior is investigated. The MSDs of different shaped 442 MPs are calculated and presented in figure 11(a). We find that the diffusion of rod particle is the 443 largest, and the spherical particle is the smallest. The diffusion of these particles is associated with 444 the rotation, and nonspherical particles outperform spherical one through their rotation behavior 445 under shear flow (Leal 1980). However, we also find that the diffusivity is one order of magnitude 446 lower than that with RBCs (c.f. figure 9(b)). While it is still larger than the Brownian diffusivity. 447 In other words, the shear induced migration of MPs hampers their margination behavior. 448

Then, we further explore the pair collision effect between a single RBC and a single MP. Note 449 that the three-body and higher order collision schemes are not considered here. Because they can 450 be negligible if the hematocrit of blood flow is not high (< 45%) (Kumar and Graham 2012; Rivera 451 et al. 2016; Qi and Shaqfeh 2017). The schematic of pair collision model is shown in figure 11(b). 452 To eliminate the boundary effects, the channel size is enlarged with height 86  $\mu m$ , width 27  $\mu m$ 453 and length 86  $\mu m$ . The RBC and MP are placed in the center region of the channel. The distance 454 between the initial positions of RBC and MP is set as  $\sigma = 2 \ \mu m$ . There are different collision 455 modes depending on the direction of initial configuration of MP. For simplicity, only one collision 456 mode is examined here. The major axes of RBC and MP (edge for cubic) are placed along the 457 flow direction, and the symmetry rotation axis of RBC, disc, oblate MPs are along z-direction. We 458 track the trajectories of centers of RBC and MP during the collision. The displacement of centers 459 of RBC and MP along z-direction refers to the collision displacement (c.f. figure 11(c)). It should 460 be noted that the velocity along flow direction of RBC is larger than that of MP, because center 461 of RBC is higher than MP in z-direction ( $u = \dot{\gamma} z$ ). Hence, the RBC would approach and collide 462 with MP. After that, both of them laterally migrate, but towards the opposite directions. The MP 463 demonstrates a larger lateral displacement than RBC, due to its small size. After the collision, MP 464 tends to move towards its initial position, but reaches an equilibrium position rather than initial one. 465 And the equilibrium position depends on the shape of MP. The distance between the equilibrium 466 position and the initial position of MP is defined as collision displacement  $L_c$ . We summarize it for 467 different shaped MPs in the figure 11(d). Besides, the margination probability without adhesion 468 effect and the demargination probability without RBCs are also presented. As demargination is 469 opposite to margination, its probability is always negative. To compare them in an easier way, 470 we use  $L_c/4$  to show collision displacement in the figure 11(d). From the comparison, we take 471 some special cases to illustrate the interplay of viscous and collision forces on the motion of MPs 472 in blood flow. As for the spherical particle, we find that the collision force is high, while the 473 demargination is low, leading to the high margination probability of spherical MP. In terms of the 474 rod particle, although the collision displacement is the largest, the demargination is also strong. 475

Hence, the margination probability of rod particle is relatively low, comparing with spherical one.
This comparison also confirms that the margination behavior of MPs in blood flow is affected by
the interplay of collision with RBCs and viscous effect. Thus, disc MP demonstrates the lowest
margination, due to the small collision displacement and strong demargination.

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## Margination of MPs with adhesion

From figure 9(a), we can see that the margination probability increases and oscillates with time. 481 It indicates that many MPs move between the central stream of blood flow and the CFL. Once MP 482 reaches CFL, it has a chance to interact with the vessel wall, *i.e.* reaching adhesion layer. Therefore, 483 the adhesion may affect the probability of MP to move away or towards vessel wall. The effect of 484 adhesion is of significant especially for cases with thin CFL of blood flow and large size of MP. In 485 present work, the thickness of CFL is about 2.8  $\mu m$ , and the adhesion layer is about 0.8  $\mu m$ . The 486 size of MPs is comparable to, even larger than the thickness of CFL, shown in Table. 1. Thus, the 487 adhesion effect on MP margination should be further studied in detail. 488

Figure 12(a) presents the margination behavior of spherical MP under influence of near-wall 489 adhesion. We find that as simulation advances, more and more MPs accumulate in the CFL. 490 Compared to the margination of spherical MP without adhesion in figure 9, there are more MPs 491 in the CFL under the influence of adhesion. More importantly, the MPs in the CFL adhere on 492 the substrate (or vessel wall). Note that the positions of MPs in the CFL vary along the vertical 493 direction to the substrate in the case without adhesion. Furthermore, we plot the distributions of 494 MPs along z-direction in the figure 12(b). The depletion of MPs in the center region and their 495 accumulation in the CFL become more significant, compared to the case without adhesion (c.f. 496 figure 8(a)). It signals that the near-wall adhesion plays a critical role in the MP margination within 497 blood flow. 498

<sup>499</sup> To quantify the influence of adhesion on margination behavior, the margination probability is <sup>500</sup> adopted. While, to differentiate the margination probabilities with and without adhesion effect, <sup>501</sup> we replace  $\Phi$  with  $\Pi$  to represent the margination probability with adhesion effect. Figure 13(a) <sup>502</sup> shows the evolution of margination probabilities for different shaped MPs. We find that spherical

and cubic particles demonstrate the highest margination probability, while the disc particle is 503 the lowest. The tendency is comparable to the margination results without adhesion. Under 504 the influence of adhesion effect, the difference of margination probabilities among MPs becomes 505 apparent. It is obvious that oblate (AR=2) particle follows the cubic particle, and prolate (AR=2)506 particle outperforms prolate (AR=3) particle. To directly compare margination probabilities with 507 and without adhesion, the averaged margination probabilities for both cases are presented in the 508 figure 13(b). The time averaged value is calculated by averaging the margination probability in 509 the last 0.1 s interval of the simulation. We find that adhesion can either promote (sphere, cubic, 510 oblate (AR=2), prolate (AR=3) and prolate (AR=2)) or hamper (disc, rod and oblate (AR=3)) the 511 margination behavior of MPs. The promotion of margination due to adhesion is straightforward that 512 when MPs move nearby CFL, the adhesion force may capture these MPs. While the impediment 513 to margination by adhesion is deserved to be further investigated. 514

First, the adhesion behavior of a single MP is studied, which is critical for understanding 515 the adhesion effect on margination. Because the volume fraction of MPs is small, the MP-MP 516 interaction is not important and negligible. We use a relatively small channel to conduct the 517 adhesion study of a single MP. While only the dimension of channel changes to height 12  $\mu m$ , 518 width 9  $\mu m$  and length 18  $\mu m$ , other conditions such as shear rate, boundary condition etc. are 519 kept the same. The MPs with different shapes are placed near the substrate with the major axes 520 along shear direction. The minimum distance between MP and substrate is set as 1  $\mu m$ . In the 521 simulation, the strength of the individual biological bond is fixed, therefore the adhesion strength is 522 reflected by the number of the biological bonds. Here we choose some typical cases to demonstrate 523 the adhesion effect on margination behavior of MPs. 524

Figure 14(a) shows the evolution of margination probability of cubic particle with and without adhesion. At the initial stage (t < 0.5 s), the margination probabilities of both cases are similar. Afterwards, the adhesion effect starts to promote the margination behavior as simulation time progresses. Figure 14(b) shows the corresponding adhesion result on a single cubic particle near the substrate. We find that the cubic particle interact with the substrate through the whole simulation, as the number of biological bonds is larger than 0. It indicates that when a cubic particle moves
 close to CFL, the particle may be captured by the substrate due to the ligand-receptor binding.
 Afterwards, the particle will not be able to move back to central stream of blood flow.

Furthermore, we compare the margination results for MPs with same shape but different aspect 533 ratios, oblate particles with AR=2 and AR=3. Although they have the same shape, but the adhesion 534 effect is opposite. The adhesion can promote margination of oblate particle with AR=2, while it 535 hampers the margination of oblate with AR=3. Figure 15 shows the comparison of margination 536 probability and adhesion strength between these two MPs. When AR=2, the adhesion starts to 537 influence the margination at t > 0.5 s. Although the promotion of margination is small, it keeps 538 increasing through the simulation. The corresponding biological bonds is larger than zero. It 539 signifies interaction between particle and substrate exists all the time. Increasing the aspect ratio 540 to 3, at the initial time interval 0.5 s < t < 1.75 s, the adhesion can enhance the margination. 541 However, afterwards t > 1.75 s, adhesion starts to hamper the margination behavior, resulting in the 542 low margination of oblate particle with AR=3. We find that the evolution of number of biological 543 bonds for oblate particle with AR=3 is not like that of oblate particle with AR=2. Figure 15(d) 544 indicates that the number of bonds for oblate particle with AR=3 changes nearly periodical between 545 0 and about 60. Zero means no interaction between oblate particle and substrate. And under this 546 circumstance, the particle's motion is not affected by the substrate. We also find that the duration 547 of 0 is longer than that of 60. The time of the particle staying away from the substrate is longer 548 than that interacting with substrate. This may be responsible to the impediment of adhesion on 549 margination for oblate particle with AR=3. Further studies need to be performed for understanding 550 this interesting phenomenon. 551

#### <sup>552</sup> Mechanism of adhesion effect on margination behavior

<sup>553</sup> When MP moves close to substrate, it will tumble under the shear flow due to asymmetry of <sup>554</sup> flows in the upper and lower sides of the particle (Leal 1980). Note that spherical particle will roll <sup>555</sup> rather than tumble under the same circumstance. Figure 16 is a simple schematic to illustrate the <sup>556</sup> tumbling behavior of particle near the substrate. We focus on the trajectories of particle center and maximum distance between particle and substrate, which correspond to  $O_1$  to  $O_5$  and  $M_1$  to  $M_5$ , respectively. The center trajectory gives the position of MP, and we can know whether it is in the CFL or not. Through comparing the maximum distance with the thickness of CFL, if the maximum distance is larger than CFL thickness, the MP will interact with RBCs. Otherwise, the motion of MP is governed by shear flow and adhesion.

Figure 17(a) shows the distribution of major axes of MPs. The dashed line denotes the thickness 562 of CFL. It divides MPs into two classes, major axes larger (rod, oblate (AR=3), prolate (AR=2) 563 and prolate (AR=3)) and smaller (sphere, cubic, disc and oblate (AR=2)) than CFL thickness. The 564 evolutions of maximum distance and center position of cubic, oblate with two aspect ratios are 565 presented in figure 17(b). We can see that the maximum distance of cubic particle is within the 566 CFL. While oblate particles have the larger maximum distance than CFL thickness, although the 567 difference between maximum distance and CFL thickness is not obvious. However, this result 568 can not solely explain the above margination results, which might be induced by the shear flow 569 rather than the adhesion. Thus we conduct the same simulations for a single MP near substrate 570 but without adhesion. We extract the trajectory results to make a comparison with particle motion 571 under adhesion. Figure 18 displays the comparison of trajectory and maximum distance of a single 572 particle motion near substrate with and without adhesion. We find that the cubic particle will 573 migrate away from the substrate without adhesion, which confirms that adhesion can capture the 574 cubic particle and keep it within CFL. And there is not interaction between the cubic particle and 575 RBCs once it enters CFL. Thus, adhesion promotes the margination behavior of cubic particle. 576 As for disc, rod and oblate (AR=3), the adhesion prevents their migration away from substrate. 577 However, their maximum distances show that they will interact with RBCs. While the oblate 578 (AR=2) has a decrease of maximum distance, which makes the maximum distance lower than the 579 thickness of CFL. Even though the maximum distance of prolate (AR=3) is always larger than CFL 580 thickness, the maximum distance continues to decrease with simulation time advancing. 581

In the figure 18, from the characteristics of tumbling trajectories of MPs, we find that the tumbling frequency changes under the influence of near-wall adhesion. The tumbling frequencies

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of MPs are calculated for dynamics of a single particle with and without adhesion, as shown in 584 figure 19. We find that the adhesion will reduce the tumbling frequency of MPs. For sphere, cubic, 585 and oblate (AR=2) particles, the entire body locates within CFL. Therefore, the low tumbling motion 586 promotes their adhesion. These MPs can firmly adhere on the substrate, leading to enhancement of 587 their margination. For the other MPs, the maximum distance results show that, during the tumbling 588 motion, they will interact with RBCs. This interaction may drag them from CFL to the center 589 region of the channel. Furthermore, the evolution of number of bonds reveals that in one tumbling 590 period, there is no bond formation in some time intervals, which means no interaction between 591 substrate and MPs. And at other time intervals, due to reduced tumbling frequency, MPs have 592 longer time to interact with RBCs. Then MPs have a larger chance to leave from CFL under the 593 dragging of RBCs. 594

However, the prolate particles are exceptions. This may be induced by the irregular tumbling 595 motion of prolate particles. From figure 18(f), we can find that, besides the tumbling motion, 596 precession happens for prolate particles according to the evolution of maximum distance. We 597 compare the snapshots of particle motion between rod and prolate (AR=3). Here, the rod is 598 chosen as its shape is close to prolate (AR=3). Figure 20 provides the comparison of tumbling 599 motions between rod and prolate (AR=3) under adhesion effect in one period. We find that rod 600 particle regularly tumbles with major axis on the y-z plane through the simulation. When the 601 prolate particle tumbles, the major axis can not keep on the y-z plane. There exists precession 602 for the prolate particle, which is shown in Figure 20. The semi-transparent snapshots and black 603 dashed lines denote position and major axis under the regular tumbling motion, respectively. The 604 red dashed lines represent the actual position of major axis. This precession will further reduce 605 the maximum distance of prolate particle, and some equilibrium states may exist. The prolate 606 particle may align its major axis in the x-z plane. This will make the maximum distance equal 607 to minor axis length. Besides, the decrease of maximum distance is more significant than that 608 without adhesion, which means adhesion accelerates the transition from regular tumbling motion 609 to precession motion. Thus, adhesion can enhance the margination of prolate particles. 610

#### 611 CONCLUSION

We use the three-dimensional numerical simulations to study the shape effect of MPs on their 612 margination behavior. Margination probability is adopted to quantify the margination process of 613 MPs. The shape dependence of MP margination without adhesion is firstly investigated. We find 614 that the spherical particle has the largest margination probability among the different shaped MPs. 615 To understand this phenomenon, migration behaviors of MPs without RBCs in the shear flow are 616 studied. We find that demargination happens for all the different shaped MPs, which is induced 617 by the asymmetry of the shear flow around MPs near the vessel wall. Additionally, the collision 618 numerical experiments are conducted between a single RBC and a single MP. We find that the 619 rod particle has the largest collision displacement. Combining the demargination performance and 620 collision displacement, the largest margination probability of spherical particle is attributed to its 621 low demargination and large collision displacement. 622

Furthermore, the near-wall adhesion effect is considered. We find that adhesion can either 623 promote or hamper margination behaviors of MPs depending on their shapes. The underlying 624 mechanism is explained as follows. When the major axes of MPs is smaller than or comparable 625 to the CFL thickness, the adhesion can promote the margination. While for MPs with a large 626 major axes, the adhesion can reduce the tumbling frequencies of MPs near the substrate, and 627 then give them enough time to interact with RBCs. The long time interaction with RBCs may 628 drag them to central stream of blood flow, hampering their margination. However, the prolate 629 particles demonstrate distinct behaviors. Apart from tumbling, the transition to precession for 630 prolate particles near the substrate results in the enhancement of their margination. We should 631 emphasize that the present study is restricted to moderate shear flow rate and micro-size particle. 632 This study might offer theoretical guidance to design MP-based drug carriers in blood flow with 633 high efficacy. 634

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Shape	Volume	Area	Major axis	Vertexes	Elements
	$(\mu m^3)$	$(\mu m^2)$	$(\mu m)$		
I. RBC	96	135	4.9	3286	6568
II. Sphere	4.18	12.52	2.0	828	1652
III. Rod	4.18	14.52	3.63	856	1708
IV. Cubic	4.18	12.65	1.61 *	672	1340
V. Disc	4.18	14.65	2.52	828	1652
VI. Oblate (AR=3)	4.18	15.7	2.88	956	1908
VII. Oblate (AR=2)	4.18	13.7	2.51	880	1756
VIII. Prolate (AR=3)	4.18	14.78	4.16	932	1860
IX. Prolate (AR=2)	4.18	13.48	3.17	860	1716
* represents the length of the cubic particle					

**TABLE 1.** Properties of RBC and different shaped MPs.

Parameters	Simulation	Physical
RBC diameter $(D_r)$	32	$8 \times 10^{-6} m$
RBC shear modulus $(\mu_r)$	0.01	$6.3 \times 10^{-6} N/m$
Energy scale $(k_{\rm B}T)$	$1.1 \times 10^{-4}$	$4.14 \times 10^{-21} \ N \cdot m$
Viscosity of fluid $(\eta)$	0.167	$0.0012 \ Pa \cdot s$
Area constant $(k_a)$	0.0075	$4.72 \times 10^{-6} N/m$
Local area constant $(k_d)$	0.367	$2.31\times 10^{-4}\;N/m$
Volume constant $(k_v)$	0.096	249 $N/m^2$
RBC bending constant $(k_b)$	0.013	$5 \times 10^{-19} N \cdot m$

**TABLE 2.** Coarse-grained potential parameters for red blood cells and their corresponding physical values.

Parameters	Simulation	Physical
MP shear modulus $(\mu_r)$	1.0	$6.3 \times 10^{-4} N/m$
Area constant $(k_a)$	0.075	$4.72 \times 10^{-5} N/m$
Local area constant $(k_d)$	3.67	$2.31\times 10^{-3}\;N/m$
Volume constant $(k_v)$	0.96	2490 $N/m^2$
Bending constant $(k_b)$	0.13	$5 \times 10^{-18} N \cdot m$

**TABLE 3.** Coarse-grained potential parameters for MPs and their corresponding physical values.

Parameters	Simulation	Physical
Equilibrium length of bond $(l_0)$	1	250 nm
Bond strength $(k_s)$	$5 \times 10^{-5}$	$3.15 \times 10^{-8} N/m$
Reactive and rupture distance $(d_{on} \text{ and } d_{off})$	4	$1 \mu m$
On strength ( $\sigma_{on}$ )	0.7305	$1.9 \times 10^{-7} N/m$
Off strength ( $\sigma_{off}$ )	0.7305	$1.9 \times 10^{-7} N/m$
Unstressed on rate $(k_{on}^0)$	3.75	$1.3 \times 10^{6} s^{-1}$
Unstressed off rate $(k_{off}^0)$	0.05	$1.8 \times 10^4 \ s^{-1}$
Ligand density $(n_l)$	4.11	$66 \ mol/\mu m^2$
Receptor density $(n_r)$	1.0	$16 \ mol/\mu m^2$

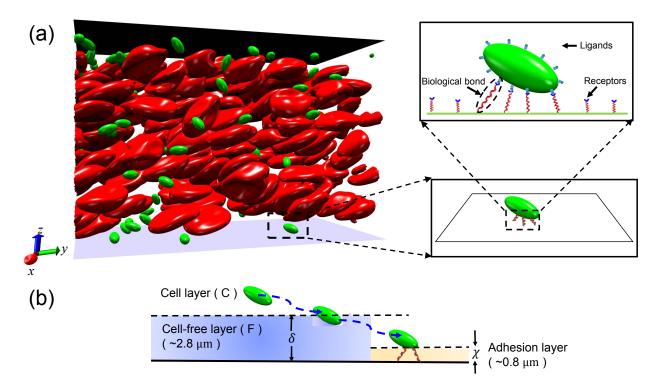
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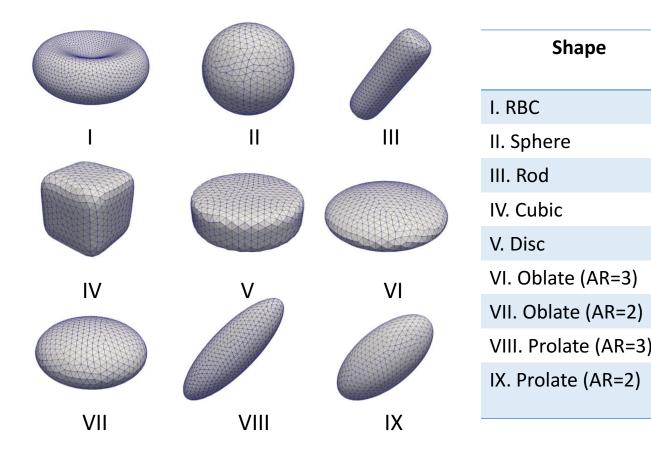
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**Fig. 1.** Transport of MPs in blood flow. (*a*) Computational model for margination and adhesion of MPs in blood flow. Zoom-in figures give the detailed adhesion behavior of a MP under ligand-receptor binding. (*b*) Schematic of transport process of a MP from cell center (denoted as C) to cell-free layer (F), and then reaching adhesion layer.



**Fig. 2.** Computational models for RBC and different shaped MPs: I. RBC, II. Sphere, III. Rod, IV. Cubic, V. Disc, VI. Oblate (AR=3), VII. Oblate (AR=2), VIII. Prolate (AR=3), IX. Prolate (AR=2).

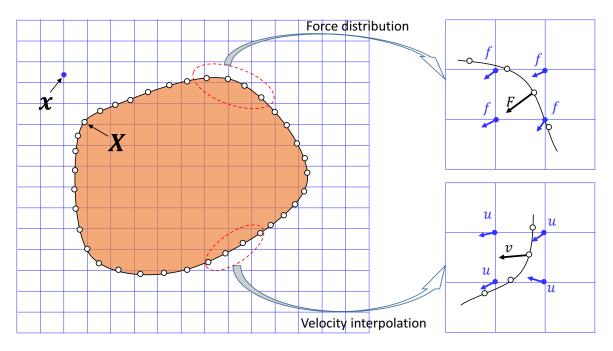
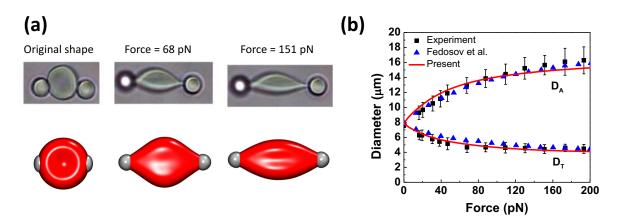
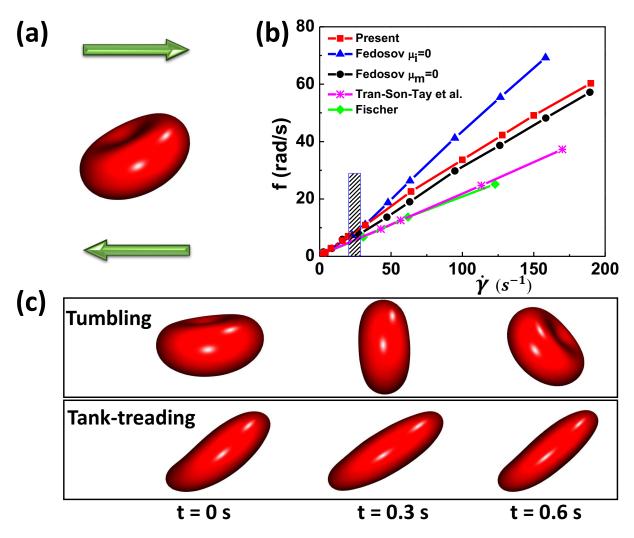


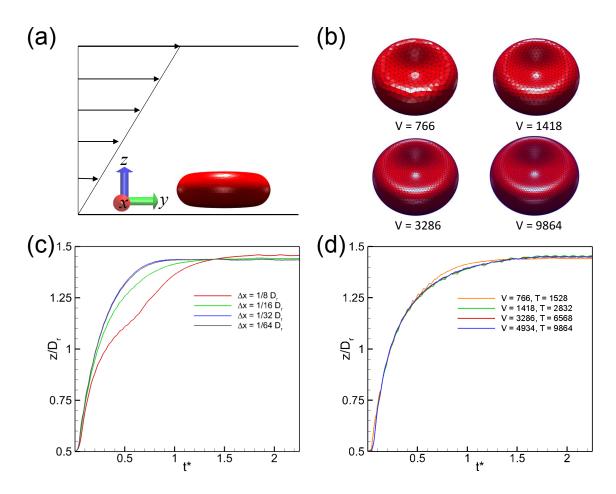
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**Fig. 4.** Stretching test of a single RBC. (a) Configuration of stretched RBC with different applied forces. (b) Diameters of RBC along stretching direction and transverse direction, denoted by  $D_A$  and  $D_T$ , respectively.



**Fig. 5.** Tank-treading and tumbling of a single RBC in shear flow. (a) Schematic of a single RBC in shear flow driven by moving of upper and bottom plates with the same velocity but opposite direction. (b) Tank-treading and tumbling frequency of RBC under different shear rates. (c) Snapshots of tumbling and tank-treading behaviors of RBC. In (b),  $\mu_i$  and  $\mu_m$  represent the inner flow viscosity and membrane viscosity, respectively.



**Fig. 6.** Grid independence studies. (a) Schematic of a single RBC in simple shear flow. (B) Discretization of RBC membrane with different vertexes. (c) Grid independence of fluid mesh. (d) Grid independence of RBC discretization.

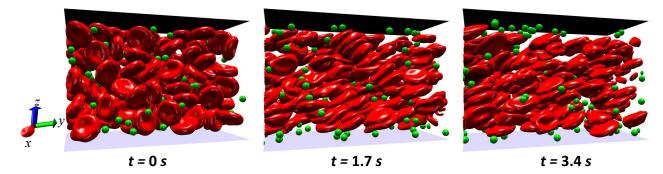
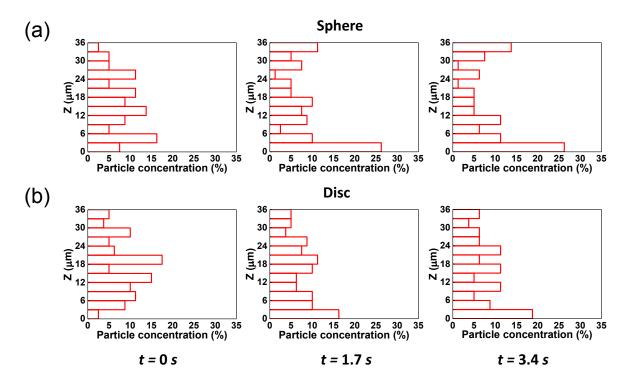
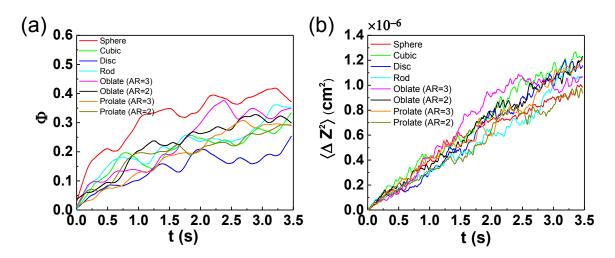


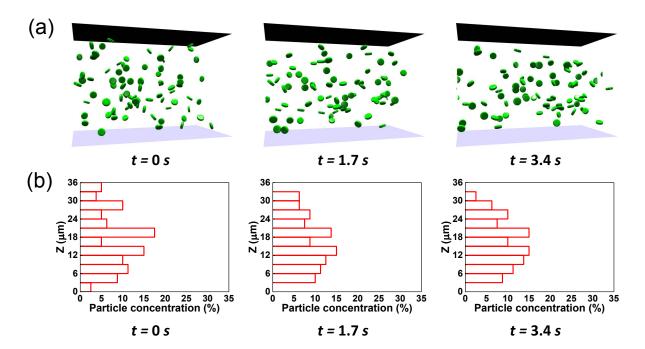
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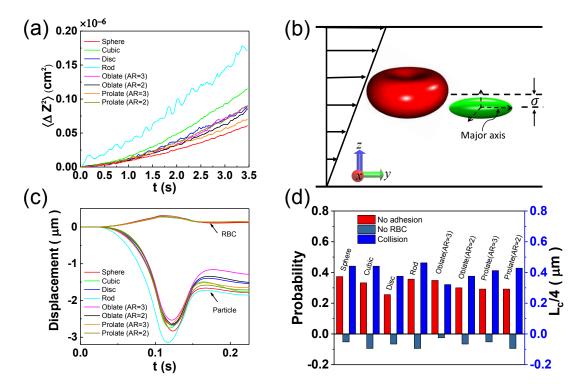
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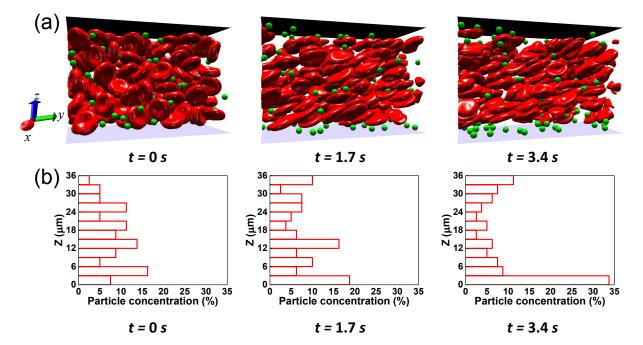
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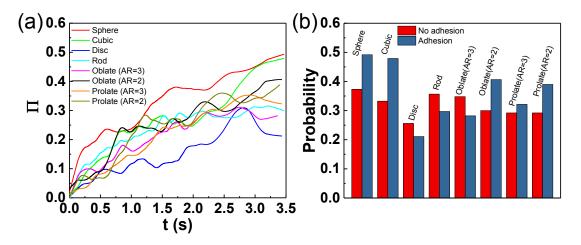
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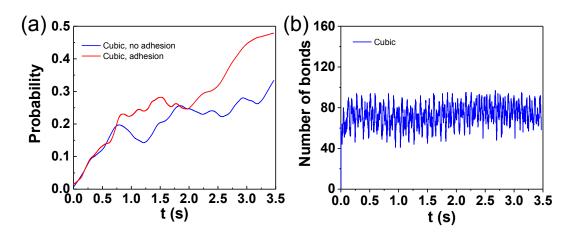
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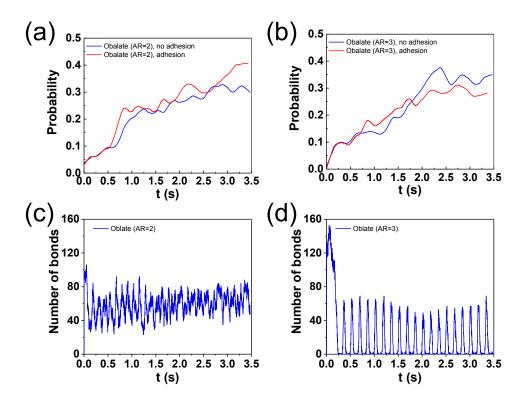
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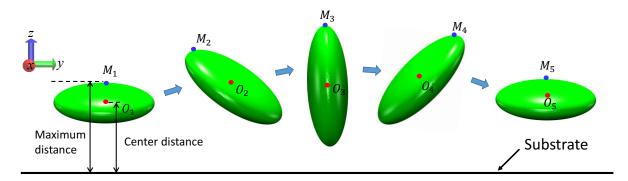
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**Fig. 14.** (a) Margination probabilities of cubic particle with and without adhesion. (b) Number of bonds of a single cubic particle when moving close to substrate.



**Fig. 15.** Margination probabilities of (a) oblate particle with AR=2 and (b) oblate particle with AR=3. Number of bonds for a single (c) oblate particle with AR=2 and (d) oblate particle with AR=3 when moving close to substrate.



**Fig. 16.** Snapshots of one tumbling period of oblate particle to show the near substrate motion under adhesion effect.  $O_1$  to  $O_5$  represent the trajectories of particle center, and  $M_1$  to  $M_5$  denote the trajectories of maximum distance between particle and substrate.

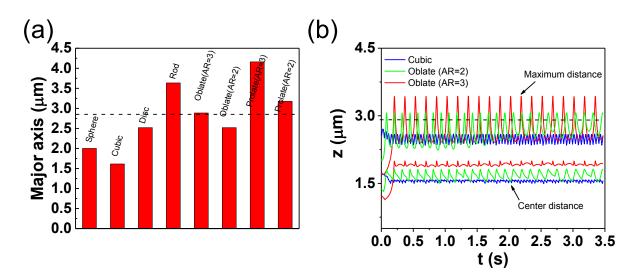
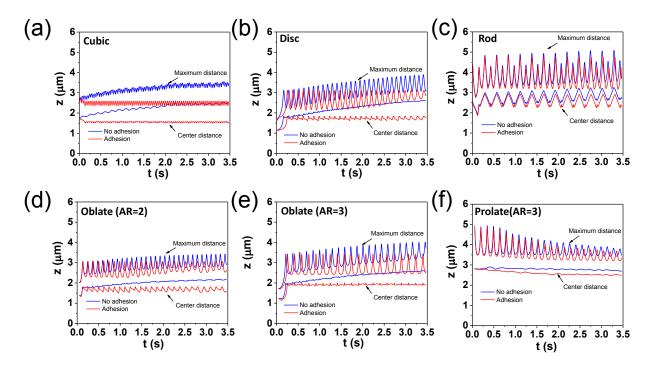


Fig. 17. (a) Distribution of major axes of MPs. Dashed black line shows the thickness of CFL under hematocrit 30 %. (b) Evolution of maximum distance and center for cubic, oblate (AR=2) and oblate (AR=3) MPs.



**Fig. 18.** Comparison of trajectories for maximum distance and center of a single particle motion near substrate with and without adhesion.

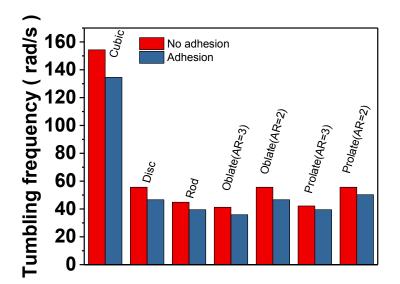
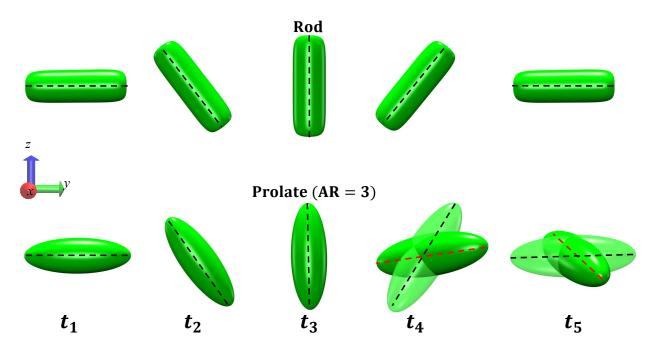


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**Fig. 20.** Comparison of motions of rod and prolate (AR=3) near the substrate. The black dashed lines show the major axes under regular tumbling motion, and the red dashed line denotes the precession of prolate particle.