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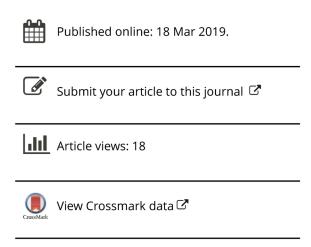
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# Hermitian Yang-Mills metrics on reflexive sheaves over asymptotically cylindrical Kähler manifolds

Adam Jacob<sup>a</sup> n and Thomas Walpuski<sup>b</sup>

<sup>a</sup>Mathematics, University of California Davis, Davis, California, USA; <sup>b</sup>Michigan State University, East Lansing, Michigan, USA

#### **ABSTRACT**

We prove an analogue of the Donaldson-Uhlenbeck-Yau theorem for asymptotically cylindrical (ACyl) Kähler manifolds: If & is a reflexive sheaf over an ACyl Kähler manifold, which is asymptotic to a  $\mu$ -stable holomorphic vector bundle, then it admits an asymptotically translation-invariant projectively Hermitian Yang-Mills metric (with curvature in  $L^2_{\rm loc}$  across the singular set). Our proof combines the analytic continuity method of Uhlenbeck and Yau with the geometric regularization scheme introduced by Bando and Siu.

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#### **KEYWORDS**

Hermitian Yang-Mills connections; reflexive sheaves; asymptotically cylindrical Kähler manifolds

#### 1. Introduction

In this paper we construct (singular) projectively Hermitian Yang-Mills (PHYM) metrics over a certain class of complete non-compact Kähler manifolds.

In the compact case this problem has been extensively studied. Its solution provides a particularly beautiful example of the relation between canonical metrics and algebrogeometric notions of stability: a holomorphic vector bundle over a compact Kähler admits a PHYM metric iff it is  $\mu$ -polystable. This was first proved for curves by Narasimhan and Seshadri [1], for algebraic surfaces by Donaldson [2], and for arbitrary compact Kähler manifolds by Uhlenbeck and Yau [3].

It is an interesting and important question to ask: under which hypothesis does a holomorphic vector bundle over a complete non-compact Kähler manifolds admit a PHYM metric?<sup>1</sup> The answer to this question is not completely understood, but a number of partial results have been obtained. For asymptotically conical Kähler manifolds, Bando proved the existence of PHYM metrics on holomorphic vector bundles which are flat at infinity [5]. Ni and Ren [6] proved that a holomorphic vector bundle over a complete non-compact Kähler manifold with a spectral gap admits a PHYM metric iff it admits a metric whose failure to be PHYM is in  $L^p$  for p > 1 (using an argument similar to Donaldson's solution of the Dirichlet problem for the PHYM equation [7]). Ni [8] showed that the same conclusion holds, for example, if the Kähler manifold satisfies a  $L^2$  Sobolev inequality and  $p \in [1, n/2)$ , or if it is non-parabolic (i.e., admits a positive Green's function) and p = 1.



#### 1.1 Main result

In this article we concentrate on the asymptotically cylindrical (ACyl) case, and in view of the applications we have in mind we work with reflexive sheaves (not just holomorphic vector bundles).

**Theorem 1.1.** Let V be an ACyl Kähler manifold with asymptotic cross-section D. Let  $\mathscr{E}_D$ be a  $\mu$ -stable vector bundle over D, and  $\mathscr E$  a reflexive sheaf asymptotic to  $\mathscr E_D$ .

Then there exists an asymptotically translation-invariant Hermitian metric H on & which satisfies the projective Hermitian Yang-Mills (PHYM) equation

$$K_H := i\Lambda F_H - \frac{\operatorname{tr}(i\Lambda F_H)}{\operatorname{rk}\mathscr{E}} \cdot \operatorname{id}_{\mathscr{E}} = 0, \tag{1.2}$$

and  $|F_H| \in L^2_{loc}(V)$ .

**Remark 1.3.** A **PHYM** metric *H* on & is Hermitian Yang–Mills (HYM) iff the induced metric h on det  $\mathscr E$  is HYM, that is,  $i\Lambda F_h = \frac{\operatorname{tr}(i\Lambda F_H)}{\operatorname{rk}\mathscr E}$  is constant. Every asymptotically translation-invariant line bundle over an ACyl Kähler manifold has a HYM metric; however, this metric will typically not be asymptotically translation invariant. See Section 2.3 for a detailed discussion.

Remark 1.4. The definition of ACyl Kähler manifolds we work with is given in Definition 2.1; it includes being asymptotically fibred.

Remark 1.5. The question of the existence of HYM metrics on holomorphic bundles (with trivial determinant) over ACyl Calabi-Yau 3-folds was studied earlier by Sá Earp [9] (using the Yang-Mills heat flow). Our result improves on his in that we consider general ACyl Kähler manifolds and handle reflexive sheaves; moreover, we give a complete proof of the exponential decay to a PHYM metric over D (which is crucial for applications).

Remark 1.6. In dimension four, there is prior work on the relation between ASD instantons and holomorphic vector bundles over cylindrical manifolds by Guo [10] and Owens [11].

**Remark 1.7.** Theorem 1.1 does not make any statement about the behavior of H near singularities. Jacob, Sá Earp, and Walpuski [12], Chen and Sun [13] have studied this behavior in the case of isolated singularities.

#### Examples and applications

There are plenty of examples of ACyl Kähler manifolds and reflexive sheaves on them. Given any smooth projective variety Z containing a smooth divisor D and fibred by |D|,  $V := Z \setminus D$  can be given the structure of an ACyl Kähler manifold [14, Section 4.2, Part 1]. Theorem 1.1 can be applied to any holomorphic vector bundle  $\mathscr E$  on Z such that  $\mathscr{E}|_D$  is  $\mu$ -stable. One often wants to construct  $\mathscr{E}$  by extending a holomorphic vector bundle  $\mathscr{E}_D$  on D to all of Z. This can always be achieved with  $\mathscr{E}$  being a reflexive sheaf—by first extending  $\mathscr{E}_D$  as a torsion-free sheaf and then taking the reflexive hull.

Whether or not this extension can be arranged to be a holomorphic vector bundle is a subtle question. This is one of the reasons why it is desirable to allow reflexive sheaves.

ACyl Calabi-Yau 3-folds are an important ingredient in the construction of twisted connected sum  $G_2$ -manifolds [15–17]. Building on [9], Sá Earp and the second named author gave a construction of a class of Yang-Mills connections, called  $G_2$ -instantons, over such twisted connected sums [18]; see [19] for a concrete example. We hope that the current work will be a first step towards the construction of singular  $G_2$ -instantons on twisted connected sums.  $G_2$ -instantons play a central role in Donaldson and Thomas' vision of gauge theory in higher dimensions [20], and understanding singularities and their formation is an important part of making their ideas rigorous; see, e.g., [21–23].

#### 1.2. Proof idea

We first prove Theorem 1.1 for holomorphic vector bundles. After a suitable choice of an initial Hermitian metric  $H_0$  on  $\mathscr E$ , we construct a **PHYM** metric using the Uhlenbeck–Yau continuity method. The difficult part is the *a priori*  $C^0$  estimate on the endomorphism *s* relating  $H_0$  and the Hermitian metric  $H_t = H_0 e^s$  along the continuity path. Unlike in [5, 8], a solution to the Poisson equation  $\Delta f = |K_{H_0}|$  cannot act as a barrier, since on V such a solution does not have exponential decay—in fact, it decreases linearly along the cylindrical end. Instead, we use an adaptation to our setup of Sá Earp's argument in [9]: his proof first exploits the barrier to obtain a bound of the form  $\|s\|_{L^\infty}^3 \leq \|s\|_{L^2}^2$ , and then uses the Donaldson functional on transverse slices along the cylindrical end to show that  $\|s\|_{L^2} \leq \|s\|_{L^\infty}$ . Besides the construction of the initial Hermitian metric  $H_0$ , this is the point at which  $\mu$ -stability enters into the proof. To prove a priori exponential decay bounds we use ideas of Haskins, Hein, and Nordström [14].

Once Theorem 1.1 is established for holomorphic vector bundles, we prove the general case for a reflexive sheaf  $\mathscr E$  following a geometric regularization scheme, introduced by Bando and Siu [24], based on approximating  $\mathscr E$  and V by a holomorphic vector bundle and a family of ACyl Kähler metrics on a blow-up of V. The main difficulty is controlling the barrier f as the metrics degenerate. Once f is controlled, the  $C^0$  bound on compact subsets away from the singular set of  $\mathscr E$  follows, and the arguments from the holomorphic vector bundle case can be applied directly.

#### 1.3. Conventions

We denote by c > 0 a generic constant, which depends only on V,  $\mathscr{E}$ , and the reference metric  $H_0$  constructed in Section 3. Its value might change from one occurrence to the next. Should c depend on further data we indicate this by a subscript. We write  $x \leq y$  for  $x \leq cy$  and  $x \approx y$  for  $c^{-1}y \leq x \leq cy$ . O(x) denotes a quantity y with  $|y| \leq x$ .

# 2. ACyl Kähler manifolds

In this section we briefly introduce some notation, recall the necessary linear analysis, and provide the details promised in Remark 1.3.

**Definition 2.1.** Let  $(D, g_D, I_D)$  be a compact Kähler manifold. A Kähler manifold  $(V, g, I_D)$ I) is called ACyl with asymptotic cross-section  $(D, g_D, I_D)$  if there exists a constant  $\delta_V > 0$ , a compact subset  $K \subset V$  and a diffeomorphism  $\pi: V \setminus K \to (1, \infty) \times S^1 \times D$ such that

$$|\nabla^k(\pi_*g-g_\infty)|+|\nabla^k(\pi_*I-I_\infty)|=O(e^{-\delta_V\ell}),$$

for all  $k \in \mathbb{N}_0$ , with

$$g_{\infty} := \mathrm{d} \ell^2 \oplus \mathrm{d} \theta^2 \oplus g_D \quad \text{and} \quad I_{\infty} = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \oplus I_D.$$

Here  $(\ell, \theta)$  are the canonical coordinates on  $(0, \infty) \times S^1$ . The connection  $\nabla$  and norms  $|\cdot|$  are both taken to be the ones induced by  $g_{\infty}$ . Moreover, we assume that the map  $V \setminus K \to (1, \infty) \times S^1$  is holomorphic.

In what follows, we suppose that an ACyl Kähler manifold V with asymptotic crosssection D has been fixed. By slight abuse of notation we denote by  $\ell: V \to [0,\infty)$  a smooth extension of  $\ell \circ \pi$ :  $V \setminus K \to (1, \infty)$  such that  $\ell \leqslant 1$  on K. Given L > 1, we define the truncated manifold

$$V_L := \ell^{-1}([0, L]).$$

Given  $z = (L, \theta) \in (1, \infty) \times S^1$ , we set

$$D_z := \pi^{-1}(\{(L,\theta)\} \times D). \tag{2.2}$$

#### 2.1. Reflexive sheaves and Hermitian metrics

**Definition 2.3.** Let  $\mathscr{E}_D = (E_D, \overline{\partial}_D)$  be a holomorphic vector bundle over D. Let  $\mathscr{E}$  be a reflexive sheaf over V with singular set  $S := sing(\mathscr{E})$  and underlying smooth vector bundle  $E \to V \setminus S$ . We say that  $\mathscr{E}$  is **asymptotic** to  $\mathscr{E}_D$  if the following hold:

- There exists a constant  $L_0 \ge 2$  such that  $S \subset V_{L_0-1}$ . In particular,  $E|_{V \setminus V_{I_0}}$  has a  $\overline{\partial}$ -operator.
- Denote by  $\mathscr{E}_{\infty} = (E_{\infty}, \overline{\eth}_{\infty})$  the pullback of  $\mathscr{E}_{D} = (E_{D}, \overline{\eth}_{D})$  to  $(L_{0}, \infty) \times S^{1} \times D$ . Choose an auxiliary Hermitian metric on  $E_D$  and pull it back to  $E_{\infty}$ . There exists a bundle isomorphism  $\overline{\pi}: E|_{V\setminus V_{I_0}} \to E_{\infty}$  covering  $\pi$  and a constant  $\delta_{\mathscr{E}} > 0$  such that

$$|
abla^k (\overline{\pi}_* \overline{\partial} - \overline{\partial}_\infty)| = O(e^{-\delta_\ell \ell}),$$

for all  $k \in \mathbb{N}_0$  and  $\ell \geqslant L_0$ .

We say that  $(\mathscr{E}, \overline{\partial})$  is asymptotically translation-invariant if it is asymptotic to some holomorphic vector bundle over D.

**Definition 2.4.** Let  $\mathscr{E}$  be a reflexive sheaf over V asymptotic to  $\mathscr{E}_D$ . Let  $H_D$  be a Hermitian metric on  $E_D$ . Denote by  $H_{\infty}$  the pullback of  $H_D$  to  $\mathscr{E}_{\infty}$ . A Hermitian metric on  $\mathscr{E}$  is a Hermitian metric H on  $\mathscr{E}|_{V\setminus S}$ . We say that it is **asymptotic** to  $H_D$  if there exist a constant  $\delta_H > 0$  such that

$$|
abla^k(\overline{\pi}_*H-H_\infty)|=O(e^{-\delta_H\ell})$$

for all  $k \in \mathbb{N}_0$  and  $\ell \geqslant L_0$ . (We take the background metric, used in the comparison, to be  $H_{\infty}$ .) We say that H is **asymptotically translation-invariant** if it is asymptotic to some Hermitian metric  $H_D$ .

Given a Hermitian metric H on a holomorphic vector bundle  $(\mathscr{E}, \overline{\partial})$ , there exists a unique connection  $A_H$ , called the **Chern connection**, which preserves the Hermitian metric and satisfies  $\nabla^{0,1}_{A_H} = \overline{\partial}$ ; see, e.g., [25, Theorem 3.18]. We denote the curvature of this connection by  $F_H$ .

**Definition 2.5.** A Hermitian metric H on a reflexive sheaf  $\mathscr E$  is called **projectively Hermitian Yang-Mills (PHYM)** if  $K_H \in C^\infty(V \setminus S, i\mathfrak{su}(E, H))$  defined by

$$K_H := i\Lambda F_H - rac{\operatorname{tr}(i\Lambda F_H)}{\operatorname{rk}\mathscr{E}} \cdot \operatorname{id}_\mathscr{E}$$

vanishes.

## 2.2. Linear analysis

In the subsequent sections we need a few results about linear analysis on ACyl Kähler manifolds. We will simply state the required results and sketch their proofs. For a nice review of linear analysis on ACyl manifolds we refer the reader to [14, Section 2.1]; see also Maz'ya and Plamenevskiĭ [26] and Lockhart and McOwen [27].

Fix a holomorphic vector bundle  $\mathscr E$  asymptotic to  $\mathscr E_D$  and a Hermitian metric H asymptotic to  $H_D$ .

**Definition 2.6.** For  $k \in \mathbb{N}$ ,  $\alpha \in (0,1)$  and  $\delta \in \mathbb{R}$ , define

$$C^{k,\alpha}_{\delta}(V) := \Big\{ f \in C^{k,\alpha}(V) : \|f\|_{C^{k,\alpha}_{\delta}} < \infty \Big\},\,$$

with

$$\|\cdot\|_{C^{k,lpha}_{\delta}}:=\|e^{\delta\ell}\cdot\|_{C^{k,lpha}},$$

and set

$$C^{\infty}_{\delta}(V) := \bigcap_{k \in \mathbf{N}} C^{k,\alpha}_{\delta}(V).$$

Similarly, we define  $C^{k,\alpha}_{\delta}(V,i\mathfrak{su}(E,H))$  and  $C^{\infty}_{\delta}(V,i\mathfrak{su}(E,H))$ .

**Proposition 2.7.** For  $0 < \delta \ll_D 1$ , the linear map  $C_{\delta}^{k+2,\alpha}(V) \oplus \mathbf{R} \to C_{\delta}^{k,\alpha}(V)$  defined by  $(f,A) \mapsto \Delta f - A\Delta \ell$ 

is an isomorphism.

Proof. This is [14, Proposition 2.7] together with the observation that

$$\int_{V} \Delta \ell = -\text{vol}(S^{1} \times D).$$

**Definition 2.8.** A holomorphic vector bundle  $(\mathscr{E}_D, \overline{\partial})$  over D is **simple** if every holomorphic endomorphisms of  $\mathscr{E}_D$  is a homothety, that is:  $H^0(\mathscr{E}\operatorname{nd}(\mathscr{E}_D)) = \mathbf{C} \cdot \operatorname{id}_{\mathscr{E}_D}$ .

**Proposition 2.9.** If  $H_D$  is HYM,  $\mathscr{E}_D$  is simple and  $|\delta| \ll_{H_D} 1$ , then the linear operator

$$abla_{H_0}^* 
abla_{H_0} : C_\delta^{k+2,lpha}(V,i\mathfrak{su}(E,H)) o C_\delta^{k,lpha}(V,i\mathfrak{su}(E,H))$$

is Fredholm of index zero.

*Proof.* We use the theory explained in [14, Section 2.1]. The linear operator  $\nabla_{H_0}^* \nabla_{H_0}$  is asymptotic to the translation-invariant linear operator

$$-\partial_{\ell}^2 - \partial_{\theta}^2 + \nabla_{H_D}^* \nabla_{H_D}$$

acting on sections of  $i\mathfrak{su}(E_{\infty}, H_{\infty})$ . Since  $H_D$  is **PHYM**,

$$\frac{1}{2}\nabla_{H_D}^*\nabla_{H_D}=\partial_{H_D}^*\partial_{H_D}=\overline{\partial}_{\mathscr{E}_D}^*\overline{\partial}_{\mathscr{E}_D}.$$

The latter is invertible because  $\mathscr{E}_D$  is simple. Consequently, the spectrum of  $-\partial_\theta^2 +$  $\nabla_{H_D}^* \nabla_{H_D}$  is contained in  $[\lambda_D, \infty)$ , for some  $\lambda_D > 0$ . This implies the Fredholm property for  $|\delta| < \sqrt{\lambda_D}$  by [14, Proposition 2.4]. Since  $\nabla_{H_D}^* \nabla_{H_D}$  is formally self-adjoint and 0 is not a critical weight, the index is zero; cf. [27, Theorem 7.4].

## 2.3. Hermitian Yang-Mills metrics on line bundles

**Proposition 2.10.** Let  $\mathscr{L}$  be a line bundle asymptotic to  $\mathscr{L}_D$  and denote by  $h_D$  a Hermitian metric on  $\mathcal{L}_D$  with<sup>3</sup>

$$i\Lambda F_{h_D} = \lambda := \frac{2\pi \cdot \deg(\mathscr{L}_D)}{(n-2)! \cdot \operatorname{vol}(D)}.$$

Then there exists a unique Hermitian metric  $h_0$  asymptotic to  $h_D$  and  $A \in \mathbf{R}$  such that  $h := h_0 e^{-A\ell}$  satisfies

$$i\Lambda F_h = \lambda$$
.

*Proof.* Let  $h_{-1}$  be any Hermitian metric asymptotic to  $h_D$ . We have

$$\lambda - i\Lambda F_{h_{-1}} \in C^{\infty}_{\delta}(V).$$

By Proposition 2.7 there is a unique pair  $f \in C_{\delta}^{\infty}(V)$  and  $A \in \mathbf{R}$  such that

$$\Delta(f - A\ell) = \lambda - i\Lambda F_{h_{-1}}.$$

The proposition follows with  $h_0 := h_{-1}e^f$ .

The number  $A(\mathcal{L})$  defined by Proposition 2.10 is an invariant of the asymptotically translation-invariant line bundle  $\mathcal{L}$ . It can be computed as

$$A(\mathscr{L}) := \frac{1}{\operatorname{vol}(S^1 \times D)} \int_V \lambda - i\Lambda F_h$$

with h denoting any Hermitian metric asymptotic to some  $h_D$  as in Proposition 2.10. It is closely related to the first Chern class: if  $\mathcal{L}_1$  and  $\mathcal{L}_2$  are both asymptotic to  $\mathcal{L}_D$ , then  $c_1(\mathcal{L}_1) - c_1(\mathcal{L}_2) \in H^2_c(V)$  and

$$A(\mathscr{L}_1) - A(\mathscr{L}_2) = \frac{2\pi \cdot \langle (c_1(\mathscr{L}_1) - c_1(\mathscr{L}_2)) \cup [\omega]^{n-1}, [V] \rangle}{(n-1)! \cdot \operatorname{vol}(S^1 \times D)}.$$

It follows from the above that  $\mathscr E$  as in Theorem 1.1 admits an asymptotically translation invariant HYM metric iff  $A(\det \mathscr E)=0$ .

# 3. The Uhlenbeck-Yau continuity method

In this section we begin the proof of Theorem 1.1 in the case when  $\mathscr{E}$  is a holomorphic vector bundle. We use the continuity method introduced by Uhlenbeck and Yau [3]; see also Lübke and Teleman's beautiful books [28, 29].

We fix some

$$0 < \delta < \min \left\{ \delta_{V}, \delta_{\mathscr{E}}, \sqrt{\lambda_{D}} \right\}$$

and will shortly construct a background Hermitian metric  $H_0$  on  $\mathscr E$  which is asymptotically translation-invariant and satisfies

$$K_{H_0} \in C_{\delta}^{\infty}(V, i\mathfrak{su}(E, H_0)). \tag{3.1}$$

Given such an  $H_0$ , we define a map<sup>4</sup>

$$\mathfrak{L}: C^{\infty}_{\delta}(V, i\mathfrak{su}(E, H_0)) \times [0, 1] \to C^{\infty}_{\delta}(V, i\mathfrak{su}(E, H_0))$$

by

$$\mathfrak{L}(s,t) := \mathrm{Ad}(e^{s/2})K_{H_0e^s} + t \cdot s.$$

Set

$$I:=\big\{t\in[0,1]:\mathfrak{L}(s,t)=0\ \text{for some}\ s\in C^\infty_\delta(V,\mathfrak{isu}(E,H_0))\big\}.$$

We will show that  $1 \in I, I \cap (0, 1]$  is open and I is closed; hence, I = [0, 1]. Since  $\mathfrak{L}(s, 0) = 0$  precisely means that  $H = H_0 e^s$  satisfies Eq. (1.2), this will prove Theorem 1.1 when  $\mathscr{E}$  is a holomorphic vector bundle.

**Proposition 3.2.** There exists an asymptotically translation-invariant Hermitian metric  $H_0$  on  $\mathscr E$  satisfying Eq. (3.1) and an  $s \in C^\infty_\delta(V, i\mathfrak{su}(E, H_0))$  such that  $\mathfrak L(s, 1) = 0$ .

*Proof.* We use a trick discovered by Lübke and Teleman [28, Lemma 3.2.1]. By the Donaldson–Uhlenbeck–Yau theorem [2, 3, 30] there exists a **PHYM** metric  $H_D$  on  $\mathscr{E}_D$ . One can easily construct a Hermitian metric  $H_{-1}$  asymptotic to  $H_D$  (at rate  $\delta_{H_{-1}} = \delta$ ) which satisfies

$$\kappa := K_{H_{-1}} \in C^{\infty}_{\delta}(V, i\mathfrak{su}(E, H_{-1})).$$

The Hermitian metric

$$H_0 := H_{-1}e^{\kappa}$$

is asymptotic to  $H_D$  (at rate  $\delta_{H_0} = \delta$ ). We have Eq. (3.1), and

satisfies

$$\mathfrak{L}(-\kappa,1) = \mathrm{Ad}(e^{-\kappa/2})(K_{H_{-1}}) - \kappa = 0.$$

## 4. Linearising $\mathfrak{L}=0$

Having just proved that  $1 \in I$ , the next step is to show that  $I \cap (0,1]$  is open. This will be established in this section by linearizing the equation  $\mathfrak{L} = 0$ .

Since

$$\mathfrak{L}(s,t) = \mathrm{Ad}(e^{s/2})(K_{H_0} + i\Lambda \overline{\partial}(e^{-s}\partial_{H_0}e^s)) + t \cdot s,$$

it extends to a smooth map

$$\mathfrak{L}:\ C^{2,\alpha}_{\delta}(V,i\mathfrak{su}(E,H_0))\times [0,1]\to C^{0,\alpha}_{\delta}(V,i\mathfrak{su}(E,H_0)).$$

The fact that  $I \cap (0,1]$  is open is an immediate consequence of the following two propositions and the implicit function theorem for Banach spaces; see, e.g., [31, Theorem A.3.3].

**Proposition 4.1.** If  $(s,t) \in C^{2,\alpha}_{\delta}(V,i\mathfrak{su}(E,H_0)) \times (0,1]$  is a solution of  $\mathfrak{L}(s,t)=0$ , then the linearization

$$L_{s,t}:=rac{\mathrm{d}\mathfrak{L}}{\mathrm{d}s}(s,t): \ C^{2,lpha}_{\delta}(V,i\mathfrak{su}(E,H_0)) o C^{0,lpha}_{\delta}(V,i\mathfrak{su}(E,H_0))$$

is invertible.

**Proposition 4.2.** If 
$$(s,t) \in C^{2,\alpha}_{\delta}(V, i\mathfrak{su}(E, H_0)) \times [0,1]$$
 is a solution of  $\mathfrak{L}(s,t) = 0$ , then  $s \in C^{\infty}_{\delta}(V, i\mathfrak{su}(E, H_0))$ .

The proofs of both of these results are essentially identical to those of the analogous results in the compact setting; see [29, Lemma 4.6 and Lemma 4.8]. The proofs make use of the explicit formulae for  $Ad(e^{s/2})K_{H_0e^s}$  and its derivative in the direction of s. The derivation of these, while rather straight-forward, is somewhat tedious and therefore relegated to Appendix A.

Proof of Proposition 4.2. By Proposition A.1 and since  $\Theta(s)$  as defined in Eq. (A.3) is invertible, the equation  $\mathfrak{L}(s,t)=0$  is equivalent to

$$\left(\frac{1}{2}\nabla_{H_0}^*\nabla_{H_0}+t\right)s+B(\nabla_{H_0}s\otimes\nabla_{H_0}s)=C(K_{H_0}).$$

where B and C are linear with coefficients depending on s, but not on its derivatives. The result now follows from a standard elliptic bootstrapping procedure. 

Proof of Proposition 4.1. By Proposition A.8 and using

$$\mathfrak{L}(s,t) = \mathrm{Ad}(e^{s/2})K_{H_0e^s} - t \cdot s = 0,$$

the linear operator  $L_{s,t}$  is given by

$$\begin{split} L_{s,t}\hat{s} &= \frac{1}{4} \nabla_{\tilde{A}_s}^* \nabla_{\tilde{A}_s} (\mathrm{id} + \mathrm{Ad}(e^{-s/2})) \Upsilon(s/2) \hat{s} \\ &+ \frac{t}{4} \left[ s, (\mathrm{id} - \mathrm{Ad}(e^{-s/2})) \Upsilon(s/2) \hat{s} \right] + t \hat{s} \end{split}$$

with  $\Upsilon$  as defined in Eq. (A.2). Since  $s \in C^{2,\alpha}_{\delta}(V,i\mathfrak{su}(E,H_0))$ , the linear operator  $L_{s,t}$  can be connected to  $\frac{1}{2}\nabla^*_{H_0}\nabla_{H_0}+t$  by a path of bounded linear operators which are asymptotic to  $\frac{1}{2}(\partial_\ell^2-\partial_\theta^2+\nabla^*_{H_D}\nabla_{H_D})+t$ . The argument in the proof of Proposition 2.9 shows that this is a path of Fredholm operators. Therefore, the index of  $L_{s,t}$  agrees with that of  $\frac{1}{2}\nabla^*_{H_0}\nabla_{H_0}+t$  and thus vanishes. To see that  $L_{s,t}$  has trivial kernel and thus is invertible, observe that

$$\int_{V} \langle L_{s,t} \hat{s}, (\mathrm{id} + \mathrm{Ad}(e^{-s/2})) \Upsilon(s/2) \hat{s} \rangle$$

$$\geqslant t \int_{V} \left\langle (\mathrm{ad}_{s/4} (\mathrm{id} - \mathrm{Ad}(e^{-s/2}) \Upsilon(s/2) + \mathrm{id}) \hat{s}, (\mathrm{id} + \mathrm{Ad}(e^{-s/2})) \Upsilon(s/2) \hat{s} \rangle$$

$$= t \int_{V} \langle \Xi(s) \hat{s}, \hat{s} \rangle$$

with

$$\Xi(s) := \Upsilon(s/2) \left( \mathrm{id} + \mathrm{Ad}(e^{-s/2}) \right) \left( \mathrm{ad}_{s/4} \left( \mathrm{id} - \mathrm{Ad}(e^{-s/2}) \Upsilon(s/2) + \mathrm{id} \right).$$

Since  $Ad(e^s) = e^{ad_s}$  and  $spec(ad_s) \subset \mathbf{R}$ , it follows from

$$\frac{\left(e^{x/2}-1\right)}{x/2}\left(1+e^{-x/2}\right)\left(\frac{x}{4}\left(1-e^{-x/2}\right)\frac{e^{x/2}-1}{x/2}+1\right)=\frac{2\sinh(x)}{x}\geqslant 2,$$

for all  $x \in \mathbf{R}$ , that

$$\int_{V} \langle L_{s,t} \hat{s}, \operatorname{Ad}(e^{-s/2}) \Upsilon(s/2) \hat{s} \rangle \geq 2t \int_{V} |\hat{s}|^{2}.$$

Therefore,  $L_{s,t}$  has trivial kernel.

#### 5. A priori estimate

Given the following *a priori* estimate, it is an immediate consequence of Arzelà–Ascoli theorem that *I* is closed.

**Proposition 5.1.** If 
$$(s,t) \in C^{\infty}_{\delta}(V, i\mathfrak{su}(E, H_0)) \times [0,1]$$
 satisfies  $\mathfrak{L}(s,t) = 0$ , then  $\|s\|_{C^{k,x}} \leq c_{k,\alpha}$ .

The proof of this proposition, to which this section is devoted, has two steps: First we prove that  $||s||_{C^0}$  is bounded by a constant depending only on  $H_0$  using ideas from [9]. This implies that  $||s||_{C^k}$  is bounded by a constant depending only on k and  $H_0$  by an argument of Bando and Siu [24, Proposition 1] (For the reader's convenience we



give a detailed proof of this in Appendix C). The second step is a decay estimate which is similar to [14, Steps 3 and 4 in the proof of Theorem 4.1].

# 5.1. A priori $C^k$ estimate

**Proposition 5.2.** If  $(s,t) \in C_{\delta}^{\infty}(V, i\mathfrak{su}(E, H_0)) \times [0,1]$  satisfies  $\mathfrak{L}(s,t) = 0$ , then  $||s||_{C^k} \leq c_k$ .

*Proof.* By Theorem C.1 it suffices to prove the proposition for k=0. Fix  $L_0\gg 1$ , but independent of s, and set

$$N:=\|s\|_{L^{\infty}(V)}\quad \text{and} \quad M:=\|s\|_{L^{\infty}\left(V\setminus V_{L_{0}}\right)}.$$

Step 1. We have

$$N \leq M + c(L_0 + 1).$$

We can assume that |s| achieves its maximum at  $x_0 \in V_{L_0}$  because otherwise the estimate holds trivially. From Proposition A.9 and  $\mathfrak{L}(s,t)=0$  it follows that

$$\Delta |s|^2 + 4t|s|^2 \leqslant -4\langle K_{H_0}, s \rangle; \tag{5.3}$$

hence,

$$\Delta |s|^2 \leqslant 4N|K_{H_0}|.$$

Denote by  $f \in C^{2,\alpha}_\delta(V)$  and A > 0 the unique solution to

$$\Delta(f-A\ell)=4|K_{H_0}|.$$

Applying the maximum principle to the subharmonic function  $|s|^2 - N(f - A\ell)$  on  $V_{L_0}$  we have

$$N^2 \leq M^2 + N(AL_0 + 2||f||_{L^{\infty}}) \leq N(M + AL_0 + 2||f||_{L^{\infty}}).$$

This implies the assertion.

Step 2. We have

$$\sqrt{M} \lesssim \|K_{H_0 e^{\varepsilon}|_{D_z}}\|_{L^2(V \setminus V_{L_0})} + 1.$$

Here  $D_z$  is as in Eq. (2.2) for  $z = (L, \theta) \in (L_0, \infty) \times S^1$ .

**Step 2.1.** If  $x_0 \in \overline{V \setminus V_{L_0}}$  is such that

$$|s|(x_0)=M,$$

then for all  $L \ge \ell(x_0)$  we have

$$\|s\|_{L^{\infty}(\partial V_L)} - \frac{1}{4}M \gtrsim \ell(x_0) - L.$$

By the maximum principle applied to  $|s|^2 - N(f - A\ell)$  on  $V_L$  we have

$$M^2 - Nf(x_0) + NA\ell(x_0) \leqslant ||s||_{L^{\infty}(\partial V_L)}^2 + N||f||_{L^{\infty}(\partial V_L)} + NAL.$$

We can assume that  $M \geqslant 8\|f\|_{L^{\infty}(V \setminus V_{L_0})}$  and  $N \leqslant 2M$ , because otherwise N can already be bounded independent of s using Step 1. With these assumptions it follows that

$$\begin{split} NA\big(\ell(x_0)-L\big) &\leqslant \|s\|_{L^{\infty}(\partial V_L)}^2 - M^2 + 2N\|f\|_{L^{\infty}\big(V \setminus V_{L_0}\big)} \\ &\leqslant N\left(\|s\|_{L^{\infty}(\partial V_L)} - \frac{1}{4}M\right). \end{split}$$

**Step 2.2.** There are  $L_0 \leq L_1 < L_2$  with  $L_2 - L_1 \asymp M$  such that

$$M^{3/2} \lesssim \|s\|_{L^2(V_{L_2} \setminus V_{L_1})}.$$

By Step 2.1 we have

$$M \lesssim ||s||_{L^{\infty}(\partial V_I)}$$

for  $0 \le L - \ell(x_0) \asymp M$ ; hence, using the mean value inequality [32, Theorem 9.20],  $\Delta |s|^2 \le 4|K_{H_0}||s|$ , and  $|K_{H_0}| = e^{-\delta \ell}$  it follows that

$$M^2 \lesssim \int_{V_{L+1} \setminus V_{L-1}} |s|^2 + e^{-\delta L_0} M.$$

Since  $L_0 \gg 1$ , the second term on the R.H.S. can be rearranged. Summing over  $L - \ell(x_0) = 1, ..., k$  (with  $k \approx M$ ) yields the asserted inequality.

**Step 2.3.** We have

$$||s||_{L^2(D_z)} - 1/2 \lesssim M ||K_{H_0e^s|_{D_z}}||_{L^2(D_z)}.$$

At this stage the  $\mu$ -stability of  $\mathscr{E}_D$  comes into play via the Donaldson functional  $\mathscr{M}$ ; see Appendix B. Since  $L_0\gg 1$  and  $\mathscr{E}_D$  is  $\mu$ -stable,  $\mathscr{E}|_{D_z}$  is  $\mu$ -stable as well. Denote by  $H_{D_z}$  the PHYM metric on  $\mathscr{E}_{D_z}$  inducing the same metric on det  $(\mathscr{E}|_{D_z})$  as  $H_0|_{D_z}$ . Set  $\sigma_z:=\log(H_{D_z}^{-1}H_0|_{D_z})$ . By the construction of  $H_0$  in Proposition 3.2 we have  $\sigma_z\in C^\infty_\delta(V,i\mathfrak{su}(E,H_0))$ .

Using Theorem B.3, Proposition B.1, and Proposition B.2 we have

$$\begin{split} \|s|_{D_z}\|_{L^2(D_z)} - 1 &\lesssim \|\log(e^{\sigma}e^{s|_{D_z}})\|_{L^2(D_z)} - 1 \\ &\lesssim M(H_{D_z}, H_{D_z}e^{\sigma}e^{s|_{D_z}}) \\ &\lesssim M(H_0|_{D_z}, H_{D_z}) + M(H_0|_{D_z}, H_0e^{s}|_{D_z}) \\ &\lesssim \int_{D_z} |s| |K_{H_0}e^{s}|_{D_z}| + e^{-\delta L_0}. \end{split}$$

This implies the asserted inequality.

Comparing the lower bounds from Step 2.2 with the upper bounds obtained by integrating Step 2.3 completes the proof of Step 2.

**Step 3.** We have

$$\|K_{H_0e^s|_{D_z}}\|_{L^2\left(V\setminus V_{L_0}\right)}^2 \lesssim e^{-\delta L_0} + \|F_{H_0}^{\circ}\|_{L^2\left(V_{L_0}\right)}^2.$$

Here  $F_{H_0}^{\circ}$  denotes the curvature of the PU(r)-connection induced by  $H_0$ .

Once this is proved, the desired control on M follows and the proof of Proposition 5.2 will be complete.

Step 3.1. We have

$$\|K_{H_0 e^s|_{D_z}}\|_{L^2\left(V \setminus V_{L_0}\right)}^2 \lesssim \int_V |F_{H_0 e^s}^{\circ}|^2 - |F_{H_0}^{\circ}|^2 + ce^{-\delta L_0} + \|F_{H_0}^{\circ}\|_{L^2\left(V_{L_0}\right)}^2.$$

If H is a Hermitian metric on a holomorphic bundle  $\mathscr E$  over an n-dimensional Kähler manifold X with Kähler form  $\omega$ , then

$$q_4(H) \wedge \omega^{n-2} = c \left( |F_H^{\circ}|^2 - |K_H|^2 \right) \text{vol}$$
 (5.4)

with

$$q_4(H) := 2c_2(H) - \frac{r-1}{r}c_1(H)^2$$

and  $c_k$  denoting the k-th Chern form associated with H.

If X is compact, then the integral of the L.H.S. of Eq. (5.4) depends only  $\mathscr{E}$ ; hence,

$$\int_{D_z} |K_{H_0 \, e^{\varepsilon}|_{D_z}}|^2 = \int_{D_z} |K_{H_0|_{D_z}}|^2 + \int_{D_z} |F_{H_0 \, e^{\varepsilon}|_{D_z}}^{\circ}|^2 - |F_{H_0|_{D_z}}^{\circ}|^2.$$

Since

$$|F_{H_0} - F_{H_0|_{D_z}}| \lesssim e^{-\delta L}$$
 and  $|K_{H_0|_{D_z}}| \lesssim e^{-\delta L}$ ,

it follows that

$$\begin{split} \int_{D_z} |K_{H_0 e^s|_{D_z}}|^2 & \lesssim \int_{D_z} |F_{H_0 e^s|_{D_z}}^{\circ}|^2 - |F_{H_0|_{D_z}}^{\circ}|^2 + e^{-\delta L} \\ & \lesssim \int_{D_z} |F_{H_0 e^s}^{\circ}|^2 - |F_{H_0}^{\circ}|^2 + e^{-\delta L}. \end{split}$$

**Step 3.2.** We have

$$\int_V |F_{H_0e^s}^\circ|^2 - |F_{H_0}^\circ|^2 \leqslant 0.$$

Since  $s \in C^{\infty}_{\delta}(V, i\mathfrak{su}(E, H_0))$ , we have

$$\int_{V} (q_4(H_0e^s) - q_4(H_0)) \wedge \omega^{n-2} = 0.$$

Using Eq. (5.4), we obtain

$$\int_{V} |F_{H_0 e^s}^{\circ}|^2 - |F_{H_0}^{\circ}|^2 = \int_{V} |K_{H_0 e^s}|^2 - |K_{H_0}|^2.$$

To see that the R.H.S. is non-positive, we use Eq. (5.3) and  $\mathfrak{L}(s,t)=0$  to derive

$$\begin{split} \int_{V} |K_{H_0 e^s}|^2 &= \int_{V} t^2 |s|^2 \leqslant \int_{V} t |K_{H_0}| |s| \\ &\leqslant \int_{V} \frac{1}{2} |K_{H_0}|^2 + \frac{1}{2} t^2 |s|^2 = \int_{V} \frac{1}{2} |K_{H_0}|^2 + \frac{1}{2} |K_{H_0 e^s}|^2. \end{split}$$

## 5.2. Decay estimate

*Proof of Proposition 5.1.* To complete the proof we need to establish quantitative exponential decay bounds for s using the *a priori* estimate in Proposition 5.2 and the qualitative information that  $s \in C^{\infty}_{\delta}(V, i\mathfrak{su}(E, H_0))$ .

Fix  $L_0 \gg 1$  as in the proof of Proposition 5.2.

Step 1. We have

$$\int_{V\setminus V_{I_0}} |\nabla_{H_0} s|^2 \leqslant c.$$

From Proposition A.9 and  $\mathfrak{L}(s,t)=0$  it follows that

$$\Delta |s|^2 + 2|v(-s)\nabla_{H_0}s|^2 \leqslant -4\langle K_{H_0}, s\rangle$$

with v(-s) as defined in Eq. (A.11). Since

$$v(-s) = \sqrt{\frac{1 - e^{-ad_s}}{ad_s}}$$
 and  $\sqrt{\frac{1 - e^{-x}}{x}} \gtrsim \frac{1}{\sqrt{1 + |x|}}$ 

it follows that

$$|\nabla_{H_0} s|^2 \lesssim (1 + ||s||_{L^{\infty}}) (|K_{H_0}||s| - \Delta |s|^2). \tag{5.5}$$

Integrating this over V and using Eq. (3.1) as well as Proposition 5.2 yields the asserted estimate.

**Step 2.** For some  $\varepsilon > 0$  and all  $L \geqslant L_0$ , we have

$$\int_{V\setminus V_{\epsilon}} |s|^2 \lesssim e^{-2\varepsilon L} \quad \text{and} \quad \int_{V\setminus V_{\epsilon}} |\nabla_{H_0} s|^2 \lesssim e^{-2\varepsilon L}.$$

Since  $\mathscr{E}_D$  is simple, for all  $\tilde{s} \in \Gamma(D, \mathscr{E} \operatorname{nd}_0(\mathscr{E}_D))$  we have

$$\int_{D} |\tilde{s}|^{2} \lesssim \int_{D} |\overline{\partial}_{D} \tilde{s}|^{2} \lesssim \int_{D} |\nabla_{H_{D}} \tilde{s}|^{2}.$$

Because  $L_0 \gg 1$ , this implies that

$$\int_{\partial V_L} |s|^2 \lesssim \int_{\partial V_L} |\nabla_{H_0} s|^2 \tag{5.6}$$

for  $L \ge L_0$ . Therefore, it suffices to prove the second inequality. Integrating Eq. (5.5) over  $V \setminus V_L$  and using Eq. (5.6) yields

$$\int_{V\setminus V_L} |
abla_{H_0} s|^2 \lesssim e^{-\delta L} + \int_{\partial V_L} |
abla_{H_0} s||s|$$
 $\lesssim e^{-\delta L} + \int_{\partial V_L} |
abla_{H_0} s|^2.$ 

The assertion now follows from Proposition 5.8, which will be proved at the end of this section.

$$||s||_{C^{k,\alpha}} \leqslant c_{k,\alpha}.$$

As in the proof of Proposition 4.2, we can write  $\mathfrak{L}(s,t)=0$  in the form

$$\left(\frac{1}{2}\nabla_{H_0}^*\nabla_{H_0} + t\right)s + B(\nabla_{H_0}s\otimes\nabla_{H_0}s) = \mathfrak{e},\tag{5.7}$$

where B is linear with coefficients depending on s, and by Eq. (3.1)

$$\|\mathfrak{e}\|_{C^{k,\alpha}_s} \leqslant c_{k,\alpha}.$$

Using standard interior estimates the assertion follows from Proposition 5.2 and Step 2.

**Step 4.** We prove the proposition.

Since

$$\|\nabla_{H_0} s \otimes \nabla_{H_0} s\|_{C^{k,lpha}_{2\varepsilon}} \lesssim \|\nabla_{H_0} s\|_{C^{k,lpha}_{\varepsilon}}^2,$$

we note that

$$\left\| \frac{1}{2} 
abla_{H_0}^* 
abla_{H_0} s + t s 
ight\|_{C^{k,x}} \leqslant c_{k,lpha}$$

with  $\varepsilon' := \min\{2\varepsilon, \delta\}$ . From Proposition 2.9 it follows that

$$||s||_{C^{k,\alpha}_{st}} \leqslant c_{k,\alpha}.$$

Repeating this argument a finite number of times we finally arrive at  $\varepsilon' = \delta$ . 

**Proposition 5.8.** If  $f:[0,\infty)\to[0,\infty)$  satisfies

$$f(L) \leqslant Ae^{-\delta L} - Bf'(L)$$

with A, B > 0, then

$$f(L) \leqslant (2A + f(0))e^{-\varepsilon L}$$

with  $\varepsilon := \min\{\delta, 1/2B\}$ .

*Proof.* The function  $g: [0, \infty) \to \mathbf{R}$  defined by

$$g(L) := f(L) - (2A + f(0))e^{-\varepsilon L}$$

satisfies  $g(0) = -2A \le 0$  and  $g'(L) \le -g(L)/B$ . It follows that  $g \le 0$ , which proves the proposition. 

# 6. The Bando-Siu continuity method

To prove Theorem 1.1 for reflexive sheaves & we use a regularization scheme based on ideas of Bando and Siu [24]. We construct a one-parameter family of ACyl Kähler manifolds  $\{\tilde{V}_{\varepsilon}: \varepsilon \in (0,1]\}$  whose underlying complex manifold  $\tilde{V}$  is obtained by blowing up  $S := sing(\mathscr{E})$ . As  $\varepsilon$  tends to zero, the exceptional divisor shrinks and  $ilde{V}_{arepsilon}$  resembles V more and more closely.  $ilde{V}$  carries a holomorphic vector bundle  $ilde{\mathscr{E}}$ , which agrees with  $\mathscr E$  outside S, and to which Theorem 1.1 can be applied to construct a PHYM metric  $\tilde{H}_{\varepsilon}$ . The desired PHYM metric on  $\mathscr E$  will be constructed by taking the limit as  $\varepsilon$  tends to zero.

**Proposition 6.1.** There is a complex manifold  $\tilde{V}$ , a holomorphic map  $\hat{\pi}: \tilde{V} \to V$  which induces a biholomorphic map to  $V \setminus S$ , and a holomorphic vector bundle  $\tilde{\mathscr{E}}$  over  $\tilde{V}$  such that

$$\tilde{\mathscr{E}}|_{\tilde{V}\backslash\hat{\pi}^{-1}(S)}\cong\hat{\pi}^*\Big(\mathscr{E}|_{V\backslash S}\Big).$$

Moreover, there exists a one-parameter family of Kähler metrics  $\{g_{\varepsilon} : \varepsilon \in (0,1]\}$  on  $\tilde{V}$  such that:

- on  $\hat{\pi}^{-1}(V \setminus B_{\sqrt{\epsilon}}(S))$  we have  $g_{\epsilon} = \hat{\pi}^* g$ , and
- for L  $L_0$ , the Neumann-Poincaré constant of  $(\hat{\pi}^{-1}(V_L), g_{\epsilon})$  is bounded above by a constant independent of  $\epsilon$ . Here  $L_0$  is as in Definition 2.3.

Proof. The proof has three steps.

**Step 1.** Construction of  $\tilde{V}$  and  $\tilde{\mathscr{E}}$ .

We follow the method of Bando and Siu [24, p. 46], see also [33, Section 4.1].

Since  $\mathscr{E}^*$  is coherent, there exists a locally free sheaf  $\mathscr{F}$  and a surjective morphism  $\mathscr{F}^* \to \mathscr{E}^* \to 0$ . Since  $\mathscr{E}$  is reflexive, by dualising, we get  $0 \to \mathscr{E} \to \mathscr{F}$ . This defines a rational section  $\phi_{\mathscr{E}}: V \longrightarrow \operatorname{Gr}_r(\mathscr{F})$ , with locus of indeterminacy S. By a result of Hironaka [34, Part I, Chapter 0, Section 5], there exists a holomorphic map  $\hat{\pi}: \tilde{V} \to V$ , which is biholomorphic outside S and equivalent to a sequence of blowups along smooth submanifolds (of codimension at least three), such that  $\phi_{\mathscr{E}} \circ \hat{\pi}$  extends to a section  $\tilde{V} \to \operatorname{Gr}_r(\hat{\pi}^*\mathscr{F})$ . This section defines the desired holomorphic vector bundle  $\tilde{\mathscr{E}}$  over  $\tilde{V}$ .

**Step 2.** The model metric.

Denote by  $\omega_{FS}$  the Fubini–Study form on  $\mathbf{P}^{n-1}$ . The Kähler form

$$ilde{\omega}_{arepsilon} = i\partial\overline{\partial} \left(rac{1}{2}|z|^2 + rac{arepsilon^2}{2\pi} \log|z|^2
ight)$$

on  $\mathbb{C}^n \setminus \{0\}$  uniquely extends to a Kähler form on  $\mathrm{Bl}_0\mathbb{C}^n$  which induces  $\varepsilon^2 \omega_{FS}$  on the exceptional divisor  $\mathbb{P}^{n-1}$ . More precisely, if we denote by r the radial coordinate, by  $\theta$  the 1-form arising from the  $S^1$ -action and by  $\varpi : \mathbb{C}^n \setminus \{0\} \to \mathbb{P}^{n-1}$  the projection, then

$$\tilde{\omega}_{\varepsilon} = (\varepsilon^2 + r^2)\varpi^*\omega_{FS} + rdr \wedge \theta. \tag{6.2}$$

Fix a smooth function  $\chi:[0,\infty)\to [0,1]$  which is equal to one on [0,1] and vanishes outside [0,2]. For  $0<\varepsilon\ll 1$ , set  $\chi_\varepsilon:=\chi(\cdot/2\sqrt{\varepsilon})$  and define a Kähler form on  $\mathrm{Bl}_0\mathbf{C}^n$  by

$$\omega_{\scriptscriptstyle{arepsilon}} := i \partial \overline{\partial} \left( rac{1}{2} |z|^2 + \chi_{\scriptscriptstyle{arepsilon}} (|z|) \cdot rac{arepsilon^2}{2\pi} {\log|z|}^2 
ight).$$

This agrees with  $\tilde{\omega}_{\varepsilon}$  on  $B_{\sqrt{\varepsilon}/2}$ , it agrees with the flat Kähler form  $\omega_0$  on  $\mathbb{C}^n \backslash B_{\sqrt{\varepsilon}}(0)$ , and it satisfies

$$|\omega_{\varepsilon} - \omega_0| \lesssim \varepsilon |\log \varepsilon|$$

on  $B_{\sqrt{\varepsilon}}(0) \setminus B_{\sqrt{\varepsilon}/2}(0)$ . Moreover, we have

$$\frac{\omega_{\varepsilon}^n}{\omega^n} \simeq 1 + (\varepsilon/r)^{2n-2}.$$

**Step 3.** Construction of  $g_{\varepsilon}$ .

V is constructed by a finite sequence of blow-ups along smooth submanifolds. In fact, by induction we can assume that there is just one blow-up, say, along  $C \subset V$ . Denote by  $\rho: V \to [0, \infty)$  the distance to C. For  $0 < \varepsilon \le \varepsilon_0$ ,

$$\omega_{arepsilon} := \hat{\pi}^* \omega + i \partial \overline{\partial} igg( (\chi_{arepsilon} \circ 
ho) \cdot rac{arepsilon^2}{2\pi} {
m log} \, 
ho^2 igg)$$

defines a Kähler form on  $\tilde{V}$  whose restriction to  $\hat{\pi}^{-1}(V \backslash B_{\varepsilon}(S))$  agrees with  $\hat{\pi}^* \omega$ . We extend the resulting family of Kähler metrics to be constant for  $\varepsilon \in [\varepsilon_0, 1]$ .

**Step 4.** Estimate of the Neumann-Poincaré constant.

Fix  $L \ge L_0$ . We use the discretization method of Grigor'yan and Saloff-Coste [35, Section 3.1] to estimate the Neumann-Poincaré constant of  $(\hat{\pi}^{-1}(V_L), g_{\varepsilon})$ . Fix  $0 < \sigma \ll 1$ . Pick a maximal set of points  $\{x_j : j \in J\} \subset V_{L-1/2}$  of distance at least  $\sigma$ from each other. Set

$$A_0 := V_L \setminus V_{L-1/2}, \quad A_0^* = A_0^\# := V_L \setminus V_{L-1},$$
 $A_j := \hat{\pi}^{-1} (B_{\sigma}(x_j)), \quad A_j^* = \hat{\pi}^{-1} (B_{4\sigma}(x_j)) \quad \text{and} \quad A_j^\# := \hat{\pi}^{-1} (B_{8\sigma}(x_j)).$ 

Set  $I:=J\sqcup\{0\}.$   $\mathscr{A}:=\{(A_i,A_i^*,A_i^\#):i\in I\}$  is a **good covering** of  $V_L$  in  $V_L$  in the sense of Grigor'yan and Saloff-Coste [35, Definition 3.1]. This means that, for all  $i \in$  $I, A_i \subset A_i^* \subset A_i^\#$  and for some constants  $Q_1, Q_2$  the following hold:

- We have  $V_L \subset \bigcup_{i \in I} A_i$  and  $\bigcup_{i \in I} A_i^\# \subset V_L$ .
- For each  $i \in I$ ,  $|\{j \in I : A_i^\# \cap A_j^\# \neq \emptyset\}| Q_1$ .
- If  $d(A_i, A_i) = 0$ , then there is a  $k = k(i, j) \in I$  such that  $A_i \cup A_i \subset A_i^*$ . Moreover,  $vol(A_k^*)$   $Q_2min\{vol(A_i), vol(A_i)\}.$

According to [35, Theorem 3.7] the Neumann-Poincaré constant of  $V_L$  can be estimated by  $Q_1\Lambda_c(2+Q_1^2Q_2\Lambda_d)$ . Here the **continuous Poincaré constant**  $\Lambda_c$  and the **dis**crete Poincaré constant  $\Lambda_d$  [35, Definition 3.4 and Definition 3.6] are the smallest constants such that,

$$\int_{A_{i}} |f - \overline{f}_{A_{i}}|^{2} \leq \Lambda_{c} \int_{A_{i}^{*}} |\nabla f|^{2} \quad \text{and} \quad \int_{A_{i}^{*}} |f - \overline{f}_{A_{i}^{*}}|^{2} \leq \Lambda_{c} \int_{A_{i}^{\#}} |\nabla f|^{2}$$
 (6.3)

and

$$\sum_{i\in I} |f(i)| - \overline{f}|^2 m(i) \leqslant \Lambda_d \mathscr{E}(f, f).$$

Here

$$egin{aligned} m(i) &= \operatorname{vol}(A_i), \quad \overline{f} := rac{\sum_{i \in I} f(i) m(i)}{\sum_{i \in I} m(i)} \quad \text{and} \\ \mathscr{E}(f,f) &:= rac{1}{2} \sum_{(i,j) \in I imes I} |f(i) - f(j)|^2 m(i,j). \end{aligned}$$

with

$$m(i,j) := \begin{cases} \max\{m(i), m(j)\} & \text{if } d(A_i, A_j) = 0 \\ 0 & \text{otherwise.} \end{cases}$$

While the measures of  $A_i, A_i^*$ , and  $A_i^\#$  are dependent of  $\varepsilon$ , they are uniformly comparable. Consequently, the constants  $Q_1$  and  $Q_2$  and discrete Poincaré constant  $\Lambda_d$  can be bounded independently of  $\varepsilon$ . Thus it remains to show that  $\Lambda_c$  can be bounded independently of  $\varepsilon$ ; that is, we can find a constant such that Eq. (6.3) holds for all  $i \in I$  and  $\varepsilon \in (0,1]$ . For i=0, Eq. (6.3) is obvious. For  $i \in J$ , such estimates follow from scaling considerations and uniform weak Poincaré inequalities

$$\int_{B_r(x)} |f - \overline{f}_{B_r(x)}|^2 \leqslant cr^2 \int_{B_{2r}(x)} |\nabla f|^2$$

(with c > 0 independent of x and r) for certain model spaces, for example,  $Bl_0 \mathbf{C}^k \times \mathbf{C}^{n-k}$  equipped with the Kähler metric induced by

$$i\partial\overline{\partial}\left(\frac{1}{2}|z|^2+\frac{1}{2\pi}\log|z|^2+\frac{1}{2}|w|^2\right).$$

The existence of these uniform Poincaré constants in turn can also be established using the discretization method as follows. We can assume that  $r \gg 1$ . Denote by  $\hat{\pi}$ :  $\mathrm{Bl}_0 \mathbf{C}^k \times \mathbf{C}^{n-k} \to \mathbf{C}^n$  the projection. For  $i \in \mathbf{Z}^{2n} \subset \mathbf{C}^n$ , set

$$A_i := \hat{\pi}^{-1}(B_1(i)), \quad A_i^* := \hat{\pi}^{-1}(B_4(i)) \quad \text{and} \quad A_i^{\#} := \hat{\pi}^{-1}(B_8(i)).$$

If we set  $I_{x,r} := \{i \in \mathbf{Z}^{2n} \cap \hat{\pi}(B_r(x))\}$ , then  $\mathscr{A}_{x,r} := \{(A_i, A_i^*, A_i^\#) : i \in I_{x,r}\}$  is a good covering of  $B_r(x)$  in  $B_{2r}(x)$ ; moreover, the constants  $Q_1$  and  $Q_2$  as well as the continuous Poincaré constant  $\Lambda_c$  of  $\mathscr{A}_{x,r}$  can be bounded independently of x and x. The discrete Poincaré constant of  $\mathscr{A}_{x,r}$  can be bounded by a constant times  $x^2$ ; see, e.g., [36, Section 3.4]. [35, Theorem 3.7] thus establishes the desired uniform weak Poincaré inequalities.

We denote  $\tilde{V}$  equipped with the metric  $g_{\varepsilon}$  by  $\tilde{V}_{\varepsilon}$ . Given a subset  $U \subset V$ , we set  $\tilde{U} := \hat{\pi}^{-1}(U)$ .

Using Theorem 1.1 for holomorphic vector bundles, for each  $\varepsilon \in (0,1]$ , we construct a PHYM metric  $\tilde{H}_{\varepsilon}$  on  $\tilde{\mathscr{E}}$  over  $\tilde{V}_{\varepsilon}$ . We can assume that the metric on det  $\tilde{\mathscr{E}}$  induced by  $\tilde{H}_{\varepsilon}$  agrees with a fixed asymptotically translation-invariant metric  $\tilde{h}$  which does not depend on  $\varepsilon$ . Define  $\tilde{s}_{\varepsilon} \in C^{\infty}_{\delta}(\tilde{V}_{\varepsilon}, i\mathfrak{su}(E, \tilde{H}_{1}))$  by

$$\tilde{s}_{\varepsilon} := \log \tilde{H}_{1}^{-1} \tilde{H}_{\varepsilon}.$$

The PHYM metric H on  $\mathcal{E}$ , whose existence was asserted in Theorem 1.1, can be constructed using the following proposition and the Arzelà-Ascoli theorem by taking



the limit of the metrics  $\tilde{H}_{\varepsilon}$  over  $V \backslash U = \tilde{V}_{\varepsilon} \backslash \tilde{U}$  as  $\varepsilon$  tends to zero. Here U is an arbitrary neighborhood of  $S \subset V$ .

**Proposition 6.4.** For all  $\varepsilon \in (0,1]$ , we have

$$\|\tilde{s}_{\varepsilon}\|_{C^{k}_{\delta}(\tilde{V}_{\varepsilon}\setminus \tilde{U})} \leqslant c_{k,U}.$$

Proof. Set

$$K_{arepsilon} := i \Lambda_{arepsilon} F_{ ilde{H}_1} - rac{ ext{tr}ig(i \Lambda_{arepsilon} F_{ ilde{H}_1}ig)}{ ext{rk} ilde{\mathscr{E}}} \cdot ext{id}_{ ilde{\mathscr{E}}},$$

and let  $f_{\varepsilon} \in C^0_{\delta}(\tilde{V}_{\varepsilon})$  and  $A_{\varepsilon} > 0$  be the unique solution to

$$\Delta_{\varepsilon}(f_{\varepsilon} - A_{\varepsilon}\ell) = 4|K_{\varepsilon}|.$$

Here  $\Lambda_{\varepsilon}$  and  $\Delta_{\varepsilon}$  denote the dual Lefschetz operator and the Laplace operator on  $\tilde{V}_{\varepsilon}$ respectively.

If we can prove that

$$\|f_{\varepsilon}\|_{L^{\infty}\left(\tilde{V}_{\varepsilon}\setminus \tilde{U}\right)} \leqslant c_{U}, \quad A_{\varepsilon} \leqslant c \quad \text{and} \quad \|F_{\tilde{H}_{1}}\|_{L^{2}\left(\tilde{V}_{\varepsilon,L_{0}}\right)} \leqslant c,$$

then the argument in Section 5 will yield the asserted bounds on  $\tilde{s}_{\varepsilon}$ .

The proof of the above bounds on  $f_{\varepsilon}, A_{\varepsilon}$  and  $F_{\tilde{H}_1}$  proceeds in four steps.

**Step 1.** We have

$$\|F_{\tilde{H}_1}\|_{L^2\left(\tilde{V}_{\varepsilon,L_0}\right)} \leqslant c \quad \text{and} \quad \|K_{\varepsilon}\|_{C^k_{\delta}\left(V \setminus V_{L_0}\right)} \leqslant c_k;$$

in particular,  $A_{\varepsilon} \leq c$ .

Recall that  $\rho$  denotes the distance to S. By Eq. (6.2), we have

$$\frac{\operatorname{vol}_{g_{\varepsilon}}}{\operatorname{vol}_{g_{\varepsilon}}} \lesssim \left(\frac{\rho^2 + \varepsilon^2}{\rho^2 + 1}\right)^{\operatorname{codim}(s) - 1} \text{and} \quad \frac{|\beta|_{g_{\varepsilon}}}{|\beta|_{g_{1}}} \lesssim \left(\frac{\rho^2 + \varepsilon^2}{\rho^2 + 1}\right)^{-1}$$

for any 2-form  $\beta$ . Consequently,

$$|F_{\tilde{H}_1}|_{g_{\varepsilon}}^2 \mathrm{vol}_{g_{\varepsilon}} \lesssim \left(\frac{\rho^2 + \varepsilon^2}{\rho^2 + 1}\right)^{\mathrm{codim}(S) - 3} |F_{\tilde{H}_1}|^2 \mathrm{vol}_{g_1}.$$

Since codim $S \ge 3$ , this implies the asserted  $L^2$ -bound. The second inequality is a consequence of the fact that  $g_{\varepsilon}$ , and thus  $K_{\varepsilon}$ , does not depend on  $\varepsilon$  on  $V \setminus V_{L_0}$ . Both estimates together yield  $A_{\varepsilon} \lesssim ||K_{\varepsilon}||_{L^{1}(\tilde{V}_{\varepsilon})} \leqslant c$ .

**Step 2.** There is a constant  $\overline{f}_{\varepsilon}$  such that on  $V \setminus V_{L_0}$  we have

$$\|e^{-\frac{\delta t}{2}}(f_{\varepsilon}-\overline{f}_{\varepsilon})\|_{L^{2}(\tilde{V}_{\varepsilon})} \leqslant c \quad \text{and} \quad \|\nabla_{\varepsilon}f_{\varepsilon}\|_{L^{2}(\tilde{V}_{\varepsilon})}^{2} \leqslant c.$$

From Proposition 6.1 it follows that the weighted Neumann-Poincaré inequality [14, Theorem 4.18] holds for  $\sigma = 1$  and  $\mu = \frac{\delta}{2}$  with a constant c > 0 independent of  $\varepsilon$ ; hence, for some constant  $f_{\varepsilon}$ 

$$\|e^{-\frac{\delta \ell}{2}} \left( f_{\varepsilon} - \overline{f}_{\varepsilon} \right)\|_{L^{2}(\tilde{V}_{\varepsilon})}^{2} \lesssim \|\nabla_{\varepsilon} f_{\varepsilon}\|_{L^{2}(\tilde{V}_{\varepsilon})}^{2}.$$

Using the previous step, we have

$$\begin{split} \left\| \nabla_{\varepsilon} f_{\varepsilon} \right\|_{L^{2}\left(\tilde{V}_{\varepsilon}\right)}^{2} &= \int_{\tilde{V}_{\varepsilon}} \langle \Delta_{\varepsilon} \left( f_{\varepsilon} - \overline{f}_{\varepsilon} \right), f_{\varepsilon} - \overline{f}_{\varepsilon} \rangle \\ &\leq \left\| e^{\frac{\delta \ell}{2}} (K_{\varepsilon} + A_{\varepsilon} \Delta_{\varepsilon} \ell) \right\|_{L^{2}\left(\tilde{V}_{\varepsilon}\right)} \cdot \left\| e^{-\frac{\delta \ell}{2}} \left( f_{\varepsilon} - \overline{f}_{\varepsilon} \right) \right\|_{L^{2}\left(\tilde{V}_{\varepsilon}\right)} \\ &\lesssim \left\| e^{-\frac{\delta \ell}{2}} \left( f_{\varepsilon} - \overline{f}_{\varepsilon} \right) \right\|_{L^{2}\left(\tilde{V}_{\varepsilon}\right)}. \end{split}$$

Combined with the above this yields

$$\|e^{-\frac{\delta\ell}{2}}(f_{\varepsilon}-\overline{f}_{\varepsilon})\|_{L^{2}(\tilde{V}_{\varepsilon})} \leqslant c.$$

This in turn implies the second of the asserted inequalities.

Step 3. We have

$$||f_{\varepsilon}||_{L^{\infty}(\tilde{V}_{\varepsilon}\setminus U)}\leqslant c_{U}.$$

Define  $F: [L_0, \infty) \to [0, \infty)$  by

$$F(L) := \int_{V \setminus V_{L_0}} |\nabla_{\varepsilon} f_{\varepsilon}|^2.$$

By the previous step, we have

$$F(L) \leq c$$
.

Setting  $\overline{f}_{\varepsilon,L} := / \int_{\partial V} f_{\varepsilon}$ , we have

$$\int_{\partial V_L} |f_{\varepsilon} - \overline{f}_{\varepsilon,L}| \leqslant \int_{\partial V_L} |f_{\varepsilon} - \overline{f}_{\varepsilon}|.$$

Using integration by parts, the Neumann-Poincaré inequality on  $\partial V_L$ , and the previous step, we have

$$\begin{split} F(L) \leqslant & \int_{V \setminus V_L} |K_{\varepsilon} + A_{\varepsilon} \Delta \ell| |f_{\varepsilon} - \overline{f}_{\varepsilon,L}| + \int_{\partial V_L} |\nabla_{\varepsilon} f| |f_{\varepsilon} - \overline{f}_{\varepsilon,L}| \\ \leqslant & \int_{V \setminus V_L} e^{-\delta \ell} |f_{\varepsilon} - \overline{f}_{\varepsilon}| + \int_{\partial V_L} |\nabla_{\varepsilon} f| |f_{\varepsilon} - \overline{f}_{\varepsilon,L}| \\ \leqslant & e^{-\frac{\delta L}{2}} - F'(L). \end{split}$$

It follows from Proposition 5.8 that  $F(L) \lesssim e^{-2\gamma L}$  for some  $\gamma > 0$ . From interior estimates it follows that

$$|\nabla_{\varepsilon} f_{\varepsilon}| \lesssim e^{-\gamma \ell}$$

on  $V \setminus V_{L_0}$  and

$$\|\nabla_{\varepsilon}f_{\varepsilon}\|_{L^{\infty}\left(\tilde{V}_{\varepsilon}\setminus U\right)}\leqslant c_{U}.$$

By the exponential decay of  $f_{\varepsilon}$ , the above bound implies the assertion by integrating the gradient of  $f_{\varepsilon}$  along a path down the tubular end of V.

The  $L^2$  curvature bound asserted in Theorem 1.1 is a consequence of the following proposition.



**Proposition 6.5.** For each  $\varepsilon \in (0,1]$ , we have

$$\left\|F_{\tilde{H}_{\varepsilon}}\right\|_{L^{2}\left(\tilde{V}_{\varepsilon,L}\right)}\lesssim L+1.$$

*Proof.* Since  $\tilde{h}$  is fixed, it suffices to estimate  $F_{\tilde{H}}^{\circ}$ , the curvature of the PU(r)-connection induced by  $H_{\varepsilon}$ .

For each fixed  $\varepsilon \in (0,1]$ , we have a bound of the desired form; however, it might a priori depend on  $\varepsilon$ . To see that it does not, we use a topological argument. With  $q_4$  as defined in Eq. (5.4) we have

$$q_4(\tilde{H}_{\varepsilon}) - q_4(\tilde{H}_1) = d\tau(\tilde{s}_{\varepsilon})$$

where  $\tau$  is the transgression form associated with  $q_4$  and can be bounded in terms of  $|\tilde{s}_{\varepsilon}|$  and  $|\nabla \tilde{H} \tilde{s}_{\varepsilon}|$ . Using Eq. (5.4) and  $K_{\tilde{H}_{\varepsilon}} = 0$ , we derive

$$\begin{split} \int_{\tilde{V}_L} |F_{\tilde{H}_{\varepsilon}}^{\circ}|^2 \mathrm{vol}_{\varepsilon} &\lesssim \int_{\tilde{V}_L} q_4(\tilde{H}_{\varepsilon}) \wedge \omega_{\varepsilon}^{n-2} \\ &= \int_{\tilde{V}_L} \left( q_4(\tilde{H}_1) + \mathrm{d}\tau \right) \wedge \omega_{\varepsilon}^{n-2} \\ &\lesssim \int_{\tilde{V}_L} |F_{\tilde{H}_1}^{\circ}|_{g_{\varepsilon}}^2 \mathrm{vol}_{\varepsilon} + 1 \\ &\lesssim \int_{\tilde{V}_L} |F_{\tilde{H}_1}^{\circ}|_{g_1}^2 \mathrm{vol}_1 + 1 \\ &\lesssim L + 1. \end{split}$$

Here the second term in the third step arises from Stokes' theorem and the fourth step uses the argument from Step 1 in the proof of Proposition 6.4. 

This finishes the proof of Theorem 1.1.

#### 7. Uniqueness of PHYM metrics

We have the following basic uniqueness result for asymptotically translation-invariant PHYM metrics.

**Proposition** 7.1. Let  $\mathscr{E}$  be a reflexive sheaf over V asymptotic to  $\mathscr{E}_D$  and let h be an asymptotically translation-invariant Hermitian metric on  $\det E$ . If  $\mathscr{E}_D$  is simple, then there exists at most one asymptotically translation-invariant PHYMon  $\mathscr{E}$  inducing h.

*Proof.* If  $H_0$  and H were two asymptotically translation-invariant PHYM metrics inducing h, then they must be asymptotic to the same PHYM metric  $H_D$  on  $\mathscr{E}_D$  (by uniqueness in the compact case). Then, for some  $\delta > 0$ ,

$$s := \log(H_0^{-1}H) \in C^{\infty}_{\delta}(V \backslash S, i\mathfrak{su}(E, H_0)).$$

Moreover, by [37, p. 13],

$$\Delta \log \operatorname{tr} e^s \leq 0$$

on  $V \setminus S$ . The argument in the proof of [24, Theorem 2(a)] shows that  $\log \operatorname{tr} e^s \in W^{1,2}_{\operatorname{loc}}(V)$ ; hence,  $\log \operatorname{tr} e^s$  is weakly subharmonic and thus  $\log \operatorname{tr} e^s \leq \log \operatorname{rk} \mathscr{E}$  because s tends to zero at infinity. However, because of the inequality of arithmetic and geometric means,  $\log \operatorname{tr} e^s \geq \log \operatorname{rk} \mathscr{E}$  with equality iff s = 0.

## Appendix A: Useful formulae for Chern connections

Let  $\mathscr{E} = (E, \overline{\partial})$  be a rank r holomorphic vector bundle. Given a Hermitian metric H on  $\mathscr{E}$ , there exists a unique Hermitian covariant derivative  $\nabla = \nabla_H$  on E such that  $\nabla_H^{0,1} = \overline{\partial}$ . The connection  $A_H$  associated with  $\nabla_H$  is called the **Chern connection** induced by H.

Fix a Hermitian metric  $H_0$  and  $s \in \Gamma(i\mathfrak{u}(E, H_0))$ . Set

$$H := H_0 e^s$$
 and  $\tilde{A}_s := e_*^{s/2} A_H = e_*^{s/2} A_{H_0 e^s}$ .

Since  $e^{s/2}$ :  $(E, H) \to (E, H_0)$  is an isometry, both  $\tilde{A}_0 = A_{H_0}$  and  $\tilde{A}_s$  are connections on the principal U(r)-bundle  $U(E, H_0)$ . Set

$$\mathfrak{K}(s) := \mathrm{Ad}(e^{s/2})K_{H_0e^s} = i\Lambda F_{\tilde{A}_s}.$$

All of the following results can be found in [29, Section 6], in the setting of holomorphic principal bundles. We summarize them here for the reader's convenience.

**Proposition A.1.** We have

$$\begin{split} \mathfrak{K}(s) &= \big(2 - 2 \mathrm{cosh}\big(ad_{s/2}\big)\big) K_{H_0} \\ &+ \frac{1}{2} \Theta(s) \nabla_{H_0}^* \nabla_{H_0} s \\ &+ \frac{i}{2} \Lambda \big(\overline{\partial} \Upsilon(-s/2) \wedge \partial_{H_0} s\big) - \frac{i}{2} \Lambda \big(\partial_{H_0} \Upsilon(s/2) \wedge \overline{\partial} s\big) \\ &- \frac{i}{4} \Lambda \big(\Upsilon(-s/2) \partial_{H_0} s \wedge \Upsilon(s/2) \overline{\partial} s + \Upsilon(s/2) \overline{\partial} s \wedge \Upsilon(-s/2) \partial_{H_0} s\big) \end{split}$$

with  $\Upsilon(s) \in \operatorname{End}(\mathfrak{gl}(E))$  defined by

$$\Upsilon(s) := \frac{e^{ad_s} - id}{ad_s} \tag{A.2}$$

and  $\Theta(s) \in \operatorname{End}(\mathfrak{gl}(E))$  defined by

$$\Theta(s) := \frac{\Upsilon(s/2) + \Upsilon(-s/2)}{2}.$$
(A.3)

**Remark A.4.** Since  $ad_s := [s, \cdot] \in \Gamma(\operatorname{End}(\mathfrak{gl}(E)))$  is self-adjoint with respect to  $H_0$ , so is  $\Upsilon(s)$ . Both  $\cosh(\operatorname{ad}_{s/2})$  and  $\Theta(s)$  preserve  $\mathfrak{u}(E, H_0)$  because their power series expansions involve only even powers of  $ad_s$  and  $ad_s^2$  preserves  $\mathfrak{u}(E, H_0)$ . Also note that  $\Theta(s)$  is self-adjoint with respect to  $H_0$  and its first eigenvalue is at least one.

*Proof of Proposition A.1.* Since  $\partial_{H_0e^s} = \partial_{H_0} + e^{-s}\partial_{H_0}e^s$ , we have

$$\begin{array}{ll} \partial_{\tilde{A}_{s}} &= e^{s/2} (\partial_{H_{0}} + e^{-s} (\partial_{H_{0}} e^{s})) e^{-s/2} \\ &= \partial_{H_{0}} + e^{s/2} (\partial_{H_{0}} e^{-s/2}) + e^{-s/2} (\partial_{H_{0}} e^{s}) e^{-s/2} \\ &= \partial_{H_{0}} + e^{-s/2} (\partial_{H_{0}} e^{s/2}) \end{array} \tag{A.5}$$



using 
$$\partial e^{s/2} = \partial (e^s e^{-s/2}) = e^s \partial e^{-s/2} + (\partial e^s) e^{-s/2}$$
, and
$$\overline{\partial}_{\lambda} = e^{s/2} \overline{\partial} e^{-s/2} = \overline{\partial} + e^{s/2} (\overline{\partial} e^{-s/2}) = \overline{\partial} - (\overline{\partial} e^{s/2}) e^{-s/2}. \tag{A.6}$$

Using

$$d_x \exp(y) = (\Upsilon(x)y)e^x = e^x(\Upsilon(-x)y)$$
(A.7)

we obtain

$$\tilde{A}_s = \tilde{A}_0 + \frac{1}{2}\Upsilon(-s/2)\partial_{H_0}s - \frac{1}{2}\Upsilon(s/2)\overline{\partial}s.$$

From this it follows that

$$\begin{split} F_{\tilde{A}_s} &= F_{H_0} + \frac{1}{2} \Upsilon(-s/2) \overline{\partial} \, \partial_{H_0} s - \frac{1}{2} \Upsilon(s/2) \partial_{H_0} \overline{\partial} s \\ &+ \frac{1}{2} \overline{\partial} \Upsilon(-s/2) \wedge \partial_{H_0} s - \frac{1}{2} \partial_{H_0} \Upsilon(s/2) \wedge \overline{\partial} s \\ &- \frac{1}{4} \left( \Upsilon(-s/2) \partial_{H_0} s \wedge \Upsilon(s/2) \overline{\partial} s + \Upsilon(s/2) \overline{\partial} s \wedge \Upsilon(-s/2) \partial_{H_0} s \right). \end{split}$$

Applying  $i\Lambda$  and using the Kähler identities

$$i[\Lambda, \overline{\partial}] = \partial_{H_0}^* \quad \text{and} \quad i[\Lambda, \partial_{H_0}] = -\overline{\partial}^*$$

as well as

$$\partial_{H_0}^* \partial_{H_0} = \frac{1}{2} \nabla_{H_0}^* \nabla_{H_0} + \frac{1}{2} [K_{H_0}, \cdot] \quad \text{and} \quad \overline{\partial}^* \overline{\partial} = \frac{1}{2} \nabla_{H_0}^* \nabla_{H_0} - \frac{1}{2} [K_{H_0}, \cdot],$$

we obtain

$$\begin{split} e^{s/2} K_{H_0 e^s} &= K_{H_0} + \frac{1}{4} \left( \Upsilon(-s/2) - \Upsilon(s/2) \right) \text{ad}_s K_{H_0} \\ &+ \frac{1}{4} \left( \Upsilon(s/2) + \Upsilon(-s/2) \right) \nabla_{H_0}^* \nabla_{H_0} s \\ &+ \frac{i}{2} \Lambda \left( \overline{\partial} \Upsilon(-s/2) \wedge \partial_{H_0} s \right) - \frac{i}{2} \Lambda \left( \partial_{H_0} \Upsilon(s/2) \wedge \overline{\partial} s \right) \\ &- \frac{i}{4} \Lambda \left( \Upsilon(-s/2) \partial_{H_0} s \wedge \Upsilon(s/2) \overline{\partial} s + \Upsilon(s/2) \overline{\partial} s \wedge \Upsilon(-s/2) \partial_{H_0} s \right). \end{split}$$

This implies the asserted identity because

$$1 - \frac{x}{4} \left( \frac{e^{-x/2} - 1}{x/2} + \frac{e^{x/2} - 1}{x/2} \right) = 2 - 2\cosh(x/2).$$

**Proposition A.8.** We have

$$d_{s}\mathfrak{K}(\hat{s}) = \frac{1}{4} \nabla_{\tilde{A}_{s}}^{*} \nabla_{\tilde{A}_{s}} \left( id + Ad(e^{-s/2}) \right) \Upsilon(s/2) \hat{s} - \frac{1}{4} \left[ \mathfrak{K}(s), \left( id - Ad(e^{-s/2}) \Upsilon(s/2) \hat{s} \right) \right].$$

Proof. We have

$$\frac{\mathrm{d}}{\mathrm{d}t}\bigg|_{t=0}F_{\tilde{A}_{s+t\hat{s}}}=\mathrm{d}_{\tilde{A}_{s}}\bigg(\frac{\mathrm{d}}{\mathrm{d}t}\bigg|_{t=0}\tilde{A}_{s+\hat{t}s}\bigg).$$

Using Eqs. (A.5) and (A.7), we compute

$$\begin{split} \frac{\mathrm{d}}{\mathrm{d}t} \bigg|_{t=0} e^{-(s+t\hat{s})/2} \big( \partial_{H_0} e^{(s+t\hat{s})/2} \big) \\ &= \frac{1}{2} \left( e^{-s/2} \partial_{H_0} \Big( e^{s/2} \mathrm{Ad}(e^{-s/2}) \Upsilon(s/2) \hat{s}) \Big) - (\mathrm{Ad}(e^{-s/2}) \Upsilon(s/2) \hat{s}) e^{-s/2} \partial_{H_0} e^{s/2} \right) \\ &= \frac{1}{2} \left( \partial_{H_0} \Big( \mathrm{Ad}(e^{-s/2}) \Upsilon(s/2) \hat{s}) \Big) + \left[ e^{-s/2} \partial_{H_0} e^{s/2}, \mathrm{Ad}(e^{-s/2}) \Upsilon(s/2) \hat{s} \right] \Big) \\ &= \frac{1}{2} \partial_{\tilde{A}_s} \mathrm{Ad}(e^{-s/2}) \Upsilon(s/2) \hat{s}; \end{split}$$

and, using Eqs. (A.6) and (A.7), we compute

$$\begin{split} \frac{\mathrm{d}}{\mathrm{d}t} \bigg|_{t=0} \overline{\partial}_{\tilde{A}_{s+t\hat{s}}} &= -\frac{\mathrm{d}}{\mathrm{d}t} \bigg|_{t=0} (\overline{\partial} e^{(s+t\hat{s})/2}) e^{-(s+t\hat{s})/2} \\ &= -\frac{1}{2} \left( \overline{\partial} \left( (\Upsilon(s/2)\hat{s}) e^{s/2} \right) e^{-s/2} - (\overline{\partial} e^{s/2}) e^{-s/2} (\Upsilon(s/2)\hat{s}) \right) \\ &= -\frac{1}{2} \left( \overline{\partial} (\Upsilon(s/2)\hat{s}) - \left[ (\overline{\partial} e^{s/2}) e^{-s/2}, \Upsilon(s/2)\hat{s} \right] \right) \\ &= -\frac{1}{2} \overline{\partial}_{\tilde{A}_{s}} \Upsilon(s/2)\hat{s}. \end{split}$$

It follows that

$$\begin{split} \frac{\mathrm{d}}{\mathrm{d}t} \bigg|_{t=0} & \mathfrak{K}(s+t\hat{s}) = \frac{\mathrm{d}}{\mathrm{d}t} \bigg|_{t=0} i \Lambda F_{\tilde{A}_{s+t\hat{s}}} \\ & = \frac{i}{2} \Lambda \Big( \overline{\partial}_{\tilde{A}_s} \partial_{\tilde{A}_s} \mathrm{Ad}(e^{-s/2}) \Upsilon(s/2) \hat{s} - \overline{\partial}_{\tilde{A}_s} \overline{\partial}_{\tilde{A}_s} \Upsilon(s/2) \hat{s} \Big) \\ & = \frac{1}{4} i \Lambda \Big( \overline{\partial}_{\tilde{A}_s} \partial_{\tilde{A}_s} - \partial_{\tilde{A}_s} \overline{\partial}_{\tilde{A}_s} \Big) \big( \mathrm{id} + \mathrm{Ad}(e^{-s/2}) \big) \Upsilon(s/2) \hat{s} \\ & - \frac{1}{4} i \Lambda \Big( \overline{\partial}_{\tilde{A}_s} \partial_{\tilde{A}_s} + \partial_{\tilde{A}_s} \overline{\partial}_{\tilde{A}_s} \Big) \big( \mathrm{id} - \mathrm{Ad}(e^{-s/2}) \big) \Upsilon(s/2) \hat{s} \\ & = \frac{1}{4} \nabla_{\tilde{A}_s}^* \nabla_{\tilde{A}_s} \big( \mathrm{id} + \mathrm{Ad}(e^{-s/2}) \big) \Upsilon(s/2) \hat{s} \\ & - \frac{1}{4} \left[ \mathfrak{K}(s), \Big( \mathrm{id} - \mathrm{Ad}(e^{-s/2}) \Upsilon(s/2) \hat{s} \right]. \end{split}$$

**Proposition A.9.** We have

$$\langle \mathfrak{K}(s) - K_{H_0}, s \rangle = \langle i \Lambda \overline{\partial} (e^{-s} \partial_{H_0} e^s), s \rangle = \frac{1}{4} \Delta |s|^2 + \frac{1}{2} |v(-s) \nabla_{H_0} s|^2$$
(A.10)

where  $v(s) \in \text{End}(\mathfrak{gl}(E))$  is defined by

$$v(s) := \sqrt{\Upsilon(s)}. (A.11)$$

Proof. We compute

$$\begin{split} \langle i\Lambda \overline{\partial}(e^{-s}\partial_{H_0}e^s),s\rangle &= \langle i\Lambda \overline{\partial}(\Upsilon(-s)\partial_{H_0}s),s\rangle \\ &= i\Lambda \overline{\partial}\langle \Upsilon(-s)\partial_{H_0}s,s\rangle + i\Lambda\langle \Upsilon(-s)\partial_{H_0}s \wedge \partial_{H_0}s\rangle \\ &= \partial^*\langle \partial_{H_0}s,\Upsilon(s)s\rangle + \langle \Upsilon(-s)\partial_{H_0}s,\partial_{H_0}s\rangle \\ &= \partial^*\langle \partial_{H_0}s,s\rangle + |v(-s)\partial_{H_0}s|^2 \\ &= \frac{1}{2}\partial^*\partial|s|^2 + |v(-s)\partial_{H_0}s|^2. \end{split}$$

# **Appendix B: The Donaldson functional**

Let (X, g, I) be a compact Kähler manifold and let  $\mathscr{E}$  be a holomorphic vector bundle over X. Given a metric  $H_0$  and  $s \in C^{\infty}(X, i\mathfrak{su}(\mathscr{E}, H_0))$ , the value of the Donaldson functional at  $(H_0, H_0e^s)$  is

$$\mathcal{M}(H_0, H_0 e^s) := \int_0^1 \int_X \langle s, \operatorname{Ad}(e^{us/2}) K_{H_0 e^{us}} \rangle du.$$

This functional was introduced in [2, Section 1.2] and [[30], Section II]. We refrain from a lengthy discussion and only marshal the following three facts, which are used in Section 5.

**Proposition B.1.** ([38, Proposition 5.1]). We have

$$\mathcal{M}(H_0, H_2) = \mathcal{M}(H_0, H_1) + \mathcal{M}(H_1, H_2).$$

**Proposition B.2.** We have  $\mathcal{M}(H_0, H_0 e^s) \leq \int_X |s| |K_{H_0 e^s}|$ .

*Proof.* This holds because  $m(u) := \mathcal{M}(H_0, H_0 e^{us})$  is convex [30, Proof of Lemma 24], m(0) = 0 and  $m'(1) \lesssim \int_{X} |s| |K_{H_0 e^s}|$ . 

**Theorem B.3.** (Donaldson [30, Lemma 24]; see also [38, Proposition 5.3]). If  $H_0$  is **P**HYM, then

$$||s||_{L^2} - 1 \leq \mathcal{M}(H_0, H_0 e^s).$$

# Appendix C: Bando-Siu interior estimate

**Theorem** C.1 (Bando and Siu [24, Proposition 1]). Let (X,g,I) be a Kähler manifold of dimension n with bounded geometry and let & be a holomorphic vector bundle over X. If  $H_0$  and H are Hermitian metrics on  $\mathscr{E}$  and  $s:=\log(H_0^{-1}H)\in C^\infty(X,\mathfrak{isu}(\mathscr{E},H_0))$ , then

$$\begin{split} r^{k+2-\frac{2n}{p}} \| \nabla_{H_0}^{k+2} s \|_{L^p(B_r(x))} \\ &\leqslant \varepsilon_{k,p} \bigg( \| s \|_{L^\infty(B_{2r}(x))} + \| K_H \|_{L^\infty(B_{2r}(x))} + r^{k-\frac{2n}{p}} \| \nabla^k K_H \|_{L^p(B_{2r}(x))} \\ &+ \sum_{j=0}^k r^{2+i} \| \nabla_{H_0}^i F_{H_0} \|_{L^\infty(B_{2r}(x))} \bigg) \end{split}$$

where  $\varepsilon_{k,p}$  is a smooth function which vanishes at the origin and depends only on  $k \in \mathbb{N}$ ,  $p \in (1, \infty)$ , and the geometry of X.

It suffices to prove this in the case where  $H_0$  is a flat metric on a trivial holomorphic bundle over  $\overline{B}_2 \subset \mathbb{C}^n$ . The theorem is not a straight-forward consequence of standard bootstrapping techniques because we only have

$$\Delta s = A(K_H) + C(\nabla s \otimes \nabla s)$$

where A and C are linear with coefficients depending on s; see Proposition A.1. The usual Sobolev estimates will not suffice to prove Theorem C.1 without any control of  $\nabla s$ . However, if we assume  $C^{0,\beta}$  bounds on  $\nabla s$  of the above form, then the usual method does give the desired estimates. It is well known to analysts that for an equation of this form  $C^{0,\beta}$  bounds on  $\nabla s$  can be obtained from a bound on the Morrey norm  $\|\nabla s\|_{L^{2,2n-2+2z}}$ ; see Definition E.1. We give full details of this fact, which is completely general and has nothing to do with Hermitian Yang-Mills metrics, in Appendix D. All of this being said, it thus suffices to prove the following proposition.

**Proposition C.2.** Denote by  $H_0$  a flat Hermitian metric on the trivial holomorphic bundle of rank r over  $\overline{B}_2 \subset \mathbb{C}^n$ . If  $H = H_0 e^s$  with  $s \in C^{\infty}(\overline{B}_2, i\mathfrak{su}(r))$ , then

$$\begin{split} \left[s\right]_{C^{0,z}\left(\overline{B}_{1}\right)} & \lesssim \|\nabla s\|_{L^{2\cdot2n-2+2z}(B_{1})} \\ & \leqslant \varepsilon\Big(\|s\|_{L^{\infty}\left(B_{2}\right)} + \|K_{H}\|_{L^{\infty}\left(B_{2}\right)}\Big) \end{split}$$

where  $\alpha \in (0,1)$  depends on  $\|s\|_{L^{\infty}(B_2)}$  in a monotonely decreasing way, and  $\varepsilon$  is a smooth function which vanishes at the origin.

*Proof.* For  $x \in B_1$  define  $f_x: (0,1] \to [0,\infty)$  by

$$f_x(r) := \int_{B_r(x)} G_x |\nabla s|^2$$

with  $G_x(\cdot) := |\cdot - x|^{2-2n}$ . We will show that

$$f_x(r) \leqslant \varepsilon r^{2\alpha}$$

with  $\varepsilon$  and  $\alpha$  as in the proposition. This implies the asserted Morrey bound.

In the following we fix  $x \in B_1$  and  $r \in (0, 1/2]$  and omit writing the subscript x to simplify notation.

**Step 1.** We have  $f(r) \leq \varepsilon$ .

Fix a smooth function  $\chi:[0,\infty)\to[0,1]$  which is equal to one on [0,1] and vanishes outside [0,2]. Set  $\chi_r(\cdot):=\chi(|\cdot-x|/r)$ . Using

$$|\nabla s|^2 \lesssim \varepsilon \cdot (1 - \Delta |s|^2),$$

which follows from Proposition A.9 and the observation before Eq. (5.5), we compute

$$\begin{split} f(r) &\leqslant \int_{B_{2r}(x)} \chi_r G \cdot |\nabla s|^2 \\ &\lesssim \varepsilon \int_{B_{2r}(x)} \chi_r G \cdot \left(-\Delta |s|^2\right) + \chi_r G \\ &\lesssim \varepsilon r^{-2n} \int_{B_{2r}(x) \setminus B_r(x)} |s|^2 + \varepsilon r^2 \\ &\leqslant \varepsilon. \end{split}$$

**Step 2.** We have  $f(r) \leq \gamma f(2r) + \varepsilon r^2$  for some constant  $\gamma \in (0,1)$  depending on  $||s||_{L^{\infty}(B_2)}$ . Set

$$\overline{s} := \int_{B_{2r}(x) \setminus B_r(x)} s \in i\mathfrak{su}(r) \quad \text{and} \quad \sigma := \log(e^s e^{-\overline{s}}).$$

Observe that

$$|\nabla s|^2 \lesssim M |\nabla \sigma|^2$$
 and  $|\sigma|^2 \lesssim M |s - \overline{s}|^2$ 

with M>0 some constant depending on  $\|s\|_{L^{\infty}(B_2)}$  and  $\|K_H\|_{L^{\infty}(B_2)}$  in a monotonely increasing way. Arguing as in the previous step we have

$$|\nabla \sigma|^2 \leqslant M(1 - \Delta |\sigma|^2).$$

Using the above and Poincaré's inequality we have

$$\begin{split} \int_{B_r(x)} G |\nabla s|^2 & \lesssim M \int_{B_{2r}(x)} \chi_r G \cdot \left( -\Delta |\sigma|^2 \right) + \varepsilon \chi_r G \\ & \lesssim M \cdot r^{-2n} \int_{B_{2r}(x) \setminus B_r(x)} |\sigma|^2 + \varepsilon r^2 \\ & \lesssim M^2 \cdot r^{-2n} \int_{B_{2r}(x) \setminus B_r(x)} |s - \bar{s}|^2 + \varepsilon r^2 \\ & \lesssim M^2 \cdot r^{2-2n} \int_{B_{2r}(x) \setminus B_r(x)} |\nabla s|^2 + \varepsilon r^2 \\ & \lesssim M^2 \int_{B_{2r}(x) \setminus B_r(x)} G |\nabla s|^2 + \varepsilon r^2. \end{split}$$

This gives the asserted inequality with  $\gamma = M^2/(1+M^2)$ .

**Step 3.** We have  $f(r) \leq \varepsilon r^{2\alpha}$ .

We can assume that  $\gamma \ge 1/2$ . Set  $g(r) := f(r) + \frac{\varepsilon r^2}{4\nu - 1}$ . By the second step

$$g(r) \leqslant \gamma^k g(2^k r).$$

Setting  $k := \log_2[1/2r]$ , we have  $\gamma^k \leq r^{2\alpha}$  for some  $\alpha \in (0,1)$  depending only on  $\gamma$ ; hence, by the first step

$$f(r) \leqslant \varepsilon r^{2\alpha}$$
.

# Appendix D: Hildebrandt's $C^{1,\beta}$ estimate

The following result is well-known to analysts. It can be traced back to Hildebrandt's work on harmonic maps [40, Section 6].

**Proposition D.1.** Suppose  $\alpha \in (0,1)$ . Let U be an open subset of  $\mathbb{R}^n$  with smooth boundary, and let  $f: \overline{U} \to \mathbb{R}^k$  be a solution of a partial differential equation of the form

$$\Delta f = A + B(\nabla f) + C(\nabla f \otimes \nabla f) \tag{D.2}$$

where  $A \in C^0(\overline{U}, \mathbf{R}^k)$ ,  $B \in C^0(\overline{U}, \operatorname{End}(\mathbf{R}^k))$ , and  $C \in C^0(\overline{U}, \operatorname{Hom}(\mathbf{R}^k \otimes \mathbf{R}^k, \mathbf{R}^k))$ . For each  $V \subset\subset U$ , we have

$$\|\nabla f\|_{C^{0,\beta}(V)} \leqslant \varepsilon (\|\nabla f\|_{L^{n-2+2\alpha,2}(U)})$$

where  $\varepsilon$  is a smooth increasing function vanishing at the origin (depending on A, B, C, U and V), and  $\beta \in (0, 1)$  depends only on  $\alpha$ .

We will make heavy use of Morrey and Campanato spaces. For the reader's convenience all necessary definitions and results are summarized in Appendix E.

*Proof.* Set  $R := d(V, \partial U)$ . Define  $\phi : [0, R] \to [0, \infty)$  by

$$\phi(r) := \sup \left\{ \int_{B_r(x)} \! \left| \nabla f \, - \, \overline{\nabla} \overline{f}_{x,r} \right|^2 : x \in V \right\}.$$

By definition

$$[\nabla f]_{\ell^{2,\lambda}(V)} \leqslant \sup \Bigl\{ r^{-\,\lambda} \phi(r) : r \, > \, 0 \Bigr\} \leqslant [\nabla f]_{\ell^{2,\lambda}(U)}.$$

We will show that

$$\phi(r) \leqslant \varepsilon r^{n+2\beta}$$

with  $\varepsilon$  as in the proposition. The assertion then follows from Morrey's Embedding Theorem in the form of Theorem E.5.

Trivially, we have

$$\phi(r) \leqslant \varepsilon r^{n-2+2\alpha}$$

The following proposition strengthens this estimate using Eq. (D.2).

**Proposition D.3.** For  $0 < s \le r \le R$  and  $\alpha \le 1$ , we have

$$\phi(s) \leqslant c \left(\frac{s}{r}\right)^{n+2} \phi(r) + \varepsilon r^{n-2+3\alpha}.$$

We will postpone the proof of Proposition D.3 while we explain how the proof of Proposition D.1 is completed. To improve the exponent we use the following lemma, whose proof is very simple and deferred to the end of this section.

**Lemma D.4.** If  $\phi: [0,R] \to [0,\infty)$  is a non-decreasing function and  $c, \varepsilon > 0, \alpha > \beta > 0$  are constants such that for all  $0 < s \le r \le R$ 

$$\phi(s) \leqslant c \left(\frac{s}{r}\right)^{\alpha} \phi(r) + \varepsilon r^{\beta},$$

then we have



$$\phi(r) \lesssim_{c,\alpha,\beta} \left(\frac{\phi(R)}{R^{\beta}} + \varepsilon\right) r^{\beta}.$$

We derive that

$$\|\nabla f\|_{\ell^{2,n-2+2\alpha\prime}(V)} \leqslant \varepsilon$$

with  $\alpha' = \frac{3}{2}\alpha$ . If  $\alpha' < 1$ , then by Proposition E.3 we have

$$\|\nabla f\|_{L^{2,n-2+2\alpha\prime}(V)} \leqslant \varepsilon$$

and we can restart the argument with  $\alpha'$  instead of  $\alpha$  and V instead of U. Iterating this a finite number of times we will eventually end up in the case  $\alpha' > 1$ . In this case

$$\phi(r) \leqslant \varepsilon r^{n+2\beta}$$

with  $\beta = \frac{\sqrt[d]{-1}}{2}$ . This completes the proof of Proposition D.1.

*Proof of Proposition D.3.* Fix a ball  $B_r(x) \subset U$  with centre  $x \in V$ . We may assume that f(x) = 0, because in all that follows we can work with f - f(x) instead.

**Step 1.** We can write f = g + h with  $g, h : \overline{B}_r(x) \to \mathbf{R}^k$  satisfying

$$\Delta g = A + B(\nabla f) + C(\nabla f \otimes \nabla f)$$
 and  $g|_{\partial B_r(x)} = 0$  (D.5)

and

$$\Delta h = 0$$
 and  $h|_{\partial B_r(x)} = f|_{\partial B_r(x)}$ .

**Step 2.** We have

$$\|g\|_{L^{\infty}(B_r(x))} \leqslant \varepsilon r^{\alpha}$$
 and  $\|h\|_{L^{\infty}(B_r(x))} \leqslant \varepsilon r^{\alpha}$ .

By Theorem E.4 and Theorem E.5 we have  $[f]_{C^{0,x}(U)} \leq \varepsilon$ . From f(x) = 0 it follows that  $||f||_{L^{\infty}(B_r(x))} \leq \varepsilon r^{\alpha}$ . The maximum principle implies the asserted bound on h; the bound on g then follows.

**Step 3.** We have

$$\int_{B_{n}(x)} |\nabla g|^{2} \leqslant \varepsilon r^{n-2+3\alpha}.$$

Since g vanishes on  $\partial B_r(x)$  and using Eq. (D.5),

$$\begin{split} \int_{B_r(x)} |\nabla g|^2 &= \int_{B_r(x)} \langle \Delta g, g \rangle \\ &\lesssim \int_{B_r(x)} |g| \left( 1 + |\nabla f|^2 \right) \\ &\leqslant \varepsilon r^{n - 2 + 3\alpha}. \end{split}$$

**Step 4.** For  $s \le r$ , we have

$$\int_{B_s(x)} |\nabla h - \overline{\nabla h}_{x,s}|^2 \lesssim \left(\frac{s}{r}\right)^{(n+2)} \int_{B_r} |\nabla h - \overline{\nabla h}_{x,r}|^2.$$

This is Theorem E.6 for  $\nabla h$ .

**Step 5.** We complete the proof of the proposition. Using the preceding steps, we compute

$$\begin{split} \int_{B_{s}(x)} |\nabla f - \overline{\nabla f}_{x,s}|^{2} & \leq \int_{B_{s}(x)} |\nabla h - \overline{\nabla h}_{x,s} + \nabla g - \overline{\nabla g}_{x,s}|^{2} \\ & \lesssim \int_{B_{s}(x)} |\nabla h - \overline{\nabla h}_{x,s}|^{2} + \int_{B_{s}(x)} |\nabla g|^{2} \\ & \lesssim \left(\frac{s}{r}\right)^{n+2} \int_{B_{r}(x)} |\nabla h - \overline{\nabla h}_{x,r}|^{2} + \int_{B_{r}(x)} |\nabla g|^{2} \\ & \lesssim \left(\frac{s}{r}\right)^{n+2} \int_{B_{r}(x)} |\nabla f - \overline{\nabla f}_{x,r}|^{2} + \varepsilon r^{n-2+3\alpha}. \end{split}$$

Taking the supremum over  $x \in V$  yields the asserted statement.

*Proof of Lemma D.4.* This is similar to but somewhat simpler than [39, Lemma 3.4]. If we choose  $\tau < 1$  such that  $\gamma := c\tau^{\alpha - \beta} < 1$ , then

$$\phi(\tau^{k}R) \leq \gamma \phi(\tau^{k-1}R)\tau^{\beta} + \frac{\varepsilon}{\tau^{\beta}} (\tau^{k}R)^{\beta}$$
$$\leq \left(\gamma^{k} \frac{\phi(R)}{R^{\beta}} + \frac{\varepsilon}{(1-\gamma)\tau^{\beta}}\right) (\tau^{k}R)^{\beta}.$$

From this the assertion follows immediately.

## **Appendix E: Morrey and Campanato spaces**

An excellent exposition of Morrey and Campanato spaces can be found in Struwe's lecture notes [41, Kapitel 8 and 10]. We only state the definitions and the results we make use of.

Assume that  $U \subset \mathbb{R}^n$  is open with smooth boundary. Let  $1 \leq p < \infty$  and  $\lambda \geq 0$ .

**Definition E.1.** The **Morrey space**  $(L^{p,\lambda}(U), \|\cdot\|_{L^{p,\lambda}(U)})$  is the normed vector space defined by

$$L^{p,\lambda}(U) := \left\{ f \in L^p(U) : \|f\|_{L^{p,\lambda}(U)} < \infty \right\}$$

with

$$||f||_{L^{p,\lambda}(U)}:=\sup_{x\in U,r>0}\left(r^{-\lambda}\int_{B_r(x)\cap U}|f|^p\right)^{1/p}.$$

**Definition E.2.** The *Campanato space*  $(\mathscr{L}^{p,\lambda}(U), \|\cdot\|_{\mathscr{L}^{p,\lambda}(U)})$  is the normed vector space defined by

$$\mathscr{L}^{p,\lambda}(U) := \left\{ f \in L^p(U) : [f]_{\mathscr{L}^{p,\lambda}(U)} < \infty \right\}$$

and

$$||f||_{\mathscr{L}^{p,\lambda}(U)} := ||f||_{L^p(U)} + [f]_{\mathscr{L}^{p,n}(U)}.$$

Here the Campanato semi-norm is defined by

$$[f]_{\mathscr{L}^{p,\lambda}(U)} := \sup_{x \in U, r > 0} \left( r^{-\lambda} \!\! \int_{B_r(x) \cap U} \!\! |f - \overline{f}_{x,r}|^p 
ight)^{1/p}$$

with

$$\overline{f}_{x,r} := \int_{B_r(x) \cap U} f.$$

Both Morrey and Campanato spaces are Banach spaces. The following shed some more light on the relation between Morrey, Campanato, and Hölder spaces, and the Campanato regularity properties of harmonic functions.

**Proposition E.3.** ([41, Lemma 10.3.1]). If  $\lambda \leq n$ , then for all  $f \in \mathcal{L}^{p,\lambda}(U)$  we have

$$||f||_{L^{p,\lambda}(U)} \lesssim ||f||_{\mathscr{L}^{p,\lambda}(U)}.$$

**Theorem E.4.** (Poincaré inequality). For all  $f \in L^{p,\lambda}(U)$ , we have

$$[f]_{\mathscr{L}^{p,\lambda+p}(U)} \lesssim ||\nabla f||_{L^{p,\lambda}(U)}.$$

**Theorem E.5.** (Morrey embedding [41, Satz 8.6.5]). For all  $f \in \mathcal{L}^{p,n+p\alpha}(U)$ , we have

$$[f]_{C^{0,\alpha}(\overline{U})} \lesssim [f]_{\mathscr{L}^{p,n+p\alpha}(U)}$$

**Theorem E.6.** ([41, Lemma 10.2.1] and [39, Lemma 3.10]). If  $f \in W^{1,2}(B_r(x))$  satisfies  $\Delta f = 0$  and 0 < s < r, then

$$\int_{B_s(x)} |f - \overline{f}_{x,s}|^2 \lesssim \left(\frac{s}{r}\right)^{(n+2)} \int_{B_r(x)} |f - \overline{f}_{x,r}|^2.$$

#### **Notes**

- This question was also raised in Yau's 2015 Shanks Lecture [4, p. 66].
- The definition is insensitive to the precise choice, since D is compact.
- Such a Hermitian metric exists and is unique up to multiplication by a positive constant; see, e.g., [xxxx, Corollary 2.1.6].
- The prefactor  $Ad(e^{s/2})$  is needed because  $K_{H_0e^s}$  need not be H0-self-adjoint.

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## **ORCID**

Adam Jacob (b) http://orcid.org/0000-0003-0054-4182 Thomas Walpuski (b) http://orcid.org/0000-0002-2705-3423

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