

1 **Testing Darwin's hypothesis about the wonderful Venus flytrap:**

2 **marginal spikes form a 'horrid prison' for moderate-sized insect prey**

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26 **Abstract**

27 Botanical carnivory is a novel feeding strategy associated with numerous physiological and
28 morphological adaptations. However, the benefits of these novel carnivorous traits are rarely
29 tested. We used field observations, lab experiments, and a semi-natural experiment to test prey
30 capture function of the marginal spikes on snap traps of the Venus flytrap (*Dionaea muscipula*).
31 Our field and laboratory results suggested inefficient capture success: fewer than 1 in 4 prey
32 encounters led to prey capture. Removing the marginal spikes decreased the rate of prey capture
33 success for moderate-sized cricket prey by 90%, but this effect disappeared for larger prey. The
34 nonlinear benefit of spikes suggests that they provide a better cage for capturing more abundant
35 insects of moderate and small sizes, but may also provide a foothold for rare large prey to escape.
36 Our observations support Darwin's hypothesis that the marginal spikes form a 'horrid prison' that
37 increases prey capture success for moderate-sized prey, but the decreasing benefit for larger prey
38 is unexpected and previously undocumented. Thus, we find surprising complexity in the adaptive
39 landscape for one of the most wonderful evolutionary innovations among all plants. These findings
40 enrich understanding of the evolution and diversification of novel trap morphology in carnivorous
41 plants.

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49 **Introduction**

50 The origins of novel structures remain an important and poorly understood problem in
51 evolutionary biology (Mayr 1960; Mozcek 2008). Novel traits are often key innovations
52 providing new ecological opportunities (Maia et al. 2013; Stroud and Losos 2016; Wainwright et
53 al. 2012; Martin and Wainwright 2013). Despite the importance of these traits, our understanding
54 of the adaptive value of novel structures is often assumed and rarely tested directly. Frequently,
55 this is because it is difficult or impossible to manipulate the trait without impairing organismal
56 function in an unintended way; however, many carnivorous plant traits do not present this
57 obstacle.

58 Botanical carnivory is a novel feeding strategy that has evolved at least nine separate
59 times in over 700 species of angiosperms, typically in areas with severely limited nitrogen and
60 phosphorus (Ellison 2006; Givnish 2015; Givnish et al. 1984; Król et al. 2012, Roberts and
61 Oosting 1958). Pitfall traps evolved independently at least 6 times and sticky traps 5 times.
62 However, snap traps have most likely evolved only once in the ancestral lineage leading to the
63 aquatic waterwheel (*Aldrovandra vesiculosa*) and Venus flytrap (*Dionaea muscipula*), which is
64 sister to the sundews (*Drosera* spp.) and within the Caryophyllales (Cameron 2002, Givnish
65 2015, Walker et al. 2017). Multiple hypotheses have been proposed for why snap traps evolved
66 including the ability to capture larger prey, capture prey more quickly, or more completely digest
67 prey (Darwin 1875; Gibson and Waller 2009). However, these hypotheses have rarely been
68 tested except for a few field studies documenting the size and diversity of arthropod prey (Jones
69 1923; Gibson 1991; Hutchens and Luken 2015; Youngsteadt et al. 2018).

70 The marginal spikes found in *Dionaea* are modified trichomes that extend from the margin of
71 the trap lobes. These spikes are homologous to the trichomes of sundews, but do not exude any

72 sticky resin and have lost the mucus glands in these spikes (Gibson and Waller 2009). Darwin
73 was the first to document evidence for carnivory in flytraps and sundews in a series of careful
74 experiments and proposed that the marginal spikes of flytraps enhance prey capture success by
75 providing a cage-like structure around the top of the trap that contains the prey (Darwin 1875;
76 Gibson and Waller 2009). Darwin (1875) also hypothesized that while small insects will be able
77 to escape between the spikes, a moderately sized insect will be “pushed back again into its horrid
78 prison with closing walls” (page 312), and large, strong insects will be able to free themselves.
79 Determining the function of the marginal spikes is important for understanding the rarity of
80 mechanical snap traps.

81 Traits that enhance prey capture ability are expected to be strongly selected for given the
82 benefits of additional nutrients and the energetic and opportunity costs associated with a
83 triggered trap missing its intended prey. The marginal spikes provide a novel function that
84 potentially increases prey capture rate and minimizes the costs associated with a failed trap
85 closing event. Nutrients from insect prey increase the growth rate of Venus flytraps (Darwin
86 1878; Roberts and Oosting 1958) at a cost of lower photosynthetic efficiency of carnivorous
87 plants compared to other plants (Ellison and Gotelli 2009; Pavlovic et al. 2009). The traps are
88 triggered by an action potential when specialized trigger hairs are stimulated (Volkov et al. 2008,
89 2009) and close as quickly as 100 milliseconds forming a cage around the prey item (Poppinga et
90 al. 2013). If the trap fails to capture an insect, it takes between two and three days for the trap to
91 reopen, during which time it is unable to be used for prey capture. Beyond the energy expended
92 to close a trap and the opportunity cost of a miss, there is a cost associated with declining trap
93 performance and trap death. Traps that have closed and reopened have lower subsequent trap

94 closure speeds and trap gape angle (Stuhlman 1948). Additionally, after a few closings, traps
95 rapidly die.

96 We measured prey capture efficiency, trap closure time, and the effect of marginal spikes
97 using field observations of wild Venus flytraps, laboratory experiments, and a semi-natural
98 experiment. By testing the prey capture ability of plants with intact spikes and ones with the
99 spikes clipped off, we assessed the novel function of the marginal spike cage for prey capture.

100

101 **Methods:**

102 *Field Data Collection*

103 The Green Swamp Preserve, NC, USA is one of the last remaining eastern pine savannah
104 habitats containing endemic flytraps. To estimate prey capture rates, we identified individual
105 plants ($n = 14$) and recorded the number of traps that fell into four categories: alive and closed,
106 dead and closed, alive and open, and dead and open. All closed traps ($n = 100$) had their length,
107 defined here as the widest point of the lobes on the long axis, recorded with digital calipers. We
108 used a flashlight to illuminate the trap from behind making anything inside the trap visible as a
109 silhouette. If the trap contained something it was assigned a value of 1 for “catch” and if it
110 contained nothing it was assigned a 0 for “miss”. We also noted when a trap was closed on
111 another trap or contained debris inside such as sticks or grass (these were considered a miss; $n =$
112 7). Both logistic regression and a generalized linear mixed-effects model (package lme4; Bates et
113 al. 2015) in R using RStudio (R Statistical Programming Group 2018; RStudio Team 2015) were
114 used to determine if trap length had a significant effect on prey capture rate in the field.

115

116 *Laboratory prey capture experiments*

117 Plants used in lab experiments were tissue-cultured and purchased from commercial suppliers
118 (bugbitingplants.com; stores.ebay.com/joelcarnivorousplants/). The plants were maintained in
119 40 liter terraria under high-output fluorescent lighting (14-hour daylight cycle) with 8 cm pots
120 submerged in 1-4 cm of reverse osmosis water at all times. Throughout the duration of the
121 experiments, the plants were kept at ambient temperatures under the lights, ranging from 35° C
122 during the day to 22° C at night), and 50 – 90% humidity, similar to natural conditions in the
123 field during summer months. Crickets were purchased from Petsmart and kept in 4-liter plastic
124 containers with shelter, water, and a complete diet (Fluker's cricket food).

125 To assess the adaptive role of marginal spikes, we set up prey capture arenas (Fig. 1C).
126 Each arena consisted of one plant in a petri dish of distilled water, one cricket of known length
127 (range: 0.7 cm – 2.3 cm) and mass (range: 0.026 g – 0.420 g), cricket food, and a ramp from the
128 dry bottom of the arena to the plant. The relationship between prey mass and catch rate was
129 plotted to ensure the relationship was linear and account for non-isometric power scaling in
130 cricket hind legs. Only healthy crickets with all six legs were used for prey capture trials.
131 Orthopterans make up approximately 10% of flytrap prey in the wild (Ellison and Gotelli 2009;
132 CHM pers. obs.), and this may represent an underestimate of how often they visit plants in the
133 wild because they may be more likely to escape than less powerful prey like ants or small
134 beetles. The crickets used in this study ranged between 7 mm and 23 mm, which is within the
135 natural distribution of orthopteran prey sizes in the Green Swamp in which very large individuals
136 were observed (reaching at least 54mm; CHM pers. obs.). All closed traps were initially marked
137 with a permanent marker. We checked the plants for closed traps after three days and after one
138 week. Every closed, empty trap was recorded as a 0 for “miss” and every closed trap that
139 contained prey was recorded as a 1 for “catch”. Following one unmanipulated trial with the

140 spikes intact, we used scissors to clip the spikes from every trap on the plant (Fig. 1). The plants
141 were then allowed to recover for a week until the traps reopened. After the traps reopened, we
142 placed each plant through a second trial with a new cricket. We performed 51 prey capture trials
143 (34 plants total, 17 used only for unmanipulated trials, and 17 used once before and after spike
144 removal). Only 1 trial resulted in no traps triggered over the full week. We also set up control
145 trials ($n = 5$) with a newly dead cricket placed on the bottom of the tank and negative controls
146 with no cricket at all ($n = 2$) to ensure that any experimental trap closures were triggered by the
147 cricket and not spontaneous.

148 To analyze the relationship between prey mass, treatment, trap length, and prey capture
149 success we used multiple logistic regression models in R and generalized linear mixed-effect
150 models (GLMMs) using the lme4 package (Bates et al. 2015). Plant ID was included as a random
151 effect to account for variation in plant-level performance in addition to the fixed effects of
152 treatment, prey mass, and trap length with the binomial response variable of prey capture success
153 for each closed trap during the observation period. For the GLMMs, we used Akaike information
154 criteria with correction for small sample size (AICc) to compare models. We chose prey capture
155 success as our proxy for performance and fitness due to the evidence that the growth rate of
156 flytraps is greatly enhanced by ingesting insect prey (Schulze et al. 2001). We visualized changes
157 in the performance landscape due to removing marginal spikes by estimating thin-plate splines
158 for the binomial prey capture success data for trials with and without spikes. We fit splines by
159 generalized cross-validation using the Tps function in the Fields package (Nychka et al. 2015) in
160 R (R Core Team 2018).

161

162 *Semi-Natural Experiment*

163 To expand upon data from the laboratory prey capture experiments, we planted 22 flytraps in the
164 North Carolina Botanical Garden, with half the traps on each plant with intact marginal spikes
165 and the other half with the spikes removed. Traps were randomly chosen for removal of spikes
166 and allowed to reopen in laboratory terraria before placement in the field. Plants were kept in an
167 open, forested area of the gardens in standing water with ramps for terrestrial arthropod access
168 for a period of 4 weeks. Catch data was collected after each week. Catch data and trap length
169 data was recorded in the same way as the laboratory experiments and all captured prey items had
170 their length recorded in the laboratory. Identification of captured prey was recorded if possible
171 given the amount of digestion. The effect of the marginal spikes and trap length on prey capture
172 were assessed using a GLMM and results from the GLMM were combined with results from
173 laboratory experiments using Fisher's method.

174

175 *Trap Closure Time*

176 We measured trap closure time as a function of number of previous trap closures in order to
177 characterize the effect of using plants for manipulated trials following control trials. Trap closure
178 times were measured for ten traps on each of seven tissue-cultured plants (not previously used
179 for prey capture experiments). Measurements of closing speed were taken on the first closure for
180 all traps, and then recorded for each subsequent closure until the trap spontaneously died
181 (maximum of four closings per trap). Trigger hairs on each trap were stimulated with a toothpick
182 and high-speed video was recorded at 960 frames per second using a Sony DSC-RX 10 camera.
183 The video sequences were then imported into Adobe Photoshop CC and converted into an image
184 sequence to obtain the total duration of trap closure. The number of frames from first movement
185 until the marginal spikes began to overlap was used to determine trap closure time.

186

187 **Results:**

188 *Field Prey Capture Rates*

189 In the Green Swamp, only 24% of closed wild flytraps contained prey. This number represents a
190 high-end estimate because anything inside the plants was counted as a catch, despite the
191 possibility that the object was a piece of debris instead of an insect or spider. Of the 98 closed
192 traps recorded, 8 were closed around obvious plant debris, and 2 contained identifiable prey (1
193 ant and 1 spider). $55\% \pm 5\%$ (mean +/- SE) of wild flytraps were open and alive, therefore able
194 to capture prey. The percentage of closed traps that contained prey ranged from 0% to 50% for
195 any individual plant. Five plants had a success rate of 0%, five were between 0-33%, and four
196 had a success rate between 34-50%.

197

198 *Laboratory Prey Capture Rates*

199 Similarly in the lab, only 16.5% of flytraps successfully captured prey out of all closed traps
200 among unmanipulated plants. Only 5.8% of flytraps with marginal spikes removed on these same
201 plants successfully captured prey. Tissue damage due to clipping marginal spikes quickly healed
202 and clipped traps reopened within 4 days; thus, this disparity does not appear to be due to any
203 deleterious effect of tissue damage. Furthermore, no differences in trap closing speeds, health, or
204 growth rates of manipulated traps were apparent. Indeed, marginal teeth began to regrow within
205 approximately one week after removal, suggesting that we underestimated the effect of spike
206 removal on prey capture since spikes were partially regrown by the end of each trial.

207 Removing marginal spikes reduced the odds of prey capture by 90% relative to
208 unmanipulated traps from the same plant while controlling for prey mass and trap length (effect
209 of manipulation: $P = 0.002$; linear mixed-effect model relative to model without treatment

210 variable: $\Delta\text{AIC}_c = 11$). At large prey sizes and large trap lengths the beneficial effects of
211 marginal spikes on prey capture disappeared (note that spline SE crosses at large prey and trap
212 sizes; Figs. 3b,c).

213

214 *Effect of Prey Mass and Trap Length*

215 A linear mixed effect model with prey mass included provided a far better fit to the data than one
216 without ($\Delta\text{AIC}_c = 15$). In the full model, prey mass was a significant predictor of prey capture
217 success ($P = 0.0004$), with every 0.1 g increase in prey mass corresponding to a 73% decrease in
218 prey capture performance (Fig 3).

219 Larger trap size also increased the probability of successful prey capture after controlling
220 for prey size, with every 1 cm increase in trap length increasing the odds of prey capture by 2.9-
221 fold (Table 1). Larger trap size increased prey capture success for both manipulated and non-
222 manipulated plants (Fig 3; logistic regression; manipulated: $P = 0.020$; non-manipulated: $P =$
223 0.003). A linear mixed effect model including trap length provided a much better fit to the data
224 than one without ($\Delta\text{AIC}_c = 31$). For the data from the Green Swamp, a logistic model that
225 assessed each trap as independent found a marginally significant relationship between trap length
226 and prey capture success ($P = 0.066$). This association was diminished when considering the
227 effect of individual plant ID within a generalized linear-mixed effect model ($P = 0.097$).

228

229 *Semi-Natural Experiment*

230 Plants that were kept in the NC Botanical Garden had a prey capture success rate of 13.3% and
231 9.2% for intact and manipulated plants, respectively. This is the same general trend as in
232 laboratory plants. Furthermore, the spline SE crosses at larger trap sizes, indicating the effect is

233 strongest for moderate-sized prey. However, the effect of manipulation was not significant ($P =$
234 0.14; Figure 2). This is likely due to reduced statistical power from numerous trap closures that
235 were triggered by an atypical spring snowfall in 2018. We cannot discern the exact number of
236 closures caused by the snow, but this result in excessive misses (closed, empty traps) following
237 the snowfall. We used Fisher's method to test the significance of the marginal spikes and trap
238 length given both the laboratory and semi-natural data and found a more significant effect of
239 these variables on prey capture performance ($P_{\text{spikes}} = 0.003$; $P_{\text{length}} = 10e^{-5}$).

240

241 *Trap Closure Time*

242 The average trap closure time was 283 ± 29 ms (mean \pm SE) for the first closure, 383 ± 43 ms
243 for the second, 528 ± 62 ms for closure three, and the few that survived to four closures took 772
244 ± 374 ms (Figure 4; 1-way ANOVA, $P = 10^{-16}$) Only 38 of the 50 traps survived the second
245 closure, 25 of those 38 made it to the third closure, and 3 traps survived the full four weeks.

246

247 **Discussion**

248 We provide the first direct test of how prey capture performance is affected by the presence of
249 marginal spikes, trichomes which provide a novel function in Venus flytraps by forming what
250 Darwin described as a “horrid prison”. We found that the marginal spikes are adaptive for prey
251 capture of small and medium sized insects, but not larger insects. In controlled laboratory prey
252 capture trials, 16.5% of trap closures resulted in successful prey capture whereas only 5.8% of
253 trap closures successfully captured prey when marginal spikes were removed (Fig. 2b-c). It is
254 unlikely that this difference is slower closing speeds in the later experimental trials because the
255 difference in trap closure speed from the first to the second closure is 100 ms (Fig. 4), 1/2 of the

256 amount of time it takes a cricket to initiate a jump in response to a stimulus (Tauber and Camhi
257 1995), and few traps were triggered during both trials. We also found similarly low prey capture
258 rates in the Green Swamp Preserve, NC (Fig. 2), one of few remaining natural habitats of the
259 Venus flytrap, and in semi-natural experiments in the NC Botanical Garden, Chapel Hill, NC
260 (Fig. 2d). Furthermore, only about half of the wild traps were open, alive, and available to catch
261 prey. Given the documented tradeoff between photosynthetic efficiency and carnivory and costs
262 associated with maintaining traps (Ellison and Gotelli 2009; Pavlovic et al. 2009), it is possible
263 that the nutrients acquired from a relatively small number of traps are sufficient to maintain the
264 plant. In support of this hypothesis, other carnivorous plants (*Sarracenia purpurea* and
265 *Darlingtonia californica*) sustain themselves with prey capture rates as low as 2% for ants and
266 wasps (Newell and Nastase 1998; Dixon et al., 2005). Alternatively, prey capture rates for
267 tropical pitcher plants (*Nepenthes rafflesiana*) may reach 100% for ants (Bauer et al. 2008). Given
268 that most Venus flytraps fall within this range for pitfall traps (7.9% for traps between 1cm -
269 2cm, 52.9% for traps > 2cm), additional factors beyond increasing prey capture rates may
270 underlie the origins of mechanical snap traps.

271 The relatively inefficient prey capture rates found in this experiment are similar to the
272 findings of Bauer et al. (2015) (comparable to Gibson and Waller 2009). They found that
273 inefficient prey capture by pitcher plants allows for recruitment of more prey, which in turn, led
274 to more total insects being captured by the traps. It is possible that the same phenomenon may
275 hold true for more complex traps like that of *Dionaea*. Adaptive inefficiency could also explain
276 why only half of the traps on the plant are open and available for prey capture.

277 *Dionaea* has a generalist trap that is less specialized than other carnivorous plants such as
278 *Brocchinia*, *Nepenthes*, or *Utricularia* (Ellison and Gotelli 2009). Because flytraps do not appear

279 to be specialized for certain insects we must consider the total range of available insect prey
280 when assessing the adaptive role of the marginal spikes. Orthopteran prey used here had an
281 average size of 15.2 mm, which is close to the predicted and experimental prey sizes for peak
282 snap trap returns (Gibson and Waller 2009). Models generated from empirical data even show
283 substantial returns for up to 30 mm prey. In the Green Swamp preserve there are large prey items
284 including arachnids and orthopterans that exceed 30 mm (personal observations). Thus, the range
285 of prey sizes included here (7 mm - 23 mm) is within the range of available insects (< 2 mm - >
286 50mm). The dramatic difference in prey capture rate of orthopteran prey with the spikes cut off
287 versus intact likely means that the marginal spikes allow the plant to more fully take advantage
288 of the available prey. This holds especially true for medium-sized traps. Medium-sized traps
289 experience both the most rapid decline in capture rate for medium-sized prey and gain the most
290 from having the marginal spikes intact.

291 Surprisingly, the effect of removing the marginal spikes for medium-sized traps on prey
292 capture success nearly disappears for larger traps in both laboratory experiments and semi-
293 natural field experiments. We observed a possible mechanistic explanation for this
294 counterintuitive result. Crickets are often climbing on the marginal spikes of large traps, and
295 when they trigger them they are able to push against the marginal spikes to pry themselves free.
296 In contrast, when a cricket triggers a large trap with no spikes, it has nothing to use to free itself.
297 Marginal spikes appear to provide leverage for larger insect prey to escape. It is also important to
298 note that the crickets did not appear to use their powerful femurs to pry the trap open, although it
299 is still possible that this occurred but was obscured by the trap lobes. There is also a possible
300 physical explanation for the diminishing benefit of the marginal spikes at large trap sizes.
301 Stuhlman (1948) speculated that friction between the marginal spikes may slow down trap

302 closure. Because the contact area over which friction matters is proportional to the length
303 squared, we would expect disproportionately larger frictional forces as the length of marginal
304 spikes increases on larger traps.

305 We demonstrated that the novel marginal spikes, forming a ‘horrid prison’, are an
306 adaptation for prey capture with nonlinear effects at larger prey/trap sizes. Furthermore, this
307 system lends itself to tractable experimental work carried out by undergraduate researchers. This
308 project was carried out entirely during a one-semester course-based undergraduate research
309 experience (CURE; Bangera and Brownell 2014) followed by one semester of independent study
310 for three students to perform follow-up experiments. Characterizing the role of adaptive traits
311 aids our understanding of selective forces underlying the diversity of trap types and the rarity of
312 snaptraps, offering insights into the origins of one of the most wonderful evolutionary
313 innovations among all plants.

314

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322

323 **Data accessibility**

324 All data and R scripts used for this study will be deposited in the Dryad Digital Repository.

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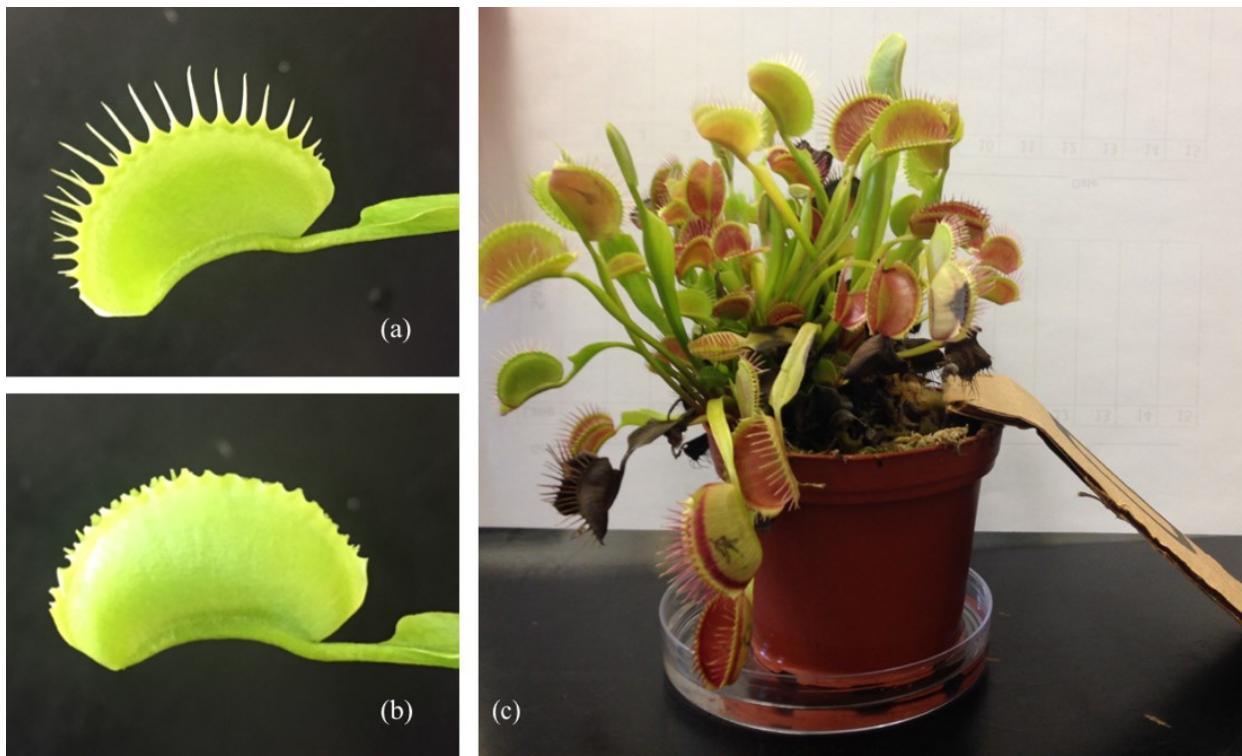
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455 **Table 1.** Generalized linear mixed-effect model showing the effect of removing the marginal
456 spikes (manipulation), trap length, and prey mass on prey capture performance (generalized
457 linear mixed model with plant ID included as a random effect). Significant *P*-values are bolded.
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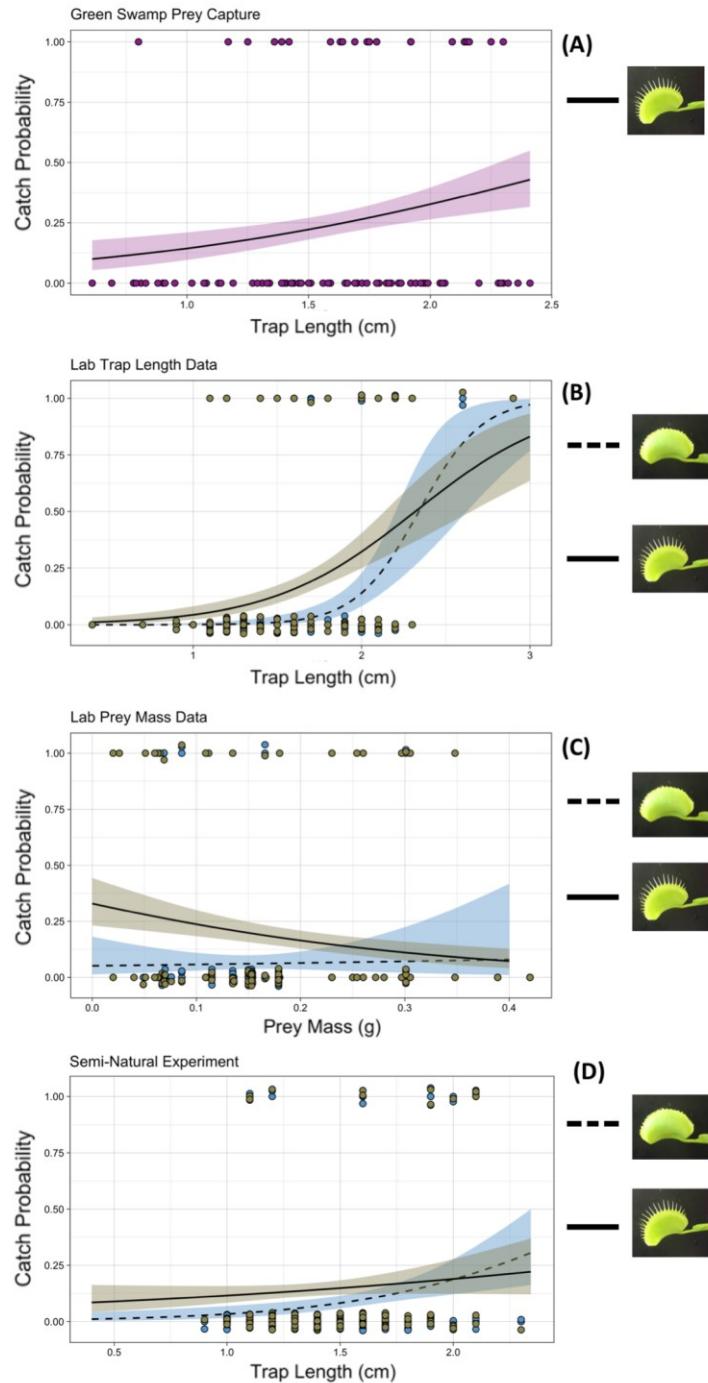
<i>model term</i>	<i>estimate</i> \pm <i>SE</i>	<i>P</i>	<i>df residual</i>
<i>manipulation</i>	-2.32 \pm 0.75	0.002	154
<i>trap length</i>	4.74 \pm 1.08	1e-5	154
<i>prey mass</i>	-13.36 \pm 3.80	4e-4	154

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483 **Figure 1** (a) Intact trap; (b) trap with the marginal spikes removed; (c) representative prey
484 capture arena containing one plant, one cricket, a ramp, and a petri dish of water.



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487 **Figure 2 (A)** Prey capture success of wild plants in the Green Swamp Preserve, NC as a function
 488 of trap length (measured to the nearest 0.01 cm). **(B)** Prey capture success of laboratory plants as
 489 a function of trap length (measured to the nearest 0.1 cm) **(C)** Prey capture success of laboratory
 490 plants as a function of prey mass. **(D)** Prey capture success of plants kept in the North Carolina
 491 Botanical Garden as a function of trap length (measured to the nearest 0.1 cm). Lines of best fit

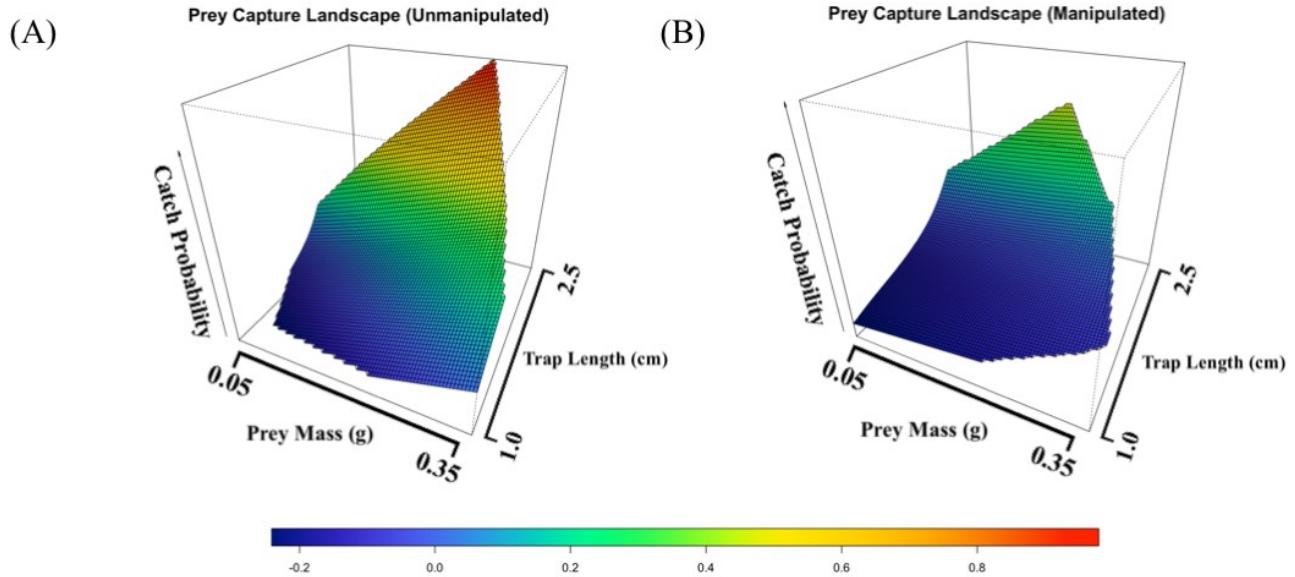
492 were estimated using logistic regression with shaded areas corresponding to ± 1 SE. Each point
493 represents one successful (1) or unsuccessful (0) capture by a flytrap, often resulting in multiple
494 failed captures per cricket mass.

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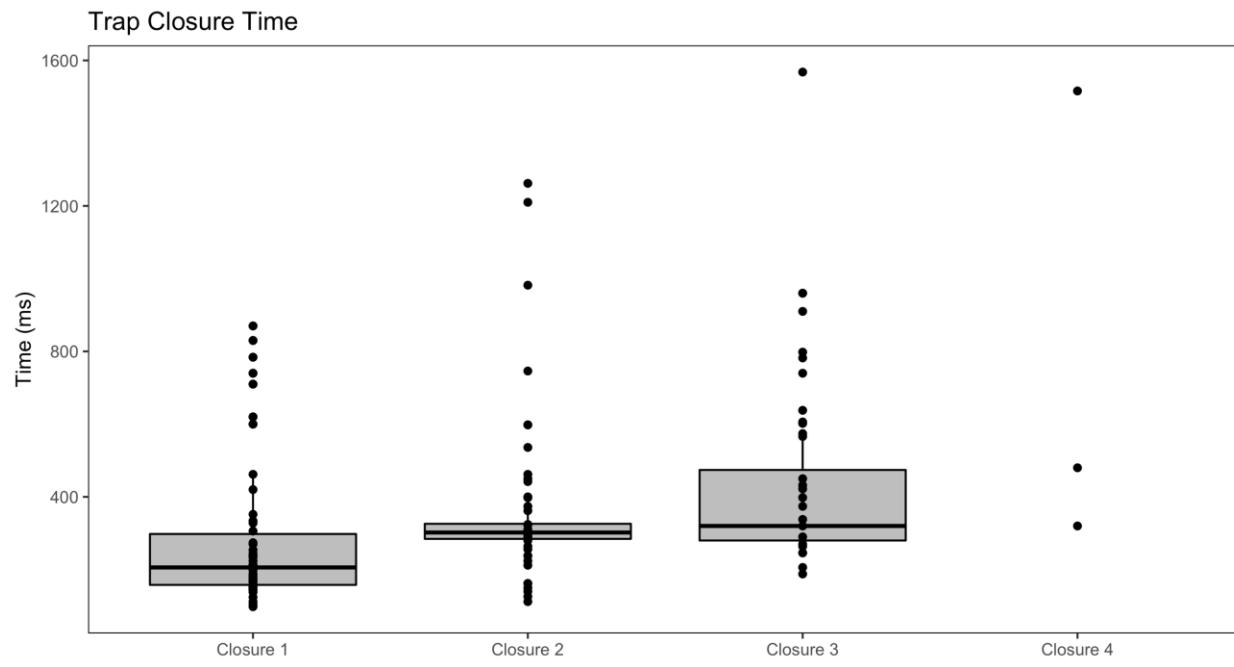
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502 **Figure 3** Prey capture performance landscapes for intact plants (left) and manipulated plants
503 (right). Catch probability is on the z axis and represented by the heat colors relative to insect prey
504 mass and trap length plotted in the x-y plane. The performance landscape for plants with
505 marginal spikes removed (B) is greatly depressed at small trap sizes, but is similar at large
506 trap/prey sizes.

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512 **Figure 4** Trap closure times for the first, second, third, and fourth closures on a single trap
513 measured by high-speed video (ANOVA $P = 10^{-16}$). Data was included for all surviving traps at
514 each level.

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