

Assembly of Long Error-Prone Reads Using Repeat Graphs

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Accurate genome assembly is hampered by repetitive genomic regions. Assemblies produced with long single molecule sequencing reads improved over the standard short read assemblies because of their greater ability to disambiguate genomic repeats. However, most algorithms for assembling long reads construct contiguous genomic segments (contigs) but do not provide the repeat characterization (repeat graph) necessary for producing optimal assemblies. Here, we present Flye—an algorithm for assembling long reads that generates arbitrary paths in an unknown repeat graph called *disjointigs* and constructs an accurate repeat graph from these error-riddled disjointigs. We benchmark Flye against five other popular assemblers and show that it generates better or comparable assemblies while being an order of magnitude faster. Flye improved the contiguity of the human genome assembly by nearly doubling the NGA50 compared to other state-of-the-art assemblers.

INTRODUCTION

Genome assembly is the problem of reconstructing genomes from short DNA fragments known as reads. Previous studies¹ have demonstrated that genome assembly accuracy is confounded by repetitive genomic regions and can be improved by representing all repeat families in a genome as a *repeat graph*. Single molecule sequencing (SMS) long read technologies (such as those produced by Pacific Biosciences or Oxford Nanopore Technologies) shifted the focus from resolving short repeats to resolving longer repeats, comparable to the median SMS read length. Since nearly all genomes have long repeats², SMS assemblers PBcR^{3,4,5}, Falcon⁶, Miniasm⁷, ABruijn⁸, HINGE², Canu⁹, and Marvel¹⁰ currently face the same repeat-resolution challenge that short read assemblers faced a decade ago, albeit at a different scale of repeat lengths. To improve the contiguity of assemblies, long read technologies are often complemented by Hi-C¹¹ and optical¹² mapping data.

Resolving repetitive genomic regions is one of the biggest challenges for any genome assembler. The *de Bruijn (DB) graph* has been utilized by short read assembly approaches as a way of representing repeats in a genome as a *repeat graph*, thereby improving the accuracy of genome assembly. Recently, long read assemblers such as ABruijn⁸ and HINGE², that capitalize on a similar DB graph-based approach, have also been developed. Most short read assemblers construct the DB graph based on all k -mers in reads and further transform it into a simpler *DB assembly graph*¹³. This approach collapses multiple instances of the same repeat into a single path in the assembly graph and represents the genome as a *genome tour* that visits each edge in the assembly graph. However, in the case of SMS reads, the key assumption of the DB graph approach—that most k -mers from the genome are preserved in multiple reads—does not hold even for short k -mers, let alone for long k -mers. As a result, various challenges that have been addressed in short read assembly, such as how to deal with the fragmented DB graph and how to transform it into an assembly graph, remain largely unaddressed in long read assemblers.

Here, we describe the Flye algorithm for accurately assembling long reads. We benchmark Flye against five state-of-the-art SMS assemblers, and demonstrate that it generates more accurate and contiguous assemblies and provides valuable information to aid in assembly finishing. Flye also reconstructs the mosaic structure of segmental duplications—a difficult problem even for finished genomes^{14,15}.

RESULTS

Flye algorithm. Unlike existing assemblers that attempt to generate *contigs*, Flye initially generates *disjointigs* that represent concatenations of multiple disjoint genomic segments, concatenates all error-prone disjointigs into a single string (in an arbitrary order), constructs an accurate repeat assembly graph from the resulting concatenate, uses reads to untangle this graph, and resolves *bridged repeats* (that are bridged by some reads in the repeat graph). Afterwards, it uses the repeat graph to resolve *unbridged repeats* (that are not bridged by any reads) using small differences between repeat copies and then outputs accurate contigs formed by paths in this graph (Online Methods). Figure 1 outlines the various steps of the Flye assembler.

Repeat graph construction. Repeats in a genome are often represented as pairwise local alignments and visualized as alignment-paths in a two-dimensional *dot plot* of a genome. This pairwise representation is limited since it does not contribute to solving the repeat characterization problem¹⁶. In contrast, the *repeat graph* compactly represents all repeats in a genome and reveals their mosaic structure^{1,14}. Assembly graph construction represents a special case of the repeat graph construction problem.

Figure 2 outlines the algorithm for constructing the repeat graph of a finished (complete) genome. Flye applies this algorithm to construct the repeat graph of a pseudo-genome formed by concatenating all disjointigs (formed at the previous stage of the pipeline) in an arbitrary order. The Online Methods

section explains why the resulting graph provides the correct representation of the assembled genome (as if it had been constructed from a complete genome) and describes additional algorithmic details.

Resolving unbridged repeats. Flye utilizes the constructed repeat graph for the resolution of unbridged repeats. Resolving unbridged and nearly identical repeats using SMS reads is a difficult problem since error-prone SMS reads make it difficult to distinguish repeat copies with divergence below 10%. As a result, SMS assemblers often fail to resolve unbridged repeats, which are common even in bacterial genomes^{2,17}. This challenge is related to the challenge of constructing phased diploid genome assemblies⁶ and overlap-filtering for repeat resolution^{9,18}. The repeat graph constructed by Flye offers a new approach for resolving unbridged repeats based on analyzing the topology of the repeat graph.

Figure 3 shows an unbridged repeat with a consensus sequence *REP* as an edge in the assembly graph. It would be impossible to resolve this repeat (i.e., to pair each incoming edge into the initial vertex of *REP* with the corresponding outgoing edge from the terminal vertex of *REP*) if its two copies were identical. However, since there exist variations between these copies, it becomes possible to transform the single sequence *REP* into two different repeat instances *REP*₁ and *REP*₂ as shown in Figure 3. The Online Methods section describes how Flye resolves unbridged repeats by (i) identifying variations between repeat copies, (ii) matching each read with a specific repeat copy using these variations, and (iii) using these reads to derive a distinct consensus sequence for each repeat copy.

Benchmarking Flye, Canu, Falcon, HINGE, Miniasm and MaSuRCA. We benchmarked Flye against various SMS assemblers using six datasets. We used QUAST 5.0¹⁹ to evaluate all assemblers (Supplementary Note 1). Since Miniasm returns assemblies with a much larger number of mismatches and indels than other assemblers, it is not well suited for a reference-based quality evaluation with QUAST. To make a fair comparison, we ran the ABrujn contig polishing module⁸ on the Miniasm output to improve the accuracy of its contigs (referred to as Miniasm+ABrujn).

Benchmarking using the BACTERIA dataset. The dataset consists of 21 sets of Pacific Biosciences (PB) reads from the National Collection of Type Cultures (NCTC). These NCTC sets were studied in detail in ref. 2 and used to benchmark various assemblers. We only benchmarked Flye against HINGE on these datasets, since HINGE outperformed the other assemblers on bacterial genomes. We ignored small connected components in the bacterial assembly graphs (that represent plasmids that do not share repeats with chromosomes) and classified an assembly as (i) *complete* if the assembly graph consists of a single loop-edge representing a circular chromosome, (ii) *semi-complete* if the assembly graph contains multiple edges but there exists a single *Chinese postman tour* in this graph²⁰, and (iii) *tangled* if the assembly graph is neither complete nor semi-complete.

While HINGE does not distinguish between complete and semi-complete assemblies, we argue that ignoring this separation may lead to assembly errors. Indeed, a single Chinese postman tour in a semi-complete assembly graph results in a unique assembly only in the case of unichromosomal genomes without any plasmids that share repeats with the chromosome (*repeat-sharing plasmids*). In the case of multichromosomal genomes or in the case of repeat-sharing plasmids, there exist multiple possible assemblies from a semi-complete assembly graph. Since $\approx 10\%$ of known bacterial genomes are multichromosomal and since a large fraction of unichromosomal genomes have repeat-sharing plasmids²¹, the assumption that a semi-complete assembly graph results in a complete genome reconstruction may lead to errors.

Before resolving unbridged repeats, Flye assembled genomes from the BACTERIA dataset into four complete, one semi-complete, and 16 tangled assembly graphs. After resolving unbridged repeats, Flye assemblies resulted in eight complete, five semi-complete, and eight tangled assembly graphs with the number of edges varying from 3 to 25. Supplementary Figure 1 shows examples of assembly graphs

generated by Flye and HINGE; and Supplementary Table 1 illustrates that Flye and HINGE generated very similar assemblies.

Benchmarking with the METAGENOME dataset. The dataset consists of PB reads from a synthetic community of 20 bacteria. Since 3 out of 20 bacterial genomes in the metagenomic sample had coverage below 1x (*M. smithii*, *C. albicans*, and *S. pneumoniae*), they were excluded from the benchmarking analysis. We limited benchmarking on the METAGENOME dataset to Flye and Canu that assembled the METAGENOME dataset with NGA50 = 1,064 kb (84 misassemblies) and NGA50 = 969 kb (99 misassemblies), respectively. Supplementary Note 2 illustrates that most misassemblies in the METAGENOME dataset likely represent differences between the genomes in the METAGENOME sample and the reference genomes rather than real misassemblies.

Flye improved on Canu for five genomes and Canu improved on Flye for four genomes. In particular, Flye produced a better assembly of *R. sphaeroides*, which has the lowest coverage (24x) among the 17 analyzed genomes (NGA50 = 2 Mb for Flye as compared to 54 kb for Canu). Comparison between the metagenome assemblies and the inferred isolate assemblies (from reads matched to the reference genomes) suggests that the metagenomics assemblies could be further improved by better handling datasets with uneven coverage.

Benchmarking with the YEAST dataset. The dataset contains PB and Oxford Nanopore Technology (ONT) reads from the *S. cerevisiae* S288c genome of length 12.1 Mb at 30x coverage²². Similarly to the original study, we used the full set of ONT reads in the YEAST-ONT dataset (30x coverage) but down-sampled the PB reads from the original 120x coverage to 30x in the YEAST-PB dataset to have their coverage distribution be similar to the ONT data. Assembling this dataset with the original 120x coverage results in better assemblies; e.g., the NGA50 increased from 560 kb to 732 kb for the Flye assembly (Flye fully assembled 14 out of 16 yeast chromosomes). Table 1 illustrates that all tools but HINGE produced YEAST-PB assemblies with similar NGA50 values ranging from 560 kb for Flye to 603 kb for Canu. (HINGE resulted in a lower NGA50 of 361 kb). Flye generated the most accurate assembly with 5 errors (vs 13 errors for Canu). Although Miniasm generated an assembly with only $\approx 90\%$ sequence identity, Miniasm+ABRuijn contigs had 99.93% accuracy. Canu and Flye resulted in assemblies with the highest sequence identity (above 99.95%).

The YEAST-ONT assemblies show a similar trend, with all assemblers except HINGE producing similar NGA50 values ranging from 637 kb (Falcon) to 723 kb (Miniasm). Flye generated the most accurate assembly with 9 errors (18 errors for Canu). Supplementary Figure 2 shows the assembly graph generated by Flye.

Analyzing the WORM dataset. The dataset contains PB reads from the *C. elegans* genome of length 100 Mb at 40x coverage. Flye and Canu produced the most contiguous assemblies (NGA50 = 1,893 kb and 1,974 kb, respectively). However, Canu showed an increased number of misassemblies (190), compared to Flye (111) and Falcon (118). Flye was faster than Canu and Falcon in assembling the WORM dataset (128, 780 and 945 minutes of wall clock time, respectively (see Supplementary Note 1 for more details). With an increase in genome size, Flye achieves close to an order of magnitude speed-up as compared to Canu: e.g., 140 vs. 1100 hours to assemble the *D. melanogaster* genome. This speed-up highlights the advantages of skipping the time-consuming read-correction step and replacing conventional contig generation with the much more rapid generation of disjointigs. Supplementary Figure 3 shows the assembly graph generated by Flye.

Since inferring the length of long tandem repeats is a difficult problem in short read assembly, tandem repeats in many reference genomes might be misassembled. Supplementary Figure 4 demonstrates that Flye improves on other long read assemblers in reconstructing tandem repeats and reveals that some

differences between the Flye assembly and the reference *C. elegans* genome likely represent differences with the reference rather than misassemblies by Flye.

Analyzing the HUMAN and HUMAN+ datasets. The HUMAN dataset contains ONT reads from the GM12878 human cell line at 30x coverage complemented by a set of short Illumina reads at 50x coverage. The HUMAN+ dataset combines the HUMAN dataset with a dataset of ultra-long ONT reads (with reads N50 = 100 kb) at 5x coverage²³. Since Canu improved on Falcon and Miniasm in assembling large genomes⁹, we only benchmarked Flye against Canu for the human genome datasets. The Canu HUMAN assembly was generated in ref. 23, and the assembly of the HUMAN+ dataset was later updated by the authors using the latest Canu 1.7 version. We also analyzed a hybrid MaSuRCA assembly of the HUMAN and HUMAN+ datasets²⁴, which are available from the MaSuRCA website.

Currently, the ONT assemblies have rather high base-calling error rates (the Flye and Canu HUMAN assemblies had 1.2% and 2.8% error, respectively) because of the biased error pattern in ONT reads. Although the Nanopolish tool contributed to a reduction in the base-calling error rates of the ONT assemblies²⁵, the resulting error rate is still an order of magnitude higher than the error rates of Illumina or PB assemblies. Since most errors in the ONT assemblies are frameshift-introducing indels, they are particularly problematic for downstream applications.

To mitigate the high error rates of these ONT assemblies, we used Pilon²⁶ in the indel correction mode to polish Flye and Canu assemblies using Illumina reads. Although such polishing reduced the error rates (to 0.30% for Flye+Pilon and to 0.51% for Canu+Pilon), we note that Illumina-based read correction of ONT assemblies has limitations, especially for repetitive regions with low short-read mappability.

It turns out that Flye assembled a larger fraction of the human genome (96.4%) than Canu (95.4%) and MaSuRCA (95.1%). Interestingly, Flye and MaSuRCA, in difference from Canu, assembled some difficult-to-assemble, low-complexity centromeric chromosome regions, which are hard to benchmark using reference-based methods. To provide a fair comparison between all three assemblers using QUAST, we thus modified the hg38 reference by masking the centromeric regions using the coordinates from the UCSC Genome Browser.

For the HUMAN dataset, Flye, MaSuRCA and Canu generated assemblies with NGA50 values equal to 6.35 Mb (879 assembly errors), 3.81 Mb (1500 assembly errors) and 2.87 Mb (1200 assembly errors), respectively. The MaSuRCA assembly had slightly higher percent identity with the reference (99.84% as compared to 99.70% for Flye+Pilon and 99.49% for Canu+Pilon).

For the HUMAN+ dataset, Flye, Canu and MaSuRCA generated assemblies with NGA50 values equal to 11.8 Mb (1,487 assembly errors), 7 Mb (1,455 assembly errors) and 5.6 Mb (2,101 assembly errors), respectively. As expected, incorporating ultra-long ONT reads resulted in a more contiguous assembly for all assemblers.

Segmental duplications in the human genome. The repeat graph constructed by Flye reveals the complex mosaic structure of segmental duplications (SDs). Flye classifies all edges in the graph into unique and repeat edges by analyzing how reads traverse the graph and by using coverage-based arguments (Online Methods). After removing all unique edges from the assembly graph, only the connected components formed by repeat edges remain, which reveals the SDs encoded by the repeat edges in the graph. We define the *complexity (length)* of an SD as the number (total length) of edges in its connected component. Figure 4 (left) illustrates a mosaic SD of complexity 7 and length 25.7 kb (the seven colored repeat edges form a connected component in the Flye assembly graph after removing all unique edges). An SD is classified as *simple* if its complexity is 1 and *mosaic* otherwise^{14,15}. Figure 4

(right) shows the distributions of lengths and complexities of SDs identified by Flye and illustrates the power of the assembly graph for repeat resolution.

There are 1,748 repeat edges longer than 5 kb, forming 749 connected components in the Flye assembly graph of the HUMAN dataset before performing repeat resolution. After repeat resolution with ultra-long reads, there are only 765 repeat edges, forming 107 connected components in the assembly graph. 73 (34) of them represent mosaic (simple) SDs (most simple SDs represent isolated edges and loop-edges). See Supplementary Note 3 for more details.

A theoretical framework for the repeat graph construction. In addition to the described Flye algorithm, we provide a mathematical formulation of the repeat characterization problem and describe an alternative algorithm for the repeat graph construction (Figure 5). The Online Methods section provides additional details and explains the relation between the theoretical framework and the implementation in Flye.

DISCUSSION

We have described the Flye algorithm for constructing the assembly graph of SMS reads and demonstrated that repeat characterization improves genome assembly. We further demonstrated how to use the assembly graph to resolve unbridged repeats using variations between repeat copies and compared Flye with the Canu, Falcon, HINGE, Miniasm and MaSuRCA assemblers.

In the case of the BACTERIA datasets, Flye and HINGE showed good agreement in the structure of constructed assembly graphs. Flye showed substantial improvement compared with HINGE on more complex eukaryotic datasets and generated the most accurate assemblies of the YEAST and WORM datasets; Flye and Canu also produced the best assembly contiguity in the case of the WORM dataset. For the more complex HUMAN and HUMAN+ datasets, Flye generated more contiguous and accurate assemblies than Canu and MaSuRCA, while being notably faster. Although assemblies of ONT reads feature rather high base-calling error rates (1.2% for the Flye HUMAN assembly), polishing the Flye assembly graph using Illumina reads has the potential to reduce the error rates by an order of magnitude.

The fact that Flye substantially improved on the Canu and MaSuRCA assemblies of the human genome suggests that there are still unexplored avenues for increasing the contiguity of SMS assemblies. We believe that better algorithms for resolving unbridged repeats in assembly graphs have the potential to greatly improve SMS assemblies, potentially increasing their NGA50 values by an order of magnitude. Flye constructed a repeat graph of the human genome with only 765 repeat edges representing various long SDs. Our algorithm for resolving unbridged repeats resolved only a small fraction of these SDs since it is currently limited to simple SDs (the vast majority of human SDs are mosaic). Moreover, it currently has difficulties resolving *highly similar SDs*, e.g. SDs with divergence only $\approx 1\%$. Although we reported the resolution of highly similar SDs on simulated datasets as did a previous study¹⁸, most unbridged repeats resolved by Flye and Canu are simple repeats with divergence exceeding 3%. Extending Flye to mosaic SDs and highly similar SDs has the potential to resolve most of the remaining unbridged repeats, since the vast majority of SDs in the human genome diverged by more than 1%¹⁵. Since there are only 53 long SDs (with length exceeding 15 kb) in the human genome that diverged by less than 1%, an SMS assembler that accurately resolves highly similar unbridged repeats will result in highly contiguous human genome assemblies, thus reducing the need for additional genome finishing experiments (such as using Hi-C and/or optical maps).

Assembly graphs represent a special case of *breakpoint graphs*²⁷, and they are therefore well suited for analyzing structural variations^{28,29} and SDs^{14,15}. Flye assembly graphs provide a useful framework for

reconstructing SDs and planning additional genome finishing experiments.

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Competing financial interests. The authors declare no competing financial interests

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FIGURE / TABLE LEGENDS

Figure 1. Flye outline. (a) A “genome” with two 99% identical copies of a repeat R_1 and two 99% identical copies of a repeat R_2 . Segments A, B, C, and D represent non-repetitive regions. (b) A set of reads sampled from the genome. (c) Two (misassembled) disjointigs AR_1DR_2A and $R_2CR_1BR_2C$ derived from reads. (d) Concatenate of disjointigs. (e) Repeat plot of the concatenate. (f) Repeat graph constructed by “gluing” vertices in the concatenate according to the repeat-plot. For each 2-dimensional point (x, y) in the repeat-plot, we glue vertices x and y in the concatenate. (g) Aligning reads against the repeat graph. (h) Resolving the bridged repeat R_1 and reconstructing its two copies R_1' and R_1'' . The differences between each copy of this repeat and the consensus of this repeat are shown as small diamonds. (i) Resolving the unbridged repeat R_2 with two slightly diverged copies. Supplementary Note 4 describes the Flye assembly of a simulated genome modeled after the genome shown in Figure 1a.

Figure 2. Constructing the approximate repeat graph from local self-alignments. (Left) Alignment-paths for all local self-alignments within a genome $XABYABZBU$ formed by segments X, A, B, Y, Z, and U. Three instances of a mosaic repeat (**AB**, **AB**, and **B**) are represented as diagonal alignment-paths in the repeat plot. The self-alignment of the entire genome is shown by the main (dotted) diagonal. Alignment endpoints are clustered together if their projections on the main diagonal coincide or are close to each other (clusters of closely located endpoints for the distance threshold $d=0$ are painted with the same color). For example, the rightmost endpoints (shown in blue) of all three alignments form a single cluster because two of them have the same vertical projection and two of them have the same horizontal projection on the main diagonal. This clustering reveals three clusters (yellow, purple, and blue) with eight projections to the main diagonal. (Top right) Projections of the clustered endpoints on the main diagonal define eight vertices (breakpoints) that will be used for constructing the approximate repeat graph. (Middle right). Breakpoints that belong to the same clusters are glued together. (Bottom right) Gluing parallel edges in the resulting graph produces the approximate repeat graph.

Figure 3. Resolving an unbridged repeat. (Left) An assembly graph of SMS reads from the *E. coli* strain EC9964 genome visualized with Bandage³⁰. (Middle) The untangled assembly graph (after resolving bridged repeats in the graph on the left) contains a single unbridged repeat REP (and its complement REP') of length 22 kb. The incoming edges into the initial vertex (outgoing edges from the terminal vertex) of edge REP are denoted IN_1 and IN_2 (OUT_1 and OUT_2). Two complementary strands are fused together in a single connected component. It is unclear whether the genome traverses the assembly graph as $IN_1 \rightarrow REP \rightarrow OUT_1 \rightarrow REP'$ or as $IN_1 \rightarrow REP \rightarrow OUT_2 \rightarrow REP'$. (Right top) 93, 71, 75, and 76 reads traverse both IN_1 and REP , IN_2 and REP , REP and OUT_1 , and REP and OUT_2 , respectively. The span of 383 reads falls entirely within edge REP . (Right middle) After assigning 93 reads that traverse both IN_1 and REP to the first repeat copy, and 71 reads that traverse both IN_2 and REP to the second repeat copy, we “move forward” into the repeat and construct two differing consensus sequences for a 8.6 kb long prefix of REP with divergence 9.8% (two consensus sequences for a 6.8 kb long suffix of REP when we “move backward” into the repeat). The length of the repeat edge is reduced to $22.0 - 8.6 - 6.8 = 6.6$ kb, resulting in the emergence of $13 + 18 = 31$ spanning reads for this repeat, all of them supporting a *cis* transition (IN_1 with OUT_1 and IN_2 with OUT_2). (Right bottom) Resolved instances of the repeat with consensus sequences REP_1 and REP_2 and divergence 6.9%.

Figure 4. An SD from the Flye assembly of the HUMAN dataset (left) and the distribution of the lengths and complexities of all SDs from the same assembly (right). (Left) A mosaic SD of complexity 7 represented as a connected component formed by repeat edges (seven colored edges of total length 25.7 kb) in the assembly graph of the HUMAN dataset (flanking unique edges shown in black). The loop-edge C with coverage 473x represents a tandem repeat C^* with unit length 1.3 kb that is repeated ≈ 19 times. The colored edges of the assembly graph align to a region on chromosome 7 of length 31 kb and two regions on chromosome 20 of lengths 30 kb and 46 kb. These three instances of SDs were not resolved

using standard ONT reads but were resolved using ultra-long reads in a way that is consistent with the reference human genome. (Right) Statistics are given before resolving bridged repeats (green), after resolving bridged repeats with standard ONT reads (orange) and with ultra-long ONT reads (blue). Only SDs between 5 kb and 50 kb in length and with complexity between 2 and 50 contributed to the “SD length” and “SD complexity” histograms. Only two SDs have complexity exceeding 50 before repeat resolution. 545 out of 688 of SDs between 5 kb and 50 kb were resolved using the standard ONT reads, and ultra-long reads resolved an additional 58 SDs. There were 1,256 simple SDs before repeat resolution and 143 after repeat resolution with ultra-long reads. Since Flye usually resolves SDs shorter than the typical read length, the SDs identified by Flye do not include many known human SDs.

Figure 5. Constructing the repeat plot of a tour in the graph (left) and constructing the repeat graph from a repeat plot (right). (Left) A tour $T = \dots A_1 B_2 C_3 D_4 \dots B_5 C_6 D_7 E_8 \dots A_9 B_{10} C_{11} D_{12} E_{13} \dots$ in a graph G with red, green, and blue instances of a repeat that includes two copies of vertices A and E and three copies of vertices B, C, and D. Dots represent multiple vertices that appear before, between, and after these three instances of the repeat. The repeat plot $Plot_T(G)$ consists of three diagonals representing the three instances of the repeat in the tour. The trivial self-alignment of the entire genome against itself is shown by the main dotted diagonal (the points below this diagonal are not shown). Since vertex A in the graph is visited twice in tour T , it results in a single point (1, 9) in $Plot_T(G)$. Vertex B results in points (2, 5), (2, 10), and (5, 10); vertex C results in points (3, 6), (3, 11), and (6, 11); vertex D results in points (4, 7), (4, 12), and (7, 12); and vertex E results in the point (8, 13). (Right) Constructing the punctilious repeat graph from the repeat plot by gluing vertices with indices i and j for each point (i, j) in the repeat plot. Each non-branching path in the graph is substituted by a single edge with length equal to the number of edges in this path. The lengths of the short edges (A, B) and (D, E) in the resulting graph are equal to 1 and the length of the long edge (B, D) is equal to 2 (for edge length threshold $d = 1$). The punctilious repeat graph (second graph from the bottom) is transformed into the repeat graph (bottom-most graph) by contracting short edges (A, B) and (D, E).

dataset	assembler	length (Mb)	#contigs	NG50 (kb)	reference coverage	reference % identity	#misassemblies	NGA50 (kb)
YEAST PB	Flye	12.1	28	670	98.3%	99.95%	5	560
	Canu	12.4	33	708	99.5%	99.95%	13	603
	Falcon	12.1	42	562	97.5%	99.81%	27	562
	HINGE	12.2	45	440	91.9%	98.81%	19	361
	Miniasm+ABRuijn	12.2	36	600	98.2%	99.93%	11	592
YEAST ONT	Flye	12.1	28	810	98.7%	99.04%	9	660
	Canu	12.2	41	800	99.1%	98.96%	18	655
	Falcon	11.9	41	662	97.4%	98.81%	17	637
	HINGE	12.2	64	309	92.5%	97.94%	59	292
	Miniasm+ABRuijn	11.6	24	723	98.8%	99.03%	12	723
WORM	Flye	103	85	3,256	99.5%	99.93%	111	1,893
	Canu	108	175	2,954	99.7%	99.93%	190	1,974
	Falcon	101	106	2,291	98.7%	99.78%	118	1,242
	HINGE	103	64	2,710	98.0%	99.40%	174	1,441
	Miniasm+ABRuijn	108	178	2,314	99.6%	99.93%	181	1,437
HUMAN	Flye+Pilon	2,776	1,069	7,886	96.4%	99.70%	879	6,349

	Canu+Pilon	2,730	2,195	3,209	95.4%	99.49%	1,200	2,870
	MaSuRCA	2,768	1,269	4,670	95.1%	99.84%	1,500	3,812
HUMAN+	Flye+Pilon	2,823	782	18,181	97.0%	99.69%	1,487	11,800
	Canu+Pilon	2,815	798	10,410	96.8%	99.81%	1,455	7,007
	MaSuRCA	2,876	1111	8,425	97.5%	99.80%	2,101	5,581

Table 1. Assembly statistics for the YEAST, WORM, HUMAN and HUMAN+ datasets generated using QUAST 5.0. The NG50 of an assembly is the largest possible number L , such that all contigs of length L or longer cover at least 50% of the genome. Given an assembled set of contigs and a reference genome, the *corrected assembly* is formed after breaking each erroneously assembled contig at its *breakpoints* resulting in shorter contigs¹⁹. The NGA50 of an assembly is defined as the NG50 of its corrected assembly. The minimum contig size was set to 5 kb for the YEAST and WORM assemblies and to 50 kb for the HUMAN assemblies. The human reference was modified by masking the low-complexity centromere regions of the chromosomes.

METHODS

Repeat characterization problem. Below we describe the abstract repeat characterization problem and explain how it relates to genome assembly. Consider a tour $T = v_1, v_2, \dots, v_n$ of length n visiting all vertices of a directed graph G . We say that the i -th and j -th vertices in the tour T are *equivalent* if they correspond to the same vertex of the graph, i.e., $v_i = v_j$. The set of all pairs of equivalent vertices forms a set of points (i, j) in a two-dimensional grid that we refer to as the *repeat plot* $Plot_T(G)$ of the tour T (Figure 5). The transformation of a tour T traversing a *known* graph G into the repeat plot $Plot_T(G)$ is a simple procedure. Below, we address the reverse problem that is at the heart of genome assembly, repeat characterization and synteny block construction: given an arbitrary set of points $Plot$, in a two-dimensional grid, find a graph $G = G(Plot)$ and a tour T in this graph such that $Plot = Plot_T(G)$.

A *dot-plot* of a genome is a matrix that graphically represents all repeats in a genome³¹. In the case of repeat characterization, we are interested in the dot-plot $Plot$ formed by non-overlapping alignment-paths representing all high-scoring local self-alignments of a genome against itself (below, we refer to these alignments as simply *self-alignments*). Each self-alignment reveals two instances of a repeat corresponding to contiguous segments x and y in the genome (x and y are called the *spans* of the alignment). Given a genome of length n and a set of its self-alignments $Plot$, the repeat characterization problem amounts to constructing a graph G and a tour T of length n in this graph (each segment of the genome corresponds to a subpath of the graph traversed by the tour) such that $Plot = Plot_T(G)$ and the tour T is *alignment-compatible*. A tour is alignment-compatible with respect to the dot-plot $Plot$ if, for each alignment with spans x and y in $Plot$, paths in the graph corresponding to segments x and y coincide.

Generating the repeat plot of a genome. Our goal is to construct both the repeat graph of a genome and an alignment-compatible tour in this graph. Constructing the de Bruijn graph of a genome based on *long* k -mers will not solve this problem since the differences between imperfect repeat copies mask the repeat structure of the genome. Constructing the de Bruijn graph based on *short* k -mers will not solve this problem due to the presence of repeating short k -mers within long repeats (these k -mers lead to a tangled repeat graph). Thus, at the initial stage, Flye generates all self-alignments (repeats) of a genome and combines them into a repeat plot $Plot$. However, it is unclear how to solve the reverse problem of generating the repeat graph $G(Plot)$ of the genome.

To address this problem for a “genome” representing a concatenate of accurate short reads, a previous study¹ described various graph simplification procedures, e.g., *bubble* and *whirl* removals, that are now at the heart of various short read assemblers such as SPAdes¹³. However, it is not clear how to generalize

these procedures to make them applicable to error-prone SMS reads. Below we show how to modify the concept of a *punctilious repeat graph*¹ so it can be applied to assembling SMS reads.

Constructing a punctilious repeat graph. Let $Alignments = Alignments(Genome, minOverlap)$ be the set of all sufficiently long (of length at least $minOverlap$) self-alignments of a genome $Genome$. Flye sets the $minOverlap$ parameter as the N90 of the read-set, i.e., reads longer than N90 account for $\approx 90\%$ of the total read length ($minOverlap$ varies from 3000 to 5000 nucleotides for SMS datasets analyzed in this paper).

Given a set of self-alignments $Alignments$ of a genome $Genome$, we construct the *punctilious repeat graph* $RepeatGraph(Genome, Alignments)$ by representing $Genome$ as a path consisting of $|Genome|$ vertices (Figure 5) and by “gluing” each pair of vertices (positions in the genome) that are aligned against each other in one of the alignments in $Alignments$ ¹. Gluing vertices v and w amounts to substituting them by a single vertex that is connected by edges to all vertices that either vertex v or vertex w was connected to. We consider *branching vertices* (i.e., vertices with either in-degree or out-degree differing from 1) in the resulting graph and substitute each *non-branching path* between them by a single edge of length equal to the number of original edges in this path. Edges in the punctilious repeat graph are classified as *long* (longer than a predefined threshold d with default value 500 nucleotides) and *short* (Figure 5).

The punctilious repeat graphs of real genomes are very complex due to various artifacts^{1,14}. For example, the starting/ending points of alignment-paths corresponding to three repeat copies starting at positions x , y , and z in the genome hardly ever start at points (x, y) , (x, z) , and (y, z) in the repeat plot. Because each repeat with m copies in the genome results in $\binom{m}{2}$ pairwise alignments and each of the corresponding $\binom{m}{2}$ alignment-paths may have unique starting (ending) vertices that differ from all other starting/ending positions, there will be many gluing operations for the starting (ending) positions of this repeat. Note that each of these operations may form a new branching vertex in the punctilious repeat graph. For example, gluing the endpoints of the three diagonals in Figure 5 results in the branching vertices A, B, D, and E in the graph. Punctilious repeat graphs of real genomes often contain many branching vertices making it difficult to compactly represent repeats. We address this challenge by transforming the punctilious repeat graph into a simpler graph.

From punctilious repeat graph to repeat graph. As described before, the endpoints of alignment-paths representing the same repeat might not be coordinated among all pairwise alignments of this repeat. These uncoordinated alignments result in a complex repeat graph with an excessive number of branching vertices and many short edges (shorter than a threshold d). The *repeat graph* $RepeatGraph(Genome, Alignments, d)$ is defined as the result of *contracting* all short edges in the punctilious repeat graph (Figure 5). The contraction of an edge is the gluing of the endpoints of this edge, followed by the removal of the loop-edge resulting from this gluing. Since the genome represents a tour visiting all edges in the repeat graph, we define the multiplicity of an edge in the repeat graph as the number of times this edge is traversed in the tour. Edges of multiplicity 1 are called *unique edges* and all other edges are called *repeats*.

Approximate repeat graphs. The described approach, although simple in theory, results in various complications in the case of real genomes, particularly in the case of *inconsistent pairwise alignments* (see Supplementary Note 5). In the case of short reads, various graph simplification procedures^{1,13} result in a modified repeat graph that represents a more sensible repeat characterization, but sacrifice the fine details of some repeats in favor of revealing the mosaic structure shared by different repeat copies. However, in the case of SMS assemblies, repeat graph (and A-Bruijn graph) construction results in excessively complex graphs that make the previously proposed graph simplification algorithm¹ inefficient and make it difficult to select sensible parameters for graph simplification. For example, it is unclear how

to select an adequate *bubble_size* parameter for bubble removal (small values of this parameter result in complex A-Brujin graphs while large values result in oversimplified A-Brujin graphs). While there exists a “sweet spot” for this parameter in short read assembly, we were not able to find such a spot for long read assembly. That is why we departed from the original A-Brujin framework and opted to construct a different version of the repeat graph (called the *approximate repeat graph*) based only on the *endpoints of diagonals* in the genomic dot-plot rather than the *entire diagonals* as in a previous study¹. This approach led to a great reduction in running time and allowed us to bypass the bubble/whirl-removal steps (and the challenge of choosing parameters for these operations) altogether.

Some branching vertices in the repeat graph arise from the contraction of multiple vertices in the punctilious repeat graph; e.g., vertices A and B were contracted into a single vertex A/B in the repeat graph in Figure 5. Consider the set of all vertices in the punctilious repeat graph that gave rise to branching vertices in the repeat graph (vertices A, B, D and E in Figure 5) and let $Breakpoints = Breakpoints(Genome, Alignments, d)$ be the set of all positions in the genome that gave rise to these vertices ($Breakpoints = \{1, 2, 4, 5, 7, 8, 9, 10, 12, 13\}$ in Figure 5). This set of vertices forms a set of short, contiguous genomic segments (segments $[1, 2]$, $[4, 5]$, $[7, 8, 9, 10]$, and $[12, 13]$ in Figure 5) that contain all horizontal and vertical projections of the endpoints of all alignments in *Alignments*. Flye approximates the set *Breakpoints* by recruiting all horizontal and vertical projections of the endpoints of alignments from *Alignments* to the main diagonal in the repeat plot. Figure 2 presents three alignments, resulting in eight projected points on the main diagonal. Two alignment endpoints are *close* if either of their projections on the main diagonal are located within distance threshold d (including the case when a vertical projection of one endpoint coincides with or is close to a horizontal projection of another endpoint).

Flye clusters close endpoints together based on single linkage clustering. Applying this procedure (with $d = 0$) to eight breakpoints (projected endpoints) in Figure 2 results in three clusters (breakpoints in the same cluster are painted with the same color). Figure 2 illustrates that gluing breakpoints that belong to the same clusters (and further collapsing parallel edges) results in an approximate repeat graph of the genome. However, although this procedure led to the correct repeat graph in the simple case shown in Figure 2, the approximate repeat graph constructed based on the clustering of closely located breakpoints may differ from the repeat graph constructed based on the punctilious repeat graph. Supplementary Note 6 illustrates that mosaic repeats and inconsistencies of local alignments may result in an “incorrect” clustering-based repeat graph. Below we explain how Flye extends the set *Breakpoints* to address this complication.

Extending the set of breakpoints. As described above, Flye constructs the initial set *Breakpoints* by projecting all endpoints of the alignments (in the set of self-alignments *Alignments*) onto the main diagonal in the repeat plot. Each point in an alignment-path in the $|Genome| \times |Genome|$ grid has two projections (horizontal and vertical) on the main diagonal. Note that projections of some internal points in an alignment-path may belong to *Breakpoints*; for example, both projections of the middle-point of the longest alignment-path in Figure 2 (shown in purple) belong to *Breakpoints*. Such internal points should be re-classified as new alignment endpoints (by breaking the alignment-path into two parts) to avoid inconsistencies during the construction of the repeat graph. However, for some internal points, only one of their two projections belongs to *Breakpoints*, leading to complications in the path-breaking process. Below we explain how to break the alignment-paths into subpaths (and, at the same time, extend the set *Breakpoints*) to address this complication.

A point in an alignment-path is called *valid* if both its projections belong to *Breakpoints*, and *invalid* if only one of its projections belongs to *Breakpoints*. A set *Breakpoints* is called *valid* if all points in all alignment-paths are valid, and *invalid* otherwise. In the case that the constructed set *Breakpoints* is invalid, our goal is to add the minimum number of points to this set to make it valid. See Supplementary

Note 6 for an example of an invalid point and a discussion on the importance of extending the set *Breakpoints* to make it valid.

Flye iteratively adds the missing projection for each invalid point to the set *Breakpoints* on the main diagonal until there are no invalid points left. Afterwards, it combines close points in *Breakpoints* into segments using single linkage clustering (as described above). The set of resulting segments (defined by their minimal and maximal positions on the main diagonal) forms a set *BreakpointSegments*. Two segments from *BreakpointSegments* are *equivalent* if there exists a point in one of the alignment-paths such that one of its projections to the main diagonal falls into the first segment and another falls into the second segment.

Each repeat of multiplicity m typically corresponds to m segments in *BreakpointSegments* corresponding to m starting positions of this repeat in the genome (and the same number of segments corresponding to its ending positions). Note that the number of *breakpoint segments* resulting from this repeat is reduced as compared to the number of *breakpoints*, which can be as large as $\binom{m}{2}$ for the starting positions of the repeat (and the same number for its ending positions). Flye takes advantage of this reduction by selecting middle points of each breakpoint segment and only gluing these middle points rather than all breakpoints. Essentially, it redefines the endpoints of each alignment-path as the middle points of corresponding breakpoint segments.

Specifically, Flye constructs the approximate repeat graph by generating the set *BreakpointSegments*, selecting a middle point from each segment in *BreakpointSegments*, and gluing the two middle points for every pair of equivalent segments. Afterwards, it glues together parallel edges (edges that start and end at the same vertices) if the genome segments corresponding to these edges are aligned in *Alignments*, i.e., if there exists an alignment with its x - and y -spans overlapping both these segments. For brevity, below we refer to the approximate repeat graph resulting from this procedure simply as the repeat graph.

From the repeat graph of a genome to the assembly graph of contigs. The ABruijn assembler⁸ constructs a set of contigs but stops short of constructing the repeat graph of a genome based on these contigs (Supplementary Note 7 describes the challenge of assembling contigs into a repeat graph). The contig construction in ABruijn essentially amounts to finding *extension reads* for extending paths in the (unknown) repeat graph of the genome. Each extension read increases the length of the growing path until the extension process becomes ambiguous, i.e., when it reaches a branching vertex in the (unknown) repeat graph. Afterwards, ABruijn decides whether to continue or to stop the path extension in order to avoid assembly errors. Since ABruijn does not know the exact locations of branching vertices, it uses the last extension read to extend the path beyond the branching vertex by at least *minOverlap* nucleotides. As a result, each linear contig constructed by ABruijn satisfies the *overhang property*: it extends by at least *minOverlap* nucleotides before the first branching vertex and after the last branching vertex it traverses. Note that the same *minOverlap* value is used during repeat graph construction.

Constructing disjointigs. ABruijn and other existing SMS assemblers invest significant effort into making sure that generated contigs are correctly assembled (represent subpaths of the genomic tour in the repeat graph). In contrast to ABruijn, Flye does not attempt to construct accurate contigs at the initial assembly stage but instead generates disjointigs as *arbitrary* paths in the (unknown) repeat graph of the genome. However, it constructs an accurate repeat graph (assembly graph) from error-prone disjointigs.

Flye randomly walks in the (unknown) assembly graph to generate random paths from this graph. Each non-chimeric read from *Reads* defines a subpath of a genomic tour in an assembly graph. Flye extends this path by switching from the current read to *any* other overlapping read (with sufficiently long common

jump-subpath) rather than a *carefully chosen* overlapping read⁸, avoiding a time-consuming test to check whether this selection triggers an assembly error.

Since the resulting FlyeWalk algorithm (see Supplementary Note 8) does not invoke the contig correctness check, it constructs paths (chains of overlapping reads) that do not necessarily follow the genome tour through the assembly graph. Although it may appear counter-intuitive that inaccurate disjointigs constructed by FlyeWalk result in an accurate assembly graph, note that inaccurate paths (disjointigs) in the de Bruijn graph (a special case of the assembly graph) certainly result in an accurate assembly graph. Indeed, an assembly graph constructed from arbitrary paths in a de Bruijn graph is the same as the original de Bruijn graph (as long as these paths include all k -mers from the assembly graph). See Supplementary Note 9 for additional details.

Constructing assembly graph from disjointigs. Similarly to ABruijn, Flye generates disjointigs satisfying the overhang property, which, as will be explained below, represents an important condition for constructing the repeat graph. Flye further concatenates all disjointigs (separated by delimiters) in an arbitrary order into a single string *Concatenate*. It further uses the *longest jump-subpath* approach⁸ to generate the set *Alignments* of all sufficiently long self-alignments within the resulting concatenate and constructs the *assembly graph* as the repeat graph of the concatenate $RepeatGraph(Concatenate, Alignments, d)$.

It has been shown that the repeat graph of concatenated accurate reads (alignments between reads do not extend beyond delimiters in the concatenate of all reads) approximates the repeat graph of the genome¹. Thus, the assembly graph constructed from *accurate* contigs (which can be viewed as virtual reads) also approximates the repeat graph of the genome (see Supplementary Note 10).

Figure 3, left presents the assembly graph of the SMS reads from an *E. coli* genome. Flye further untangles this graph into a graph with just six edges (Figure 3, middle) as described below.

Resolving bridged repeats in the assembly graph. Flye aligns all reads to the constructed assembly graph (see Supplementary Note 11) and uses them to identify the repeat edges in this graph (see Supplementary Note 12). It further transforms the assembly graph into the *condensed assembly graph* by contracting all its repeat edges. Aligning a read to the assembly graph induces its alignment to the condensed assembly graph, and we focus on *bridging reads* that align to multiple edges in the condensed assembly graph. *Untangling* incident edges $e = (w, v)$ and $f = (v, u)$ in the condensed assembly graph amounts to substituting them by a single edge (w, u) . Below we describe how Flye uses bridging reads to untangle the condensed assembly graph and how this untangling contributes to resolving repeats in the assembly graph.

A bridging read in the condensed assembly graph is called an (e, f) -read if it traverses two consecutive edges e and f in this graph. For each pair of incident edges e and f in the condensed assembly graph, we define $transition(e, f)$ as the number of (e, f) -reads plus the number of (f', e') -reads, where e' and f' are *complementary* edges for e and f , i.e., edges representing a complementary strand.

Given a set of bridging reads in the condensed assembly graph, we construct a *transition graph* as follows. Each edge e in the condensed assembly graph corresponds to vertices e^h and e^t in the transition graph, representing the head (start) and tail (end) of e , respectively. A complementary edge for e correspond to the same vertices, but in the opposite order. Each (e, f) -read defines an undirected edge between e^t and f^h in the transition graph with weight equal to $transition(e, f)$.

Note that the transition graph is bipartite for the simple case when the two subgraphs of the condensed assembly graphs, corresponding to complementary strands, do not share vertices. However, it is not

necessarily bipartite in the case of genomes that contain long inverted repeats. Flye thus applies Edmonds' algorithm³² to find a maximum weight matching in the transition graph and uses this matching for untangling the condensed assembly graph. For each edge (e^t, f^h) in the constructed matching, Flye additionally checks the confidence of the transition between edges e and f (see Supplementary Note 13 for details) and untangles e and f for each edge (e^t, f^h) in the transition graph that passes this check. Flye iteratively untangles edges in the condensed assembly graph and performs the corresponding iterative repeat resolution in the assembly graph.

Note that consecutive edges e and f in the condensed assembly graph are not necessarily consecutive in the assembly graph. Thus, after Flye untangles e and f , it uses one of the bridging (e, f) -reads to fill the gap between the end of e and the start of f in the assembly graph. Afterwards, most repeat edges in the assembly graph either represent long *unbridged* repeat edges (that are not bridged by any reads) or form paths consisting of repeat edges with total lengths typically exceeding the median read length.

Resolving unbridged repeats in the assembly graph. Flye takes advantage of the small variations between different repeat copies to resolve unbridged repeats. It identifies the variations between repeat copies, matches each read with a specific repeat copy using these variations, and uses these matched reads to derive a distinct consensus sequence for each repeat copy. The success of this approach is contingent upon the presence of a sufficiently large number of variations between the different repeat copies. Therefore, the first step is to estimate the number and positions of variations between the repeat copies and to calculate the *divergence* of the various repeat copies from reads alone. Supplementary Note 14 describes how Flye calculates the divergence between repeat copies. The current version of Flye is limited to resolving unbridged repeats of multiplicity two in both haploid (e.g., bacterial) and diploid (e.g., human) genomes.

The idea of the algorithm is to assign each read to a specific repeat copy and then use the assigned reads to derive a distinct consensus sequence for each repeat copy. For example, the 93 reads that traverse edges IN_1 and REP (Figure 3) can be assigned to one repeat copy and the 75 reads that traverse edges IN_2 and REP can be assigned to another repeat copy. However, it is unclear how to assign other reads mapping to the edge REP to a specific repeat copy. Flye uses reads starting in the incoming edges (93 and 75 reads in Figure 3) to “move forward” into the repeat and construct two different prefixes of the repeat REP corresponding to the two copies of the repeat. In parallel, it uses reads ending in the outgoing edges (71 and 76 reads in Figure 3) to “move backward” into the repeat and construct two different suffixes of this repeat.

In each iteration of the algorithm, reads are assigned to a specific repeat copy, and then all the reads assigned to each repeat copy are used to construct a consensus sequence for that copy. Thus, as the algorithm proceeds, more reads are assigned to specific repeat copies and the consensus sequence for each repeat copy grows longer. The algorithm terminates when no new reads can be assigned to read copies and the consensus sequences stop growing in length. There are two goals: to obtain distinct consensus sequences for each repeat copy and to determine the correct pairings of incoming and outgoing edges for each repeat copy.

Supplementary Note 15 describes each successive iteration of the algorithm in details. Supplementary Note 16 evaluates its accuracy on simulated data, and Supplementary Table 2 provides information about Flye's performance on the unbridged repeats from the BACTERIA dataset.

Code Availability. The Flye code used in this study is available in the online version of the paper. The most recent Flye version is freely available at <http://github.com/fenderglass/Flye>.

Data Availability: All described datasets are publicly available through the corresponding repositories:

- The supplementary files, including the assemblies generated by Flye, are available at <https://doi.org/10.5281/zenodo.1143753>
- NCTC PacBio reads: <http://www.sanger.ac.uk/resources/downloads/bacteria/nctc/>.
- PacBio metagenome dataset: https://github.com/PacificBiosciences/DevNet/wiki/Human_Microbiome_Project_MockB_Shotgun.
- PacBio *C. elegans* dataset: <https://github.com/PacificBiosciences/DevNet/wiki/C.-elegans-dataset>
- PacBio / ONT *S. cerevisiae* dataset: <https://github.com/jg6/YeastStrainsStudy>
- The ONT reads from the HUMAN/HUMAN+ datasets are available at: <https://github.com/nanopore-wgs-consortium/NA12878>. The matching Illumina reads are available as SRA project ERP00122. The Canu HUMAN+ assembly was downloaded from: <https://genomeinformatics.github.io/na12878update>. MaSuRCA assemblies are available from: <http://masurca.blogspot.com/>

A Life Sciences Reporting Summary is available.

ONLINE METHODS REFERENCES

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