

# 1 Ion Trapping and Acceleration at Dipolarization Fronts: 2 High-Resolution MHD/Test-Particle Simulations

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## 6 **Key Points:**

- 7 = Energetic protons can be trapped at dipolarization fronts which enables their  
8 transport from the tail to the inner magnetosphere and violates the first invariant  
9 =
- 10 = Trapping is important for the buildup of ion pressure in the inner magnetosphere  
11 =
- 12 = Acceleration of trapped ions is proportional to ion charge and is independent of  
13 mass =

14 **Abstract**

15 Much of plasma heating and transport from the magnetotail into the inner magnetosphere  
 16 occurs in the form of mesoscale discrete injections associated with sharp dipolarizations  
 17 of magnetic field (dipolarization fronts). In this paper we investigate the role of magnetic  
 18 trapping in acceleration and transport of the plasmashell ions into the ring current. For  
 19 this purpose we use high-resolution global MHD and three-dimensional test-particle sim-  
 20 ulations. It is shown that trapping, produced by sharp magnetic field gradients at the in-  
 21 terface between dipolarizations and the ambient plasma, affect plasmashell protons with  
 22 energies above approximately 10 keV, enabling their transport across more than 10 Earth  
 23 radii and acceleration by a factor of 10. Our estimates show that trapping is important  
 24 to the buildup of the ring current plasma pressure of injected particles; depending on the  
 25 plasmashell temperature and energy spectrum, trapped protons can contribute between  
 26 20% to 60% of the plasma pressure. It is also shown that the acceleration process does  
 27 not conserve the particle first invariant; on average protons are accelerated to higher ener-  
 28 gies compared to a purely adiabatic process. We also investigate how trapping and ener-  
 29 gization varies for different ion species and show that, in accordance with recent observa-  
 30 tions, ion acceleration is proportional to the ion charge and is independent of its mass.

31 **1 Introduction**

32 Energetic ( $\gtrsim 10$  keV) ions play an important role in plasma physics of Earth's inner  
 33 magnetosphere. During geomagnetic storms the plasma pressure associated with strongly  
 34 enhanced energetic ion populations drives a global current system that couples the inner  
 35 magnetosphere and the ionosphere [e.g., *Vasyliunas*, 1984; *Roelof et al.*, 2004]. Known as  
 36 the ring current, during storm enhancements it produces large distortions of magnetic field  
 37 over the outer radiation belt zone, causing rapid dropouts of radiation belt intensities via  
 38 adiabatic cooling and losses through the magnetopause boundary [e.g., *Kim et al.*, 2008;  
 39 *Turner et al.*, 2014; *Ukhorskiy et al.*, 2015]. Energetic ions also provide the energy source  
 40 for a wide range of instabilities that generate plasma waves that can resonantly acceler-  
 41 ate high energy electrons and ions as well as cause their pitch-angle scattering and loss  
 42 through precipitation into the atmosphere [see reviews, *Millan and Thorne*, 2007; *Thorne*,  
 43 2010].

44 The buildup of energetic ions in the inner magnetosphere is a consequence of en-  
 45 hanced earthward magnetospheric convection, which largely occurs in the form of mesoscale  
 46 (i.e., the azimuthal scale of the order of Earth's radius) plasma flows preceded by sharp  
 47 dipolarizations of magnetic field, often referred to as dipolarization fronts [e.g., *Sergeev*  
 48 *et al.*, 1996; *Nakamura et al.*, 2002; *Runov et al.*, 2009; *Angelopoulos et al.*, 2013].

49 While it is well established observationally that dipolarization fronts are often as-  
 50 sociated with rapid enhancements of energetic ion intensities [e.g., *Gabrielse et al.*, 2014;  
 51 *Liu et al.*, 2016], energization and transport mechanisms that produce these enhancements  
 52 are a subject of ongoing debate. One common theory supported by multiple model sim-  
 53 ulations, including test-particle tracing in dipolarization fields from a magnetohydrodynamic  
 54 (MHD) model [see review, *Birn et al.*, 2012] suggests that the observed enhancements are  
 55 associated with ion energization obtained over a single ion interaction with the front, i.e.,  
 56 over the portion of the dawn-dusk ion motion traversing through the electric field pulse.  
 57 On the other hand, *Zhou et al.* [2010, 2011] pointed out that since in the magnetotail the  
 58 magnetic field amplitude ahead of the front can be much smaller than the field ampli-  
 59 tude behind the front, ions can be substantially energized by reflection from a propagat-  
 60 ing front, similar to particle reflection from quasi-perpendicular shocks [e.g., *Terasawa*,  
 61 1979; *Gosling et al.*, 1982]. If a front is preceded with a negative magnetic field deple-  
 62 tion, ions can be stably trapped at the reconnection line, formed ahead of the front, and  
 63 accelerated by the electric field associated with the front motion [*Artemyev et al.*, 2012;

64 *Ukhorskiy et al.*, 2013], similar to surfatron acceleration [e.g., *Sagdeev*, 1966; *Katsouleas*  
 65 and *Dawson*, 1983].

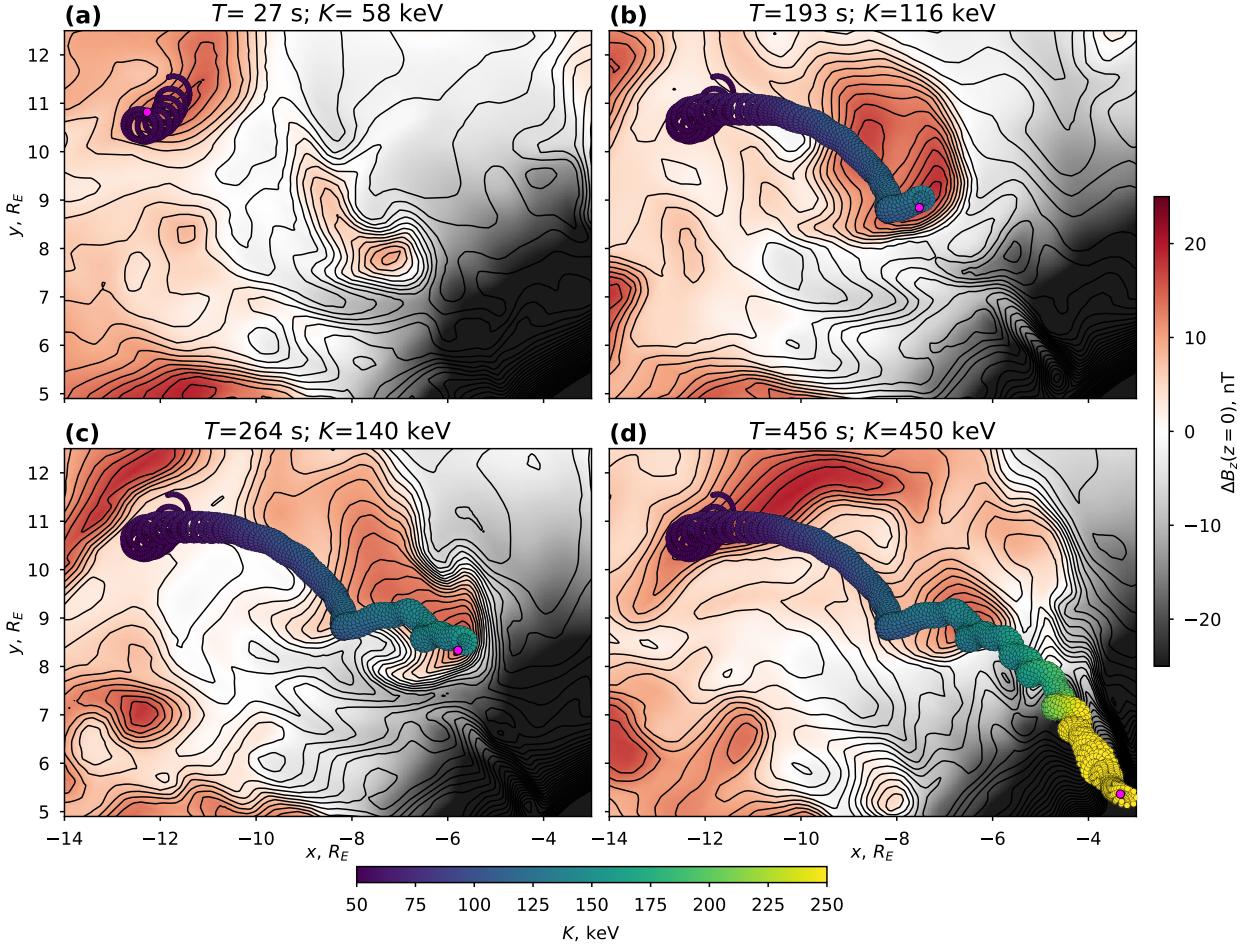
66 Recently it was suggested that ion energization at dipolarization fronts can be greatly  
 67 enhanced by trapping at the inverse magnetic field gradient which forms at the interface  
 68 between azimuthally localized fronts and the background plasma [*Ukhorskiy et al.*, 2017].  
 69 Contrary to the conceptual picture of ion acceleration by an enhanced electric field pulse,  
 70 which is limited to a single ion pass across its azimuthal extent, trapped ions can circle  
 71 around the dipolarization front multiple times. Since the ambient magnetic field increases  
 72 as the front propagates earthward, the magnetic flux through the ion guiding center orbits  
 73 also grows, inducing the electric field which causes persistent ion acceleration.

74 *Ukhorskiy et al.* [2017] analysis was based on a simplified empirical model, which  
 75 approximated dipolarization fronts with a soliton-like electromagnetic wave, thus neglect-  
 76 ing any evolution of the front structure in the course of their earthward propagation as  
 77 well as possible polarization effects (i.e., potential electric field). While it elucidated how  
 78 trapping can enhance ion energization at dipolarization fronts, it remained unclear whether  
 79 trapping would be stable under more realistic conditions of dynamically evolving fronts,  
 80 and what role trapping might play in the buildup of energetic ion populations in the in-  
 81 ner magnetosphere. In this paper we address both the plausibility and importance of ion  
 82 trapping with the use of three-dimensional test-particle simulations of ion motion at dipo-  
 83 larization fronts in the Lyon-Fedder-Mobarry (LFM) high-resolution global MHD magne-  
 84 tospheric model [*Lyon et al.*, 2004]. In the following section we investigate whether trap-  
 85 ping occurs at dynamically evolving dipolarization fronts. Section 3 describes estimates  
 86 of the importance of trapping process to buildup of the ring current pressure in the inner  
 87 magnetosphere. In Section 4, we assess to what extent proton transport and acceleration at  
 88 dipolarization fronts is adiabatic, i.e., conserves the first adiabatic invariant. In Section 5,  
 89 followed by conclusions, we investigate how ion trapping and energization depend on ion  
 90 species.

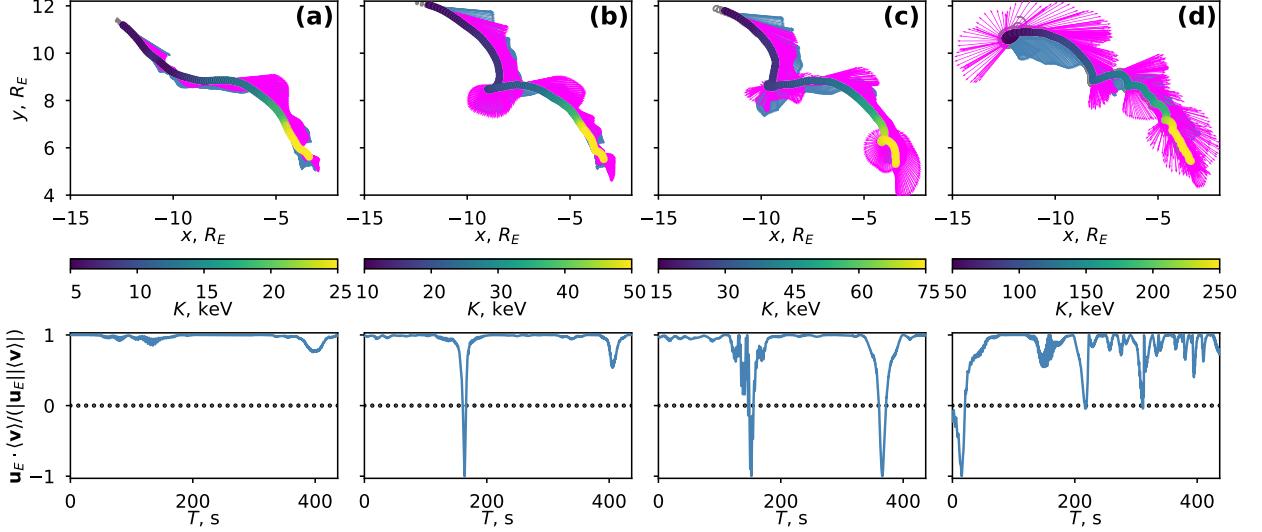
## 96 2 Does Trapping Take Place?

97 High-resolution MHD simulation of the mesoscale flows that we used in this study  
 98 is described in details by *Wiltberger et al.* [2015]. The LFM model was run using ideal-  
 99 ized solar wind conditions with fixed nominal values of the number density of  $5 \text{ cm}^{-3}$   
 100 and the earthward velocity of 400 km/s and the southward IMF of -5 nT. The simulation  
 101 produced bursty mesoscale flows throughout the near-Earth plasmashell down to geosyn-  
 102 chronous altitudes with typical values of the earthward flow velocity of 500 km/s associ-  
 103 ated with magnetic dipolarizations of  $\Delta B_z \simeq 10 - 30 \text{ nT}$  and the azimuthal electric field of  
 104 10 mV/m. The superposed epoch analysis of model results carried out with the use of the  
 105 algorithm developed by *Ohtani et al.* [2004] for statistical analysis of the mesoscale flows  
 106 observed by Geotail, showed a very good qualitative agreement between the simulated and  
 107 observed dipolarization flows.

108 Proton transport and acceleration at the LFM dipolarization fronts was analyzed with  
 109 the use of our three-dimensional test-particle Conserved Hamiltonian Integrator for Mag-  
 110 netospheric Particles (CHIMP) [e.g., *Sorathia et al.*, 2017]. To examine whether protons  
 111 can be stably trapped at dynamic dipolarization fronts produced by high-resolution MHD  
 112 simulations, we simulated proton interactions with an isolated dipolarization front that  
 113 propagated from the outer boundary of our simulation domain down to  $L = 5.75$ . For  
 114 the initial time of test-particle simulations we chose the moment when the maximum of  
 115 the magnetic field dipolarization in the flow,  $\max(\Delta B_z(z = 0))$ , was at  $L = 17$ , where  $\Delta B_z$   
 116 is the external component of the magnetic field, and  $z = 0$  corresponds to the magnetic  
 117 equator. Trapping is expected to take place inside the region, whose equatorial projec-  
 118 tion lies on closed contours of total magnetic field encircling the dipolarization [*Ukhorskiy*  
 119 *et al.*, 2017], that we will refer to as “magnetic islands”. Hence, to test for trapping, test-



91 **Figure 1.** Proton trapping and acceleration at an isolated dipolarization front. Panels (a)-(d) show snapshots of the proton trajectory at different times of the simulation projected onto the equatorial plane; each  
92 snapshot shows the trajectory from  $T = 0$  to the instance indicated by the magenta circle. Evolution of particle  
93 energy along the trajectory is indicated with color. The external magnetic field,  $\Delta B_z$ , is shown with color.  
94 Contours of constant total magnetic field for each snapshots are shown with black lines.  
95



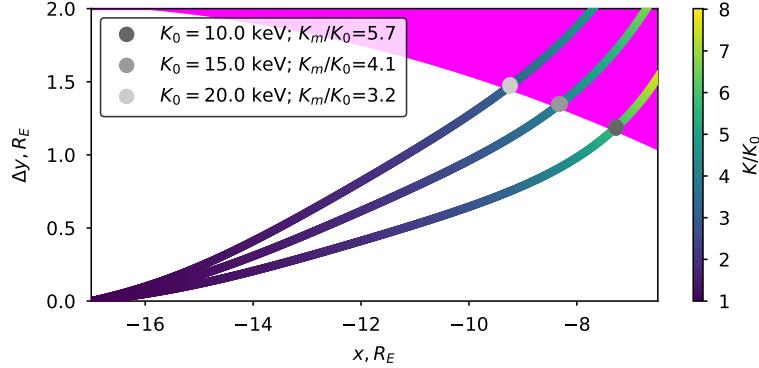
133 **Figure 2.** Proton trapping for different initial energy values. Top panels: the guiding center position es-  
 134 timated with a moving average is shown with symbols colored by proton energy, magenta arrows indicate  
 135 current guiding-center velocity  $\langle \mathbf{v} \rangle$ , blue arrows show the  $\mathbf{E} \times \mathbf{B}$  velocity,  $\mathbf{u}_E$ , at the guiding center locations.  
 136 Bottom panels: the cosine between  $\langle \mathbf{v} \rangle$  and  $\mathbf{u}_E$ ; transitions from 1 to -1 values and back correspond to turning  
 137 points.

120 particles of different initial energies were initialized at  $L \simeq 17$  at the equatorial plane  
 121 inside the magnetic island. To facilitate the diagnostics (see below), we suppressed test-  
 122 particle bounce motion by initializing particles at near-perpendicular pitch angles.

123 Figure 1 and Movie S1 of the supporting information show an example of a proton  
 124 trajectory for the initial energy of 50 keV; a high value of the initial energy was chosen  
 125 to better illustrate the effect. Four panels in Figure 1 show snapshots of the proton trajec-  
 126 tory at different times of the simulation; each snapshot shows the trajectory from  $T = 0$   
 127 to the instance indicated by the magenta symbol. Evolution of particle energy along the  
 128 trajectory is indicated with color. The proton was transported radially with the dipolariza-  
 129 tion front all the way down to the flow termination point at  $L = 5.75$  being accelerated by  
 130 almost a factor of 10 to 450 keV. The figure shows that by meandering about closed con-  
 131 tours of the total magnetic field the proton remained inside the magnetic island over the  
 132 entire time, i.e., was stably trapped.

133 Trapping is produced by the large gradients of magnetic field that are formed at the  
 134 interface between dipolarization flows and the ambient plasma (see contours of total mag-  
 135 netic field around magnetic islands in Figure 1). If the gradient drift at the interface be-  
 136 tween a flow and the ambient plasma dominates over the  $\mathbf{E} \times \mathbf{B}$  drift, protons that reach  
 137 the interface are turned around by the gradient drift, which precludes them from leaving  
 138 the flow. Since the effect depends on the ratio of the gradient and the  $\mathbf{E} \times \mathbf{B}$  drift, it must  
 139 be energy dependent.

140 To determine at what energies proton radial transport exhibits trapping, we used the  
 141 following procedure. By applying a moving average filter to the full Lorenz proton trajec-  
 142 tories from our test-particle simulations, we computed their guiding center position, which  
 143 we then used to estimate the guiding center velocity  $\langle \mathbf{v} \rangle$ . The width of the moving aver-  
 144 age window for each particle was selected to roughly match its gyroperiod. We then esti-



170 **Figure 3.** Equatorial proton guiding center trajectories in an azimuthally localized flow in the absence of  
 171 trapping for different initial energy values; the relative change in particle initial energy is shown with color.  
 172 Magenta shading indicates the flow channel boundary. Protons with initial energy  $K_0 = 10$  keV and above  
 173 traverse across and escape out of the flow tailward of the inner boundary at  $L = 5.75$  that can be reached by  
 174 trapped particles, which limits their maximum acceleration.

150 mated the value of the  $\mathbf{E} \times \mathbf{B}$  drift  $\mathbf{u}_E$  at the guiding center location and computed  $\cos \alpha_{vu}$ ,  
 151 the cosine between  $\langle \mathbf{v} \rangle$  and  $\mathbf{u}_E$  for each particle. Figure 2 summarizes the results for four  
 152 different values of the proton initial energy: 5, 10, 15, and 50 keV. Top panels show the  
 153 guiding center trajectories with symbols colored by particle energy, and  $\mathbf{u}_E$  and  $\langle \mathbf{v} \rangle$  vec-  
 154 tors shown with blue and magenta. The bottom panels show  $\cos \alpha_{vu}$ . If  $\cos \alpha_{vu} \simeq 1$  over  
 155 the entire trajectory, such as in the case of a 5 keV particle shown in Figure 2(a), then  
 156 the guiding center motion was governed by the  $\mathbf{E} \times \mathbf{B}$  drift and trapping played no role  
 157 in transporting this particle inward. If, on the other hand, at certain points of the particle  
 158 trajectory  $\cos \alpha_{vu}$  changed its value from 1 to -1 and then back to 1, as is the case of the  
 159 particles with initial energies above 5 keV shown in Figure 2(b)-(c), the guding center ve-  
 160 locity at these points made a full rotation around the direction of the  $\mathbf{E} \times \mathbf{B}$  drift, which  
 161 is the effect of trapping. The proton with initial energy of 10 keV made one full rotation  
 162 (Figure 2(b)), a 15 keV proton made two rotations (Figure 2(c)). The case of a 50 keV  
 163 proton (Figure 2(d)) is a bit more complicated; while from the test-particle trajectory it is  
 164 apparent that the guiding center velocity rotated about the  $\mathbf{E} \times \mathbf{B}$  drift multiple times, the  
 165  $\cos \alpha_{vu}$  diagnostic shows only one full rotation. This is attributed to the fact that after ap-  
 166 proximately 100 s of the simulation process the proton gyroradius became comparable to  
 167 the width of the dipolarization channel and to the size of the magnetic island its guiding  
 168 center was rotating around. Consequently, the moving average procedure was no longer  
 169 applicable for estimating the guiding center position.

175 According to the above analysis trapping starts affecting proton transport at the ini-  
 176 tial energies between 5 and 10 keV. It is also instructive to consider the following hypo-  
 177 thetical question: how would particle energization change, if the mesoscale convection  
 178 consisted only of the azimuthally localized intensifications of the plasma flow, i.e., there  
 179 would be no magnetic islands or sharp magnetic field gradients at the interface between  
 180 the flow and the ambient plasma? To answer this question, consider an equatorially mir-  
 181 roring guiding center particle. In the case of a purely radial flow and an azimuthally sym-  
 182 metric stretched magnetic configuration the guiding center motion is a superposition of the  
 183 radial  $\mathbf{E} \times \mathbf{B}$  drift and the azimuthal gradient drift that are related by the following equa-  
 184 tion:

$$185 \frac{d\varphi}{dL} = \frac{\mu c}{eR_E} \frac{1}{Lu_E(L)} \frac{d}{dL} \ln B(L) \quad (1)$$

186 where  $\varphi$  is the azimuthal angle,  $L$  is the radial distance in Earth radii denoted by  $R_E$ ,  $\mu$   
 187 is the first adiabatic invariant,  $c$  is the speed of light,  $e$  is the electric charge,  $u_E$  is the  
 188 magnitude of the  $\mathbf{E} \times \mathbf{B}$  drift, and  $B$  is the magnetic field magnitude. A proton inside a  
 189 flow channel of the width  $\Delta y$  will undergo radial transport accompanied by adiabatic ac-  
 190 celeration until it traverses through and escapes out of the channel due to the westward  
 191 azimuthal curvature drift.

192 To assess the maximum acceleration that can be obtained by protons in a local-  
 193 ized flow channel, equation (1) was integrated numerically to determine the radial dis-  
 194 tance  $L_{\min}$  at which protons of different initial energy  $K_0$  starting at the eastward edge  
 195 of the flow at  $L_0$  would reach its westward edge. The maximum energy is then given by  
 196  $K_{\max} = K_0 B(L_{\min})/B(L_0)$ . All parameters in equation (1) as well as the flow channel were  
 197 directly inferred from the MHD and test-particle simulations. The radial dependence of  
 198  $u_E(L)$  was computed at the current location of injected particles, the flow width  $\Delta y(L)$   
 199 was approximated at the half maximum of  $u_E(L)$ , and the radial profile of  $B(L)$  was es-  
 200 timated by fitting an exponent into the radial distribution of magnetic field along the flow  
 201 channel, which was preliminary averaged over the injection time span (approximately 500  
 202 s) to remove localized dipolarizations. Figure 3 shows equatorial guiding-center trajec-  
 203 tories of protons with initial energies of 10, 15, and 20 keV. Proton energy gain  $K/K_0$  along  
 204 the trajectories is indicated with color. As can be seen from the figure, all particles tra-  
 205 versed across, and escaped out of the flow tailward of its earthward boundary, reached by  
 206 trapped protons in test-particle simulations in self-consistent MHD fields. This simple es-  
 207 timate asserts that trapping is necessary for transporting 10 keV protons from the tail to the  
 208 inner magnetosphere.

### 213 3 Is Trapping Important for Plasma Pressure Buildup?

214 In the previous section it was shown that radially transporting 10 keV protons from  
 215 the tail ( $L = 17$ ) to the inner magnetosphere ( $L = 5.75$ ) in a single azimuthally localized  
 216 ( $\Delta y \lesssim 2 R_E$ ) flow requires trapping. Hence, the question of whether trapping is important  
 217 for building up the ring current plasma pressure is equivalent to the question of whether  
 218 “seed population” protons with energies 10 keV and above at  $L = 17$  provide a substan-  
 219 tial contribution to plasma pressure in the inner magnetosphere, which is sustained by ions  
 220 with energies above 10 keV [e.g., Williams, 1987]. It is desirable to assess the importance  
 221 of trapping for various values of plasma sheet temperatures and shapes of the distribution  
 222 function. For this purpose we use a Green’s function approach. We numerically derive  
 223 Green’s function of a single injection in the form of a conditional probability function  
 224  $W(K|K_0)$  of a proton with initial energy  $K_0$  at  $L = 17$  behind the dipolarization front to  
 225 be transported to  $L < 7$  with energy  $K$ . The Green’s function allows to assess how the  
 226 plasmashell proton phase space density,  $f(K)$ , is changed in the process of injection into  
 227 the inner magnetosphere:

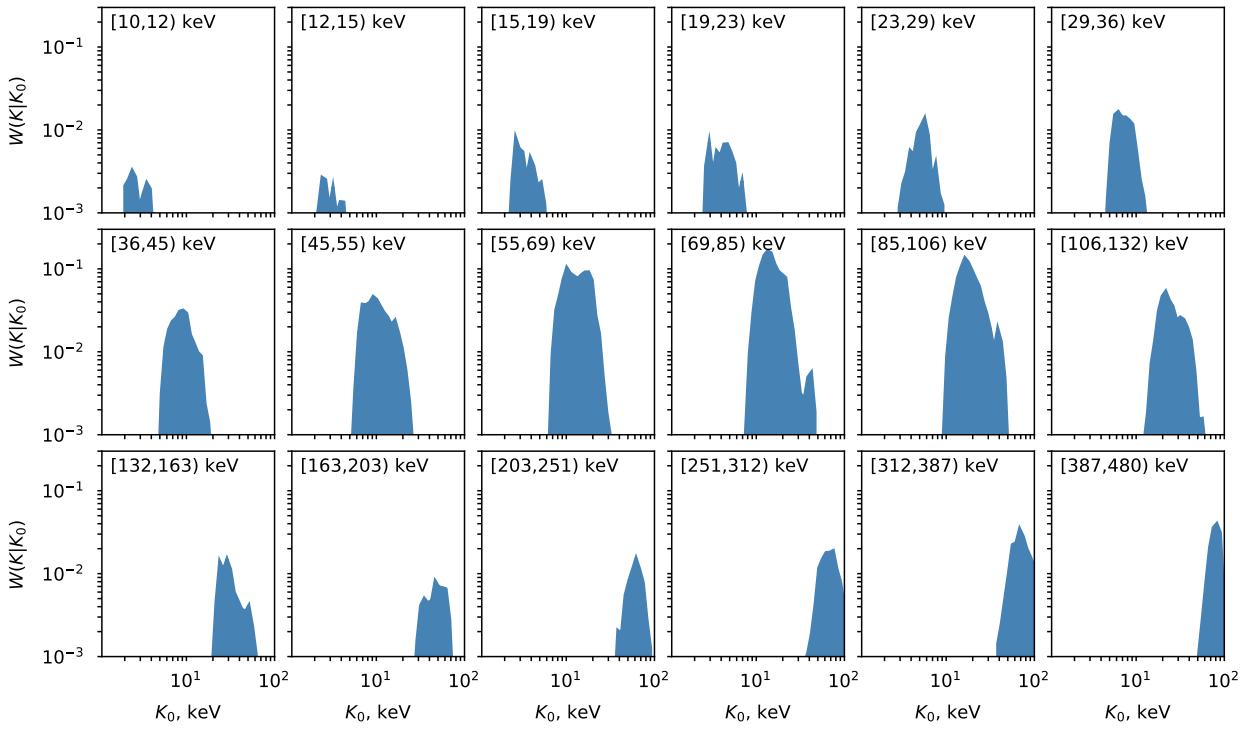
$$\tilde{f}(K) = \int_0^{\infty} W(K|K_0) f(K_0) dK_0. \quad (2)$$

228 We can then estimate partial contribution of the plasmashell protons with initial energies  
 229 above a certain value  $K_0$  to the total pressure of injected particles:

$$P(> K_0) = A \int_0^{\infty} K^{3/2} dK \int_{K_0}^{\infty} W(K|K'_0) f(K'_0) dK'_0, \quad (3)$$

230 where  $A$  is a normalization constant, and we assumed that the proton distribution is isotropic  
 231 in pitch angle.

232 To derive the Green’s function we repeated test-particle simulations described in the  
 233 previous section for a large ensemble of  $2.5 \cdot 10^5$  particles initialized in the equatorial plane  
 234 and distributed inside the magnetic island over different energy and pitch-angle values.  
 235 The simulation consisted of 20 runs with initial conditions randomly distributed over the  
 236 phase space variables as: 25 initial energy values between 2 and 100 keV, 5 values of the



209 **Figure 4.** The Green's function  $W(K|K_0)$  quantifies the probability of a proton with the initial energy  $K_0$   
210 at  $L = 17$  behind the dipolarization front to be transported in a single injection to  $L < 7$  with energy  $K$ .  
211 The figure shows  $W(K|K_0)$  numerically derived from three-dimensional test-particle simulations for eighteen  
212 intervals of particle energy  $K$  at the end of the simulations.

251      **Table 1.** Partial contribution of the plasmashell protons that exhibit trapping (i.e., have initial energy  $> 10$   
 252      keV at  $L = 17$ ) to the total plasma pressure of all injected particles with the initial energy of 2 keV and above,  
 253       $P(K_0 > 10 \text{ keV})/P(K_0 \geq 2 \text{ keV})$ , for different plasmashell ion temperatures and  $\kappa$  values. The contribution  
 254      varies between 20% to as much as 60%.

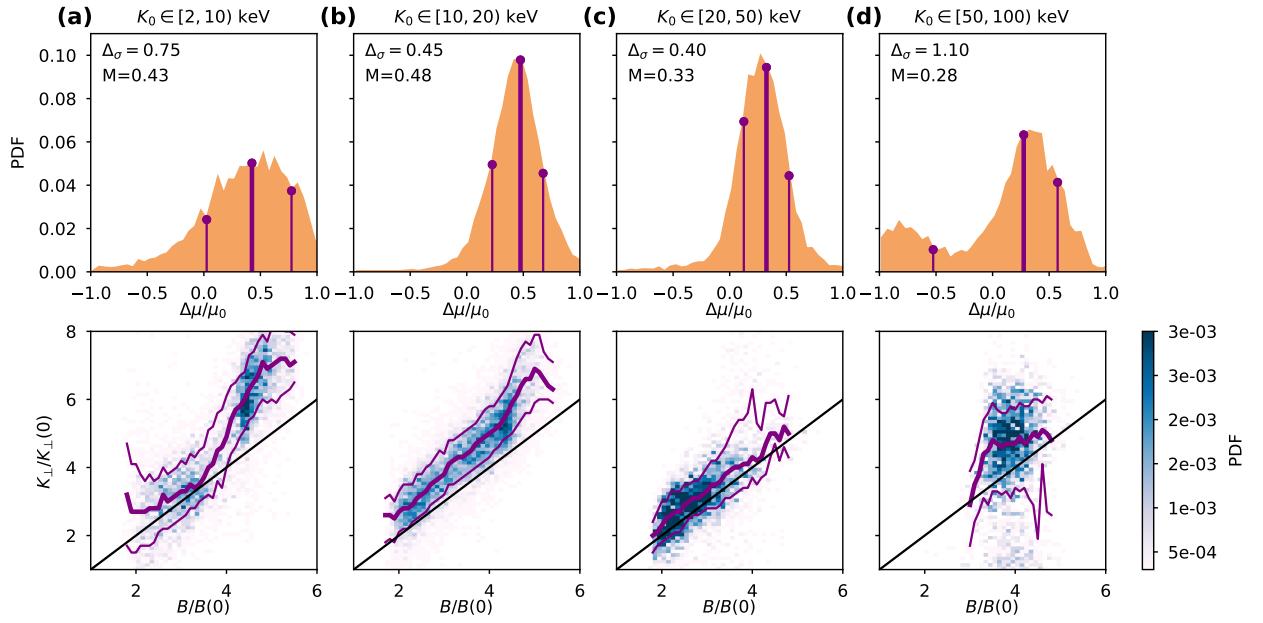
	$T = 1.5 \text{ keV}$	$T = 3.0 \text{ keV}$	$T = 5.0 \text{ keV}$
$\kappa = 3$	0.35	0.51	0.61
$\kappa = 4$	0.27	0.43	0.55
$\kappa = 5$	0.22	0.38	0.60
$\kappa = 6$	0.19	0.34	0.58

237      pitch-angle between  $10^\circ$  and  $90^\circ$ , 10 values of  $L$  between 16.5 and 17.4, and 10 values of  
 238      the azimuthal angle between  $135^\circ$  and  $138^\circ$ . The lower cut-off value of the initial energy  
 239      was set to 2 keV in order to cover the full energy range of the ring current protons; in our  
 240      simulations seed population protons with the initial energy of 2 keV and above at  $L = 17$   
 241      constitute the bulk of greater than 10 keV protons at  $L < 7$ . Figure 4 shows  $W(K|K_0)$   
 242      computed for different values of proton initial energy. According to the figure, the proba-  
 243      bility of being successfully transported to  $L < 7$  in a single injection by an isolated dipo-  
 244      larization front is highest for protons with energies between approximately 35 and 100  
 245      keV. A continuous decrease in the probability values with particle energy decrease below  
 246      35 keV is attributed to the weakening of the gradient and curvature drift allowing particle  
 247      escape out of the flanks of the flow channel. A decrease of the probability with the energy  
 248      increase above 100 keV is associated with an increase in the proton gyroradii to the scales  
 249      comparable to the size of the magnetic island, which enables their detrapping and escape  
 250      out of the flow channel.

255      To determine the importance of trapping to the buildup of the ring current pressure,  
 256      we used numerically derived  $W(K|K_0)$  to compare the partial contribution of protons with  
 257      the initial energy above 10 keV, which exhibit trapping, to the total plasma pressure of in-  
 258      jected particles. For computing plasma pressure from expression (3) it was assumed that  
 259      the initial phase space density of the plasma sheet ions can be approximated with a kappa  
 260      distribution function. To assess the effect of trapping for different plasma sheet conditions  
 261      we considered a typical range of the proton temperature and kappa exponent [e.g., *Chris-*  
 262      *ton et al.*, 1991]. The results are summarized in Table 1, which lists the ratios of the par-  
 263      tial pressure of protons with the initial energy above 10 keV to the plasma pressure of all  
 264      injected particles with energy of 2 keV and above,  $P(K_0 > 10 \text{ keV})/P(K_0 \geq 2 \text{ keV})$ . Contri-  
 265      bution of high energy particles to the total pressure increases with increase in temperature  
 266      and hardening of the spectrum, i.e., decrease in  $\kappa$  (as  $\kappa \rightarrow \infty$  the distribution becomes  
 267      Maxwellian, whereas as  $\kappa \rightarrow 1$  the distribution has a power-law high energy tail). The  
 268      contribution of  $K_0 > 10$  keV protons to the plasma pressure of all injected particles varies  
 269      from about 20% to as much as 60%. Protons transported in mesoscale localized injections  
 270      account for a substantial fraction of total plasma pressure across the inner magnetosphere  
 271      during storms [*Gkioulidou et al.*, 2014]. We therefore conclude that trapping is important  
 272      for the buildup of the ring current pressure.

#### 279      4 Is Ion Energization Adiabatic?

280      Observational analyses [e.g., *Runov et al.*, 2015; *Kistler et al.*, 2016] suggest that ion  
 281      acceleration in the course of their inward transport from the tail into the inner magne-  
 282      tosphere is approximately adiabatic, i.e., conserves their first adiabatic invariant [Alfvén,



273 **Figure 5.** Proton transport and acceleration exhibit substantial deviations from purely adiabatic process at  
 274 all values of proton initial energy. Top panels: distribution of the relative change in the first invariant values,  
 275  $\Delta\mu/\mu_0$ , at the end of the simulations; the medians (quartiles) are shown with thick (thin) magenta lines, with  
 276 their numerical values indicated in the panels for each range of the initial energy. Bottom panels: the depen-  
 277 dence of ion energization on the ratio of the magnetic field amplitude at the beginning and the end of the  
 278 simulations. Black lines corresponds to  $K_\perp/B = \text{const}$ .

283 1940]:

$$\mu = \frac{(\mathbf{p}_\perp - m\mathbf{u}_E)^2}{2mB} \simeq \frac{K_\perp}{B}, \quad (4)$$

284 where  $m$  is the ion mass,  $\mathbf{p}_\perp$  is the momentum component and  $K_\perp$  is the kinetic energy  
 285 perpendicular to the magnetic field at the ion gyrocenter, and  $B$  is the magnetic field am-  
 286 plitude. The second approximate equality is valid for nonrelativistic particles with per-  
 287 pendicular energy substantially exceeding the pickup energy,  $mu_E^2/2$ , which for the plas-  
 288 masheet protons is of the order of several keV.

289 Test-particle simulations allow us to quantitatively assess to what degree ion trans-  
 290 port conserves the first adiabatic invariant. For this purpose we used the ensemble simula-  
 291 tions described in Section 2. The results are summarized in Figure 5 in two different for-  
 292 mats. Top panels show distributions of the relative change in the invariant values,  $\Delta\mu/\mu_0$ ,  
 293 at the end of the simulations for different values of ion initial energies, whereas the bot-  
 294 tom panels show the dependence of ion energization on the ratio of the magnetic field  
 295 amplitude at the beginning and the end of the simulations. The higher is the ratio of the  
 296 magnetic field amplitude at the beginning and the end of a particle trajectory, the larger is  
 297 the radial distance spanned by the particle.

298 Figure 5 clearly shows that proton transport exhibits substantial deviations from the  
 299 adiabaticity at all values of the initial energies. The difference between the upper and  
 300 the lower quartiles of the  $\Delta\mu/\mu_0$  distribution varies between 0.4 and 1.1. The energiza-  
 301 tion process also exhibits systematic deviations from purely adiabatic acceleration. While  
 302 similarly to adiabatic acceleration the proton energy increases with the increase in mag-  
 303 netic field experienced by the particles (equation (4)), the acceleration is higher than what  
 304 would be expected in a purely adiabatic process, at all values of initial energy and regard-  
 305 less of the radial distance spanned by the particles (see bottom panels). The median of the  
 306  $\Delta\mu/\mu_0$  distribution shifted up by 0.3-0.5, depending on the initial energy.

## 307 5 How Does Acceleration Depend on Ion Species?

308 Recent analysis of H, He, and O ion measurements by the RBSPICE experiment of  
 309 the Van Allen Probes mission showed that the peak energy of ions injected into the inner  
 310 magnetosphere is proportional to the ion charge and is independent of the mass [Mitchell  
 311 *et al.*, 2018; Motoba *et al.*, 2018]. This suggests that the ratio of ion energy to the electric  
 312 charge,  $K/q$ , can act as a similarity parameter of ion dynamics at dipolarization fronts.  
 313 To assess whether this is the case in our test-particle simulations of trapped ions, we re-  
 314 peated the simulations of  $H^+$  ions described in Sections 3 and 4 for  $He^+$ ,  $He^{2+}$ ,  $O^+$ , and  
 315  $O^{6+}$  ions. To compare the results with dispersed ion injections observed by RBSPICE,  
 316 we introduced virtual detectors at several points of the equatorial plane. The detectors  
 317 recorded the energy of test-particles when their projections onto the equatorial plane were  
 318 crossing the magnetic local time meridian of the detectors within  $0.25 R_E$  of their radial  
 319 locations.

320 The results are summarized in Figure 6. Top panels show the locations of four vir-  
 321 tual detectors overlaid onto equatorial projections of  $H^+$  ions with the energy indicated  
 322 with color. The equatorial projections are shown at four instances of test-particle sim-  
 323 ulation, to illustrate ion drift relative to the detector locations. The center of the dipo-  
 324 larization flow channel is indicated with the radial line, while the inner boundary of ion  
 325 injections at  $L = 5.75$  is marked with a circle. The middle panels show the energy of  
 326 different ion species recorded at four detector locations as a function of time, while the  
 327 bottom panel shows the ratio of ion energy to the charge state. According to the figure the  
 328 injection dispersion increases as the detector location moves away from the center of the  
 329 dipolarization flow, while the injection energy signature narrows down and simplifies as  
 330 the detector location approaches the earthward penetration boundary. The most remark-

331 able effect, however, which is seen at all detector locations, is the scaling in the injection  
 332 signatures of all ion species by the parameter  $K/q$ .

333 The scaling of ion dynamics by  $K/q$  can be found somewhat counterintuitive. In-  
 334 deed, the  $K/q$  similarity is an inherent attribute of the guiding center motion, for which a  
 335 bounce-averaged equation can be written as:

$$\frac{d\mathbf{R}}{dt} = \mathbf{u}_E(\mathbf{R}, t) + \frac{K}{q} \mathbf{u}_D(\mathbf{R}, t), \quad (5)$$

336 where  $\mathbf{R}$  is the guiding center position,  $\mathbf{u}_E$  is the ExB drift, and  $\mathbf{u}_D$  is the gradient-curvature  
 337 drift. Hence, two guiding center particles with the same initial conditions and  $K/q$  ratio  
 338 would exhibit the same dynamics. According to our analysis, however, ion energization  
 339 at dipolarization fronts exhibits large deviations from the adiabaticity even in the case of  
 340 protons. While we defer detailed investigation of this seeming contradiction to the future  
 341 studies, we can speculate that it could be explained by large separation of spatial and tem-  
 342 poral scales and consequent decoupling of non-local ion energization, due to inward radial  
 343 transport, and localized invariant violation, due to pitch-angle scattering at large magnetic  
 344 field curvature.

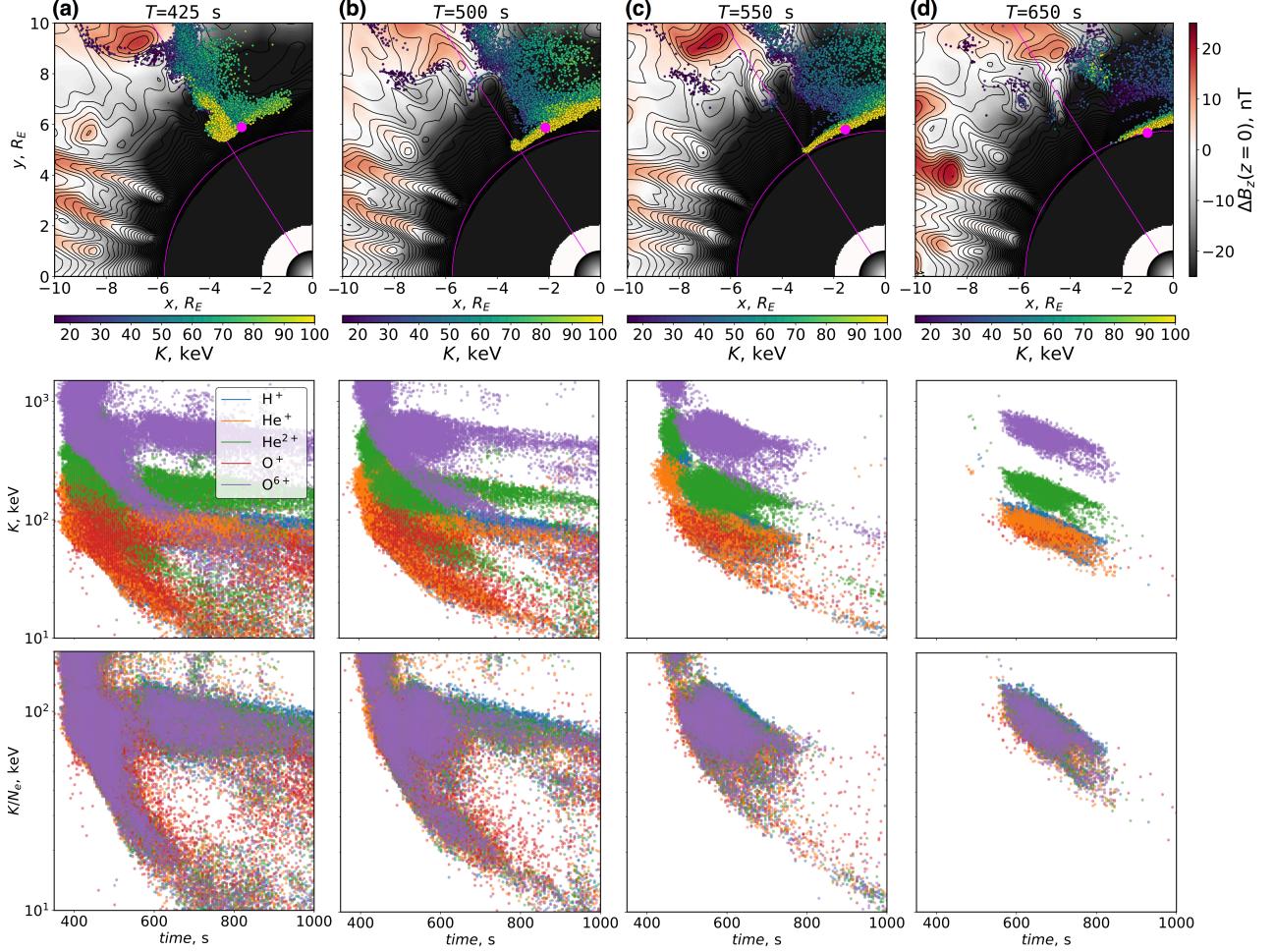
## 352 6 Conclusions

353 We investigated the role of magnetic trapping in transport and acceleration of en-  
 354 ergetic ions at dipolarization fronts with the use of high-resolution global MHD [Wilt-  
 355 berger *et al.*, 2015] and test-particle simulations. Protons were initialized inside an isolated  
 356 dipolarization front at approximately  $L = 17$ , with energies between 2 and 100 keV, and  
 357 pitch-angle values between  $10^\circ$  and  $90^\circ$ . A large fraction of protons remained trapped and  
 358 propagated with the front down to  $L \simeq 6$  acquiring up to a factor of 10 acceleration. The  
 359 analysis of the simulation results showed that:

- 360 1. Plasmashell protons with energies above 5-10 keV exhibit magnetic trapping. In  
   361 the absence of trapping, particles would traverse across, and escape out of the front  
   362 at higher  $L$  (then observed in the simulations) and consequently would not achieve  
   363 full energization.
- 364 2. Trapping is important for the buildup of ion pressure in the inner magnetosphere;  
   365 depending on the assumptions on the plasmashell particle energy spectrum, trapped  
   366 particles can contribute between 20% and 60% of the plasma pressure of all in-  
   367 jected particles.
- 368 3. Proton transport and energization exhibit significant deviations from purely adia-  
   369 batic acceleration. The first invariant violation, as measured by the difference be-  
   370 tween the upper and the lower quartiles of the  $\Delta\mu/\mu_0$  distribution at the end of the  
   371 simulation, varied between 0.4 and as much as 1.1 depending on the initial energy.  
   372 Simulations also showed that, on average, the energization process is 30% to 50%  
   373 more efficient than purely adiabatic acceleration.
- 374 4. A comparative analysis of different ion species, showed that our test-particle model  
   375 well reproduces recent observational results, which established that acceleration of  
   376 injected ions is proportional to the ion charge is independent of their mass.

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 383 tems Laboratory. Simulation results are available via: 10.5281/zenodo.1249722.=



345 **Figure 6.** Ion transport and energization at dipolarization fronts scale with  $K/q$ . The figure shows in-  
 346 inner magnetospheric injections of different ion species observed at four different locations of the equatorial  
 347 plane. Top panels: detector locations overlaid onto the equatorial projection of a proton injection at different  
 348 instances of the test-particle simulation; proton energy is indicated with color. The center of the injection  
 349 channel is indicated with a radial line, while the inward injection boundary is marked with a circle. Middle  
 350 (bottom) panels: ion energy (energy divided by the charge state) of different ion species observed at four  
 351 locations.

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