

1 **Transcriptional responses of the *Trichoplusia ni* midgut to oral infection**  
2 **by the baculovirus *Autographa californica* Multiple**  
3 **Nucleopolyhedrovirus**

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17 Running Head: Midgut transcriptional responses to AcMNPV  
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32 **ABSTRACT**

33 Baculoviruses are large dsDNA viruses that are virulent pathogens of certain  
34 insect species. In a natural host, *Trichoplusia ni*, infection by the model baculovirus  
35 *Autographa californica* Multiple Nucleopolyhedrovirus (AcMNPV) begins when the  
36 occluded form of the virus disassembles in the midgut and virions infect midgut epithelial  
37 cells to establish the primary phase of the infection. To better understand the primary  
38 phase of the AcMNPV infection cycle, newly molted 5<sup>th</sup> instar *T. ni* larvae were orally  
39 infected with AcMNPV occlusion bodies and transcriptional responses of the *T. ni*  
40 midgut were analyzed at various times from 0-72 hours post infection, using RNA-Seq  
41 analysis and a *T. ni* reference genome. The numbers of differentially expressed host  
42 genes increased as the infection progressed, and we identified a total of 3,372  
43 differentially expressed *T. ni* transcripts in the AcMNPV-infected midgut. Genes  
44 encoding orthologs of HMG176, atlastin, and CPH43 were among the most dramatically  
45 upregulated in response to AcMNPV infection. A number of cytochrome P450 genes  
46 were downregulated in response to infection. We also identified the effects of AcMNPV  
47 infection on a large variety of genes associated with innate immunity. This analysis  
48 provides an abundance of new and detailed information on host responses to baculovirus  
49 infection during the primary phase of the infection in the midgut, and will be important  
50 for understanding how baculoviruses establish productive infections in the organism.

51 **IMPORTANCE**

52 Baculoviruses are virulent pathogens of a number of important insect pest species.  
53 In the host *Trichoplusia ni*, infection begins in the midgut when infectious virions of the  
54 occlusion derived virus (ODV) phenotype enter and subsequently replicate in cells of the  
55 midgut epithelium. A second virion phenotype (budded virus or BV) is produced there  
56 and BV mediates systemic infection of the animal. Most prior detailed studies of  
57 baculovirus infections have focused on BV infections of cultured cells. In this study, we  
58 examined the transcriptional responses of the *T. ni* midgut to infection by ODV of the  
59 baculovirus AcMNPV, and identified a variety of host genes that respond dramatically to  
60 viral infection. Understanding transcriptional responses of the host midgut to viral

61 infection is critically important for understanding the biphasic infection in the animal as a  
62 whole.

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64

## 65 INTRODUCTION

66 Baculoviruses are arthropod-specific viruses with circular dsDNA genomes  
67 ranging from approximately 80 to 180 kbp that are packaged in rod-shaped nucleocapsids  
68 (1-3). Baculoviruses are used as biological control agents for agriculturally important  
69 insect pests in the order Lepidoptera (4). In general, baculovirus species have very  
70 narrow host ranges, often limited to one or a few closely related insect species (5).  
71 *Autographa californica Multiple Nucleopolyhedrovirus* (AcMNPV) is the type species of  
72 the family *Baculoviridae* and is the most intensively studied model of baculovirus  
73 biology. Unlike many other baculoviruses, AcMNPV has a wide host range, infecting at  
74 least 33 species of lepidopteran larvae in 10 families (1, 6). AcMNPV is highly  
75 pathogenic in early instar larvae of some species, yet less pathogenic in other species and  
76 when infecting later instars (7, 8).

77 Baculoviruses such as AcMNPV that have been studied extensively, produce two  
78 virion phenotypes: the Occlusion Derived Virus (ODV) and the Budded Virus (BV).  
79 ODV and BV are physically and functionally distinct, with BV produced initially by  
80 nucleocapsid budding from the surface of infected cells, and ODV produced later by  
81 nucleocapsid envelopment within the nucleus. ODV, which is subsequently occluded in a  
82 crystallized protein occlusion body (OB), is released into the environment and is orally  
83 infectious. When consumed by a susceptible host, OBs disassemble in the larval midgut,  
84 releasing ODV that subsequently enter midgut epithelial cells and initiate the primary  
85 phase of the infection in the animal. During the primary phase of the infection in the  
86 midgut, BV bud from the basal surfaces of midgut cells and BV subsequently infect most  
87 other tissues, initiating the secondary phase of the infection (reviewed in references (2)  
88 and (1)). The majority of detailed studies of baculovirus infection and host responses  
89 have focused on infections of cultured cells by BV (representing the secondary phase of  
90 infection), yet the primary phase of the infection is critically important to the success of  
91 infection in the organism. In a prior study of AcMNPV infection of *Heliothis virescens*

92 and *Helicoverpa zea* (representing permissive and semi-permissive hosts), it was  
93 observed that even though susceptibility to mortal infection differed by >1000-fold,  
94 detection of viral infection in the midgut appeared to be similar (9). Thus, the differences  
95 in host susceptibility appear to occur at a stage following viral entry into midgut cells,  
96 and responses of the midgut cells to infection may be critical for success of the primary  
97 infection and subsequent systemic infection. Differences in midgut intracellular responses  
98 to viral infection may lead to differences in production of infectious progeny virions, or  
99 differences in signaling or immune activation at the organismal level. Although midgut  
100 responses to AcMNPV infection are clearly important, and likely determine the outcome  
101 of infection in the host, little detail is known about midgut responses, even in highly  
102 permissive host insects such as *Trichoplusia ni* (the cabbage looper). To understand how  
103 midgut cells react to AcMNPV infection, we used a high throughput sequencing  
104 approach to measure the effects of oral infection by AcMNPV ODV on global host gene  
105 expression in the *Trichoplusia ni* larval midgut. We previously performed a similar study  
106 of global host gene expression using a *T. ni* cell line (Tnms42) that was synchronously  
107 infected with AcMNPV BV (10, 11). BV infection of cultured Tnms42 cells is thought to  
108 most closely represent a model of events in the secondary phase of infection in the  
109 animal. Initiation of ODV infection of the midgut epithelium is substantially less efficient  
110 than synchronous infection of cultured cells by BV in the laboratory. High doses of ODV  
111 result in infection of only a subset of midgut epithelial cells in 5<sup>th</sup> instar larvae. However,  
112 midgut cells that are infected by ODV may receive a relatively high dose of the virus  
113 since ODV virions contain multiple nucleocapsids. Because the midgut represents a first  
114 line of defense in oral infection by invading pathogens, the responses of midgut cells in  
115 the primary phase of infection may differ substantially from responses of cultured cells to  
116 BV infection, which represent the secondary phase of infection.

117         Prior studies have shown that baculovirus infection triggers antiviral and other  
118 immune responses in cultured cells (1, 12, 13). In this study, we compared transcriptomes  
119 of control (uninfected) and AcMNPV-infected *T. ni* midgut tissue, and identified *T. ni*  
120 transcripts that were either up- or downregulated at each time point (0, 6, 12, 18, 24, 36,  
121 48, and 72 h p.i.) following oral infection by ODV. Differential expression analysis  
122 resulted in the identification of a total of 3,372 transcripts with at least 4-fold changes

123 (FC) in expression levels. Functional annotations of these differentially expressed (DE)  
124 transcripts revealed overall trends in important host cellular processes affected by virus  
125 infection. Of particular interest, AcMNPV infection dramatically increased expression  
126 levels of several genes (HMG176, atlastin-2, cuticle CPH43, and E3 ubiquitin-ligase  
127 SIAH) in infected midgut, whereas expression levels of several other genes (flippase,  
128 serine protease 33, and a variety of cytochrome P450 genes) were dramatically reduced.  
129 We also identified a small subset of *T. ni* transcripts that were differentially expressed  
130 across all time points postinfection. Thus, the global transcriptional analysis of  
131 AcMNPV-infected *T. ni* midgut provides a detailed characterization of midgut-specific  
132 cellular responses to baculovirus infection and will be important for understanding the  
133 complex virus-host interactions in the primary phase of infection.

## 134 **MATERIALS AND METHODS**

### 135 **Insects and viruses**

136 Methods for insect rearing, AcMNPV infection, generation of viruses and  
137 preparation of RNA-Seq libraries were described in detail previously (14) and are briefly  
138 summarized below. *T.ni* (Cornell Strain) eggs were obtained from the Wang laboratory at  
139 Cornell University (Geneva, NY). Eggs on wax paper sheets (app. 4 days old) were  
140 surface sterilized by immersion in 10% Clorox, rinsed with sterile deionized water, and  
141 air-dried. Eggs were then placed in 16 oz. cups containing artificial-wheat germ diet and  
142 maintained in a growth chamber at 27 °C with a Light:Dark photoperiod of 14:10 as  
143 described previously (14). Fourth instar larvae that were ready to molt were held for 0-5 h  
144 without diet and newly molted 5<sup>th</sup> instar larvae (0-5 h old) were used for oral infections.

145 Wild Type AcMNPV strain E2 (WT-AcMNPV) used for the study was purified,  
146 amplified, and titered (15) as described previously (14). *T. ni* cell line Tnms42 (an  
147 alphanodavirus-free cell line subcloned from High Five, BTI-Tn5B14 cells) (11, 16, 17)  
148 was then infected with BV of WT-AcMNPV at a MOI of 0.1 and maintained in TNM-FH  
149 medium (18) (Invitrogen) supplemented with 2.5% FBS at 28°C. After 7 days, OBs were  
150 collected and purified using 0.5% SDS and 0.5 M NaCl as previously described (15), and  
151 resuspended in 10 ml of H<sub>2</sub>O. Similar to WT-AcMNPV, OBs from a recombinant  
152 baculovirus carrying a 2<sup>nd</sup> copy of the viral capsid protein (VP39) that was fused to 3

153 copies of the mCherry marker gene (virus 3mC) (19) were prepared and fed to larvae to  
154 determine the minimum number of OBs required to obtain maximum midgut cell  
155 infection in *T. ni* larvae. We estimated that the maximal infection rate was approximately  
156 20-30% of the midgut cells by 48 h p.i.

157 Larvae were orally inoculated with occlusion bodies (OBs) of WT-AcMNPV by  
158 hand feeding 5<sup>th</sup> instar larvae with 5  $\mu$ l of a 10% sucrose solution containing a total of  
159  $7 \times 10^4$  OBs of WT-AcMNPV ( $1.4 \times 10^4$  OBs/ $\mu$ l). Mock-infected control larvae were fed a  
160 similar sucrose solution containing no virus. At one-hour post feeding, control or virus-  
161 inoculated larvae (about 30 each) were placed in a growth chamber at 27 °C as described  
162 above. Midgut tissue was dissected at eight time points postinfection: 0, 6, 12, 18, 24, 36,  
163 48, 72 hours post infection (h p.i.). Thus, for each time point sampled post infection, a  
164 parallel mock-infected control midgut sample was analyzed, to mitigate possible artifacts  
165 resulting from developmental changes that may occur over the course of the experiment.  
166 For each time point and treatment (infected or control), we prepared three replicate  
167 samples, with midgut samples from six larvae pooled for each replicate. For each  
168 dissection, the larval cuticle was cut longitudinally along the dorsal midline and pinned in  
169 a dissecting dish, then the midgut was cut anteriorly and posteriorly and removed with  
170 forceps, avoiding excessive tracheoles. The excised midgut (which is approximately 70-  
171 80% of the length of the 5<sup>th</sup> instar larva) contained the midgut epithelium, plus the closely  
172 attached layer of muscle and some attached tracheoles. Excised midgut samples did not  
173 contain malpighian tubules, fatbody, or excessive tracheoles or hemocytes. The excised  
174 gut was washed by dipping in chilled PBS (two or more times), then immediately placed  
175 in RNeasy<sup>®</sup> RNA stabilization solution (Ambion) on ice. Pooled midgut samples were  
176 stored at -70°C, then homogenized with a pestle in a 1.5 ml eppendorf tube, and total  
177 RNA extraction was performed with TRIzol reagent (Ambion) according to the  
178 manufacturers protocol. Following total RNA extraction, midgut RNAs were screened  
179 by PCR for a known *T. ni* tetra virus (data not shown), and only tetra virus negative  
180 midguts were used for experiments.

### 181 **RNA-Seq library preparation**

182 Strand-specific RNA-Seq libraries were constructed as described previously (14,  
183 20). Briefly, poly(A) mRNAs isolated from 3  $\mu$ g of total RNA using oligo(dT)25

184 Dynabeads (Invitrogen) were fragmented at 94°C for 5 min in buffer containing  
185 ProtoScript II reaction buffer (NEB), hexamer (Qiagen), and oligo (dT)<sub>23</sub> VN (NEB).  
186 Subsequently, first-strand cDNA was synthesized using ProtoScript II and second strand  
187 synthesis was carried out with a reaction mix consisting of RNase H (NEB), the Klenow  
188 fragment of DNA polymerase I (NEB), and a dNTP mix containing dATP, dCTP, dGTP,  
189 and dUTP (Promega Corporation). Next, TruSeq universal adapters were ligated to the  
190 end-repaired and dA-tailed cDNA fragments. The dUTP containing strands were then  
191 removed and PCR amplification was performed with library-specific TruSeq PCR  
192 primers. Following purification and quantification, libraries were sequenced on the  
193 Illumina HiSeq4000 platform at the CLC Genomics and Epigenomics Core Facility at the  
194 Weill Cornell Medical College.

#### 195 **RNA-Seq read processing and differential expression analysis**

196 Raw RNA-Seq reads were processed using Trimmomatic software (21) with  
197 default parameters to trim adapter and low quality sequences, and trimmed reads with  
198 length <40 bases were discarded. Ribosomal RNA reads were removed by aligning the  
199 reads to rRNA sequences using bowtie (22). Filtered reads were then mapped to the *T.ni*  
200 reference genome (23) using HISAT (24) allowing 2 mismatches. Based on the  
201 alignments, raw read counts of each *T. ni* gene were derived and normalized to Reads Per  
202 Kilobase of transcript per Million mapped reads (RPKM) (25). Subsequently,  
203 differentially expressed (DE) transcripts between the uninfected and infected midgut  
204 samples at different time points postinfection were identified using edgeR package (26).  
205 Transcripts with a FDR (false discovery rate) lower than 0.05 and a  $\geq 4$ -fold change in  
206 expression levels were classified as DE transcripts.

#### 207 **Functional annotation, Venn diagrams, and cluster analysis**

208 Annotations of differentially expressed transcripts following virus infection were  
209 identified by searching for homologous sequences against the NCBI non-redundant (nr)  
210 protein database using BLASTP with an *E*-value cutoff of  $10^{-5}$ . To further obtain gene  
211 ontology (GO) terms associated with DE transcripts, we used Blast2GO software  
212 (<https://www.blast2go.com>) (27). Using the GO database, GO terms were assigned to the  
213 DE transcripts under biological process with an *E*-value hit filter of  $10^{-6}$  and an  
214 annotation cutoff of 55. The GO terms were classified at GO level 4. We constructed

215 Venn diagrams to identify DE transcripts that are commonly expressed between different  
216 time points postinfection and also across time points postinfection. For Venn diagram  
217 construction, we classified time points into three major groups: Early (0, 6, 12, 18 h p.i.),  
218 Middle (24, 36 h p.i.) and Late (48 and 72 h p.i.). For each group, we combined induced  
219 and upregulated transcripts from each time point. Similarly, we also combined  
220 suppressed and downregulated transcripts from each time point. We also performed  
221 cluster analysis on normalized expression levels ( $\log_2(\text{RPKM})$ ) of DE transcripts across  
222 time points by applying Euclidean distance metric using DESeq2 software (28). From the  
223 list of genes grouped by cluster analysis, we identified *T. ni* transcripts with specific  
224 expression patterns such as genes with expression levels that increased or decreased  
225 consistently over the infection time points.

#### 226 **Identification of most significantly affected *T. ni* transcripts and expression** 227 **patterns of immune genes in the midgut**

228 From the list of differentially expressed transcripts identified in this study, we  
229 further identified *T. ni* transcripts with the most significant changes by setting a threshold  
230 of a 16-fold change (16-FC) in expression levels. We included only transcripts with  
231 RPKM values of  $\geq 50$  for infected (for upregulated or induced) or uninfected (for  
232 downregulated or suppressed) midgut samples. Further, in the previous study, homologs  
233 of several immune response specific genes (from yeasts, human, *Drosophila*  
234 *melanogaster*, *Bombyx mori*, *S. exigua*, or *S. frugiperda*) were identified in Tnms42 cells  
235 (10). In the current study, we identified homologs of immune gene transcripts (previously  
236 identified in Tnms42 cells) in the *T. ni* insect reference genome (23) using BLAST and  
237 analyzed expression patterns of the immune genes in the *T. ni* midgut across time points  
238 postinfection.

## 239 **RESULTS AND DISCUSSION**

### 240 **Differential expression analysis of *T. ni* transcripts following AcMNPV** 241 **infection**

242 To analyze midgut cell responses to AcMNPV infection, developmentally  
243 synchronized and newly molted 5<sup>th</sup> instar *T. ni* larvae were orally infected with WT-  
244 AcMNPV OBs as described previously (14). Each larva was orally inoculated with  $7 \times 10^4$



245 OBs, a dose determined empirically as the minimum approximate dose resulting in  
246 maximal numbers of infected midgut cells. At selected time points postinfection (0, 6, 12,  
247 18, 24, 36, 48, and 72 h p.i.) midguts were excised and pooled (6 larval midguts per  
248 replicate; 3 replicates per time point) and used for isolation of polyA mRNA and  
249 construction of strand-specific RNA-Seq libraries for Illumina sequencing. From each  
250 replicate sample, we mapped cleaned reads to the *T. ni* reference genome (14,384 genes)  
251 (23). Previously, we also estimated viral reads in each *T. ni* midgut sample by similarly  
252 mapping cleaned reads to the AcMNPV genome (156 genes) (NCBI accession no.  
253 NC\_001623) (14). The percentage of total reads mapping to *T. ni* genes declined  
254 gradually as the infection proceeded (Fig. 1 and Table 1), with the largest decline in *T. ni*  
255 read counts observed between 36 and 72 h p.i., when the percentage of viral reads  
256 simultaneously increased most dramatically (Fig. 1). To identify changes in *T. ni*  
257 transcript levels that resulted from AcMNPV infection, we estimated expression levels of  
258 each *T. ni* gene by calculating RPKM levels (Table S1), and performed differential  
259 expression analysis between AcMNPV-infected and uninfected midgut at each of the  
260 selected time points postinfection. We only considered transcripts with at least a 4-fold  
261 change (4-FC) in transcript levels (between the infected and uninfected samples) as  
262 differentially expressed (DE) transcripts. Using this threshold, we identified a total of  
263 3,372 DE *T. ni* transcripts in the AcMNPV-infected midgut (Fig. 2, and Table S2A-B).  
264 At early time points (0, 6, 12 h p.i.) few DE transcripts were detected (67 DE transcripts  
265 total), while substantial numbers of DE transcripts were identified at later times: 18 h p.i.  
266 (424 transcripts), 24 h p.i. (82 transcripts), 36 h p.i. (414 transcripts), 48 h p.i. (475  
267 transcripts), and 72 h p.i. (1910 transcripts) (Table S2A-B). In general and as expected,  
268 DE transcript numbers increased as the infection proceeded. We further sub-classified DE  
269 transcripts (4-FC and  $p < 0.05$ ) based on the degree of up- or downregulation at each time  
270 point. For these calculations, RPKM values of  $< 1$  were treated as 0. Therefore, *T. ni*  
271 transcripts with RPKM values of  $< 1$  in one of the midgut samples (uninfected or  
272 infected) were classified as either induced or suppressed, respectively, in response to  
273 AcMNPV infection (Table S2-A). For example, a transcript with an RPKM value of  $< 1$   
274 in the uninfected midgut and  $\geq 1$  in the infected midgut at a time point was classified as  
275 induced, while a transcript with an RPKM value of  $\geq 1$  in the uninfected midgut and  $< 1$

276 in the infected midgut was classified as suppressed. If transcript RPKM values were  $\geq 1$   
277 in both infected and uninfected midguts (and they differed by  $\geq 4$ -fold), they were  
278 classified as either upregulated or downregulated (Table S2-B). Using these criteria, we  
279 identified a total of 920 (induced), 1419 (upregulated), 578 (suppressed), and 455  
280 (downregulated) transcripts in the midgut (Fig. 2).

### 281 **Functional annotation of differentially expressed *T. ni* transcripts**

282 To identify possible functions of the DE transcripts affected by the virus infection  
283 in the *T. ni* midgut, we searched for homologs of the *T. ni* transcripts in the non-  
284 redundant database at NCBI using BLASTP. We identified potential orthologs for 60%  
285 of the induced transcripts, 93% of the upregulated transcripts, 70% of the suppressed  
286 transcripts, and 83% of the downregulated transcripts (Table S2A-B, Annotation).  
287 Blast2GO analysis was also performed on DE transcripts to identify major biological  
288 processes that were affected by the virus infection. For a broad overview, we combined  
289 DE transcripts from all time points for each classified DE group (induced, upregulated,  
290 suppressed, and downregulated) and performed Blast2GO analysis on each DE group.  
291 Blast2GO assigned 25 and 26 GO terms to upregulated and induced transcripts,  
292 respectively (Fig. 3A and C), and 11 and 16 GO terms to downregulated and suppressed  
293 transcripts, respectively (Fig. 3B and D). Among the GO terms assigned to upregulated  
294 transcripts, many of the transcripts were associated with cellular protein modification  
295 (11%), response to stress (7%), DNA metabolic process (7%), ribosome biosynthesis  
296 (7%), chromosome organization (6%), signal transduction (5%), and cell differentiation  
297 (4%). Further, GO terms of induced transcripts (under the biological process) were  
298 primarily associated with metabolic processes such as macromolecule metabolic process  
299 (10%), cellular nitrogen compound metabolic process (10%), organic cyclic compound  
300 metabolic process (8%), and heterocycle metabolic process (7.8%). For transcripts that  
301 were downregulated in the AcMNPV infected midgut, GO terms included nitrogen  
302 compound metabolic process (14%), biosynthetic process (12%), single-organism cellular  
303 process (10%), and response to stimulus (7%). Analysis of suppressed transcripts resulted  
304 in GO terms involved in transport (10%), oxidation and reduction (6%), protein  
305 metabolism (6%), and cellular macromolecule metabolic process (5%).

306 ***T. ni* transcripts differentially expressed between and across time points**  
307 **postinfection**

308 Next we examined transcripts that were differentially expressed during several  
309 time periods postinfection (Fig. 4). We first divided time periods into three categories:  
310 Early (12 and 18 h p.i.), Middle (24 and 36 h p.i.) and Late (48 and 72 h p.i.). The earliest  
311 times sampled in our experiments (0 and 6 h p.i.) were not included as we identified very  
312 few DE transcripts at those times (Tables S2A and S2B). We examined induced +  
313 upregulated transcripts from each time point for one set of comparisons (Fig. 4A), and  
314 suppressed + downregulated transcripts for another set of comparisons (Fig. 4B). Venn  
315 diagrams illustrate the numbers of common DE transcripts within each category: Early,  
316 Middle, or Late. For the induced + upregulated DE transcripts, we identified 56, 39, and  
317 272 shared DE transcripts within the Early, Middle, and Late categories, respectively  
318 (Fig. 4A, Table S3). No suppressed + downregulated DE transcripts were identified as  
319 shared between 12 and 18 h p.i., but 18 and 83 DE transcripts in that category were  
320 shared within the Middle and Late periods, respectively (Fig. 4B, Table S3). We also  
321 identified transcripts that were differentially expressed across most time points (from 12  
322 to 72 h p.i.) and they are listed in Table 2. Of these, we identified 11 transcripts that were  
323 induced or upregulated across all times sampled from 12-72 h postinfection. These  
324 transcripts included HMG176 (a REsponse to Pathogen or REPAT gene), atlastin-2, E3  
325 ubiquitin-ligase SIAH1, cyclic GMP-AMP synthase isoform X2, mitochondrial  
326 uncoupling 4, and NF-kappa-B-repressing factor. In the case of suppressed or  
327 downregulated transcripts, 14 transcripts were differentially expressed across time points  
328 from 18-72 h p.i. (Table 2). Examples of these transcripts include serine protease 33,  
329 foylpolylglutamate synthase, Ves G 1 allergen, indole-3-glycerol phosphate synthase,  
330 flippase, and DNA mismatch repair protein (Table 2, Suppressed and Downregulated).  
331 Levels of several of these up- or downregulated transcripts (such as HMG176, atlastin-2,  
332 flippase, and serine protease 33) were radically different in infected midgut cells, with  
333 differences of up to 640-fold. Several of these genes are discussed in more detail in the  
334 section below (see also Table 3).

335 **Cluster analysis of differentially expressed *T. ni* transcripts**

336 We also grouped differentially expressed *T. ni* transcripts identified in this study  
337 using hierarchical cluster analysis. We selected transcripts that were differentially  
338 expressed (between uninfected and infected midguts) by  $\geq 4$ -fold and performed cluster  
339 analysis on the expression levels, then we identified patterns of expression of those  
340 transcripts from the infected midgut. A heatmap generated from the cluster analysis  
341 (Figure 5A) shows the DE transcripts grouped into five clusters. We arbitrarily referred to  
342 the groups as G1, G2, G3, G4, and G5 (Fig 5A), which are comprised of 34, 201, 697,  
343 1,433, and 205 transcripts, respectively, and these transcripts are listed in Table S4. From  
344 the list of DE transcripts grouped by cluster analysis, we performed a separate analysis to  
345 identify transcripts with specific expression patterns (Fig. 5B). We identified transcripts  
346 with four expression patterns: P1, continuously increasing; P2, continuously decreasing;  
347 P3, increasing from 0-18 h p.i. then decreasing; and P4, reaching the highest levels at 12-  
348 18 h p.i. (Fig. 5B). Specific *T. ni* transcripts within each of these groups from Figure 5B  
349 are listed in Table S5. We identified nine transcripts that continuously increased from 0  
350 to 72 h p.i. (Fig. 5B, P1), and 17 transcripts with levels that decreased continuously from  
351 0 to 72 h p.i. (Fig. 5B, P2). Examples of transcripts from pattern P1 included: mismatch  
352 repair endonuclease, intracellular transport US1, N-acetyltransferase, and ribonuclease  
353 H1. Among transcripts from pattern P2 were: larval cuticle 8 protein, a cuticular RR-2  
354 family protein, regucalcin isoform, and sodium potassium transporting ATPase subunit-2.  
355 Further we identified 22 transcripts conforming to pattern P3 (including insect intestinal  
356 lipase, glucose dehydrogenase, prophenoloxidase subunit 1, peroxisome membrane  
357 PMP34, and laminin subunit alpha isoform X3). We found that 96 transcripts were  
358 associated with pattern P4, with examples including genes encoding HMG176 isoform  
359 (REPAT proteins), atlastin-2 like isoform 1, cecropin B, prophenoloxidase 2, lipase 3,  
360 cytochrome P450, ecdysone oxidase, aldehyde oxidase, serine proteases 31, and an  
361 ankyrin repeat domain protein (Table S5).

362 ***T.ni* genes most significantly affected by AcMNPV infection**

363 ***A. Induced and upregulated T. ni transcripts***

364 From a comprehensive list of differentially expressed *T. ni* transcripts (Table S2A  
365 and Table S2B), we identified the most significantly affected of the differentially

366 expressed transcripts, based on the RPKM values (minimum value of 50 RPKM for either  
367 uninfected or infected cells), or a change of at least 16-fold between uninfected and  
368 infected midgut cells. We subdivided the DE transcript groups into the following  
369 categories: induced, upregulated, suppressed, and downregulated. Using these thresholds  
370 and groupings, we identified 63 transcripts with large differences in transcript levels  
371 (Table 3). Below, we highlight several of the DE genes that were of particular interest.

#### 372 **i. HMG176 (REPAT)**

373 *T. ni* orthologs of a gene named *HMG176* (29) were significantly upregulated or  
374 induced and highly expressed in response to AcMNPV infection in the midgut. *T. ni*  
375 orthologs of HMG176 were either substantially induced or upregulated in the midgut  
376 from 12 to 72 h p.i. (Tables 3 and S7). HMG176 belongs to a gene family encoding small  
377 proteins (11-17 kDa) called REPAT (REsponse to Pathogens) proteins, that were initially  
378 identified from *Spodoptera exigua* larval midgut challenged with either *Bacillus*  
379 *thuringiensis* toxin Cry1Ca or with baculovirus AcMNPV. REPAT proteins were later  
380 identified in other lepidopteran species (30-34) (See also “REPAT genes” below). The  
381 *HMG176* gene of *Helicoverpa armigera* is normally expressed at high levels in midguts  
382 of molting larvae and the protein is found in the basal lamina of the midgut of molting  
383 and feeding larvae, but not in larvae committed to metamorphosis (29, 35). The precise  
384 function of HMG176 is not known. In contrast to the relatively early induction of  
385 HMG176 observed in the AcMNPV-infected *T. ni* larval midgut, some REPAT genes  
386 appear to be induced much later after AcMNPV infection of *S. exigua* larvae, with  
387 induction of some detected after approximately 72 h p.i. (30). Because the *H. armigera*  
388 HMG176 gene is upregulated during molting in uninfected larvae (29), it is possible that  
389 its dramatic upregulation in response to AcMNPV infection of the midgut may represent  
390 the activation of some genes associated with the molting process, perhaps as a defensive  
391 response by the host, or as a viral-induced mechanism to facilitate dissemination of the  
392 virus in the infected host.

#### 393 **ii. Atlastin**

394 Another highly upregulated *T. ni* transcript is an atlastin-2 ortholog  
395 (Tni05G05890). The *T. ni* atlastin-2 ortholog was dramatically upregulated in the midgut  
396 following oral infection with AcMNPV. Transcript levels were increased (9-111 fold) at

397 various times from 12-72 h p.i., and the highest degree of upregulation occurred at early  
398 times in the infection: 12 h p.i. (81 fold) and 18 h p.i. (111 fold) (Tables 3 and S2B).  
399 Atlastin proteins are close homologs to guanylate-binding proteins (GBPs), and are in the  
400 dynamin superfamily of proteins (36). The GBPs include MX proteins, which are well-  
401 studied interferon-induced antiviral proteins in vertebrates (37). Functionally, atlastins  
402 are involved in endoplasmic reticulum (ER) network formation and fusion, and vesicle  
403 trafficking in the ER (38, 39). Recently, a member of the atlastin protein family (atlastin-  
404 n) was shown to provide resistance to baculovirus infection in *Bombyx mori* (40). When  
405 the atlastin-n protein was overexpressed in *B. mori* BmN-SWU1 cells or in larvae, virus  
406 production was inhibited, suggesting an antiviral role against BmNPV. The dramatic  
407 upregulation of the atlastin-2 ortholog in AcMNPV-infected *T. ni* midgut may represent  
408 an induced antiviral response to AcMNPV infection, although more direct studies will be  
409 required to understand its role following oral infection.

### 410 **iii. CPH43**

411 We also identified a *T. ni* ortholog (Tni08G03170) of the hypothetical cuticle  
412 protein CPH43 gene of *B. mori*, as one of the most significantly upregulated transcripts in  
413 the infected *T. ni* midgut. The extensively studied *B. mori* genome contains  
414 approximately 255 cuticular protein genes, of which 44 were identified as hypothetical  
415 cuticular protein (CPH) genes based on indirect evidence (41). In the current study,  
416 CPH43 transcript levels were upregulated in the AcMNPV-infected *T. ni* midgut by  
417 approximately 18-157 fold (18-72 h p.i.) with extremely high transcript levels at 72 h p.i.  
418 (Table 3). Similar to the current study, transcript levels of CPH43 were also shown to  
419 increase in *B. mori* midgut tissues following pathogenic infection with *Bombyx mori*  
420 cytoplasmic polyhedrosis virus (BmCPV), and CPH43 upregulation was speculated to  
421 play a role in remodeling of the midgut epithelium (42).

### 422 **iii. cGAS orthologs**

423 We observed upregulation of transcripts of several *T. ni* isoforms of cyclic  
424 guanosine monophosphate - adenosine monophosphate synthase (cyclic GMP-AMP  
425 synthase or cGAS) genes (Tni22G05470, Tni22G05480, and Tni22G05460), in the  
426 infected *T. ni* midgut. Among the three substantially upregulated *T. ni* cGAS transcripts,  
427 the most highly expressed and most dramatically upregulated was Tni22G05470, which

428 was upregulated by as much as 59- and 47-fold (12 and 18 h p.i.) and was present at high  
429 RPKM levels (approximately 150 and 82) at relatively early times post infection (12 and  
430 18 h p.i.) (Tables 3 and S2B). The other two *T. ni* cGAS transcripts were also upregulated  
431 at earlier times, but were most dramatically upregulated [by as much as 10-fold  
432 (Tni22G05460) and 33-fold (Tni22G05480)] at later times post infection (72 h p.i.).  
433 cGAS is a cytosolic DNA sensor that binds to foreign DNA such as viral pathogens and  
434 induces activation of the type I interferon pathway and NF- $\kappa$ B signaling, to defend  
435 against infections by viruses such as retroviruses and herpesviruses (43, 44). While  
436 insects do not have an interferon response pathway, NF- $\kappa$ B signaling is known to activate  
437 innate immune responses. The upregulation of cGAS transcripts in the midgut at early  
438 time points in the infection suggests that AcMNPV infection may result in a systemic  
439 activation of immune responses throughout the midgut tissue. Future studies to examine  
440 the specific mechanistic role(s) of cGAS in infected and bystander cells could yield  
441 important details on how cells and the midgut tissue overall react to viral infection in the  
442 midgut.

#### 443 **iv. Mucin-5AC-like gene**

444 Further, among the dramatically induced or upregulated transcripts was a mucin-  
445 like gene, identified as the *mucin-5AC-like* gene. We observed upregulation of mucin-  
446 5AC-like transcripts (Tni03G01880) at most times postinfection in the midgut (Table 3)  
447 with upregulation ranging from approximately 6.5-58 fold at times from 18-72 h p.i. and  
448 very high RPKM values ranging from approximately 310 to 1524. The localization and  
449 function of the mucin-5AC-like protein are unknown. Previously an insect intestinal  
450 mucin (IIM) (Tni02G02610) was identified as a major component of the peritrophic  
451 membrane (PM), the semipermeable structure that lines the midgut and serves as a  
452 structural barrier to viral infection (45-47). The IIM transcripts were present at extremely  
453 high levels (RPKM of 1050-7430) and were modestly upregulated (1.4-3.6 fold) over the  
454 72 h of infection of the midgut. In some (probably most) baculovirus infections, viral-  
455 encoded proteases (such as the enhancin protein) target IIM for degradation, resulting in  
456 loss of PM integrity and thereby enhancing virus infection (47). The upregulation of  
457 mucin transcript levels observed in the *T. ni* midgut as a response to infection (Table 3)  
458 may represent a general response to PM disruption, and a defense mechanism against

459 virus-mediated PM degradation. In a prior study of the midgut of another noctuid species  
460 (*Spodoptera frugiperda*) it was found that degradation of the PM by a maize cysteine  
461 protease resulted in upregulation and increased abundance of IIM mRNAs (48, 49).

462 Other transcripts of note that were highly upregulated in the midgut in response to  
463 oral AcMNPV infection were orthologs of an E3 ubiquitin ligase SIAH (Tni17G02340),  
464 a Zinc finger CCHC (Tni12G01690), a peroxidase (isoform X2, Tni22G00330), and a  
465 chymotrypsin-like serine protease (Tni18G02870) (Table 3).

## 466 ***B. Suppressed and downregulated transcripts***

467 We also identified a significant number of *T. ni* transcripts that were either  
468 suppressed or downregulated (at least 16-fold) in the midgut in response to AcMNPV  
469 infection. Some of the most strikingly suppressed or downregulated transcripts included  
470 orthologs of flippase, serine proteases, cytochrome P450, calcium binding protein P, and  
471 dehydroecdysone 3 alpha-reductase (Table 3).

### 472 **i. Flippase**

473 Flippases are transmembrane proteins that mediate lipid transport between leaflets  
474 of a lipid bilayer and they may be associated with formation of vesicles (50, 51). We  
475 found that flippase transcripts, which are highly abundant in uninfected control midgut  
476 tissue (RPKM values were as high as 2246), were dramatically downregulated from at  
477 least 18 h p.i.. How reduced flippase might affect the infection or the defense of the host  
478 is unclear.

### 479 **ii. Serine Protease 33**

480 The transcript levels of a chymotrypsin-like serine protease (Serine protease 33,  
481 Tni18G00450) were also significantly suppressed by AcMNPV infection (Table 3, and  
482 S2A). Serine proteases play diverse roles in physiological processes, notably digestion in  
483 the midgut, apoptosis, development, and immunity (52, 53).

### 484 **iii. Cytochrome P450**

485 Cytochrome P450 proteins are enzymes that are involved in biosynthesis and  
486 metabolism (including detoxification) of a large variety of molecules. They are encoded  
487 by a large gene family and the number of cytochrome P450 genes varies widely among  
488 lepidopteran species: ranging from approximately 78 to over 100 (54). While the P450  
489 genes of *T. ni* have not been studied in detail, the automated annotation of the *T. ni*



490 genome (23) identified approximately 118 genes as potential P450 genes. (Note: Some  
491 of these may represent fragments or pseudogenes as a recent study identified at least 18  
492 pseudogenes in another lepidopteran genome (54).) While some *T. ni* cytochrome P450  
493 orthologs were upregulated in response to AcMNPV infection, we observed suppression  
494 or downregulation of a substantial number of cytochrome P450 orthologs (Tni16G04090,  
495 Tni16G04370, Tni16G04420, Tni16G04430, Tni15G02520, Tni22G05060,  
496 Tni09G03330, Tni10G04110, Tni15G06560, Tni15G06570, Tni15G06610,  
497 Tni21G02490, Tni21G02470, Tni21G02450, Tni21G03890, Tni21G03910,  
498 Tni17G05560, Tni21G02490, Tni21G01940, Tni17G05570) in the midgut, following  
499 AcMNPV infection (Tables S2A and S2B). The downregulation of P450 genes following  
500 baculovirus infection was also observed in *H. zea* (55), suggesting that baculovirus-  
501 mediated suppression of P450s could play an important role in suppressing metabolic  
502 pathways in the insect host during the infection process.

#### 503 **iv. Other genes**

504 Following virus infection, transcripts encoding several digestive enzymes,  
505 including lipase 3 and carboxypeptidase M, were significantly lower in infected midgut  
506 samples (Table 3). Digestive enzymes have been shown to have antiviral activities  
507 against viral pathogens. In contrast to observations here, baculovirus-infected *B. mori*,  
508 midgut-specific digestive enzymes including lipase-1 and serine proteases-2 were  
509 induced by virus infection, and these enzymes were speculated to inactivate ODV in the  
510 midgut (56, 57). We also observed significant downregulation of transcripts potentially  
511 involved in regulation of the ecdysone signaling pathway, including 3-dehydroecdysone  
512 3alpha-reductase and ecdysone oxidase (Table 3).

#### 513 **C. Antiviral, immune, and stress response genes**

514 After identifying DE transcripts that were most significantly affected by virus  
515 infection in the *T. ni* midgut, we analyzed expression patterns of transcripts associated  
516 with stress response and immune pathways in the *T. ni* midgut. Orthologs of a variety of  
517 immune response genes from yeasts, human, *Drosophila melanogaster*, *B. mori*, *S.*  
518 *exigua*, or *S. frugiperda* were identified in a prior study of a *T. ni* (Tnms42 cell line)  
519 transcriptome (10), and the corresponding genes and transcripts were identified in the  
520 recently assembled *T. ni* genome (23), and used for analysis in this study. Expression

521 patterns of immune response and stress response-related genes were compared in  
522 uninfected and infected *T. ni* midgut (Fig. S1, A-L) and RPKM values and fold changes  
523 are shown in Table S6. Transcripts with RPKM values less than 5 across all times  
524 postinfection in both uninfected and infected midguts were not included in this analysis.

#### 525 **i. Small interfering RNAs**

526 RNA interference (RNAi) is an antiviral response in invertebrates that detects  
527 double-stranded RNAs. dsRNA is diced into small interfering RNAs (siRNAs) which  
528 interact with an RNAase III enzyme and the resulting RNA-induced silencing complex  
529 (RISC) directs the cleavage of complementary RNAs in a sequence-specific manner. In  
530 insects, RNAi is a major antiviral defense mechanism against invading RNA and DNA  
531 viruses (58, 59). In a prior study of AcMNPV infected Tnms42 cells, downregulation of  
532 RNAi pathway associated genes such as Argonaute-2 (Ago-2) and Dicer-2 (Dcr-2) was  
533 described (10). In the current study of responses to oral AcMNPV infection in the *T. ni*  
534 midgut, we found that transcript abundance of Ago-2, Dcr-2, R3D1, and R2D2 increased  
535 slightly (by >2-fold) in the infected midgut by 12 h p.i., and substantially (>5-fold) by 72  
536 h p.i.. (Fig. S1A, and Table S6). For Dcr-2 and R2D2, expression levels in the infected  
537 midgut were even higher, >13-fold and >12-fold, respectively (Fig. S1,A and Table S6).  
538 Dcr-2 is an RNase III enzyme that interacts with R2D2 and binds to and cleaves dsRNA  
539 into siRNAs. The siRNAs are loaded into the Ago-2 containing RISC which then cleaves  
540 the targeted RNA (58). The observed upregulation of major components of RNAi  
541 pathways including Dcr-2, R2D2, and Ago-2 in the AcMNPV infected midgut (beginning  
542 around 12-18 h p.i.), indicates that AcMNPV infection induces components of the RNAi  
543 response in the *T. ni* midgut.

#### 544 **ii. REPAT genes**

545 REPAT (REsponse to PATHogens) genes were initially identified as genes that  
546 were upregulated in the *Spodoptera exigua* midgut, in response to bacterial (*B.*  
547 *thuringiensis*) or viral (AcMNPV) challenge, and REPAT genes have been identified in  
548 other insect species (30-34). REPAT genes represent a large gene family in *S. exigua* (46  
549 REPAT genes identified) (33) and closely related species also have numerous REPAT  
550 genes (35 in *S. frugiperda*; 21 in *S. litura*; 13 in *S. littoralis*; and 8 in *M. configurata*). Of  
551 the 8 REPAT genes in *M. configurata*, 6 are isoforms of HMG176, which we identified

552 as one of the most highly upregulated genes in the AcMNPV-infected *T. ni* midgut (see  
553 above). For some other insect species (*M. brassicae*, *B. mori*, *H. armigera*, and *D.*  
554 *melanogaster*), only a single REPAT gene has been identified (33, 34). Thus far, the  
555 precise biochemical or molecular roles of REPAT proteins have not been identified,  
556 although they appear to play a variety of roles. While HMG176 is found in the basal  
557 lamina of the midgut (29, 35), another REPAT protein (MBF2 from *B. mori*) was  
558 identified as a transcriptional co-activator (33, 60). The single identified *Drosophila*  
559 REPAT gene (*CG13323*) was reported to be upregulated in response to infection with  
560 bacteria, fungi, and sigma virus (61), but the function of the encoded protein is unknown.  
561 The wide diversity in sequence and expression profiles among REPAT proteins suggest  
562 that they may serve a variety of functions, perhaps as a group of stress-response proteins  
563 with antiviral or antimicrobial functions. Interestingly, while overexpression of the *S.*  
564 *exigua* REPAT 1 protein from a recombinant baculovirus had no apparent effect on virus  
565 amplification in cultured Sf21 cells, injections of BV from the REPAT 1-expressing  
566 baculovirus into *S. exigua* larvae resulted in delayed mortality when compared with a  
567 control virus (30), suggesting a possible antiviral role.

568 A blast search of the *T. ni* genome (23) revealed at least 12 REPAT genes (Table  
569 S7). Three of the *T. ni* REPAT genes (Tni20G02130, Tni20G02140, and Tni20G02110)  
570 encode isoforms of HMG176 and were highly induced or upregulated upon oral infection  
571 with AcMNPV (Tables 3, S2A, and S2B). One of the HMG176 transcripts  
572 (Tni20G02130) was induced dramatically in the midgut at 12-48 h p.i. (with an RPKM  
573 value of >90 at 12 h p.i.) and upregulated by approximately 80 fold at 72 h p.i. (Tables 3,  
574 S2A, and S2B). Based on sequence similarities of the 12 identified REPAT genes in the  
575 *T. ni* genome (by comparison with REPAT genes from multiple insect orders (33)), we  
576 assigned the *T. ni* REPAT genes to phylogenetic groupings identified among the REPAT  
577 genes. Of the 12 *T. ni* REPAT genes, 6 are assigned to Group III, 2 to Group V, and 1  
578 each to Groups I, IV, and VI. One gene (Tni28G00730) was closely similar to a REPAT  
579 gene (REPAT27) that was not assigned to one of the 6 phylogenetic groupings (Table  
580 S7).

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### iii. Melanization

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Melanization is a component of the insect innate immune response that is involved in encapsulation of invading pathogens and parasites, and also associated with wound repair. Key components in the process of melanization are serine proteases (SPs) that regulate cleavage of prophenoloxidase (proPO) through a serine protease cascade, to generate active phenoloxidase (53, 62). Phenoloxidase mediates the production of melanin, which traps or encapsulates pathogens and parasites, and may close wounds. Serine protease inhibitors regulate the activity of this cascade. We noted reduced levels of two transcripts encoding prophenoloxidase components by 72 h p.i. (Tni16G00190 and Tni16G03510) and one serine protease inhibitor (Tni25G00940); but substantial increases were observed in the transcript levels of three serine protease inhibitors (Tni15G03410, Tni03G03940, and Tni22G04260) (Fig. S1B and Table S6, Melanization). Overall, these varied changes in transcript levels suggest that baculovirus AcMNPV infection may modulate melanization in the *T. ni* midgut. Downregulation of melanization was previously observed in *S. exigua* larvae (63) and *H. armigera* following baculovirus infection (64).

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### iii. Apoptosis

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As an immune response, apoptosis or programmed cell death can prevent systemic viral infection by detecting and eliminating infected cells. The triggering and regulation of apoptosis is tightly controlled by a cascade of initiator and effector proteases known as caspases. While baculovirus infections can induce apoptosis in insect cells, baculoviruses are able to block the anti-viral apoptotic response of the host (65-67). Baculoviruses encode protein inhibitors of apoptosis such as P35 and IAP (Inhibitor of Apoptosis), which may inhibit the initiation or execution of the apoptotic cascade (67-70). We examined the effects of WT AcMNPV infection on the midgut expression patterns of apoptosis-related host genes including caspase genes, cytochrome-c, p53, reaper, and others (Fig. S1C-D and Table S6). We annotated caspase genes according to the orthologs identified in *B. mori*, *D. melanogaster*, *S. exigua*, and *S. frugiperda*, similar to an earlier study of Tnms42 cells (10). Notable among the apoptosis-associated genes, the transcript levels of 8 genes were substantially upregulated (>4 fold). These upregulated genes include Fas-associated protein with death domain (FADD,

612 Tni22G02980), caspase-4 (Tni20G00950), caspase-6-Dredd (Tni10G01580), apoptosis  
613 inducing factor (*aif*) (Tni14G00370), p53 (Tni16G04470), caspase-1 (Tni23G04710), and  
614 Htra2 (Tni19G03780 and Tni19G03770) (Table S6). In comparison to these observations  
615 in the *T. ni* midgut, AcMNPV infection in the Tnms42 cell line resulted in decreased  
616 caspase transcript levels in Tnms42 cells (10). It is unclear why midgut cells respond  
617 differently than cultured Tnms42 cells in this regard, but such differences could reflect  
618 functional specialization of the midgut cells as a first barrier to infection in the animal.

#### 619 **iv. Heat shock proteins**

620 Heat shock proteins (HSPs) are stress-response proteins that are induced  
621 following biotic and abiotic stress (71). In *Drosophila*, loss of heat shock transcription  
622 factor reduced resistance to infection by several viruses, suggesting the importance of  
623 heat shock proteins in anti-viral defense (72). To examine the effects of AcMNPV  
624 infection on HSPs, we analyzed expression patterns of HSPs in the *T. ni* midgut after  
625 challenge with AcMNPV OBs. Transcript levels of approximately 30% of the HSPs  
626 examined increased by >4 fold in AcMNPV-infected midguts, compared with uninfected  
627 midguts (Table S6 and Fig. S1E-F). However, transcript levels of seven HSP genes were  
628 dramatically (>8 fold) increased at certain times post infection, and these genes included  
629 heat shock binding protein 70 (Tni29G01390), hsp19.5 (Tni05G00200), hsp20.8  
630 (Tni27G00120), hsp70 (Tni08G01440), small heat shock protein 19.7 (Tni27G00160),  
631 and small heat shock proteins (Tni05G06500, Tni27G00130, and Tni05G06520) (Table  
632 S6). In prior studies of AcMNPV-infected Sf9 cells, an increase in hsp70 levels was  
633 observed following infection, and inhibition of the heat shock response resulted in  
634 decreased viral DNA replication and reduced production of infectious BV (73). In  
635 addition, hsp70 was dramatically upregulated in the midgut of *Helicoverpa zea* larvae  
636 that were orally infected with *Helicoverpa zea* single nucleopolyhedrovirus (HzSNPV)  
637 (74). Interestingly, HSP70 was found in gradient purified virions of both BV and ODV of  
638 BmNPV, and in both envelope and capsid fractions of BV (75). Thus, while the precise  
639 role(s) of hsp70 in baculovirus infections is not clear, prior studies have established the  
640 importance of hsp70 during various steps of infection by other viruses (76, 77) and, also  
641 in association with antiviral responses (78). In addition to hsp70, the other heat shock

642 proteins that were substantially upregulated in response to midgut infection may also play  
643 important roles in antiviral and/or antimicrobial defenses in the midgut.

#### 644 **v. Reactive oxygen species (ROS)**

645 ROS are chemically reactive molecules containing oxygen, that are normal  
646 metabolic products that may be increased during cellular stress, such as infections by  
647 pathogens. ROS directly kill pathogens in the phagolysosomes of innate immune cells  
648 and also initiate innate immune signaling (79). Baculovirus infection induces oxidative  
649 stress in infected cells (80). For most ROS related genes examined in this study, we did  
650 not observe substantial differences in transcript levels between the infected and  
651 uninfected midguts (Table S6 and Fig. S1, G). However, genes such as catalase,  
652 superoxide dismutase (SOD), and cytochrome P450 showed moderate increases in  
653 transcript levels at several times from 18-48 h p.i. (Fig. S1, G).

#### 654 **vi. Innate immune pathways**

655 To avoid infection by invading bacteria and fungi, insect defenses typically involve  
656 innate immunity pathways that include Toll, JAK/STAT, JNK, and IMD. Components of  
657 these pathways have also been implicated in antiviral responses but their roles in antiviral  
658 defenses remain unclear and poorly defined (81-83). Immune genes associated with these  
659 pathways are typically involved in pathogen recognition, signaling, and production of  
660 antimicrobial peptides that degrade and disrupt pathogens. To examine the effects of  
661 AcMNPV infection on midgut expression of these pathways, we selected representative  
662 genes associated with NF- $\kappa$ B-I $\kappa$ B, JAK/STAT, JNK, IMD, and Toll signaling pathways,  
663 similar to an earlier study (10), and analyzed the expression patterns of each gene in the  
664 uninfected and AcMNPV-infected *T. ni* midgut. In general, expression of genes  
665 associated with NF $\kappa$ B-I $\kappa$ B pathway increased following virus infection (Table S6;  
666 NF $\kappa$ B-I $\kappa$ B), with transcripts of 4 of the 5 genes examined increasing by approximately  
667 3-6 fold by 72 h p.i. (Fig. S1,H; Table S6; Tni12G04870, Tni09G01890, Tni12G00240,  
668 Tni19G05340). Among the members of JAK/STAT pathway (Fig. S1,I), transcript levels  
669 of some genes were modestly upregulated after 12-18 h p.i., while others did not appear  
670 to change substantially. The more dramatically upregulated of these JAK/STAT pathway  
671 genes were Domeless (Tni09G04150), MEKK1 (Tni06G03240), P38B (Tni03G00270),  
672 and STAT92E (Tni29G00500), which were upregulated by 4-15 fold in the infected

673 midgut compared to uninfected midgut. Of the 9 JNK pathway associated genes  
674 examined, most transcript levels were moderately upregulated (2-4 fold) as infection  
675 progressed in the midgut (Fig. S1,J; Table S6, JNK). Among the 38 IMD and Toll  
676 pathway genes examined, transcript levels remained mostly unchanged or were  
677 moderately increased (Table S6, IMD and Toll). However AcMNPV infection in the  
678 midgut resulted in more dramatic increases for approximately 10 IMD and Toll pathway  
679 genes at various times post infection. These more dramatically upregulated genes were  
680 upregulated by approximately 4-13 fold and included Toll 7 (Tni23G01350), CG13422  
681 (Tni07G01830), dorsal isoforms (Tni09G01890 and Tni12G00240), GGBP3 isoforms  
682 (Tni11G00720 and Tni11G00760), PGRPESA (Tni09G00600 and Tni16G02760),  
683 SERPINE27A (Tni07G01400), and TRAF2 (Tni13G00780) (Table S6, IMD and Toll;  
684 Fig. S1, K and L). The moderate upregulation of these genes in the infected midgut may  
685 represent a more generalized response to infection in the midgut, but could also represent  
686 direct antiviral responses. Additional and more specific studies that specifically target  
687 these genes and their gene products will be required to better understand innate immune  
688 responses to baculovirus infection in the midgut.

## 689 **SUMMARY**

690 Our recent studies of AcMNPV transcription in the midgut and cell line (11, 14)  
691 revealed substantial differences in transcription of certain viral genes, and suggest a  
692 midgut-specific program of viral gene expression. The primary stage of baculovirus  
693 infection in the lepidopteran midgut is critical for success of the virus and the responses  
694 by the cells of the midgut include important host defensive reactions to infection. The  
695 transcriptional responses by the host midgut must certainly encompass a variety of  
696 strategies to prevent productive viral replication in the midgut and transmission of the  
697 infection to other tissues of the insect host. Because the primary stage of infection by  
698 baculoviruses typically involves direct infection and replication in only a subset of the  
699 cells of the midgut as a whole, the results reported in this study represent responses by  
700 both the directly infected cells, and uninfected bystander cells of the midgut. Thus many  
701 of the responses observed here may represent responses to signaling from infected cells,  
702 as well as to viral disruption of the normal midgut physiological status. It is also likely  
703 that the virus may direct signaling that enhances viral replication and transmission of the

704 virus from the midgut into the tissues of the hemocoel. The biology of the midgut and  
705 midgut infection are enormously complex, and it is critically important to understand  
706 how the midgut globally responds to viral infection, as well as how viruses potentially  
707 manipulate the midgut and enhance their transmission. The global expression data  
708 provided here represent an early step toward understanding these complex interactions.  
709 As the transcriptome provides only a snapshot of the effects of viral infection on host  
710 transcript levels, future studies will need to examine a variety of additional factors,  
711 including topics such as virus-induced changes in translation efficiency, post-translational  
712 modifications, and protein turnover. Combined, these and other studies should aid in the  
713 utilization of beneficial viruses such as baculoviruses in applications in biological control  
714 of important pest insects. In addition, a more detailed understanding of virus-cell  
715 interactions in the midgut may also be useful for developing new strategies for inhibiting  
716 the insect transmission of problematic viruses.

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725



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968 **FIGURE LEGENDS**

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**Figure 1**

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*T. ni* and AcMNPV mRNA reads as a percentage of total mRNA reads at each time point from 0 to 72 h p.i.. The percentages of AcMNPV mRNA reads in the infected midgut are indicated by the dashed line (right Y-axis) and the percentage of *T. ni* mRNA reads in the infected midgut are shown as a solid line (left Y-axis). *T. ni* reads were identified by mapping cleaned reads to the *T.ni* genome, and AcMNPV reads were identified by mapping cleaned reads to the AcMNPV genome.

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**Figure 2**

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Numbers of differentially expressed (DE) *T. ni* transcripts identified in the midgut following AcMNPV infection. Transcriptomes of control (uninfected) and infected *T. ni* midguts were compared and differentially expressed transcripts with at least a 4-fold change and *P*-values <0.05 were identified at each time point (0, 6, 12, 18, 24, 36, 48, and 72 h p.i.). Based on the degree of up- or downregulation at each time point, DE transcripts were further classified as induced, upregulated, suppressed, or downregulated transcripts. *T. ni* transcripts with RPKM values of  $\leq 1$  in one of the midgut samples (uninfected or infected) were classified as either induced or suppressed transcripts, respectively. If transcript RPKM values were  $\geq 1$  in both infected and uninfected midguts (and they differed by  $\geq 4$ -fold), they were classified as either upregulated or downregulated transcripts.

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**Figure 3**

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Gene ontology (GO) terms assigned to *T. ni* transcripts that were either upregulated (A), downregulated (B), induced (C), or suppressed (D) in the midgut following AcMNPV infection. Upregulated, downregulated, induced, or suppressed transcripts from all the time points were combined to run Blast2go analysis. GO terms were assigned to the DE transcripts under biological process

997 with an *E*-value hit filter of  $10^{-6}$  and an annotation cutoff of 55. Also, the GO  
998 terms were classified at GO level 4.

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#### **Figure 4**

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Venn diagrams demonstrating numbers of differentially expressed (DE) *T. ni* transcripts that were identified (at each time, and commonly) across the indicated times postinfection, following oral infection with AcMNPV OBs. For Venn diagram construction, we grouped time points into three major classes: Early (0, 6, 12, 18 h p.i.), Middle (24, 36 h p.i.) and Late (48 and 72 h p.i.). Within the early group, time points: 0 and 6 h p.i. contained very few DE *T. ni* transcripts and those transcripts were not common among other time points. Therefore 0 and 6 h p.i. are not shown in the figure. Each circle represents the combined number of differentially expressed genes (induced and upregulated transcripts (A) and suppressed and downregulated transcripts (B)) for the indicated time point (for example-12 h, 18 h, etc.) post infection.

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#### **Figure 5**

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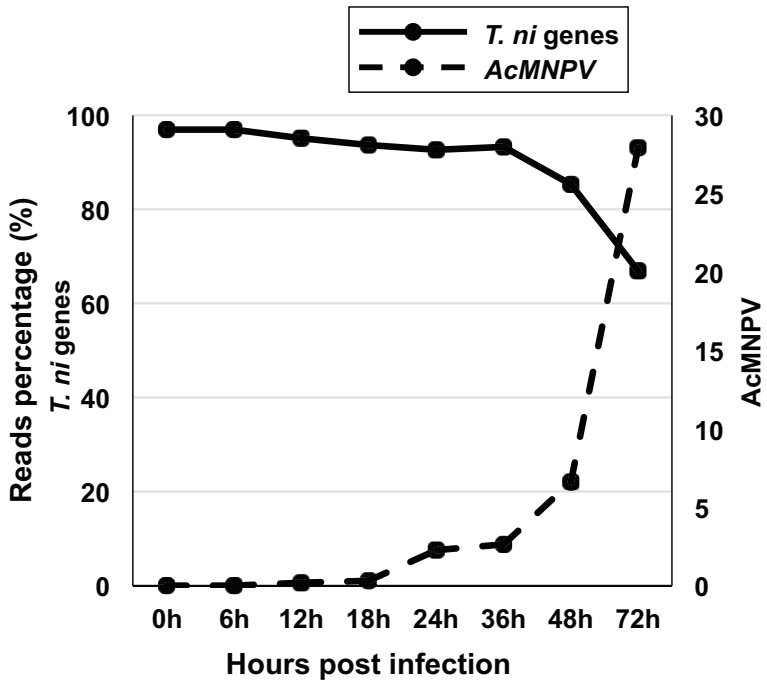
1026

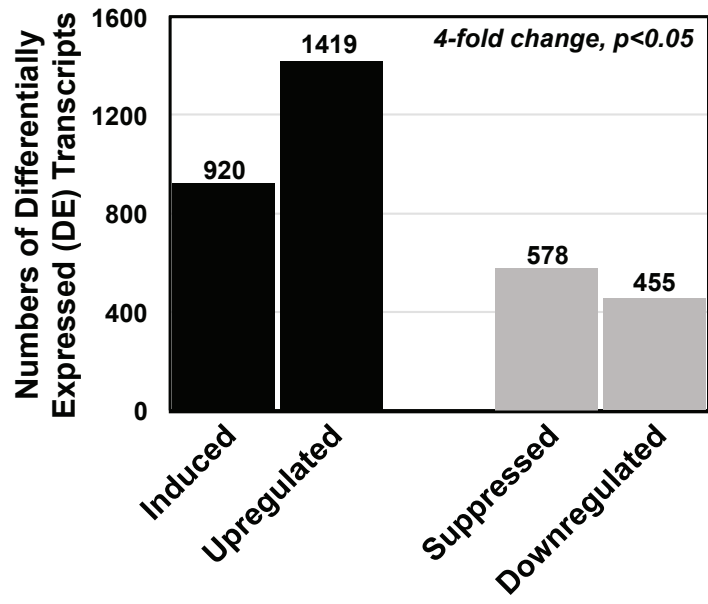
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Patterns of differentially expressed (DE) *T. ni* genes following AcMNPV infection of the midgut. A. A cluster analysis of DE *T. ni* midgut transcripts is shown as a heatmap that was generated by performing hierarchical cluster analysis on normalized read counts ( $\log_2$  transformed) of DE transcripts from infected midgut samples from 0 to 72 h p.i.. Normalized read counts from each biological replicate were averaged, then the averaged normalized read counts of 0 h p.i. were subtracted from each time point's normalized read counts. Euclidean distance metrics were then applied to the normalized read counts using R software. In the illustrated heatmap, the expression levels of each gene (rows) at each time point (columns) are depicted with a color scale in which green represents low level expression and red represents high level expression. The cluster analysis grouped DE transcripts into five clusters based on their expression levels. The groups were arbitrarily assigned the names G1, G2, G3, G4, and G5. B. From the list of *T. ni* transcripts grouped by the cluster analysis, transcripts

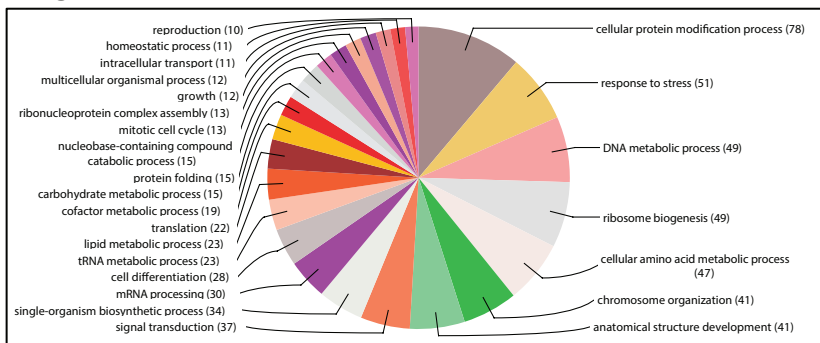


1028 with specific patterns (as indicated) were identified using an R script and named  
1029 P1-P4.  
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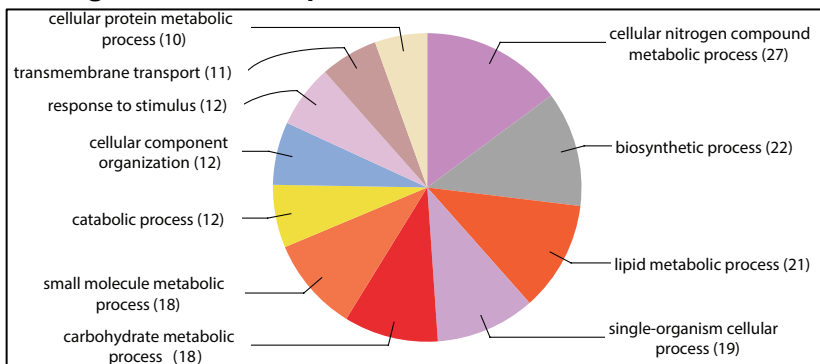




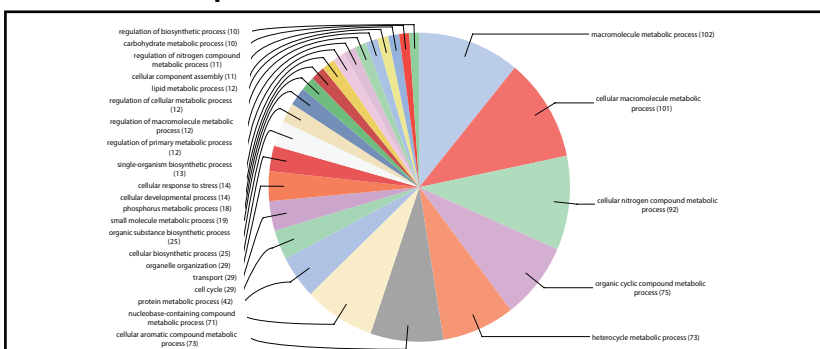
## A. Upregulated transcripts



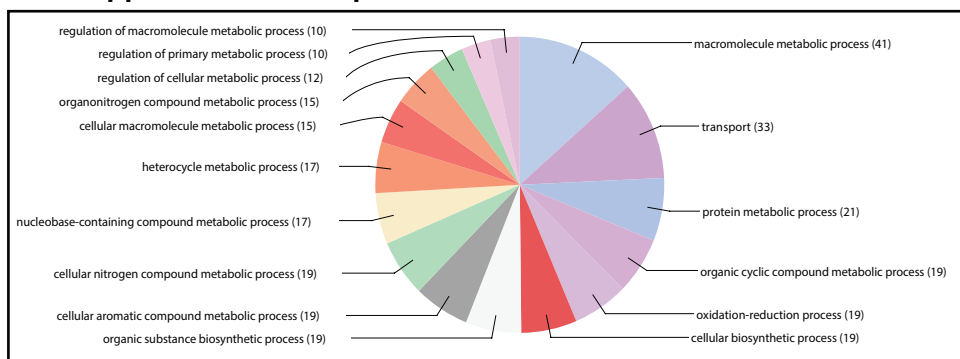
## B. Downregulated transcripts

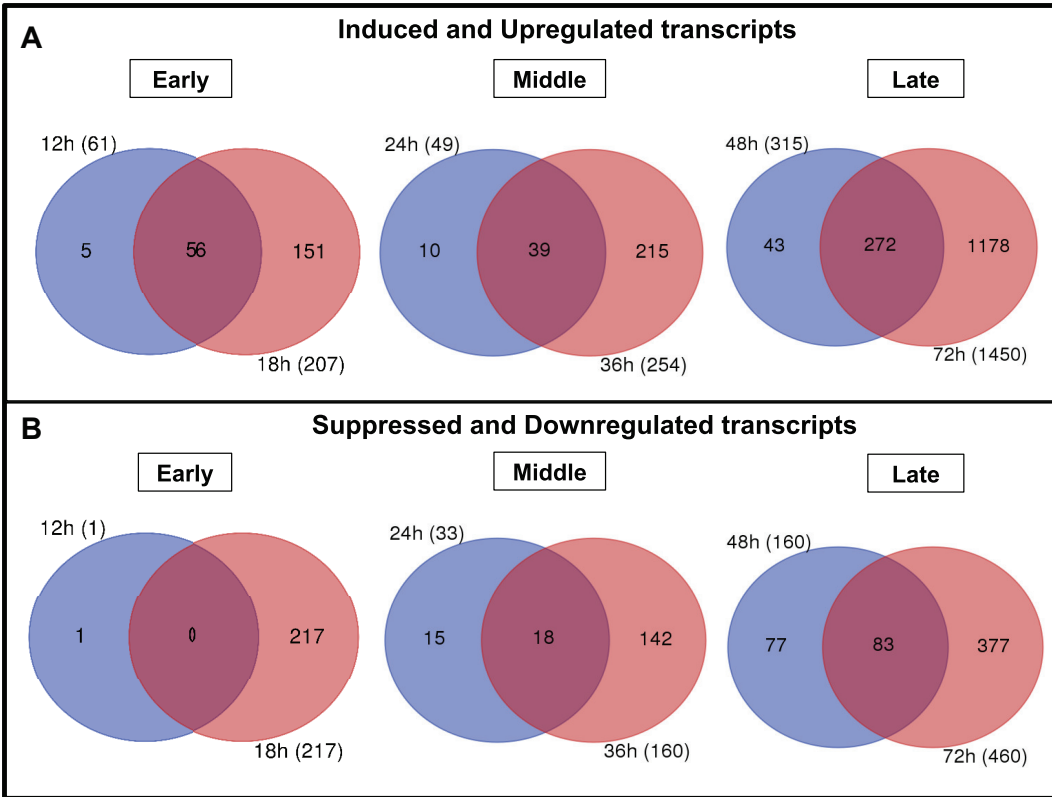


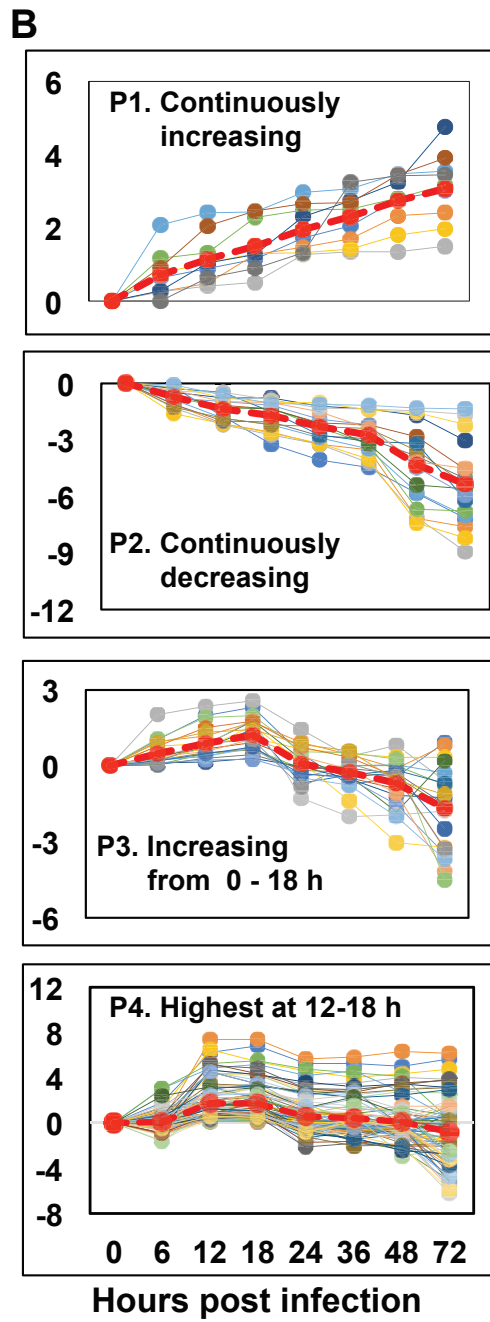
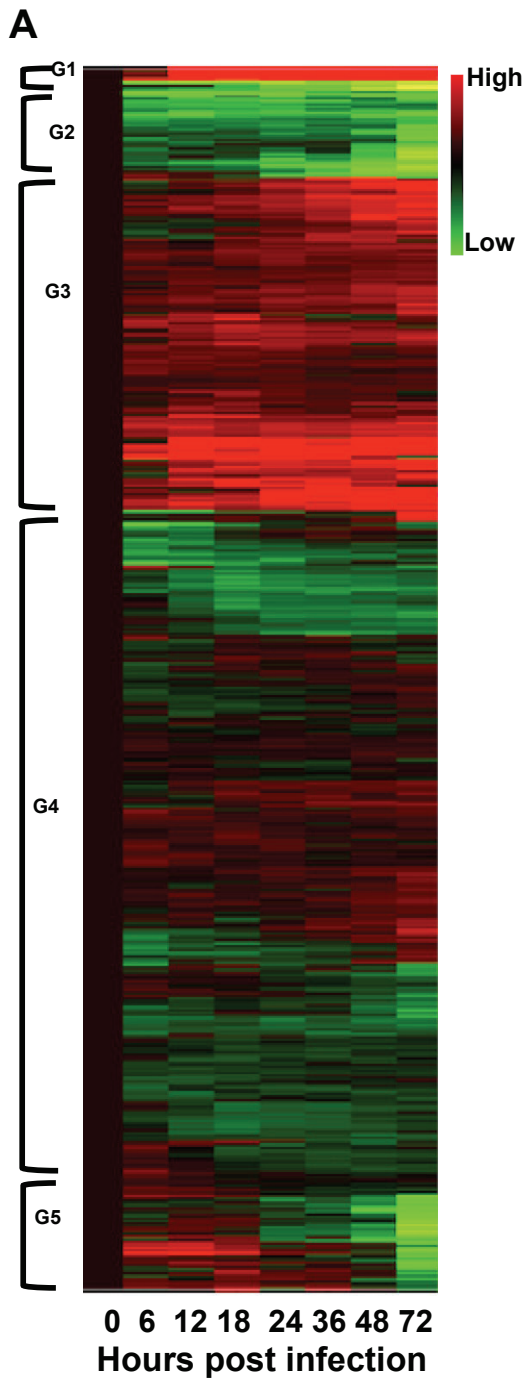
## C. Induced transcripts



## D. Suppressed transcripts







**Table 1**

**Reads mapped to *T. ni* and AcMNPV genomes at selected times postinfection**

Midgut	Hours post infection	Replicate 1		Replicate 2		Replicate 3		Average midgut reads /time	STDEV of midgut reads/ time
		Total midgut reads*	Total viral reads**	Total midgut reads*	Total viral reads**	Total midgut reads*	Total viral reads**		
Uninfected	0h	18,118,667		21,669,642		21,991,275		20,593,195	2,149,029
	6h	12,283,390		24,758,172		23,153,004		20,064,855	6,786,571
	12h	36,144,710		18,045,491		14,956,667		23,048,956	11,445,929
	18h	14,187,003		17,376,890		14,063,937		15,209,277	1,878,216
	24h	20,763,048		22,762,824		29,499,957		24,341,943	4,577,511
	36h	15,502,539		14,570,698		15,956,828		15,343,355	706,643
	48h	18,503,664		21,009,161		19,745,105		19,752,643	1,252,766
	72h	21,065,355		18,499,850		27,517,673		22,360,959	4,646,421
Infected	0h	17,265,342	338	21,207,395	936	18,567,336	355	19,013,358	2,008,519
	6h	16,151,868	3,447	14,914,261	1,820	17,645,289	2,727	16,237,139	1,367,509
	12h	27,671,909	22,533	13,985,653	62,733	20,895,221	15,008	20,850,928	6,843,236
	18h	19,468,923	68,144	26,218,310	53,738	19,626,036	90,472	21,771,090	3,852,207
	24h	22,069,640	490,621	16,689,213	440,689	14,227,179	301,487	17,662,011	4,010,711
	36h	24,329,106	873,311	13,722,447	436,217	17,663,295	266,238	18,571,616	5,361,351
	48h	14,280,612	388,477	12,411,359	1,341,304	15,288,279	1,247,384	13,993,417	1,459,804
	72h	11,468,070	4,554,198	11,213,699	4,341,483	17,993,483	6,800,054	13,558,417	3,842,985

\* Numbers represent Total read counts mapped to the assembled *T. ni* genome (<http://www.tnibase.org/cgi-bin/index.cgi> ) in three biological replicates of *T. ni* midgut samples at each indicated time post infection using HISAT allowing 2 mismatches.

\*\*Numbers represent Total reads mapped to the AcMNPV genome in three replicates of *T. ni* midgut samples using HISAT allowing 2 mismatches. Data from "Infected" rows were reported in Shrestha et al 2018 (J. Virology 92:e01277-01218).

**Table 2**

<b><i>T. ni</i> transcripts that are differentially expressed at most times postinfection</b>								
Type of differential expression	<i>T. ni</i> gene ID *	Annotation	Fold change**					
			12 h p.i.	18 h p.i.	24 h p.i.	36 h p.i.	48 h p.i.	72 h p.i.
Induced and Upregulated	Tni20G02130	HMG176 isoform (REPAT)	IND	IND	IND	IND	IND	79.93
	Tni16G00620	E3 ubiquitin- ligase SIAH1	20.76	IND	5.43	10.37	10.49	15.04
	Tni22G05470	Cyclic GMP-AMP synthase isoform X2	59.07	47.49	5.89	18.06	15.69	35.66
	Tni17G02590	Mitochondrial uncoupling 4	10.77	30.94	14.17	15.86	17.83	47.83
	Tni05G05890	Atlastin-2-like isoform X1	81.19	111.60	9.27	28.72	13.24	49.69
	Tni08G01410	NFX1-type zinc finger-containing 1	IND	IND	8.06	IND	16.47	48.08
	Tni02G00330	NF-kappa-B-repressing factor	5.38	IND	16.33	IND	IND	IND
	Tni14G02910	E3 SUMO- ligase KIAA1586	IND	IND	IND	IND	IND	IND
	Tni16G04790	No blast hits	9.22	IND	4.68	11.00	7.34	16.80
	Tni28G01940	ATP-dependent RNA helicase DHX30	IND	15.16	7.30	IND	9.80	IND
Tni27G01530	Microphthalmia-associated transcription factor	IND	IND	IND	IND	IND	IND	
Suppressed and Downregulated*	Tni18G00450	Serine protease 33	-	SUP	SUP	SUP	SUP	SUP
	Tni17G04440	Folypolyglutamate synthase	-	-58.97	-66.20	-149.39	-87.98	SUP
	Tni12G01810	Ves G 1 allergen	-	-83.11	-62.53	-92.93	SUP	-97.38
	Tni15G03390	Indole-3-glycerol phosphate synthase	-	SUP	SUP	SUP	SUP	SUP
	Tni21G02480	Flippase	-	-128.95	-290.79	-640.00	-344.40	SUP
	Tni06G03550	DNA mismatch repair protein	-	SUP	SUP	SUP	SUP	SUP
	Tni05G06480	No blast hits	-	-345.04	SUP	SUP	-59.01	-138.96
	Tni15G05500	Hypothetical protein FOXG_02896	-	-60.94	SUP	SUP	-74.40	-36.60
	Tni19G00950	Ionotropic receptor	-	SUP	SUP	SUP	SUP	SUP
	Tni15G06910	Uncharacterized protein LOC110836755	-	SUP	SUP	SUP	SUP	SUP
	Tni15G03460	Unknown	-	-90.55	-46.82	-31.60	-26.10	-15.49
	Tni26G00190	Uncharacterized protein LOC103522958	-	SUP	SUP	SUP	SUP	SUP
	Tni15G04210	Hypothetical protein PABG_01059	-	SUP	SUP	SUP	SUP	SUP
Tni19G04480	Hypothetical protein X975_10841	-	-14.83	-114.47	-13.32	-95.95	-33.00	

For suppressed and downregulated transcripts, commonly expressed transcripts were not identified at 12 h p.i., as indicated by a "-".

\**T. ni* gene ID numbers correspond to annotations at: <http://www.tnibase.org/cgi-bin/index.cgi>

\*\*Fold changes in *T. ni* transcript levels between uninfected and infected midgut samples at selected times postinfection. IND= Induced. SUP=Suppressed.



**Table 3**

<b><i>T. ni</i> transcripts significantly affected by AcMNPV infection</b>								
Hours post infection	Type of differential expression	<i>T. ni</i> Gene ID*	Annotation	RPKM values		Fold Change	Adjusted p-value	
				Control	Infected			
12 h p.i.	Induced	Tni07G03860	C19orf12 homolog	0.56	235.72	IND	-	
		Tni20G02130	HMG176 isoform (REPAT )	0.14	97.96	IND	-	
	Upregulated	Tni05G05890	Atlastin-2-like isoform X1	7.11	577.24	81.19	4.553E-05	
		Tni22G05470	Cyclic GMP-AMP synthase isoform X2	2.55	150.63	59.07	2.033E-22	
		Tni17G02340	E3 ubiquitin- ligase SIAH1	3.49	149.59	42.82	1.895E-05	
18 h p.i.	Induced	Tni07G03860	C19orf12 homolog	0.50	214.47	IND	-	
		Tni12G01690	zinc finger CCHC domain-containing	0.23	188.49	IND	-	
	Upregulated	Tni05G05890	Atlastin-2-like isoform X1	7.34	819.13	111.60	1.211E-10	
		Tni06G03350	Collagen triple helix repeat domain	47.19	1893.30	40.12	3.392E-02	
		Tni08G03170	Cuticle CPH43	34.63	1301.27	37.58	1.647E-05	
		Tni16G00140	Immune-related Hdd13	17.37	284.10	16.36	1.649E-02	
		Tni03G01880	Mucin-5AC-like	19.11	309.78	16.21	2.117E-03	
	Suppressed	Tni15G03390	indole-3-glycerol phosphate synthase	188.57	0.72	SUP	-	
		Tni15G03790	EF-hand domain-containing 1	108.99	0.55	SUP	-	
	Downregulated	Tni11G00910	Calcium-binding P-	328.38	1.32	-248.77	7.515E-16	
		Tni15G03490	E3 ubiquitin- ligase RNF180-like	781.49	7.21	-108.39	3.856E-55	
		Tni21G02480	Flippase	1573.23	12.20	-128.95	4.109E-32	
		Tni11G03900	Collagen alpha-1(IX) chain isoform X8	201.25	3.41	-59.02	1.317E-31	
		Tni17G02150	3-dehydroecdysone 3 alpha-reductase	879.10	21.67	-40.57	6.361E-28	
		Tni17G04440	Folylpolyglutamate synthase	433.41	7.35	-58.97	8.603E-12	
24 h p.i.	Upregulated	Tni20G02140	HMG176 isoform (REPAT )	1.56	53.16	34.00	1.337E-13	
	Downregulated	Tni21G02480	Flippase	747.33	2.57	290.79	8.818E-03	
		Tni19G04480	Hypothetical protein X975_10841	606.70	5.30	114.47	3.934E-02	
36 h p.i.	Upregulated	Tni08G03170	Cuticle CPH43	27.15	4263.95	157.03	9.743E-17	
		Tni03G01880	Mucin-5AC-like	15.72	909.25	57.85	6.327E-05	
		Tni20G02110	HMG176 isoform (REPAT )	51.24	833.39	16.26	1.681E-12	
	Suppressed	Tni15G05500	hypothetical protein FOXG_02896	109.98	0.98	SUP	-	
		Tni21G02480	Flippase	2246.39	3.51	-640.00	2.499E-118	
		Tni15G03490	E3 ubiquitin- ligase RNF180-like	596.65	4.99	-119.57	7.924E-50	
48 h p.i.	Upregulated	Tni07G03860	C19orf12 homolog	3.58	156.25	43.69	2.885E-27	
		Tni08G03170	Cuticle CPH43	178.29	3191.83	17.90	1.821E-03	
		Tni03G01880	Mucin-5AC-like	59.43	965.99	16.25	3.391E-12	
Suppressed	Tni12G01810	Ves G 1 allergen	105.21	0.86	SUP	-		
	Tni11G00910	calcium-binding P-	104.82	0.48	SUP	-		
	Tni21G02480	Flippase	1277.72	3.71	-344.40	1.232E-23		
Downregulated	Tni15G03490	E3 ubiquitin- ligase RNF180-like	584.42	7.77	-75.21	1.163E-77		
	Tni19G04480	Hypothetical protein X975_10841	544.03	5.67	-95.95	1.155E-04		
	Tni12G01690	Zinc finger CCHC domain-containing	4.65	760.51	163.43	3.673E-24		
72 h p.i.	Upregulated	Tni05G05890	Atlastin-2-like isoform X1	22.02	1094.12	49.69	2.850E-21	
		Tni08G03170	Cuticle CPH43	193.19	7433.26	38.48	8.137E-04	
		Tni18G02870	Chymotrypsin-like serine protease	4.04	149.54	37.05	2.575E-10	
		Tni22G05470	Cyclic GMP-AMP synthase isoform X2	4.67	166.64	35.66	1.161E-17	
		Tni26G01100	Zinc finger	10.28	297.86	28.98	3.497E-17	
		Tni03G01880	Mucin-5AC-like	76.58	1523.70	19.90	1.234E-04	
		Tni22G00330	Peroxidase isoform X2	16.99	273.16	16.07	1.895E-04	
		Suppressed	Tni11G00910	calcium-binding P-	287.35	0.66	SUP	-
			Tni19G04800	ninjurin-2 isoform X1	236.30	0.85	SUP	-
	Tni08G02780		Carboxypeptidase M	156.34	0.78	SUP	-	
	Tni18G00450		serine protease 33	148.55	0.33	SUP	-	
	Tni23G01020		surface protective antigen	87.18	0.08	SUP	-	
	Tni08G00710		Peritrophic matrix insect intestinal	305.78	1.10	-277.98	1.379E-04	
	Downregulated	Tni04G00650	Stress response NST1 isoform X1	420.33	1.88	-223.58	1.915E-56	
		Tni13G03290	Abhydrolase domain-containing 7	721.86	9.99	-72.26	7.879E-44	
		Tni12G01650	Calponin transgelin	812.78	8.97	-90.61	7.243E-42	
		Tni15G03490	E3 ubiquitin- ligase RNF180-like	684.19	8.30	-82.43	1.339E-02	
		Tni11G02810	Lipase 3	473.89	8.30	-57.10	6.491E-10	
		Tni15G01350	Zinc metalloproteinase nas-4-like	355.98	6.69	-53.21	6.499E-07	
		Tni22G01200	27 kDa hemolymph	634.65	21.15	-30.01	4.057E-35	
		Tni03G02090	Moderately methionine rich storage	642.02	20.51	-31.30	7.960E-23	
		Tni17G05550	Cytochrome P450	237.62	7.40	-32.11	2.547E-16	
		Tni18G04160	Ecdysone oxidase	273.31	12.45	-21.95	2.347E-20	
		Tni13G00880	Cobatoxin short form A	572.15	26.23	-21.81	1.092E-10	

\**T. ni* gene ID numbers correspond to annotations at: <http://www.tnibase.org/cgi-bin/index.cgi>

Significantly affected *T. ni* transcripts consist of at least 16 fold change in expression levels and 50 RPKM values in control (uninfected) or infected midgut samples. IND= Induced. SUP=Suppressed.