

technologies to generate hypotheses or plan new experiments. If investigators choose to download data and/or code, they can access and analyze disparate data with the same functionality and syntax, which allows for faster comparisons and scientific discoveries. Because all of the code is open source, anybody can download, set up, and modify this ecosystem.

## Data availability

All code is available from <https://neurodata.io/tools/> under an Apache 2.0 license unless otherwise specified. All publicly available data are accessible at <https://neurodata.io/data/> under an ODC-By v1.0 license, unless otherwise specified.

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## Competing interests

D.M.K. and R.J.V. are employed by Gigantum; this company provided support in the form of salaries for these authors, but did not have any additional role in the study design, data collection and analysis, decision to publish, or preparation of the manuscript.

# Striped UniFrac: enabling microbiome analysis at unprecedented scale

To the Editor — The UniFrac metric is used frequently in microbiome research, but it does not scale to today’s large datasets. We propose a new algorithm, Striped UniFrac, which produces results identical to those of previous algorithms but requires dramatically less memory and computing power. A BSD-licensed implementation is available that produces a C shared library linkable by any programming language (Supplementary Software and <https://github.com/biocore/unifrac>).

UniFrac<sup>1</sup> is a phylogenetic distance metric used to compare pairs of microbiome profiles. Microbiome studies now encompass tens of thousands of samples, such as the 27,751-sample Earth Microbiome Project (EMP)<sup>2</sup> and the 15,096-sample American Gut Project<sup>3</sup>. Existing algorithms for UniFrac computation cannot scale in time or space to these study designs. For example, Fast UniFrac with the EMP was projected to take months. Striped UniFrac produces results identical to those of other existing algorithms, shows >30-fold improvement in single-threaded performance and near-

linear parallel scaling (Supplementary Fig. 1a,b), and can process the EMP dataset on a laptop in less than 24 hours. It can enable scientists to derive new biological insights, as shown by a meta-analysis<sup>3</sup> of the American Gut Project and EMP. To demonstrate the utility of the algorithm, we computed UniFrac on 113,721 public samples in QIITA<sup>4</sup> in less than 48 hours using 256 CPUs (an interactive plot is available at <https://bit.ly/2LHMDFC>).

The key advances with Striped UniFrac are improved space complexity, obtained through aggregation of metric constituents in post-order traversal, and rotation of proportion vectors for pairwise comparisons (methods, pseudocode, and complexity analysis are provided in the Supplementary Note). The post-order aggregation removes the dominant scaling factor for space complexity in Fast UniFrac (Supplementary Fig. 1c,d). Vector rotation (expressed by embedding; Fig. 1a) allows compilers to use single instruction multiple data (SIMD) operations. As a consequence of rotation,

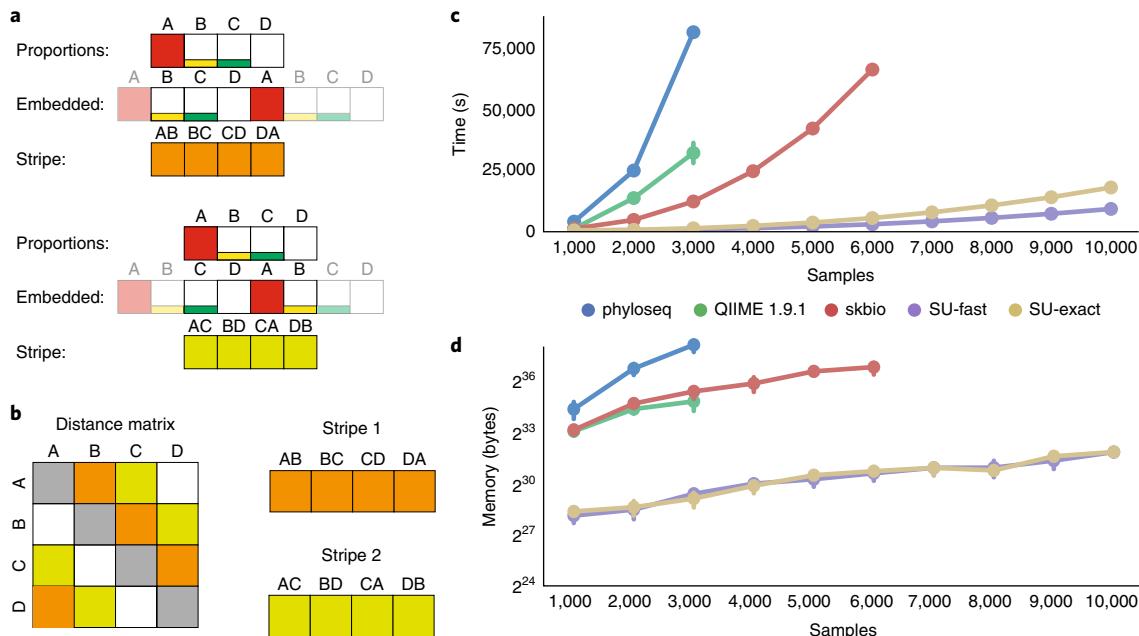
pairwise distances are computed along diagonals of the distance matrix (Fig. 1b), which results in more cache utilization, task-level parallelism, and hardware-level prefetch. We also introduce an optional heuristic that reduces compute by 50% by ignoring tips of the phylogeny (correlations with exact calculations are shown in Supplementary Fig. 1e). Empirical scaling results show that Striped UniFrac outperforms current popular implementations of UniFrac (time in Fig. 1c; space in Fig. 1d; benchmark and algorithm in the Supplementary Note).

## Reporting Summary

Further information on research design is available in the Nature Research Reporting Summary linked to this article.

## Code availability

An optimized implementation of Striped UniFrac is available on GitHub (<https://github.com/biocore/unifrac>) under a BSD license (implementation details are provided in the Supplementary Note),



**Fig. 1 | Algorithm description and empirical performance results.** **a**, For a given node in a phylogeny, sample proportions are embedded to duplicate the proportion information. This duplication mimics rotation of the sample proportions to compute many pairwise distances at once in contiguous blocks of memory. **b**, A schematic of the two stripes in a four-sample logical distance matrix; the labels above the stripes indicate the pairwise comparison represented (for example, “AB” indicates the distance between samples A and B). **c,d**, Empirical time (**c**) and space (**d**) comparisons of weighted un-normalized UniFrac<sup>1</sup> with phyloseq<sup>8</sup>, QIIME v1.9.1<sup>5</sup>, scikit-bio (skbio), Striped UniFrac in fast mode (SU-fast), and Striped UniFrac in exact mode (SU-exact). Each data point represents the mean of  $n = 10$  independent experiments (random subsets) using the EMP 90-nt (317,314 unique Deblur<sup>9</sup> sub-OTUs (operational taxonomic units)) dataset with increasing numbers of samples. All methods were run single-threaded on nonshared compute nodes that were not running other compute tasks. A job was killed if it exceeded 24 hours of wall time or 256 GB of memory (system max). Error bars indicate the 95% confidence interval around the mean. Source data for **c** and **d** are provided in Supplementary Data 2.

is part of QIIME 2<sup>5</sup>, and is the UniFrac implementation used for meta-analyses in Qiita<sup>4</sup>. The source code builds a command-line version, a C shared library, and a Python application program interface. All known variants of UniFrac are implemented<sup>1,6,7</sup>.

## Data availability

The datasets analyzed during the current study are available in the Qiita repository with the specific study accessions in Supplementary Data 1, and were extracted with Qiita’s redbiom interface. □

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## Author contributions

D.M. designed Striped UniFrac, planned the study, analyzed data, and wrote the manuscript. Y.V.-B. integrated Striped UniFrac with QIIME 2 and contributed to the manuscript. D.K. and J.M. contributed to the proof. N.R. contributed language interface code. Z.X. contributed to the manuscript. A.G. integrated Striped UniFrac with Qiita. R.K. planned the study and wrote the manuscript.

## Competing interests

R.K. is a founder and CSO of Biota Technology Inc. D.M. is a consultant with Biota Technology Inc.

## Additional information

**Supplementary information** is available for this paper at <https://doi.org/10.1038/s41592-018-0187-8>.

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## Software and code

Policy information about [availability of computer code](#)

Data collection

redbiom 0.2.0 was used to obtain data from Qiita, and is open source under the BSD license (<https://github.com/biocore/reddiom/>).

Data analysis

Striped UniFrac 0.9.3 (BSD) scikit-bio 0.5.1 (BSD) pandas 0.20.2 (BSD) seaborn 0.8.1 (BSD) Python 6.2.1 (BSD) EMPeror 1.0beta16dev (BSD) QME 1.9.1 (GPL) phyloseq 1.16.2 (AGPL 3) Cython 0.26 (Apache 2.0) R 3.3.0 (GPL) Python 3.5 (PSFL)

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The datasets analysed during the current study are available publicly in the Qiita repository. For the analysis using the public data in Qiita, we provide a supplementary table with the specific Qiita study IDs used.

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## Life sciences study design

All studies must disclose on these points even when the disclosure is negative.

Sample size	The Earth Microbiome Project and Qiita represent the largest microbiome sample sizes we are aware of.
Data exclusions	For empirical space and time measurements processing jobs were killed if they exceeded 24 hours walltime or 256GB RAM
Replication	For empirical space and time analysis each method was run on 10 subsets of data at a given level (e.g. 1000 samples). Variation in the results is represented in the figures with 95% confidence intervals. All attempts at replication were successful.
Randomization	For scalability testing, tips or samples were randomly selected using NumPy's random module.
Blinding	Blinding was obtained by randomization

## Reporting for specific materials, systems and methods

### Materials & experimental systems

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