

Sensory and cognitive contributions to age-related changes in spoken word recognition

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1 ABSTRACT  
2

3 Many older adults experience declines in auditory and cognitive abilities that negatively  
4 affect language comprehension, including spoken word recognition. In the case of auditory  
5 function, poor neural responses to sound at the earliest stages of auditory processing may  
6 adversely affect phoneme identification, and ultimately, lexical access. Declines in cognitive  
7 functions, such as inhibitory control or working memory, may also impede word recognition.  
8 Furthermore, complex interactions between auditory and cognitive declines make it difficult to  
9 distinguish these possible causes of age differences in speech perception. We review age-related  
10 changes in spoken word recognition, with respect to current models of this process. Then, we  
11 invoke frameworks of sensory-cognitive compensation, and argue that online, sensitive measures  
12 of sensory processing and of comprehension are important in distinguishing between effects of  
13 sensory and cognitive decline. We conclude that investigations of spoken word recognition in  
14 older listeners must carefully assess listener differences at early levels of auditory processing, in  
15 conjunction with cognitive abilities.

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1 There is a complex relationship between aging, auditory sensory decline, and cognitive  
2 decline, as related to spoken word recognition in older listeners. This sensory and cognitive  
3 interaction is well-known within cognitive hearing science (e.g., CHABA, 1988; Baltes &  
4 Lindenberger, 1997; Lindenberger & Baltes, 1994), as evident in previous excellent reviews  
5 (e.g., Albers et al., 2015; Humes et al., 2012; Lin et al., 2013; Schneider & Pichora-Fuller, 2000;  
6 Schneider, Daneman, & Pichora-Fuller, 2002; Schneider, Pichora-Fuller, & Daneman, 2010;  
7 Sommers, 2005). The current review has two broad goals: to bring this knowledge to a wider  
8 audience, and to emphasize online measures of both auditory and cognitive processing.  
9 Specifically, in the context of current models of spoken word recognition, we discuss how a  
10 multitude of factors, including low-quality neural responses to sound, or reduced working  
11 memory or inhibition, could negatively affect lexical access (Alain, McDonald, Ostroff, &  
12 Schneider, 2004; Alain & Tremblay, 2007; Ison, Tremblay, & Allen, 2010). Motivated by the  
13 field of *cognitive hearing science* (e.g., Arlinger, Lunner, Lyxell, & Pichora-Fuller, 2009;  
14 Schneider et al., 2002; Stanley, Tun, Brownell, & Wingfield, 2012), and frameworks that posit  
15 that listeners cognitively compensate for auditory declines (Rönnberg et al., 2013; Wingfield,  
16 Tun, & McCoy, 2005), we argue that studies testing the of models of spoken word recognition,  
17 particularly amongst older listeners, must take an integrative approach, in which measures of  
18 both auditory processing and cognitive abilities are assessed (e.g., Schneider & Pichora-Fuller,  
19 2000).

20 [INSERT BOX 1 HERE]

21

22 **Age-related Changes in Auditory and Cognitive Processing**  
23

1           A substantial proportion of older adults will experience age-related declines in auditory  
2 processing (Cruickshanks, Zhan, & Zhong, 2010; Morrell, Gordon-Salant, Pearson, Brant, &  
3 Fozard, 1996). For example, Valentijn et al. (2005) found that the incidence of hearing  
4 impairment across a sample of 418 adults aged 55-83 rose from 7.7% to 32.7% over a period of  
5 six years. Although general age-related declines in language comprehension have classically  
6 been attributed to progressive losses in the ability to process higher frequencies of the acoustic  
7 input (Humes, 2007), there is also substantial evidence that changes in auditory thresholds are  
8 only one of many sources of auditory decline that contribute to speech comprehension (e.g.,  
9 Bergman, 1980; Gordon-Salant & Fitzgibbons, 1999; Humes, 1996; Plomp, 1986). Specifically,  
10 in addition to declines in auditory threshold, the quality of the neural representation of the  
11 acoustic input is also negatively affected in older listeners (e.g., Clinard & Tremblay, 2013;  
12 Hellstrom & Schmiedt, 1990; Skoe, Krizman, Anderson, & Kraus, 2015). This review will  
13 emphasize how aging-related declines in neural responses to sound may negatively affect  
14 language comprehension.

15           Older listeners may also experience cognitive declines that can have adverse  
16 consequences for language comprehension. Current hypotheses posit that declines in working  
17 memory (Rönnberg et al., 2013; Wingfield et al., 2005) and/or inhibitory control (Sommers &  
18 Danielson, 1999) can impede language comprehension in older listeners. Although sentence-  
19 level processing is not the focus of this review, suggestive evidence shows that older adults, who  
20 as a population have somewhat lower working memory than younger adults, remember fewer  
21 details of syntactically complex sentences, and may struggle to suppress incorrect interpretations  
22 of a sentence (Wingfield, McCoy, Peelle, Tun, & Cox, 2006, Gernsbacher & Faust, 1991, see  
23 also January, Trueswell, & Thompson-Schill, 2009; Rodd, Davis, & Johnsrude, 2005). Declines

1 in inhibitory control also can be observed in the way attention is allocated to the auditory scene:  
2 on average, older adults are less able than younger listeners to attend to a talker by ignoring a  
3 second talker in the background (Tun, O’Kane, & Wingfield, 2002), and this age difference  
4 persists whether there is real or simulated spatial separation between the talkers (Singh &  
5 Pichora-Fuller, 2008).

6 Furthermore, auditory and cognitive declines often co-occur as part of the aging process,  
7 such that older listeners with reduced cognitive function tend also to show reduced auditory  
8 processing abilities (e.g., CHABA, 1988). The exact cause and relationship between these  
9 declines is still unknown, and active research continues to be motivated by seminal findings from  
10 Lindenberger and Baltes that poor performance on cognitive tasks is mediated by declines in  
11 sensory function (Baltes & Lindenberger, 1997; Humes, Busey, Craig, & Kewley-Port, 2013;  
12 Lin, Ferrucci, Metter, An, Zonderman, & Resnick, 2011; Lindenberger & Baltes, 1994; for  
13 reviews see Craik & Salthouse, 1992; Salthouse, 1991; Schneider et al., 2010). For example,  
14 recent longitudinal work indicates that increases in auditory threshold (worse performance)  
15 precede large declines in cognitive abilities (Lin et al., 2013), and lead to an increased risk factor  
16 for dementia (Lin et al., 2011; for a review on the link between sensory declines and the  
17 development of dementia, see Albers et al., 2015). Additionally, it can be difficult to isolate the  
18 effects of only cognitive or sensory processing declines in older listeners because many tasks  
19 designed to measure sensory function may be confounded by declines in attention or working  
20 memory, such as dichotic listening tasks (as reviewed by Humes et al., 2012). Thus, older adults  
21 who participate in studies of language comprehension likely experience combined interactions  
22 between age-related auditory and cognitive declines.

1 These potential interactions between age-related auditory and cognitive declines are  
2 crucial to understanding the difficulties older listeners confront during spoken language  
3 comprehension (e.g., Arlinger et al., 2009; Pichora-Fuller, 2003; Schneider & Pichora-Fuller,  
4 2000; Wingfield & Tun, 2001; Wingfield et al., 2005). For example, listeners can use different  
5 types of knowledge to help “fill in the blanks” for words they did not hear clearly (e.g., Dubno,  
6 Ahlstrom, & Horwitz, 2000; Pichora-Fuller, 2009). Rabbitt (1968), and Wingfield and  
7 colleagues (2005) posit that such compensatory strategies for perceptual deficits may lead to the  
8 appearance of preserved comprehension, but at the expense of additional cognitive effort and  
9 resources.

10 In the first section of this review, we describe the processes involved in spoken word  
11 recognition as outlined by current models, along with experimental findings showing that older  
12 listeners perform worse than younger listeners in word identification. In the second section, we  
13 review the *Effortfulness Hypothesis* (Rabbitt, 1968; Wingfield et al., 2005) and the *Ease of*  
14 *Language Understanding* (ELU) model (Rönnberg et al., 2013), two complimentary frameworks  
15 of speech perception that hypothesize that processing difficulties stemming from both the  
16 auditory and cognitive levels (such as working memory and inhibitory control) contribute to  
17 declines in language comprehension. The third and fourth sections describe how speech  
18 perception is affected by age-related differences in auditory processing (as measured by auditory  
19 threshold and auditory encoding fidelity), and cognitive declines, respectively. In the final  
20 section, we describe two methods for measuring changes in cognitive processing over time as  
21 participants perform a task. We conclude with a hypothesis of how poor neural encoding can

- 1 adversely affect lexical access, along with recommendations for avoiding potential sensory
- 2 confounds in future investigations of language comprehension<sup>1</sup>.

3

#### 4 Theories of Spoken Word Recognition, and Age Effects in Word Identification

5

6       Listeners seemingly understand spoken language with minimal effort, despite  
7 complexities inherent to the acoustic signal. This section reviews three factors that are generally  
8 agreed to affect the speed and accuracy of lexical access across psycholinguistic theories and  
9 models of spoken word recognition: neighborhood density, lexical frequency, and inhibition  
10 (e.g., the Distributed Cohort Model: Gaskell & Marslen-Wilson, 1997; Neighborhood Activation  
11 Model: Luce, Goldinger, Auer, & Vitevitch, 2000, Luce & Pisoni, 1998; TRACE: McClelland &  
12 Elman, 1986; for a review, see Magnuson, Mirman, & Myers, 2013). Finally, we suggest that all  
13 three dimensions are affected in older listeners.

14       Most theories agree that when a spoken word is heard, words are activated in parallel as a  
15 function of the degree of match with the acoustic input. For example, Luce and colleagues (e.g.,  
16 Luce & Pisoni, 1998) have posited that the core competitor set of activated words (the *neighbors*  
17 of the target word) are words that differ from the input by a single phoneme, whether by  
18 deletion, addition, or substitution (thus, some of the many neighbors of the word *cat* include *at*,  
19 *scat*, *bat*, *cot*, and *can*), weighted by their frequencies. The standard experimental finding is that  
20 younger listeners are faster to identify spoken words that are in sparse neighborhoods than words  
21 in dense neighborhoods (Luce & Pisoni, 1998). In addition to neighborhood size, the frequency  
22 with which a word is used in the language contributes to how strongly a word competes for

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<sup>1</sup> This review focuses on speech processing up to word-level comprehension; for reviews of sentence integration and discourse processing in older listeners, see Wlotko, Lee, & Federmeier (2015).

1 recognition, with high frequency words being easier to identify than low frequency words (*cat* is  
2 used much more often in the language than the neighbor *vat*; e.g., Dahan, Magnuson, &  
3 Tanenhaus, 2001; Howes & Solomon, 1951; Marslen-Wilson, 1987), and with words that have  
4 more frequent neighbors being harder to recognize (e.g., Luce & Pisoni, 1998; Magnuson,  
5 Dixon, Tanenhaus, & Aslin, 2007). This suggests that higher frequency words are activated  
6 more quickly, and/or with a greater strength of activation than lower frequency words. Finally,  
7 most theories also propose that lexical activation also depends on competition between active  
8 words, which is often instantiated via lateral inhibition between words (e.g., Chen & Mirman,  
9 2015; Luce & Pisoni, 1998; McClelland & Elman, 1986). Thus, when acoustic input matches  
10 many similar words, multiple words become activated and compete with each other. Therefore,  
11 the degree to which the auditory input matches the phonological form of a word stored in  
12 memory, as well as neighborhood density and word frequency, all affect the difficulty of  
13 identifying any particular word.

14 Age-related differences have been documented in all three aspects of spoken word  
15 recognition. For example, older adults exhibit greater activation of a rhyme neighbor (Ben-  
16 David, Chambers, Daneman, Pichora-Fuller, Reingold, & Schneider, 2011), and larger  
17 neighborhood density effects in word recall for sentences in noise (Taler, Aaron, Steinmetz, &  
18 Pisoni, 2010). Older adults are also more influenced by lexical frequency than are younger  
19 listeners (Pirog Revill & Spieler, 2012), and this increased frequency effect is associated with  
20 poorer auditory thresholds (Janse & Newman, 2013). Effects of neighborhood density and  
21 frequency have the consequence of temporarily increasing the uncertainty as to the identity of the  
22 target word and/or slowing access to the target itself. Additionally, the increased phonological  
23 competitor effect in older adults compared to younger adults is associated with inhibitory

1 declines more generally (Sommers & Danielson, 1999), hinting that the process of inhibiting  
2 many activated competitor words may be adversely affected in some older adults. Finally,  
3 poorer accuracy for older compared to younger listeners also emerges on tasks that involve  
4 identifying words presented with and without context, and in quiet and noise (Benichov, Cox,  
5 Tun, & Wingfield, 2012; Lash, Rogers, Zoller, & Wingfield, 2013). In summary, these findings  
6 broadly suggest that older adults experience slowing and uncertainty in spoken word recognition.  
7 In the next sections, we review evidence that slowing in lexical access arises from auditory  
8 declines and cognitive declines.

9

## 10 **Modeling the Effects of Sensory and Cognitive Declines on Language Comprehension**

11  
12 One recurring theme in cognitive hearing science is that listeners recruit working  
13 memory, inhibition, and other cognitive resources to aid in speech perception when auditory  
14 processes struggle or fail (e.g., Arlinger et al., 2009; Rönnberg, Rudner, & Lunner, 2011;  
15 Schneider et al., 2002; Stanley et al., 2012; Wingfield, Amichetti, & Lash, 2015). Two models  
16 have been proposed to explain this relationship: the Effortfulness Hypothesis (Rabbitt, 1968;  
17 Wingfield et al., 2005) and the Ease of Language Understanding model (Rönnberg et al., 2013).  
18 The two models are largely complimentary, as they both focus on explaining the impact of  
19 cognitive and sensory declines on language comprehension in older adults.

20 According to the *Effortfulness Hypothesis* (Rabbitt, 1968; Wingfield et al., 2005),  
21 listeners with impaired low-level auditory processing abilities route more cognitive resources,  
22 such as auditory attention, to the early stages of perceptual processing. However, in reallocating  
23 cognitive effort, the listener also draws from resources normally available for performing higher-  
24 order linguistic tasks such as the working memory and cognitive control demands required to  
25 integrate information across multiple sentences. Thus, while word-level comprehension may be

1 intact, higher-level comprehension is compromised due to the additional effort required to  
2 successfully perceive the input. For example, listeners are better able to recall lists of words that  
3 are presented in quiet rather than noise (Rabbitt, 1968). One interpretation of this finding is that  
4 the degraded signal requires listeners to recruit additional higher cognitive processes for  
5 comprehension, thus depleting resources used to encode these words in memory. This  
6 hypothesis also predicts that older adults with sensory and/or cognitive declines will have  
7 exaggerated difficulties comprehending speech. For example, older adults with normal auditory  
8 thresholds recall word lists better than older adults with elevated auditory thresholds (McCoy et  
9 al., 2005). Additionally, older adults compared to younger adults exhibit reduced ability to  
10 remember details or make inferences after listening to a spoken passage in quiet (Schneider,  
11 Daneman, Murphy, & See, 2000), and are adversely affected on a listening memory task when  
12 speech is presented at varying levels of intensity (Baldwin & Ash, 2011; Rabbitt, 1968, 1991).  
13 These findings could emerge due to a demand on cognitive resources to encode the speech, a  
14 decline in the memory resources available to report the answers, or both.

15 A similar model to the Effortfulness Hypothesis is the previously cited Ease of Language  
16 Understanding (ELU) model of language comprehension in older adults (Rönnberg et al., 2013).  
17 The ELU posits that word identification proceeds automatically and effortlessly when the  
18 multimodal input matches a word stored in long-term memory, but when there is not a clear  
19 match, then word recognition becomes effortful and additional resources are recruited.  
20 According to the ELU, increased effort involves recruiting a working memory buffer to re-  
21 analyze the auditory input. Breakdowns in automatic processing can occur due to idiosyncrasies  
22 in the signal (e.g., if a speaker pronounces a word in an atypical manner; Van Engen & Peelle,  
23 2014), or when high frequency hearing loss limits the acoustic information available for

1 matching the signal to words in long term memory (Humes, 1996). Furthermore, Rönnberg et al.  
2 (2013) posit that working memory declines can also impair the reanalysis process. Evidence  
3 supporting the ELU comes in part from findings that for hearing-impaired listeners, better  
4 working memory capacity is related to better performance on rhyme judgments (e.g., Classon,  
5 Rudner, Johansson, & Rönnberg, 2013; see Wingfield et al. (2015) for a more detailed review  
6 and critique of the ELU).

7 While both models concern the allocation of cognitive resources during spoken language  
8 comprehension, the two models differ in their specificity. The Effortfulness Hypothesis broadly  
9 posits that a reallocation of limited resources can occur in response to difficult listening.

10 Conversely, the ELU provides a conceptual framework for the specific perceptual circumstances  
11 that would require a listener to shift their resources. In this sense, the ELU can be seen as a more  
12 specific characterization of the more general Effortfulness Hypothesis. However, neither model  
13 is a computational model, and indeed, we know of no computational models on age-related  
14 changes to language comprehension. Therefore, there is still more work to be done in this area of  
15 allocating resources and language comprehension. For a review summarizing the gaps that  
16 remain to be filled on this topic, refer to Wingfield and colleagues (2015).

17 Nevertheless, the two frameworks converge on the same point: effects in spoken word  
18 recognition that seem to stem from a decline in cognitive processing (Abada, Baum, & Titone,  
19 2008; Baum, 2003; Mattys & Scharenborg, 2014; Sommers & Danielson, 1999) may actually be  
20 the result of shifts in cognitive resources that are required in the face of auditory processing  
21 deficits (Schneider & Pichora-Fuller, 2000). Evidence for such compensation can be seen in  
22 neuroimaging studies. For example, older adults show increased activation to the frontal motor  
23 cortex, compared to younger listeners, when identifying syllables presented in varying levels of

1 noise (Du, Buchsbaum, Grady, & Alain, 2016). This suggests that older adults compensate for  
2 poor auditory encoding by recruiting information related to the motor movements associated  
3 with speech sounds.

4 In the next two sections, we review age-related changes to auditory and cognitive  
5 processes as related to spoken word recognition.

6

## 7 **Age-related Differences in Auditory Processing**

8 As listeners age, there is a progressive loss of cochlear and central auditory nervous  
9 system function, resulting in a host of perceptual difficulties for the older adult, including high  
10 frequency hearing loss (e.g., CHABA, 1988, Kujawa & Liberman, 2015; Kamal, Holman, & de  
11 Villers-Sidani, 2013). Some of these processing declines are observed in animals as well,  
12 suggesting fundamental age-related changes to physiological processes (Kamal et al., 2013;  
13 Möhrle et al., 2016). Additionally, evidence from human imaging data indicates that reduced  
14 peripheral input corresponds to changes in cortical function. This pattern, first reported by Peelle  
15 and colleagues and subsequently replicated, links elevated pure tone thresholds among older  
16 adults with reductions in gray matter volume in the auditory cortex (Eckert, Cute, Vaden,  
17 Kuchinsky, & Dubno, 2012; Lin et al., 2014; Peelle, Troiani, Grossman, & Wingfield, 2011).  
18 Thus, changes to the overall quality of auditory processing is closely coupled with the aging  
19 process. For a more detailed examination of age-related changes to the peripheral and central  
20 auditory system than we can provide here, we refer the reader to recent reviews (Ouda, Profant,  
21 & Syka, 2015; Peelle & Wingfield, 2016; Roth, 2014). In this review, we consider age-related  
22 changes to two aspects of auditory encoding fidelity, which we call *temporal encoding fidelity*  
23 and *neural response consistency*, that are postulated to affect phoneme perception and word  
24

1 identification (e.g., Fitzgibbons & Gordon-Salant, 1996; Pichora-Fuller, Schneider, MacDonald,  
2 Pass, Brown, 2007).

3 There are multiple temporal cues in the speech input that can facilitate phoneme  
4 perception. For example, Rosen (1992) hypothesizes a strictly temporal model of speech  
5 perception, in which phoneme identification emerges from three temporal features of the speech  
6 input: envelope (related to low-frequency changes in acoustic intensity over time), periodicity  
7 (whether sounds within a short interval are regular and harmonic, or irregular and continuous),  
8 and temporal fine-structure (high-frequency variation in the input that relates to timbre or voice  
9 quality). Unreliable access to temporal fine structure can impair lexical access, as observed in  
10 behavioral studies that presented temporally jittered speech to younger adults, intended to  
11 simulate poor temporal processing in older adults (Pichora-Fuller, Schneider, & Daneman, 1995;  
12 Pichora-Fuller et al., 2007). That is, reducing the reliability of timing cues in the auditory speech  
13 signal made it more difficult for younger listeners to identify spoken words, in a manner that  
14 resembled comprehension by older listeners. For specifics regarding age-related changes to  
15 these and other types of auditory temporal processing see: Pichora-Fuller & MacDonald (2008),  
16 and Schneider & Pichora-Fuller (2000).

17 In addition to temporal jitter reducing overall word perception, poor temporal encoding  
18 fidelity can lead to increased confusability between acoustically similar words. For example,  
19 English voiced and voiceless phoneme pairs in syllable-initial positions (e.g., /d/ and /t/ in the  
20 words *dime* and *time*) contrast primarily in the lag between the burst at the beginning of the stop  
21 and the onset of the vowel (voice onset time; Lisker & Abramson, 1964), thus sensitivity to the  
22 duration of the temporal cue would be highly relevant for differentiating those two words.  
23 Gordon-Salant and colleagues tested whether age or hearing loss affected the ability to perceive

1 differences between word pairs that only differed along one timing feature (*buy/pie, wheat/weed,*  
2 *dish/ditch, and beat/wheat*; Gordon-Salant, Yeni-Komshian, Fitzgibbons, & Barrett, 2006).  
3 Gordon-Salant et al. found that while high frequency hearing loss may make it difficult for  
4 listeners to perceive high frequency speech cues that are directly related to temporal cues, age  
5 differences in duration detection also relate to the ability to differentiate between similar  
6 sounding words that only differ by temporal information.

7 One common measure of temporal encoding fidelity is gap detection, a behavioral  
8 measure that assesses listener precision for detecting silent gaps of varying durations embedded  
9 in noise bursts (e.g., Musiek, Shinn, Jirsa, Bamiou, Baran, & Zaida, 2005; Strouse, Ashmead,  
10 Ohde, and Grantham, 1998; van Rooij & Plomp, 1990). Performance on gap detection is highly  
11 variable; some older adults are able to detect very short gaps, e.g., 3 ms, whereas others are only  
12 able to detect longer gaps (e.g., 6 ms) despite presenting with clinically normal auditory  
13 thresholds (e.g., Pichora-Fuller, 2003, Pichora-Fuller & MacDonald, 2008). Importantly, age-  
14 related changes to gap detection thresholds are observed independently of increases in auditory  
15 thresholds (Lister, Besisig, & Koehnke, 2002; Snell & Frisina, 2000). For a recent review of age  
16 differences in gap detection, see Humes et al., (2012).

17 Gap detection materials can vary widely depending on the specific goals of each study,  
18 sometimes making it difficult to compare results across studies. For example, tasks can vary the  
19 duration of the noise bursts (Schneider & Hamstra, 1999), and the type of noise burst used (e.g.,  
20 broad spectrum noise, tones; for a review see Fitzgibbons & Gordon-Salant, 2010). Additionally,  
21 there are between-channel gap detection tasks that place a silent interval between two spectrally-  
22 different acoustic markers, in contrast to within-channel gap tasks in which a silent gap is  
23 flanked by identical acoustic markers (Phillips, Taylor, Hall, Carr, & Mossop, 1997).

1 Performance for within vs. between channel gap tasks has shown to only weakly correlate  
2 (Phillips & Smith, 2004), and Phillips and colleagues argue that between-channel gap detection  
3 may tap into processes used for speech perception more so than within-channel gap detection  
4 does. For example, Pichora-Fuller and colleagues found that while younger adults were able to  
5 detect smaller gaps than older adults across both within and between-channel gaps, all listeners  
6 could detect smaller gaps when the between-channel input was speech (Pichora-Fuller,  
7 Schneider, Benson, Hamstra, & Storzer, 2006). Pichora-Fuller et al. interpret this improved  
8 perception for between-channel gaps as a potential linguistic advantage to detect gaps in natural  
9 speech. For behavioral gap detection tasks, it is important to use the two-interval, two-  
10 alternative forced-choice paradigm, in which participants hear two sequential trials and must  
11 respond by indicating which of the two trials had a gap present (e.g., as reviewed by Schneider &  
12 Parker, 2009). A reduced version of this task, which is frequently employed in other  
13 psycholinguistic literature, presents participants with only one stimulus, and listeners are  
14 required to decide explicitly if a gap was present in the stimulus. This reduced method may  
15 increase variation between younger and older listeners as age differences may arise, for example,  
16 because older listeners are known to set a higher confidence threshold for a decision in order to  
17 minimize error (Starns & Ratcliff, 2010). Therefore, behavioral gap detection tasks should  
18 employ the two-interval approach to reduce age differences in response criterion.

19 In contrast to behavioral measures of auditory processing, electrophysiological measures  
20 of early auditory processes, recorded from electrodes placed at the surface of the scalp,  
21 circumvent the need for the listener to make a decision-based response by providing an objective  
22 index of auditory encoding fidelity, from which indices of both temporal encoding fidelity and  
23 neural response consistency can be assessed. Three such event-related response (ERP) measures

1 that reveal age differences in auditory encoding, and are automatic and do not require directed  
2 attention, are the Auditory Brainstem Response, which emerges roughly 1-2 ms after the onset of  
3 an acoustic stimulus (e.g., Jewett & Williston, 1971), the N1-P2 complex that appears roughly 60  
4 ms after the onset of a stimulus (Martin, Sigal, Kurtzberg, & Stapells, 1997; McCandless & Best,  
5 1966), and the mismatch negativity response (MMN), which begins roughly 170 ms after the  
6 onset of a stimulus that acoustically deviates from an established acoustic pattern (Näätänen,  
7 Gaillard, & Mäntysalo, 1978; for a review see Näätänen, Paavilainen, Rinne, & Alho, 2007).  
8 Methodologically, age-related differences in ERP waveform morphology may emerge due to: 1)  
9 increases in variability in the neural response from trial to trial; 2) overall fewer neurons  
10 responding to a stimulus, and/or 3) a reduced neural response to a repeating stimulus (Alain &  
11 Tremblay, 2007; Luck, 2014). Age-related changes to ERPs are well-documented with accounts  
12 dating back to the 1960s and 1970s. Here we focus on several recent studies.

13 The ABR indexes early acoustic processing within the subcortical auditory pathway, up  
14 to and including activation in the inferior colliculus in the brainstem. This early neural response  
15 to sound has high test-retest reliability and is relatively immune to changes in listener state, such  
16 as wakefulness (Campbell & Bartoli, 1986; Lauter & Loomis, 1986; Song, Nicol, & Kraus,  
17 2011), but the waveform is very small (typically less than 1 microvolt) and requires an averaged  
18 response across thousands of presentations of a sound. Information about the integrity of the  
19 auditory system can be ascertained from the latency, amplitude, and consistency of waves in the  
20 ABR, and ABR measures can detect differences in hearing sensitivity that are difficult to  
21 measure using audiometric thresholds (Anderson, Parbery-Clark, Bramhall, Konrad-Martin,  
22 McMillan & Griest, 2017; White-Schwoch, & Kraus, 2012; Mehraei et al., 2016; Shinn-  
23 Cunningham & Best, 2008; Stamper & Johnson, 2015). Auditory encoding fidelity, as measured

1 by the ABR, varies across listeners, and for an individual listener can change across the lifespan  
2 (Krizman et al., 2015; Skoe et al., 2015). Longer ABR latencies and less consistent responses  
3 have been observed in older compared to younger listeners, even when the stimulus is presented  
4 well above threshold (Anderson et al., 2012; Jerger & Johnson, 1988; Jerger & Hall, 1980; Skoe  
5 et al., 2015; Vander Werff & Burns, 2011). A recent series of studies has indexed auditory  
6 encoding fidelity in older listeners in response to CV syllables (e.g., Anderson et al., 2012;  
7 Anderson, White-Schwoch, Parbery-Clark, & Kraus, 2013a; Anderson, White-Schwoch,  
8 Parbery-Clark, & Kraus, 2013b; Clinard & Tremblay, 2013; Skoe et al., 2015), indicating that  
9 the ABR can also be used to measure auditory processing of spectrally complex speech patterns  
10 important for word recognition. ABRs require a high degree of neural synchrony in order to  
11 emerge at all (e.g., Wynne et al., 2013), thus, measurement of the ABR at scalp electrodes  
12 depends on the underlying neural response maintaining a high degree of stability, or consistency,  
13 from one stimulus presentation to the next. Neural response consistency of the ABR can be  
14 measured by comparing the waveform morphology of two ABR responses measured to the same  
15 stimulus at two different points in time (Anderson et al., 2012; Hornickel & Kraus, 2013; Skoe et  
16 al., 2015).

17 The waveform morphology of the N1-P2 complex varies in response to features of the  
18 acoustic input, which is useful for assessing neural encoding of speech in older listeners.  
19 Tremblay and colleagues presented young adults and older adults with good and poor hearing  
20 with speech stimuli increasing in VOT from *ba* to *pa*, and found changes to the waveforms  
21 relating to both age and hearing acuity (Tremblay, Piskosz, & Souza, 2003). Specifically, all  
22 older adults showed delayed P2 responses, as well as differentially longer N1 latencies for longer  
23 VOT, compared to younger adults. Additionally, elevated pure tone thresholds among older

1 listeners were associated with even longer N1 latencies for longer VOTs, suggesting potentially a  
2 weaker neural response as a function of poor hearing thresholds. This finding is in contrast to  
3 research by Palmer and Musiek (2014), who recently found no differences between behavioral  
4 and electrophysiological measures of gap detection, both for younger and older adults.  
5 Regarding the perception of speech, another study reported that an unusually large N1-P2  
6 cortical response among older compared to younger adults corresponded to slower and less  
7 consistent behavioral responses in the identification of speech vowels (Bidelman, Villafuerte,  
8 Moreno, & Alain, 2014). Bidelman and colleagues interpret the large cortical response (as well  
9 as a reduced brainstem response) as negatively impacting the acoustic-phonetic processing of  
10 older listeners, suggesting a link between neural encoding and lexical access.

11 Finally, the latest ERP component we discuss is the MMN, which is valuable for  
12 assessing perceptual discrimination because the amplitude of the waveform increases as a  
13 function of how saliently an acoustic input deviates from an established pattern (Näätänen et al.,  
14 2007). This makes the MMN valuable in objectively investigating gap detection among older  
15 adults, given that no overt behavioral responses are required. Interestingly, even after broadly  
16 controlling for age differences in gap thresholds, increasing age correlated with smaller MMN  
17 responses to stimuli with gaps (Alain et al., 2004). Alain and colleagues interpret this muted gap  
18 detection among older adults (who were presented with larger gaps), as reflecting age differences  
19 that arise early within the automatic processing of acoustic input. As discussed at the beginning  
20 of this section, cortical volume is related to the quality of the input at the ear (Peelle et al., 2011).  
21 Therefore, it is highly likely that age-related differences in these ERP components reflect a  
22 combination of age-related differences at their respective cortical locations, as well as processing  
23 declines that are inherited from the earlier subcortical processes.

## 1           **Age-related Differences in Working Memory and Inhibition**

2           While the previous section highlighted that language comprehension relies on fine-  
3           grained details of sensory processing, declines in cognitive abilities may still have some  
4           explanatory power in accounting for differences in lexical access in the older population beyond  
5           those accounted for by auditory changes. For example, listener differences in working memory  
6           and inhibitory control predict performance on a variety of receptive language tasks in older  
7           adults (e.g., Benichov et al., 2012; Huettig & Janse, 2016; Lash et al., 2013; Mattys &  
8           Scharenborg, 2014; Sommers & Danielson, 1999; for discussions on the inter-relationship  
9           between working memory, executive function, and inhibition, see McCabe, Roediger, McDaniel,  
10           Balota, & Hambrick, 2010; Wingfield, 2016). Furthermore, although measures of auditory  
11           processing are the strongest predictor of comprehension of speech presented in background  
12           noise, a review of the literature suggests that working memory consistently explains additional  
13           variance (Akeroyd, 2008). In this section, we discuss how declines in working memory and  
14           inhibitory control relate to age differences in word recognition.

17           Cognitive function, including performance on working memory tasks, predicts accuracy  
18           on word recognition (Benichov et al., 2012; Lash et al., 2013), and declines in working memory  
19           might lead older listeners to compensate for processing difficulties by relying on top-down  
20           expectations for what they might hear. For example, older adults tend to both over-rely on  
21           cognitive expectations to help understand spoken language, and to be overconfident compared to  
22           younger listeners about what they heard (Rogers, Jacoby, & Sommers, 2012; Rogers &  
23           Wingfield, 2015). Rogers et al. (2012) reported that older listeners were more likely to  
24           misidentify a spoken word and respond with a word that was semantically consistent with  
25           previous semantic context. For instance, older listeners who hear the word *barn* in quiet, and

1 then the word *pay* in background noise, were more likely than younger listeners to report with  
2 high confidence that the second word they heard was *hay*. Additionally, older adults make use of  
3 contextual cues more than younger listeners when asked to identify a word-initial ambiguous  
4 phoneme located in the final word of a sentence (Abada et al., 2008). For example, in the  
5 sentence *Sally was very upset after she noticed her son's ?ash* (where “?” indicates a phoneme  
6 that has been replaced by an ambiguous phoneme between *g* and *k*), older listeners are more  
7 likely than younger listeners to be influenced by the context, and identify the ambiguous sound  
8 such that the final word is *gash*, even though the token is identified as *cash* when presented  
9 without sentence cues. While listeners of all ages benefit from semantic context, older adults  
10 show greater improvements in reaction times, compared to younger adults (Goy, Pelletier,  
11 Coletta, & Pichora-Fuller, 2013). At the level of word identification, older adults can make use  
12 of context to compensate for low perceptual acuity (e.g., Lash et al., 2013; Wingfield, Aberdeen,  
13 & Stine, 1991), but perhaps as a function of declines in working memory, older adults are less  
14 able to make use of disambiguating context that occurs after a target word (Wingfield,  
15 Alexander, & Cavigelli, 1994). Thus, listeners can make use of what they know (context, and  
16 common words) to adapt for what they cannot hear or remember.

17 Across domains, older adults also exhibit a reduced ability to inhibit irrelevant  
18 information, such as ignoring printed words that are superimposed onto pictures (e.g., Campbell,  
19 Grady, Ng, & Hasher, 2012; for a review see Zacks & Hasher, 1997). Older listeners rely more  
20 on top-down cues during a phoneme identification task than do younger listeners (Baum, 2003),  
21 and are more influenced by lexical information when identifying a word-initial ambiguous  
22 phoneme, even if explicitly asked to ignore lexical status (Matty & Scharenborg, 2014),  
23 possibly reflecting difficulty inhibiting task-irrelevant information. Regarding word recognition,

1 after accounting for auditory thresholds, performance on language-related inhibition tasks  
2 correlated with difficulty in identifying low-frequency words that had many phonological  
3 competitors (Sommers & Danielson, 1999). Sommers and Danielson explained the correlation in  
4 terms of the Neighborhood Activation Model (Luce & Pisoni, 1998), and proposed that age-  
5 related inhibitory declines affect the ability to suppress phonologically related words. According  
6 to Sommers (1996), older adults struggle to identify a target when many phonological neighbors  
7 are activated and compete for selection, due to a reduced ability to inhibit competitors. Eye  
8 tracking measures have proven to be valuable for testing both the inhibition and working  
9 memory hypotheses among both younger and older listeners, as we discuss these online  
10 measures in the next section.

11

## 12 **Online Measures of Cognitive Processing**

13       Similar to the strategies discussed for auditory processing, online measures of  
14 comprehension and effort that measure incremental processing of the speech signal can help  
15 elucidate the hidden cognitive effort that older adults may experience during some language  
16 tasks. For example, online measures that track eye movements and pupil dilation as listeners  
17 attend to speech input may provide a window into the allocation of cognitive resources. Briefly,  
18 it has been shown that listeners reliably look at items based on what they hear, and so tracking  
19 eye movements to an array of visual objects (which includes a target item among a set of  
20 competitors) as listeners hear speech input is a well-established method for measuring how  
21 quickly listeners comprehend a spoken word (Tanenhaus, Magnuson, Dahan, & Chambers, 2000;  
22 Tanenhaus, Spivey-Knowlton, Eberhard, & Sedivy, 1995). Furthermore, since eye tracking  
23 measures can be computationally linked to models of spoken word recognition (e.g., Allopenna,  
24

1 Magnuson, & Tanenhaus, 1998), it is hypothesized that eye fixations can give insight into the  
2 words that listeners activate as they hear a spoken word unfold over time. This makes it possible  
3 to query the online activation and inhibition of a lexical neighborhood by measuring differences  
4 in fixation proportions to objects in an array, for instance, the relative proportion of fixations to  
5 an image of a *speaker* when participants are presented with the auditory word *beaker*. The  
6 finding that the speed of eye movements is similar in younger and older adults (Ben-David et al.,  
7 2011; Pirog Revill & Spieler, 2012; Pratt, Dodd, & Welsh, 2006), makes eye tracking an  
8 especially attractive methodology for studying processing in older populations, particularly in  
9 light of findings that reaction time tends to increase in older adults (Ayasse, Lash & Wingfield,  
10 2016).

11 Measuring pupil dilation during processing is another non-invasive method to monitor  
12 the cognitive effort required to perform language tasks. This paradigm uses the same equipment  
13 as eye tracking, but participants are instructed to instead direct their gaze to a single location on  
14 the computer monitor (e.g., a fixation cross) while presented with an auditory input. Increase in  
15 pupil size has been linked to increased perceptual or cognitive effort, such as listening to  
16 degraded speech input (Kahneman & Beatty, 1966; Zekveld, Kramer, & Festen, 2011). To  
17 compare age differences in cognitive effort, pupil dilation measures are often standardized within  
18 an individual's own dynamic range, in order to account for age group differences in physiological  
19 processes. Thus, pupillometry can elucidate increases in hidden effort that older adults  
20 experience despite preserved behavioral performance (Piquado, Isaacowitz, & Wingfield, 2010).  
21 Functional magnetic resonance imaging (fMRI) can provide information about which and to  
22 what extent neural substrates are activated during a task. As such, neuroimaging studies can  
23 provide valuable insight regarding the allocation of resources during speech comprehension

1 across neural systems. This is the most common use of fMRI in the study of speech processing in  
2 older adults. Studies using fMRI have revealed that older adults recruit compensatory brain  
3 networks in order to preserve cognitive function (e.g., Cabeza, Anderson, Lcantore, & McIntosh,  
4 2002). For example, older adults recruit different cortical networks compared to younger adults,  
5 in order to successfully comprehend syntactically complex speech (Peelle et al., 2011). When  
6 processing speech in noise, despite similar behavioral performance between younger and older  
7 adults, older listeners recruit more regions involved in domain - general cognitive processes  
8 (Wong et al., 2009) in addition to language - sensitive cortex. This suggests that the older adults  
9 compensate for declining performance in language processing by increasing their reliance on  
10 cognitive abilities. Under difficult listening conditions, listeners who exhibit a larger pupil  
11 response also show increased activation in auditory cortex, suggesting a relationship between  
12 listening effort and increased attention on processing the acoustic input (Kuchinsky et al., 2016;  
13 Zekveld, Heslenfeld, Johnsrude, Versfeld, & Kramer, 2014a). Thus, eye tracking, pupillometry,  
14 and fMRI can detect subtle differences in effort that emerge independent of listeners making an  
15 overt behavioral judgment.

16

## 17 **Conclusions**

18 We have reviewed evidence that auditory encoding fidelity (via measures of temporal  
19 encoding fidelity and neural response consistency) provides information about auditory  
20 processing not captured by conventional auditory threshold measures, that cognitive declines  
21 may contribute to word recognition, and that the two domains can interact. Since the reliability  
22 of early auditory processing (encoding fidelity) can vary across listeners (Skoe et al., 2015), or  
23 even for one listener across the lifespan (Krizman et al., 2015;), it is important to understand how

1 variation in this earliest aspect of auditory encoding has cascading effects in word recognition  
2 and language comprehension. Specifically, we argue that poor auditory encoding might affect  
3 the strength of activation of phonemes and constituent words, and redirect cognitive resources to  
4 make it appear that a listener has cognitive deficits (Rabbitt, 1968; Rönnberg et al., 2013;  
5 Wingfield et al., 2005; Schneider & Pichora-Fuller, 2000). Further, cognitive deficits might lead  
6 to outcomes that appear to be auditory in nature. For example, reduced inhibitory control might  
7 masquerade as imperfect auditory word recognition, stemming from increased competition  
8 (Sommers & Danielson, 1999). Thus, auditory and cognitive declines in older listeners lead to  
9 complex interactions that may affect more than one aspect of spoken word recognition. For a  
10 window into real-time processing, we recommend online measures of sensory processing (e.g.,  
11 ABR, ERP), and cognitive effort in word recognition (eye tracking, pupillometry, fMRI) because  
12 they do not rely on listeners having to execute explicit, post-hoc decision-based responses.

13 Additional recommendations for ways to better control for differences in audibility when  
14 comparing language performance for younger and older adults (Humes et al., 2012; see also  
15 Schneider et al., 2010) include: screening participants for adequate pure tone thresholds up to  
16 4,000 Hz, using a four-group experiment design such as young/old listeners with good/poor  
17 hearing, simulating hearing loss in younger adults by masking or filtering the speech input in  
18 order to separate effects of degraded input from cognitive aging, or using large samples and  
19 statistically partial out effects of sensory abilities. Another strategy that can equate baseline  
20 difficulty is to use speech recognition thresholds to tailor the stimulus level for each participant  
21 (e.g., as reviewed by Sommers, 2005). Equating baseline difficulty in particular when measuring  
22 performance on a complex linguistic task ensures that older adults are not placed at an overall  
23 disadvantage simply because of age differences in ability to perceive the input.

1 Regarding the downstream effects of poor auditory processing, we hypothesize that increased  
2 variability in the neural response to a singular speech sound may lead to a fuzzy and poorly  
3 defined representation of the acoustic stimulus by the central nervous system (Hornickel &  
4 Kraus, 2013; Skoe et al., 2015), which may ultimately adversely affect processes crucial to word  
5 recognition. Listeners with low auditory encoding fidelity (as measured by temporal encoding  
6 fidelity and/or neural response consistency) might, as a consequence, not accurately encode  
7 subtle but meaningful phonetic distinctions, which, we propose, could lead to increased difficulty  
8 during lexical access. Indeed, results from a recent study suggest that high ABR consistency  
9 leads to faster word recognition (Johns, Myers, Skoe, & Magnuson, 2017). Consider that  
10 reduced auditory encoding fidelity could contribute to increased competition as follows:  
11 unreliable or inconsistent neural responses to sound might lead to a less precise, "fuzzy" neural  
12 encoding of the speech input. The fuzzy encoding might lead to more words becoming partially  
13 activated as potential target words, effectively increasing the size of the competitor set and  
14 impeding robust word recognition. For example, poor auditory encoding of *bear* might lead to  
15 *bear* and *pear* becoming similarly activated (along with many words that would not be strongly  
16 activated by a precise encoding of *bear*, such as *pain*). Activation of many words without a clear  
17 bottom-up advantage for the target word would lead to a prolonged and sluggish competition  
18 process in a model like TRACE (McClelland & Elman, 1986), without any change in the  
19 inhibition process itself. Thus, increased competition effects (Ben-David et al., 2011; Sommers  
20 & Danielson, 1999; Taler et al., 2010) might emerge from unreliable neural responses to auditory  
21 input. While Sommers and Danielson (1999) attribute slowing in lexical access under conditions  
22 of increased competition to poor inhibition in the older population, we argue that the data cannot  
23 actually distinguish between this account and one which points to declines in auditory encoding

1 fidelity because the authors did not include a measure of encoding fidelity. Recently, Johns and  
2 colleagues (2017) conducted a more complete assessment of the relation between cognitive  
3 abilities, sensory abilities, and online measures of spoken word recognition and found that neural  
4 response consistency predicted the speed of spoken word recognition for both younger and older  
5 adults. This is preliminary evidence that supports the notion that a fuzzy auditory encoding will  
6 impede lexical access, and future work is needed to further investigate this hypothesis.

7

8 In sum, we suggest that future work will be needed to determine whether auditory and  
9 cognitive deficits combine to give rise to these competition effects. What are the implications of  
10 these complex interactions of auditory and cognitive factors on speech perception? In the  
11 coming years, research directed at the following questions will help us to continue to disentangle  
12 auditory and cognitive effects on word recognition:

13 1) If older listeners struggle with spoken word recognition as a function of auditory  
14 difficulties, then are similar patterns observed in younger listeners who never fully  
15 developed quality auditory encoding (e.g., reading impairment, Hornickel & Kraus,  
16 2013; Neef, Schaad, & Friederici, 2017)?

17 2) Are there lifetime (or late-in-life) experiences that can preserve word recognition for  
18 a listener with auditory and/or cognitive declines (e.g., Gordon-Salant et al., 2006;  
19 Pichora-Fuller et al., 2006)? For example, training older listeners on perceptual  
20 discrimination can improve phoneme categorization (Anderson et al., 2013b). This  
21 then leads us to ask how perceptual training affects the understanding of real words,  
22 and whether the effects generalize to improved communication outside the laboratory.

1           3) In real-world situations where communication hinges on understanding more than just  
2           single words, to what degree do auditory and cognitive processes interact? For  
3           example, Schneider and colleagues reported age differences in passage recall  
4           (Schneider et al., 2000; see Schneider et al., 2010 for a review of processing speech in  
5           a noisy setting).

6           4) Can older adults capitalize on their decades of experience with auditory and language  
7           input to mitigate auditory processing declines? Much research is taken from the  
8           perspective that the older listener experience deficits in language comprehension,  
9           however, other evidence suggests that older listeners are better than younger listeners  
10           at filling in linguistic information based on expectation (Pichora-Fuller, 2009).  
11           Likewise, might older adults be better than younger listeners at attending to specific,  
12           relevant aspects of the acoustic signal because they have had more time to learn what  
13           can help differentiate a potentially ambiguous word?

14           Some of these questions are currently being investigated in our labs and others, while others are  
15           fertile ground for further exploration. Given that phoneme and word identification rely on  
16           successful neural transduction of the auditory input, that the neural encoding of auditory input is  
17           variable across listeners and across the lifespan, and that there may be limits to how much  
18           compensation can be achieved from cognitive resources, these research questions and others  
19           relevant to spoken language processing in older (and younger) listeners call for an  
20           interdisciplinary approach that includes rigorous assessment of auditory encoding and cognitive  
21           abilities.

## Box 1. Key Terms Defined

- **Older adult:** varies by study, but is usually around 60 years or older.
- **Younger adult:** roughly 18-24 years old, which is the typical age range of college undergraduates.
- **Auditory processing:** The peripheral (cochlear) and central (subcortical and cortical) auditory system processes that culminate in the perception of a sound. Auditory processing is often assessed by determining auditory thresholds. Threshold measures the lowest intensity at which a listener can detect an auditory stimulus. (In this review, we refer specifically to auditory pure tone thresholds, the lowest intensity at which a listener can detect a tone of a particular frequency, because those are most commonly used outside of communication sciences; however, speech reception thresholds are an additional, and perhaps more relevant, measure of auditory thresholds.)
- **Auditory encoding fidelity:** An important aspect of auditory processing that refers to the precision with which specific acoustic features of the stimulus are preserved in the neural response to auditory input, as measured by behavioral tasks and electrophysiological recordings. We consider two facets of auditory encoding fidelity:
  - **Temporal encoding fidelity** the precision of encoding the temporal features of a stimulus, such as a voice onset time or formant transitions within a speech syllable.
  - **Neural response consistency** how consistently the neural response is produced when the same sound is played in a repeated fashion (Anderson, Parbery-Clark, White-Schwoch, & Kraus, 2012).
- **Working memory:** Postle defines working memory: “Working memory refers to the retention of information in conscious awareness when this information is not present in the environment, to its manipulation, and to its use in guiding behavior” (Postle, 2006, p. 23; Wingfield, 2016). Working memory is often assessed in older listeners with a reading span (Daneman & Carpenter, 1980) or a digit span task.
- **Inhibitory control:** A cognitive process that is involved in actively suppressing irrelevant information (cf., Bialystok, Craik, & Luk, 2008; Novick, Trueswell, & Thompson-Schill, 2005), often assessed with tasks such as the Stroop test (Stroop, 1935) or the Trail Making Test (Reitan, 1992).

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