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### Simulations of Microstructure Evolution during Friction Stir Blind Riveting using a Cellular Automaton Method

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#### KEYWORDS

Cellular Automaton; Friction stir blind riveting; Modeling; Microstructure evolution; Dynamic recrystallization.

#### ABSTRACT

Friction stir blind riveting (FSBR) is a novel and highly efficient joining technique for lightweight metal materials, such as aluminum alloys. The FSBR process induced large gradients of plastic deformation near the rivet hole surface and resulted in a distinctive gradient microstructure in this domain. In this study, microstructural analysis is conducted to analyze the final microstructure after the FSBR process. Dynamic recrystallization (DRX) is determined as the dominant microstructure evolution mechanism due to the significant heat generation during the process. To better understand the FSBR process, a two-dimensional Cellular Automaton (CA) model is developed to simulate the microstructure evolution near the rivet hole surface by considering the FSBR process loading condition. To model the significant microstructure change near the rivet hole surface, spatial distributed temporal thermal and mechanical loading conditions are applied to simulate the effect of the large gradient plastic deformation near the hole surface. The distribution grain topography and recrystallization fraction are obtained through the simulations, which agree well with the experimental data. This study presents a reliable numerical approach to model and simulate microstructure evolution governed by DRX under the large plastic deformation gradient in FSBR.

#### 1. INTRODUCTION

In transportation industries, the reduction of effective weight of the moving masses without compromising the safety is one of the key challenge for next generation vehicles. Aluminum alloys have been widely used as light metal in automobile industries especially in vehicle bodies to reduce its effective weight leading to improvement in fuel economy and reduction in CO<sub>2</sub> emission. Some of this light metals are difficult to join by conventional spot welding process, so considerable amount of research is going on to develop new joining process to join sheet metal quickly and economically.

Wang and Stevenson [1,2] invented the friction stir blind riveting (FSBR) process by combining friction stir and blind riveting processes. During the FSBR process, a high speed rotating (2000~12000 rpm) blind rivet is brought in contact with the upper sheet of a stack of sheet metals. The stack can be a collection of 2~4 sheet metal in lap joint configuration. The frictional heat generated between rivet and workpiece softens the material leading to reduction of required force to drive the rivets into the workpiece. When the rivet is fully inserted into the workpiece, it is upset as in conventional blind riveting.

The performance of the mechanical joint by FSBR process has been considerably studied for joining similar and dissimilar materials [2–7] in recent years. Gao et. al [2] first conducted experimental analysis of FSBR process on Aluminum alloys and proved this process is able to provide better static and fatigue strength compared to other joining processes. Later Min et al [4] studied the effect of process parameters like spindle speed and feed rate on penetration force/torque and consumed energy during frictional penetration of blind rivets into Aluminum

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sheets. Increase in feed rate significantly increase the penetration force while increase in spindle speed reduce the penetration force due to more thermal softening of material at higher rotational interaction of the mandrel with the material. Min et al [5,6] investigated the FSBF process to join dissimilar materials Magnesium and Aluminum alloy which are very difficult to weld together. The placement of the sheets also plays a significant role for joint strength. They also found that the material around the rivet shank get hardened during the penetration process which significantly affect the joint strength. Later, Min et al used the FSBF process to join carbon-fiber reinforced polymer composites to aluminum alloy. The joint strength depends on the track-up sequence of the sheets [7].

In recent years, Cellular Automata (CA) method has been developed to simulate the microstructure evolution governed by dynamic recrystallization (DRX) during the hot deformation processes of various metals, e.g. carbon and alloy steels [8–15], copper [16–21], magnesium alloys [22–24], and titanium alloy [25,26]. These previous work demonstrated that the CA method is an efficient approach to predict the microstructure evolution with reasonable computational cost. However, all the previous work focused on the hot deformation processes with low strain rate and usually under constant thermal loadings.

To better understand the FSBF process, a two-dimensional (2D) CA approach is implemented for a quantitative and topographic prediction of the microstructure evolution under the large gradient of plastic deformation in the process. The large gradient of plastic deformation is modeled by applying spatial distributed temporal thermal and mechanical loading conditions. The distribution and history of grain topography and recrystallization fraction are obtained. The simulated grain size distributions agree well with the experimental data, so the developed CA model is validated. This model is a reliable

numerical approach for predicting microstructure evolution governed by DRX under the large plastic deformation gradient.

## 2. EXPERIMENTS OF FSBF

The principle of Friction stir riveting process is based on the experimental work conducted by Min et al. [27]. Table 1 shows the details of the experimental conditions. In the experimental study of FSBF process, an AA6111-T4 sheet with a gage thickness of 0.9 mm, is penetrated by FSBF process. The experiment is conducted by using blind rivets SSPV-08-06 manufactured by Advel®, USA with shank diameter 6.4 mm. The rivets are made of mild steel and it has a fine zinc coating on the surface. Table 2 lists down physical and mechanical properties of the workpiece and rivets.

Table 1: Experimental Conditions [27].

<b>Materials</b>	AA 6111-T4(Sheets) Zinc coated Mild-Steel (Rivets)
<b>Sheet Thickness</b>	0.9 mm
<b>Rotational speed</b>	6000 rpm
<b>Feed rate</b>	780 mm/min

The AA6111-T4 sheet was rolled and annealed before the experiment and it had strain free equiaxed grains as shown in Fig. 1a. The average grain size was  $24.9 \pm 1.9 \mu\text{m}$ . After the FSBF process, the specimen was sectioned along the rivet axis and polished in Struers polishing machine. Then, the specimen is etched with 0.5% HF for 3 seconds followed by the standard EBSD analysis using CARL ZEISS scanning electron microscope. Three different analysis step sizes are used for the analysis. Fine step size of  $1 \mu\text{m}$  is used near the hole surface and gradually it is increased to  $3 \mu\text{m}$  away from the hole surface. Fig.

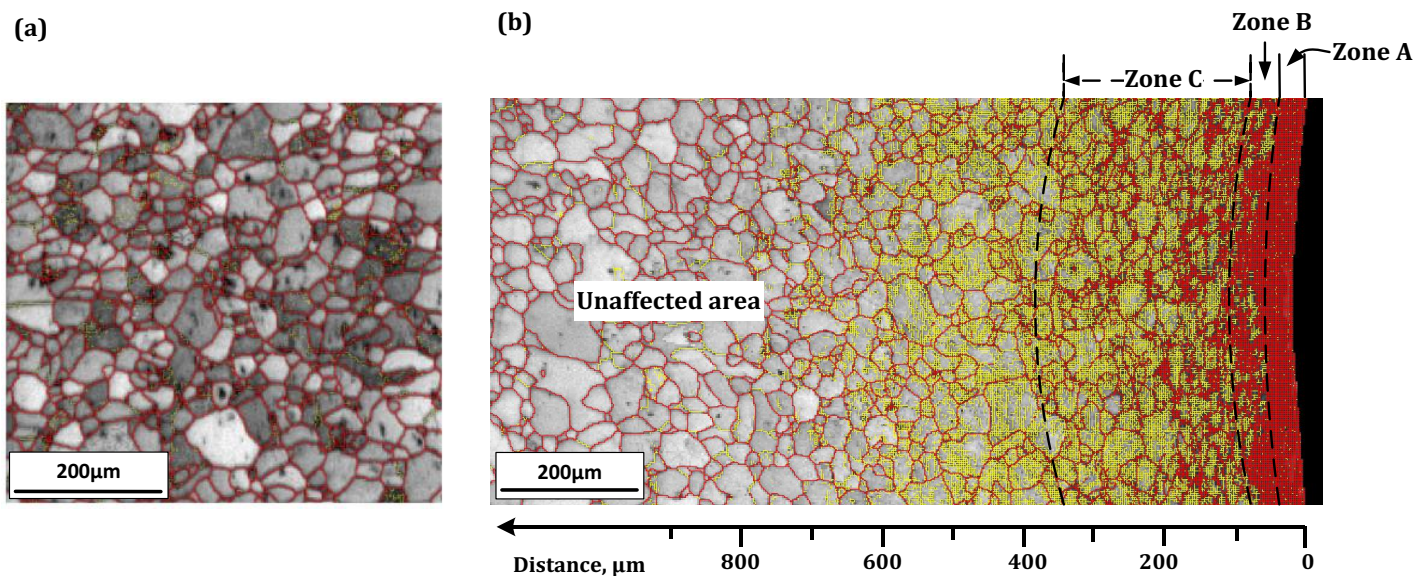


Fig. 1: Microstructure evolution in the rivet wall: a) equiaxed original microstructure; b) classification of different zones based on the grain evolution after the process (original images adopted from [27]).

1b shows the microstructure of the specimen processed with experimental condition mentioned in Table 1. Average grain size was calculated by analyzing the micrograph using open source MATLAB program “linecut”. Significant grain size refinement was observed near the friction stir penetrated hole surface after the process (Fig. 1b). The average grain size in different zones is shown in Fig. 2. The grain size was as low as 1~2  $\mu\text{m}$  near the hole surface and gradually increased away from the hole surface. Beyond 350 $\mu\text{m}$  the average grain size was similar to as received material.

Table 2: Mechanical and Physical Properties[28,29]

Property	AA6111-T4	Steel (rivet)
Density (kg/m <sup>3</sup> )	2710	7800
Young's modulus (GPa)	70	200
Poisson's ratio	0.33	0.30
Yield Strength (MPa)	165	386
Thermal conductivity (W/m·°C)	167	47.7
Heat Capacity (J/Kg·°C)	996	432.6

The evolution of microstructure as shown in Fig. 1-3 highly depends on the process temperature and shear deformation in the domain. FSBF process involve sliding and sticking contact between rivet and workpiece material as in all friction stir processes [30]. When the high speed rotating rivet penetrate into the workpiece, workpiece material closest to the hole partially stuck with the rivet surface and stirred during the process. As a result, the material undergoes severe plastic deformation near the hole surface. This region also has higher process temperature as

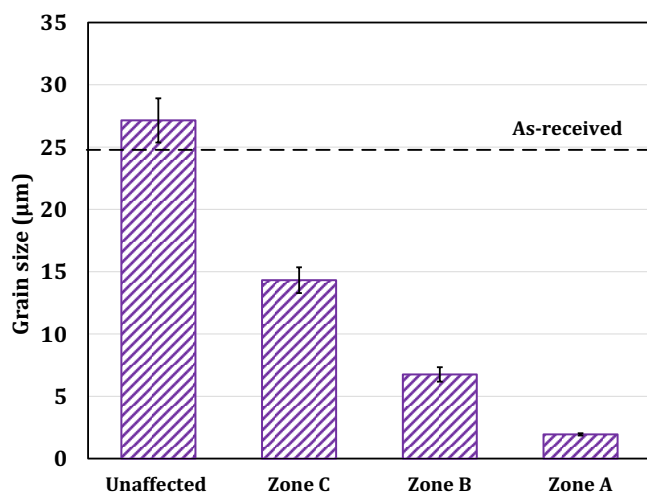


Fig. 2: Grain size Measurement

it is very close to the frictional heat flux between base material and rivet. It is most likely that the temperature in this region reaches up to the recrystallization temperature range. Severe shear deformation also indicates that it should have stored enough strain energy to trigger the dynamic recrystallization

(DRX) [31–33]. At the same time, if the material underwent severe plastic deformation, there is higher rate of nucleation compare to the rate of growth [34]. On the contrary, as we move away from the hole surface, less plastic deformation happens as the stirring effect reduces as distance increases. It also has low temperature as they are far from the frictional heat source. As the temperature at the surrounding is still very low, the temperature drops immediately after the completion of the process. These two reason might have prevented to have grain growth after the DRX.

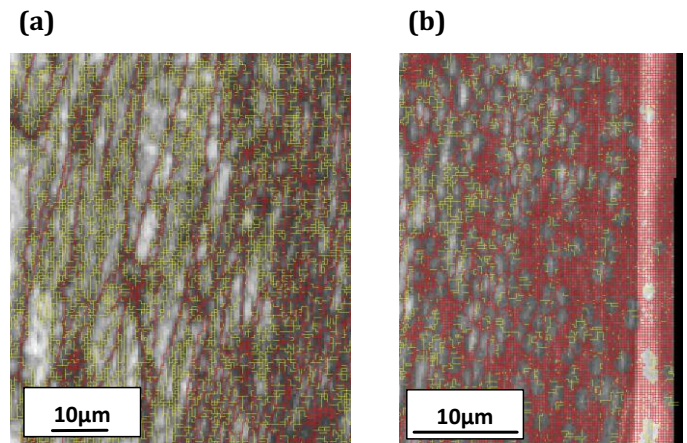


Fig. 3: Zoom in view of the (a) zone B and (b) zone A

Based on the above analysis, the microstructural evolution during the FSBF process is significantly important as it explains the existence of fine recrystallized grains near the penetrated hole surface and coarse grains as you go away from the hole edge. The final microstructure is determined by a 2D model based on CA method to understand the evolution of grains during this process.

### 3. CELLULAR AUTOMATON MODEL

Dynamic recrystallization (DRX) has a significant effect on the evolution of microstructure during manufacturing processes as they are dominated by high shear deformation and temperature gradient resulting in grain refinement to improve strength and toughness. Therefore, it is very important to understand the mechanism and predict the dynamic recrystallized microstructures to control the mechanical properties of end product. So far, there have been a lot of researches on dynamic recrystallization in manufacturing processes, but mainly focus on macroscopic experiments and finite element simulation. It is difficult to directly observe the dynamic process of microstructure evolution during DRX. The development of cellular automata technique has provided the possibility for simulating and predicting the evolution of microstructure during manufacturing processes dominated by high gradient thermal and mechanical loading. In this study, a MATLAB code is developed based on cellular automata technique.

### 3.1 Model Assumptions

It has been widely studied that DRX is triggered when the dislocation density reaches a critical value in a deformed material. This critical value depends on two important processing parameters as temperature and strain rate distribution in the analysis domain [21,35]. Two important features during dynamic recrystallization are nucleation and grain growth. Both of them are greatly influenced by the evolution of dislocation density inside a grain. In order to simplify the CA model this article considers the following five basic assumptions:

(1) To simplify the structure, grain rotation is ignored which means there is no texture in the deformation of the grains. It is also considered that there is no existence of vacancy, twins, stacking fault, the second phase particles and other defects in the crystal.

(2) AA6111 alloy used for the FSBF experiment is in T4 condition which is solutionized and natural aging condition. The dislocation density in a well annealed aluminum is in the range of  $10^{10} / \text{m}^2$  [36,37]. It is assumed that the initial dislocation density is uniform and equal in the initial grains. At the onset of DRX, nucleation of a new grain happens at the grain boundary. It is assumed that the new grain is dislocation free. For the recrystallized grains, the dislocation density is reset to a very low number and it further evolves based on the strain rate and temperature distribution in the domain.

(3) Recrystallization grain nucleation happens only at the grain boundary which includes primary grain boundary and recrystallized grain boundary. This assumption is based on the several experimental observation about bulk nucleation at grain boundaries [38,39]

(4) Only the dislocation density and grain boundary energy is considered as the driving force of recrystallization simulation. Effect of elastic strain energy, surface energy is ignored.

(5) When the dynamic recrystallization grain dislocation density reaches the critical dislocation density, nucleation of a new dynamic recrystallized grain occurs at the grain boundary.

### 3.2 Modeling of Dislocation Evolution

During the deformation process, dislocation density is evolved according to work hardening and dynamic recovery (softening). The mean dislocation density is calculated as the sum of both of these competing processes:

$$\frac{\partial \rho}{\partial \varepsilon} = \left( \frac{\partial \rho}{\partial \varepsilon} \right)_{\text{hard}} + \left( \frac{\partial \rho}{\partial \varepsilon} \right)_{\text{soft}} \quad (1)$$

The first term on the right side of the equation is the increase in dislocation density due to work hardening, and the second term is the decrease in dislocation density due to softening associated with dynamic recovery. During the hot deformation of the metal, the work hardening and the softening are always carried out simultaneously. The change of the dislocation density

depends on the result of the competition between the two processes.

The change of dislocation density during stage III hardening of metals is modeled based on the phenomenological model (KM model) proposed by Kocks and Mecking [40,41]. This model considers that dislocation density is the single structural parameters that controls the kinetics of plastic flow of materials. The change of the mean dislocation density for different strain can be expressed as mentioned by Estrin [42]

$$\frac{\partial \rho}{\partial \varepsilon} = k_1 \sqrt{\rho} + \frac{1}{bd} - k_2 \rho \quad (2)$$

where  $k_1$  is a constant;  $b$  is Burger's vector;  $d$  is the mean grain size and  $k_2$  is the softening parameter which is a function of temperature and strain rate,  $k_2 = f(\dot{\varepsilon}, T)$ .

For a simulation domain subjected to deformation, the evolution of dislocation is calculated by equation (2). The occurrence of nucleation during DRX is based on the accumulation of dislocations. When the mean dislocation density in the deformed metal reaches the critical dislocation density during thermomechanical process, the nuclei for DRX will start to form on grain boundaries. Stuwe and Ortner [43] proposed a theoretical model to calculate critical dislocation density. The critical dislocation density is used instead of the critical strain to describe the necessary conditions for the dynamic recrystallization. According to Roberts and Ahlstrom [44], the value of critical dislocation density determined by the deformation conditions, and it is calculated by the change in energy associated with the formation of a nucleus on a pre-existing grain boundary.

$$\rho_c = \left( \frac{20\gamma_m \dot{\varepsilon}}{3blM\tau^2} \right)^{1/3} \quad (3)$$

where  $\gamma_m$  is the grain boundary energy;  $l$  is the dislocation mean free path;  $M$  is the grain boundary mobility;  $\tau$  is the dislocation line energy, and  $\gamma_m, l, M$  and  $\tau$  are obtained by the following formulas:

$$\gamma_m = \frac{\mu b \theta_m}{4\pi(1-\nu)} \quad (4)$$

$$l = \frac{K_1 \mu b}{\sigma} \quad (5)$$

$$M = \frac{\delta D_{0b} b}{KT} \exp\left(-\frac{Q_b}{RT}\right) \quad (6)$$

$$\tau = c_2 \mu b^2 \quad (7)$$

where;  $\mu$  is the shear modulus,  $\theta_m$  is mis-orientation for a high angle boundary here it is assumed as  $15^\circ$ .  $\nu$  is Poisson's ratio;  $K_1$  is material constant, for most metals it is 10;  $\delta$  is the grain boundary thickness of the material;  $K$  is Boltzmann constant,  $D_{0b}$  is grain boundary self-diffusion coefficient at 0K.  $Q_b$  is grain boundary diffusion activation energy;  $R$  is ideal gas constant and  $c_2$  is material constant.



Table 3: CA model constants for AA 6111[45,46]

b, (nm)	$\mu$ (GPa)	$Q_b$ (kJ.mol <sup>-1</sup> )	$Q_{act}$ (kJ.mol <sup>-1</sup> )	$\delta D_{0b}$ (m <sup>3</sup> .s <sup>-1</sup> )	R (J.mol <sup>-1</sup> K <sup>-1</sup> )	$\theta_m$ (rad)
0.286	25.9	82	142	$5.0 \times 10^{-14}$	8.314	$\pi/12$

### 3.3 Modeling of Nucleation Rate

In this study, nucleation rate is calculated based on the model proposed by Ding and Guo [21] which incorporated the influence of processing temperature of deformation [35] and strain rate [47].

$$\dot{n}(\dot{\epsilon}, T) = C \dot{\epsilon}^m \exp\left(-\frac{Q_{act}}{RT}\right) \quad (8)$$

where  $C$  is constant;  $\dot{\epsilon}$  is the strain rate; exponent  $m$  is a constant and its value is 1;  $Q_{act}$  is the activation energy;  $R$  is Ideal gas constant, and  $T$  is temperature in Kelvin.

The DRX percentage is calculated by.

$$\eta = \dot{n} \frac{\epsilon}{\dot{\epsilon}} \frac{4}{3} \pi r_d^3 \quad (9)$$

where  $\eta$  is the percentage of DRX,  $\epsilon$  is the true strain and  $r_d$  is the mean radius of all the recrystallized-grains.

The value of the mean radius of all the recrystallized grains is calculated using:

$$\frac{\sigma_s}{\mu} \left( \frac{2r_d}{b} \right)^n = K \quad (10)$$

Where  $\sigma_s$  is the steady state flow stress,  $n$  is a constant and its value is 2/3 and  $K$  is a material constant.

### 3.4 Recrystallized Grain Growth

Dislocation density is the driving force for the nucleation site during growth. The grain boundary tends to move from the side of the recrystallized grains with low dislocation density to the grains with high dislocation density due to the driving force associated with difference in the dislocation density between the matrix grains and the recrystallized grains. For the first recrystallization grain, the growth rate follows the equation:

$$V_i = M f_i \quad (11)$$

where  $f_i$  is the growth driving force per unit area of the recrystallized grains is represented by the following formula:

$$f_i = \tau(\rho_m - \rho_i) - 4 \frac{\gamma_i}{d_i} \quad (12)$$

where  $\rho_m$  is the dislocation density of matrix grains;  $\rho_i$  is dislocation density of recrystallized grains;  $d_i$  is the diameter of recrystallized grains;  $\gamma_i$  is the grain boundary energy between the matrix and the recrystallized grains is calculated as follows:

$$\gamma_i = \begin{cases} \gamma_m \frac{\theta_i}{\theta_m} [1 - \ln(\frac{\theta_i}{\theta_m})] & \text{for } \theta_i \leq \theta_m \\ \gamma_m & \text{for } \theta_i > \theta_m \end{cases} \quad (13)$$

where  $\theta_i$  is the orientation difference between the recrystallized grains  $i$  and the adjacent crystal grains. The material parameters used for the CA simulation of AA 6111-T4 is listed in Table 3 [45,46].

### 3.5 Initial Grain Structure

Before simulating the DRX, the initial microstructure was simulated using a simple grain growth algorithm. The simulation domain is set as  $400 \mu\text{m} \times 200 \mu\text{m}$ . The step by step procedure is mentioned below:

1. Cell: A square cell of  $1.0 \mu\text{m} \times 1.0 \mu\text{m}$  was used.
2. Cell state: The simulation process gives each cell six state control variables, as follows:
  - A. Orientation variable: different grains with different orientation number distinction, grain cell orientation values are 1-180, randomly generated.
  - B. Dislocation density variable: dislocation density represents the deformation of the grain storage energy. If the dislocation density of the boundary cell reaches the critical dislocation density  $\rho_c$ , the cell undergoes nucleation according to the probability of nucleation, and the dynamic recrystallization takes place.
  - C. Grain boundary variable: In this model, the two-layer cell at the grain boundary is the grain boundary. To distinguish the grain from the grain boundary, we set the grain boundary variable, which is 0 and 1. Grain boundary cell is 0.
  - D. The grain number of variables: each grain has a unique number, for the number of recrystallized grains.
  - E. The number of recrystallization variables: the value of an integer, used to measure dynamic recrystallization grain size, recrystallization does not occur the number of cell variables is 0, recrystallization occurs when the number of cell variables plus one.
  - F. Color display variables: used to simulate the recrystallization grain morphology features, the parent phase is displayed as black and white graphics, recrystallization grain is displayed as RGB color images.
3. Cell space: In this model,  $400 \times 200$  two-dimensional square cells are used, and the simulated region represents  $400 \mu\text{m} \times 200 \mu\text{m}$  real size.
4. Neighbor type: Considering the equiaxial growth of recrystallized grains, the influence of nearest neighbors is considered by adopting Von-Neumann neighbor type.

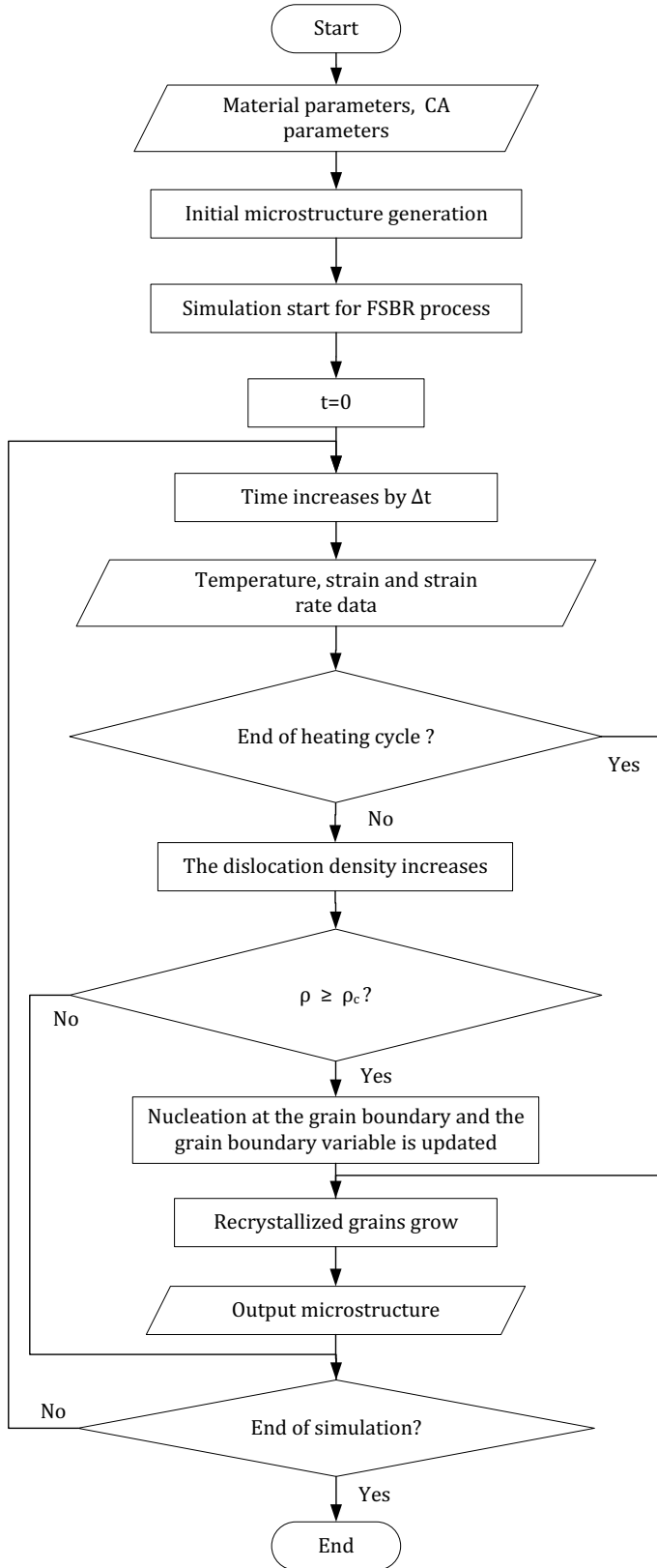


Fig. 4: Flow chart for CA model

5. A running step representative time: According to equation 11 and 12, every simulation step simulates the recrystallization grain boundary mobility. The grain growth driving force is not the same for each step. To achieve a reasonable conversion between each simulation step and the actual deformation time increment, it is important to ensure that at least one cell in the simulation system has undergone an orientation transition where it is moved by one cell length. In this paper, we take the minimum time required to grow a cell as the actual time  $\Delta t$  corresponding to one step of the model.

$$\Delta t = \frac{L_{CA}}{V_{max}} \quad (14)$$

where  $V_{max}$  is maximum grain boundary moving rate and  $L_{CA}$  is unit cell side length. The expression for  $\Delta t$  can be simplified as follows:

$$\Delta t = \frac{L_{CA}}{M \Delta F_{max}} \quad (15)$$

where  $\Delta F_{max}$  is maximum grain boundary migration driving force. Then the strain increase for a time step can be expressed as

$$\Delta \varepsilon = \dot{\varepsilon} \Delta t = \dot{\varepsilon} \frac{L_{CA}}{M \Delta F_{max}} \quad (16)$$

### 3.6 Dynamic Recrystallization Simulation Flow

The microstructure evolution during FSBR process with dynamic recrystallization. The model is simulated for deformation and heat generation of a single pass of friction stir penetration. The detailed steps for the simulation are as follows:

1. Input the relevant parameters of the material and heat deformation conditions. Enter the initial value, including: gas constant  $R$ , activation energy  $Q_{act}$ , shear modulus  $\mu$ , Burgess vector  $b$ , temperature ( $T$ ) history, equivalent strain history, strain rate history.
2. Generate the initial grain distribution is generated using section 3.5. The grain orientation was randomized to a positive integer between 0 and 180, and the cell recrystallization state was set to zero.
3. Dislocation density increases according to dislocation density evolution in section 3.2 for each time step. The average dislocation density at different times is determined by the average of the dislocation densities of all the cells in the region:

$$\bar{\rho} = \frac{1}{NN} \sum_{i,j}^{NN} \rho_{i,j} \quad (17)$$

where  $\rho_{i,j}$  is dislocation density at the cell site and  $NN$  is the total number of cells in the simulation region.

4. Recrystallization nucleation: the nucleation of recrystallized grain is randomly distributed along the grain boundary during the simulation of CA. For a particular time step, the nucleation probability for a certain cell is given by

$$p_{\text{nuc}} = \dot{n} \times \Delta t \times S_{\text{CA}} \quad (18)$$

where  $\Delta t$  is the actual time corresponding to a step size and  $S_{\text{CA}}$  is the area of the unit cell, for square cells,  $S_{\text{CA}} = L_{\text{CA}}^2$ ,  $L_{\text{CA}}$  is a single cell length size.

The random number *rand* is inserted into each time step. If *rand* <  $p_{\text{nuc}}$ , the cell becomes a dynamic recrystallization nucleus. The orientation number of the cell is randomly assigned in the range of 1-180 and the value of the six state variables correspondingly updated. The dislocation density variable is set to its dislocation density was set to a very small number. If *rand* >  $p_{\text{nuc}}$ , the cells do not undergo dynamic recrystallization nucleation.

5. Dynamic recrystallization grain growth: The recrystallized grains of newborns continue to grow so that the grain boundary migrates continuously. The migration distance of recrystallized grain boundaries is determined by:

$$L_G = V_i \Delta t \quad (19)$$

During the deformation, the driving force for grain growth of the recrystallized grains will gradually slow down, and the grain stop length will be reduced when the grain boundary is moved by one cell length in a time step. Large or recrystallized grains collide with the cells of other recrystallized grains, the two grains stop growing in the same direction at the same time. The detailed flow chart is shown in Fig. 4.

#### 4. SIMULATION RESULTS AND DISCUSSIONS

It is clearly observable that the material microstructure went through significant evolution during the process at different location away from the hole surface. According to the grain size, hardness, the processed microstructure can be classified in different zones as follows:

- Zone A:  $x < 26 \mu\text{m}$  away from hole edge
- Zone B:  $26 \mu\text{m} > x > 88 \mu\text{m}$  away from hole edge
- Zone C:  $88 \mu\text{m} > x > 363 \mu\text{m}$  away from hole edge
- Unaffected:  $x > 363 \mu\text{m}$  away from hole edge

Unaffected zone had similar microstructure and average grain size as base material. As we move to Zone C, we see the grains started to reduce in size. The average grain size reduces significantly to  $14.3 \pm 1.0 \mu\text{m}$ . This indicates that amount of shear deformation started to increase as we moved to zone C. As we moved more towards hole surface, significantly smaller grains can be observed. As we go into the zone B which is between  $88 \mu\text{m}$  and  $26 \mu\text{m}$ , elongated grains with high aspect ratio can be seen as in Fig. 3a. The grain size is reduced significantly and average grain width reduces to  $6.76 \pm 0.58 \mu\text{m}$ . On the contrary, in zone A, those elongated grains are dissolved and finer grains has evolved (Fig. 3b). Average grain size is close to  $1 \mu\text{m}$  and some grains were below  $1 \mu\text{m}$  as well.

Simulated microstructural evolution during DRX is done using the material properties of AA6111-T4 as listed in Table 3.

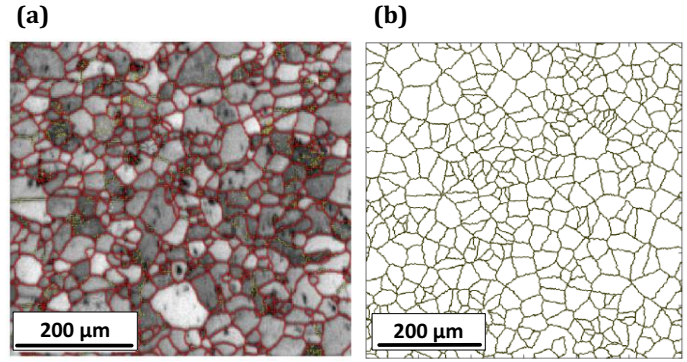


Fig. 5: Initial microstructure comparison : (a) Initial microstructure of the AA6111-T4 by EBSD [27]; (b) Simulated initial microstructure of the AA6111-T4;

Fig. 5 shows the comparison of initial microstructure. EBSD analysis prior to the experiment. The initial mean diameter of the primary grains is  $26.5 \pm 1.8 \mu\text{m}$ . This is close to the experimental measurement of  $24.9 \pm 1.9 \mu\text{m}$ . Exact match is difficult as the initial microstructure generation algorithm is based on random allocation of nucleation points.

The simulated microstructure evolution of the AA6111-T4 during FSRB process is shown in Fig. 6a-c under thermomechanical condition (temperature and strain rate distribution) across the simulation domain. The right boundary of the domain is physically equivalent to the hole surface. This boundary has higher temperature and strain rate compared to the left boundary which is  $400 \mu\text{m}$  away from the hole surface. As the simulation progresses DRX nucleation starts at the grain boundary near the right side boundary (Fig. 6a) and slowly spread towards left boundary (Fig. 6b) as the simulation progresses.

After the heating cycle is over which means the frictional penetration is finished the material starts cooling. As the bulk material is still at low temperature, the cooling rate is very fast. The DRX nucleation stops immediately at the right boundary. The final grain distribution after the completion of cooling cycle is shown in Fig. 6c.

The Fig. 6d shows the experimental result of DRX completion in right side boundary as this region has high strain rate and high temperature distribution. The simulation result shows that the right boundary has smaller grain size  $2.35 \pm 0.05 \mu\text{m}$  as shown in Fig. 6c. It shows that these fine grains are distributed within a distance of  $\sim 100 \mu\text{m}$  away from the hole surface. The size fine grains on the right boundary agrees well with the experimental result (Fig. 6d) by Min et. al. [27]. The experimentally measured size of the fine grain area is  $88 \mu\text{m}$ . This error might be attributed from the assigned temperature boundary conditions in the simulation as it is very difficult to capture accurate temperature distribution in such a small resolution experimentally.

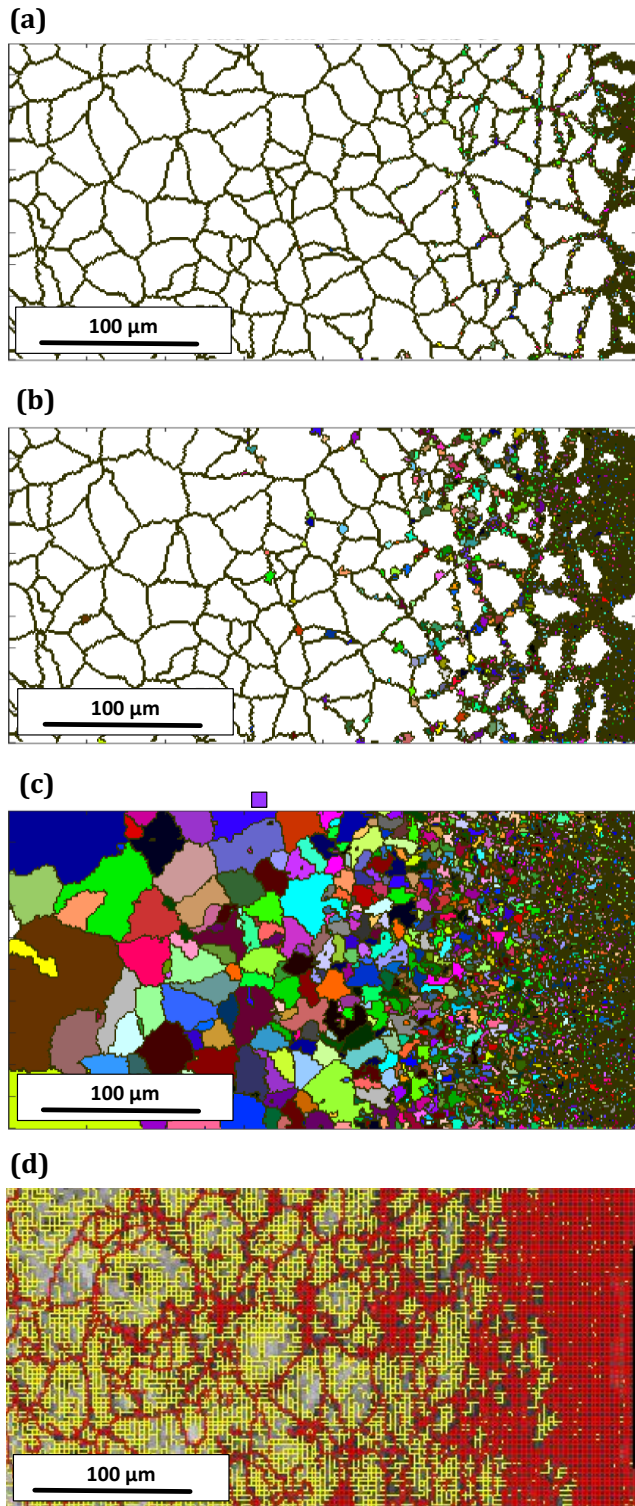


Fig. 6: Evolution of microstructure during CA simulation: (a) DRX nucleation near the right side boundary, (b) DRX nucleation spread towards left boundary; (c) final simulated grain structure; (d) experimental grain structure (experimental images adopted from [27])

Fig. 7 compares the simulated grain distribution across different zones with experimental findings. Our current analysis domain is based on the zone A, B and C as mentioned in section 2. The simulated average grain size agrees well with the experimentally calculated grain sizes.

As a final note, evolution of sub-micron grains is not captured in this model. The resolution of the simulation domain is currently limited to 1  $\mu\text{m}$ . As a result, this model is unable to capture the submicron grains near the hole edge. The future goal is to implement gradient resolution across the analysis domain by modifying the model parameters as a function of resolution.

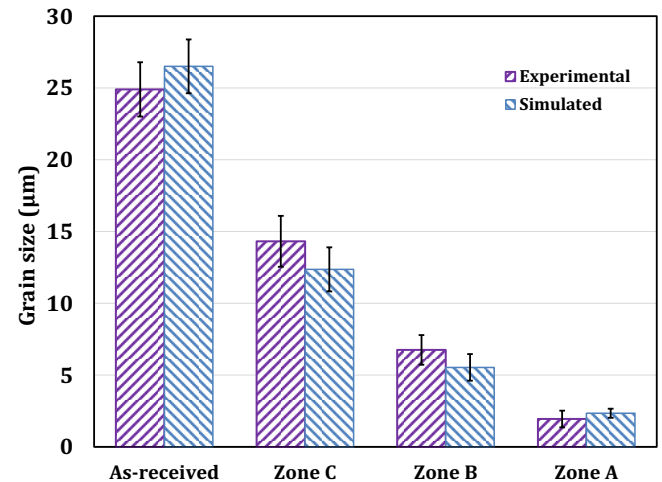


Fig. 7: Simulated grain size comparison

## 5. CONCLUSIONS

The evolution of microstructure evolution by DRX in friction stir blind riveting process is first time successfully modeled using CA method. A MATLAB code has been developed to implement the CA method to capture the microstructure evolution during the process. The DRX of grains is modeled based on the evolution of dislocation density during the process loading condition in terms of temperature, strain rate and strain distribution across the domain.

The simulated initial grain size distribution agreed well with the experimental EBSD measurement. The simulation result demonstrated that the high temperature and strain rate distribution at the friction stir riveted hole triggered dynamic recrystallization. The final grain size distribution is predicted and validated with experimental measurement. Simulation result agrees well with the experimental EBSD data. In the future work, this CA model will be improved for analysis of sub-micron grains and it will be used to capture the microstructure evolution as a function of different process condition.

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