

Predicting the Electrochemical Synthesis of 2D Materials from First Principles

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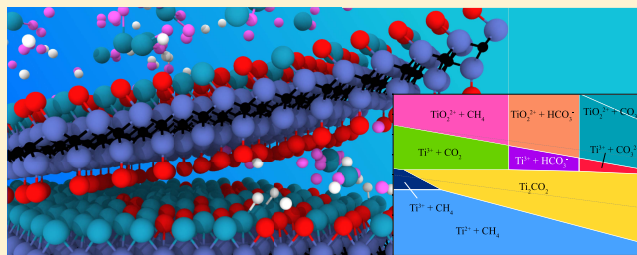
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ABSTRACT: We show that Pourbaix diagrams generated by combining first principles and tabulated experimental data can determine the electrochemical conditions needed to synthesize metastable phases in solution. As an example, we investigate the synthesis of two-dimensional transition-metal carbides and nitrides (M_2X enes) from their M_2AX phase precursors and observe good agreement between the predicted synthesis conditions and those used for existing M_2X enes. In addition, we prescribe synthesis conditions to increase the yields of certain M_2X enes and possibly even enable the synthesis of new M_2X enes. Our results show that the general stability of nitride M_2X enes is not dramatically different from their carbide counterparts, but that most of their experimentally available precursors are more difficult to etch initially because of their more inert A elements.



INTRODUCTION

Acid etching is one of several techniques available for creating two-dimensional (2D) materials.^{1–4} This process is typically performed by immersing a layered bulk precursor in an acid chosen to selectively remove layers of sacrificial atomic species from among other layers. The newly exposed surfaces of the remaining 2D layers might be passivated by species in solution,⁵ and often bind to one another through weak dispersion forces.⁶

Theory and modeling have become indispensable tools for predicting the stability of new 2D materials^{7–11} and their synthesis through methods like mechanical exfoliation^{12,13} or chemical vapor deposition.^{14,15} For synthesis via acid etching, the aqueous environment presents additional challenges for accurate modeling by first-principles approaches.^{16,17}

Here, we present a first-principles framework to characterize the acid etching process of bulk precursor compounds and predict the synthesis conditions for the formation of 2D materials. Our consideration includes applied electric potentials, which are often ignored as a degree of freedom during experimental synthesis. This allows us to investigate the effects of the chosen acid and its concentration, the potential in solution, and the choice of the bulk precursor compound from first-principles calculations. As a benchmark and example of the framework's usage, we investigate the synthesis of several

MX enes, a well-studied class of 2D materials that has been synthesized by acid etching.^{5,6,8,18–25}

MX ENES

MX enes are 2D transition-metal carbides and nitrides that can be etched from layered ceramics known as MAX phases.^{26–30} These ceramics derive their name from their chemical formula $M_{n+1}AX_n$, where M is an early transition metal, A a group 12–16 element, X carbon or nitrogen, and n generally ranges from 1 to 3. The specific cases discussed in this work are all for $n = 1$, and we will use the term M_2X enes for this subclass. Figure 1a shows an example crystal structure for the V_2AlC MAX phase. Due to their compositional flexibility, the list of experimentally available MAX phases is quite large and growing.³¹

When certain MAX compounds are immersed in an aqueous HF solution or in other solvents with active F^- ions,^{32,33} the acid selectively attacks the $M-A$ bonds, often forming AF_x compounds, which diffuse out from among $M_{n+1}X_n$ layers. These $M_{n+1}X_n$ layers are immediately passivated by species coming from the solution, especially oxygen,⁵ to form a final stoichiometry close to $M_{n+1}X_nO_2$. At this point during the

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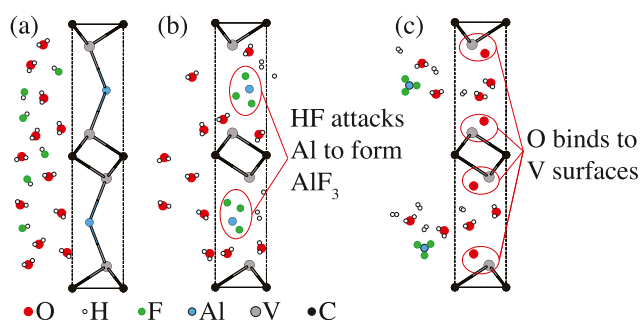


Figure 1. Illustration of the etching process for the V_2AlC M_2AX phase. (a) V_2AlC crystal structure is immersed in an aqueous 0.5 M HF solution. (b) F^- ions sever the V–Al bonds and are starting to form new Al–F bonds. (c) Etching is complete, with O atoms bound to the newly exposed V surfaces on the M_2Xene and Al–F ions or AlF_3 salts diffusing out.

synthesis, the $M_{n+1}X_nO_2$, or $MXene$, layers are held together by dispersion forces, and can be mechanically separated after removal from the solution. Not including compositions with solid solutions of more than one M or X element, the $MXenes$ that have been successfully synthesized in this way include Ti_2CO_2 , V_2CO_2 , Nb_2CO_2 , Ti_2NO_2 , $Ti_3C_2O_2$, $Ti_4N_3O_2$, $Nb_4C_3O_2$, and $Ta_4C_3O_2$.^{6,21,23,24,34–37} Mo_2CO_2 , $Zr_3C_2O_2$, and $Hf_3C_2O_2$ $MXenes$ have also been synthesized using slightly different precursors and/or techniques.^{38–40}

METHODS

Recently, Persson et al.¹⁶ developed a formalism to compare the formation energies of solids, molecules, and ions in solution by combining experimental data and density-functional theory (DFT), which provides a new theoretical microscope for investigations of aqueous etching processes. This formalism enables the construction of Pourbaix, or pE/pH phase, diagrams, which illustrate which species are thermodynamically stable in an aqueous solution at a given applied potential and pH. The formalism is briefly described in the next sections, and for a complete discussion along with validation of its accuracy, the reader is referred to ref 16.

This formalism has been coded into the Pymatgen software package,⁴¹ which has a library of functions for creating Pourbaix diagrams. We have implemented this functionality for metastable phases in the MPInterfaces software package,⁴² and it is this implementation that we use to create the diagrams in this work.

Using this approach, we construct Pourbaix diagrams to investigate the aqueous stability of several nonsolid solution $n = 1$ $MXenes$ and their corresponding MAX phases, hereafter referred to as M_2Xenes and M_2AX phases, respectively. On the basis of these diagrams, we predict experimental etching solutions with the highest chance of successful synthesis for selected M_2Xenes .

Pourbaix Diagrams. To generate the Pourbaix diagrams for the M_2Xenes and M_2AX phases, we select known competing ionic and molecular species that may form in solution from experimental databases.^{43,44} Only molecular and elemental species are considered; additional solid phases are intentionally left out since they have been considered as competing phases for $MXenes$ elsewhere,⁵ and molecular species represent the most kinetically straightforward decomposition products in solution. We utilize the experimental ionic formation energies obtained from the NIST NBS tables⁴⁵ in

lieu of DFT-calculated values, as it is computationally very demanding to obtain reliable formation energies for dissolved ions and molecules in standard DFT. For dissolved species for which the NBS tables do not contain data, we use values from Pourbaix's 1966 Atlas.⁴⁴ We extract formation energies from these references for all molecules containing E–O, E–H, and E–O–H, where E is repeated for each of the M, A, and X elements. We also add molecules with compositions containing fluorine, since it is also present in the experimental etching solutions.

We employ the formation energies for standard conditions, G_i^0 , to calculate the Gibbs free energy, G_i , of each species, i , in solution⁴⁵

$$G_i(c_i, \text{pH}, \phi) = G_i^0 + 0.0591 \log c_i - n_O \mu_{H_2O} + \text{pH} (n_H - 2n_O) + \phi(-n_H + 2n_O + q_i) \quad (1)$$

where c_i is the concentration of species i , n_O and n_H are the respective numbers of oxygen and hydrogen atoms in the species, μ_{H_2O} is set to the formation energy of water at -2.46 eV, ϕ is the electric potential, and q_i is the species' charge. We select a moderate concentration of 10^{-3} M for all ionic species in solution unless otherwise noted, and include ions containing F to mimic the experimental solution. Equation 1 without the concentration-dependent term also describes the Gibbs free energy of the solid phases as a function of pH and applied potential, ϕ . From the Gibbs free energies of all dissolved species and solid phases, i , and their dependence on pH and potential, ϕ , we construct the Pourbaix diagram as the convex hull connecting the formation energies of all compounds and species, just like any other phase diagram.

DFT Calculations. The energies of all solid compounds are calculated using DFT with the Vienna ab initio simulation package (VASP).^{46,47} To obtain an accuracy of about 1 meV/atom, we use a plane-wave basis with a cutoff energy of 500 eV and sample the reciprocal spaces with a Monkhorst–Pack mesh⁴⁸ with a density of 1000 k -points per atom.

While in solution, M_2Xenes exist as dispersion-bound multilayer structures and not free-standing nanosheets. Their accordion-like morphologies typically contain dozens of individual layers.¹⁸ Therefore, the energies of the M_2Xenes are calculated using fully periodic structures⁴⁹ to account for the binding interaction among neighboring layers. Dispersion-corrected optB88 functionals^{50–53} are used to accurately capture the interlayer interactions in these structures.

Correction to Experimental Formation Energies. To bridge the compatibility gap between experimental formation energies and DFT-calculated formation energies, we employ the linear correction scheme of Persson et al.¹⁶ In this formalism, experimental formation energies of ions are shifted by the difference between the DFT and experimental formation energies of a reference compound (preferably a simple binary oxide) containing the same element as the ion. For example, the correction factor applied to the formation energies of all ions containing Nb is calculated as

$$\Delta\mu_{Nb}^{\text{corr}} = \frac{1}{2} [E_f^{\text{DFT}}(Nb_2O_5) - E_f^{\text{exp}}(Nb_2O_5)] \quad (2)$$

where Nb_2O_5 is the reference compound and the factor 1/2 normalizes the correction per Nb atom. The experimental formation energies, E_f^{exp} , are taken for all binary oxides from ref

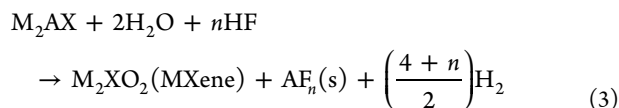
54. We apply this correction additively for each atom in a molecule or molecular ion.

Potentials of Zero Charge. The intrinsic electric potential at a neutral metallic surface in solution, or the potential of zero charge (PZC), is often nonzero. This is an important effect to consider in etching processes because it can create local potentials at the precursor surface where the etching reactions occur, even when no external potential has been applied. Therefore, the PZC can be used to determine the relevant region of the Pourbaix diagram for an experimental etching reaction carried out without any external field.

To obtain PZC values for M_2AX surfaces, we calculate the Fermi level of M-terminated M_2AX slabs in an implicitly modeled solvent. The PZC is equal to the difference between the Fermi level of the slab and the potential in the bulk of the solvent with respect to the standard hydrogen electrode (SHE).

We use the implicit solvation model VASPsol^{55,56} with an aqueous electrolyte of permittivity $\epsilon_r = 78.4$ and a Debye length of 2 Å, and a slab thickness of 11 atomic layers, separated by 30 Å of the solvent. The SHE potential calculated using VASPsol is 4.6 V.⁵⁶ With these settings, we obtain the PZC values that are shown as horizontal dashed lines in the Pourbaix diagrams below.

Stability Criteria. The successful synthesis of a M_2Xene by electrochemical etching of a M_2AX phase requires two conditions to be fulfilled. First, the solution must be able to etch the A element from the M_2AX phase. This can be observed by the instability of the M_2AX phase in the Pourbaix diagram and the formation of A–F ions. These ions typically precipitate as AF_x salts during experimental synthesis, as illustrated in Figure 1. The second condition is that the remaining M_2XO_2 layers must not dissolve in the solution. In other words, the overall reaction should proceed as



These two criteria can be investigated simultaneously by creating a Pourbaix diagram with the M_2AX phase and the M_2Xene as its only two solid phases. Any regions in this diagram where the M_2AX phase is unstable against dissolution and where the M_2Xene is stable against dissolution indicate conditions under which the synthesis reaction should proceed.

We refer to these partial Pourbaix diagrams that contain only the two solid phases of interest as “etching” diagrams to distinguish between them and complete Pourbaix diagrams that contain all thermodynamically stable solid phases. Diagrams of this nature can be generated to illustrate any electrochemical etching process for which the expected initial and final stable or metastable compounds are known.

RESULTS AND DISCUSSION

Benchmarking the Stability of Existing M_2Xenes .

Figure 2 illustrates the application of this approach to the synthesis of the Ti_2CO_2 M_2Xene by electrochemical etching of the Ti_2AlC M_2AX precursor. Ti_2CO_2 has been experimentally synthesized by etching Ti_2AlC in aqueous HF solutions of 0.5 M concentration, which have a pH of about 1.5.¹⁸ There is a large region of stability for Ti_2CO_2 in the diagram, indicating the wide range of conditions under which this 2D material is

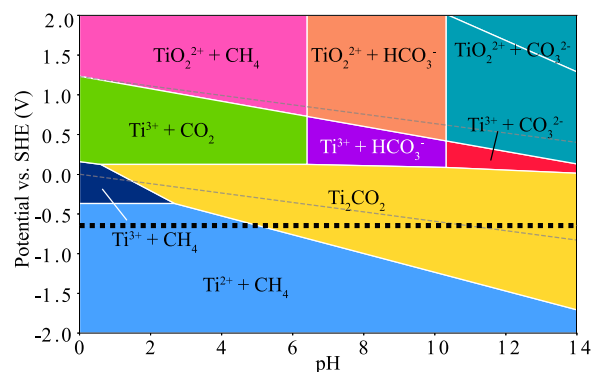


Figure 2. Etching diagram for Ti_2AlC , showing a region of stability for Ti_2CO_2 . For clarity, only Ti- and C-containing ions are labeled in the diagrams. The composition including other ions (e.g., Al- and F-based ions) changes for every facet in the diagram (regions enclosed by the solid white lines). The dashed black line indicates the PZC, and the dashed gray lines indicate the stability range for water.

stable. Additionally, there are no solution conditions where the Ti_2AlC precursor will not be etched.

The experimental conditions (pH = 1.5 and 0 V applied potential) are very near to the left edge of the predicted Ti_2CO_2 stability region, but with the PZC value for this system around −0.6 V, we expect that Ti_2CO_2 may not immediately be stable in the experimental solution near the Ti_2AlC surface. This could explain why synthesis attempts have achieved only 60% Ti_2CO_2 yield. If we assume that the other 40% of the possible yield dissolves into solution, the ionic concentration and pH values in solution will increase as the etching progresses.

Figure 3 shows how the stability region for Ti_2CO_2 grows in size as the ionic concentration is increased from 10^{-5} to 10^{-1}

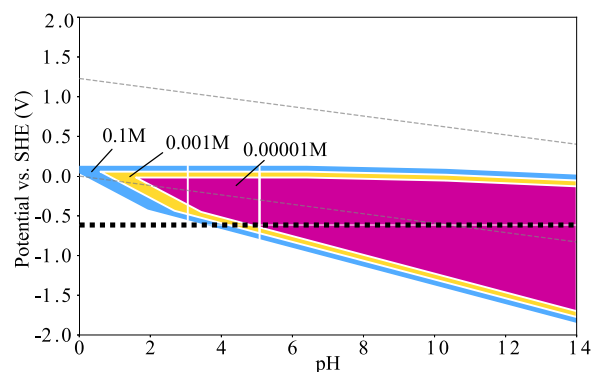


Figure 3. Regions of stability for Ti_2CO_2 at three different ionic concentrations of 10^{-1} , 10^{-3} , and 10^{-5} M.

M, following Le Châtelier’s principle and eq 1. We therefore anticipate that Ti_2CO_2 becomes increasingly stable as the etching reaction progresses and that etching solutions preconditioned with high ion concentrations may increase Ti_2CO_2 yield.

In addition to Ti_2CO_2 , two other carbide M_2Xenes (not including solid solutions) have been synthesized from M_2AX phases to date: Nb_2CO_2 and V_2CO_2 .²¹ Both of these were etched from A = Al M_2AX phases.

The etching diagram for Nb_2CO_2 in Figure 4 shows a wide range of stability at negative potentials. At low pH, the potential where Nb_2CO_2 becomes stable (−0.6 V) is quite

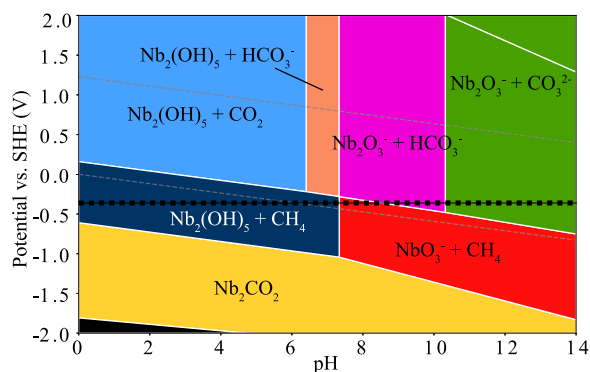
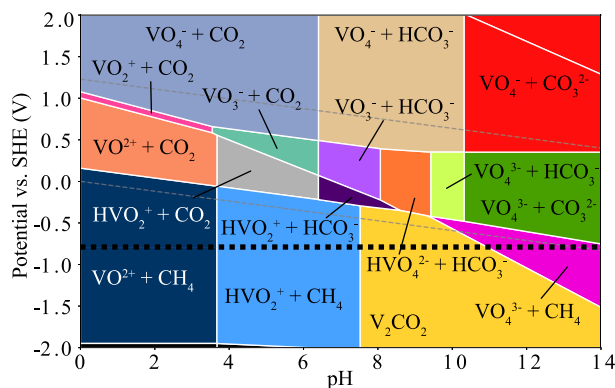


Figure 4. Etching diagram for Nb₂AlC with Nb₂CO₂. The PZC value is shown by the dashed black line.

close to its calculated PZC of -0.4 V, meaning that even without the application of an external potential, the reaction is expected to take place in 0.5 M HF solutions. This agrees well with the observed experimental yields of close to 100% for this M₂Xene.²¹

Figure 5a shows that V₂CO₂ is only stable at higher pH when using an ion concentration of 10^{-3} M. Similar to the case

(a) V₂AlC (0.001 M)



(b) V₂AlC (0.1 M)

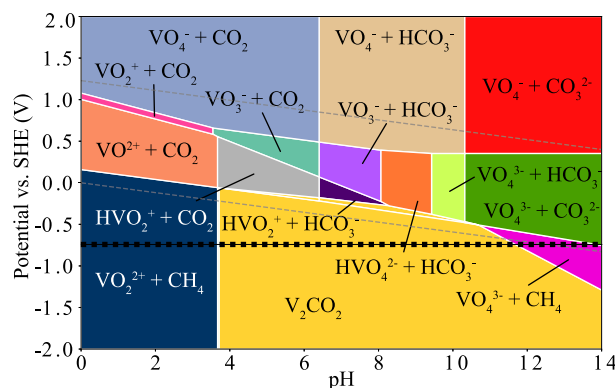


Figure 5. Etching diagrams for V₂AlC with V₂CO₂ at ion concentrations of (a) 10^{-3} M and (b) 10^{-1} M. The PZC value is shown by the dashed black line.

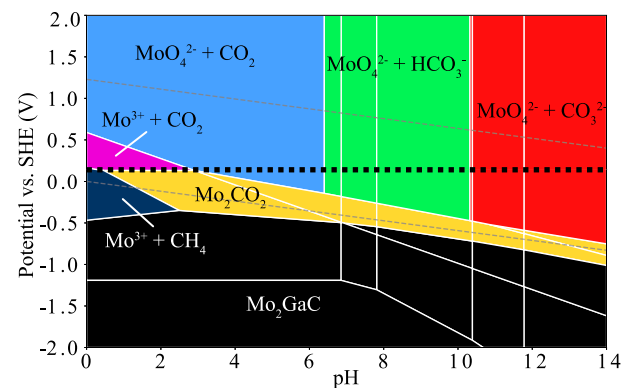
of Ti₂CO₂, this could explain why V₂CO₂ yields were only around 60% in the initial report of its synthesis.²¹ Figure 5b shows the etching diagram for V₂CO₂ using projected experimental conditions after considerable dissolution; at an ion concentration of 0.1 M, the stability region for V₂CO₂

indeed spreads into acidic pH values between 3 and 4, reaffirming the reduced yield and delayed onset of successful etching for this material.

A small amount of the Mo₂CO₂ M₂Xene was synthesized³⁸ by etching a Mo₂Ga₂C nanolaminate⁵⁷ similar to the Mo₂GaC M₂AX phase. Mo₂GaC has not been successfully etched, so the exfoliation of Mo₂Ga₂C to form a M₂Xene nanosheet is a promising result, which broadens the possibilities of M₂Xene synthesis from off-stoichiometry M_{n+1}AX_n phases.

The etching diagrams for Mo₂GaC and Mo₂Ga₂C in Figure 6 show that these precursors behave quite similarly and are

(a) Mo₂GaC



(b) Mo₂Ga₂C

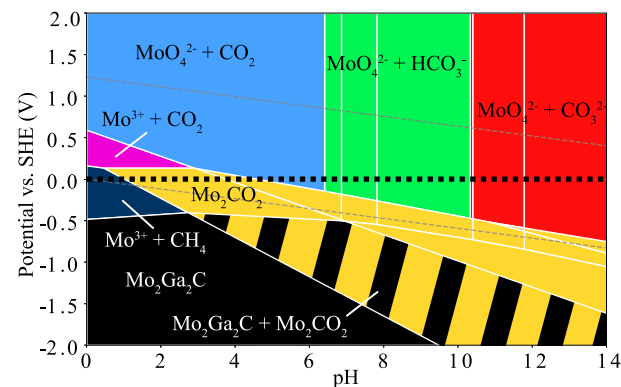


Figure 6. Etching diagrams including 2D Mo₂CO₂ for (a) Mo₂GaC and (b) Mo₂Ga₂C. The PZC values are shown by the dashed black lines.

more difficult to etch than the A = Al precursors shown so far. The main difference between these two is that there is a region in Figure 6b where Mo₂Ga₂C and Mo₂CO₂ are expected to coexist, but no such region exists for Mo₂GaC under the same conditions.

The actual Mo₂CO₂ yields were not provided in the report of its synthesis, but significant amounts of an unetched precursor were observed in the final solution along with Mo₂CO₂,³⁸ in good qualitative agreement with the coexistence region in Figure 6b. We also highlight that the PZC is slightly lower for Mo₂Ga₂C than for Mo₂GaC, which may also help to stabilize Mo₂CO₂ as it forms. Our results confirm that Mo₂Ga₂C is a better precursor than Mo₂GaC, but that 100% yields for either precursor may be difficult to achieve.

Our predictions for these systems indicate that these diagrams can capture successful experimental etching conditions with an estimated accuracy around $\text{pH} \pm 1$ and ± 0.5 V.

This limit is most likely due to the kinetic barriers that can block the formation of solvated species like CH_4 . For example, if the formation of CH_4 from Nb_2CO_2 is inhibited, the Nb_2CO_2 stability region in Figure 4 can expand even to within the stability range of water. Therefore, experimental conditions close to but not inside the predicted M_2Xene stability region may well be sufficient for successful etching.

Despite the good description of these benchmark systems, we point out that a number of experimental subtleties have not been treated by our DFT calculations, including the effects of varying surface coverage (species other than oxygen on the M_2Xene surfaces or incomplete surface coverage) on the M_2Xenes ' stability. Effects of this nature can arise for varying potentials, pH, or pH_2 .

Nitride M_2Xenes . The etching diagrams of nitride, or $\text{X} = \text{N}$, M_2Xenes are of particular interest because these materials are more difficult to synthesize.²⁴ Nitride M_2Xenes also have electronic and mechanical properties considerably different from their carbide counterparts,^{24,58} meaning that their synthesis opens up a new range of accessible properties in the M_2Xene family. So far only two nitride MXenes , Ti_2NO_2 ³⁷ and $\text{Ti}_4\text{N}_3\text{O}_2$,³⁶ have been synthesized directly in solution, both from their $\text{A} = \text{Al}$ MAX phases. The list of experimentally known nitride M_2AX phases includes Ti_2AlN , Ti_2GaN , Ti_2InN , V_2GaN , Cr_2GaN , Zr_2InN , Zr_2TiN , and Hf_2SnN . We calculate and show etching diagrams for Ti_2AlN and V_2GaN in Figure 7.

The etching diagram for Ti_2AlN in Figure 7a displays a large stability region for Ti_2NO_2 . This does not immediately explain

why Ti_2NO_2 could not originally be synthesized using 5, 10, or 20% HF solutions,^{37,59} but it does indicate that this M_2Xene can exist as a metastable phase in acidic solutions. This is in agreement with later successful attempts at synthesis using hydrochloric acid and potassium fluoride.⁵⁹ The formation of anatase TiO_2 was observed in the unsuccessful synthesis attempts using HF etchants, meaning that nitride M_2Xenes must be carefully protected from excessive oxidation during synthesis. We have intentionally excluded TiO_2 , which is known to be the ground state for titanium in water under most conditions, from our diagrams to show the possibility of metastable phases forming. If it is included, it replaces Ti_2NO_2 everywhere it appears in the diagram.

Similar to Mo_2GaC , V_2GaN in Figure 7b still displays a large region of stability for the M_2AX phase at low potentials close to its calculated PZC where no etching is expected to occur. The appearance of this large M_2AX phase stability region for $\text{A} \neq \text{Al}$ is observed for other systems as well, confirming that $\text{A} = \text{Al}$ M_2AX phases are generally the best precursors for etching. Unfortunately, Ti_2AlN is the only such $\text{X} = \text{N}$ M_2AX phase currently in existence. We expect that the lack of $\text{A} = \text{Al}$ precursors is at least partly responsible for the rarity of nitride M_2Xenes . We also note that V_2NO_2 and Mo_2NO_2 were very recently synthesized by ammoniation of their carbide M_2Xene counterparts,⁵⁸ a synthesis route that circumvents the need for an $\text{A} = \text{Al}$, $\text{X} = \text{N}$ precursor and may prove to be successful for a number of other nitride M_2Xenes .

Aqueous Stability of New M_2Xenes . Cr- and Ta-Based M_2Xenes . Cr_2AlC exists as a precursor from which a new Cr_2CO_2 M_2Xene could potentially be synthesized.^{27,60} The etching diagram generated for this system in Figure 8a shows only a small window of stability for Cr_2CO_2 at $\text{pH} > 9$. Similar to those of Ti_2CO_2 and V_2CO_2 , this region grows with increasing ion concentration, but not as dramatically. Still, it is possible that some yield of Cr_2CO_2 could be achieved by carefully etching Cr_2AlC at moderate pH and high ion concentrations.

Ta_2AlC , in Figure 8b, displays a flat stability region for Ta_2CO_2 at potentials below -1.25 V and dissolves to form TaO_2^+ everywhere else in the diagram. The PZC for Ta_2AlC is actually slightly positive (0.08 V), so it is likely that an external potential would need to be applied to the solution to successfully etch Ta_2AlC into Ta_2CO_2 . Such a strong potential is well outside the range of water's stability, however, and may therefore lead to other more complex dissociation processes.

Sc-, Zr-, and Hf-Based M_2Xenes . We find that no M_2Xenes based on Sc, Zr, and Hf have any stability against dissolution in their precursor etching diagrams, even at high ionic concentrations. For Sc-based M_2Xenes , the Sc atoms dissolve into solution to form Sc^{3+} ions at $\text{pH} < 4$ and ScOH^{2+} ions at $\text{pH} > 4$. Zr atoms from Zr-based M_2Xenes are predicted to primarily form HZrO_3^{3-} ions in solutions with negative potentials. Similarly, Hf atoms will readily form HfO_2^{2+} in solution. All of these dissolution reactions show in the diagrams even at ionic concentrations up to 1 M. Therefore, none of these M_2Xenes are predicted to be viable using aqueous etching, regardless of the acid or the precursor used. This also means that these M_2Xenes , even if synthesized by alternative means, are unsuitable for aqueous applications, including photocatalysis. Thicker and more stable $n = 2$ $\text{Hf}_3\text{C}_2\text{O}_2$ and $\text{Zr}_3\text{C}_2\text{O}_2$ MXenes , however, were recently synthesized by etching layered compounds similar to MAX phases.^{39,40}

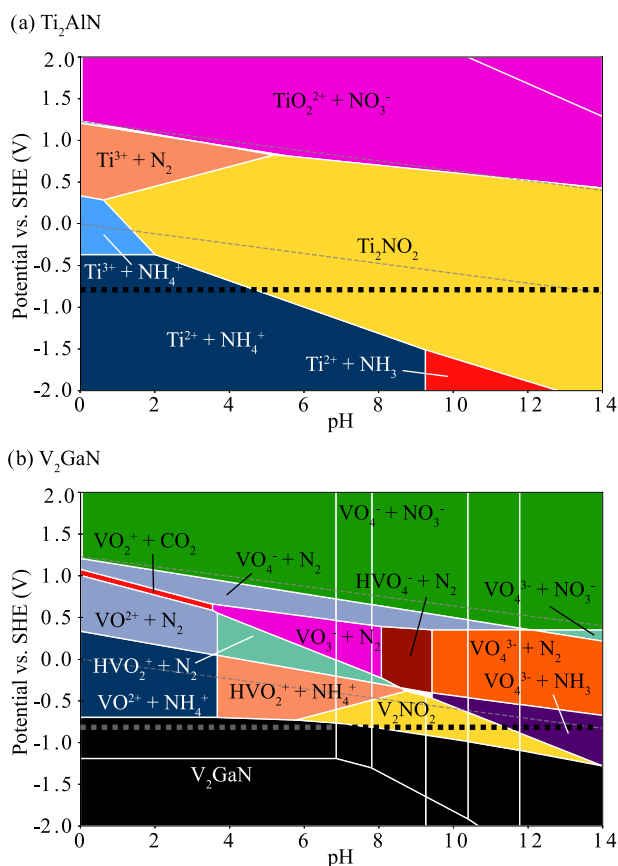


Figure 7. Etching diagrams for (a) Ti_2AlN and (b) V_2GaN and their respective M_2Xenes . The PZC values are shown by the dashed black lines.

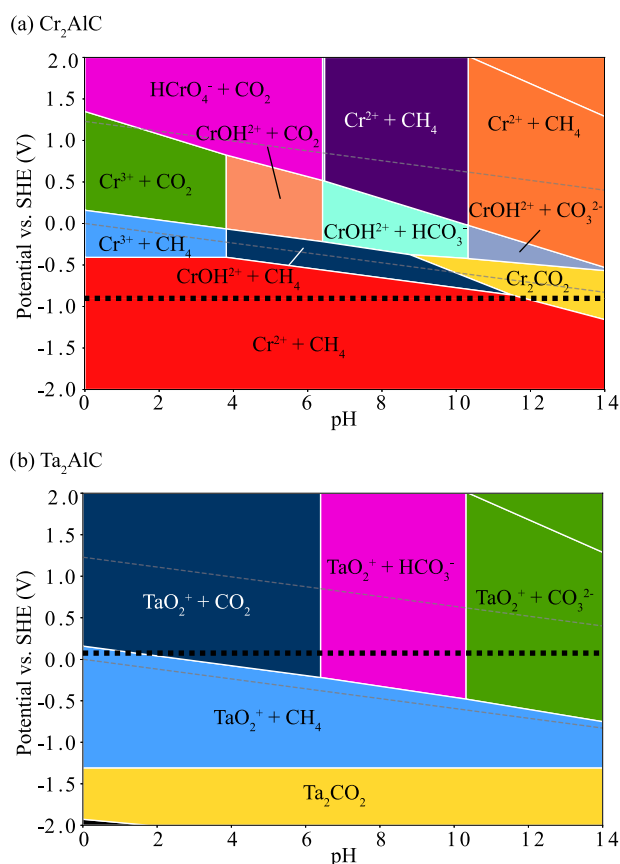


Figure 8. Etching diagrams for (a) Cr_2AlC and (b) Ta_2AlC and their respective M_2Xenes . The PZC values are shown by the dashed black lines.

CONCLUSIONS

We have developed a method by which the electrochemical synthesis of MXenes can be predicted using partial Pourbaix diagrams. This method can be generalized to apply to any class of materials under investigation as precursors for etching 2D materials or other metastable phases.

Specifically, the etching diagrams offer solution conditions that may enhance the yields of etching reactions of existing Ti_2CO_2 and V_2CO_2 M_2Xenes and may enable the synthesis of new M_2Xenes compounds, including Cr_2CO_2 and Ta_2CO_2 . In general, we recommend preconditioning etching solutions with high concentrations of metallic ions to inhibit the dissolution of metallic M_2Xene surfaces and thereby increase the final M_2Xene yield.

Importantly, the diagrams generated for nitride M_2Xenes show that some are metastable in acidic solutions, but the A elements, e.g., Ga, in many of their experimentally available precursors are more difficult to etch than Al. This offers an explanation why nearly all MXenes have been electrochemically synthesized from A = Al precursors to date.

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Notes

The authors declare no competing financial interest.

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