

## FRONT MATTER

### Title

- Full title: Multi-component new particle formation from sulfuric acid, ammonia, and biogenic vapors.
- Short title: Multi-component new particle formation.

### Teaser

Atmospheric aerosol formation from biogenic vapors is strongly affected by air pollutants, like NO<sub>x</sub>, SO<sub>2</sub> and NH<sub>3</sub>.

### Authors

Katrianne Lehtipalo<sup>1,2,\*</sup>, Chao Yan<sup>1</sup>, Lubna Dada<sup>1</sup>, Federico Bianchi<sup>1</sup>, Mao Xiao<sup>2</sup>, Robert Wagner<sup>1</sup>, Dominik Stolzenburg<sup>3</sup>, Lauri R. Ahonen<sup>1</sup>, Antonio Amorim<sup>4</sup>, Andrea Baccarini<sup>2</sup>, Paulus S. Bauer<sup>3</sup>, Bernhard Baumgartner<sup>3</sup>, Anton Bergen<sup>5</sup>, Anne-Kathrin Bernhammer<sup>6</sup>, Martin Breitenlechner<sup>6,†</sup>, Sophia Brilke<sup>3</sup>, Angela Buckholz<sup>7</sup>, Stephany Buenrostro Mazon<sup>1</sup>, Dexian Chen<sup>8</sup>, Xuemeng Chen<sup>1</sup>, Antonio Dias<sup>4</sup>, Josef Dommen<sup>2</sup>, Danielle C. Draper<sup>9</sup>, Jonathan Duplissy<sup>1</sup>, Mikael Ehn<sup>1</sup>, Henning Finkenzeller<sup>10</sup>, Lukas Fischer<sup>6</sup>, Carla Frege<sup>2</sup>, Claudia Fuchs<sup>2</sup>, Olga Garmash<sup>1</sup>, Hamish Gordon<sup>11</sup>, Jani Hakala<sup>1</sup>, Xucheng He<sup>1</sup>, Liine Heikkinen<sup>1</sup>, Martin Heinrizi<sup>5</sup>, Johanna C. Helm<sup>5</sup>, Victoria Hofbauer<sup>8</sup>, Christopher R. Hoyle<sup>2,‡</sup>, Tuija Jokinen<sup>1</sup>, Juha Kangasluoma<sup>1,23</sup>, Veli-Matti Kerminen<sup>1</sup>, Changhyuk Kim<sup>12,‡</sup>, Jasper Kirkby<sup>5,13</sup>, Jenni Kontkanen<sup>1,14</sup>, Andreas Kürten<sup>5</sup>, Michael J. Lawler<sup>9</sup>, Huajun Mai<sup>12</sup>, Serge Mathot<sup>13</sup>, Roy L. Mauldin III<sup>8,10</sup>, Ugo Molteni<sup>2</sup>, Leonid Nichman<sup>15,‡</sup>, Wei Nie<sup>1,16,17</sup>, Tuomo Nieminen<sup>7</sup>, Andrea Ojdanic<sup>3</sup>, Antti Onnela<sup>13</sup>, Monica Passananti<sup>1</sup>, Tuukka Petäjä<sup>1</sup>, Felix Piel<sup>5</sup>, Veronika Pospisilova<sup>2</sup>, Lauriane L. J. Quéléver<sup>1</sup>, Matti P. Rissanen<sup>1</sup>, Clemence Rose<sup>1</sup>, Nina Sarnela<sup>1</sup>, Simon Schallhart<sup>1,†</sup>, **Simone Schuchmann**<sup>13</sup>, Kamalika Sengupta<sup>11</sup>, Mario Simon<sup>5</sup>, Mikko Sipilä<sup>1</sup>, Christian Tauber<sup>3</sup>, António Tomé<sup>18</sup>, Jasmin Tröstl<sup>2</sup>, Olli Väisänen<sup>7</sup>, Alexander L. Vogel<sup>2,19</sup>, Rainer Volkamer<sup>10</sup>, Andrea C. Wagner<sup>5</sup>, Mingyi Wang<sup>8</sup>, Lena Weitz<sup>5</sup>, Daniela Wimmer<sup>1</sup>, Penglin Ye<sup>8,20</sup>, Arttu Ylisirniö<sup>7</sup>, Qiaozhi Zha<sup>1</sup>, Kenneth S. Carslaw<sup>11</sup>, Joachim Curtius<sup>5</sup>, Neil M. Donahue<sup>1,8</sup>, Richard C. Flagan<sup>12</sup>, Armin Hansel<sup>1,6,21</sup>, Ilona Riipinen<sup>14</sup>, Annele Virtanen<sup>7</sup>, Paul M. Winkler<sup>3</sup>, Urs Baltensperger<sup>2</sup>, Markku Kulmala<sup>1,22,23,\*</sup> and Douglas R. Worsnop<sup>1,20</sup>

### Affiliations

<sup>1</sup> Institute for Atmospheric and Earth System Research / Physics, Faculty of Science, University of Helsinki, P.O. Box 64, FI-00014 Helsinki, Finland.

<sup>2</sup> Laboratory of Atmospheric Chemistry, Paul Scherrer Institute, 5232 Villigen PSI, Switzerland.

<sup>3</sup> Faculty of Physics, University of Vienna, Boltzmanngasse 5, 1090 Wien, Austria.

<sup>4</sup> CENTRA and FCUL, Universidade de Lisboa, Campo Grande, 1749-016 Lisboa, Portugal.

<sup>5</sup> Goethe University Frankfurt, Institute for Atmospheric and Environmental Sciences, Altenhöferallee 1, 60438 Frankfurt am Main, Germany.

<sup>6</sup> University of Innsbruck, Institute for Ion and Applied Physics, 6020 Innsbruck, Austria.

<sup>7</sup> University of Eastern Finland, Department of Applied Physics, P.O. Box 1627, 70211 Kuopio, Finland.

- <sup>8</sup>Carnegie Mellon University Center for Atmospheric Particle Studies, 5000 Forbes Ave, Pittsburgh Pennsylvania, 15213, USA.
- <sup>9</sup>Department of Chemistry, University of California, Irvine, CA, 92697, USA.
- <sup>10</sup>Department of Chemistry and Biochemistry, University of Colorado, Boulder, CO, USA.
- <sup>11</sup>University of Leeds, Leeds LS2 9JT, United Kingdom.
- <sup>12</sup>California Institute of Technology 210-41 Pasadena, CA 91125 USA.
- <sup>13</sup>CERN, CH-1211 Geneva, Switzerland.
- <sup>14</sup>Department of Environmental Science and Analytical Chemistry (ACES) & Bolin Centre for Climate Research, Stockholm University, 10691 Stockholm, Sweden .
- <sup>15</sup>School of Earth and Environmental Sciences, University of Manchester, Manchester M13 9PL, UK.
- <sup>16</sup>Joint International Research Laboratory of Atmospheric and Earth System Sciences, Nanjing University, Nanjing, China.
- <sup>17</sup>Collaborative Innovation Center of Climate Change, Jiangsu province, China.
- <sup>18</sup>IDL, Universidade da Beira Interior, Covilhã, Portugal.
- <sup>19</sup>Laboratory of Environmental Chemistry, Paul Scherrer Institute, 5232 Villigen PSI, Switzerland.
- <sup>20</sup>Aerodyne Research Inc., 45 Manning Road, Billerica, MA 01821, USA.
- <sup>21</sup>Ionicon GesmbH, Innsbruck, Austria.
- <sup>22</sup>Helsinki Institute of Physics, FI-00014 Helsinki, Finland.
- <sup>23</sup> Aerosol and Haze Laboratory, Beijing University of Chemical Technology
- † Currently at: Harvard University, 18 Oxford Street, Cambridge, MA 02138, USA.
- ‡ Currently at: Institute for Atmospheric and Climate Science, ETH Zurich, Zurich, Switzerland
- ‡ Currently at: Department of Chemistry, Boston College, Chestnut Hill, MA, USA.
- ‡ Currently at: Department of Environmental Engineering, Pusan National University, Busan, 46241, Republic of Korea
- † Currently at: Finnish meteorological institute, 00560 Helsinki, Finland
- \*Correspondence should be addressed to: katrianne.lehtipalo@helsinki.fi

## Abstract

A major fraction of atmospheric aerosol particles, which affect both air quality and climate, form from gaseous precursors in the atmosphere. Highly oxygenated organic molecules (HOMs) formed by oxidation of biogenic volatile organic compounds, are known to participate in particle formation and growth. However, it is not well understood how they interact with atmospheric pollutants, such as, nitrogen oxides (NO<sub>x</sub>) and sulfur oxides (SO<sub>x</sub>) from fossil fuel combustion, as well as ammonia (NH<sub>3</sub>) from livestock and fertilizers. Here we show how NO<sub>x</sub> suppresses particle formation, while HOMs, sulfuric acid and NH<sub>3</sub> have a synergistic enhancing effect on particle formation. We postulate a novel mechanism, involving HOMs, sulfuric acid, and ammonia, which is able to closely reproduce observations of particle formation and growth in daytime boreal-forest and similar environments. The findings elucidate the complex interactions between biogenic and anthropogenic vapors in the atmospheric aerosol system.

## MAIN TEXT

### Introduction

Atmospheric new-particle formation (NPF) can dominate regional concentrations of aerosol particles and cloud condensation nuclei (CCN) and significantly contribute to their global

budgets(1-3). Because variations in CCN concentrations impact aerosol-cloud interactions and associated climate forcing, it is vital to understand both past changes to CCN since the industrial revolution and also expected future changes as emissions from fossil-fuel combustion decline in response to efforts to improve air quality and mitigate climate change(4).

NPF begins with the formation of molecular clusters from low-volatility vapors, and continues with their subsequent growth to aerosol particles under favorable conditions(5, 6). Sulfuric acid is believed to govern NPF in most environments, although it cannot alone explain the observed formation and growth rates(7, 8). Particle growth, on the other hand, has been closely linked to organic vapors(9), which are abundant in the continental boundary layers. Highly oxygenated organic molecules (HOMs) with exceedingly low vapor pressures can be involved at the very early stages of particle formation(10-12), but very few field studies have unambiguously observed NPF without sulfuric acid(13, 14). Despite numerous laboratory and field studies, interactions between organic and inorganic constituents, as well as their relative roles in atmospheric NPF, remain highly uncertain. It is also crucial to resolve whether the strong enhancement of nucleation rates by ions, which was observed in the pure systems(15, 16), occurs also when organic vapors interact with other compounds.

Recent laboratory experiments with comprehensive instrumentation and low contaminant levels have shown how NPF can proceed via a binary mechanism (water, sulfuric acid)(16-18), a ternary inorganic mechanism (water, sulfuric acid, base)(16, 19-21), or a ternary organic mechanism (water, sulfuric acid, organics)(10, 11, 22), or by nucleation of HOMs alone, *i.e.* pure biogenic nucleation(15). These experiments have constrained the particle formation rates in these model systems; however, none of them have reproduced conditions of the daytime atmospheric boundary layer, especially the boreal forest where NPF is very common(5). Some of the main differences are that most of the previous laboratory experiments did not include NO<sub>x</sub>, or they did not control the NH<sub>3</sub> concentrations.

NO<sub>x</sub> influences organic oxidation indirectly by changing the oxidant balance (OH vs. ozone and NO<sub>3</sub>), and directly by perturbing oxidation mechanisms, especially the branching of peroxy radical (RO<sub>2</sub>) reactions, which is crucial in the production of HOMs. NO<sub>x</sub> can decrease yields of secondary organic aerosol (SOA)(23, 24), and suppress NPF from terpenes(25), possibly by shutting off RO<sub>2</sub> auto-oxidation leading to HOMs(12) and, instead, forming (relatively) more volatile organonitrates(23). The oxidation of SO<sub>2</sub>, on the other hand, leads to the formation of sulfuric acid, which has a very low vapor pressure. Sulfuric acid also clusters very efficiently with bases(19), but whether this happens in the presence of organics is not known until now. Thus, both enhancement and suppression of NPF by human activity is possible, depending on conditions.

## Results

To simulate NPF and growth under realistic daytime conditions resembling those in the boreal forest (our reference being the Hyytiälä SMEAR II station in southern Finland), we performed experiments in the CLOUD (Cosmics Leaving OUtdoors Droplets) chamber at CERN (European Organisation for Nuclear Research). All experiments were performed at 278K and 38% relative humidity, and included monoterpenes (MT, C<sub>10</sub>H<sub>16</sub>). We used a 2:1 volume mixture of alpha-pinene and delta-3-carene, which are the two most abundant monoterpenes in Hyytiälä(26). The ozone mixing ratio in the chamber was ca. 40 ppbv, and

the hydroxyl radical (OH) concentration was controlled with an ultra-violet light system (see Materials and methods). We first performed experiments without SO<sub>2</sub> (H<sub>2</sub>SO<sub>4</sub> concentration < 2·10<sup>5</sup> cm<sup>-3</sup>), and then added 0.5-5 ppbv of SO<sub>2</sub>, leading to 1·10<sup>6</sup> – 7·10<sup>7</sup> cm<sup>-3</sup> of H<sub>2</sub>SO<sub>4</sub> in the chamber. The experiments were conducted with various mixing ratios of NO<sub>x</sub> (=NO+NO<sub>2</sub>, 0 - 5 ppbv) and ammonia (2 - 3000 pptv), covering the range from very clean to polluted environments. Most experiments were first performed without ions in the chamber (neutral conditions, N), and then repeated with ionization from galactic cosmic rays (GCR conditions).

Figure 1 shows the step-by-step change in nucleation rates (*J*) when going from a single-component system towards a more realistic multi-component mixture. Compared to the pure biogenic system with only monoterpenes in the chamber, fewer new particles are formed when NO<sub>x</sub> is added, and more particles are formed when SO<sub>2</sub> is added (Fig. 1, Fig. S1, S2). A further increase is observed when ammonia is added to the chamber as well. To understand the mechanism and magnitude of these effects, we will first discuss the reduction of particle formation by NO<sub>x</sub>, then the increase by addition of SO<sub>2</sub> and NH<sub>3</sub>, and finally show how each of these compounds are needed to explain new-particle formation and growth in the multi-component system.

### **Effect of NO<sub>x</sub> on particle formation rates**

We find that the particle formation rates largely follow the ratio of MT to NO<sub>x</sub> in the chamber (Fig. S3), as reported in an earlier study, albeit for larger particles(25). However, to discover the underlying cause of this, we need to understand what happens to HOMs when NO<sub>x</sub> is added to the chamber. Increasing the NO<sub>x</sub> concentration leads to a larger fraction of organonitrates (ONs) among all HOMs, and a significant decrease in dimers, although the total HOM concentration slightly increases. Therefore the volatility distribution is shifted towards more volatile products. This is consistent with lower SOA mass yields from terpenes at high NO<sub>x</sub> concentrations(23, 24).

In contrast to pure biogenic experiments(15), the nucleation rates in the presence of NO<sub>x</sub> do not correlate with the total HOM concentration (Fig. 2A). Therefore, we further divided the HOMs into four groups: non-nitrate HOM monomers (C<sub>4-10</sub>H<sub>x</sub>O<sub>y</sub>), non-nitrate HOM dimers (C<sub>11-20</sub>H<sub>x</sub>O<sub>y</sub>), organonitrate monomers (C<sub>4-10</sub>H<sub>x</sub>O<sub>y</sub>N<sub>1-2</sub>), and organonitrate dimers (C<sub>11-20</sub>H<sub>x</sub>O<sub>y</sub>N<sub>1-2</sub>). We find a clear difference in how non-nitrate HOMs and organonitrates (ONs) relate to the nucleation rates (Fig. 2, Table S1). The nucleation rates correlate with non-nitrate HOMs (Pearson's correlation coefficient R=0.72 for GCR experiments), especially with dimers (R=0.97), but not with ONs (R=-0.42).

It should be noted that the effect of NO<sub>x</sub> chemistry on HOM formation, and the subsequent NPF, might depend on the organic molecule in question; alpha-pinene has been reported to behave differently with respect to SOA formation than some other monoterpenes and sesquiterpenes(24, 27). For any given VOC concentration, the HOM yield and volatility distribution, both of which are altered by NO<sub>x</sub>, matter for the NPF efficiency. **Our results are also specific to photo-oxidation, i.e. daytime conditions.**

### **Effect of SO<sub>2</sub> and NH<sub>3</sub> on particle formation rates**

Let us next consider the addition of SO<sub>2</sub>, which quickly forms H<sub>2</sub>SO<sub>4</sub> in the chamber by OH oxidation under the presence of UV light. Without added ammonia (background NH<sub>3</sub> estimated to be ca. 2 pptv),  $J$  shows no correlation with sulfuric acid (Table S1, R=-0.06), consistent with an earlier CLOUD observation(15) that H<sub>2</sub>SO<sub>4</sub> does not affect nucleation from alpha-pinene ozonolysis at H<sub>2</sub>SO<sub>4</sub> < 6·10<sup>6</sup> cm<sup>-3</sup>. Our experiments with somewhat higher sulfuric acid concentration (H<sub>2</sub>SO<sub>4</sub> ≥ 1·10<sup>7</sup> cm<sup>-3</sup>) show consistently slightly higher  $J$  at the same HOM concentration than the experiments without SO<sub>2</sub> (Fig. 1, 2D). More importantly, at low HOM dimer concentrations the pure biogenic  $J$  drops below the detection threshold, although particle formation could still be observed together with H<sub>2</sub>SO<sub>4</sub> (Fig. 2D). This indicates that H<sub>2</sub>SO<sub>4</sub> is able to interact with HOMs to form particles, as speculated earlier(11), but the mechanism is inefficient without NH<sub>3</sub> (or another base).

Ammonia strongly enhances nucleation rates (Fig. 1, S1, S2, S4) when both H<sub>2</sub>SO<sub>4</sub> and HOMs are present simultaneously. In general, experiments at higher NH<sub>3</sub> (≥200 pptv) show up to 2 orders of magnitude higher  $J$  than otherwise similar experiments without added NH<sub>3</sub> (Fig. 1, S4). The multi-component experiments with all three precursors: MT, H<sub>2</sub>SO<sub>4</sub>, and NH<sub>3</sub>, in the presence of NO<sub>x</sub>, are able to qualitatively and quantitatively reproduce boreal-forest nucleation and growth rates (Fig. 3). The ternary inorganic mechanism (H<sub>2</sub>SO<sub>4</sub>, NH<sub>3</sub> and water) cannot explain them, as it produces very few particles at H<sub>2</sub>SO<sub>4</sub> concentrations below 1·10<sup>7</sup> cm<sup>-3</sup> and temperatures ≥278K(16, 21), although most NPF events in Hyytiälä occur at these conditions (Fig. 3A). The pure biogenic mechanism, on the other hand, does not show a similar H<sub>2</sub>SO<sub>4</sub> dependency as observed in the atmosphere, and it produces significant nucleation rates ( $J$  ≥ 1 cm<sup>-3</sup>s<sup>-1</sup>) only without NO<sub>x</sub> or when NO<sub>x</sub> is low compared to MT concentrations (MT/NO<sub>x</sub> ≥ 1) (Fig. S3). Thus, the nucleation rates detected during multi-component experiments cannot be explained solely by the sum of ternary inorganic and pure biogenic nucleation (Fig 3A).

### **Particle formation and growth in multi-component experiments**

Combining the observations listed above, we postulate that the formation rates in the multi-component system can be parametrized with the empirical formula

$$J = k_1[H_2SO_4]^a[NH_3]^b[HOM_{di}]^c, \quad (1)$$

where [HOM<sub>di</sub>] is the concentration of non-nitrate HOM dimers and  $k_1$ ,  $a$ ,  $b$ , and  $c$  are free parameters. This approach builds on the many observations showing that measured nucleation rates in the continental boundary layer seem to follow a power-law functional dependency on sulfuric acid concentration

$$J = k[H_2SO_4]^p, \quad (2)$$

with the exponent  $p$  varying between 1 and 2(6-8). The pre-factor  $k$  varies considerably between different locations, as it includes the variation of nucleation rates due to external conditions (T, RH etc.) and any co-nucleating vapors. Based on earlier CLOUD data showing the participation of oxidized organics in the first steps of particle formation(11), the parametrization was rewritten as

$$J = k_2[H_2SO_4]^p[BioOxOrg]^q \quad (3)$$

Compared to eq. (3), we have now included a dependency on ammonia, and further defined the oxidized organics participating in particle formation to be mainly non-nitrate HOM

dimers. In the next chapter, we will show that all of these species can participate in clustering simultaneously.

Using eq. (1) with  $a=2$ ,  $b=c=1$ , we can find an extremely good correlation ( $R=0.96$ ) between the modelled and measured formation rates for the set of neutral experiments at  $10 < \text{NH}_3 < 3000$  pptv,  $5 \cdot 10^6 < \text{H}_2\text{SO}_4 < 6 \cdot 10^7 \text{ cm}^{-3}$ ,  $100 < \text{MT} < 1200$  pptv,  $0.7 < \text{NO}_x < 2.1$  ppbv,  $\text{O}_3=40$  ppbv (Fig. 4, S5). Replacing  $[\text{HOM}_{\text{di}}]$  with  $[\text{MT}/\text{NO}_x]$  still gives a high correlation ( $R=0.92$ ). However, using eq. (3) with  $p=2$ ,  $q=1$  as in ref.(11) and  $[\text{BioOxOrg}]=[\text{HOMs}]$ , the correlation is clearly worse,  $R=0.53$ , mainly due to varying  $\text{NO}_x$  and  $\text{NH}_3$  concentration not included in the earlier parametrization (Fig. S5). A more sophisticated multi-component parametrization, which can be extended to a larger set of conditions (T, RH, ion concentration etc.) and a wider range of vapor concentrations is subject to future studies.

The enhancement of  $J$  due to ions decreases with increasing  $\text{NH}_3$  concentration and  $J$  (Fig. 4, S4), and is generally considerably weaker in the multi-component system than in the acid-base or pure biogenic systems(15, 16) at otherwise similar vapor concentrations (Fig. 1). This means that the neutral nucleation pathway is more efficient in multi-component system. In general, ion enhancement becomes weaker with increasing stability of the forming neutral clusters, indicating that chemical interactions between different kinds of molecules become more important in cluster bonding. This might, at least partly, explain why field studies have found only minor contribution of ions to NPF in various environments(5, 13, 28), as multiple vapors are always present in the atmosphere.

The formation rate is not the only important factor governing NPF. The competition between the growth rate (GR) of newly formed particles and their loss rate governs the fraction of particles that eventually reach CCN sizes. Since particle losses are most severe in the beginning of the growth process, initial GRs in the sub-3nm size range are especially critical(29). Particle growth rates in our experiments, over the same ranges of gas concentrations as above, seem to follow a formula:

$$GR = k_1 [\text{H}_2\text{SO}_4]^a + k_2 [\text{H}_2\text{SO}_4]^b [\text{NH}_3]^c + k_3 [\text{Org}]^d \quad (3)$$

where the first term can be interpreted as growth by condensation of sulfuric acid(30), the second by sulfuric-ammonia clusters(31), and the third term represent growth by oxidized organics(32). As we concentrate on the initial GRs, we chose  $[\text{Org}]$  to include only non-nitrate HOM dimers, which are the most relevant in this size range ( $< 7$  nm). Again taking  $a=b=c=d=1$ , we find a very good correlation especially for the size range 3.5-7 nm ( $R=0.94$ ) between modelled and measured GRs (Fig. S6). It should be noted that the coefficients  $k$  are size-dependent, and especially, that for different size ranges a different sub-set of organic vapors is relevant for growth(32). As the particles grow, a wider range of vapors with different volatilities can contribute to the growth, and the third term grows progressively more important (Fig. S6). This conforms to the present qualitative picture of the particle growth process in the boreal forest(5), and the measured values are in the same order of magnitude than those observed in Hyytiälä (Fig. 3B).

Here we assume no interaction between the organics and sulfuric acid or organics and ammonia in particle growth, which could be relevant in other conditions. However, when using measured sulfuric acid concentrations, we cannot accurately model the growth rates without a term depending on  $\text{NH}_3$  concentrations. This is consistent with the recent findings that bases can enhance initial growth rates(31, 33), e.g. due to a significant fraction of sulfuric acid bonded to acid-base clusters(31, 34) and therefore not included in the sulfuric acid monomer measurement. It should be noted that reactive uptake, particle-phase reactions



and other growth mechanism than non-reversible condensation can be important for growth at larger sizes.

### **Composition of clusters during multi-component experiments**

We measured the chemical composition of freshly formed clusters with mass spectrometric methods, shown as a mass defect plot (Fig. 5A, Fig. S7). The mass spectra from the multi-component experiments are remarkably similar to those recorded in Hyytiälä during NPF(10, 35) (Fig. 5B), indicating that the underlying chemistry in the chamber was very similar to that under ambient atmospheric conditions.

We find that HOMs, H<sub>2</sub>SO<sub>4</sub> and NH<sub>3</sub> are able to cluster with each other in many different ways. Similar to pure biogenic experiments(15), we detect non-nitrate HOMs clustered with NO<sub>3</sub><sup>-</sup>, but also organonitrates (ONs) clustered with NO<sub>3</sub><sup>-</sup>. Both non-nitrate HOMs and ONs are also capable of forming clusters with HSO<sub>4</sub><sup>-</sup>. While the upper part of the mass defect plot (Fig. 5) is characterized by these organic clusters, the lower part is dominated by inorganic clusters. In addition to pure sulfuric acid clusters ((H<sub>2</sub>SO<sub>4</sub>)<sub>0-3</sub>HSO<sub>4</sub><sup>-</sup>), we see sulfuric acid clusters containing ammonia, the largest one being (H<sub>2</sub>SO<sub>4</sub>)<sub>9</sub>(NH<sub>3</sub>)<sub>8</sub>HSO<sub>4</sub><sup>-</sup>. During ternary (H<sub>2</sub>SO<sub>4</sub>-H<sub>2</sub>O-NH<sub>3</sub>) nucleation, the entire spectrum is composed solely of those two compounds, up to 1500 Th, with approximately one-to-one acid base ratio(10). However, this is not the case in the multi-component experiments, nor in the atmosphere. We believe that, once larger acid-base clusters are formed, they can interact with organics, creating very large clusters, whose identities cannot be resolved with current instrumentation due to their size and complex elemental composition. Some **multi-component** HOM-H<sub>2</sub>SO<sub>4</sub>-NH<sub>3</sub>-NH<sub>4</sub><sup>+</sup> clusters can be detected in the positive ion side. Positive ions are mainly composed of non-nitrate HOMs and ONs, up to tetramer, with and without ammonia as core ion, and H<sub>2</sub>SO<sub>4</sub>-NH<sub>3</sub>-NH<sub>4</sub><sup>+</sup> clusters (Fig. S7). **The clusters might also contain water molecules, which evaporate during sampling.**

## **Discussion**

In summary, we have shown that sulfuric acid, ammonia and organic vapors have a synergetic effect on NPF. Sulfuric acid, together with ammonia, can enhance particle formation in situations when the HOM concentration alone is not high enough to form substantial amounts of particles, and enable the formed particles to grow past 3 nm, before the biogenic vapors take over in the growth process. The efficiency of biogenic vapors to form aerosol particles strongly depends on the amount of non-nitrate HOMs formed; thus higher NO<sub>x</sub> concentrations tend to suppress NPF and initial growth in environments similar to **daytime** boreal forest, while the growth of larger particles is less severely affected. Nucleation and growth rates are sensitive to changes in any of the precursor vapor concentrations (HOMs, H<sub>2</sub>SO<sub>4</sub>, and NH<sub>3</sub>), as well as the NO<sub>x</sub> concentration. This can partly explain the wide spread of observed atmospheric nucleation rates for a given sulfuric acid concentration.

We have measured three critical parameters associated with new-particle formation: the nucleation rate, the growth rate, and the composition of the growing clusters. All three are consistent with observations in the atmosphere. Thus we are able to reproduce the observations at daytime boreal forest conditions in the laboratory. The results from a chemical transport model (Fig. S8) show that there is almost always sufficient NH<sub>3</sub> in the continental boundary layer to combine efficiently with H<sub>2</sub>SO<sub>4</sub> and HOMs, due to effective long-range transport of anthropogenic pollutants. This favors the multi-component mechanism over pure biogenic nucleation in the present-day atmosphere. The results

presented here can almost certainly be extended to other chemical systems; specifically, HOMs can be produced from other organic vapors than monoterpenes, and the stabilizing agent for sulfuric acid could be amines in addition to ammonia. Therefore, we believe that the multi-component acid-base-organic mechanism is dominant in the continental boundary layer in all relatively clean to moderately polluted present-day environments.

Possible future reductions in anthropogenic emissions of SO<sub>2</sub> and NH<sub>3</sub> may reduce particle formation involving H<sub>2</sub>SO<sub>4</sub>, while a reduction of NO<sub>x</sub> could possibly promote biogenic NPF. Thus, the climate effects of such measures depend strongly on which compounds are regulated. Understanding the complex interplay between different anthropogenic and biogenic vapors, their oxidants, and primary particles remains a key question in assessing the role of NPF in the global climate system.

## Materials and Methods

### Experimental Design

The objective of this study was to explore the conditions required to replicate daytime new particle formation and growth as it is observed at the Hyytiälä SMEAR II station, which is one of the most studied field sites in this respect, located in the boreal forest region in southern Finland(37). Most of the experiments were performed during Sep-Dec 2015 (CLOUD10 campaign) at the CLOUD facility (see below) at CERN, Geneva. To find the correct combination of condensable vapors we first measured nucleation and growth rates in the presence of pure biogenic precursors only (mixture of alpha-pinene and delta-3-carene). The total MT mixing ratio was varied between 100 and 1500 pptv. The background sulfuric acid concentration for those experiments was  $< 2 \cdot 10^5 \text{ cm}^{-3}$ . Then 1-5 ppbv of SO<sub>2</sub> was added to study the influence of sulfuric acid on pure biogenic nucleation, resulting in sulfuric acid concentrations of  $5 \cdot 10^6 - 6 \cdot 10^7 \text{ cm}^{-3}$ . The measurements at different SO<sub>2</sub>-MT concentration pairs were repeated at four different mixing ratios of nitrogen oxides in the chamber 0, 0.7, 2 and 5 ppbv, with a NO/NO<sub>2</sub> ratio of ca. 0.6%. Here we aimed to produce a similar fraction of organonitrates from all HOMs as is observed in Hyytiälä during NPF. Last, we added ammonia (10-3000 pptv) to the chamber and repeated a subset of experiments in the presence of all the precursors (monoterpenes, SO<sub>2</sub>, and NH<sub>3</sub>) and NO<sub>x</sub>. The estimated background NH<sub>3</sub> mixing ratio in the chamber (*i.e.* before NH<sub>3</sub> addition) is ca. 2 pptv(21, 38).

In fall 2016, additional experiments were performed during the CLOUD11 campaign at lower H<sub>2</sub>SO<sub>4</sub> concentrations ( $1 \cdot 10^6 - 2 \cdot 10^7 \text{ cm}^{-3}$ ), two MT mixing ratios (600 and 1200 pptv) and three NH<sub>3</sub> levels (~ 10, 200 and 500 pptv). Between CLOUD10 and CLOUD11 campaigns the UV-light system in the chamber was enhanced (see below), enabling using a 7% NO/NO<sub>2</sub> ratio with 1 ppbv total NO<sub>x</sub>, typical of daytime Hyytiälä(39). Figures 3, 5 and S7 are showing data from the CLOUD11 campaign. **Although the relation between J and HOMs, H<sub>2</sub>SO<sub>4</sub> and NH<sub>3</sub> was explored at a lower NO/NO<sub>2</sub> ratio (Figs. 1, 2, 4), we believe this affects mainly the fraction of non-nitrate to nitrate HOMs in the chamber and not the particle formation process from the product molecules.**

To study the neutral and ion-induced nucleation pathway separately, most of the experiments were conducted first at neutral and then at GCR (see below) conditions. All of the experiments for this study were performed at 278K and 38% relative humidity.



It should be noted that our current study differs in several important ways from Riccobono et al.(11) and Schobesberger et al.(10), which also show quantitative agreement of the nucleation rates from a chamber study with ambient observations, in the absence of added  $\text{NH}_3$ . First, and most importantly, the experiments in those studies focused on second-generation products formed via oxidation of pinanediol, a very low vapor pressure surrogate for first-generation alpha-pinene oxidation products, so the chemical system was different. The SOA mass yields from pinanediol are much higher than those from alpha-pinene itself, and it is plausible that the oxidation products require less stabilization than the first-generation products studied here. Second, those experiments did not include  $\text{NO}_x$ , which at least partly compensates the enhancing effect from  $\text{NH}_3$ . Moreover, the mass spectra in Riccobono et al.(11) reveal some clusters including  $\text{NH}_3$  and dimethylamine at the low pptv level. Further experiments are required to assess the enhancement of  $J$  by trace concentrations of amines in a HOM- $\text{H}_2\text{SO}_4$  system.

### **The CLOUD facility**

The CLOUD chamber(16, 17) is a temperature controlled stainless steel cylinder with a volume of  $26.1 \text{ m}^3$ , located at CERN, Geneva, Switzerland. To ensure cleanliness, all inner surfaces of the chamber are electro-polished. Before each campaign, the chamber is rinsed with ultrapure water and subsequently heated to 373 K. While cooling down to operating temperature the chamber is flushed with humidified synthetic air containing several ppmv of ozone. Thus the background total VOC concentration is in the sub-ppbv level(40) and the contamination from condensable vapors is mostly below the detection limit of our instruments (sub-pptv(15)). A sophisticated gas supply system is used to carefully control the amounts of trace gases added to the chamber.

A high voltage field cage ( $\pm 30 \text{ kV}$ ) inside the chamber can be switched on to remove all ions from the chamber (referred to as ‘neutral conditions’, N). When the electric field is off, natural galactic cosmic rays are creating ions in the chamber, as is the situation in the atmosphere. This is referred to as ‘GCR conditions’. Ion concentrations in the chamber can be artificially increased by using the pion beam from the CERN Proton Synchrotron ( $3.5 \text{ GeV/c}$ ). This is called ‘ $\pi$ -conditions” (not used in this study).

The chamber is equipped with several UV-light systems. In all the experiments described in this study, so called UVH light (4x200 W Hamamatsu Hg-Xe lamps producing light in the wavelength range 250-450 nm) was used to produce OH. In CLOUD10 additionally a UV-laser (4 W excimer laser, KrF, 248 nm) was used in some of the experiments to achieve higher  $\text{H}_2\text{SO}_4$  concentrations. Between the CLOUD10 and CLOUD11 campaigns the intensity of the UVH light was increased by renewing and shortening the optical fibers, which deliver the light into the chamber. Therefore the use of the UV-laser was not necessary, as the UVH system could supply the same wavelengths. In CLOUD11 also a UV-sabre (400W UVS3, centered on 385 nm) was available, with the main purpose to form NO from  $\text{NO}_2$ . Thus the NO/ $\text{NO}_2$  ratio could be controlled by changing the UV-sabre light intensity. The  $\text{NO}_2$  photolysis frequency,  $j_{\text{NO}_2}$ , was characterized using  $\text{NO}_2$  actinometry and varying the UV-sabre intensity. In CLOUD10 we injected NO directly into the chamber (leading to a constant NO/ $\text{NO}_2$ ). More details of the facility can be found elsewhere(16, 17).

The instruments used to record chamber conditions, gas and particle concentration, as well as methods to calculate particle formation and growth rates are similar to previous CLOUD publications, and they are described in the Supplementary Materials and Methods.

### **Statistical analysis**

The correlation coefficients mentioned in the text and some figure captions were calculated with Matlab using function *corrcoef*, which gives Pearson's correlation coefficient and the associated *p-values* for testing the null hypothesis that there is no relationship between the observed phenomena. The correlation is considered significant when *p* is smaller than 0.05. The correlation coefficients, *p-values* and sample sizes between the nucleation rates ( $J_{1.7}$ ) and different gas phase precursor concentrations are summarized in Table S1 separately for neutral and GCR experiments before and after  $\text{NH}_3$  addition.

## **H2: Supplementary Materials**

### Supplementary Materials and Methods

Fig. S1. The effect of different additional vapors on the new particle formation rates ( $J_{2.5}$ )

Fig. S2. The effect of different additional vapors on the biogenic nucleation rate ( $J_{1.7}$ ) at different  $\text{NO}_x$  concentrations.

Fig. S3. Nucleation rates ( $J_{1.7}$ ) as a function of the monoterpene to  $\text{NO}_x$  ratio ( $\text{MT}/\text{NO}_x$ ).

Fig. S4. Nucleation rates ( $J_{1.7}$ ) as a function of  $\text{NH}_3$  mixing ratio.

Fig. S5. Modelled vs. measured nucleation rates.

Fig. S6. Modelled vs. measured growth rates.

Fig. S7. Positive ions and ion-clusters detected during multi-component NPF in the CLOUD chamber.

Fig. S8. Global annual mean concentrations of vapors involved in NPF.

Table S1. Pearson's correlation coefficient (*R*) between  $J_{1.7}$  and the concentration of different precursors in the chamber.

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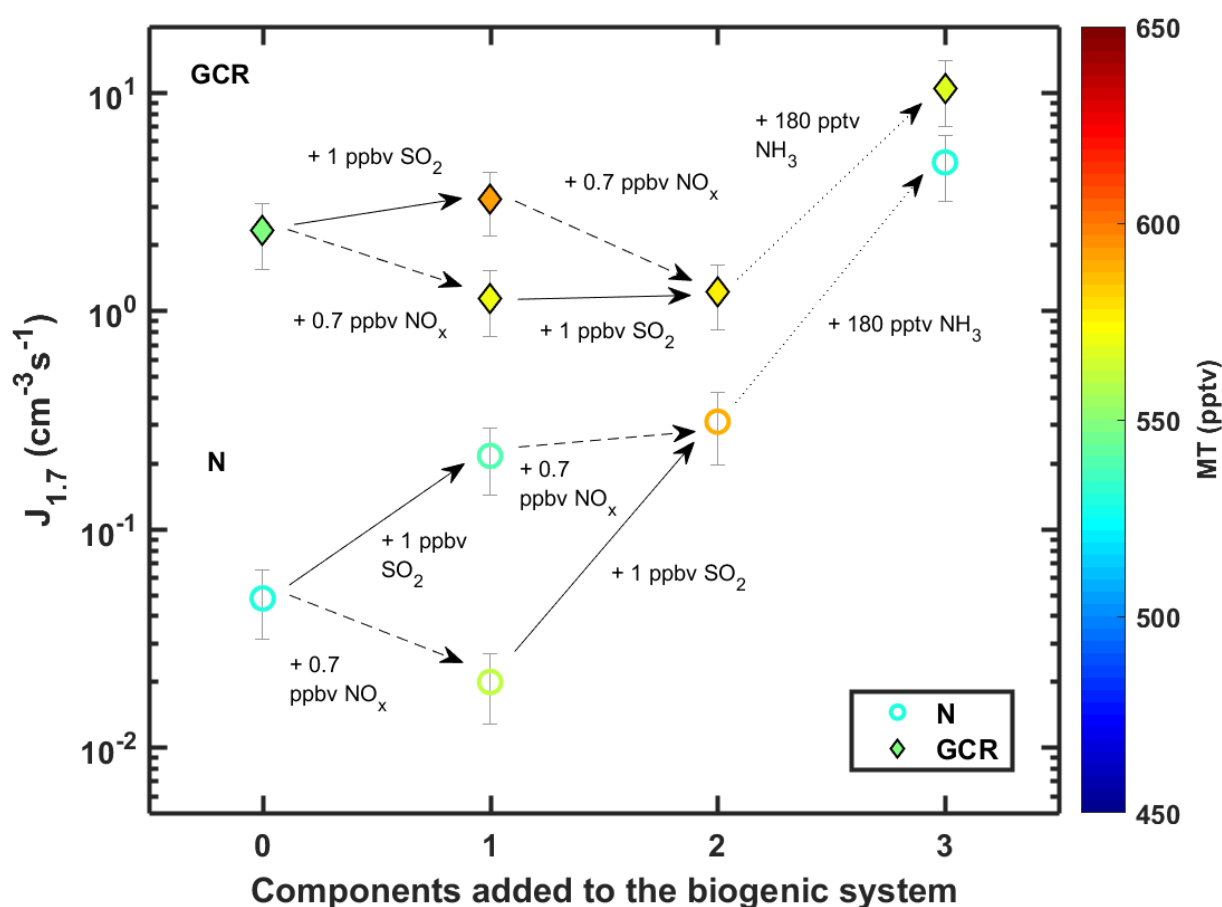
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**Competing interests:** The authors declare no competing interests.

**Data and materials availability:** All data needed to evaluate the conclusions in the paper are present in the paper and/or the Supplementary Materials. Additional data available from authors upon request.

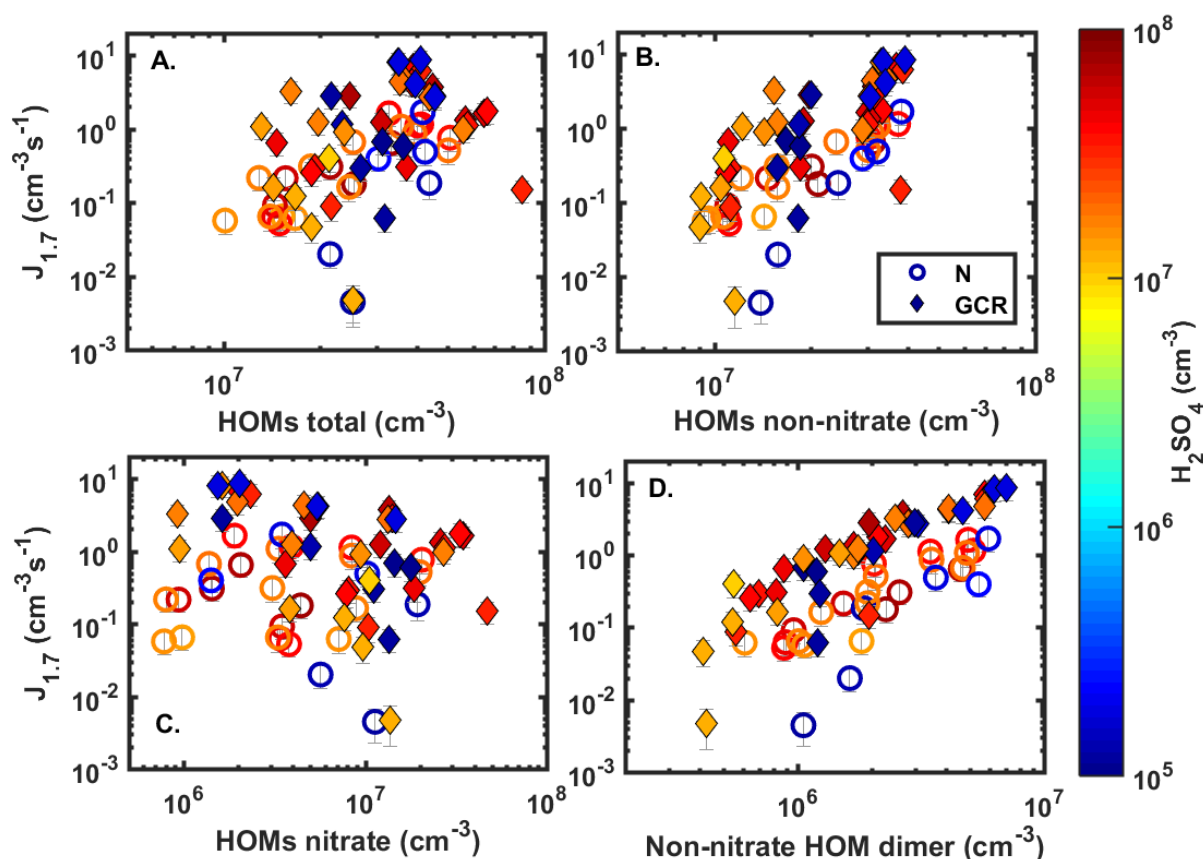
## Figures and Tables



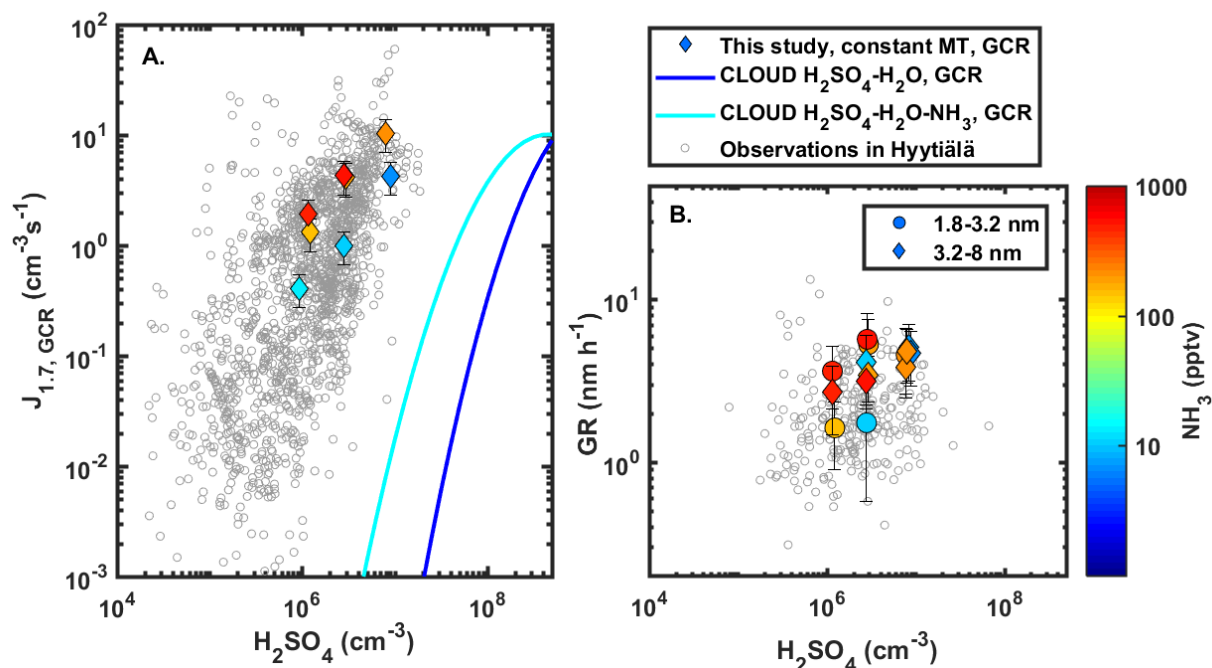
**Fig. 1. The effect of adding different vapors on biogenic nucleation rates ( $J_{1.7}$ ).** All points have similar monoterpene (530-590 pptv) and ozone (40 ppbv) mixing ratios. The leftmost points were measured with only monoterpenes added to the chamber, and each step



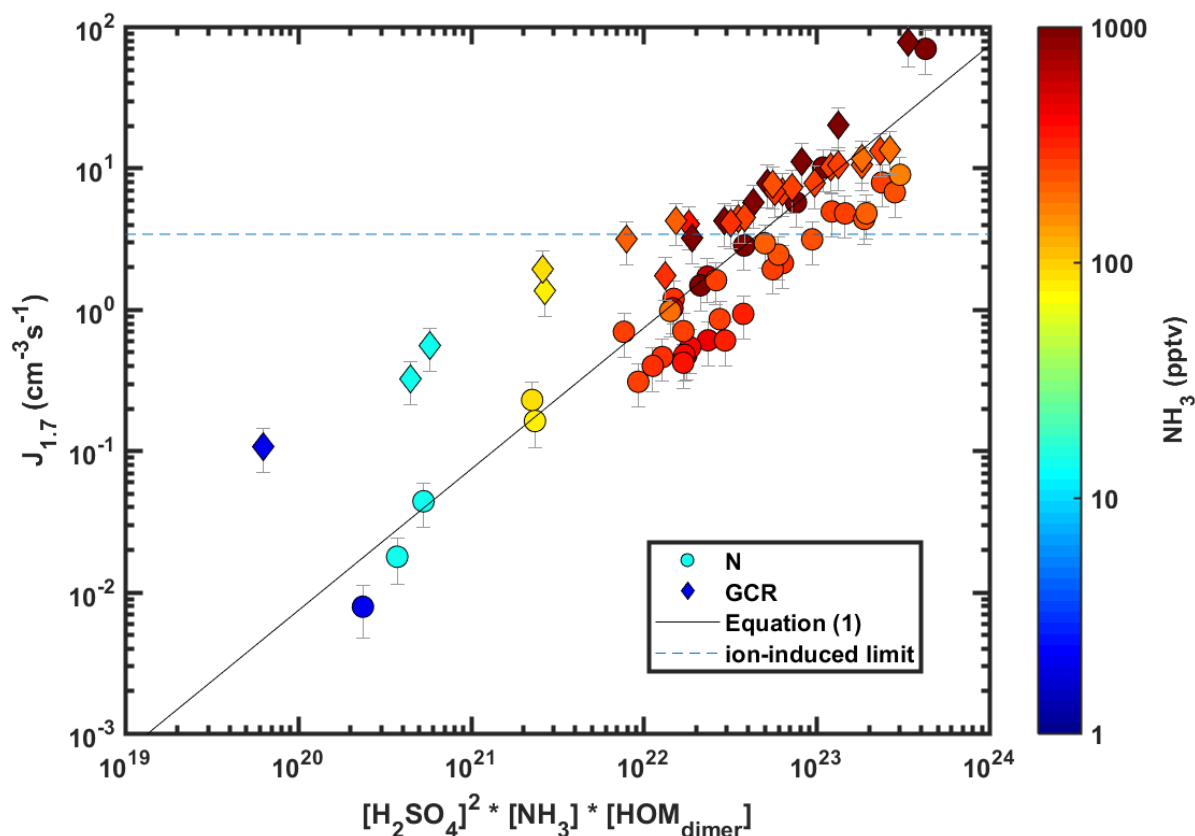
to the right represents addition of one more component to the system. Solid arrows describe the addition of ca. 1 ppbv SO<sub>2</sub> (resulting in H<sub>2</sub>SO<sub>4</sub> concentration of 1-2·10<sup>7</sup> cm<sup>-3</sup>), dashed arrows the addition of ca. 0.7 ppbv NO<sub>x</sub> and dotted arrows the addition of ca. 180 pptv NH<sub>3</sub>. Circles are experiments at neutral (N) and diamonds at GCR conditions. Colors of the symbols indicate the measured monoterpene mixing ratio. The error bars describe the uncertainty in the nucleation rates, which was calculated similar to earlier CLOUD publications, taking into account both the systematic and statistical errors and run-to-run repeatability (see Supplementary Materials and Methods). See Fig. S1. for the formation rate of 2.5 nm particles.



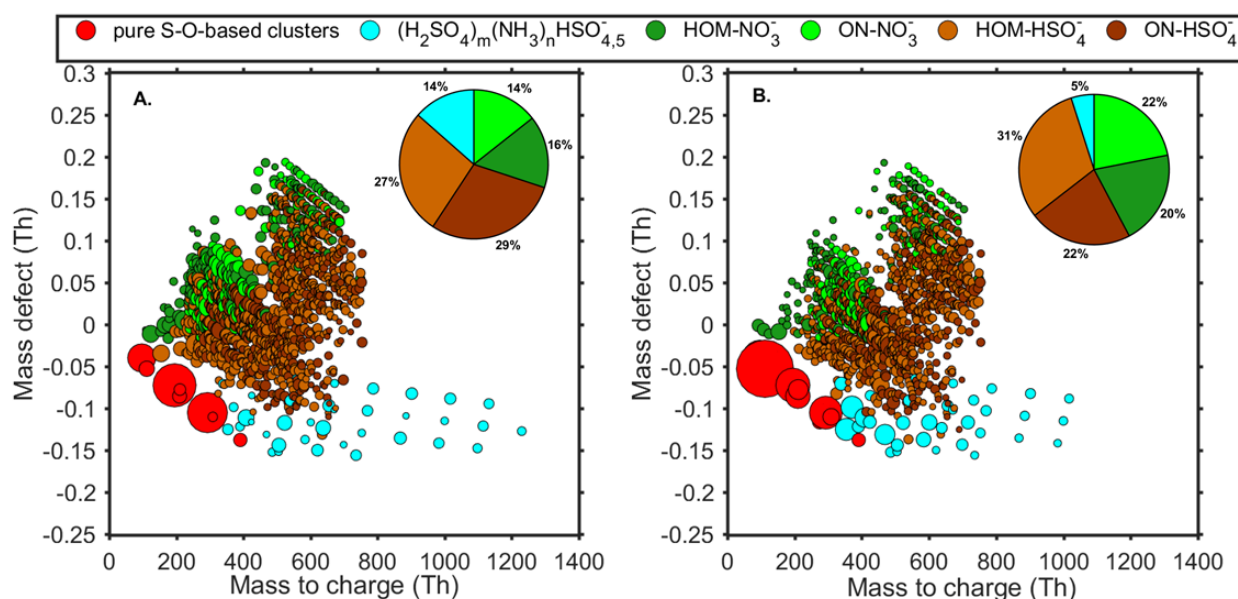
**Fig. 2. Relation of nucleation rates to different HOM categories.** Nucleation rates ( $J_{1.7}$ ) as a function of the **A)** total concentration of HOMs (regardless whether the molecule has nitrate group(s) or not), **B)** non-nitrate HOMs, **C)** nitrate HOMs (organonitrates) and **D)** non-nitrate HOM dimers. Open circles refer to neutral experiments, closed diamonds to GCR experiments, and the color refers to the H<sub>2</sub>SO<sub>4</sub> concentration (blue points were measured without added SO<sub>2</sub>). All points were measured at 278K and 38% RH with varying MT concentration (100-1500 pptv) and NO<sub>x</sub> level (0-5 ppbv, NO/NO<sub>2</sub> about 0.6%), without added NH<sub>3</sub>.



**Fig. 3. Nucleation and growth rates at CLOUD compared to atmospheric observations in Hyytiälä.** Here we chose a series of experiments with constant MT/ $\text{NO}_x$  ratio (ca. 0.6,  $\text{NO}/\text{NO}_2$  7 %), while  $\text{H}_2\text{SO}_4$  and  $\text{NH}_3$  concentrations were varied across the range relevant for boreal forest. **A).** Nucleation rates ( $J_{1.7}$ ) at CLOUD (colored points) and ambient observations in Hyytiälä<sup>5,8</sup> (grey circles). The blue and cyan lines represent binary ( $\text{H}_2\text{SO}_4$ - $\text{H}_2\text{O}$ ) and ternary ( $\text{H}_2\text{SO}_4$ - $\text{H}_2\text{O}$ - $\text{NH}_3$ ,  $7 < [\text{NH}_3] < 40$  pptv) nucleation, respectively, based on earlier CLOUD data<sup>20</sup>, while the pure biogenic nucleation rate at similar MT/ $\text{NO}_x$  ratio would be  $< 1 \text{ cm}^{-3} \text{s}^{-1}$  (Fig. S3). **B).** Growth rates (GR) of 1.8-3.2 and 3.2-8 nm sized particles in the same experiments compared to observations of initial GR in Hyytiälä(36).



**Fig. 4. Nucleation rates ( $J_{1.7}$ ) as a function of the product of the concentrations of  $\text{H}_2\text{SO}_4$ ,  $\text{NH}_3$  and non-nitrate HOM dimers.** Circles refer to neutral experiments, diamonds to GCR experiments, and the color refers to the  $\text{NH}_3$  concentration. All points here were measured at 278K and 38% RH. The MT mixing ratio was varied between 100-1200 pptv,  $\text{H}_2\text{SO}_4$  concentration between  $5 \cdot 10^6$  and  $6 \cdot 10^7 \text{ cm}^{-3}$ ,  $\text{NH}_3$  between 2 and 3000 pptv and  $\text{NO}_x$  between 0.7 and 2.1 ppbv ( $\text{NO}/\text{NO}_2$  0.6%). The dashed line gives the maximum rate from ion-induced nucleation, based on the ion pair production rate in CLOUD under GCR conditions<sup>14</sup>. Solid line is the multi-component parametrization for neutral experiments based on equation (1) with  $k=7.4 \cdot 10^{-23} \text{ s}^{-1} \text{ pptv}^{-1} \text{ cm}^6$ .



**Fig. 5. Negative ions and ion-clusters detected during multi-component NPF in the CLOUD chamber and in Hyytiälä.** The mass defect shows the difference between nominal and exact mass of the ions detected with the negative APi-TOF. **A).** Data from CLOUD chamber, averaged over several experiments (the orange and red points in Fig. 3) with  $\text{H}_2\text{SO}_4$   $1 \cdot 10^6 - 1 \cdot 10^7 \text{ cm}^{-3}$ ,  $\text{NO}_x$  1 ppb and  $\text{NH}_3$  200-500 pptv. **B).** Data from Hyytiälä during an NPF event on 5.4.2012. The colored symbols indicate the identified ions: red= pure sulfuric acid and S-O based clusters, cyan=sulfuric acid – ammonia clusters, dark green= HOMs clustered with  $\text{NO}_3^-$ , light green=organonitrates (ON) clustered with  $\text{NO}_3^-$ , light brown= HOMs clustered with  $\text{HSO}_4^-$  and dark brown=ON clustered with  $\text{HSO}_4^-$ . The symbol size corresponds to the relative signal intensity on a logarithmic scale. The pie charts give the fraction of all identified peaks, excluding the pure SO-based peaks.