

# Convergent evolution of complex structures for ant-bacterial defensive symbiosis in fungus-farming ants

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Evolutionary adaptations for maintaining beneficial microbes are hallmarks of mutualistic evolution. Fungus-farming “attine” ant species have complex cuticular modifications and specialized glands that house and nourish antibiotic-producing Actinobacteria symbionts, which in turn protect their hosts’ fungus gardens from pathogens. Here we reconstruct ant-Actinobacteria evolutionary history across the full range of variation within subtribe Attina by combining dated phylogenomic and ultramorphological analyses. Ancestral-state analyses indicate the ant-Actinobacteria symbiosis arose early in attine-ant evolution, a conclusion consistent with direct observations of Actinobacteria on fossil ants in Oligo-Miocene amber. qPCR indicates that the dominant ant-associated Actinobacteria belong to the genus *Pseudonocardia*. Tracing the evolutionary trajectories of *Pseudonocardia*-maintaining mechanisms across attine ants reveals a continuum of adaptations. In *Myrmecocrypta* species, which retain many ancestral morphological and behavioral traits, *Pseudonocardia* occur in specific locations on the legs and antennae, unassociated with any specialized structures. In contrast, specialized cuticular structures, including crypts and tubercles, evolved at least three times in derived attine-ant lineages. Conspicuous caste differences in *Pseudonocardia*-maintaining structures, in which specialized structures are present in worker ants and queens but reduced or lost in males, are consistent with vertical *Pseudonocardia* transmission. Although the majority of attine ants are associated with *Pseudonocardia*, there have been multiple losses of bacterial symbionts and bacteria-maintaining structures in different lineages over evolutionary time. The early origin of ant-*Pseudonocardia* mutualism and the multiple evolutionary convergences on strikingly similar anatomical adaptations for maintaining bacterial symbionts indicate that *Pseudonocardia* have played a critical role in the evolution of ant fungiculture.

Formicidae | Attina | mutualistic adaptation | Actinobacteria | phylogenomics

Evolutionary adaptations associated with mutualistic associations are ubiquitous in nature and mutualistic evolution is an important driver of phenotypic complexity (1–4). In animals, internal specialized cells, tissues, and/or organs often evolve in host organisms to accommodate and maintain mutualists, such as bacteriocytes in the aphid-*Buchnera* symbiosis (5, 6) and light organs in the squid-*Vibrio* symbiosis (7). Likewise, in many insect groups, external cuticular modifications have arisen to house microbial symbionts, such as antennal gland reservoirs in the bee-wasp-*Streptomyces* symbiosis (8) and mycangia in beetle-fungus symbioses (9). Such symbiont-associated traits are often regarded as inherently contingent evolutionary outcomes, the results of complex sequences of unique historical events (10).

Attine ants (subfamily Myrmicinae, tribe Attini, subtribe Attina), an exclusively New World monophyletic group of 17 genera that comprise ~250 described species, have cultivated fungi for some 55–65 My (11–13). Primitively, attine ants forage on insect frass, seeds, flower parts, and other organic detritus as substrates for their fungus gardens, but higher-attine leaf-cutting

ants cut fresh vegetation, making them dominant herbivores in Neotropical ecosystems. As part of the fungus-farming life history, attine ants participate in elaborate symbiotic associations with multiple microbial lineages, spanning fungi and bacteria (14–17). Species in the genus *Escovopsis* (Ascomycota, Hypocreales), specialized parasitic fungi that are only known to occur in attine fungus gardens, constitute a “crop disease” of attine agriculture, competing with the ants to use their fungal cultivars for food. As a defense against *Escovopsis*, attine ants participate in mutualistic associations with Actinobacteria that produce antibiotics with potent antagonistic properties against *Escovopsis* parasites (16, 18, 19). The attine ant-cultivar-parasite-bacterium association is therefore, minimally, a quadripartite symbiosis and so far one of the most complex symbiotic interactions discovered in nature (20).

The exoskeletons of numerous attine-ant species are anatomically modified to house Actinobacteria symbionts and nourish them with glandular secretions through pores lining the specialized cuticle (21), as indicated by the trophic position of the symbionts one level higher than the trophic position of the ants (22). This key evolutionary innovation likely favors the resident Actinobacteria, thereby stabilizing the attine ant-Actinobacteria mutualistic

## Significance

Animal-microbial mutualistic symbioses are important examples of evolutionary adaptation in which symbionts shape diverse traits of their hosts, from physiology to morphology; yet few examples are known of multiple convergences on identical phenotypes within a clade of closely related hosts. Fungus-farming ants possess complex cuticular structures for maintaining *Pseudonocardia* symbionts. By reconstructing evolutionary history, we find ant-*Pseudonocardia* associations originated early in evolution of fungus-farming ants and multiple subsequent losses occurred over evolutionary timescales. Complex, strikingly similar structures for maintaining *Pseudonocardia* have arisen at least three times in fungus-farming ants. The anatomical convergence for maintaining symbionts reveals repeated selection for mutualistic adaptation, likely driven by the necessity of controlling a coevolved fungus-garden parasite.

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## Caste Differences in Maintenance of *Pseudonocardia* Symbionts.

Comparisons of the worker castes (minor and major workers) in *A. echinator* revealed significant differences in the structure and height of tubercles (SI Appendix, Fig. S8). These differences are correlated with the abundance of visible filamentous *Pseudonocardia*, which achieve their highest densities in major workers, the caste most likely to apply *Pseudonocardia*-produced antibiotics. We further compared workers, males, and alate queens of five attine-ant species, *A. echinator*, *Mycetophylax faunulus*, *M. asper*, *M. hartmanni*, and *T. septentrionalis*. Specialized *Pseudonocardia*-associated cuticular structures, including crypts and tubercles, were consistently present in workers and queens, but absent or highly reduced in males (SI Appendix, Fig. S9). These differences across castes in the presence/absence of cuticular structures, together with observed differences in the abundance of bacterial symbionts, indicate that *Pseudonocardia* symbionts are transferred from worker to worker within colonies (34) and vertically by daughter queens when they found new colonies. In contrast to some marine and terrestrial symbioses with consistent horizontal acquisition, the vertical transmission of bacterial symbionts from mother to daughter colonies in fungus-farming ants, while not precluding occasional horizontal transmission, can be expected to reinforce the stability of particular pairs of mutualistic partners.

**Repeated Loss of Symbiosis.** Despite the apparent benefits of ant-*Pseudonocardia* symbiosis in attine ants, our results indicate the occurrence of multiple losses of ant-*Pseudonocardia* associations and of *Pseudonocardia*-maintaining structures during the course of attine ant evolution. Specialized cuticular structures are absent in *Mycetophylax conformis*, *Mycetogroicus inflatus*, *A. striatus*, *A. versicolor*, *A. heyeri*, *A. lundii*, and the genus *Atta*. Reduced tubercles are present in *Sericomyrmex*, even though *Pseudonocardia* are absent. Our maximum-likelihood ancestral-state reconstruction indicates that *Pseudonocardia* symbionts have been lost at least six times and that specialized *Pseudonocardia*-maintaining cuticular structures have been lost at least seven times. Thus, ant-*Pseudonocardia* mutualistic associations have been lost repeatedly, possibly due to environmental factors such as migration into dry or colder environments where parasite pressure might be reduced or where the growth of the exosymbionts may not be possible. Given the high metabolic cost associated with maintaining the *Pseudonocardia* (35), the repeated loss of *Pseudonocardia* symbionts when pressure is removed is perhaps not surprising.

## Conclusion

Many animals and plants have important morphological, physiological, and behavioral traits that help establish and maintain beneficial microbes (3, 36). In this study, we demonstrate the convergent origin of elaborate cuticular structures for maintaining *Pseudonocardia* in multiple fungus-farming ant lineages. These multiple convergences, each producing strikingly similar yet complex morphological structures, indicate the presence of strong selection pressures for maintaining *Pseudonocardia* to help control the ancient garden parasite *Escovopsis*. The vertical transmission of *Pseudonocardia* across ant colony generations, coupled with the provision by the ants of glandular nutrients to the bacteria, is expected to reinforce the stability of partner associations with *Pseudonocardia*. Considered together, these results indicate that the mutualism between fungus-farming ants and antibiotic-producing *Pseudonocardia* is an ancient defensive symbiosis.

The medicinal use of antibiotics by humans dates only from 1945, yet the rapid evolution of antibiotic resistance in human pathogens has rendered the original antibiotics largely ineffective. In contrast, our results indicate that fungus-farming ants have effectively used antibiotics for millions of years. Understanding the mechanisms associated with the long-term use of antibiotics in this ancient symbiosis has the potential to inform our own attempts to counter antibiotic resistance in human pathogens. Likewise, the small molecules produced by ant-associated *Pseudonocardia* for controlling the *Escovopsis* crop disease represent a promising resource for antibiotic drug discovery (18, 37, 38).

## Experimental Procedures

**Taxon Sampling and UCE Data Preparation.** We sampled a total of 69 ant species for phylogenetic analysis, representing the phylogenetic diversity of the tribe Attini for both fungus-farming and nonfungus-farming ant species (SI Appendix, Table S1). Within the fungus-farming ants (subtribe Attina), we included 64 species, representing ~26% of the 245 currently known species and covering 15 of the 17 currently recognized genera. We did not have material for the genera *Paramyrmecophylax* or *Pseudoatta*, each of which contains a single species, and the latter of which is a derived social parasite of *Acromyrmex* known to be nested within that genus. The monophyly/nonmonophyly of the included genera has been previously tested in multiple studies (SI Appendix, Table S4). Five outgroup taxa were included, two from the sister group Dacetina and three from other distantly related clades in the tribe Attini. Our analyses are based on a modified version of the alignment used in Branstetter et al. (11), into which we incorporated sequences for seven species belonging to the fungus-farming ants (ingroup). The output from the demultiplexed FASTQ data were trimmed for adapter contamination and low-quality bases using Illuminaprocessor (39), which contains the package Trimmomatic (40, 41). Further data processing followed a series of scripts available in the Phyluce package v1.5 (42) to process the reads and extract targeted UCE loci, and is similar to that employed in Branstetter et al. (11) and Ješovnik et al. (43) (SI Appendix, Tables S5 and S6).

Alignment of each UCE locus was performed using MAFFT v7.310 (44) and the resulting alignments were trimmed with GBLOCKS v0.91b (45) using relaxed settings (-b1 = 0.5 -b2 = 0.5 -b3 = 12 -b4 = 7).

**Phylogenetic Inference.** After removing loci with poor taxon representation and gap-rich regions, our data consisted of 672 UCE loci, which were on average 620 bp long. We employed IQ-TREE (46) to infer the best substitution model under the corrected Akaike Information Criterion (AICc) for each UCE locus, performed 2,000 ultrafast bootstrap approximations (47), and increased the number of unsuccessful attempted iterations to 200 (from the default 100) using the command (iqtree-omp -s \$f -nt 2 -bb 2000 -merit AICc -wbt -nstop 200).

We then constructed a 70% complete (data from ≥48 of the 69 taxa for each locus) concatenated alignment (416,786 bp long, including 13.1% of missing data and gaps) using the program AMAS (48) and created a by-locus partition file with the appropriate model selected for each UCE locus based on the IQ-TREE analysis described above. We used this concatenated alignment to infer a maximum-likelihood tree using IQ-TREE. Node support was obtained by performing 2,000 ultrafast bootstrap approximations (47).

**Divergence-Dating Analyses.** Estimation of species divergence times was conducted using the approximate-likelihood approach implemented in the program MCMCTREE as part of the PAML package (49). To calibrate the analysis, information from four Dominican amber fossils (*Acanthognathus poinari*, *Apterostigma electropilosum*, *Cyphomyrmex* spp., and *T. primaevus*) were employed as independent constraints to calibrate our analysis (SI Appendix, Fig. S2 and Tables S7 and S80, black box symbols indicate constraints, N1–N4). We employed lower bounds (minimum-age bounds) for all four calibrations following Branstetter et al. (11). Except for the root age, all four fossil calibration points were specified as a truncated Cauchy distribution indicated by L (tL, p, c), where tL = minimum-age bound (set as 15 Ma), P = offset value (default value of 0.1), and c = scale parameter value (default value of 1) representing a heavy-tailed density (50). The minimum (lower) bounds here specified represent “soft” bounds, allowing molecular data to correct for conflicting fossil information (51), with a 2.5% probability that the bounds may be violated. The 97.5% upper limit of the probability distribution is at 366 Ma; there is no mean and variance is infinite (49). Since the dating of Dominican amber is ambiguous, ranging from 15 to 20 Ma (24, 25), we chose a conservative minimum age of 15 Ma and employed relatively flat priors to accommodate a wide range of posterior dates. Because of the lack of a fossil for directly calibrating the root node, we employed an admittedly more problematic secondary calibration based on the inferred age of the corresponding internal node of the phylogeny of Branstetter et al. (11). For the root of the tree, we employed soft minimum and maximum bounds [as B (0.56, 0.76), representing the secondary calibration range of 56–76 Ma], to incorporate the 95% HPD value range estimated by Branstetter et al. (11) for the node corresponding to our root, with lower (pL) and upper (pU) tail probabilities set at pL = pU = 0.025 (default values). In this case the prior density distribution is a flat uniform density between 56 and 76 Ma, with 2.5% of density mass lying outside this range (52). To decrease computation time, we performed the analyses using an unpartitioned dataset (ndata = 1) using the HKY+G4 substitution model (model = 4 and alpha = 0.5). We conducted two independent MCMCTREE runs using the following settings: sampfreq = 5,000, nsample = 10,000, and burnin = 5,000,000. We assessed run convergence and

performance by examining mcmc.txt files in Tracer v1.6 (53) and convergence plots in either Excel or R v.3.4.3 (R Development Core Team, 2014).

**Fossil Ants.** Two amber fossils were available for morphological study: (i) *A. eowilsoni* (Holotype); worker caste; Oligo-Miocene; Dominican Republic and (ii) *T. primaevus*; worker caste; Oligo-Miocene; Dominican Republic (details provided in *SI Appendix, SI Materials and Methods*).

**Electron Microscopy.** Besides the 69 taxa in the phylogeny, 21 additional taxa were chosen for electron microscopic study, 17 species of the genus *Myrmicocrypta* (*SI Appendix, Table S3*), *Mycocepurus obsoletus*, and *Acromyrmex silvestrii*. In addition, we included the two inquiline social parasites *Mycocepurus castrator* and *A. charruanus*, which exploit colonies of *Mycocepurus goeldii* and *A. heyeri*, respectively (33) (*SI Appendix, Table S9*). See details in *SI Appendix, SI Materials and Methods*.

**qPCR.** A total of 25 species were screened for the abundance of *Pseudonocardia* using qPCR, representing all major taxa in the phylogeny (*SI Appendix, Table S2*). Material from four fungus gardens was also included. See *SI Appendix, SI Materials and Methods* for details of DNA extraction, primer sets, and gene amplification.

**Ancestral-State Reconstruction.** We carried out maximum-likelihood-based ancestral-state reconstruction analyses in the software program Mesquite (54) (details provided in *SI Appendix, SI Materials and Methods*).

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