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Spindle nodal chain in three-dimensional α' boron†

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Topological metals/semimetals (TMs) have emerged as a new frontier in the field of quantum materials. A few two-dimensional (2D) boron sheets have been suggested as Dirac materials, however, to date TMs made of three-dimensional (3D) boron structures have not been found. Herein, by means of systematic first principles computations, we discovered that a rather stable 3D boron allotrope, namely 3D- α' boron, is a nodal-chain semimetal. In momentum space, six nodal lines and rings contact each other and form a novel spindle nodal chain. This 3D- α' boron can be formed by stacking 2D wiggle α' boron sheets, which are also nodal-ring semimetals. In addition, our chemical bond analysis revealed that the topological properties of the 3D and 2D boron structures are related to the π bonds between boron atoms, however, the bonding characteristics are different from those in the 2D and 3D carbon structures.

Topological semimetals/metals (TM) have recently emerged as a new frontier in the field of quantum materials.^{1–5} Different from ordinary three-dimensional (3D) semimetals/metals, TMs possess zero-dimensional (0D) nodal points,⁶ one-dimensional (1D) nodal lines⁷ or two-dimensional (2D) nodal surfaces around the Fermi level. In the TMs with 0D nodal points, as represented by Weyl-point^{9,10} and Dirac-point^{11,12} semimetals, conduction and valence bands cross at some points on the Fermi level; in the TMs with 1D nodal lines, the crossings of conduction and valence bands form 1D line,^{13,14} which enable various topology line networks in the Brillouin zone (BZ), such as nodal rings,^{15,16} knots,^{17,18} links,^{19–21} chains^{22–24} and nets;²⁵ while in the TMs with 2D nodal surfaces, diverse surfaces induced by band crossings are found,²⁶ such as planes and spheres,²⁷ and topological characteristics of the BZ have been clearly divided by the surfaces. These topological elements not only create new topological classifications, but also generate various surface states and electron/hole pockets,^{28–31} leading to various exotic electronic, optical, magnetic and superconducting transport properties.^{32–35}

On the other hand, boron materials have risen to be star materials recently, and great progress has been achieved in the experimental syntheses.^{36–38} Theoretically, many 2D boron sheets have been proposed, such as α/α' -^{39,40}, β -^{41,42}, γ - and χ -boron⁴³ sheets. Most famous are honeycomb borophene,⁴⁴ triangular borophene,⁴⁵ and β_{12} and χ_3 boron sheets,⁴⁶ which

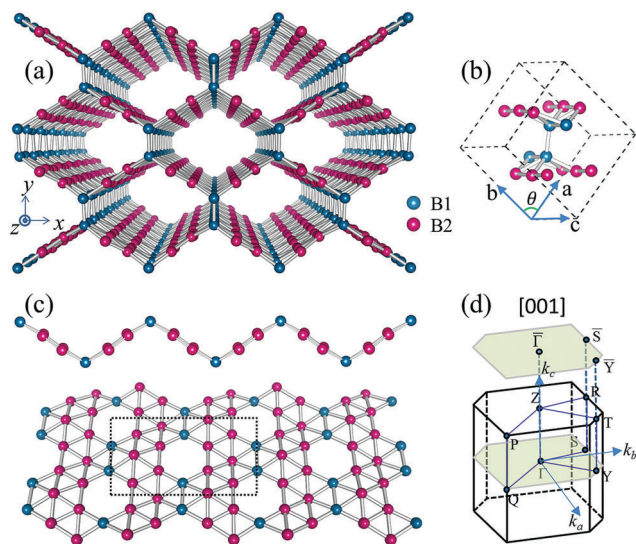
have been synthesized successfully on silver or aluminum substrates. The α' boron sheet has also received much attention, because it not only is one of the most stable single-layer boron sheets as revealed by theoretical calculations⁴⁰ but also can be the basic building block of boron allotropes analogous to graphene.³⁶ Beside these 2D sheets,^{47–49} boron has rich 3D structures,^{50–52} such as α -Ga,⁵³ γ -B₂₈,⁵⁴ and α -B₁₂.⁵⁵ The α -Ga boron phase is expected to be a poor metal exhibiting superconductivity on cooling. γ -B₂₈ boron is a semiconductor and a high-pressure phase, whose structure resembles NaCl, with the B₁₂ icosahedra and B₂ pairs playing the roles of ‘anions’ and ‘cations’, respectively. The α -B₁₂ boron phase is also a semiconductor, formed by the interconnection of the icosahedral B₁₂ clusters. It is found that these three 3D boron phases can transform between each other under high pressure. The α -B₁₂ to γ -B₂₈ phase transformation occurs at 19 GPa, and the γ -B₂₈ to α -Ga phase transformation occurs at 89 GPa.⁵⁴ In all these boron allotropes, their electron deficient atoms tend to form multiple center bonds,³⁶ and thus their electronic properties are complicated.^{56,57} For example, the electronic properties of carbon sheets are heavily dominated only by the p_z orbital,⁵⁸ in contrast, in most boron sheets the energy bands around the Fermi level are contributed by all p orbitals.⁵⁹ Therefore, some interesting electronic properties, especially topological properties, are harder to be found in boron structures. To date, diverse topological phases, such as Dirac points,⁶⁰ Weyl points,⁶¹ nodal lines,^{62–64} and nexus networks,⁶⁵ have been found in 2D and 3D carbon materials. In stark contrast, though a few 2D boron sheets were suggested as Dirac materials,^{66–70} all the known 3D boron allotropes so far (experimentally available or theoretically predicted) are insulators (semiconductors) or metals,^{51,52,54} and none of them is a topological material.

Herein, by systematic density functional theory (DFT) computations, we propose a 3D boron structure, named 3D- α'

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boron (Fig. 1a), which can be viewed as a stacking structure of wiggly α' boron sheets (Fig. 1c). The new 3D boron allotrope inherits not only the good stability of α' boron sheets, but also the bonding characteristics and topological properties of wiggly α' boron sheets. Interestingly, different from most boron structures, the electronic properties of both 3D- α' boron and the 2D wiggly boron sheet are dominated by π bonds. The wiggly boron sheet is a nodal-ring semimetal, while the 3D- α' boron has a spindle nodal chain consisting of six nodal lines and rings because of the interaction between the wiggly sheets. In addition, the π bonds in the structures are confirmed by chemical bonding analysis, and the origination of topological properties is clarified by a tight-binding model based on the π bonds.

All the DFT computations were performed by using the Vienna *ab initio* simulation program package (VASP).⁷² The Perdew–Burke–Ernzerhof (PBE) functional⁷² was employed for the exchange–correlation term according to the generalized gradient approximation (GGA). The projector augmented wave (PAW) method⁷³ was used to represent the ion–electron interaction, and a kinetic energy cutoff of 500 eV was adopted. The atomic positions were fully optimized by the conjugate gradient method; the energy and force convergence criteria were set to be 10^{-5} eV and 10^{-3} eV Å⁻¹, respectively. We have investigated the transition energy barrier by using the climbing image nudged elastic band (CI-NEB) technique.^{74,75} The phonon calculations were carried out using the Phonopy package⁷⁶ with the forces calculated by the VASP code. The nodal-line (nodal-ring) search in the Brillouin zone (BZ) and the surface state calculations were performed by using the open-source software Wanniertools⁷⁷ based on the symmetrical Wannier tight-binding model constructed by the Wannier90 code.⁷⁸

The key structural parameters and properties of 3D- α' boron are presented in Table 1; the corresponding data for the single-layer α' sheet, α sheet and the three experimentally known 3D boron allotropes are also provided for comparison (the atomic structures of α -B₁₂, γ -B₂₈, and α -Ga can be seen in Fig. S2, ESI†). Because of its porous structural feature, 3D- α' boron has a smaller bulk modulus (166.16 GPa) than other 3D boron allotropes (*ca.* 237–264 GPa), and its density (1.78 g cm⁻³) is also smaller than others (*ca.* 2.48–2.81 g cm⁻³). Analyzing the computed cohesive energies (E_{coh}) showed that 3D- α' boron is a metastable allotrope, since its E_{coh} value (−6.33 eV per atom) is nearly approaching that of α -Ga (−6.41 eV per atom), but is *ca.* 0.3 eV per atom less favorable than those of γ -B₂₈ and α -B₁₂.⁵⁴ Nevertheless, 3D- α' boron is dynamically stable, as evidenced by the absence of soft modes in the entire BZ of the computed phonon dispersions (Fig. S3, ESI†).

Fig. 2a presents the projected band structure of 3D- α' boron. Clearly, around the Fermi level, crossing points of conduction and valence bands are available on the k paths T - Y , Γ - Y and Γ - S . A careful examination reveals that there are many crossing points in the whole BZ, and they form a nodal line network, as shown in Fig. 2(c-e) with different visual angles. The network consists of six nodal lines and rings: two red nodal lines on the plane $k_c = 0$, a green nodal ring on the plane $k_a = k_b$, an orange nodal ring on the plane $k_a = -k_b$, and two blue nodal rings symmetrically on the two sides of the plane $k_a = k_b$. These nodal lines and rings intersect each other and form a spindle nodal

Table 1 Space group, lattice parameters (Å), angles (degrees), Wyckoff position, density (g cm⁻³), bond lengths (Å), bulk moduli (GPa), and cohesive energy E_{coh} (eV B⁻¹) for α -Ga, γ -B₂₈, and α -B₁₂,⁵⁴ and 3D- α' boron, the α' sheet, and the α sheet

Structure		Space group	Lattice parameters (Å)			Angles (degrees)		Wyckoff position			Density (g cm ^{−3})	Bond lengths (Å)	Bulk moduli (GPa)	E_{coh} (eV B ^{−1})
			a	b	c	$\alpha = \beta$	γ	X	y	z				
α -Ga	B1 (8f)	$CMCA$	2.94	5.33	3.26	90	90	0	0.1558	0.0899	2.81	1.76–1.92	264.30	−6.41
γ -B ₂₈	B1 (4g)	$PNNM$	5.04	5.61	6.92	90	90	0.1702	0.5206	0	2.57	1.66–1.90	244.29	−6.65
	B2 (8h)							0.1606	0.2810	0.3743				
	B3 (8h)							0.3472	0.0924	0.2093				
	B4 (4g)							0.3520	0.2711	0				
	B5 (4g)							0.1644	0.0080	0				
α -B ₁₂	B1 (18h)	$R\bar{3}m$	5.05	5.05	5.05	58.04	58.04	0.0103	0.0103	0.6540	2.48	1.67–1.80	237.16	−6.68
	B2 (18h)							0.2211	0.2211	0.6305				
3D- α' boron	B1 (16h)	$CMCM$	7.73	8.23	5.07	90	90	0.1696	−0.2928	1.0837	1.78	1.66–1.85	166.16	−6.33
	B2 (8f)							0	−0.4008	0.9325				
	B3 (8g)							0.1778	−0.6937	1.2500				
α' sheet	B1 (6h)	$P\bar{3}m1$	5.06	5.06	15	90	120	0	0.3324	0.5	0.47	1.68–1.70	—	−6.28
	B2 (2d)							0.6667	0.3333	0.5123				
α sheet	B1 (6k)	$P6/mmm$	5.06	5.06	15	90	120	0.3311	0	0.5	0.47	1.68–1.71	—	−6.28
	B1 (2d)							0.6667	0.3333	0.5				

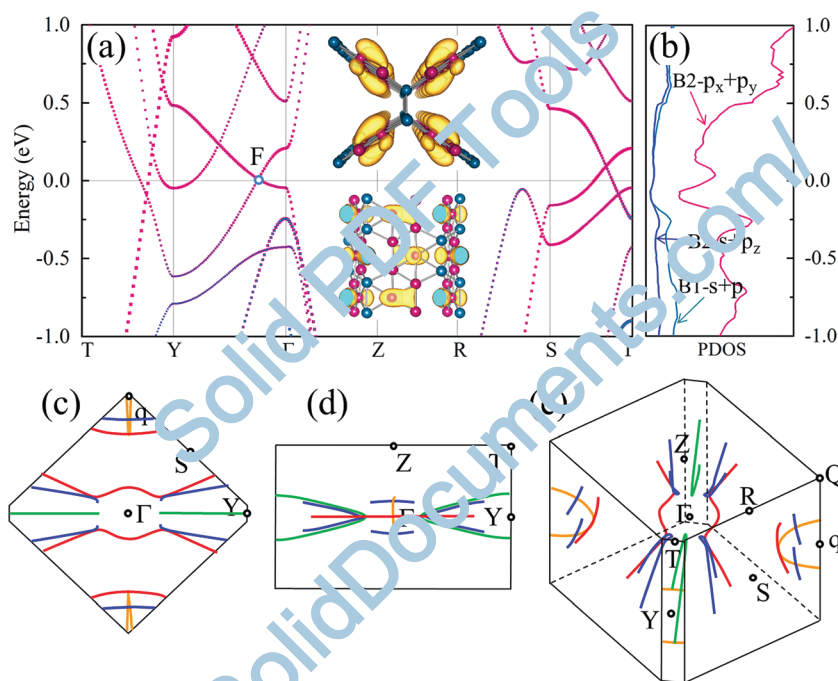


Fig. 2 Band structure of 3D- α' boron and its topological phase. (a) Projected band structure of 3D- α' boron, where the pink, blue and cyan bands correspond to p_x/p_y orbitals of atoms B2, s/p_z orbitals of B2 and s/p orbitals of B1, respectively. Inset: The top view and side view of the charge density of one state around the crossing point F in (a). (b) The PDOS for 3D- α' boron, in which the states around the Fermi level are contributed by p_x and p_y orbitals of the atoms B2. (c–e) Top, side and perspective views of the topological phase in the first BZ, respectively.

chain along the direction $k_a = k_b$ and $k_c = 0$. The space group of 3D- α' boron is *CMCM*, and its topological elements are protected by different symmetries: the red lines and green ring are protected by the mirror planes $k_c = 0$ and $k_a = k_b$, the orange ring is protected by the glide plane $k_a = -k_b$, while the two blue rings are only protected by PT (parity-time) symmetry.⁷⁹

The spindle nodal chain is a complicated topological phase, in which the surface states induced by different topological elements (nodal lines or rings) superpose each other and are

rather complex. For example, on the surfaces $[100]$ and $[110]$, there exist various surface energy bands related to different nodal lines/rings (Fig. S4, ESI†). Relatively, the energy bands on the $[001]$ surface are clear (Fig. 3), because only the two red lines on plane $k_c = 0$ can lead to surface states. Fig. 3(a) exhibits the surface energy band in the energy range $[-0.8, 0.2]$ eV. Along $\bar{\Gamma}$ – $\bar{S}$, there is a surface band appearing around the Fermi level. The band starts at P1 and crosses over $\bar{\Gamma}$, extends along $\bar{\Gamma}$ – $\bar{Y}$, and then terminates at the point P2 on $\bar{Y}$ – $\bar{S}$. Fig. 3(b) shows the

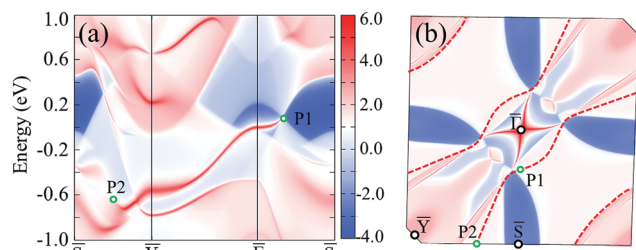


Fig. 3 Surface states of 3D- α' boron on the [001] surface. (a) Surface energy bands. (b) Surface spectrum cut at a fixed energy $E = 0$, where the red dashed lines represent the projection of the red nodal lines on the plane $k_z = 0$ in Fig. 2(c). P1 and P2 are crossing points of bands located on the projected nodal lines.

surface spectrum cut at a fixed energy $E = 0$, and projections of the two red nodal lines on the plane $k_z = 0$ are also shown as dotted lines. As shown in the surface band in Fig. 3(a), the drumhead states exist between the two nodal lines. The two Fermi arcs around $\bar{\Gamma}$ in Fig. 3(b) further prove that there are surface states between the lines.

To explore the origination of the electronic properties of 3D- α' boron, we calculated its partial density of states (PDOS). As shown in Fig. 2(b), the p_x and p_y orbitals of the atoms B2 dominate the energy bands around the Fermi level, while the contributions of other orbitals are insignificant. Analyzing the charge density of one state around the crossing point F revealed that the p_x and p_y orbitals of atoms B2 form π bands rather than σ bonds (see the inset in Fig. 2(a)). Note that the π bands here are different from those in graphene: the π bands here link one B atom and a center of another two B atoms; in contrast, in graphene, the π bands directly link two carbon atoms. This difference indicates that the electron-deficient feature of boron and the varied bond lengths may endow the 3D α' boron rather complicated bonding patterns.

To understand the bonding features, we performed chemical bonding analysis by utilizing the recently developed solid state Adaptive Natural Density Partitioning (SSAdNDP) method,^{80–82} which allows the interpretation of chemical bonding in terms of classical lone pairs and two-center bonds, as well as multi-center delocalized bonding. We chose a unit cell containing 16 B atoms for analysis, in which there are in total 48 electrons or 24 bonds (electron pairs) (Fig. 4). According to our analysis, it has two B–B 2c–2e σ bonds between the wiggly α' -sheets with occupation numbers (ONs) $\text{ONs} = 1.45$ |e|, and twelve 3c–2e σ bonds around the hexagonal hole with $\text{ONs} = 1.54/1.57$ |e|. The remaining boron skeleton is filled by six 4c–2e σ bonds with $\text{ONs} = 1.37/1.41$ |e|. Especially, there are four 5c–2e π bonds with $\text{ONs} = 1.53/1.32$ |e| in the unit cell, and these four delocalized π bonds are related to the computed charge density of a state around the crossing point as presented in Fig. 2a.

Our findings are strongly supported by the electronic localization function (ELF) and bond length analysis, as shown in Fig. S5 (ESI[†]). When the isosurface value was set to 0.90, the plot in Fig. S5(a) (ESI[†]) showcased B–B single covalent bonds between the B1 atoms, with bond lengths of 1.77 Å. When the isosurface value was set to 0.80, more surface plots are

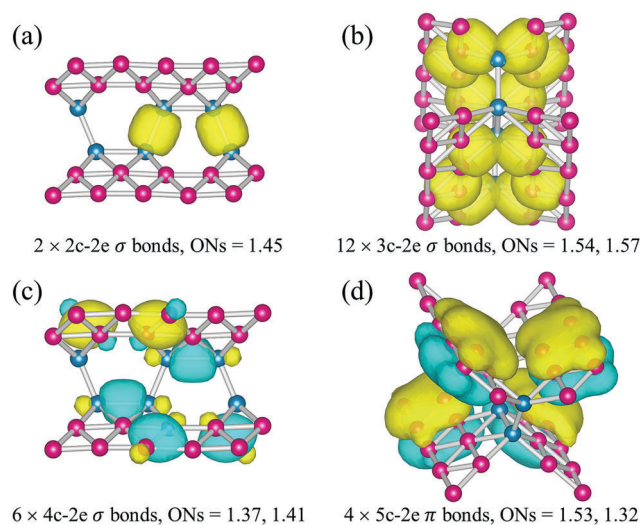


Fig. 4 The SSAdNDP chemical bonding analysis of 3D- α' boron. In total 24 bonds are exhibited, including (a) two 2c–2e σ bonds, (b) twelve 3c–2e σ bonds, (c) six 4c–2e σ bonds and (d) four 5c–2e π bonds.

exhibited in Fig. S5(b) (ESI[†]), which can be divided into two groups, the 3c–2e bonds and 4c–2e bonds. The 3c–2e bonds are mainly located at the edges of hexagonal holes, while the 4c–2e bonds are adjacent to the 3c–2e bonds. When the isosurface value was set to 0.70 in Fig. S5(c) (ESI[†]), the delocalized feature of a multi-center bond was confirmed in the positions of 3c–2e and 4c–2e bonds. In fact, the ELF plot in Fig. S5(c) (ESI[†]) also possesses a π bond feature, in consistency with the revealed 5c–2e π bond. Note that the π bond feature could not be the six-center or seven-center π bonds, because on one hand, B1 atoms are relatively far away from the B2 atoms with the longest distance of 1.83 Å; on the other hand, the B–B single bond already takes the outward position of π orbitals. Another evidence for the 5c–2e π bond is the uniform bond lengths between the B2 atoms, around 1.66 Å.

Thus, multi-center bonds are very important to the stability of 3D- α' boron, and the 5c–2e π bonds are the key to understand the spindle nodal chain in 3D- α' boron.

Because 3D- α' boron consists of wiggly α' boron sheets, the topological properties of 3D- α' boron are also related to the electronic properties of wiggly sheets. Although the bare sheet in Fig. 1(c) is unstable, it can stably exist after the B1 atoms are passivated by hydrogen atoms (a 2D BH-sheet, Fig. 5(a)). The space group of the 2D BH-sheet is $PMMN$, which is nonsymmorphic and has one glide operation ($G_z: (x, y, z) \rightarrow (1/2 + x, -y, 1/2 + z)$), and two mirror planes $x = 0$ and $z = 0$. Fig. 5(b) and (c) present its band structure and PDOS, respectively. Around the Fermi level are two crossing points: one is slightly above the Fermi level along Γ –X, and the other is slightly below the Fermi level along Γ –Z (Fig. 5b). A closer examination revealed that the two crossing points lie on a ring with a center at $\bar{\Gamma}$ in momentum space (the inset of Fig. 5b). Therefore, the 2D BH-sheet is a 2D topological nodal-ring semimetal, and the nodal ring is protected by the glide plane $k_y = 0$. By comparing the topological elements and structural symmetries of 3D- α'

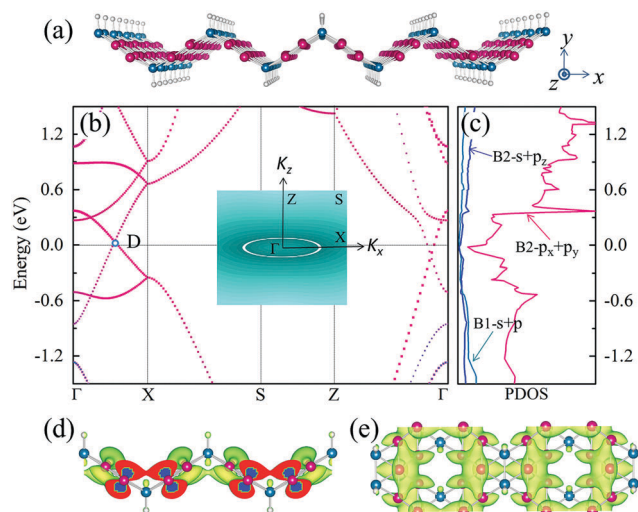


Fig. 5 Atomic structure and electronic properties of the 2D BH-sheet. (a) Optimized geometric structure of the 2D BH-sheet whose B1 atoms are passivated by hydrogen atoms. (b) Projected band structure of the 2D BH-sheet. Inset: A nodal ring with a center at Γ in momentum space. (c) The PDOS for the 2D BH-sheet, in which the states around the Fermi level are contributed by p_x and p_y orbitals of the atoms B2. (d and e) The side and top views of the charge density of a state around the crossing point D.

boron and its wiggle sheets, we can find that the orange nodal ring in the 3D boron is inherited from the nodal ring in the 2D sheet.

The PDOS (Fig. 5c) and charge density of a state around the crossing point D (Fig. 5d and e) illustrate that the electronic properties of the 2D BH-sheet are somewhat similar to those of 3D- α' boron: the energy bands around the Fermi level are dominated by the p_x and p_y orbitals of the atoms B2, and the p_x and p_y orbitals form π bands rather than σ bonds. Meanwhile, the 2D BH-sheet has a similar bonding pattern (Fig. S6 and S7, ESI†) to the 3D- α' boron [Fig. 4], as the 3D- α' boron can be constructed by stacking the “layered” 2D sheets and replacing the B-H bonds with 2c–2e B–B bonds.

Because the primitive cell of the wiggle sheet only contains 12 B2 atoms (Fig. 1c), and only the π orbitals of each atom need to be considered, we used a tight-binding (TB) model to describe its electronic properties,

$$H = \sum_{\langle i,j \rangle} \sum_{\mu} t_{ij} e^{-ik \cdot d_{ij}^{\mu}}, \quad (1)$$

where $i, j \in \{1, 2, \dots, 12\}$ are the 12 B2 atoms in the primitive cell of Fig. 1(c), d_{ij}^{μ} is a vector directed from j to i , t_{ij} is the hopping energy between i and j , and μ runs over all lattice sites under translation. The hopping parameters considered here are shown in Fig. S1(c) (ESI†). By tuning the parameters, the band structure based on eqn (1) can fit the DFT results very well (see Fig. S8(c) in ESI†). A similar tight-binding model can also successfully simulate the electronic properties of α/α' boron sheets (see Fig. S8, ESI†). Thus, though the π bonds in the α/α' boron sheets and their related structure 2D BH-sheet are 5c–2e, which is completely different from those in graphene, we can use a simple tight-binding model based on a single orbital of each

atom to simulate their electronic properties. This provides us an effective tool to study electronic and transport properties of this type of boron materials.

Although the newly predicted metastable 3D- α' boron has not yet been synthesized, we are rather optimistic about its experimental realization, considering its kinetic stability and the fact that a rich number of metastable boron allotropes, including honeycomb borophene, triangular borophene, and β_{12} and χ_3 boron sheets, have already been experimentally fabricated. To fabricate the 3D- α' boron, two possible routes based on the unit of α' boron sheets (Fig. S9, ESI†) could be considered: (1) compressing the α' boron sheets: in this approach, the α' boron sheets are first stacked into a 3D layered structure, then a compressive strain is applied on the plane of boron sheets. The strong interactions between wiggle sheets could form linkages between layers and thus result in the bulk. The variation of formation energy in the process of phase transition is shown in Fig. S10 (ESI†). Note that this method has been used to prepare 3D carbon networks from graphene.⁸³ (2) Dehydrogenation of the stacked 2D BH-sheets: in this process, the 2D BH-sheets are stacked, and the dehydrogenation reaction leads to the formation of 3D- α' boron.

In conclusion, a 3D boron allotrope, namely 3D- α' boron, is proposed, and its topological properties are carefully studied. The 3D boron structure consists of 2D wiggle α' boron sheets. The 2D boron sheet is a nodal ring semimetal and its electronic properties are attributed to π bonds. 3D- α' boron inherits the bonding characteristics of the 2D sheet and exhibits an interesting topological phase: in momentum space, six nodal lines and rings intersect each other and form a novel spindle nodal chain.

To the best of our knowledge, this is the first 3D topological boron structure. Because the α/α' boron sheets can be considered as basic building blocks analogous to graphene to construct 3D structures,^{36,50} one can expect that many 3D boron networks like graphene networks^{8,61,63–65} can be obtained. These boron networks may exhibit more diverse topological phases because of their rich bonding chemistry. Therefore, our work not only greatly enriches the renowned boron family, but also helps pave the way to explore exotic topological properties of more 3D boron structures.

Note added in revision

Recently, we became aware of an e-print aiming at massless Dirac-Minkowski fermions in a metastable bulk boron allotrope, *Pnnm*-B16.⁸⁴

Conflicts of interest

There are no conflicts to declare.

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