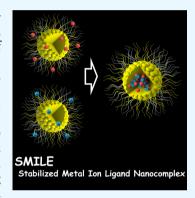
Disulfiram Copper Nanoparticles Prepared with a Stabilized Metal Ion Ligand Complex Method for Treating Drug-Resistant Prostate Cancers

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Supporting Information

ABSTRACT: Disulfiram (DSF), an alcohol-aversion drug, has been explored for cancer treatment. Copper diethyldithiocarbamate (Cu(DDC)₂) complex formed by DSF and copper ions is a major active ingredient for its anticancer activity. Direct administration of Cu(DDC)₂ is a promising strategy to enhance the anticancer efficacy of DSF. However, efficient drug delivery remains a significant challenge for Cu(DDC)₂ and hinders its clinical use. In this study, we developed a facile stabilized metal ion ligand complex (SMILE) method to prepare Cu(DDC), nanoparticles (NPs). The SMILE method could prepare Cu(DDC)₂ NPs with different types of stabilizers including 1,2-distearoyl-sn-glycerol-3phosphoethanolamine—poly(ethylene glycol) (PEG) 2000, D-α-tocopherol PEG 1000 succinate, methoxy PEG 5000-b-poly(L-lactide) 5000, and other generally recognized as safe excipients approved by the US Food and Drug Administration. The optimized formulations demonstrated excellent drug-loading efficiency (close to 100%), high drug concentrations (increased drug concentration by over 200-fold compared to the traditional micelle formulation), and an optimal particle size in the sub-100 nm range. Cu(DDC)₂



NPs exhibited outstanding stability in serum for 72 h and can also be stored at room temperature for at least 1 month. The anticancer effects of Cu(DDC)₂ NP formulations were determined by multiple assays including 3-(4,5-dimethyl-thiazol-2-yl)-2,5-diphenyl tetrazolium bromide assay, colony-forming assay, calcein-AM/propidium iodide staining, and others. Cu(DDC)₂ NPs showed excellent activity against drug-resistant prostate cancer cells and other cancer cells with a half-maximal inhibitory concentration (IC₅₀) of around 100 nM. Our study also demonstrated that Cu(DDC)₂ NPs induced cell death in drug-resistant prostate cancer cells (DU145-TXR) through paraptosis, which is a nonapoptotic cell death. To our best knowledge, the SMILE method provides, for the first time, a simple yet efficient process for generating Cu(DDC)₂ NPs with high drug concentration, excellent loading efficiency, and desirable physicochemical properties. This method could potentially address drug delivery challenges of DSF/copper-based chemotherapy and facilitate its clinical translation.

KEYWORDS: disulfiram, copper diethyldithiocarbamate, drug resistance, prostate cancer, nanoparticle, drug delivery, paraptosis

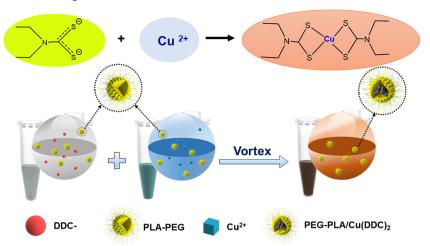
1. INTRODUCTION

Prostate cancer is the most common male cancer in America; about one man in nine will have prostate cancer. Prostate cancer is also the second leading cause of cancer-associated death among males in the USA (https://www.cancer.org/ cancer/prostate-cancer/about/key-statistics.html). Although multiple therapeutic strategies, such as hormone therapy and radiation, have been used successfully for early-stage prostate cancer, it inevitably progresses to an aggressive form of castration-resistant prostate cancer (CRPC). Although earlystage localized prostate cancer can be effectively treated with less difficulty, the treatment of late-stage aggressive and metastatic forms of prostate cancer presents a significant challenge. Chemotherapy with taxanes is often used as a firstline drug when prostate cancer has migrated outside the prostate gland and becomes resistant to hormone therapy. 1,2

However, taxane therapy failed to show good responses in half of the patients. Even for patients with good initial responses, they may eventually develop drug-resistant prostate cancer.² Because of the lack of effective therapy, patients with drugresistant prostate cancer have a very poor prognosis. Both the private sector and academia have put forth substantial efforts to develop new therapies for drug-resistant prostate cancer. New drugs, such as ixabepilone and cabazitaxel, have been developed for treating refractory cancer. However, the development of a new drug molecule usually takes a long time and requires significant investment, which eventually results in a high cost of the treatment. Therefore, the

Received: August 31, 2018 Accepted: October 30, 2018 Published: November 16, 2018

Scheme 1. Preparation of Cu(DDC), NPs with the SMILE Method



development of effective but more affordable therapeutic agents for drug-resistant prostate cancer will greatly benefit patients with this disease. Particularly, it will help those patients with financial constraints, which limit their access to oncology drugs affixed with skyrocketing prices.

Drug repurposing or repositioning has received increasing attention as an alternative strategy for drug discovery and development.⁷ Disulfiram (DSF) is an alcohol-aversion drug used for treating alcohol dependence for over 60 years. Recently, DSF has been repurposed as an anticancer agent and shows excellent anticancer activity when it is combined with copper ions (Cu²⁺).^{8,9} DSF/Cu has been shown to inhibit the proteasome/poly-Ub protein degradation pathway by targeting the nuclear protein localization 4 (NPL4) protein. 9,10 DSF/Cu could also inhibit cancer stem cells 11,12 and sensitize resistant cancer to chemotherapy drugs by inhibiting P-gp. 13 Several ongoing clinical trials are testing the DSF/copper combination in patients with glioblastoma and metastatic CRPC. 14 The drug repurposing strategy will expedite the drug development process and facilitate the clinical translation. 15 The anticancer activity of DSF/copper is greatly dependent on the formation of the active metabolite, copper diethyldithiocarbamate (Cu(DDC)₂). Because of the poor in vivo stability and rapid degradation of DSF, 9,11 the coadministration of DSF and copper to patients yielded extremely low in vivo concentrations of Cu(DDC)2, which would significantly compromise the anticancer efficacy and result in poor clinical outcomes. Thus, the administration of the preformed Cu(DDC)₂ complex is expected to be a more effective approach to obtain higher active drug concentrations and to achieve better anticancer efficacy. 16

Because of the low water solubility of Cu(DDC)₂, there is a great need to develop a formulation designed to increase Cu(DDC)₂ solubility, thereby making it acceptable for clinical use. 16,17 Nanotechnology-based approaches have been used for the delivery of Cu(DDC)₂. ^{16,18}, ¹⁹ In previous studies, the direct loading of Cu(DDC)2 into nanoparticles (NPs) only achieved low drug-loading efficiency and low drug concentration.¹⁹ Recently, Metaplex technology or PRCosomes have been developed to prepare an injectable formulation of Cu(DDC)₂. ²⁰ This breakthrough technology utilizes liposomes as a nanoscale reaction vessel to synthesize Cu(DDC)₂ NPs from copper ions (Cu²⁺) and diethyldithiocarbamate (DDC⁻) within the aqueous core of liposomes. 16 The in situ formed

Cu(DDC)₂ NPs were incorporated inside liposomes and thus stabilized by liposome membranes. However, this method involves complicated preparation and purification processes, which will present difficulties and high expenses in large-scale manufacturing.

In this study, we developed a novel stabilized metal ion ligand complex (SMILE) technology to prepare Cu(DDC)₂ NPs. The SMILE technology involves a simple formulation and a straightforward, cost-effective preparation process, which thus can be easily scaled up during manufacturing and produce desirable Cu(DDC)2 NPs at a reasonable price. This method could prepare Cu(DDC)₂ NPs with high drug concentrations, high loading efficiencies, and well-controlled physicochemical properties. We also investigated the anticancer activity and anticancer mechanism of the developed Cu(DDC)₂ NPs.

2. MATERIALS AND METHODS

- **2.1. Materials.** 1,2-Distearoyl-sn-glycerol-3-phosphoethanolamine-poly(ethylene glycol) 2000 (DSPE-PEG) was purchased from NOF Corporation. Methoxy PEG 5000-b-poly(L-lactide) 5000 (PEG-PLA) was purchased from Polymer Source Inc. D-α-Tocopherol PEG 1000 succinate (TPGS) was purchased from Sigma-Aldrich. Other chemicals, solvents, and supplies were purchased from VWR International.
- 2.2. Preparation of Cu(DDC)₂ NPs. 2.2.1. Preparation of Cu(DDC)2-Loaded Micelles. Cu(DDC)2-loaded micelles were prepared with a film-dispersion method as described in previous reports. $^{21-24}$ Briefly, 40 mg of micelle-forming materials and a given amount of Cu(DDC)₂ were dissolved in 0.5 mL of dichloromethane (CH₂Cl₂), and the solvent was removed under reduced pressure to form a film. The resulting film was hydrated in 1 mL deionized water and sonicated for 5 min. Unloaded Cu(DDC)2 was removed by centrifugation at 12 000 rpm for 5 min. The supernatant was collected and filtered with 0.45 μ M membrane filters.
- 2.2.2. Preparation of $Cu(DDC)_2$ NPs with the SMILE Technology. Cu(DDC)₂ NPs were prepared by mixing sodium diethyldithiocarbamatetrihydrate (DDC-Na) and copper chloride aqueous (CuCl₂) solution containing a stabilizer. The molar ratio between DDC-Na and CuCl₂ was 2:1. Briefly, DDC-Na and CuCl₂ were dissolved in 1% (w/v) stabilizer to get a DDC-Na solution and a CuCl₂ solution, respectively. Then, DDC-Na solution and CuCl₂ solution were mixed and vortexed for 1 min to form Cu(DDC), NPs. The resulting NP formulation was centrifuged at 10 000 rpm for 10 min and filtered with the 0.45 μ M membrane to remove large aggregations (Scheme 1).
- 2.3. Determination of Particle Size and Morphology. 2.3.1. Particle Size. The particle size and size distribution of NPs

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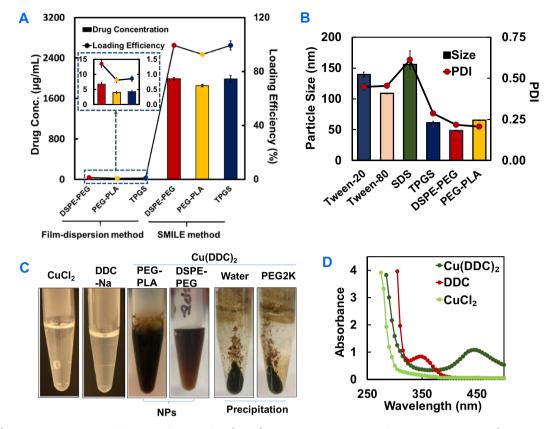


Figure 1. (A) Drug concentration and loading efficiency of Cu(DDC), micelles prepared with a film-dispersion method (polymer 20 mg/mL) and $Cu(DDC)_2$ NPs prepared with a SMILE method (polymer 10 mg/mL). Results are the mean \pm SD (n = 3). (B) Particle size and PDI of $Cu(DDC)_2$ NPs (2 mg/mL) prepared with different stabilizers (10 mg/mL). Results are the mean \pm SD (n = 3). (C) Photos of CuCl₂, DDC-Na, and Cu(DDC)₂ NPs with different stabilizers (e.g., PEG-PLA and DSPE-PEG). Precipitations formed in water without a stabilizer or with a poor stabilizer (e.g., PEG2000). (D) UV-visible spectrum of Cu (DDC)₂, DDC-Na, and CuCl₂.

were determined by dynamic light scattering (DLS) using the Malvern Nano ZS. 25 Briefly, a Cu(DDC), NP sample (200 μ L) was added to a microcuvette. The particle size and size distribution were determined based on DLS at a 173° scattering angle.

2.3.2. Transmission Electron Microscope. The morphology of NPs was characterized using a high-resolution transmission electron microscope (JEM-2100F, JEOL). Samples were loaded onto a grid and stained with 1% uranyl acetate. The grid was visualized under the electron microscope.

- 2.4. Nuclear Magnetic Resonance. ¹H NMR spectra were recorded on a Varian 400 MHz spectrometer using deuterated chloroform (CDCl₃) or deuterated water (D₂O) as a solvent.
- 2.5. Drug Concentration and Loading Efficiency. The concentration of Cu(DDC)2 NPs was determined with a UV-vis spectrometer. Briefly, Cu(DDC)₂ NP samples were diluted with dimethylformamide, and the absorbance at 435 nm was determined. The Cu(DDC)₂ concentration was calculated based on the standard curve generated with different concentrations of Cu(DDC)2. The drug-loading efficiency was calculated using the following equation:

Drug loading efficiency (%)

= (actual drug concentration/theoretical drug concentration) × 100%

2.6. Determination of the Stability of Cu(DDC), NPs. 2.6.1. Serum Stability. Cu(DDC)₂ NPs were mixed and incubated with 10% fetal bovine serum (FBS) at room temperature. The particle size was determined with DLS at the different time points.

2.6.2. Long-Term Storage Stability. Cu(DDC)₂ NPs were kept at room temperature, and drug concentrations were determined on different days during storage.

2.7. MTT Cytotoxicity Assay. MCF-7 breast cancer cells (ATCC) were cultured in a medium composed of Roswell Park Memorial Institute (RPMI) 1640 with 10% FBS and 1% antibioticantimycotic. MDA-MB-231 cells (ATCC) were cultured in a 1:1 mixture of Dulbecco's modified Eagle's medium and Ham's F-12 medium, with 10% FBS and 1% antibiotic-antimycotic. DU145-TXR drug-resistant prostate cancer cells were obtained from Dr. Evan Keller at the University of Michigan and cultured in a RPMI 1640 medium supplemented with 10% FBS, 1% antibiotic-antimycotic, and 40 nM paclitaxel. Cells were cultured at 37 °C in a humidified atmosphere containing 5% CO₂.

Cells were seeded into a 96-well plate at a density of 5000 cells/ well and incubated overnight. Then, a series of different concentrations of Cu(DDC)₂ NPs diluted in a cell culture medium were prepared and added to each well (100 μ L/well). At different time points, cytotoxicity was determined with the 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyl tetrazolium bromide (MTT) assay. The absorbance was determined with a microplate spectrophotometer at a wavelength of 570 nm and a reference wavelength of 670 nm. Cytotoxicity was calculated using the following equation:

Cell viability (%) =
$$(A_{\text{test}}/A_{\text{control}}) \times 100\%$$

The half-maximal inhibitory concentration (IC₅₀) was calculated with SigmaPlot software based on a dose-response curve. 26

2.8. Colony-Forming Assay. Cells were seeded in a 24-well plate at a density of 500 cells per well and incubated overnight. Then, cells were treated with different formulations for 2 h and further cultured in a fresh cell culture medium for 1 week. Colonies were fixed with 100% methanol and stained with crystal violet.²

2.9. Determination of Intracellular Reactive Oxygen **Species.** The generation of reactive oxygen species (ROS) was determined with a 2',7'-dichlorodihydrofluorescein diacetate (H2DCFDA) dye method. ²⁹ Briefly, cells were seeded in a dark-walled, clear-bottomed 96-well plate with 50 000 cells per well and incubated at 37 °C overnight before study. Cells were incubated with 20 μ M H2DCFDA in Hank's buffered salt solution (HBSS, pH = 7.4) for 30 min at 37 °C in the dark and treated with different formulations. Then, fluorescence was determined with a Cytation 5 Imaging Reader at EX_{485nm}/EM_{535nm}.

2.10. Live/Dead Staining with Calcein-AM/Propidium lodide. Cells were seeded at a density of 5000 cells per well in a 96-well plate. After overnight incubation, cells were treated with different formulations for 24 h and then stained with a solution composed of calcein-AM and propidium iodide (PI) in pH 7.4 phosphate-buffered saline (PBS). Cell samples were analyzed with a Cytation 5 Cell Imaging Multi-Mode Reader. Viable and dead cells can be identified by the green fluorescence (viable) and the red fluorescence (dead), respectively. The fluorescence intensities were determined quantitatively at EX_{480nm}/EM_{530nm} (viable cells) and EX_{530nm}/EM_{620nm} (dead cells).

2.11. Caspase 3/7 Activities. Cells were seeded in a 96-well plate at a density of 20 000 cells per well and incubated overnight. After treating cells with different formulations, the culture medium was removed and 70 μ L Caspase Glo 3 reagent (Promega, Madison, WI) was added to each well. After gently mixing the content in each well, the plate was incubated at room temperature for 30 min under dark conditions. Finally, 50 μ L of the reaction solution was measured using a luminometer (Cytation 5 Imaging Reader). ^{27,30}

2.12. Cell Morphology. Cells seeded in a 96-well plate were treated with Cu(DDC)₂ NPs. The NP-induced change of cell morphology was observed with a Cytation 5 Cell Imaging Multi-Mode Reader. We also cotreated cells with cycloheximide (CHX, a protein synthesis and paraptosis inhibitor) or chloroquine (CQ, an autophagy inhibitor) and observed their effects on Cu(DDC)₂ NP-induced cell morphology change.

2.13. Endoplasmic Reticulum Staining. Cells were stained with endoplasmic reticulum (ER)-specific dye and Hoechst 33342 prior to microscopy. Briefly, cells were seeded in a clear-bottomed, blackwalled 96-well plate at a density of 20 000 cells per well. After treatment with different formulations for 8 h, cells were incubated with a staining solution containing ER track dye (ER-ID green, Enzo Life Sciences Inc.) and Hoechst 33342 for 30 min at 37 °C avoiding light. Then, cells were washed with PBS and observed under a fluorescence microscope.

3. RESULTS

3.1. Preparation of Cu(DDC)₂ NPs with the SMILE **Method.** Because of the poor water solubility of Cu(DDC)₂, there is an emerging need to develop an injectable formulation for its clinical use. 16,17 In this study, we first attempted to use a classical film-dispersion method to prepare Cu(DDC)2-loaded micelles. However, the drug-loading efficiency was extremely low. The drug concentrations varied among different polymers tested, but none of them achieved satisfactory drug concentration and loading efficiency. The resulted drug concentration was below 10 µg/mL, and the drug-loading efficiency was below 2% (left columns and the inserted panel in Figure 1A). Therefore, we developed the SMILE technology as an innovative method to prepare Cu(DDC)₂ NPs. With the SMILE method, we successfully prepared Cu(DDC)₂ NPs with a high drug concentration (2 mg/mL) and a high loading efficiency (close to 100%) using different stabilizers including DSPE-PEG, PEG-PLA, and TPGS (Figure 1A). We also extensively investigated the effects of theoretical drug concentrations and different stabilizers on actual drug concentrations and loading efficiencies (Figures S1 and S2). At the theoretical drug concentrations of 2 or 4 mg/mL, both TPGS and DSPE-PEG at concentrations ranging from 0.5 to 4% could all generate NPs with high drug-loading efficiency

(close to 100%). The drug-loading efficiency was slightly lower in 4 mg/mL theoretical drug concentration groups compared to that in the 2 mg/mL groups. When the polymer stabilizer concentrations were at high levels (e.g., 2 or 4% of TPGS and DSPE–PEG, respectively), those groups with lower theoretical drug concentrations (e.g., 0.5 and 1 mg/mL of Cu(DDC)₂) showed significantly decreased Cu(DDC)₂ drug-loading efficiencies. However, when the polymer stabilizer concentrations were at low levels (e.g., 0.5 or 1%), those groups with similar low theoretical drug concentrations (e.g., 0.5 and 1 mg/mL of Cu(DDC)₂) showed much higher drug-loading efficiencies.

We also studied the effects of theoretical drug concentration and stabilizer concentration on the particle size and polydispersity index (PDI). When the theoretical drug concentration was 2 or 4 mg/mL, NPs exhibited a size of 60-70 nm if prepared with the TPGS stabilizer at the concentrations ranging from 0.5 to 4%. These NPs also showed a good size distribution as indicated by the small PDI value (Figure S3). However, when the theoretical drug concentration was set to be 1 or 0.5 mg/mL, the particle size varied significantly depending on the concentrations of TPGS used. These NPs also showed large PDI values, indicating a broad particle size distribution. A similar trend was also observed in NPs prepared with DSPE-PEG as the stabilizer. We could prepare NPs of well-controlled particle size when theoretical drug concentrations were 2 or 4 mg/mL with DSPE-PEG at concentrations ranging from 0.5 to 4%. The particle sizes were in the range of 40-80 nm depending on the DSPE-PEG and drug concentrations (Figure S4). All these NPs showed a narrow particle size distribution (small PDI value). At lower theoretical drug concentrations (0.5 or 1 mg/ mL), NPs showed a large variation of particle sizes and large PDI values. These results suggested that the interaction between DDC⁻ and Cu²⁺ during the complex formation was critical for the NP preparation. This interaction is greatly influenced by the concentrations of DDC⁻ and Cu²⁺. Although stabilizers are essential for the preparation and stabilization of Cu(DDC)₂ NPs, high concentrations of stabilizers (e.g., 2 or 4%) may interfere with the interaction between DDC- and Cu²⁺ and thus have a negative impact on the NP formation. These negative effects were more significant at lower concentrations of DDC⁻ and Cu²⁺. Therefore, the optimized theoretical drug concentration (2 mg/mL) and stabilizer concentration (1%) were used in the rest of the study unless otherwise specified.

We further explored the preparation of Cu(DDC)₂ NPs with additional stabilizers including Tween-20, Tween-80, and sodium dodecyl sulfate. All of these stabilizers could be successfully used to prepare Cu(DDC)₂ NPs with particle sizes ranging from 50 to 150 nm. The selection of stabilizers had a pronounced impact on the particle size and PDI (Figure 1B). Compared with the micelle solutions of DSPE and TPGS, the corresponding Cu(DDC)₂ NPs showed significant larger particle sizes. In contrast, the PEG-PLA micelle and PEG-PLA/Cu(DDC)₂ NPs had similar particle sizes (Figure S5). We characterized the blank PEG-PLA and PEG-PLA/Cu(DDC)₂ NPs using transmission electron microscopy (TEM). Both of them showed spherical morphology and similar particle sizes (Figure S6).

The formation of Cu(DDC)₂ NPs was also confirmed by colorimetric visualization and by UV–vis spectroscopy. The SMILE method produced stable Cu(DDC)₂ NPs with a dark

color in the presence of appropriate stabilizers (e.g., PEG-PLA and DSPE-PEG), while precipitation formed with a poor stabilizer or without a stabilizer (Figure 1C). The UV-vis spectrum showed that Cu(DDC)₂ has a characteristic peak of around 450 nm, which is absent in pure DDC-Na or CuCl₂ solutions (Figure 1D). We also used ¹H NMR spectroscopy to confirm the formation of NPs and study the structure of PEG-PLA Cu(DDC)₂ NPs (Figure 2). When all components were

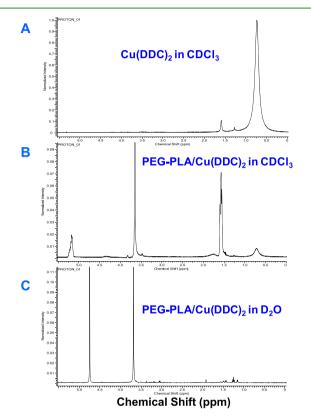


Figure 2. Core-shell structure of PEG-PLA Cu(DDC)₂ NPs. (A) ¹H NMR of Cu(DDC)₂ in CDCl₃; (B) ¹H NMR of PEG-PLA and Cu(DDC)₂ dissolved in CDCl₃; and (C) ¹H NMR of PEG-PLA/ Cu(DDC)₂ NPs formed in D₂O. PEG-PLA and Cu(DDC)₂ concentrations in (B,C) were 10 and 2 mg/mL, respectively.

dissolved in CDCl₃, the peaks from the hydrophilic PEG block (3.6 ppm), the hydrophobic PLA block (5.1 and 1.7 ppm), and Cu(DDC)₂ (0.7 ppm) were all observed. When PEG-PLA $Cu(DDC)_2$ NPs were prepared in D_2O , the peaks corresponding to the hydrophobic PLA block and Cu(DDC)2 were significantly diminished in contrast to the strong peaks for the hydrophilic PEG block. These results demonstrated that the PEG-PLA/Cu(DDC)₂ NPs had a core-shell structure with Cu(DDC)₂ embedded inside the hydrophobic core of PEG-PLA micelles. The PEG-PLA surrounding Cu(DDC)₂ NPs could stabilize the NPs and prevent aggregation formation.

The selection of stabilizers also had a great influence on NP stability. We determined the stability of NPs in the presence of 10% serum. PEG-PLA/Cu(DDC)₂ NPs showed excellent stability and did not have a significant change in particle size and PDI after incubation for at least 72 h. Although there was no obvious precipitation in TPGS and DSPE-PEG Cu-(DDC)₂ NP groups, particle sizes significantly increased over time (Figure 3A,B). We also determined the long-term stability of Cu(DDC)₂ NPs prepared with different stabilizers, including TPGS, DSPE-PEG, and PEG-PLA. NPs were

kept at room temperature, and changes in drug concentration were determined. As shown in Figure 3C, PEG-PLA/ Cu(DDC)₂ NPs demonstrated excellent stability with a minor decrease of drug concentration after 30 days of storage at room temperature. In contrast, the drug concentrations of DSPE-PEG and TPGS NPs were significantly decreased, indicating their poor storage stability.

3.2. Anticancer Activity of Cu(DDC)₂ NPs. The anticancer activity of Cu(DDC)₂ NPs in drug-resistant DU145-TXR cells was first determined using the MTT assay. As shown in Figure 4A, the DU145-TXR cell is resistant to paclitaxel with an IC₅₀ of 2575 nM. Cu(DDC)₂ NPs prepared with PEG-PLA, TPGS, and DSPE-PEG all showed potent anticancer activities at 48 h treatment. The IC50 values were 85, 172, and 193 nM, respectively. The treatment of equivalent concentrations of CuCl₂, DDC-Na, blank PEG-PLA, blank DSPE-PEG, or TPGS did not show significant cell toxicity. The anticancer effects also depended on the treatment time with increased anticancer effects occurring after prolonged treatment. Cells treated with DSPE-PEG/Cu(DDC)₂ NPs showed an IC₅₀ of 216 nM at 24 h and 138 nM at 72 h (Figure 4B). Similarly, the cells treated with TPGS/Cu(DDC)₂ NPs showed an IC₅₀ of 280 nM at 24 h and 149 nM at 72 h (Figure 4C). Cu(DDC)₂ NPs also demonstrated excellent anticancer activity in other cancer cells including MDA-MB-231 cells and MCF-7 cells. The IC₅₀ values of TPGS/Cu(DDC)₂ NP and PEG-PLA Cu(DDC)₂ NPs on MDA-MB-231 cells were 123 and 104 nM, respectively (Figure 4D). We further tested cell viability based on calcein AM and PI staining of cancer cells treated with Cu(DDC)₂ NPs. The treatment caused a dosedependent increase in membrane permeability. The red staining of dead cells increased with increasing drug concentrations. Concurrently, the green fluorescence signal of living cells decreased with increasing drug concentrations. These were evaluated qualitatively with fluorescence imaging (Figures 5A and S7) and quantitatively by determining green and red fluorescence intensities, respectively (Figure 5B). In addition, we probed the anticancer effects of Cu(DDC), NPs with the colony formation assay. The treatment of blank PEG-PLA did not show any noticeable effects on colony formation by DU145-TXR cells. The treatment of PEG-PLA/ Cu(DDC)₂ NPs showed significant inhibition of colony formation. The inhibition effects were significantly enhanced with the increased NP concentration. The colony formation was almost completely inhibited at the Cu(DDC), NP concentration of 0.2 μ M (Figure 5C).

3.3. Cu(DDC)₂ NP-Induced Cell Death through Paraptosis. The anticancer mechanisms of DSF and the DSF/ copper combination have been investigated in multiple studies.^{31–33} The proteasome/poly-Ub protein degradation pathway has been recognized as one of the major targets.^{9,10} The morphology of DU145-TXR cells was observed under a bright-field microscope. The Cu(DDC)₂ NPs included extensive cytoplasmic vacuolation in DU145-TXR cells (Figure S8). The vacuoles could be observed as early as 8 h after the treatment and continuously increased in size. Cytoplasmic vacuolation was also observed in Cu(DDC)₂ NP-treated MCF-7 cells (Figure S9). To examine the origin of the vacuoles, cells were stained with ER-tracker dyes. As shown in Figure 6A, ER in the control cells or those treated with paclitaxel (0.5 μ M) had a typical reticulate structure. The treatment of $Cu(DDC)_2$ NPs induced the formation of cytoplasmic vacuoles, which were positive for ER-specific markers, indicating that they **ACS Applied Materials & Interfaces**

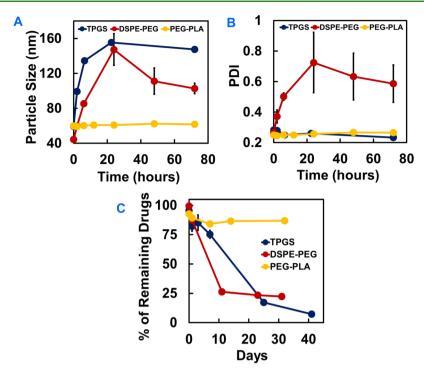


Figure 3. $Cu(DDC)_2$ NP stability. NPs prepared with different stabilizers were incubated in 10% FBS in PBS solution. Particle size (A) and PDI (B) were determined at different time points. (C) Storage stability of $Cu(DDC)_2$ NPs. NPs were kept at room temperature, and $Cu(DDC)_2$ concentration was determined at different time points. Results are the mean \pm SD (n = 3).

originated from the ER. The treatment of an autophagy inhibitor, CQ₁ did not show any significant effects on Cu(DDC)₂ NP-induced cytoplasmic vacuolation, proving that cytoplasmic vacuolization was not caused by autophagosome accumulation (Figure 6B). In contrast, cytoplasmic vacuolation was inhibited by the cotreatment with CHX, an inhibitor of paraptosis (Figure 6B). The inhibition of cytoplasmic vacuolization was also observed after 24 h of treatment (Figure S10).

The paraptosis is caspase-independent cell death. Therefore, we also determined caspase 3/7 activities of DU145-RXR cells treated with $Cu(DDC)_2$ NPs. The treatment with $Cu(DDC)_2$ NPs $(0.5~\mu\text{M})$ did not cause significant increase of caspase 3/7 activities compared to a negative control group of cells treated with an equivalent amount of PEG-PLA. However, the doxorubicin $(20~\mu\text{M})$ and lapatinib $(10~\mu\text{M})$ combination-treated cells showed a significant higher caspase 3/7 activity (Figure 7A). In addition, we examined the induction of intracellular ROS in DU145-TXR cells. As shown in Figure 7B, the treatment of $Cu(DDC)_2$ NPs $(5.5~\text{or}~0.55~\mu\text{M})$ did not increase the ROS levels compared with the negative control HBSS-treated group, though the ROS level was increased in the H_2O_2 $(100~\mu\text{M})$ positive control-treated group.

4. DISCUSSION

In this study, we developed an innovative SMILE technology to prepare Cu(DDC)₂ NP formulations with high drug concentration and high drug-loading efficiency. The drug concentration achieved with this method is at least 2 mg/mL, which will meet the needs for in vivo applications. Cu(DDC)₂ NPs were formed in situ by mixing DDC-Na and CuCl₂ in the presence of a stabilizer. Stabilizers successfully used in this method for NP preparation include excipients from the FDA-approved generally recognized as safe excipient list or those

with well-accepted safety profiles. The use of these excipients can avoid potential safety and other regulatory concerns associated with excipients. In addition, the SMILE technology is also a "green" method for NP preparation without using toxic organic solvents. The fabrication of Cu(DDC)₂ NPs with the SMILE technology does not involve complicated NP preparation nor postpreparation purification process. The complicated production process is a notorious obstacle for the commercialization of nanotechnology drug products. The SMILE technology provided an innovative solution to solve this problem and pave the way for its clinical translation and commercialization. We could further improve the fabrication process using microfluidics-based mixers, which should have an even better control of the mixing process and improve the properties of NPs. The SMILE technology can also be easily scaled up for mass production. All of the above advantages of SMILE technology would make it a promising method for preparing Cu(DDC)₂ NPs with great potential for clinical use.

The selection of stabilizers has a great influence on the physical-chemical properties of Cu(DDC)₂ NPs including particle size, drug-loading efficiency, and stability. The amphiphilic nature of stabilizers (i.e., surfactant-like materials) is critical for the formation and stabilization of Cu(DDC)₂ NPs. The use of hydrophilic polymers (such as PEG) resulted in the lack of Cu(DDC), NP formation. The presence of amphiphilic stabilizers could prevent the uncontrolled growth of NPs and avoid the formation of large aggregations. The hydrophobic moieties of the stabilizers interact with Cu-(DDC)₂ NPs, and the hydrophilic block forms a steric protection layer on the surface of NPs. As a result, a coreshell-structured nanoassembly was formed. This core-shell structure of NPs was confirmed by the ¹H NMR in our study (Figure 2). The interaction between a hydrophobic component of stabilizers and Cu(DDC)₂ NPs is an important factor **ACS Applied Materials & Interfaces**

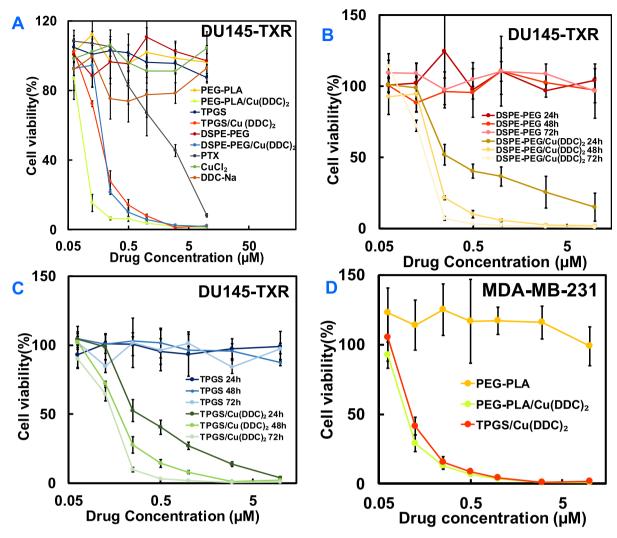


Figure 4. MTT assay. (A) DU145-TXR cells were treated with different formulations for 48 h. DU145-TXR treated with DSPE-PEG/Cu(DDC)₂ NPs (B) and TPGS/Cu(DDC)₂ (C) for 24, 48, and 72 h. (D) MDA-MB-231 cells treated with Cu(DDC)₂ NPs for 48 h. Results are the mean \pm SD (n = 4).

for the NP preparation. In our study, the use of PEG 2000 (PEG_{2K}) failed to form Cu(DDC)₂ NPs, although PEG has been used in multiple studies to improve the NP stabilities and prevent their aggregation. This is probably due to the lack of hydrophobic components in the PEG, which was unable to have strong hydrophobic interactions with Cu(DDC)₂ NPs. The difference in the interactions between stabilizers and Cu(DDC)₂ could also explain the varied stabilities of NPs prepared with different stabilizers such as PEG-PLA, TPGS, and DSPE-PEG. Stabilizers with a large hydrophobic component (e.g., PEG-PLA) could result in stronger interactions with Cu(DDC)₂ than TPGS or DSPE-PEG, which have relatively smaller hydrophobic components. Therefore, we could engineer the hydrophobic components of stabilizers to improve their performances in preparing Cu(DDC), NPs.

The PEG-PLA $\operatorname{Cu}(\operatorname{DDC})_2$ NPs developed in this study demonstrated excellent storage stability and serum stability. We could further improve the stability by designing and screening additional stabilizers, which might show stronger interactions with $\operatorname{Cu}(\operatorname{DDC})_2$. A freeze-dried formulation could also be an option for its clinical application. Another alternative is that $\operatorname{Cu}(\operatorname{DDC})_2$ NPs could be prepared immediately before

use. Because of the simple and straightforward preparation method, a kit could be developed to allow the preparation of $\text{Cu}(\text{DDC})_2$ NPs by health-care practitioners without extensive special training.

In this study, Cu(DDC)₂ NPs demonstrated excellent anticancer activity against drug-resistant prostate DU145-TXR cells. The drug resistance is a significant issue for prostate cancer treatment. The development of Cu(DDC)₂ NPs will potentially lead to a novel effective and affordable drug for patients with drug-resistant prostate cancers. Cu-(DDC)₂ NPs also showed activity against MCF-7 breast cancer as well as triple negative MDA-MB-231 breast cancer cells, indicating its potential as a broad spectrum anticancer agent. The anticancer mechanism of DSF or DSF/Cu combination has been investigated in several previous studies. DSF/Cu can inhibit proteasome/poly-Ub protein degradation pathway by targeting the NPL4 protein, which is upstream to the proteasome. 9,10 Briefly, Cu(DDC)₂ binds to the NPL4 protein, induces NPL4-P97 aggregation, and disables the P97-NPL4-UFD1 pathway. The inhibition of poly-Ub protein degradation leads to poly-Ub protein accumulation in the ER, thereby causing ER stress and unfolded protein response,9 increases intracellular Ca²⁺ concentration,³⁴ impairs mitochondria

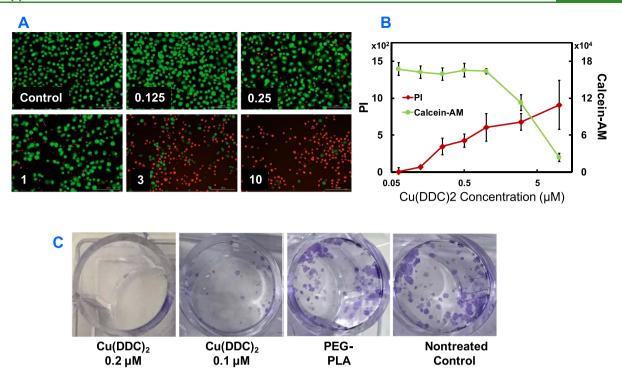


Figure 5. Calcein-AM/PI staining. DU145-TXR cells in a 96-well plate were treated with various concentrations (μ M) of TPGS/Cu(DDC)₂ NPs as well as a negative control (TPGS only). Twenty-four hours after treatment, cells were stained with calcein-AM and PI and then analyzed by using fluorescence imaging (A) and by determining fluorescence intensity (B). Results are the mean \pm SD (n = 4). (C) Cell colony assay. DU145-TXR cells were seeded at a density of 500 cells per well. The following day, cells were treated with 0.2 or 0.1 μ M PEG-PLA/Cu(DDC)₂ and blank PEG-PLA for 2 h. Cells were cultured for 1 additional week. Cell colonies were fixed with methanol and visualized with crystal violet staining.

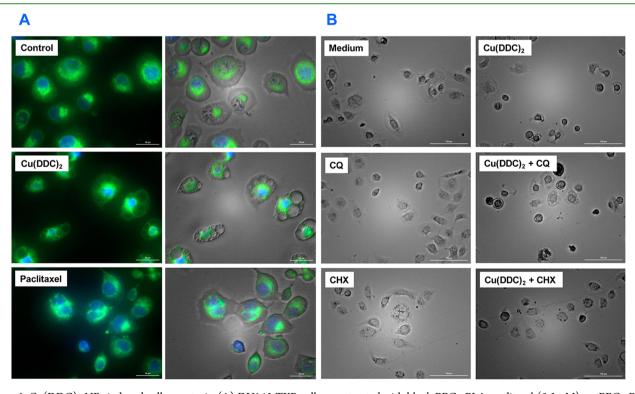
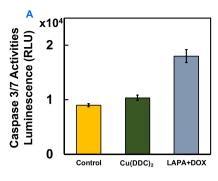


Figure 6. $Cu(DDC)_2$ NPs induced cell paraptosis. (A) DU145-TXR cells were treated with blank PEG-PLA, paclitaxel (0.5 μ M), or PEG-PLA/Cu(DDC)₂ NPs (0.5 μ M) for 8 h and stained with ER-ID green dye and Hoechst 33342. PEG-PLA/Cu(DDC)₂ NPs treatment induced cytoplasmic vacuolation resulted from dilated ER. Right panel: Merged fluorescence and bright filed images. (B) Morphology of Du145-TXR cells treated with Cu(DDC)₂ NPs (0.5 μ M) alone and in combination with CHX (20 μ M) or CQ (20 μ M) for 8 h.

function,³⁵ and finally causes cell death via apoptosis or nonapoptotic cell death (e.g., paraptosis and autophagy).³⁶

Apoptosis is a type of cell death demonstrating hallmarks such as caspase activation, chromatin condensation, nuclear



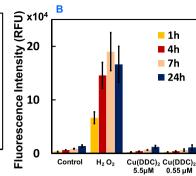


Figure 7. (A) Effects of Cu(DDC)₂ NP treatment on caspase 3/7 activation. Cells were treated with blank PEG–PLA, Cu(DDC)₂ NPs (0.5 μ M), and lapatinib (10 μ M) + doxorubicin (20 μ M) for 24 h. Caspase 3/7 activities were determined with Caspase-Glo 3/7 assay. Results are the mean \pm SD (n = 4). (B) Effects of Cu(DDC)₂ NP treatment on ROS generation. DU145-TXR cells were treated with 5.5 μ M Cu(DDC)₂ NPs, 0.55 μ M Cu(DDC)₂ NPs, 100 μ M H₂O₂ (positive control), and PBS (negative control). ROS was determined with 2',7'-dichlorofluorescein diacetate method after treating cells with different formulations for different lengths of time. Results are the mean \pm SD (n = 4).

fragmentation, and formation of apoptotic bodies. Some studies reported that apoptosis was involved in the DSF/Cuinduced cell death.³⁵ However, others showed that DSF or ${
m Cu(DDC)_2}_{37,38}$ may inhibit caspase activation and block cell apoptosis. A recent study also showed that DSF/Cu induced cell apoptosis in a cell-type-specific manner.9 In the current study, we found that treatment with Cu(DDC)₂ did not significantly increase the caspase 3/7 activity, indicating that caspase-mediated apoptosis might not be a major cell death pathway for DU145-TXR cells treated with Cu(DDC)2 NPs. Instead, the treatment of Cu(DDC)₂ NPs induced cytoplasmic vacuolation, which is a typical morphology of paraptosis. The vacuole formation was effectively inhibited by cotreatment with a paraptosis inhibitor but not an autophagy inhibitor. This further confirmed that paraptosis rather than autophagy was the major pathway involved in the Cu(DDC)₂ NP-induced cell death in DU145-TXR cells. This result is consistent with several previous reports, which showed that copper complexes induced paraptosis, a type of nonapoptotic programmed cell death. ^{38–41} Paraptosis is featured with cell cytoplasm vacuoles derived from swelled ER. Our studies with ER-specific staining also indicated the ER origin of the cytoplasm vacuoles. During the paraptosis process, cytoplasm vacuoles increase in number and size. Large vacuoles surrounding the intact nuclei form at the late stage. Eventually, cells rupture and release substances, which may cause inflammation or stimulate anticancer immune responses. Unlike apoptosis, paraptosis does not show chromatin condensation, nuclear fragmentation, or caspase activities. Paraptosis is a promising target for cancer therapy, particularly, for resistant cancers with defective cell apoptosis pathways. To further characterize cell paraptosis, we could trace the paraptosis vacuoles on cells overexpressing fluorescent protein-tagged ER or use immunocytochemistry approach to label ER with an antibody against protein disulfide isomerase (PDI). Although we observed the formation of paraptosis vacuoles in DU145-TXR cells and MCF-7 breast cancer cells as well, this might be cell-type-specific. Therefore, further studies will still be needed to further verify this in additional prostate cancer cells as well as other cancer cells before we could draw a more general conclusion.

5. CONCLUSIONS

In conclusion, we have developed a SMILE technology as an innovative method to prepare Cu(DDC)₂ NPs. These NPs

demonstrated great potential as an anticancer agent to treat drug-resistant prostate cancer as well as other types of cancers. $Cu(DDC)_2$ NP treatment effectively induced cancer cell death through paraptosis. The NP formulation developed in the current study has a great potential as a new generation of nanotherapeutics for treating drug-resistant prostate cancer and benefit prostate cancer patients. In the future, the optimized formulation will be further evaluated with additional preclinical animal studies to determine in vivo pharmacokinetics, anticancer efficacy, and safety profile.

ASSOCIATED CONTENT

S Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acsami.8b14940.

Effects of theoretical drug concentration and TPGS concentration on actual Cu(DDC)₂ drug concentration and drug-loading efficiency; effects of theoretical drug concentration and DSPE-PEG concentration on actual Cu(DDC)₂ drug concentration and drug-loading efficiency; effects of theoretical drug concentration and TPGS concentration on NP particle size and PDI; effects of theoretical drug concentration and DSPE-PEG concentration on NP particle size and PDI; particle size of 2 mg/mL Cu(DDC)₂ NPs and corresponding stabilizers without Cu(DDC)₂ NPs; TEM of PEG-PLA micelles and PEG-PLA/Cu(DDC)₂ NPs; calcein AM/ PI staining of DU145-TXR cells treated with Cu(DDC)₂ NPs; morphology of DU145-TXR cells treated with $Cu(DDC)_2$ NPs (0.5 μ M), paclitaxel (0.5 μ M), and blank PEG-PLA for 24 h; morphology of MCF-7 cells treated with 0.5 µM DSPE-PEG/Cu(DDC), NPs for 72 h; and morphology of Du145-TXR cells treated with $Cu(DDC)_2$ NPs (0.5 μ M) alone and in combination with CHX (20 μ M) or CQ (20 μ M) for 24 h (PDF)

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Notes

The authors declare no competing financial interest.

ACKNOWLEDGMENTS

This work was financially supported by Auburn University startup fund (F.L.) and National Science Foundation grant no. CBET-1701363 (P.C.). A sincere thank you to Dr. Deadre Johnson for proofreading of this paper.

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