

1 Thermodynamic and dynamic responses to deforestation in the Maritime Continent: A
2 modeling study

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6 Chu-Chun Chen¹, Min-Hui Lo^{1*}, Eun-Soon Im², Jin-Yi Yu³, Yu-Chiao Liang³, Wei-
7 Ting Chen¹, Iping Tang¹, Chia-Wei Lan¹, Ren-Jie Wu¹, Rong-You Chien¹

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9 ¹ Department of Atmospheric Sciences, National Taiwan University, Taipei, Taiwan
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11 ² Department of Civil and Environmental Engineering, Division of Environment and
12 Sustainability, The Hong Kong University of Science and Technology

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14 ³ Department of Earth System Sciences, University of California, Irvine, USA
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19 *Correspondence to: Min-Hui Lo (minhuilo@ntu.edu.tw)

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Abstract

26

27 Tropical deforestation can result in substantial changes in local surface energy and
28 water budgets, and thus in atmospheric stability. These effects may in turn yield
29 changes in precipitation. The Maritime Continent (MC) has undergone severe
30 deforestation during the past few decades but it has received less attention than the
31 deforestation in the Amazon and Congo rainforests. In this study, numerical
32 deforestation experiments are conducted with global (i.e., Community Earth System
33 Model) and regional climate models (i.e., Regional Climate Model version 4.6) to
34 investigate precipitation responses to MC deforestation. The results show that the
35 deforestation in the MC region leads to increases in both surface temperature and local
36 precipitation. Atmospheric moisture budget analysis reveals that the enhanced
37 precipitation is associated more with the dynamic component than with the
38 thermodynamic component of the vertical moisture advection term. Further analyses
39 on the vertical profile of moist static energy indicate that the atmospheric instability
40 over the deforested areas is increased as a result of anomalous moistening at
41 approximately 800-850 hPa and anomalous warming extending from the surface to 750
42 hPa. This instability favors ascending air motions, which enhance low-level moisture
43 convergence. Moreover, the vertical motion increases associated with the MC
44 deforestation are comparable to those generated by La Niña events. These findings not
45 only offer mechanisms to explain the local climatic responses to MC deforestation but
46 also insights into the possible reasons for disagreements among climate models in
47 simulating the precipitation responses.

48

49 **1. Introduction**

50 Anthropogenic land use and land cover changes, especially deforestation, can have
51 substantial effects on the local and remote climate. For instance, deforestation can
52 directly alter the partitioning of local surface energy and the water budget, leading to
53 changes in precipitation (e.g., Zeng and Neelin 1999; Pielke et al. 2007; Mahmood et
54 al. 2014; Lawrence and Vandecar 2015). Tropical rainforests have lower albedos, larger
55 leaf and stem areas for evapotranspiration, and larger heights than other vegetation
56 types. Therefore, converting rainforest into bare ground or grassland has three major
57 effects on land surface conditions: (1) a reduction in evapotranspiration, (2) an increase
58 in surface albedo, and (3) a decrease in surface roughness. The reduction in
59 evapotranspiration decreases the surface latent heat flux and leads to a surface warming
60 effect. The decrease in roughness reduces the aerodynamic exchanges between the
61 surface and the atmosphere. Furthermore, the reduced roughness alone may also
62 increase surface pressure and subsidence through land-atmosphere interactions.
63 Although the enhanced wind speed might mitigate this effect, the net effect is a decrease
64 in evapotranspiration (Maloney 1998). These two non-radiative processes contribute to
65 changes in the water and energy budgets which result in a positive temperature response.
66 Conversely, radiative processes reduce the net incoming radiation (through the increase
67 in surface albedo) to produce a cooling effect. Previous studies have indicated that non-
68 radiative processes are stronger in the tropics. As a result, warming is the net response
69 to tropical deforestation. This differs from the temperate and boreal zones, where
70 radiative processes are more important and the overall result of deforestation is a net
71 cooling (Davin and de Noblet-Ducoudré 2010; Malyshev et al. 2015).

72 The effects of tropical deforestation are highly dependent on the spatial scales of
73 deforestation, the nearby environments and the mean climates of the deforested
74 locations (e.g., Polcher and Laval 1994a; Schneck and Mosbrugger 2011; Lawrence

75 and Vandecar 2015; Spracklen and Garcia-Carreras 2015). The climate impacts of
76 large-scale (thousands of kilometers, km) tropical deforestation have been studied using
77 numerical climate models and idealized scenarios, in which the deforestation was
78 applied in the models throughout all tropical rainforests or within the entire Amazon or
79 Congo basins (e.g., Sud et al. 1996; Volodire and Royer 2004; Avissar and Werth 2005;
80 Ramos da Silva et al. 2008; Lawrence and Vandecar 2015; Lejeune et al. 2015;
81 Spracklen and Garcia-Carreras 2015). These large-scale deforestation experiments
82 generally show a warmer and drier climate locally over the deforested regions. The
83 warming effect is caused by a strong reduction in surface latent heat flux that outweighs
84 a weaker decrease in net surface radiation, while the drying effect is caused by the
85 reductions in transpiration, which may contribute to the simulated decreases in
86 precipitation (e.g., Katul et al. 2012; Kumagai et al. 2013). However, there are a few
87 large-scale deforestation studies that do not show these warmer and drier climate
88 responses in the Congo basin and Maritime Continent (MC) (Polcher and Laval 1994a;
89 McGuffie et al. 1995; Zhang et al. 1996a; Findell et al. 2006). The differences may
90 result from the different vegetation types used to replace forests (e.g., grassland,
91 scrubland, or bare ground) or broader deforestation regions (that are not confined to the
92 tropics) used. Besides impacting local and regional climate, large-scale deforestations
93 can also induce remote climate impacts through changes in the large-scale circulation
94 (e.g., Hadley circulation or Walker circulation) and Rossby wave propagation in the
95 atmosphere (e.g., Henderson-Sellers et al 1993; Sud et al. 1996; Zhang et al. 1996b;
96 Snyder 2010; Lawrence and Vandecar 2015).

97 Mesoscale deforestation (on scales of tens to hundreds of km, up to two thousand
98 km in scale) in areas surrounded by forest or ocean is a more realistic deforestation
99 scenario than large-scale deforestation (e.g., Wang et al. 2009; Roy 2009; Hanif et al.
100 2016). Observational datasets and climate model simulations have been used to

101 investigate the climate impact of mesoscale deforestation. Studies based on satellite
102 observations and mesoscale climate models in southwestern Brazil have indicated that
103 a heterogeneous land surface condition, such as a “fish-bone” deforestation pattern, can
104 induce mesoscale atmospheric circulation under weak synoptic-scale forcing that can
105 enhance cloudiness and rainfall (Wang et al. 2009; Negri et al. 2004; Roy 2009). A
106 regional climate modeling study revealed an increase in precipitation at the edge of the
107 forest in the Amazon basin due to an enhancement of prevailing wind resulting from an
108 increased land-sea heat contrast after deforestation (Ramos da Silva et al. 2008).
109 Observational studies have also suggested that mesoscale deforestation tends to
110 increase local precipitation in western Malaysia; the responsible mechanisms are not
111 clear (Hanif et al. 2016).

112 The Maritime Continent (MC) region has experienced dramatic forest losses in
113 recent decades (Gaveau et al. 2014; Austin et al. 2019), but these changes have received
114 less attention than the deforestation in the Amazon and Congo basins. Based on Landsat
115 satellite data, the forest clearing rate in Indonesia was higher than that in the Brazilian
116 Amazon during the period 2000-2012 (Margono et al. 2014; Hansen et al. 2013). The
117 forest area in Borneo was 55.8 Mha in 1973. By 2015 about 33.4% of it had been
118 deforested (Gaveau et al. 2016). Deforestation has also occurred in other parts of the
119 MC, such as Sumatra where the total forest area decreased by about 25.6% during the
120 period 1990-2000 (Gaveau et al. 2009). Because the MC is located within the joint
121 ascending region of the Hadley and Walker circulations, the climate response to
122 deforestation in this region may influence other remote regions via changes in the large-
123 scale circulations (Mabuchi et al. 2005b; Schneck and Mosbrugger 2011). Furthermore,
124 van der Molen et al. (2006) have suggested that land use and land cover changes can
125 have greater impacts on precipitation under maritime conditions than under continental

126 conditions due to the higher sensitivity of the sea breeze responses. It is possible that
127 the MC deforestation can induce strong atmospheric circulation responses.

128 Modeling studies on the MC deforestation are consistent in finding a local warming
129 effect of the deforestation but disagree on the precipitation response. Table 2
130 summarizes these modeling studies. Some of them suggested that deforestation can
131 reduce precipitation in the MC region by weakening surface latent heat fluxes (Mabuchi
132 et al. 2005a; Mabuchi et al. 2005b; Avissar and Werth 2005; Werth and Avissar 2005;
133 Mabuchi 2011; Kumagai et al. 2013). However, the MC deforestation was found to
134 intensify extreme rainfall events (i.e., the maximum daily precipitation) in a regional
135 climate model study (Tölle et al. 2017) and enhance convection over the surrounding
136 oceans in a fully coupled model as a weakening of upwelling causes a warming of ocean
137 surface, leading to stronger convergence (Schneck and Mosbrugger 2011). Some of
138 these studies also found increased precipitation under certain circumstances. For
139 instance, Delire et al. (2001) found increased precipitation over the land regions in the
140 MC using a model with prescribed sea surface temperatures. In addition, Takahashi et
141 al. (2017) showed that, in the Weather Research and Forecasting Model, precipitation
142 increases after decreasing the maximum stomatal conductance to one fifth of its value
143 in the control run, while the land cover remains the same (broadleaf evergreen).
144 Moreover, Schneck and Mosbrugger (2011) showed that the changes in precipitation
145 after deforestation are region-dependent. The precipitation decreases in western Borneo,
146 northern Sumatra, and some parts in Indochina, but increases in New Guinea.

147 As mentioned, there is not yet a clear consensus on how precipitation responds to
148 deforestation in the MC region. In this study, we perform MC deforestation experiments
149 with the National Center for Atmospheric Research (NCAR) Community Earth System
150 Model version 1.0.3 (CESM) and Abdus Salam International Centre for Theoretical
151 Physics (ICTP) Regional Climate Model version 4.6 (RegCM4; Giorgi et al. 2012) to

152 uncover the mechanism that controls the precipitation response. The possible factors
153 that may contribute to the disagreement among models in the precipitation responses
154 are discussed.

155

156 **2. Methods**

157 *a. CESM setup for deforestation experiment*

158 Two simulations were performed with the CESM: the control run and the
159 deforestation run. Both simulations were run for 30 years and the last 25 years of the
160 simulations were used for analyses. The simulations were performed with the
161 “F_2000_CAM5” configuration of the CESM, which features the year 2000
162 greenhouse gas emission forcing and couples the stand-alone Community Atmosphere
163 Model (CAM) using the CAM5 physics (Neale et al. 2012) with the Community Land
164 Model Version 4 (CLM4.0, Oleson et al. 2010; Lawrence et al. 2011). The model has
165 a horizontal resolution of $0.9^\circ \times 1.25^\circ$ and is prescribed with climatological (1982-2001)
166 sea surface temperatures and sea ice concentrations. In CLM4.0, vegetation types are
167 represented by the plant functional types (PFTs) that describe vegetation properties
168 such as leaf area index, stem area index, and canopy height, and thus albedo and
169 evapotranspiration effects are varying throughout PFTs. In the deforestation
170 experimental run, we replace the broadleaf evergreen tropical trees and broadleaf
171 deciduous tropical trees in the MC region (between 10°S - 10°N and 90°E - 150°E) by C4
172 grasses. Figure S1 shows the changes in the spatial distributions of PFT. We replace
173 trees with C4 grass as a proxy for oil palm, which is one of the major vegetation types
174 occupying the MC after deforestation (Carlson et al. 2012). Some of the characteristics
175 of C4 grass and oil palm are similar. For example, the respiration rate of oil palm in the
176 rainy season is 38 to 75 mg $\text{H}_2\text{O m}^{-2} \text{s}^{-1}$ (Radersma and de Ridder, 1996), and the
177 respiration rate for one species of C4 grass is 75.8809 mg $\text{H}_2\text{O m}^{-2} \text{s}^{-1}$ (Snyman et al.

178 1997). In addition, if the forest was not converted into oil palm, C4 grass would still be
179 the most probable vegetation type growing in the tropics (Sage et al. 1999).

180

181 *b. RegCM4 setup for deforestation experiment*

182 To further confirm the deforestation response revealed by the coarse-resolution
183 CESM, we also performed the control and deforestation experiments with the Regional
184 Climate Model version 4.6 (Giorgi et al. 2012, hereafter referred to as RegCM4). The
185 domain covers the whole MC region including the regions where land use type for
186 CESM run was converted from the broadleaf evergreen tropical trees and broadleaf
187 deciduous tropical trees to warm C4 grasses. The horizontal resolution is 50 km with
188 60 (North-South direction: 12.0018°S - 12.9781°N) x 160 (East-West direction:
189 89.9264°E - 160.074°E) grid points (see Figure 1 for the RegCM4 domain used in this
190 study), while 23 vertical levels are used within the sigma coordinate. A buffer zone of
191 8 grid points is assigned to each lateral boundary. For the basic configuration of
192 RegCM4, we use the radiative transfer scheme of the modified NCAR Community
193 Climate Model version 3 (CCM3), the non-local planetary boundary layer scheme of
194 Holtslag, the ocean flux scheme of Zeng, and the Subgrid Explicit Moisture (SUBEX)
195 scheme for the resolved scale precipitation, which are default schemes of RegCM4
196 (Giorgi et al. 2012) or applied schemes for RegCM4 simulations of Southeast Asia
197 domain (Chung et al. 2018). We also performed sensitivity experiments with various
198 cumulus schemes to decide that using the cumulus scheme of Emanuel (1991) for land
199 grids and the cumulus scheme of Tiedtke (1996) for ocean grids produces the best
200 model performance. As for the land-surface scheme, CLM4.5 newly incorporated
201 within RegCM4 is used. Therefore, the land use distributions used for RegCM4 control
202 and deforestation experiments are exactly the same with those from CESM (in terms of
203 RegCM4 domain) except for the discrepancy caused by the different resolution. The

204 initial and lateral boundary conditions are obtained from the European Centre for
205 Medium–Range Weather Forecasts (ECMWF) interim Reanalysis (ERA–Interim) with
206 a resolution of $1.5^\circ \times 1.5^\circ$ at 6-hour intervals. The sea surface temperatures (SSTs) are
207 prescribed by the ERA–interim reanalysis with a resolution of $1.5^\circ \times 1.5^\circ$ at 6-hour
208 intervals. Both simulations (deforestation and control experiments) span 23 years from
209 January 1979 to December 2001. The first 3 years were used as the spin-up period and
210 were excluded in the analyses. This spin-up time is considered long compared to many
211 other studies that used regional climate models to investigate impact of land-use change
212 (e.g., Laux et al. 2017; Zhang et al. 2016; Wang and Cheung 2017). The resulting 20-
213 year simulations cover the period of 1982-2001, which is the period used to define the
214 climatological SST prescribed in the CESM experiments.

215

216 *c. Observational data: precipitation, near surface air temperature, outgoing
217 longwave radiation*

218 We used four observation-based precipitation datasets to evaluate the land
219 precipitation over the MC region in the simulations: the Global Precipitation
220 Climatology Centre (GPCC) (Schneider et al. 2011) with a horizontal resolution of 0.5°
221 $\times 0.5^\circ$; the National Oceanic and Atmospheric Administration’s Precipitation
222 Reconstruction over Land (PREC/L) (Chen et al. 2002) with a horizontal resolution of
223 $0.5^\circ \times 0.5^\circ$; Asian Precipitation – Highly-Resolved Observational Data Integration
224 Towards Evaluation of the Water Resources (APHRODITE, Yatagai et al. 2012) with
225 a horizontal resolution of $0.25^\circ \times 0.25^\circ$; Global Precipitation Climatology Project
226 (GPCP 1dd) (Huffman et al. 2001) with a horizontal resolution of $1^\circ \times 1^\circ$. All datasets
227 were constructed from gauge-based precipitation, and GPCP has also incorporated the
228 information from the satellite data. We used two observational near Surface Air
229 Temperature (SAT) global land gridded products from CRU TS v. 4.01 (Harris et al.

230 2014) and from the University of Delaware (UoD) Surface Air Temperature (Willmott
231 and Matsuura, 2001). The gridded monthly NOAA Interpolated Outgoing Longwave
232 Radiation (OLR) from NCAR with temporal interpolation (Liebmann and Smith 1996)
233 was also used in this study.

234

235 *d. Surface energy balance equation*

236 We analyze the surface energy balance following the equation (1) of Chen and
237 Dirmeyer (2016):

$$R_{net} = S_{net} + LW_{in} - \varepsilon\sigma T_s^4 = H + LE + G, \quad (1)$$

238 where the R_{net} is the net radiation at the surface, S_{net} is the net shortwave flux at the
239 surface, LW_{in} is the downward longwave flux at the surface, and $\varepsilon\sigma T_s^4$ is the upward
240 longwave flux at the surface based on Stefan-Boltzmann law (the value of emissivity
241 ε depends on surface cover type). For R_{net} , S_{net} , and LW_{in} , the downward direction
242 is positive. The net radiation at the surface is also equal to the net surface heat flux,
243 where H is surface sensible heat flux, LE is surface latent heat flux, and G is ground
244 heat flux. For H and LE , the upward direction is positive, and for G , the downward
245 direction is positive.

246

247 *e. Moisture budget equation*

248 To understand the mechanism that determines the precipitation response to the MC
249 deforestation, we diagnosed the moisture budget using the following vertically-
250 integrated moisture budget equation:

$$\left\langle \frac{\partial q}{\partial t} \right\rangle = ET - P - \langle \nabla \cdot (vq) \rangle, \quad (2)$$

251 where q is the specific humidity, ET is evapotranspiration, P is precipitation, and
 252 v is the three-dimensional velocity. Angle brackets $\langle \rangle$ denote mass integration
 253 through the troposphere:

$$\langle X \rangle = \frac{1}{g} \int_{p_s}^{p_t} X dp, \quad (3)$$

254 where g is the gravitational acceleration, p_t is the pressure at the tropopause (set to
 255 100 hPa in this study), and p_s is surface pressure. Since the vertical velocity ω is near
 256 zero at the surface and tropopause (Tan et al. 2008), the divergence of moisture flux
 257 can be estimated as

$$\langle \nabla \cdot (vq) \rangle \approx \langle v \cdot \nabla q \rangle + \left\langle \omega \frac{\partial q}{\partial p} \right\rangle, \quad (4)$$

258 where $\langle v \cdot \nabla q \rangle$ is the vertically integrated horizontal moisture advection and $\left\langle \omega \frac{\partial q}{\partial p} \right\rangle$
 259 is the vertically integrated vertical moisture advection. Since the long-term averaged
 260 $\left\langle \frac{\partial q}{\partial t} \right\rangle$ is negligible, the anomalies of vertically integrated moisture budget equation can
 261 be written as (Chou and Neelin 2004; Chou et al. 2006):

$$P' \approx ET' - \langle v \cdot \nabla q \rangle' - \left\langle \omega \frac{\partial q}{\partial p} \right\rangle', \quad (5)$$

262 where the apostrophe ' represents the differences between control simulation and
 263 deforestation experimental simulation. The changes in vertically integrated vertical
 264 moisture advection can be further divided into two components:

$$- \left\langle \omega \frac{\partial q}{\partial p} \right\rangle' \approx - \left\langle \bar{\omega} \frac{\partial q'}{\partial p} \right\rangle - \left\langle \omega' \frac{\partial \bar{q}}{\partial p} \right\rangle, \quad (6)$$

265 where $(-)$ denotes the value from the control simulation and $()'$ denotes the
 266 difference between control simulation and deforestation experimental simulation. The
 267 first term $- \left\langle \bar{\omega} \frac{\partial q'}{\partial p} \right\rangle$ is referred to the thermodynamic component, which is associated
 268 with changes in water vapor. The second term $- \left\langle \omega' \frac{\partial \bar{q}}{\partial p} \right\rangle$ is the dynamic component,

269 which is associated with changes in convection. Notice that we use W/m^2 as the unit
270 for the terms in the water budget equations, including precipitation, which, divided by
271 28, is mm/day .

272

273 *f. Moist static energy*

274 To understand mechanisms that induce changes in convection, we analyzed the
275 vertical profile of moist static energy (MSE) anomalies. The MSE is the sum of sensible,
276 latent, and potential energy and is defined as:

$$\text{MSE} = C_p T + Lq + gz, \quad (7)$$

277 where C_p is the specific heat of air at constant pressure and T is the temperature, L
278 is the latent heat of vaporization, q is the specific humidity, g is the acceleration of
279 gravity, and z is height.

280

281 **3. Results**

282 *a. Validations of precipitation, near surface air temperature, and OLR*

283 Figures 2a-c show the annual mean precipitation from a 25-year CESM control
284 simulation, a 20-year (1982-2001) RegCM4 control simulation, and four observational
285 datasets (GPCC: 1982-2001; PREC/L: 1982-2001; GPCP: 1997-2012; APHRODITE:
286 1982-2001). The pattern correlation coefficients (r) and root mean square errors
287 (RMSEs) are also displayed in the title of each panel; note that the r and RMSE are
288 calculated at the CESM model spatial resolution ($0.9^\circ \times 1.25^\circ$). The seasonal
289 comparisons are provided in the supplementary information (Figure S2A for both the
290 CESM and RegCM4). Figures 2a-c show that the simulated land precipitation over the
291 MC region is reasonably close to the observations, though the values are overestimated
292 over New Guinea in both the CESM and RegCM4. The CESM underestimates the

293 precipitation over central Borneo, while the RegCM4 does capture the local maximum
294 values over central Borneo.

295 However, the pattern correlation coefficient between the precipitation in
296 observation ensemble and in RegCM4 is actually lower (0.14) than that between
297 observation ensemble and CESM (0.39). The RMSE of precipitation in RegCM4 is also
298 higher when compared to the results in the CESM (12.27 mm/day for RegCM4 and
299 3.15 mm/day for CESM). This may be partly due to the high spatial variation in the
300 RegCM4 simulations and the dry biases exhibited by the model in the coastal regions
301 of Borneo and eastern Sumatra. We also examine the spatial patterns of the four
302 observational datasets for the annual and seasonal means (Figure S2B). In general,
303 GPCC, GPCP, and PREC/L show similar spatial patterns (see Table S1, for annual
304 means; r between GPCC and GPCP is 0.68; r between GPCC and PREC/L is 0.8; r
305 between GPCP and PREC/L is 0.74). APHRODITE shows different spatial patterns
306 from the others due to its higher spatial resolution ($0.25^\circ \times 0.25^\circ$), which leads to higher
307 spatial maxima in precipitation. GPCP has a relatively lower spatial resolution ($1^\circ \times$
308 1°); thus, its pattern correlation coefficients with the other three datasets are usually low
309 (see Table S1, for the annual means, r between GPCP and GPCC is 0.68; r between
310 GPCP and PREC/L is 0.74; r between GPCP and APHRODITE is 0.55).

311 The near surface air temperatures are reasonably well simulated in both models. In
312 particular, the RegCM4 can capture a number of topographic effects very clearly in
313 central Borneo and New Guinea (Figure 2d-f). Therefore, the simulated SAT in
314 RegCM4 has a relatively higher pattern correlation coefficient and lower RMSE (0.78
315 and 1.6°C) than those in CESM (0.52 and 2.2°C). In comparison to the observed OLR,
316 the CESM not only captures the spatial pattern with high pattern correlation coefficients
317 (0.74) but also produces a similar magnitude (Figure 2g-h). Note that the OLR is not
318 available from the RegCM4 model at present so only the CESM result is shown. The

319 seasonal simulations (Figures S2C and S2D) have biases that are similar to those in the
320 annual-mean simulations despite having different magnitudes and spatial patterns.

321

322 *b. Local hydroclimate response to MC deforestation*

323 To examine the local climate responses to the MC deforestation, we compared the
324 deforestation run to the control run in CESM as well in RegCM4 on an annual mean
325 basis. The local climate responses during different seasons usually have the same signs
326 as that in the annual mean response (except for: the precipitable water in SON and DJF,
327 cloud cover in CESM, and the net surface longwave radiation and sensible heat fluxes
328 in RegCM4) despite having different magnitudes and spatial patterns. Therefore, we
329 only show and discuss the annual mean changes in Figures 3 (from the CESM
330 experiments) and 4 (from the RegCM4 experiments) and Table 1. The seasonal changes
331 are provided in the supplementary information (Figures S3A-S3J and Tables S2 and
332 S3).

333 The land surface temperatures in the deforestation run are warmer than the control
334 run by about 1K (with p-value < 0.05) when averaged over the entire MC land in the
335 CESM simulations (Figure 3a). To understand the temperature response to
336 deforestation, we analyzed the changes in surface radiation and surface heat flux in
337 Equation (1). For the non-radiative fluxes, we find an increase in the surface sensible
338 heat flux (Figure 3b) and a significant decrease in the surface latent heat flux (Figure
339 3c) over the deforested area of the MC in the deforestation run. It is clear from Figures
340 3b and 3c that the decrease in the latent heat flux (-9.6 W/m², with p-value < 0.05) is
341 larger than the increase in the sensible heat flux (5.08 W/m², with p-value < 0.05) in
342 terms of absolute changes. Deforestation leads to lower evapotranspiration and reduced
343 roughness which weakens the aerodynamic exchanges. These two effects result in a
344 larger magnitude of latent heat flux reduction, and the latter can also reduce the

345 magnitude of the sensible heat flux increase. Note that the increase in surface latent
346 heat flux in coastal regions is due to the larger near surface wind speeds (induced by
347 the warmer land surface and reduced roughness) there after deforestation.

348 Furthermore, there are increases in mid (2.02%, with p-value < 0.05) and high
349 (0.86%, with p-value < 0.05) level cloud cover but decreases in low level cloud cover
350 (-1.02%, with p-value < 0.05) as revealed by the vertical changes in cloud cover over
351 the MC land regions (Figure 3g-3i and Table 1). The decrease in low cloud cover is
352 consistent with the more stable environment in the low atmosphere due to decreases in
353 near surface water vapor after deforestation. The decreased low clouds also correspond
354 to more incoming downward solar radiation but also less downward longwave radiation
355 at the surface. The increased mid and high clouds are associated with less incoming
356 downward solar radiation but more downward longwave radiation. Therefore, the
357 changes in net cloud forcing at the land surface are minimal.

358 Regarding the surface radiation budget, the increase in surface albedo (1.38%, with
359 p-value < 0.05) from the deforestation and the increase in total cloud cover (0.38%,
360 with p-value < 0.05) would together reduce the net shortwave radiation at the surface
361 (Figure 3d, -1.88 W/m², with p-value < 0.05). As for the surface longwave radiative
362 flux, we find an increase in the net longwave (Figure 3e, 3.8 W/m², with p-value < 0.05).
363 The enhanced net longwave may be a result of the increases in surface temperature. To
364 compensate for the reduced surface flux, which was mainly due to a decrease in the
365 latent heat flux, the upward longwave flux at the surface must increase (Figure 3f, 6.3
366 W/m², with p-value < 0.05), accompanied by a rise in surface temperature according to
367 the Stefan-Boltzmann Law (Figure 3a). Our results on these local near surface
368 responses are consistent with previous studies suggesting that the non-radiative
369 processes usually have a stronger influence than radiative processes in determining the

370 deforestation impacts on surface temperature in the tropics (Davin and de Noblet-
371 Ducoudré 2010; Malyshev et al. 2015).

372 The responses in the RegCM4 are in general similar to those in the CESM (except
373 the net shortwave radiation), but with a higher spatial heterogeneity (Figure 4), which
374 is expected because of the higher spatial resolution of the regional model. For example,
375 changes in surface temperature are similar to those in the CESM, with an increase of
376 approximately 1K on average for the MC land region, but the magnitude of the changes
377 in the RegCM4 is larger in some regions (central Borneo and New Guinea coastal
378 regions). A similar tendency can be found in the responses of outgoing longwave
379 radiation at the surface (Figures 3f and 4f, and Table 1). The sensible heat flux response
380 in the RegCM4 is heterogeneous (Figure 4b) and does not always increase as in the
381 CESM. For example, the RegCM4 deforestation experiments show significant
382 decreases in northern Borneo and eastern Sumatra. The sensible heat flux response
383 averaged over the entire MC land regions is close to zero in the RegCM4. The responses
384 in latent heat fluxes of the RegCM4 and CESM simulations are mostly similar
385 (significant decreases after deforestation, -7.28 W/m^2 , with p-value < 0.05), but there
386 are increased latent heat fluxes in northern Sumatra and parts of northern Borneo in the
387 RegCM4.

388 Moreover, the decrease in low cloud cover in the RegCM4 simulations is much
389 larger (-4.8%, with p-value < 0.05) than that in CESM so the total cloud cover also
390 decreases in the RegCM4 (-0.78%, with p-value < 0.05). The total cloud cover changes
391 are different in the CESM and RegCM4. However, the tendency of changes in the
392 vertical structure (increase in mid to high cloud and decrease in low clouds) is the same
393 in CESM and RegCM4 (Figure 3, Figure 4, and Table 1). Because of the decrease in
394 total cloud cover in the RegCM4, the net surface shortwave radiation flux increases

395 significantly (5.56 W/m^2 , with p-value < 0.05), which is opposite to the results obtained
396 with CESM.

397

398 *c. Precipitation response to MC deforestation in CESM*

399 We next examine the precipitation response to the MC deforestation by calculating
400 the precipitation changes between the control run and the deforestation run (Figure 5a)
401 in the CESM. The figure shows that simulated precipitation increases over the land and
402 coastal areas of the MC. Over the deforested areas, the precipitation increased by about
403 16.5 W/m^2 (i.e., 0.6 mm/day , with p-value < 0.05), which was 6.6% of the mean
404 precipitation in the control run. Our result differs from those of some previous studies
405 that find precipitation decreases in response to deforestation in the MC (Mabuchi et al.
406 2005a; Mabuchi et al. 2005b; Avissar and Werth 2005; Werth and Avissar 2005;
407 Mabuchi 2011; and Kumagai et al. 2013).

408 Equation (5) indicates that the precipitation response to the MC deforestation is a
409 combined result of the response from the surface evapotranspiration (ET'), horizontal
410 moisture advection ($-(\nu \cdot \nabla q)'$), and vertical moisture advection ($-\left(\omega \frac{\partial q}{\partial p}\right)'$). We show
411 in Figures 5b-5d the changes in the three right-hand side terms in equation (5) between
412 the control run and the deforestation run. The figure indicates that the horizontal
413 moisture advection (Figure 5c) changes little after the deforestation, whereas the latent
414 heat flux term (Figure 5b, same as Figure 3c but in different color scales), which
415 represents the surface evapotranspiration effect, decreases after the deforestation.
416 Neither terms can explain the precipitation increase in the deforestation run (Figure 5a),
417 which can only be explained by the large increase in the vertical moisture advection
418 term (Figure 5d with the MC's land average of 25.5 W/m^2 and the difference is
419 significant with p-value < 0.05). Our results suggest that the local precipitation response

420 to deforestation in the MC region is not determined directly by changes in surface
421 evapotranspiration but indirectly via the vertical advection of moisture.

422 Deforestation can affect the vertical moisture advection term by changing the
423 amount of moisture (q) or the intensity of the vertical velocity (ω). To further
424 understand how the deforestation affects the vertical moisture advection, we divide this
425 term into its dynamic ($-\left\langle \omega' \frac{\partial \bar{q}}{\partial p} \right\rangle$) and thermodynamic components ($-\left\langle \bar{\omega} \frac{\partial q'}{\partial p} \right\rangle$). We
426 find that the MC deforestation affects the vertical advection term primarily through the
427 dynamic component (Figure 5e) but not the thermodynamic component (Figure 5f).
428 The considerable increase in the dynamic component of vertically integrated vertical
429 moisture advection ($\langle \omega' dq \rangle$, 27.5 W/m², with p-value <0.05) compensates for a
430 decrease in the latent heat flux term (-9.6 W/m², with p-value < 0.05) and is most
431 responsible for the precipitation increase in the deforestation run (cf. Figure 5a and 5e).
432 The results reveal that the dynamic component, which is related to the anomalous
433 ascending motion, played a crucial role in the increase in local precipitation.

434

435 *d. Precipitation response to MC deforestation in RegCM4*

436 The precipitation changes and the corresponding vertically-integrated water budget
437 in the RegCM4 simulations are also examined (Figure 6) and found to be consistent
438 with the results found in the CESM simulations. The dynamic component of the
439 vertically-integrated vertical moisture advection ($\langle \omega' dq \rangle$ (38.9 W/m², with p-value
440 <0.05; Figure 6e) also plays a major role in the precipitation increase (36.4 W/m², i.e.,
441 1.3 mm/day, with p-value < 0.05). The horizontal moisture advection ($-(\nu \cdot \nabla q)'$)
442 (Figure 6c) in the RegCM4 decreases in central Borneo and western Sumatra, which is
443 not found in the CESM (Figure 5c). Moreover, similar to the local hydroclimate
444 response, the responses to deforestation in the water budget terms (Figure 5c-f) have a

445 smoother spatial structure in the CESM simulations than in the RegCM4 simulations
446 (Figure 6c-f).

447

448 *e. The dynamic effect of the MC deforestation*

449 In order to understand the mechanism that induces the precipitation changes, we
450 examine the changes in the vertical profile of the dynamic component of the moisture
451 advection term (i.e., the ascending motion changes weighted by specific humidity) over
452 the land area of the MC between the control run and the deforestation run (Figure 7a
453 for CESM and Figure 7b for RegCM4). The most obvious feature in the figure is that
454 the ascending motion is intensified by the deforestation in both models, which is
455 consistent with the increased precipitation mentioned earlier. The vertical profiles
456 indicate that the largest increase in the ascending motion occurs around 850 hPa.

457 Next the relationships among the vertical moist static stability, convection, and
458 precipitation are further explored in the theoretical framework of Neelin and Held
459 (1987), in which they showed that an increase in the MSE in the lower to middle
460 troposphere has a tendency to increase the precipitation. In the deforestation
461 simulations, the land surface forcing is prescribed, which leads to higher surface
462 temperatures and provides a thermodynamic source to trigger the instability in the
463 atmosphere. The convection also leads to vertical mixing of the MSE. Thus, we
464 examine the vertical profile of the differences in MSE between the control run and the
465 deforestation run (Figure 7c for CESM and Figure 7d for RegCM4). The lapse rate of
466 the MSE difference becomes more negative at approximately 850 hPa in CESM
467 experiments (Figure 7c) and 950 hPa to 850 hPa as well as above 600 hPa in the
468 RegCM4 experiments (Figure 7d) in the deforestation simulations than in the control
469 simulations. The more unstable atmosphere is consistent with the vertical profile of
470 anomalous ascending motions shown in Figures 7a and 7b.

471 We also examine the changes in the vertical profiles of the three terms of the MSE,
472 namely the sensible, latent, and potential energy in Equation (7). As shown in Figures
473 7c and 7d, deforestation induces two competing effects in the MSE at low levels (below
474 850 hPa in the CESM experiments and below 800 hPa in the RegCM4 experiments).
475 These two effects are related to the sensible energy (CpT') and the latent energy (Lq')
476 components of the MSE. Deforestation reduces the specific humidity near the surface
477 resulting in a positive lapse rate of the latent energy in the lower atmosphere. This latent
478 energy part of the MSE makes the lower atmosphere more stable. The lower atmosphere
479 is less stable in the RegCM4 due to the reduced drying effects near the surface (Figure
480 7d) compared to the CESM (Figure 7c), but such a stable tendency in the RegCM4 is
481 up to 600 hPa (Figure 7d). At the same time, deforestation warms the surface and
482 induces a negative lapse rate of the sensible energy. This sensible energy component of
483 the MSE tends to make the lower atmosphere more unstable. However, above 800 hPa
484 (700 hPa) for the CESM (RegCM4), the sensible energy component of the MSE
485 becomes minor, and the MSE is dominated by the latent energy component, whose
486 lapse rate becomes negative. It is this latent energy component of the MSE that tends
487 to make the atmosphere more unstable and leads to the intensified ascending motion in
488 the deforestation run. The long-term average MSE gradient between 850 hPa and 1000
489 hPa (600 hPa and 1000 hPa) is approximately 0.7 kJ/kg (0.5 kJ/kg) for the CESM
490 (RegCM4). Such relatively small gradients indicate strong MSE mixing by convection.
491 Thus, we conclude that convection tends to release the instability generated by
492 deforestation so there is a tendency to have more convection, and therefore,
493 precipitation tends to be higher.

494 The latent energy term, which is the change of specific humidity induced by
495 deforestation multiplied by the latent heat of vaporization, is shown in Figures 7c and
496 7d. The profile indicates that the deforestation decreases the water vapor amount over

497 the land areas of the MC in the lower atmosphere (from the surface to 900 hPa) but
498 increases the water vapor amount above 900 hPa. The moisture increase above the
499 lower atmosphere is likely a result of the increased low-level moisture convergence
500 induced by deforestation (Figure 8a for CESM and Figure 8b for RegCM4). We further
501 look into the vertical cross-section (averaged between 10°S to 10°N) of water vapor
502 and meridional wind over the MC region to study how they change from the control
503 run to the deforestation run only in CESM. The result shown in Figure 8c confirms that
504 the intensified ascending motion over the MC above 900 hPa is located right over the
505 region where the moisture convergence from the Indian Ocean and the Pacific Ocean
506 is enhanced. Such an anomalous circulation caused by deforestation over the MC may
507 contribute to changes in the large-scale circulation and trigger remote climate impacts.

508

509 *f. Possible mechanisms for the different precipitation responses among models*

510 Figure 9 illustrates the key physical processes controlling the changes in
511 precipitation due to deforestation. Potential processes that contribute to a reduction in
512 rainfall are indicated by black arrows while mechanisms leading to an enhancement of
513 precipitation are indicated by green arrows. As shown in Table 2, evapotranspiration
514 and roughness decrease in the deforested region and are accompanied by increases in
515 surface albedo. To strike a balance in the surface energy budget, the deduction in latent
516 heat flux results in the increases in the other components (i.e., sensible heat flux and
517 upward longwave radiation, which is also consistent with higher surface temperature).
518 This repartition in the surface energy budget is also suggested in Takahashi et al. (2017)
519 and Tölle et al. (2017). Our study shows that an increase in the surface sensible heat
520 flux induces low-level heating and destabilization through its impact on the MSE. The
521 moisture convergence and convection triggered by this bring moisture into the
522 deforested region from the surrounding ocean. This moisture convergence further

523 destabilizes the atmosphere and results in an anomalously wet condition in the mid-to-
524 high levels. The combined effect of increased surface temperatures and low-level
525 moisture convergence further destabilizes the atmosphere, intensifying ascending
526 motions over the MC. Therefore, the low-level moisture supply and strengthened
527 ascending motions constitute a positive feedback and precipitation tends to increase in
528 spite of the reduction in evapotranspiration.

529 This mechanism is consistent with the results found in the coupled ocean climate
530 model simulations of Schneck and Mosbrugger (2011) and the non-coupled ocean
531 simulations of Delire et al. (2001). Both of the studies show an enhancement in the
532 convergent winds over the adjacent ocean which leads to an increase in latent heat flux
533 and moisture transport and, as a result, precipitation increases in the deforested region.
534 The wet anomaly is accompanied by an increase in cloud cover and reduction in net
535 surface solar radiation, but the effect of cloud cover is rarely discussed in the previous
536 studies. Nevertheless, it is implied in Takahashi et al. (2017) that the impact of cloud
537 cover is not strong enough to lead to a reduction in energy received by the surface. They
538 employed the Weather Research and Forecasting (WRF) Model to explore the change
539 in the diurnal cycle of precipitation. However, Kumagai et al. (2013) argued that there
540 is a higher ratio of recycling from terrestrial evapotranspiration into the precipitation
541 over Borneo and that deforestation can decrease this recycling process, leading to less
542 precipitation.

543 The competition between the processes controlling the precipitation impacts in
544 Figure 9 provides a clue for the inconsistency between different studies. One of the
545 components crucial in determining the tendency in precipitation is the change in net
546 radiation. The balance in the energy budget is the factor leading to low-level warming
547 and instability. Albedo and cloud cover play a critical role in this balance. Previous
548 studies did not emphasize the impact of cloud cover, but several of them mentioned the

549 importance of surface albedo. In tropical regions, for example, the response to
550 deforestation is manifested mostly through the changes in evapotranspiration, yielding
551 warmer and drier conditions near the surface. If the albedo becomes much higher, the
552 warming effect of the reduced latent heat flux can be compensated for the cooling
553 associated with the reduction in absorbed solar radiation. As a consequence, outgoing
554 longwave radiation and surface temperature may increase slightly, which implies a
555 weaker warming effect compared to that in the absence of albedo changes. For example,
556 the albedo reduction is larger in the experiment of converting forest to bare ground
557 compared to that in the experiment of changing the maximum stomatal conductance
558 (Takahashi et al., 2017). The available radiation energy decreases more in the bare
559 ground experiment leading to smaller surface temperature increases, leading to a
560 decreased convective available potential energy. In the end, the bare ground experiment
561 with more substantial albedo decrease shows a decrease in precipitation, while the
562 stomatal conductance experiment with no change in albedo shows an increase in
563 precipitation.

564 Since the decrease in latent heat flux from the canopy is a direct effect of
565 deforestation, an increase in moisture convergence from the surrounding regions is a
566 key component leading to a wet anomaly (the black arrows in Figure 9). Two situations,
567 which could contribute to each other, might lead to this condition: either there is not
568 enough convergence to bring in the moisture, or the convergent anomaly exists without
569 moisture provided.

570 If the local evaporation rate and the influx of moisture from the surrounding
571 deforested area do not increase, the precipitation decreases in the deforested regions
572 (Delire et al., 2001; Werth and Avissar, 2005; Takahashi et al., 2017). On the other
573 hand, in the areas with anomalous low-level convergence but without moisture supply,
574 a dry anomaly may be present, resulting in anomalous divergence and weaker

575 convection. Deforestation throughout the Amazonian basin instead of deforestation
576 with “fish-bone” patterns could be one of the examples (Pitman et al., 1993; McGuffie
577 et al., 1995; Sud et al., 1996; Zhang et al., 1996a; Lean and Rountree, 1997) that
578 whether the environment near deforested areas can provide sufficient moisture from the
579 canopy breezes. Katul et al. (2012) indicated that the rainfall rate increases or decreases
580 depending on whether the vertical motion reaches the lifting condensation level (LCL).
581 Deforestation on small scales is generally accompanied by moist canopy breezes,
582 leading to a lower LCL. Hence, deforestation on small scales could lead to wet
583 anomalies. Large-scale deforestation, on the other hand, which brings dry breezes to
584 the adjacent deforested region, results in a dry anomaly. To summarize, the warming
585 effect of deforestation initially induces low-level convergence. If the deforested area is
586 surrounded by ocean (e.g., the MC) or forest (e.g., deforestation with “fish-bone”
587 patterns in the Amazon), the moisture supply offsets the drying effect of deforestation
588 (Schneck and Mosbrugger 2011; Takahashi et al. 2017).

589 Furthermore, if the roughness is reduced and the aerodynamic exchanges after
590 deforestation are consequently lower, surface sensible and latent heat fluxes are reduced,
591 resulting in an enhanced drying effect. In the studies of Mabuchi et al. (2005a and
592 2005b), the roughness significantly decreases in the deforestation experiment
593 (vegetation was changed into C4 grass). Consequently, the surface latent heat flux and
594 sensible heat flux are reduced. The surface latent heat flux decreases by 4.28 W/m^2 in
595 Mabuchi et al. (2005a) and by 3.59 W/m^2 in Mabuchi et al. (2005b), which is smaller
596 in magnitude than the decrease in the present study (decreases by 9.6 W/m^2 in CESM
597 experiments and by 7.28 W/m^2 in RegCM4 experiments). The surface sensible heat
598 fluxes in Mabuchi et al. (2005a and 2005b) both decrease by 8.79 W/m^2 . As shown in
599 Figure 9, the reduced latent heat flux enhances the drying effect and the reduced
600 sensible heat flux weakens the warming effect, both of which contribute to a decrease

601 in precipitation. In general, once the drying effect has suppressed the warming effect,
602 precipitation can decrease in response to deforestation (grey double arrow in Figure 9).

603 In conclusion, precipitation variations after deforestation are the result of a
604 competition between low-level heating and drying. The drying prevents low-level MSE
605 from increasing and suppresses the increase in convection through the processes
606 represented by the black arrows in Figure 9. The heating might lead to instability in the
607 deforested region and lead to local convergence and convection. Once the moisture
608 convergence compensates for the decrease in water vapor by deforestation, the
609 convection and convergence would lead to a wet anomaly through the mechanisms
610 represented by the green arrows in Figure 9. Another example supporting this theory is
611 the green-less experiments of Mabuchi et al. (2005a and 2005b). These experiments
612 show a reduction in latent heat flux but an increase in sensible heat flux, resulting in a
613 wet anomaly over the MC.

614

615 **4. Discussion**

616 The results from the CESM deforestation experiments presented here indicate that
617 changes in vertical motion are key factors in determining the precipitation response to
618 MC deforestation. To confirm that this result is not model dependent and is not sensitive
619 to different physical parametrizations among models, such as the specific cumulus
620 schemes, we also performed control and deforestation simulations using a regional
621 climate model, the RegCM4. The RegCM4 experiments produce similar climate
622 responses to MC deforestation as those in the CESM experiments. This result supports
623 the robustness of the climate response to deforestation over the MC region, as there is
624 a consistent pattern in both the regional and global models. Note that CESM uses CLM
625 version 4 (Lawrence et al., 2011) and RegCM4 uses CLM version 4.5 (Oleson et al.,
626 2013), and the major change in CLM4.5 compared to CLM4 is the biogeochemical

cycle component of the model. In particular, we note that the local responses in near surface temperatures (considered the most critical response in this study since it can induce the low level lateral convergence) to the deforestation are very similar. Specifically the RegCM4 deforestation run produces a reduction in surface latent heat flux of -7.2 W/m^2 (averaged for all land regions in MC) and increases in surface temperature of 1 K and precipitation of 1.3 mm/day, which are in line with the results from CESM: -9.6 W/m^2 , 1 K, 0.59 mm/day for the surface latent heat flux, surface temperature, and precipitation, respectively. In contrast to the agreement of the sign of changes in precipitation, the spatial distributions with a stronger response and statistical significance are different. The RegCM4 shows very strong enhancement along the high mountainous regions (e.g. western Sumatra Island, central of Borneo Island), which are not shown in the CESM responses due to its coarse resolution. However, the RegCM4 experiments still produce similar climate responses to MC deforestation as those in the CESM experiments.

It is interesting to note that the deforestation-induced increase in annual mean upward motions in the MC region is comparable to that associated with La Niña events. It is well-known that La Niña events can intensify the Walker circulation, increasing upward motions over the Western Pacific including the MC region (e.g., Chang et al. 2004; Qian et al. 2010). We demonstrate the comparable impact of MC deforestation and La Niña (including the 1983/1984, 1984/1985, 1988/1989, 1995/1996, 1998/1999, 1999/2000, 2000/2001, and 2007/2008 La Niña events) by analyzing changes in the 500 hPa omega in the ERA-I reanalysis dataset. We used ONI (Oceanic Niño Index, based on the 3-month-running mean of Niño 3.4 SST) as the threshold to identify La Niña events listed above. Figure 10 shows the anomalies in 500 hPa omega from the climatology averaged for all these La Niña from July of the La Niña developing year to June of the following year. The results show that the annual mean anomaly in 500 hPa

653 omega over the MC is approximate -0.0032 Pa/s (average for the whole MC domain,
654 including ocean and land) during the La Niña years. The magnitude of the omega
655 change is about the same order as that produced in the MC deforestation experiments,
656 which is about -0.0016 Pa/s and -0.0024 Pa/s for the CESM and RegCM4, respectively.
657 Therefore, MC deforestation impacts the strength of the Walker circulation to the same
658 degree as La Niña events. This circulation change may enable the MC deforestation to
659 impact the climate in the central-to-eastern Pacific. For example, the trade winds in the
660 central equatorial Pacific may be enhanced because of the stronger Walker Circulation.

661 Finally, we review the local responses to the replacement of original rainforest with
662 oil palm plantations. Oil palm plantations usually have lower and less dense canopies
663 than forests. Thus, the surface temperature can increase from 1 to 6 °C accompanied by
664 drier conditions (Hardwick et al. 2015; Drescher et al. 2016; Sabajo et al. 2017; Meijide
665 et al. 2018; McAlpine et al. 2018). Replacing forests with C4 grass as in this study
666 results in similar responses: higher surface temperature and drier conditions. However,
667 Manoli et al. (2018) found that young oil palm trees can decrease ET (compared to
668 forests) but older oil palm trees (age > 8–9 yrs) may transpire more than the forests do.
669 Increased surface temperature is also observed in young oil palm plantations in both
670 model and satellite data. However, the annual average surface temperature in mature
671 oil palms is similar to that in the original forests (Dr. Yuanchao Fan, personal
672 communication). Therefore, it will be critical to further explore the age-dependent
673 responses in oil palm plantations using the CLM-Palm (Fan et al. 2015), including more
674 precise PFT phenology and associated water and energy cycles for modeling oil palm
675 in the MC.

676

677 **5. Conclusions**

678 In this study, we used both a global (CESM) and a regional climate model (RegCM4)
679 to study the impacts of deforestation in the MC region. By comparing the experimental
680 runs that replace forest with grassland with control runs, we find that deforestation tends
681 to increase surface temperatures and precipitation over the land regions in the MC. The
682 surface warming effect resulted from decreases in evapotranspiration and roughness
683 that result in more low-level moisture that can lead to stronger convection and increased
684 precipitation. This process can be analyzed via the vertically integrated moisture budget.
685 This analysis revealed that the dynamic component (i.e., convection term) dominates.
686 By analyzing the MSE profile, we find that the combined effect of higher mid-level
687 specific humidities and temperatures rendered the atmosphere in the deforested areas
688 more unstable than in the control run. The induced intensification of ascending motion
689 leads to an increase in precipitation. The accompanying low-level moisture
690 convergence from the surrounding warm oceans further increases specific humidities.
691 Through these positive feedback processes, the MC deforestation results in greater
692 precipitation over the deforested areas. Moreover, the similar results shown in both
693 CESM and RegCM4 simulations enhance our confidence in using the CESM to explore
694 the remote impacts of MC deforestation in future studies.

695 In this study, we presented a possible climate response to deforestation in the MC
696 and explored several processes that may have resulted in inconsistency in the
697 precipitation responses in previous studies. One of the major factors is the competition
698 between the warming effect and the drying effect after deforestation in the simulations
699 as shown in Figure 9. The different ways in which deforestation is achieved and/or the
700 differing parameterizations used in the models, such as larger albedo and roughness
701 changes, may strengthen the drying effect and further reduce the precipitation. For
702 deforestation modeling studies in other regions, the differing environments adjacent to

703 the deforested areas (e.g., ocean, forest, or deforested continent) influence the low-level
704 moisture supply and can result in different precipitation responses to deforestation.

705 The main focus of this study was to explore the interactions between land and
706 atmosphere after deforestation in the MC, so we have not included the ocean responses
707 in the current experiments. However, these responses can alter the local effects in more
708 important ways and warrant further investigation in future work on this topic.
709 Furthermore, an uncertainty in this study is that our deforestation simulations might
710 overestimate the deforestation response in ocean evaporation as it uses prescribed
711 climatological sea surface temperatures and sea ice concentrations. Delire et al. (2001)
712 used the coupled Fast Ocean Atmosphere Model (Jacob 1997) and showed ocean
713 upwelling induced by deforestation would cool the sea surface and reduce ocean
714 evaporation. In addition, Schneck and Mosbrugger (2011) also suggested weakened
715 upwelling of cold ocean water, leading to an increase in sea surface temperature as a
716 consequence of deforestation. Ma et al. (2013) also demonstrated that a significant
717 change in the hydroclimatological response to afforestation in China when including
718 the ocean feedbacks. Coupled atmosphere-land-ocean model experiments could be used
719 to address this issue in future studies.

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738 [https://climatedataguide.ucar.edu/climate-data/aphrodite-asian-precipitation-highly-
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1018 doi:10.1007/s00382-015-2611-4.

1019 **Table 1.** Effects of Maritime Continent deforestation in the CESM and RegCM4 at
 1020 annual basis (DEF minus CTR) with bold values indicating statistically significant
 1021 differences at $p < 0.05$. Percentage changes are also shown below each anomaly.
 1022

Variable	Units	ANN-CESM	ANN-RegCM4
net surface shortwave radiation flux	W/m ² %	-1.88 -1.36	5.56 13.57
net surface longwave radiation flux	W/m ² %	3.81 13.91	0.07 0.03
surface sensible heat flux (H)	W/m ² %	5.08 41.50	0.17 0.67
surface latent heat flux (LE)	W/m ² %	-9.60 -9.99	-7.28 -5.62
surface temperature (Ts)	°C %	1.04 0.35	1.07 0.36
precipitation	mm/day %	0.59 6.64	1.30 13.74
	W/m ² %	16.5 6.64	36.4 13.74
precipitable water	kg/m ² %	0.23 0.49	0.19 0.42
outgoing longwave radiation (OLR)	W/m ² %	-2.06 -0.97	-0.34 -0.13
high-level cloud cover	%	0.86 1.22	1.09 2.34
med-level cloud cover	%	2.02 4.46	1.40 7.62
low cloud cover	%	-1.02 -2.13	-3.79 -7.34
total cloud cover	%	0.38 0.45	-0.78 -1.54
upward longwave flux at the surface	W/m ² %	6.32 1.41	5.31 12.72
surface albedo	%	1.38 11.63	5.52 48.90

1023

Table 2. Comparisons of model experiments in the literature on Maritime Continent deforestation. “NA” indicates Not applicable.

Reference	Model	Resolution	SST	Atmosphere convection scheme	Land type converting method
Present study	CESM	0.9°x1.25°	fixed	Zhang-McFarlane (Zhang and McFarlane 1995)	to C4 grass
	RegCM4	50 km	fixed	over land is Emanuel (1991), over ocean is Tiedtke (1996)	to C4 grass
Delire et al., 2001	Fast Ocean Atmosphere Model (FOAM)	atmosphere: 4.7°x7.5°	fixed	NA	to tall/ medium grassland
		atmosphere: 4.7°x7.5°, ocean: 1.4°x2.8°	coupled	NA	
Avissar and Werth, 2005	NASA-GISS Model II GCM	4°x5°	fixed	NA	to mixture of shrubs and grassland
Werth and Avissar, 2005	NASA-GISS Model II GCM	5°x4°	fixed	NA	to mixture of shrubs and grassland (33%, 66%, 100%)
Mabuchi et al., 2005a	JMA’s GCM + BAIM	1.875°	fixed	convective precipitation calculated by the Kuo (1974) scheme	to C4 grass roughness significantly decreases (-3.53)
Mabuchi et al., 2005b	JMA’s GCM + BAIM	1.875°	fixed	convective precipitation calculated by the Kuo (1974) scheme	to C4 grass roughness significantly decreases (-3.56)
Mabuchi et al., 2011	JMA’s GCM + BAIM	1.875°	fixed	convective precipitation calculated by the Kuo (1974) scheme	to C4 grass/ bare soil
Schneck and Mosbrugger, 2011	COSMOS (ECHAM as atmosphere and MPIOM as ocean model)	atmosphere: 3.75°, ocean: 3°	coupled	NA	to warm grass
Tölle et al., 2017	COSMO-CLM	0.125°	NA	Tiedtke	to grassland
Takahashi et al., 2017	WRF	d01: 17.5 km, d02: 3.5 km	fixed	Kain–Fritsch convective scheme (Kain 2014)	to bare ground (coastal, total)
					change the maximum stomatal conductance

Table 2. (continued)

Reference	Net surface radiation (W/m ²)	S + LWin (W/m ²)	Latent heat flux (W/m ²)	Sensible heat flux (W/m ²)	Surface temperature (K)
Present study	decrease	~0	-9.6 (-9.99%)	+5.08 (+41.5%)	+1.04
	NA	NA	-8.78 (-6.75%)	+2.47 (+14.59%)	+0.81
Delire et al., 2001	decrease 8.8	NA	-7.6	-1.2	NA
	decrease 9.3	NA	-16.1	+6.8	warmer over land, cooler over ocean
Avissar and Werth, 2005	NA	NA	NA	NA	NA
Werth and Avissar, 2005	NA	NA	NA	NA	NA
Mabuchi et al., 2005a	net radiation decreases	radiation absorbed by the soil surface increases	-4.28	-8.79	+0.44
Mabuchi et al., 2005b	net radiation decreases	radiation absorbed by the soil surface increases	-3.59	-8.79	+0.4
Mabuchi et al., 2011	net radiation decreases	NA	increased transpiration	decrease	+0.5
Schneck and Mosbrugger, 2011	-12 over the deforested grids/ -2 over the whole region	+9 over the deforested grids/ 0 over the whole region	-28.15 over the deforested grids/ -1.00 over the whole region	increase	+0.95 over the deforested grids/ +0.23 over the whole region
Tölle et al., 2017	decrease	NA	decrease	increase	increase
Takahashi et al., 2017	NA	depressed available radiative energy	decrease	increase (~0)	increase (the lower z ₀ increased the surface temperature)
	NA	minimal change in available energy	decrease	increase	NA

Table 2. (continued)

Reference	Precipitation (mm/day)	Omega	Convection	Low-level convergence anomaly	Offset decrease in ET
Present study	+0.59 (+6.64%)	ascending	stronger	convergence	yes
	+1.11 (+13.41%)	ascending	stronger	convergence	yes
Delire et al., 2001	+0.27 (+4%)	NA	stronger	NA	compensated by increased ocean evaporation
	-0.55	general decrease (10 to 15 %) in upward vertical velocity averaged from 9°N to 9°S	weaker	divergence	NA
Avissar and Werth, 2005	decrease	NA	NA	NA	NA
Werth and Avissar, 2005	decrease	NA	NA	~0	NA
Mabuchi et al., 2005a	-1.42 over part of deforested areas	NA	NA	divergence	NA
Mabuchi et al., 2005b	-1.38 over part of deforested areas	NA	NA	divergence	NA
Mabuchi et al., 2011	decrease	NA	NA	NA	NA
Schneck and Mosbrugger, 2011	-0.42 over the deforested grids/ +0.36 over the whole region	ascending (over the whole region)	stronger convection between 110°E and 150°E	convergence (over the whole region)	yes
Tölle et al., 2017	NDJFM mean rainfall decreases/ NDJFM and JJA maximum daily rainfall increases	NA	NA	NA	NA
Takahashi et al., 2017	decrease	NA	weaker	divergence	NA
	increase	NA	stronger	convergence	yes

Figure captions

Figure 1. The geographic domain for the RegCM4 model simulations. The dashed line is the buffer zone of 8 grid points assigned to each lateral boundary.

Figure 2. Annual mean precipitation (mm/day) from (a) the average of four observed precipitation datasets, (b) CESM control run (25-year average), and (c) RegCM4 (20-year average). Annual mean near surface temperature ($^{\circ}$ C) from (d) the average of two observed datasets, (e) CESM control run (25-year average), and (f) RegCM4 (20-year average). Annual mean top of atmosphere outgoing longwave radiation (W/m^2) from (g) the observation and (h) CESM control run (25-year average).

Figure 3. Difference between deforestation experimental run and control run (DEF minus CTR) in annual mean (a) surface temperature (K), (b) surface sensible heat flux (W/m^2), (c) surface latent heat flux (W/m^2), (d) net shortwave flux at surface (W/m^2), (e) incoming longwave flux at surface (W/m^2), (f) outgoing longwave flux at surface (W/m^2), (g) vertically integrated low cloud cover, (h) vertically integrated mid cloud cover, (i) vertically integrated high cloud cover, and (j) top of atmosphere outgoing longwave flux (W/m^2). Dotted areas indicate $p < 0.05$.

Figure 4. Same as Figure 3 but for the results in RegCM4. Note that the top of atmosphere outgoing longwave flux is not available in RegCM4.

Figure 5. Same as Figure 3 but for annual mean (a) precipitation, (b) surface latent heat flux, (c) vertically integrated horizontal moisture advection, (d) vertically integrated vertical moisture advection, and (e) dynamic component and (f) thermodynamic component of

vertically integrated vertical moisture advection. All the units are in W/m^2 . Note that all the values in (f) are multiplied by 10.

Figure 6. Same as Figure 5 but for the results in RegCM4. Note that all the values in (f) are multiplied by 10.

Figure 7. Profile of difference between deforestation simulation and control simulation (DEF minus CTR) in dynamic component of vertical moisture advection (J/kg/s) over land for (a) CESM (b) RegCM4; in MSE (kJ/kg) for (c) CESM (d) RegCM4. The shaded area represents the region within 95% confidence intervals for annual mean.

Figure 8. Annual mean low-level moisture convergence (W/m^2) with 950 hPa wind (m/s) anomalies (DEF minus CTR) for (a) CESM integrated from 950 to 850 hPa and (b) RegCM4 integrated from 925 to 850 hPa. (c) Cross-section along the equator for CESM annual mean water vapor (shaded, g/kg) and wind (arrow, m/s) anomalies (DEF minus CTR) averaged between 10°S and 10°N (the area of the blue box in the above map).

Figure 9. Schematic diagram of how deforestation can influence local precipitation. The detailed description of Equation (1) is in the methodology. The numbers and references within the orange box correspond to the references in Table 2. The green arrows indicate the results of the present study.

Figure 10. (a) 500 hPa omega difference between La Niña composite and climatology averaged between July of the developing year and June of the decaying year from ERA-I reanalysis dataset; 500 hPa omega difference between control and deforestation simulations (DEF minus CTR) for (b) CESM (c) RegCM4. All the units are in Pa/s .

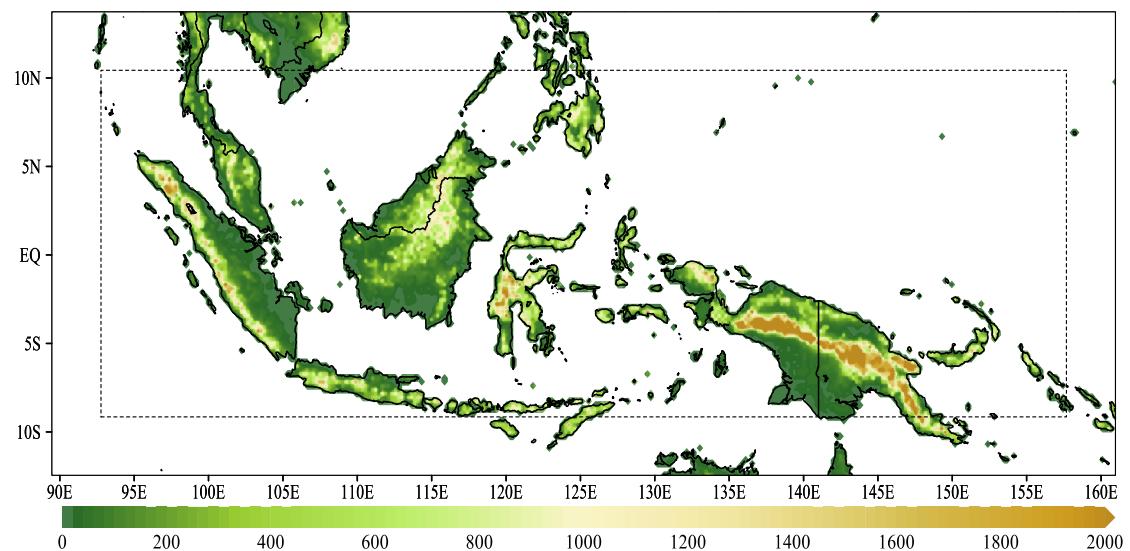


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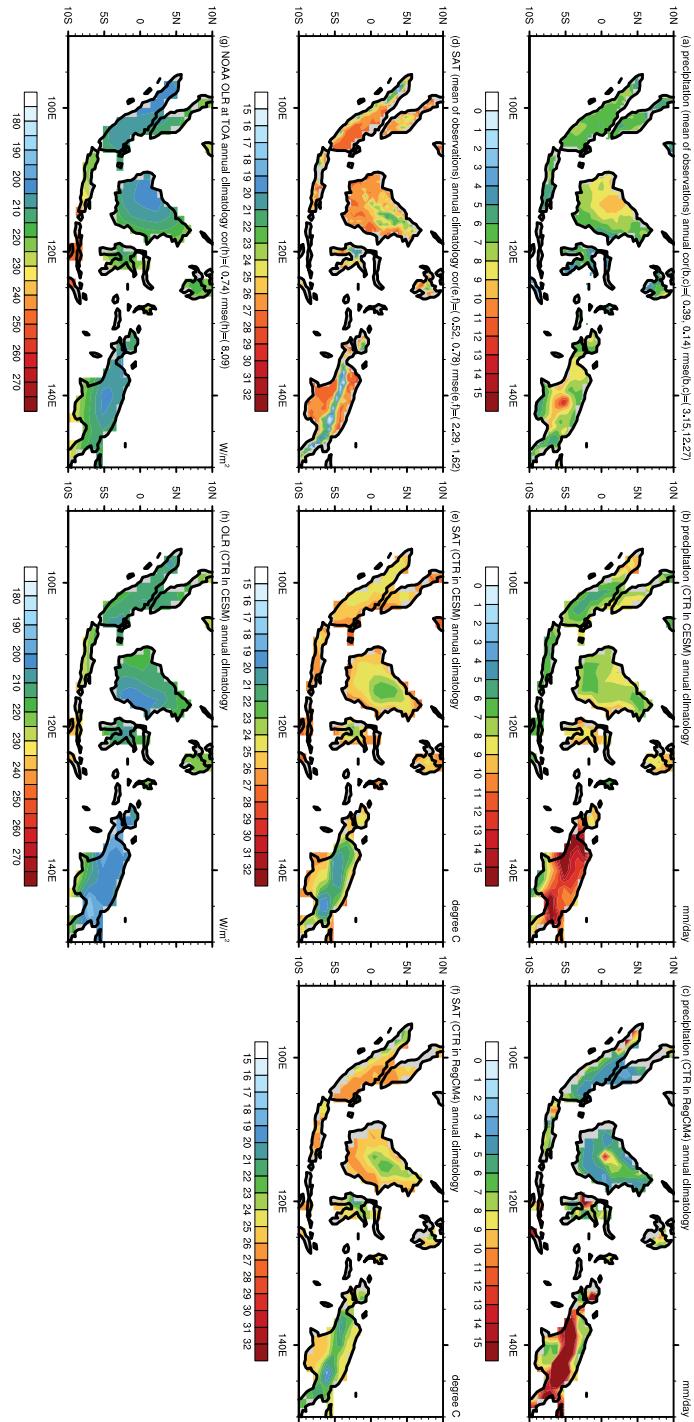


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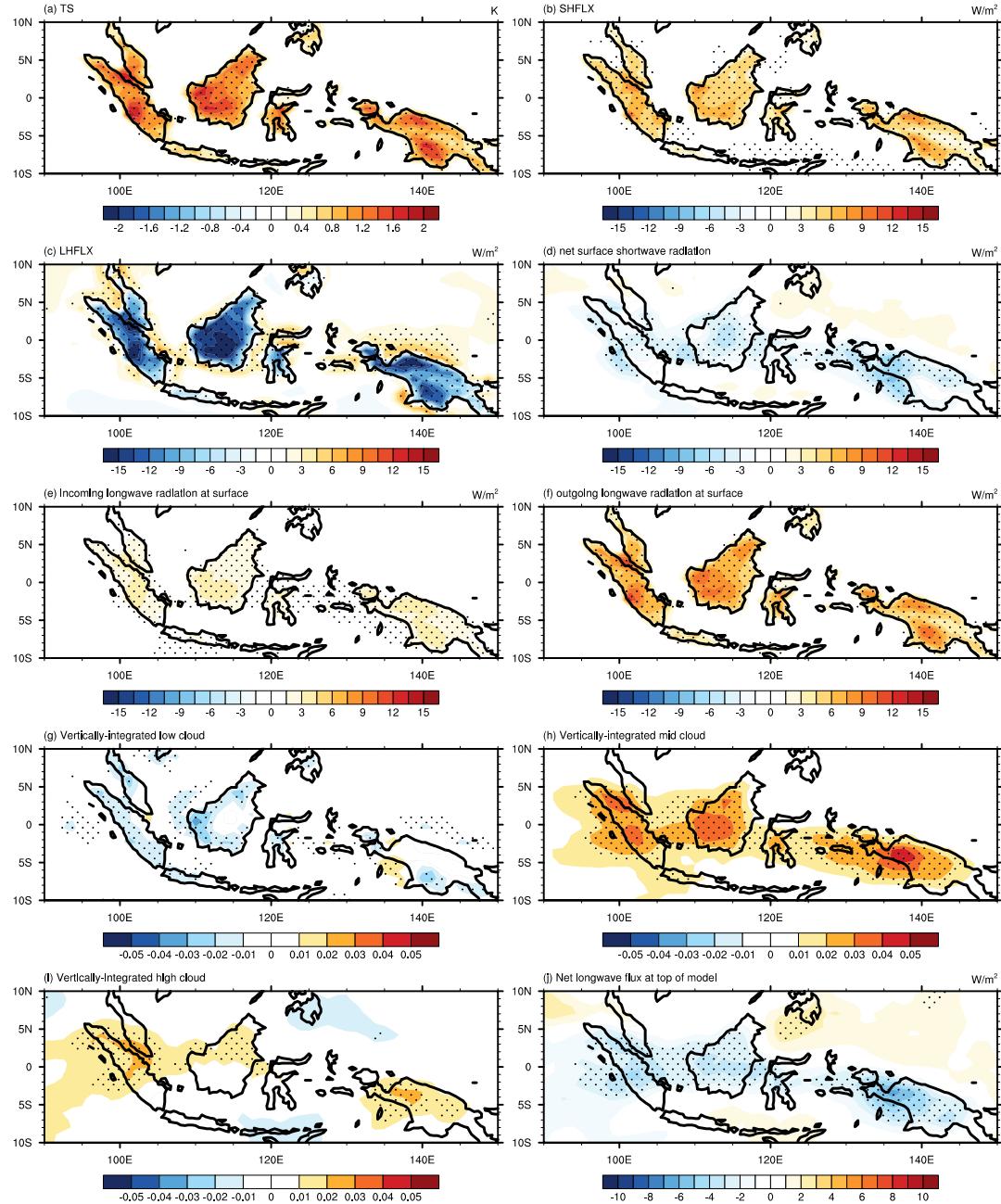


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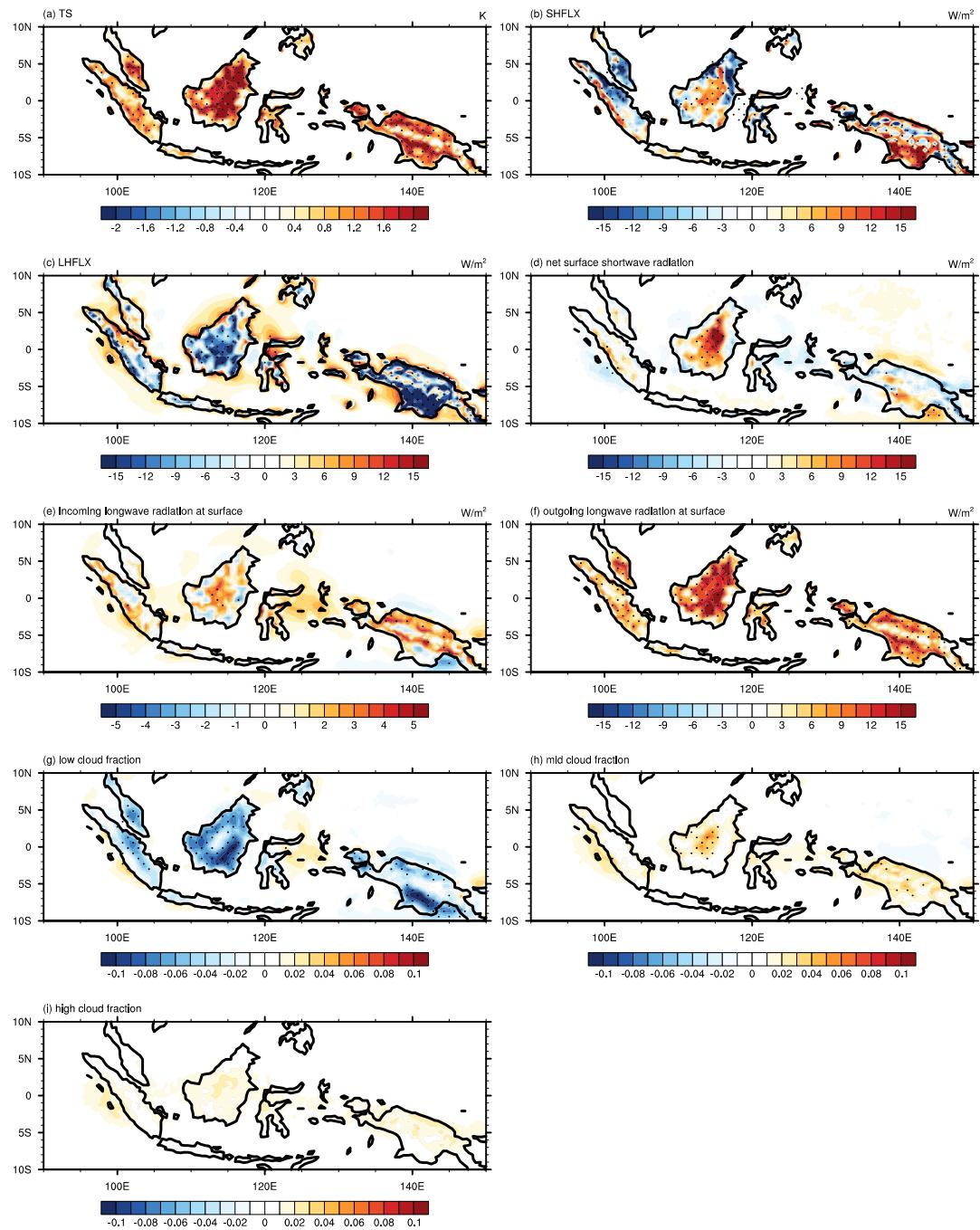


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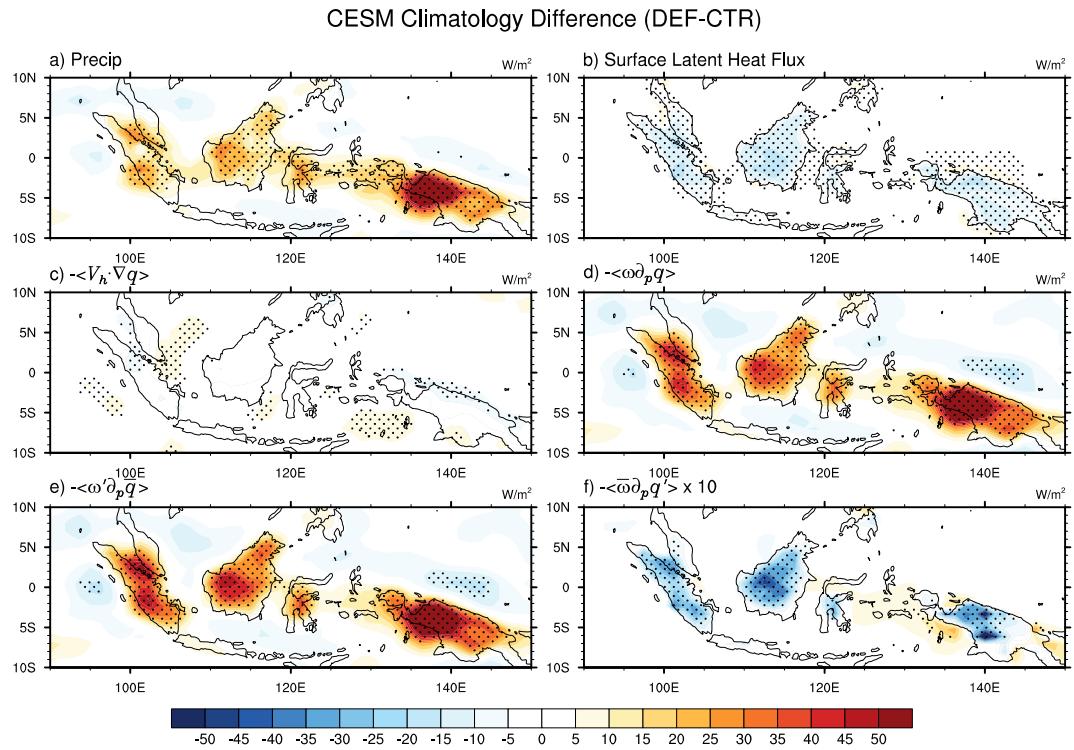


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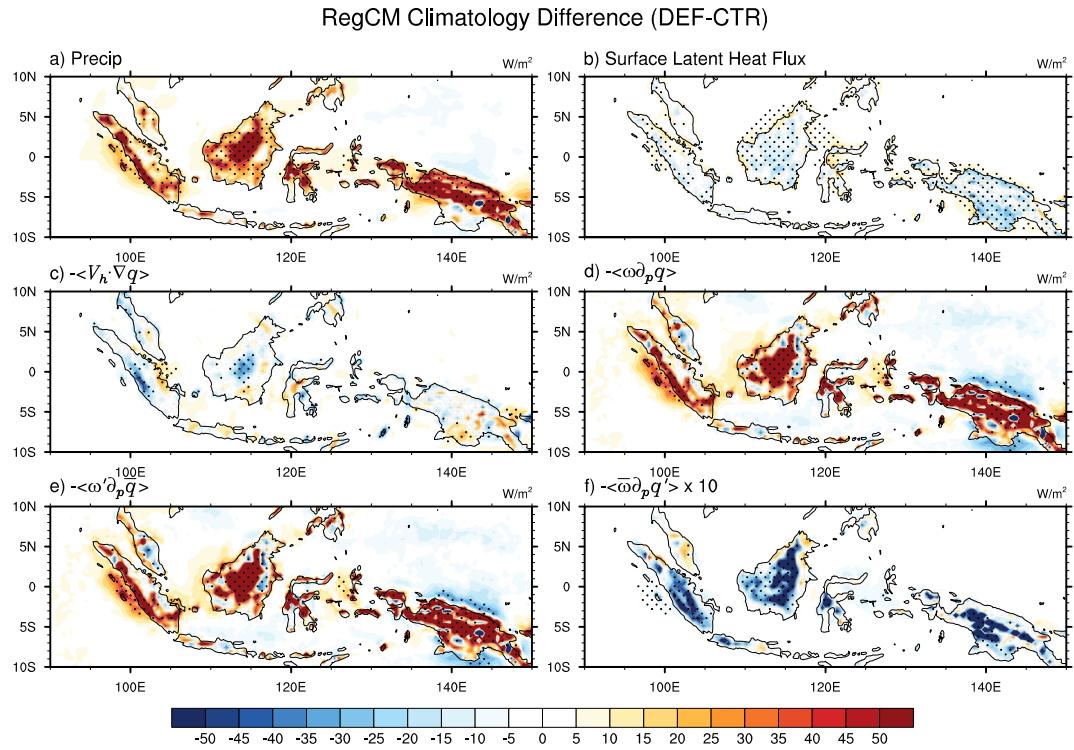


Figure 6. Same as Figure 5 but for the results in RegCM4. Note that all the values in (f) are multiplied by 10.

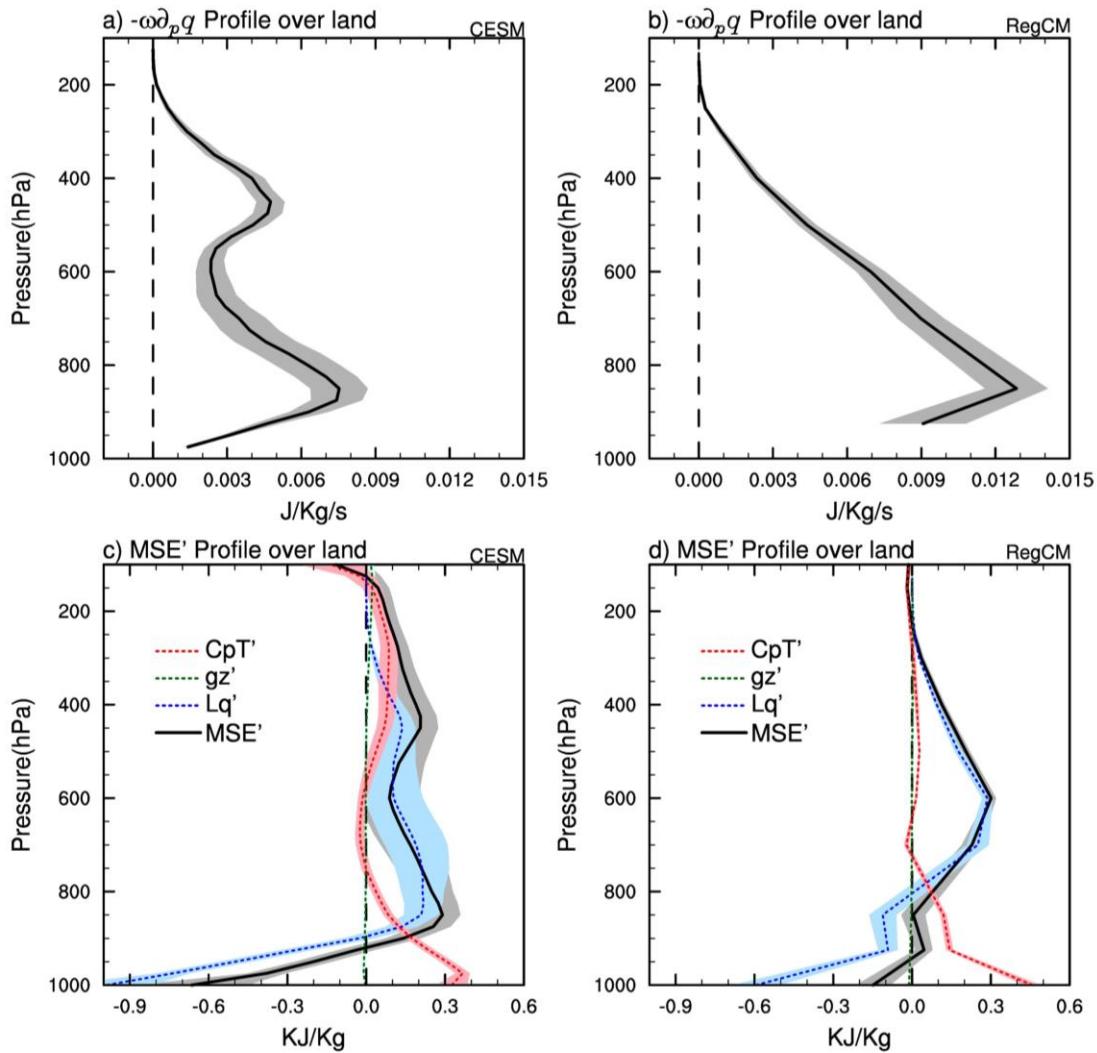


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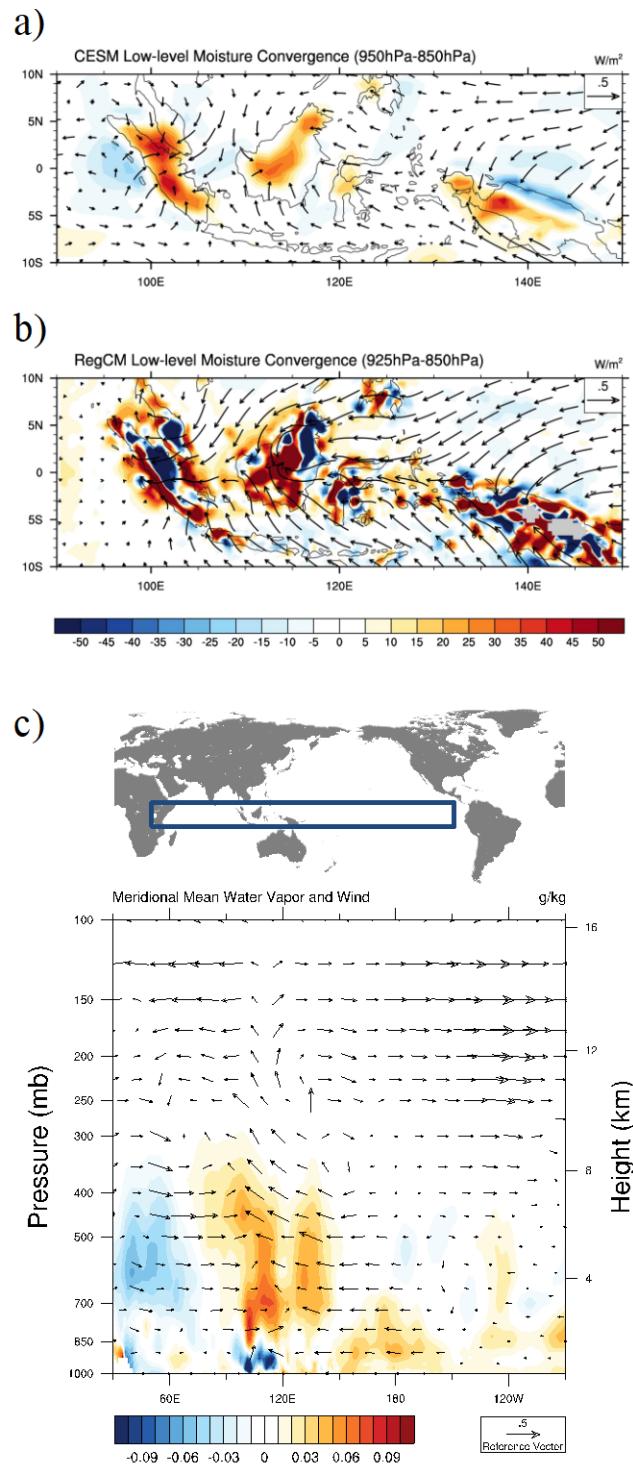
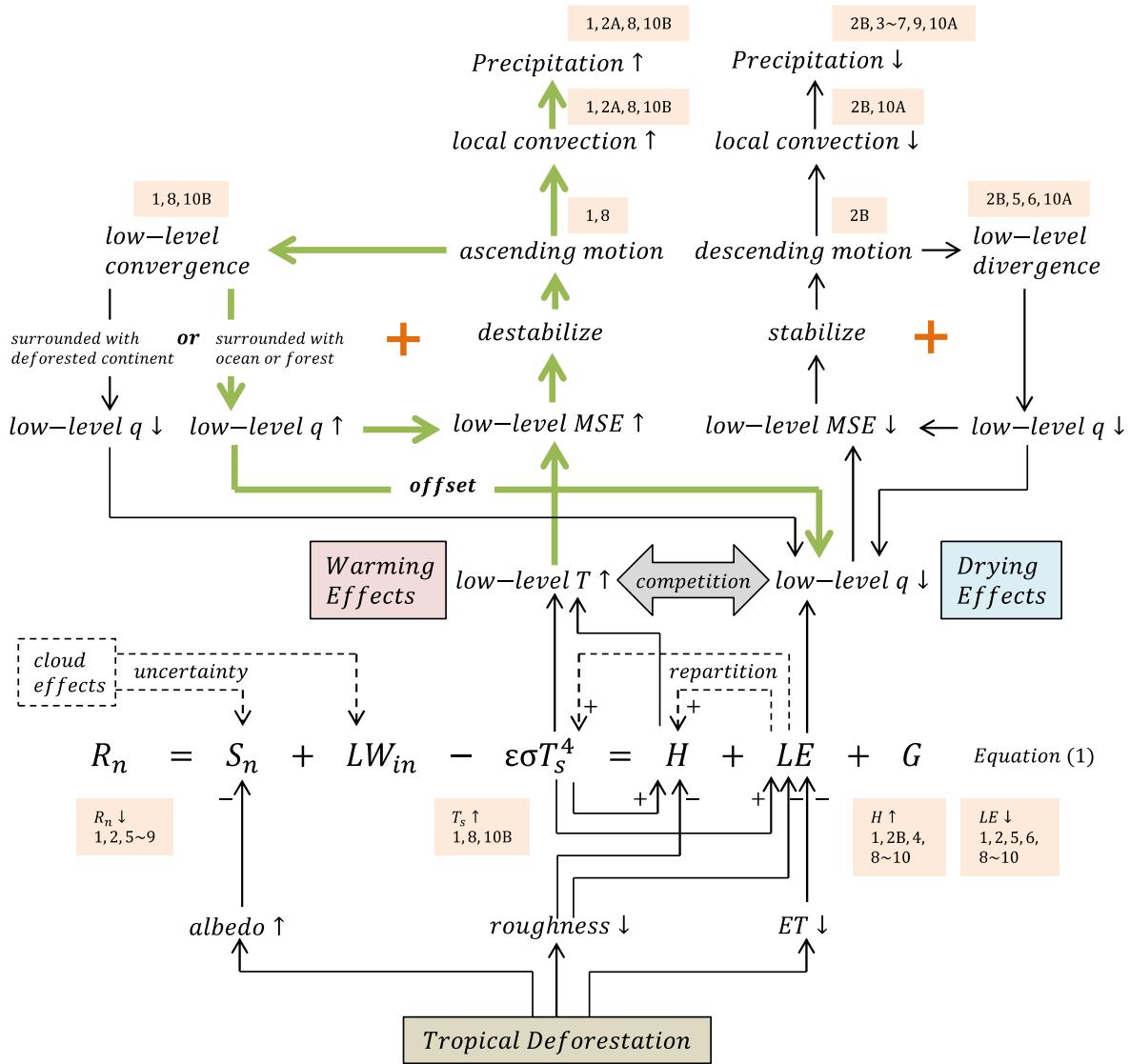


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1. Present study (A: CESM, B: RegCM4)
2. Delire et al., 2001 (A: fixed SST, B: coupled)
3. Avissar and Werth, 2005
4. Werth and Avissar, 2005
5. Mabuchi et al., 2005a
6. Mabuchi et al., 2005b
7. Mabuchi et al., 2011
8. Schneck and Mosbrugger, 2011 (precipitation increases in the whole region while decreases in parts of the deforested grids)
9. Tolle et al., 2017
10. Takahashi et al., 2017 (A: change to bare ground, B: change the maximum stomatal conductance)

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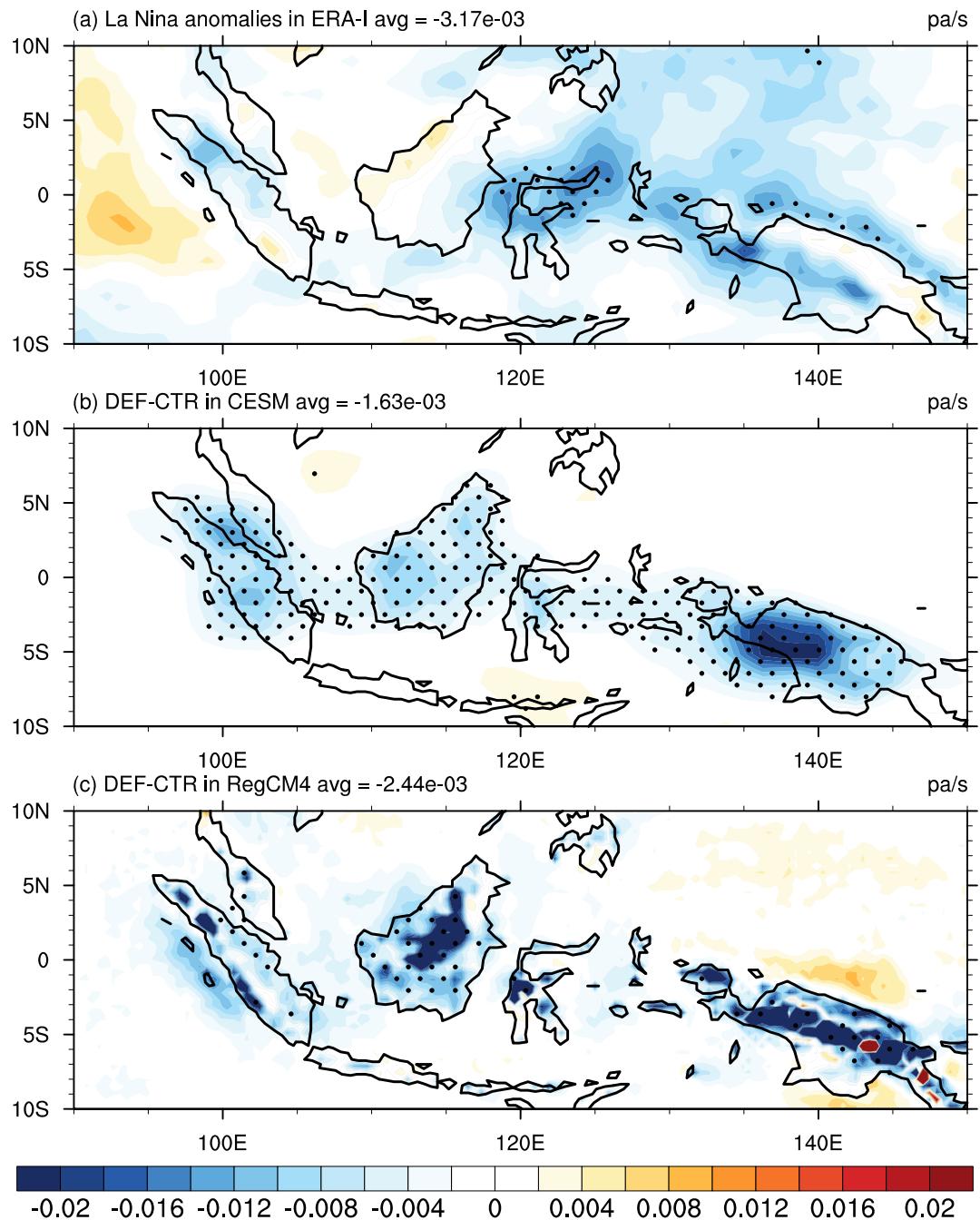


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