

# Upgraded Bioelectrocatalytic N<sub>2</sub> Fixation: From N<sub>2</sub> to Chiral Amine Intermediates

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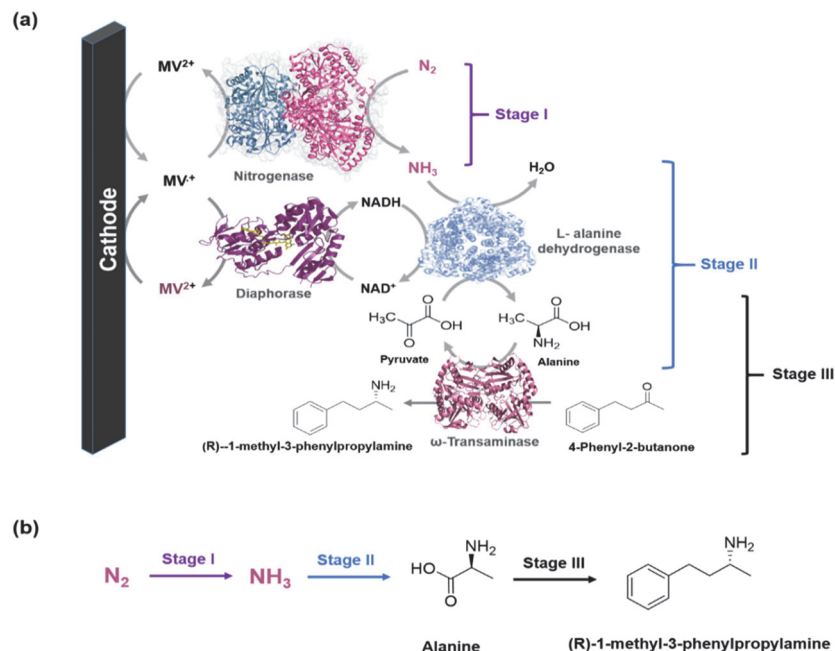
**ABSTRACT:** Enantiomerically pure chiral amines are of increasing value in the preparation of bioactive compounds, pharmaceuticals, and agrochemicals.  $\omega$ -transaminase ( $\omega$ -TA) is an ideal catalyst for the asymmetric amination as its excellent enantioselectivity and wide substrate scope. To shift the equilibrium of reactions catalyzed by  $\omega$ -TA to the side of amine product, an upgraded N<sub>2</sub> fixation system based on bioelectrocatalysis was developed to realize the conversion from N<sub>2</sub> to chiral amine intermediates. The produced NH<sub>3</sub> was *in situ* reacted by *L*-alanine dehydrogenase to perform the alanine generation with NADH as a coenzyme.  $\omega$ -TA transferred the amino group from alanine to ketone substrates and finally produced desired chiral amine intermediates. The cathode of the upgraded N<sub>2</sub> fixation system supplied enough reducing power to synchronously realize the regeneration of reduced methyl viologen (MV<sup>+</sup>) and NADH for the nitrogenase and *L*-alanine dehydrogenase. The co-product, pyruvate, was consumed by *L*-alanine dehydrogenase to regenerate alanine and push the equilibrium to the side of amine. After 10 hours of reaction, the concentration of 1-methyl-3-phenylpropylamine achieved 0.54 mM with the 27.6% highest faradaic efficiency and >99% enantiomeric excess (*ee*<sub>p</sub>). Due to the wide substrate scope and excellent enantioselectivity of  $\omega$ -TA, the upgraded N<sub>2</sub> fixation system has great potential to produce a variety of chiral amine intermediates for pharmaceuticals and other applications.

## INTRODUCTION

Enantiomerically pure chiral amines are of increasing value in organic synthesis.<sup>1</sup> There is an increasing demand for enantiomerically pure chiral amines, which are pivotal building blocks for the development of biologically active compounds including pharmaceuticals and agrochemicals.<sup>1-2</sup>  $\omega$ -transaminase ( $\omega$ -TA) has been a particular focus for research, because this enzyme offers a green route for the reductive amination of prochiral ketones to produce amines with high optical purity.<sup>3</sup> The challenge in asymmetric syntheses that employ  $\omega$ -TA is to shift the equilibrium to the amine product side, especially when using an amino acid like alanine as a source of exogenous amine.<sup>4-5</sup> In this case, the equilibrium is on the side of the substrates (ketone and alanine) and not on the side of the products (amine and pyruvate).<sup>6</sup> In order to address this tricky problem, an alanine recycling method was established to remove pyruvate (co-product), regenerate the alanine and finally shift the reaction equilibrium to the side of amine products.<sup>2, 7-8</sup>

This alanine recycling reaction catalyzed by *L*-alanine dehydrogenase consumes NADH and ammonia (NH<sub>3</sub>). Therefore, the regeneration of NADH and the supply of NH<sub>3</sub> are critical to carrying out this reaction. The

traditional method for coenzyme regeneration is the addition of extra enzymes, such as formate dehydrogenase, NADH oxidase, alcohol dehydrogenase, phosphate dehydrogenase, glucose dehydrogenase, and corresponding co-substrates. Through the oxidation of auxiliary co-substrate, the cofactors can be regenerated.<sup>9-10</sup> Compared to chemical or enzyme-coupled coenzyme regeneration, bioelectrocatalytic regeneration methods get rid of the side products from the coenzyme as well as the substrate separation as the electricity can be the direct electron source for coenzyme regeneration and no by-product is produced.<sup>11-12</sup> Recently, the application of bioelectrocatalytic coenzyme regeneration for the synthesis of the desired chemical compound has gained prominence, due to the merits of utilizing clean electricity as a power source and highly selective enzymes as the catalyst while minimizing byproduct or pollutant formation.<sup>13-14</sup> Specifically, the bioelectrocatalytic coenzyme regeneration method has been used in the reaction for artificial photosynthesis,<sup>15</sup> the electrosynthesis of value-added products,<sup>16-19</sup> the asymmetric reduction of ketones and aldehydes to produce chiral alcohols, and the asymmetric reductive amination to produce chiral amines.<sup>20-21</sup> For the supply of NH<sub>3</sub>, there are two methods. The one, which has been used in previous research,<sup>2, 7-8</sup> is



**Figure 1.** Schematic representation of the upgraded bioelectrocatalytic N<sub>2</sub> fixation system. (a) The components and the reaction process of the upgraded N<sub>2</sub> fixation system. (b) The conversion route from N<sub>2</sub> to chiral amine intermediate.

the direct addition of ammonium salts (NH<sub>4</sub>Cl or (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>) with high concentration. The other one, which is proposed herein, is to combine the alanine recycling with N<sub>2</sub> reduction and further to realize the *in situ* generation and utilization of NH<sub>3</sub>. Recently, a novel mediated bioelectrocatalytic N<sub>2</sub> fixation process based on nitrogenase has successfully been established by the Minter group to realize N<sub>2</sub> reduction and NH<sub>3</sub> generation at ambient temperature and pressure.<sup>22-23</sup> The cathode could directly supply electrons to realize the effective regeneration of methyl viologen (MV<sup>•+</sup>) for the reduction of N<sub>2</sub> and NH<sub>3</sub> generation without adding any extra enzymes or co-substrates. Moreover, with the addition of diaphorase (DI), this bioelectrocatalysis system would also be able to perform the regeneration of NADH by using reduced MV<sup>•+</sup> as reducing power. Consequently, it is feasible to combine the bioelectrocatalytic N<sub>2</sub> reduction and ω-TA catalyzed amination system to facilitate the preparation of chiral amines. Meanwhile, this combined system would be an upgraded N<sub>2</sub> fixation process as N<sub>2</sub> is ultimately converted to chiral amines but not NH<sub>3</sub>.

During the past decades, chemists have sought economical methods of fixing atmospheric dinitrogen for use as fertilizers, explosives, and other chemicals.<sup>24-26</sup> The traditional Haber-Bosch process is an industrial process of N<sub>2</sub> fixation that produces NH<sub>3</sub> from molecular hydrogen (H<sub>2</sub>) and molecular nitrogen (N<sub>2</sub>) at the expense of >1% of global energy.<sup>27</sup> However, no matter the traditional Haber-Bosch process or the bioelectrocatalytic process mentioned above, the end-product of N<sub>2</sub> fixation is NH<sub>3</sub>, which is a raw and commodity chemical. In the conversion from NH<sub>3</sub> to amines with complicated structures and higher added-value, tedious fine processing and the using of expensive transition metal catalysts are still required

such as the palladium-catalyzed telomerization of butadiene and ammonia giving primary alkylamines, Pd-catalyzed allylic amination, copper and palladium catalyzed coupling reaction of ammonia with aryl halides to form arylamines, rhodium- and iridium-catalyzed reductive aminations of carbonyl compounds with ammonium formate and ammonia to afford primary alkylamines and palladium-catalyzed arylation of ammonia to afford di- and triarylamines.<sup>28</sup> Consequently, it is necessary to develop an upgraded N<sub>2</sub> fixation system. In this upgraded system, NH<sub>3</sub> would no longer be the end-product of N<sub>2</sub> fixation, but an intermediate that could be further *in situ* utilized to realize the one-pot synthesis of chemicals with more complicated structure and higher added-value without using the expensive transition metal catalysts.

In this study, we established an upgraded N<sub>2</sub> fixation system that contained a nitrogenase from *Azotobacter vinelandii* (EC1.18.6.1), a diaphorase (DI, EC1.6.99.3) from *Thermotoga maritima*, a L-alanine dehydrogenase from *Bacillus subtilis* (AlaDH, EC1.4.1.1) and a ω-TA originated from *Hyphomonas neptunium* (HN-ωTA, EC 2.6.1.18) to perform the conversion from N<sub>2</sub> to chiral amine intermediates (Figure 1a). In this upgraded N<sub>2</sub> fixation system, the cathode provided electrons to perform the effective regeneration of reduced MV<sup>•+</sup> and NADH. Reduced MV<sup>•+</sup> is the electron donor for NH<sub>3</sub> generation catalyzed by nitrogenase. The generated NH<sub>3</sub> was utilized *in situ* by AlaDH to realize the amination of pyruvate and the alanine generation with the regenerated NADH as the coenzyme. HN-ωTA transferred the amino group from alanine to the ketone substrates and produced desired chiral amine chemicals. Ultimately, N<sub>2</sub> was converted to chiral amines through NH<sub>3</sub> and alanine (Figure 1b).

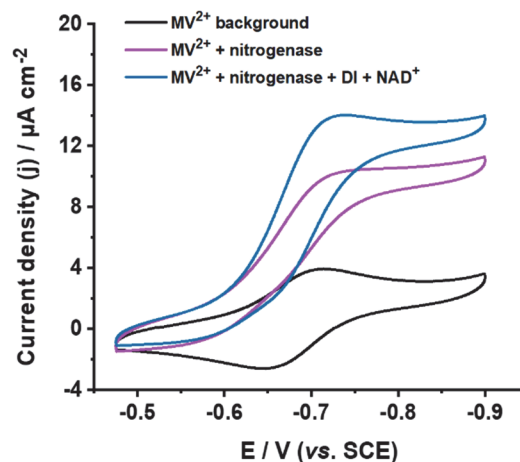
## RESULTS AND DISCUSSION

**Design and construction of the upgraded  $N_2$  fixation system.** An H-shaped dual-chamber bioelectrochemical reactor was used and separated by a proton exchange membrane (PEM). The protons generated at the platinum anode were able to pass through the PEM into the cathodic chamber. The electrons from the Toray carbon paper cathode were used to reduce the electron mediator,  $MV^{2+}$ , which was used to provide the reducing power for  $N_2$  reduction and NADH regeneration.

The upgraded  $N_2$  fixation process is composed of three stages (**Figure 1a**). Stage I is the bioelectrocatalytic  $N_2$  reduction and  $NH_3$  generation. In this stage, the  $N_2$  is initially reduced to  $NH_3$  which is catalyzed by nitrogenase in the existence of an ATP regeneration system (creatine phosphate, creatine phosphokinase, and ADP). The reduced  $MV^{+}$  generated from the cathode was employed as the electron donor for  $NH_3$  generation.<sup>22</sup> Stage II is the *in situ*  $NH_3$  capture and the generation of alanine. In this stage, the  $NH_3$  generated in stage I was captured by AlaDH to produce alanine by using pyruvate as the  $NH_3$  acceptor and NADH as the reducing power. Furthermore, NADH can be recycled by DI and reduced  $MV^{+}$  which was regenerated by the cathode. In the first two stages, The cathode in the bioelectrocatalytic system provided electrons for the synchronous regeneration of both reduced  $MV^{+}$  and NADH. Compared to the traditional method, the bioelectrocatalytic coenzyme regeneration does not require the addition of extra oxidoreductase enzymes and their corresponding co-substrates. Electricity can be used instead of the oxidoreductase for cofactor regeneration. Also, no by-product is produced, which facilitates the recovery of the desired product as it does not require a co-substrate.<sup>9-11</sup> Stage III is the amino-group transfer and the generation of the chiral amine intermediate. In this stage, the alanine generated in stage II was employed as the source of exogenous amine and the amino group could be transferred to different ketone substrates and finally generate the corresponding chiral amine chemicals. As the equilibrium of the reaction catalyzed by HN- $\omega$ TA strongly favored the ketone/alanine side, rather than the amine/pyruvate side,<sup>2, 5</sup> shifting the ketone/amine equilibrium to favor the amine is a challenging task, especially when using alanine as the source of exogenous amine.<sup>29-30</sup> In the upgraded  $N_2$  fixation system, the generated pyruvate is consumed by AlaDH to regenerate alanine by consuming  $NH_3$  and NADH, which could push the equilibrium to the side of amine. The coenzyme, NADH, can also be recycled by DI and reduced  $MV^{+}$ . In this concept, alanine and NADH are not consumed, but recycled in the upgraded  $N_2$  fixation system. The  $N_2$  is converted to chiral amine chemicals via  $NH_3$  and alanine (**Figure 1b**).

**Bioelectrocatalysis using  $MV^{2+}$  as an electron mediator.** Initial cyclic voltammetric (CV) (**Figure 2**) was used to demonstrate the ability of the cathode to act as the primary electron donor of nitrogenase and DI, where  $MV^{2+}$  serves as an electron mediator between the electrode and both nitrogenase and DI (**Figure 1a**).

The CV of 100  $\mu M$   $MV^{2+}$  revealed that oxidized  $MV^{2+}$  was reduced to  $MV^{+}$  at approximately -0.75 V vs. SCE. After the adding of 0.16 U/mL nitrogenase (0.2 mg/mL MoFe protein, a Fe:MoFe protein ratio of 16:1) and 6.7 mM  $MgCl_2$  (to initiate protein turnover), a catalytic wave was observed corresponding to nitrogenase turnover at approximately -0.75 V vs SCE, which demonstrated the electron transfer from cathode to nitrogenase mediated by  $MV^{2+}$ . After the adding of 0.16 U/mL DI (0.04 mg/mL), and 100  $\mu M$   $NAD^{+}$ , a more obvious catalytic wave was observed at approximately -0.75 V vs. SCE corresponding to the additional reduction of  $NAD^{+}$  on the basis of nitrogenase turnover. The reduced  $MV^{+}$  generated from cathode was further utilized by DI as an electron donor to realize the generation of NADH. However, it is important to note that methyl viologen is a better mediator for nitrogenase than diaphorase. In order to maintain a high electron flow for the reaction, the working potential for the bioelectrocatalytic system was set at -0.85 V vs. SCE.



**Figure 2.** Representative cyclic voltammograms of nitrogenase bioelectrocatalysis mediated by  $MV^{2+}$  for  $N_2$  reduction and the regeneration of NADH with the addition of DI. Black line: the current response of  $MV^{2+}$  background (100  $\mu M$   $MV^{2+}$  in ATP-regeneration solution which contained 100 mM MOPS, pH=7.0, 6.7 mM  $MgCl_2$ , 30 mM creatine phosphate, 5 mM ATP, 0.2 mg/mL creatine phosphokinase and 1.3 mg/mL bovine serum albumin); Orchid line: the current response of  $MV^{2+}$  background system supplemented with 0.16 U/mL nitrogenase (0.2 mg MoFe protein, MoFe protein: Fe protein = 1:16 by mole) and 6.7 mM  $MgCl_2$  for  $N_2$  reduction; Blue line: the current response of  $N_2$  reduction system supplemented with 100  $\mu M$   $NAD^{+}$  and 0.16 U/mL DI (0.04 mg/mL).

**Amperometric *i-t* analysis of the upgraded  $N_2$  fixation system.** As  $NH_3$  is the initial substrate of bioelectrosynthesis of 1-methyl-3-phenylpropylamine, the concentration of nitrogenase was first optimized to obtain as much  $NH_3$  as possible (**Figure S2**). The result indicated that 0.16 U/mL (0.2 mg/mL) MoFe protein is the best concentration to achieve  $NH_3$  concentrations as high as 1.4 mM.

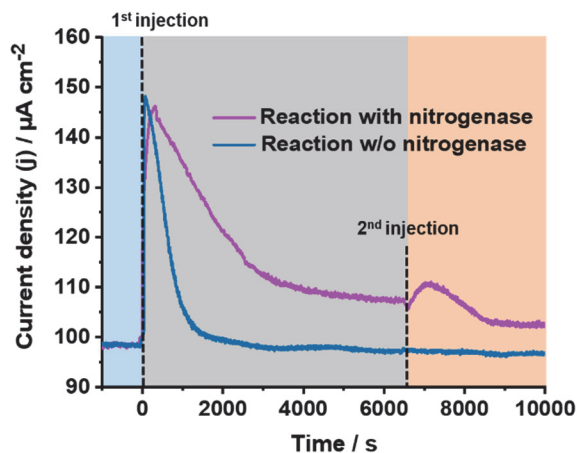
After the optimization of nitrogenase concentration, the amperometric *i-t* analysis was used to analyze the reaction

process. In the amperometric *i-t* curve (Figure 3), the entire reaction process was partitioned into three stages by two different injections. The initial stage is  $\text{NH}_3$  generation catalyzed by nitrogenase with  $750\ \mu\text{M}\ \text{MV}^{2+}$  as the electron mediator. When the reaction achieved steady state (approximately 5 hours of reaction), the current stabilized. Upon the addition of  $0.18\ \text{U/mL}$  AlaDH,  $0.16\ \text{U/mL}$  DI,  $200\ \mu\text{M}\ \text{NAD}^+$  and  $50\ \mu\text{M}$  pyruvate to the electrolyte, a dramatic increase in the reductive current of both the reactions with and without nitrogenase was observed. This response could be due to the reduction of  $\text{NAD}^+$  catalyzed by DI with the consumption of reduced  $\text{MV}^{2+}$ . After the current peaked, the current response of reaction with and without nitrogenase exhibited a different trend. For the reaction with nitrogenase, the reductive current decreased slowly. In contrast, the reductive current of the reaction without nitrogenase exhibited a dramatic decrease. It is speculated that the  $\text{NH}_3$  generated by nitrogenase could be utilized by AlaDH to produce alanine with the consumption of pyruvate and NADH. The loading of nitrogenase, DI, and AlaDH is  $0.16\ \text{U/mL}$ ,  $0.16\ \text{U/mL}$  and  $0.18\ \text{U/mL}$  respectively. As the enzyme activity units of the three enzymes are in balance and the rate of  $\text{MV}^{2+}$  reduction catalyzed by cathode is high enough ( $k_{\text{obs}} = 25/\text{s}$  at  $30\ ^\circ\text{C}$ ),<sup>31</sup> the NADH and  $\text{NH}_3$  consumed by AlaDH could be regenerated effectively. In order to regenerate NADH, oxidized  $\text{MV}^{2+}$  continuously obtained electrons from the cathode and finally kept the current at a higher level. In order to verify this hypothesis, UPLC-MS was employed to detect the alanine generation of the reactions with and without nitrogenase. The result of UPLC-MS (Figure S3) indicated that alanine was indeed generated in the reaction with nitrogenase. Oppositely, no alanine was generated in the reaction without nitrogenase.

Upon the addition of substrate,  $2\ \text{mM}$  4-phenyl-2-butanone, a new peak in the reductive current was observed in the reaction with nitrogenase. This response could be due to the formation of the final product 1-methyl-3-phenylpropylamine accelerating the alanine recycling rate and coenzyme regeneration rate. The formation of product 1-methyl-3-phenylpropylamine in the reaction with nitrogenase was proven by GC-MS (Figure S4).

In this study, monitoring of the current can be used to monitor the progress of the reaction. Compared to the traditional reaction process analysis which was based on the formation of the intermediate and product, the reaction process analysis based on electrical signal has the advantages of speed, convenience, and high sensitivity. The cathode provided electrons for the synchronous regeneration of both reduced  $\text{MV}^{2+}$  and NADH and further effectively drives the  $\text{N}_2$  reduction and pyruvate amination. The  $\text{NH}_3$  generated from  $\text{N}_2$  fixation was utilized by AlaDH to realize the regeneration of alanine by consuming pyruvate, pushing the equilibrium to the side of the product and ultimately producing the chiral amine products. Additionally, the generated  $\text{NH}_3$  was captured by AlaDH to generate alanine. On this basis, it is feasible for the upgraded  $\text{N}_2$  fixation system to employ other amino acid dehydrogenase such as leucine dehydrogenase.

phenylalanine dehydrogenase and valine dehydrogenase to generate leucine,<sup>32</sup> phenylalanine<sup>33</sup> and valine.<sup>34</sup>

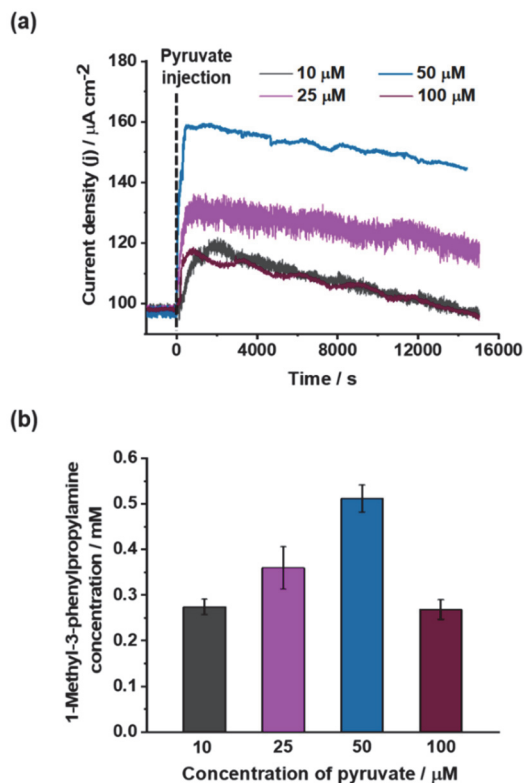


**Figure 3.** Amperometric *i-t* curve of the upgraded  $\text{N}_2$  fixation system. The  $\text{MV}^{2+}$  ( $750\ \mu\text{M}$ ) mediated bioelectrocatalytic  $\text{N}_2$  reduction by nitrogenase was sequentially supplemented with components of alanine (1<sup>st</sup> injection) and 1-methyl-3-phenylpropylamine (2<sup>nd</sup> injection) generation with (orchid line) and without (blue line) nitrogenase at  $30\ ^\circ\text{C}$ . The experiments were performed in an anaerobic H-shaped cell with stirring in  $\text{N}_2$  reduction solution ( $3\ \text{mL}$ , complete with the ATP-regeneration system), where the  $0.16\ \text{U/mL}$  nitrogenase ( $0.2\ \text{mg/mL}$  of MoFe protein coupled with Fe protein at 1:16 ratio by mol) and the final  $\text{MgCl}_2$  concentration was  $6.7\ \text{mM}$ .  $0.1\ \text{U/mL}$  dry *E. coli* cells ( $20\ \text{mg/mL}$ ) and  $1\ \text{mM}$  PLP were initially added. A constant potential  $-0.85\ \text{V}$  vs SCE was employed at  $30\ ^\circ\text{C}$ . In the 1<sup>st</sup> injection,  $0.18\ \text{U/mL}$  AlaDH,  $0.16\ \text{U/mL}$  DI,  $200\ \mu\text{M}\ \text{NAD}^+$  and  $50\ \mu\text{M}$  pyruvate was supplemented. In the 2<sup>nd</sup> injection,  $2\ \text{mM}$  4-phenyl-2-butanone was supplemented.

**Optimization of pyruvate concentration.** The current response upon the addition of different pyruvate concentrations, which is a sensitive indicator of electron transfer and reaction rate, was measured by using amperometric *i-t* analysis. As shown in Figure 4a, the injection of pyruvate led to a rapid current response which meant the consumption of NADH for the generation of alanine accelerated the electron transfer from the cathode to NADH via reduced  $\text{MV}^{2+}$ . Furthermore, the intensity of current response was determined by the injection concentration of pyruvate. From  $10\ \mu\text{M}$  to  $50\ \mu\text{M}$ , increasing the pyruvate injection concentration led to higher current response. Injection  $50\ \mu\text{M}$  pyruvate achieved the most significant current response which increased from approximately  $100\ \mu\text{A}/\text{cm}^2$  to  $160\ \mu\text{A}/\text{cm}^2$ . However, to continue increasing the injection concentration of pyruvate to  $100\ \mu\text{M}$ , the current response only increased from approximately  $100\ \mu\text{A}/\text{cm}^2$  to  $120\ \mu\text{A}/\text{cm}^2$ , which was almost the same with that of a  $10\ \mu\text{M}$  pyruvate injection concentration. Moreover, compared with  $25$  and  $50\ \mu\text{M}$  injection concentrations, the current response of  $10$  and  $100\ \mu\text{M}$  injection concentration is falling faster. The concentration of the final product, 1-methyl-3-phenylpropylamine, has a direct relationship with the current response (Figure 4b). The concentration



of the 1-methyl-3-phenylpropylamine product with 50  $\mu\text{M}$  pyruvate injection was 0.54 mM, almost two times higher than that of 10  $\mu\text{M}$  (0.26 mM) and 100  $\mu\text{M}$  pyruvate injections (0.27 mM). The reaction with 25  $\mu\text{M}$  pyruvate injection generated 0.36 mM 1-methyl-3-phenylpropylamine.

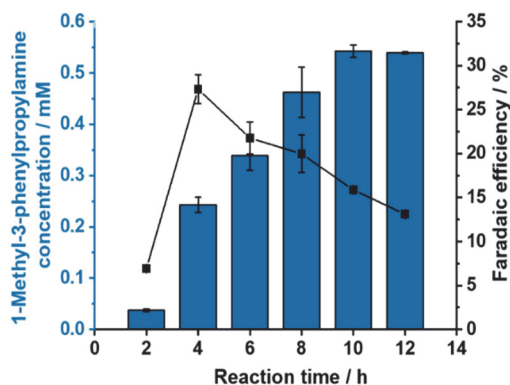


**Figure 4.** The optimization of pyruvate concentration. The reactions contain MOPS buffer (100 mM, pH = 7.0),  $\text{MV}^{2+}$  (750  $\mu\text{M}$ ),  $\text{NAD}^+$  (200  $\mu\text{M}$ ), PLP (1 mM), 1-methyl-3-phenylpropylamine (2 mM) and pyruvate at different concentration. The reaction was performed by employing 0.16 U/mL nitrogenase, 0.18 U/mL AlaDH, 0.16 U/mL DI and 0.1 U/mL dry *E. coli* cell containing HN- $\omega$ TA. (a) Amperometric *i-t* curve of the current response on injection of pyruvate at different concentration using a constant potential of -0.85 V vs. SCE, (b) The concentration of 1-methyl-3-phenylpropylamine generated by the upgraded  $\text{N}_2$  fixation process with different pyruvate concentrations.

The results demonstrated the important role of pyruvate concentration on the generation of amine product. The coproduct, pyruvate, must be removed to push the reaction equilibrium to the side of amine product and enhance the accumulation of amine. Meanwhile, pyruvate is the acceptor of  $\text{NH}_3$  generated from  $\text{N}_2$  reduction. Excessively low concentrations of pyruvate could decrease the efficiency of  $\text{NH}_3$  capture and transfer. Therefore, the pyruvate concentration needs to be optimized to achieve the trade-off between accumulation and consumption. In this study, the current response has good consistency with the concentration of the final amine product. As current is proportional to the number of electrons transferred from

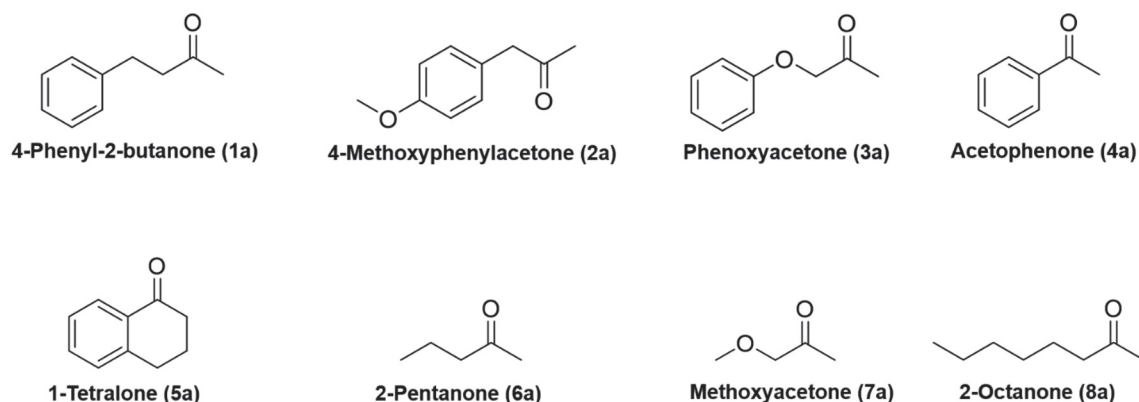
the electrode to electron mediators,<sup>35</sup> the current density is the reflection of electron transfer rate, which directly correlated with the production rate of the final product. Consequently, the current response can be used as a sensitive indicator for reaction optimization. Compared to traditional reaction optimization which is based on the measurement of intermediate or product, using the current response as an indicator is a much faster and simpler way to screen the reaction conditions and the concentration of the substrate and the intermediate.

**Bioelectrosynthetic 1-methyl-3-phenylpropylamine production.** The concentration of 1-methyl-3-phenylpropylamine that was electroenzymatically synthesized in a H-shaped dual-chamber bioelectrochemical reactor with 2 mM 4-phenyl-2-butanone as the substrate as a function of time is shown in **Figure 5**. After 10 hours of reaction, the concentration of 1-methyl-3-phenylpropylamine achieved the highest level (approximately 0.54 mM). Continuous reaction to 12 hours did not increase the product concentration. The faradaic efficiency of the bioelectrocatalytic reaction was calculated as a function of time. Similar to the generation of 1-methyl-3-phenylpropylamine, the highest faradaic efficiency was observed during the 4th hour, which is approximately 27%. After 4 hours, the faradaic efficiency decreased gradually. When 1-methyl-3-phenylpropylamine achieved its highest concentration, the faradaic efficiency decreased to 16%.



**Figure 5.** The concentration of 1-methyl-3-phenylpropylamine generated in the upgraded  $\text{N}_2$  fixation system and corresponding faradaic efficiency following a 14 hour time course. The reactions contain MOPS buffer (100 mM, pH = 7.0),  $\text{MV}^{2+}$  (750  $\mu\text{M}$ ),  $\text{NAD}^+$  (200  $\mu\text{M}$ ), PLP (1 mM), 1-methyl-3-phenylpropylamine (2 mM) and pyruvate (50  $\mu\text{M}$ ). The reaction was performed by employing 0.16 U/mL nitrogenase, 0.18 U/mL AlaDH, 0.16 U/mL DI and 0.1 U/mL dry *E. coli* cell containing HN- $\omega$ TA.

**Bioelectrosynthetic reductive amination of substrates with different structures.** Eight different prochiral ketones (**Figure 6**) were employed as an exogenous amine in the upgraded  $\text{N}_2$  fixation system to produce corresponding the amines (**Figure S5**). After 10



**Figure 6.** The prochiral ketones as the substrates of upgraded N<sub>2</sub> fixation system to produce chiral amines.

**Table 1.** Asymmetric amination of various prochiral ketones catalyzed by upgraded N<sub>2</sub> fixation system.

Entry	Substrate	Product concentration (mM) <sup>[a]</sup>	<i>ee</i> <sub>p</sub> (%) <sup>[b]</sup>	Yield rate (mol·L <sup>-1</sup> ·h <sup>-1</sup> )
1	1a	0.54	>99 (R)	54
2	2a	0.34	>99(R)	34
3	3a	0.61	>99(R)	61
4	4a	nd <sup>[c]</sup>	n.d. <sup>[c]</sup> (R)	n.d.
5	5a	nd <sup>[c]</sup>	n.d. <sup>[c]</sup> (R)	n.d.
6	6a	0.14	>99(R)	14
7	7a	0.53	>99(R)	53
8	8a	0.35	>99(R)	35

Each value represents the means from triplicate experiments.

<sup>[a]</sup> The reactions contain MOPS buffer (100 mM, pH = 7.0), MV<sup>2+</sup> (750 μM), NAD<sup>+</sup> (200 μM), PLP (1 mM), substrate (2 mM), pyruvate (50 μM), nitrogenase (0.16 U/mL), AlaDH (0.18 U/mL), DI (0.16 U/mL) and dry *E. coli* cell containing HN-ωTA (0.1 U/mL).

<sup>[b]</sup> In [%]. Determined by GC on a chiral stationary phase after derivatization to the corresponding acetamide.

<sup>[c]</sup> n.d. Not determined due to too low conversion

hours of reaction (**Table 1**), the HN-ωTA performed the amination of almost all of the substrates, except substrate 4a and 5a, with perfect stereoselectivity (>99% *ee*<sub>p</sub>) to the corresponding (*R*)-amines. After 10 hours of reaction, the amination product of 3a achieved the highest concentration at 0.61 mM. The results are basically in accordance with previous reports,<sup>8</sup> which means the electrocatalytic system does not change the catalytic properties of HN-ωTA. From the wide substrate scope and excellent enantioselectivity, the N<sub>2</sub> could be fixed to a variety of chiral amine substrates with high *ee*<sub>p</sub>. The obtained amine products are intermediates for various products.<sup>8</sup> For example, (*R*)-1b is a precursor of the antihypertensive dilevalol,<sup>36-37</sup> (*R*)-2b can be used to synthesize the bronchodilator formoterol.<sup>38</sup> and (*R*)-3b has the same backbone of the antiarrhythmic, antimyotonic and analgesic drug (*R*)-mexiletin.<sup>39-40</sup> antimyotonic and analgesic drug (*R*)-mexiletin.<sup>39-40</sup>

In the bioelectrocatalytic upgraded N<sub>2</sub> fixation system, the AlaDH,<sup>41</sup> DI,<sup>42</sup> HN-ωTA<sup>8</sup> as well as nitrogenase (**Figure S2**) are stable enough and work steadily at 30 °C. The generation of NH<sub>3</sub> catalyzed by nitrogenase is the initial step of the reaction pathway. Due to the low solubility of N<sub>2</sub>,<sup>43</sup> the accumulation rate of ammonia is not high enough

(1.4 mM after 10 hours of reaction). The N<sub>2</sub> reduction is the limiting step of the upgraded N<sub>2</sub> fixation system. Consequently, further improvement of nitrogenase activity and the accumulation rate of NH<sub>3</sub> were critical for increasing the catalytic efficiency of the upgraded N<sub>2</sub> fixation system. Compared with the traditional biocatalysis system of chiral amine production,<sup>2, 7-8</sup> the bioelectrocatalytic upgraded N<sub>2</sub> system is a proof of concept. However, the bioelectrocatalytic upgraded N<sub>2</sub> fixation system exhibited an attractive possibility that the N<sub>2</sub> reduction could directly be converted to high value-added chemicals through one-pot multi-enzymatic bioelectrocatalysis with low energy consumption. Specifically, the chemically inert N<sub>2</sub> which forms the majority of the earth's atmosphere could be captured and reduced by nitrogenase, transferred to alanine by AlaDH and finally utilized by HN-ωTA to produce chiral amines. Consequently, the upgraded N<sub>2</sub> fixation system realized the comprehensive utilization of chemically inert dinitrogen. Additionally, due to the one-pot chiral amine production mode, the complicated multi-enzyme reaction could be performed in the same "pot" at mild condition without using expensive transition metal catalysts. This flexible production mode

has great potential to realize the distributed and green manufacture of chiral amines.

## CONCLUSION

In summary, we present a novel upgraded  $N_2$  fixation system based on a bioelectrocatalytic  $N_2$  reduction system in which the end-product of  $N_2$  fixation successfully surpassed  $NH_3$  and reached high value-added chiral amine intermediates. From the wide substrate scope of  $\omega$ -TA, our upgraded  $N_2$  fixation system has the potential to generate a variety of chiral amine chemicals with different structures. This proof of concept still has the possibility of improvement such as the development of ATP-independent electroenzymatic reduction system of  $N_2$  fixation. Some achievements have already been achieved by our group in removing the need for an ATP regeneration system,<sup>44</sup> as well as the industrial solution of immobilizing the mediator at the electrode surface for decreasing issues of toxicity and large quantities of mediator.

## MATERIALS AND METHODS

**Chemicals, bacterial strains, and medium.** Unless stated otherwise, all chemicals were purchased from Sigma Aldrich. Saturated calomel reference electrodes (SCE) were purchased from CH Instruments, Inc. All bioelectrocatalytic experiments were performed at  $<1$  ppm  $O_2$  in an anaerobic tent (Coy Laboratory Products, MI, USA) or within septum-sealed vials. Synthetic gene fragments including the genes of AlaDH, DI and HN- $\omega$ TA were purchased from Genscript, Inc. *Azobobacter vinelandii* (ATCC<sup>®</sup> BAA-1303<sup>™</sup>) was purchased from the American Type Culture Collection. Oligonucleotides were synthesized by the DNA/Peptide Facility, part of the Health Sciences Cores at the University of Utah. Toray carbon paper (untreated) was purchased from Fuel Cell Earth (USA). All of the enzymes for molecular biology experiments were purchased from New England Biolabs (NEB, Ipswich, MA, USA). *E. coli* Top10 was used for general molecular cloning and *E. coli* BL21 (DE3) was used for recombinant protein expression. The Luria-Bertani (LB) medium was used for *E. coli* cell culture and recombinant protein expression. The final concentrations of antibiotics for *E. coli* were 100 mg/L ampicillin. *A. vinelandii* was cultured as reported using a modified Burk media: sucrose (58.4 mM),  $MgSO_4$  (0.81 mM),  $CaCl_2$  (0.61 mM),  $FeCl_3$  (0.1 mM),  $Na_2MoO_4$  (0.01 mM),  $NH_4OAc$  (when required, 10 mM),  $K_2HPO_4$  (3.51 mM) and  $KH_2PO_4$  (1.47 mM).<sup>45</sup>

**Construction of plasmids.** The strains and plasmids used in this study are summarized in Table S1 and the sequences of all PCR primers used were listed in Table S2. All of the plasmid sequences were validated by DNA sequencing.

Plasmid pET2ob-AlaDH had an expression cassette containing *L*-alanine dehydrogenase from *B. subtilis* (GenBank Accession number: L20916.1). The DNA sequence fragment was synthesized by Genescript (Piscataway, NJ, USA) and amplified by PCR using a pair of primers IF-AlaDH and IR-AlaDH; pET2ob vector backbone was amplified with a primer pair of VF-AlaDH and VR-AlaDH. Plasmid pET2ob-

AlaDH based on two DNA fragments was obtained by using Simple Cloning.<sup>46</sup>

Plasmid pET2ob-DI had an expression cassette containing diaphorase from *T. maritima* (GenBank Accession number: CP01108.1). The DNA sequence fragment was synthesized by Genescript (Piscataway, NJ, USA) and amplified by PCR using a pair of primers IF-DI and IR-DI; pET2ob vector backbone was amplified with a primer pair of VF-DI and VR-DI. Plasmid pET2ob-DI based on two DNA fragments was obtained by using Simple Cloning.<sup>46</sup>

Plasmid pET2ob-HN- $\omega$ TA had an expression cassette containing HN- $\omega$ TA from *H. neptunium* (GenBank Accession number: ABI75539.1). The DNA sequence fragment was synthesized by Genescript (Piscataway, NJ, USA) and amplified by PCR using a pair of primers IF- $\omega$ TA and IR- $\omega$ TA; pET2ob vector backbone was amplified with a primer pair of VF- $\omega$ TA and VR- $\omega$ TA. Plasmid pET2ob- $\omega$ TA based on two DNA fragments was obtained by using Simple Cloning.<sup>46</sup>

**Expression and purification of enzymes.** The growth of *Azotobacter vinelandii* and the purification of nitrogenase protein was performed as previously described.<sup>22</sup>

The strains *E. coli* BL21(DE3) containing the protein expression plasmids of AlaDH and DI were cultivated in the LB medium supplemented with 1.2% glycerol at 37°C. When  $A_{600}$  reached about 0.8, 100  $\mu$ M isopropyl-beta-D-thiogalactopyranoside (IPTG, a final concentration) was added and the cultivation temperature was decreased to 18 °C for ~16 hours. His-tagged proteins were purified as below. After induction with IPTG, cells were harvested by centrifugation. The cell pellets were re-suspended in 100 mM MOPS buffer (pH 7.0) containing and 50 mM NaCl. For the purification of DI, 0.1 mM flavin adenine dinucleotide (FAD) was added after ultrasonication. After centrifugation, the supernatant was loaded onto the column packed with HisPur Ni-NTA Resin (Fisher Scientific, Pittsburgh, PA, USA) and eluted with 100 mM MOPS buffer (pH 7.0) containing 300 mM NaCl and 250 mM imidazole. The purified proteins (10  $\mu$ L) were loaded into 12% SDS-PAGE to check the quality of purification (Figure S1).

**General method for preparation of HN- $\omega$ TA in *E. coli* BL21(DE3) cells.** Cells contain protein expression plasmids of HN- $\omega$ TA were grown in LB medium containing ampicillin (100mg/L) at 37°C 200rpm. When  $A_{600}$  reached about 0.8, 100  $\mu$ M IPTG (final concentration) was added and the cultivation temperature was decreased to 18 °C for ~10 hours. After IPTG induction, the cells were harvested by centrifugation at 17,000g, 4°C, 20 min. The pellet was washed with MOPS buffer (100 mM, pH=7.0), frozen with liquid nitrogen and lyophilized. The obtained cell were stored at -20°C.

**Enzyme activity assays.** Conventional nitrogenase activity assays were performed as reported previously.<sup>22-23</sup> Assays were performed in 9.6 mL vials (septum-sealed) that contained 1 mL of anaerobic ATP-regeneration solution (100 mM MOPS, pH=7.0 6.7 mM  $MgCl_2$ , 30 mM creatine phosphate, 5 mM ATP, 0.2 mg/mL creatine phosphokinase and 1.3 mg/mL bovine serum albumin). The assay required

dithionite (DT) as the primary electron donor, a final concentration of 15 mM was used and a Fe:MoFe protein mole ratio of 16:1 was adopted (0.1 mg of MoFe protein per assay). The assay was performed at 30 °C. An NH<sub>3</sub> detection reagent was prepared by mixing MOPS buffer (pH=7.0, 100 mM, 100 mL), 2-mercaptoethanol (25 µL) and *ortho*-phthalaldehyde (270 mg dissolved in 5 mL ethanol) and storing in the dark. Following N<sub>2</sub> reduction experiments, 250 µL of reaction solution (containing NH<sub>3</sub> produced by MoFe protein) was mixed with 1000 µL of the NH<sub>3</sub> detection reagent and incubated at for 30 min in the dark. NH<sub>3</sub> was quantified by exciting the solutions at 410 nm and measuring their emission reading at 472 nm. All samples were quantified as triplicates. The activity was expressed as units (U) per mg MoFe protein, where 1 U was defined as 1 µmol NH<sub>3</sub> generated per min. The specific activity is 0.82 ± 0.03 U/mg MoFe protein.

The activity of AlaDH was measured by the rate of oxidation of NADH at 30°C as reported previously.<sup>47</sup> The decrease of absorbance change at 340 nm was recorded in an Evolution 260 Bio UV-visible spectrophotometer (Thermo Scientific, Waltham, MA). The reaction mixture contained 100mM MOPS (pH=7.0), 0.16mM NADH, AlaDH, 5 mM pyruvate and 100 mM NH<sub>4</sub>Cl. The millimolar extinction coefficient of NADH ( $\epsilon_{340}$ ) was 6.2 mM<sup>-1</sup>cm<sup>-1</sup>. AlaDH activity was expressed as units (U) per mg AlaDH, where 1 U was defined as 1 µmol alanine generated (consuming 1 µmol NADH) per min. The specific activity of AlaDH is 191.5 ± 5.2 U/mg.

The activity of DI were determined at 30°C by measuring the absorbance change at 578 nm in 1 min.<sup>42</sup> The enzyme reactions were carried out in an anaerobic screw-cap IR quartz cuvette (Reflex Analytical Co., Ridgewood, NJ, USA) with a degassed 100 mM MOPS buffer (pH 7.0) containing 2 mM NADH. 100 µM reduced methyl viologen (MV<sup>+</sup>) prepared by a rotating disc electrode was used as an electron donor. The decrease in A<sub>578</sub> was detected during the reactions. Millimolar extinction coefficients ( $\epsilon_{578}$ ) for reduced MV<sup>+</sup> was 9.78 mM<sup>-1</sup>cm<sup>-1</sup>. DI activity was expressed as units (U) per mg DI, while 1 U was defined as 1 µmol NADH generated per min. As the generation of 1 mole NADH consumes 1 mole reduced MV<sup>+</sup>, the concentration of generated NADH was determined by the consumption of reduced MV<sup>+</sup>. The specific activity of DI is 4.14 ± 0.18 U/mg.

The activity of HN- $\omega$ TA were assayed by employing 4-phenyl-2-butanone (50 mM) as substrate at 30°C. Alanine (250 mM) was used as the source of exogenous amine. A typical sample was prepared using 10 mg of *E.coli* cells containing overexpressed HN- $\omega$ TA in MOPS buffer (pH=7, 100 mM, 1 mM pyridoxal 5'-phosphate). The generated 1-methyl-3-phenylpropylamine was determined by Agilent 5975C gas chromatography (GC) equipped with DB-5ms column and Triple-Axis Detector. In order to remove the generated pyruvate and recycle alanine, 150 mM NH<sub>4</sub>Cl, 1 mM NAD<sup>+</sup> and 10U/mL AlaDH were combined. 150 mM glucose and 10U/mL glucose dehydrogenase were also combined for the NADH regeneration. HN- $\omega$ TA activity was expressed as units (U) per mg *E. coli* cell, while 1 U was defined as 1 µmol 1-methyl-3-phenylpropylamine

generated per min. The apparent specific activity of  $\omega$ -TA is 0.0052 ± 0.0002 U/mg dry *E. coli* cells.

**Electrochemical methods.** Electrochemical measurements were conducted using a CH Instrument model 660e potentiostat anaerobically at 30°C. The experiments were operated within custom-made septum-sealed H-shaped electrochemical cells, where a Nafion<sup>®</sup> 212 proton exchange membrane was used to compartmentalize the anodic and cathodic chamber. Toray carbon paper electrodes were cut and coated with paraffin wax to regulate their geometric surface area and to prevent corrosion upon contact with their electrical contacts. Platinum mesh was used as a counter electrode. SCE was used as a reference electrode for all of the electrochemical experiments.

The initial CV analysis was used to demonstrate the ability of cathode to act as the primary electron donor for the N<sub>2</sub> reduction catalyzed by nitrogenase and NADH regeneration catalyzed by DI, where MV<sup>2+</sup> serves as an electron mediator between the electrode and both nitrogenase and DI. Cyclic voltammetric experiments were performed by sequentially adding the nitrogenase, DI and NAD<sup>+</sup>. The electrode was allowed to stabilize by scanning the potential between -0.9 and -0.475V for 8 cycles with a scan rate of 5 mV/s.

Amperometric *i-t* analyses was performed using a bulk electrolysis configuration. The reactions were performed in an H-shaped dual-chamber bioelectrochemical reactor and the headspace of the reactor was filled with high purity nitrogen at 1 atm. Because the MV<sup>2+</sup> has a formal redox potential of approximately -0.75 V vs SCE (saturated calomel electrode), the applied constant potential was selected to be -0.85 V vs SCE to ensure a sufficient overpotential to drive the reaction into the reduction of MV<sup>2+</sup>. The current was recorded every 1 s. The experiments were performed at 30 °C in an anaerobic H-shaped cell with stirring in N<sub>2</sub> reduction compartment (3 mL, complete with the ATP-regeneration system), where the 0.16 U/mL nitrogenase (0.2 mg/mL of MoFe protein coupled with Fe protein at 1:16 ratio by mol) and the MgCl<sub>2</sub> concentration was 6.7 mM. 0.1 U/mL dry *E. coli* cells (20 mg/mL) was initially added as well. In the 1<sup>st</sup> injection, 0.18 U/mL AlaDH, 0.16 U/mL DI, 200 µM NAD<sup>+</sup> and 50 µM pyruvate was supplemented. In the 2<sup>nd</sup> injection, 2 mM 4-phenyl-2-butanone and 1% DMSO was supplemented.

**Bioelectrosynthesis of chiral amine chemicals.** The bioelectrocatalytic *in vitro* synthesis of chiral amine chemicals was performed in an H-shaped dual-chamber bioelectrochemical reactor at constant potential of -0.85 V vs SCE at 30 °C. The headspace of the reactor was filled with high purity N<sub>2</sub> at 1 atm. The pH value the bioelectrosynthetic reaction was set at 7.0 as all the enzymes used in this study, including nitrogenase, DI, AlaDH and HN- $\omega$ TA worked well at pH = 7.0.<sup>8, 22, 42</sup> The reaction mixture contained 100 mM MOPS buffer (pH=7.0), 6.7 mM MgCl<sub>2</sub>, 30 mM creatine phosphate, 5 mM ATP, 0.2 mg/mL creatine phosphokinase, 1.3 mg/mL bovine serum albumin, 50 µM pyruvate, 200 µM NAD<sup>+</sup>, 1mM PLP, 2 mM ketone substrate and 1% DMSO. The enzymes loading were 0.16 U/mL nitrogenase, 0.18 U/mL AlaDH,



0.16 U/mL DI, and 0.10 U/mL HN- $\omega$ TA. After 10 hours of reaction, the reaction was stopped by adding aqueous NaOH (20% v/v, 10N). As the dication form of methyl viologen is hardly soluble in such solvents,<sup>48</sup> the cathode chamber was open to oxidize the MV<sup>-</sup> to MV<sup>2+</sup>. The final chiral amine products were extracted by using ethyl acetate. The organic phase was dried by Na<sub>2</sub>SO<sub>4</sub>. The concentration and the optical purity (% ee<sub>p</sub>) of the produced amine chemicals were determined by GC. The current was recorded by a potentiostat (CHI660). The faradaic efficiency (FE) for the formation of different amines was calculated as follow.

$$FE = \frac{9Fn}{\int_0^t Idt} \times 100 \%$$

Where 9 is the number of electrons transferred for the formation of one molecule of amine (8 electrons for N<sub>2</sub> fixation,<sup>22</sup> 1 electron for the regeneration of NADH), F is the Faraday constant (96485C/mol), n is the moles of generated amine, I is the circuit current and t is the reaction time.

**Analytical methods.** The conversion of amines was measured by GC using an Agilent 5975C that equipped with DB-5MS column (30m  $\times$  0.25mm  $\times$  0.25 $\mu$ m) and Triple-Axis Detector. The chiral analysis of produced amines was performed by GC as well. After ethyl acetate extraction, the organic phase was dried over Na<sub>2</sub>SO<sub>4</sub> and the extracted amines were derivatized to the trifluoroacetamides by adding 20-fold excess of trifluoroacetic anhydride.<sup>49</sup> After 5 min at room temperature and purging with nitrogen to remove excess anhydride, residual trifluoroacetic acid, and solvent, the derivatized compounds were dissolved in 50  $\mu$ L ethyl acetate and 0.5  $\mu$ L of this solution was injected into a Hewlett Packard 6890 Series gas chromatograph equipped with an Agilent CP-Chirasil Dex-CB (25 m, 320  $\mu$ m, 0.25  $\mu$ m). The GC program parameters are listed in supporting information.

## ASSOCIATED CONTENT

**Supporting Information.** This Supporting Information is available free of charge via the Internet at <http://pubs.acs.org>.

The amino acid sequences of protein, the SDS-PAGE gel of proteins, the optimization of nitrogenase concentration, the UPLC-MS characterization of generated alanine, the GC-MS characterization of generated 1-methyl-3-phenylpropylamine, the molecular structure of chiral amines obtained from up-graded N<sub>2</sub> fixation, GC analysis program, the strains, plasmids and primers used in this study (PDF)

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### NOTE

The authors declare no competing financial interest.

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