

# Convergent Estimates of Marine Nitrogen Fixation

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## Abstract

Uncertainty in the global patterns of marine nitrogen fixation limits our understanding of the response of the ocean's nitrogen and carbon cycles to environmental change. The geographical distribution and ecological controls on nitrogen fixation are difficult to constrain with limited in-situ measurements. Here we present convergent estimates of nitrogen fixation from an inverse biogeochemical and a prognostic ocean model. Our results demonstrate strong spatial variability in the nitrogen to phosphorus ratio of exported organic matter that greatly increases the global nitrogen-fixation rate. We find that new nitrogen supports up to 50% of export in subtropical gyres, that nitrogen fixation and denitrification are spatially decoupled and that current-era nitrogen sources and sinks largely balance on multidecadal timescales. Moreover, we propose a role for top-down grazing control in shaping the global patterns of nitrogen fixation. Our findings suggest higher than expected ocean carbon export and weaker stabilizing nitrogen-cycle feedbacks than previously thought.

## Main

Great uncertainty in global patterns of marine nitrogen fixation limits our ability to build mechanistic models that reliably predict the response of the ocean's nitrogen and carbon cycles to environmental change. Field N<sub>2</sub>-fixation measurements are challenging to scale up because they have poor spatial coverage with high spatio-temporal variability, spanning 6 orders of magnitude[1, 2, 3, 4]. Estimates from geochemical inverse models can use the more abundant hydrographic nitrate and phosphate concentration measurements to infer global rates of N<sub>2</sub> fixation. However, the existing  $P^*$  method[5, 6, 7] predicts a geographical distribution that conflicts with in-situ measurements and prognostic biogeochemistry models. For example, in-situ measurements in the tropical Pacific Ocean, yield rates that are high in the west and low in the east but the  $P^*$  method predicts the opposite

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34 pattern[8, 9]. On larger-scales, observations and models suggest relatively high  
35 rates of tropical-Atlantic N<sub>2</sub> fixation, whereas the  $P^*$  method predicts relatively low  
36 rates[4,7,10,11].

37 The  $P^*$  method attempts to diagnose N<sub>2</sub>-fixation rates with a tracer,  $P^* \equiv [PO_4^{3-}] -$   
38  $[NO_3^-]/16$ , from observed surface phosphate and nitrate concentrations and its  
39 computed divergence using an ocean general circulation model (OGCM).  
40 Assuming that non-diazotrophic production follows a constant N:P ratio of 16:1,  $P^*$   
41 is unaffected by biological production so that any  $P^*$  divergences indicate the  
42 addition of new fixed N.

43 Errors in the computed  $P^*$  divergence – either due to circulation or the nutrient data  
44 – could explain the conflicting estimates. Coarse-resolution OGCMs have  
45 significant biases, and  $P^*$ , being computed as a concentration difference, tends to  
46 have larger relative errors than either  $[PO_4^-]$  or  $[NO_3^-]$  alone. Furthermore, the low  
47 nitrate and phosphate concentrations in oligotrophic surface waters are often  
48 below the detection limits of traditional measurement techniques.

49 Another source of error is the assumption that organic matter produced by non-  
50 diazotrophic plankton follows fixed Redfield (16N:1P) stoichiometry. While the  $P^*$   
51 N<sub>2</sub>-fixation estimate accounted for preferential remineralization of dissolved  
52 organic phosphorus (DOP) compared to nitrogen (DON), it did not consider the  
53 large-scale stoichiometric diversity of phytoplankton[7]. The impact of non-Redfield  
54 plankton cycling could be important. Model studies show that it greatly changes  
55 the amount of N<sub>2</sub> fixation necessary to explain observed  $P^*$  patterns while also  
56 modifying the size of the marine nitrogen reservoir[12-14] with similar impacts from  
57 non-Redfield cycling of DON and DOP[10,11]. Given recently documented C:N:P  
58 variations in particulate and dissolved organic matter as well as in the organic-  
59 matter export flux[15-19], it is important to construct models that do not assume  
60 constant stoichiometry.

61 Our global inversion diagnoses N<sub>2</sub> fixation by tracking the circulation of N and P  
62 separately through their organic and inorganic forms without assuming a constant  
63 N:P ratio in plankton or exported organic matter. It is based on a data-constrained  
64 circulation model[20, 21] to minimize prognostic-model biases and uses the full  
65 water-column nitrate and phosphate measurements[22] to reduce the sensitivity to  
66 larger surface-water  $P^*$  errors.

67 We present data-constrained estimates of (1) the spatially-variable N:P ratio of  
68 exported organic matter, (2) global patterns of N<sub>2</sub> fixation and denitrification, (3) the  
69 fraction of export production supported by new fixed nitrogen, and (4) the degree  
70 to which N sources and sinks balance in the current era. By construction, the  
71 inverse model is agnostic to the biological underpinnings that control N<sub>2</sub> fixation.  
72 To identify the mechanisms driving the inferred N<sub>2</sub>-fixation patterns, we compare  
73 with results from the Community Earth System Model (CESM) ocean component,

74 also modified to allow for variable N:P in phytoplankton and sinking organic matter,  
75 building on the non-Redfield treatment of DOM[11, 17]. CESM produces a  
76 geographic distribution of N<sub>2</sub> fixation remarkably similar to the one inferred from  
77 the inverse model, and provides insights into the geochemical and ecological  
78 constraints on diazotrophs that drive global N<sub>2</sub>-fixation patterns.  
79

80 **Nitrogen fluxes and transformations**

81 The inverse model is based on a system of conservation equations relating rates  
82 of losses of fixed N due to denitrification and anammox, physical transport due to  
83 circulation and gravitational settling of particles, biogeochemical transformations of  
84 N and P between organic and inorganic forms and between dissolved and  
85 particulate phases, and external inputs of fixed N (Fig. 1). The solution of these  
86 equations yields six state variables, which are the concentrations of dissolved  
87 inorganic nitrogen and phosphorus ([DIN], [DIP]), and the dissolved and particulate  
88 phases of organic nitrogen and phosphorus ([DON], [DOP], [PON], [POP]). The  
89 biogeochemical transformation rates are parameterized using only 13 parameters  
90 (Table S1), which are constrained by a hydrographic database of [DIN], [DIP], and  
91 [DON][17, 22] (supplementary materials Fig. S1). The fluxes and transformations  
92 inferred by the model are estimated under the assumption that the marine N cycle is  
93 in steady state and therefore balanced. We relax this assumption in the  
94 uncertainty analysis (see Methods).

95 **N:P ratio of exported organic matter**

96 The inversion yields a molar N:P ratio for the combined export from particulate and  
97 dissolved organic matter, denoted (N:P)<sub>exp</sub>, ranging from more than 26:1 in the  
98 most nutrient-depleted gyres to less than 12:1 in nutrient-rich upwelling regions  
99 (Fig.2a). We find a close correspondence between the inferred (N:P)<sub>exp</sub> and the  
100 N:P ratios measured in suspended particles[18, 19, 23] (Fig.2b), similar to  
101 previous results examining C:P ratios[16]. The agreement suggests that at least  
102 part of the variance in the relative export of N compared to P originates in the  
103 stoichiometric diversity of plankton, rather than being entirely due to differential N  
104 and P remineralization[11, 24]. We do find evidence for the preferential  
105 remineralization of P. The optimal parameter estimates indicate a shallower  
106 dissolution of POP compared to PON and a faster degradation of DOP compared  
107 to DON (c.f. the optimal values of  $b_P$ ,  $b_N$ ,  $\kappa_{dP}$  and  $\kappa_{dN}$ , in Table S1).

108 The globally-integrated ratio of biological export of N and P is 17.3:1, above the  
109 Redfield value of 16:1. The ratio is less than a simple averaging of the regional  
110 ratios shown in Fig. 2a would suggest due to the unequal regional contributions to  
111 total export. The elevated N:P ratios in the subtropical gyres are similar to those  
112 assumed in previous studies[12,13] but our estimates for the non-gyre regions are  
113 considerably higher, with mean ratios only modestly below Redfield. A global N:P

114 export ratio of 17.3:1 is also much higher than the ratio of the oceanic reservoir of  
115 nitrogen and phosphorus which is 14.3:1[13]. While it is argued that the difference  
116 between these two ratios is a signature of denitrification, this is only partly true. In  
117 the presence of spatially-varying N:P ratios, the average residence time of  
118 remineralized N need not equal that of P because of regional differences in the  
119 time for respired products to return to the surface[25,26].  
120

121 **Geographic distribution of  $N_2$  fixation**

122 The inversion reveals high  $N_2$ -fixation rates in the subtropical gyres where surface  
123 nutrients are depleted (and export N:P ratios are high) and low fixation rates in  
124 upwelling regions where surface macro nutrients are abundant (Figs. 3a,S6). The  
125 most intense  $N_2$ -fixation rates are found downstream of the low-latitude upwelling  
126 regions in all basins. This pattern differs from the  $P^*$  estimate [7], which predicts  
127 the highest fixation rates in the eastern tropical Pacific upwelling regions and  
128 relatively low rates in the Atlantic.

129 Our global inversion is in good agreement with regional inversions for the Atlantic  
130 Ocean derived from isotope budgets [27]. Northward of 24°N, 11°S, and 30°S we  
131 estimate  $N_2$ -fixation rates of  $4.5^{7.0}_{3.1}$ ,  $27.9^{38.1}_{21.3}$ , and  $36.2^{50.9}_{26.9}$  TgN/yr respectively,  
132 whereas, Ref. [27] estimated  $3.0 \pm 0.5$ ,  $27.1 \pm 4.3$ , and  $30.5 \pm 4.9$  TgN/yr.

133 Our inversion generally supports the idea that little  $N_2$  fixation occurs at  
134 temperatures below 20°C [28]. The one exception to this is elevated  $N_2$  fixation in  
135 the Arctic Ocean, where detectable  $N_2$ -fixation rates have been measured[29].  
136 Our inverse estimates in this region must be interpreted cautiously because the  
137 data constraining the circulation and nutrient-cycling are sparse (Fig. S1).

138 Water-column losses are inferred to be highest in suboxic waters ( $[O]_2 < 5$  mmol/m<sup>3</sup>)  
139 flanking the equator in the eastern tropical Pacific and in the northern Indian  
140 Ocean, where column-integrated rates can exceed 440 mmol/m<sup>2</sup>/yr (Fig. 3c). The  
141 inferred water-column losses extend over the much larger volume of hypoxic  
142 waters ( $[O]_2 < 60$  mmol/m<sup>3</sup>) albeit at a lower rate, with non-negligible integrated  
143 water-column losses at oxygen concentrations above 20 mmol/m<sup>3</sup> (Fig. S7a,b)  
144 supporting the idea that substantial anaerobic respiration occurs within particle  
145 microenvironments in hypoxic waters[30] (but see also Fig. S7c,d and S14-S16).  
146 Benthic losses are highest along continental margins where more sinking organic  
147 matter reaches the bottom (Fig. 3d).

148 Our inversion reveals the importance of large-scale inter-basin transport of nitrogen  
149 for maintaining a globally balanced fixed-N budget (Table 1). The Atlantic Ocean  
150 for example maintains its balance by exporting ~15TgN/yr (33% of its N input).  
151 This result has been anticipated by prognostic model simulations and box-model  
152 budgets[31,32] and is consistent with strong evidence for P-limitation in the North

153      Atlantic[33-35]. The Pacific Ocean supplies more than half of the fixed-N inputs,  
154      but also contributes disproportionately to losses because of intense water-column  
155      denitrification in the east Pacific low-oxygen zones, with a net basin-scale deficit  
156      of ~10 TgN/yr in agreement with Ref.[31]. The Indian Ocean has a nearly  
157      balanced N budget (Table 1).

158      Microbial N<sub>2</sub> fixation supports more than 30% of the export production in all  
159      subtropical gyres and more than 50% in the N. Pacific and S. Atlantic (Fig. 4).  
160      These estimates are in agreement with independent budgets based on  $\delta^{15}N$   
161      measurements. At the Bermuda Atlantic Time-series Study (BATS) station in  
162      subtropical Atlantic (32°10'N 64°30'W), a  $\delta^{15}N$  budget suggests that no input of  
163      new N is needed to close the N budget[36] – our inversion shows a negligible  
164      contribution of N<sub>2</sub> fixation. Similarly a  $\delta^{15}N$  budget for the Hawaii Ocean Time-  
165      series (HOT) (22°45'N 158°00'W) suggests that 30%–50% of export production is  
166      sustained by new N[37] – we estimate 30%–40% (Fig. 4). Globally, we find that N<sub>2</sub>  
167      fixation supports ~8% of the global carbon export production, which is inferred by  
168      our inverse-model to be ~12 PgC/yr.  
169

## 170      ***Globally-integrated marine N cycle budget***

171      We estimate that N<sub>2</sub> fixation plus external inputs (Fig.3b), delivers 200 TgN/yr to  
172      the ocean. This input is balanced by water-column and benthic losses of 68 and  
173      132 TgN/yr (Table 1).

174      The uncertainty for our global N<sub>2</sub>-fixation rate estimate of 163 TgN/yr is  
175      approximately  $\pm 30\%$  (Table 1). The globally-integrated N<sub>2</sub>-fixation and N-loss  
176      rates in our steady-state model can be scaled up or down with only modest  
177      changes in the spatial distribution of nutrients and N<sub>2</sub> fixation (Figs S12 and S13).  
178      Thus, while the nutrient data provides strong constraints on the spatial pattern of  
179      N<sub>2</sub> fixation it provides only weak bounds for the globally-integrated rate. Our  
180      uncertainty estimate for the globally-integrated rates therefore relies on  $^{15}N$   
181      isotopic constraints[38,39], which require a benthic to water-column loss ratio  
182      between 1.3 and 3.0. This constraint means that we cannot scale the benthic-loss  
183      function up or down by more than 20% (Table S2), leading to uncertainties of  
184       $\pm 40\%$  and  $\pm 12\%$  for the globally-integrated benthic and water-column loss rates  
185      (Table 1). In comparison, the uncertainty due to the other parameters is small,  
186      typically contributing relative errors of less than 2% in basin-integrated rates.  
187      External inputs due to rivers and atmospheric deposition are small in comparison  
188      to the sedimentary losses and therefore contribute relatively less uncertainty[31].  
189      An alternative inversion based on pre-industrial atmospheric deposition [40], yields  
190      a N<sub>2</sub>-fixation rate that is ~10% higher than the one for the present-day deposition  
191      (Table S4) with only modest changes in the pattern of N<sub>2</sub> fixation (Fig. S9). This  
192      ~10% decrease in N<sub>2</sub> fixation associated with increased atmospheric deposition is  
193      similar to what has been found in previous modeling studies, which found strong

194 negative feedbacks limiting the impact of atmospheric deposition[41,42]. We don't  
195 explicitly estimate organic N burial in sediments, but this would be a similarly small  
196 fraction of our inferred benthic fixed N loss.

197 The uncertainty due to the relaxation of the steady-state assumption by allowing for  
198 slowly-evolving nutrient fields (see Methods) has a modest impact on the  
199 parametric uncertainty. The most probable non-steady state model has a global  
200 nitrogen budget, with a 1 TgN/yr increment in the estimated benthic loss, an 11  
201 TgN/yr reduction in water-column denitrification, and a 16TgN/yr reduction in  
202 fixation, leaving a global source-sink imbalance of only 6 TgN/yr (Tables 1 and  
203 S3). Because the inversion could have returned a much larger N-cycle imbalance,  
204 this suggests that our model is robust to undetected slowly-evolving trends in the  
205 marine N cycle and that for the current era there is no evidence for large  
206 imbalances on multidecadal timescales.  
207

208 A potentially important source of uncertainty that we are unable to quantify in our  
209 annually-averaged inverse model is the neglect of the seasonal cycle. However,  
210 because the model is constrained by data from the full water column, we expect  
211 that the muted seasonality at the base of the thermocline and deeper waters will  
212 anchor the inversion to produce the correct annually averaged fluxes. Further  
213 reductions in the uncertainty of the inverse estimates of N<sub>2</sub> fixation will require a  
214 seasonally varying circulation model that better resolves the coastal shelf regions  
215 and the oxygen minimum zones. Better data coverage in the Arctic would help  
216 reduce large uncertainties in polar waters.  
217

### 218 ***Ecological controls on N<sub>2</sub> fixation patterns***

219 Our inverse model is largely agnostic about the biological underpinnings driving N<sub>2</sub>  
220 fixation. This is a positive feature as it reduces the chances that the answer is built  
221 into the model structure. To understand the mechanisms driving N<sub>2</sub>-fixation  
222 patterns, we compare with biogeochemical simulations from the CESM ocean  
223 component, modified to allow for variable N:P ratios in phytoplankton and organic-  
224 matter export. The CESM-simulated N<sub>2</sub>-fixation pattern is remarkably similar to the  
225 inverse-model estimate ( $R^2 = 0.47$ , cf. Figs. 3a, 5a). In both the prognostic and  
226 inverse models, N<sub>2</sub>-fixation rates are suppressed directly above the coastal and  
227 equatorial upwelling zones, with strong spatial decoupling of N<sub>2</sub> fixation and water-  
228 column denitrification associated with upwelling zones in the eastern tropical  
229 Pacific and Arabian Sea. Maximum N<sub>2</sub>-fixation rates in both models are in  
230 downstream waters, advected away from the upwelling zones. The decoupling  
231 between upwelling zones and N<sub>2</sub> fixation occurs over much larger spatial scales in  
232 the Pacific, due to iron-limitation in the offshore waters. N<sub>2</sub> fixation and the  
233 drawdown of "excess" P are hampered by iron-limitation, pushing the highest N<sub>2</sub>-  
234 fixation rates to the western tropical Pacific, where iron concentrations are higher,  
235 in line with field observations and previous modeling studies[11, 32, 43, 44].

236

237 N<sub>2</sub>-fixation patterns in CESM are driven by bottom-up limitations on diazotroph  
238 growth and top-down grazing by zooplankton. Previous studies have focused on  
239 bottom-up controls (influences of nutrients, light, and temperature) to explain  
240 global N<sub>2</sub>-fixation patterns[11, 13, 14, 43, 44]. Diazotrophs need sufficient  
241 phosphorus and iron for growth, and benefit from competitors' N-limitation. In  
242 regions with high dust-input rates in the North Atlantic and northern Indian Ocean,  
243 diazotrophs tend to be phosphorus limited, with iron availability constraining  
244 growth over much of the remaining low-latitude ocean (Fig.5b).

245 Nutrient dynamics are important controls on diazotrophy, but we also suggest a  
246 key role for grazing, based on both first principles and the CESM results.  
247 Diazotroph growth rates are inherently slower than those of key microzooplankton  
248 grazers, which have growth rates similar to the fastest growing phytoplankton[45,  
249 46]. This suggests that diazotrophs will always be in the density-dependent part of  
250 the grazing rate versus prey biomass curve, unlikely to escape grazing control and  
251 bloom. Furthermore, as diazotrophs typically account for only a small fraction of  
252 the phytoplankton community (in CESM up to ~10% of NPP in the most strongly N-  
253 limited regions and less than 3% in upwelling zones), it will be the growth of the  
254 more abundant and faster-growing, non-diazotrophic phytoplankton that  
255 determines zooplankton biomass, indirectly influencing the top-down grazing  
256 experienced by diazotrophs.

257 In CESM, diazotroph biomass is elevated and N<sub>2</sub>-fixation rates are highest in the  
258 most N-limited regions where zooplankton biomass is low (Figs. 5a, S21a). In the  
259 Indian basin, western Pacific, and coastal upwelling zones, diazotrophs are not  
260 strongly nutrient-limited (Fig.5b). Top-down grazing pressure is keeping  
261 diazotroph biomass low, despite ambient nutrient concentrations that support near-  
262 maximal growth rates. The lowest diazotroph biomass is seen in the coastal  
263 upwelling zones, where other phytoplankton groups are blooming and zooplankton  
264 biomass is elevated (Fig. S21a,c). A sensitivity experiment with the maximum  
265 grazing rate on diazotrophs reduced by a factor of 0.63, giving them the same  
266 maximum-grazing-rate to maximum-growth-rate ratio as diatoms, results in  
267 massive diazotroph blooms in the low-latitude coastal upwelling zones, boosting  
268 global N<sub>2</sub> fixation by 62% (Fig. 5c, S21b,d and SI). The high N<sub>2</sub>-fixation rates in  
269 upwelling regions in this reduced-grazing simulation are similar to the  $P^*$  estimate.  
270 Thus, the CESM results suggest grazing plays a key role in the spatial decoupling  
271 of N<sub>2</sub> fixation and denitrification, and prevents the runaway denitrification losses  
272 that could occur with high N<sub>2</sub>-fixation rates directly above the OMZs[47]. These  
273 results are not dependent on the highly-parameterized treatment of zooplankton  
274 and grazing in CESM, but should always arise in models that assume slower  
275 maximum growth rates for diazotrophic phytoplankton along with a grazing  
276 pressure similar to other phytoplankton.

277 Marine diazotrophs comprise a highly diverse group of organisms, but much of the  
278 pelagic N<sub>2</sub> fixation is thought to be by relatively slow-growing, *Trichodesmium* spp.  
279 and small unicellular diazotrophs[46]. The top-down grazing argument is  
280 particularly applicable to unicellular diazotrophs, even if only a fraction of the  
281 microzooplankton community was preying on them. *Trichodesmium* spp. may have  
282 more specialized predators, but grows extremely slowly[46,48]. Copepods were  
283 recently shown to graze on all diazotroph types present in mesocosm experiments  
284 as primary or secondary consumers, with 28% of zooplankton N biomass coming  
285 from diazotrophy[49]. More studies of grazing impacts on plankton community  
286 composition and diazotrophy are needed.  
287

## 288 **Convergent Estimates of N<sub>2</sub> Fixation**

289 Our results present convergent global estimates of marine N<sub>2</sub> fixation from two  
290 independent methods, an inverse model that infers N inputs from the observed  
291 nutrient concentrations and a prognostic Earth System Model that explicitly  
292 resolves diazotrophic growth and N<sub>2</sub>-fixation rates as a function of ambient  
293 nutrients, temperature, and light, in the context of grazing and competition. The  
294 prognostic model provides plausible mechanistic controls that lead to the N<sub>2</sub>-  
295 fixation pattern deduced by the inverse model. The export of excess fixed N from  
296 the Atlantic basin (~15 TgN/yr) shows that a substantial amount of N<sub>2</sub> fixation is  
297 geographically uncoupled from oxygen minimum zones, suggesting some  
298 stabilizing nitrogen-cycle feedbacks might be weaker and slower than previously  
299 thought.  
300

301 There is a strong similarity between the N:P of sinking organic matter inferred from  
302 the inverse model and simulated with CESM ( $R^2 = 0.76$ , Fig. S19). Both models  
303 have elevated ratios in the subtropical gyres and N:P ratios only modestly below  
304 the Redfield value elsewhere. Prior studies have imposed significantly lower N:P  
305 ratios in the more productive regions[12,13]. To capture the observed higher N:P  
306 ratios in the gyres, N:P ratios in nutrient-rich regions had to be set very low to  
307 maintain an assumed 16:1 global mean for non-diazotrophs[13]. Our results  
308 suggest that the observed nutrient distributions are not compatible with a mean  
309 N:P ratio in organic matter export of 12:1 or lower in upwelling zones. Thus, given  
310 mean N:P export ratios in the gyres above 20:1, global-mean N:P export ratio is  
311 greater than the Redfield value at 17.3:1 in our most-probable inverse estimate  
312 and 20.0:1 in CESM. The higher mean N:P ratio in sinking organic matter and  
313 excessively high, water-column denitrification (134 TgN/yr) in CESM relative to the  
314 inverse model, leads to a higher total N<sub>2</sub> fixation of 238 TgN/yr, with benthic  
315 denitrification losses of 160 TgN/yr (Fig. S17). The discrepancy is largely  
316 attributable to the coarse resolution in CESM, which does not capture the narrow,  
317 equatorial sub-surface jets critical for ventilating the OMZs[50].  
318

319 Accounting for N:P variability in organic-matter export boosts global N<sub>2</sub> fixation, by  
320 63% in the inverse model and by 50% in CESM, when compared to simulations  
321 with fixed Redfield stoichiometry (Table S7, Figs. S10, S20). Spatially-variable  
322 N:P ratios for plankton and exported organic-matter allows global marine N-cycle  
323 models to be reconciled with ocean observations, and significantly impacts the  
324 marine carbon cycle. Variable N:P ratios boost global POC export by 12% in  
325 CESM relative to fixed Redfield simulation. Earth System Models must include  
326 explicit representation of this stoichiometric variability to capture the observed  
327 biogeochemical patterns, and to accurately predict the response and feedbacks of  
328 ocean biogeochemistry to ongoing global warming.  
329

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459

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468

469 **Contributions**

470 All authors contributed to the design of the study. W.L.W. and F.W.P. built the  
471 inverse model and analyzed its results. J.K.M. performed the CESM simulations  
472 and analyzed its output. F.W.P., W.L.W. and J.K.M. wrote the manuscript. All  
473 authors participated in the discussion of the results and commented on the  
474 manuscript.

475 **Competing Interests**

476 The authors declare that they have no competing financial interests.

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480

481

482 **Biologically available nitrogen budget**

483

Basin	Input			Loss		Net
	Atm. Dep.	River Input	Microbial N Fix.	Water Col.	Sedim.	
Pacific	12.0	3.3	100.6 <sup>132.8</sup> <sub>78.9</sub>	54.6 <sup>58.1</sup> <sub>42.7</sub>	70.9 <sup>106.6</sup> <sub>48.2</sub>	-9.7 <sup>9.2</sup> <sub>19.9</sub>
Atlantic	8.3	4.4	34.0 <sup>49.5</sup> <sub>24.0</sub>	0.3 <sup>0.3</sup> <sub>0.1</sub>	31.0 <sup>45.0</sup> <sub>21.8</sub>	15.3 <sup>17.7</sup> <sub>13.6</sub>
Indian	4.5	2.9	26.6 <sup>37.2</sup> <sub>19.5</sub>	13.8 <sup>14.5</sup> <sub>12.5</sub>	22.8 <sup>34.3</sup> <sub>15.5</sub>	-2.6 <sup>-1.5</sup> <sub>-3.3</sub>
Arctic	0.4	0.4	1.8 <sup>2.9</sup> <sub>1.1</sub>	0.0 <sup>0.0</sup> <sub>0.0</sub>	5.8 <sup>7.2</sup> <sub>4.6</sub>	-3.2 <sup>-2.7</sup> <sub>-3.9</sub>
Med.	0.7	0.2	0.2 <sup>0.6</sup> <sub>0.0</sub>	0.0 <sup>0.0</sup> <sub>0.0</sub>	0.9 <sup>1.3</sup> <sub>0.7</sub>	0.2 <sup>1.0</sup> <sub>0.1</sub>
Global	25.8	11.2	163.2 <sup>222.9</sup> <sub>125.6</sub>	68.6 <sup>72.9</sup> <sub>55.8</sub>	131.5 <sup>194.5</sup> <sub>90.7</sub>	-5.7 <sup>-0.4</sup> <sub>-6.4</sub>

484

485 **Table 1:** Biologically available nitrogen budget. All numbers are in TgN/yr and  
 486 correspond to the most probable value from the posterior probability  
 487 distribution for the steady state model. The only exception is the entry for the  
 488 net global imbalance which corresponds to the most probable value for the  
 489 model in which we allowed for a slowly evolving disequilibrium. The upper and  
 490 lower limits correspond to the upper and lower bounds obtained by combining  
 491 inversions in which we relaxed the steady state assumption to allow for a  
 492 slowly decaying disequilibrium and inversions in which we scaled the rate  
 493 coefficient for sedimentary denitrification by  $\pm 20\%$ , which produced a  
 494 sedimentary to water column denitrification ratio in the range of 1.3 to 3.0 in  
 495 accord with the  $^{15}\text{N}$  isotopic constraints.

496

497

498

499 **Figure Legends**

500

501 **Figure 1:** Schematic representation of the P-cycle model (left) and N-cycle  
502 model (right). The dissolved tracers DIP, DOP, DIN, and DON are affected by  
503 advection and diffusion whereas the particulate tracers POP and PON are  
504 affected by gravitational settling. See Methods and Supplementary Information  
505 for the detailed mathematical formulation of the model and Bayesian inversion  
506 procedure.

507

508 **Figure 2: N:P ratio of exported organic material.** (a) Map of the N:P ratio of  
509 exported organic material ( $(N:P)_{exp}$ ) inferred from the inverse model. The  
510 numbers within the delineated regions correspond to the ratio of the integrated  
511 export of N to the integrated export of P. (b) Comparison of the measured N:P  
512 ratio in the stock of suspended particulate organic matter (POM) to that of the  
513 flux of exported organic matter inferred from the inverse model. (c)  
514 Comparison of the measured C:P ratio in the stock of suspended POM to that  
515 in the flux of exported organic matter. For the calculation of the P:C export  
516 ratio a C:N ratio of 106:16 is assumed. The export flux includes the  
517 contributions from both sinking POM and the downward mixing of DOM and  
518 the box plot summarizes the distribution of all  $2^\circ \times 2^\circ$  grid boxes that fall in  
519 each phosphate concentration bin. The boxplots show the 25, 50 and 75  
520 percentiles binned according to the phosphate concentration in the water. The  
521 whiskers cover 99.3 of the data with the remaining points shown with the  
522 diamond symbols. Out of 1774 POM data points 77 outliers are not shown in  
523 order to keep the vertical axis in a reasonable range. Note that the left axis for  
524 panels (b) and (c) for the P:N and P:C ratios has a linear scale whereas the  
525 right axis has a hyperbolic scale.

526

527 **Figure 3: Maps of the column integrated sources and sinks of fixed**  
528 **nitrogen.** (a) Input due to microbial  $N_2$  fixation. (b) Combined external input  
529 due to the present-day atmospheric deposition and river fluxes[24, 25]. (c)  
530 Loss due to water column denitrification and anammox. (d) Loss due to  
531 sedimentary denitrification and anammox. Note that the color scales are non-  
532 linear in order to make the lower rates visible. We note that while the spatial  
533 pattern of  $N_2$  fixation is quite robust, the pattern of water-column denitrification  
534 is rather more uncertain. See Supplementary Information for alternate patterns  
535 of water-column denitrification that are also consistent with the DIN and DIP  
536 constraints

537

538 **Figure 4: Contribution of new N to export production.** (a) Fraction of export  
539 production supported by the input of new N including microbial fixation,  
540 atmospheric deposition (present day), and river inputs. (b) Fraction of export  
541 production supported by microbial N<sub>2</sub> fixation alone.  
542

543 **Figure 5: Prognostic model simulations of diazotrophs and N<sub>2</sub> fixation.**  
544 (a) Rates of N<sub>2</sub> fixation simulated by the CESM. (b) Diazotroph growth  
545 limitation patterns. Nutrient replete is where ambient nutrients could support  
546 growth at more than 90% of the maximum growth rate. (c) Rates of N<sub>2</sub> Fixation  
547 simulated by CESM with reduced grazing pressure, similar to that experienced  
548 by the diatoms (maximum grazing rate on diazotrophs reduced by a factor of  
549 0.63).

# Methods

## Inverse model

### *Ocean Circulation*

The ocean circulation model used in this study was optimized using climatological observations of temperature, salinity, natural (pre-bomb) radiocarbon, sea surface height, phosphate, heat and fresh water fluxes, as well as the transient CFC-11 observations[20, 21].

### *Biogeochemical fluxes and transformations*

The biogeochemical conservation equations keep track of six nutrient pools, dissolved inorganic phosphorus and nitrogen (DIP & DIN), dissolved organic phosphorus and nitrogen (DOP & DON) and sinking particulate organic phosphorus and nitrogen (POP & PON).

The downward transport of P and N by the sinking and solubilization of POP and PON is treated in such a way as to produce power-law flux attenuation profiles,  $(z/z_0)^{-b}$ , with separate exponents  $b_P$  and  $b_N$  for P and N. The DOP and DON are resired back to DIP and DIN with separate rate constants  $\kappa_{dP}$  and  $\kappa_{dN}$ .

Biological production of organic phosphorus is modeled using a spatially variable uptake rate coefficient that is parameterized in terms of two adjustable parameters ( $\alpha$  and  $\beta$ ). Two additional parameters control the rate of dissolution of POP into DOP and the rate of DOP respiration ( $b_P$  and  $k_{dP}$ ). A dynamically variable N:P ratio,  $r_{N:P}$ , parameterized in terms of the local DIP concentration and two more adjustable parameters ( $A$  and  $B$ ) relates the organic-phosphorus production to the organic-nitrogen production. As is the case for organic phosphorus, two adjustable parameters, ( $b_N$  and  $k_{dN}$ ), control the rates of PON dissolution and DON respiration. We consider inputs of fixed N from N<sub>2</sub> fixation, atmospheric deposition, and rivers. Atmospheric and riverine inputs are prescribed[40, 51] and the input of N<sub>2</sub>-fixation is diagnosed from the model solution (see Methods).

The net biological production of organic phosphorus in the euphotic zone is modeled using a spatially dependent uptake rate coefficient,

$$J_P = \gamma(\mathbf{r})[DIP], \quad (1)$$

where  $\gamma(\mathbf{r})$ , is parameterized in terms of satellite-derived net primary production (NPP) and the observed phosphate concentration ( $[DIP]_{obs}$ ) using two adjustable parameters,  $\alpha$  and  $\beta$ , [Ref.S[16]], i.e.

$$\gamma(\mathbf{r}) = \begin{cases} \alpha \left[ \frac{1}{r_{C:P}} \frac{NPP(\mathbf{r})}{NPP_0} \right]^\beta & \text{if } z < z_c, \\ \frac{[DIP]_{obs}(\mathbf{r})}{[DIP]_0} & \\ 0, & \text{otherwise,} \end{cases} \quad (2)$$

In (2),  $\mathbf{r}$  denotes the position on our model grid,  $[DIP]_{obs}$  is the objectively mapped phosphate concentration from the 2013 World Ocean Atlas and  $NPP$  is the satellite-derived carbon based net primary production (MODIS CbPM)[52,53],  $r_{C:P} = 106$  for the Redfield model and  $r_{C:P} = (c_1 + c_2[DIP]_{obs})^{-1}$  with  $c_1 = 0.006$  and  $c_2 = 0.0069/(\text{mmol P/m}^3)$  for the variable stoichiometry model[23],  $z_c$  is euphotic zone depth, which is the depth of upper two model layers ( $\sim 73\text{m}$ ).  $NPP_0 = 1 \text{ mmol C m}^{-2}\text{s}^{-1}$  are used to ensure that  $\alpha$ , has dimensions of inverse time. The full mathematical formulation of the P-cycle conservation equations are given in the Supplementary Information.

The net biological production of organic nitrogen is modeled using

$$J_N = r_{N:P} J_P, \quad (3)$$

with N:P ratio parameterized in terms of the ambient DIP concentration according to

$$r_{N:P} = A + B \cdot \Theta([DIP]; [DIP]_c, \Delta), \quad (4)$$

where  $A$  and  $B$  are adjustable parameters and where  $[DIP]_c = 0.075 \text{ mmol/m}^3$  and  $\Delta = 1 \text{ mmol/m}^3$  are fixed parameters used to specify the limiter function

$$\Theta(x; x_c, \lambda) \equiv \frac{1}{2} \left[ 1 - \tanh \left( \frac{x - x_c}{\lambda} \right) \right]. \quad (5)$$

Sensitivity tests confirm that the inverse model solutions are not sensitive to  $[DIP]_c$  (Supplementary Information).

The water-column loss of fixed N is proportional to the rate of organic-carbon respiration, limited to regions where dissolved oxygen concentrations are sufficiently low. Two adjustable parameters, ( $[O_2]_c$  and  $\Delta$ ), control the shape of a limiter that ramps up the preference for nitrate over oxygen as the dominant oxidant. A third parameter, ( $k_w$ ) scales the overall reaction rate.

Benthic losses occur in the bottom grid cells of each water column. They are modeled following an empirical function[54]. We added a parameter, ( $s$ ), to scale the N-loss function, to account for uncertainties in this parameterization.

Due to the loss of fixed N in low-oxygen environments, recycled and external N sources can only support a fraction of the biological production of organic N implied by

the organic P production and local value of  $r_{N:P}$ . We therefore introduce a limiter that ramps down the uptake of DIN as its concentration approaches zero. The shape of this limiter is controlled by one last parameter ( $[DIN]_c$ ). Importantly, the limiter acts only on the drawdown of DIN and not on the production of new organic N. The difference between drawdown and production is the inferred rate of  $N_2$  fixation.

$$\begin{aligned} J_{FIX} &= \text{Net Production} - \text{Uptake} \\ &= \theta([DIN]; [DIN]_c, \Gamma) J_N, \end{aligned} \quad (6)$$

The full conservation equations are given in the Supplementary Information.

The inversion process proceeds as follows: We use the model solution for the [DIN], [DIP], and [DON] state variables to define the mean of a joint probability function that we assign to the [DIN], [DIP], and [DON] observational data (See Supplementary Information). This probability function is conditioned on the model's parameters. We then use Bayes' rule to invert the probability function, to obtain the posterior probability for the unknown parameters conditioned on the known data. Finally, we draw samples from the posterior parameter distribution, which we then feed into the model equations to generate a probabilistic sample of the unobserved geochemical rates of interest. To make the computation feasible, we approximate the posterior probability for the parameters using a multivariate normal distribution. Because the normal approximation is poor for the benthic scaling parameter  $s$  and the critical oxygen concentration,  $[O_2]_c$ , we separate the analysis into two levels of inference. At the first level we find the conditional probability of the parameters conditioned on  $s$  and  $[O_2]_c$ . At the second level of inference we vary  $s$  and  $[O_2]_c$  and compare the relative posterior probability for these parameters after marginalizing out all the other unknown parameters. Separating the analysis in this way has the advantage of making the parameter optimization more efficient and allows us to directly analyze the marginal sensitivity of our inferences to the overall benthic N loss rate and to the overall water-column N loss rates.

Even if we can neglect the uncertainty due to the missing seasonal cycle, there is still the possibility that the system is not in steady state. There is likely strong variability over shorter timescales impacted by ENSO events and inter-annual variability in climate forcings[41]. Our inverse model results must therefore be viewed as a climatological estimate that averages over this type of variability. But there could also be weak longer term trends in the nutrient fields that invalidate the steady-state assumption. To address this issue we augmented the model's list of adjustable parameters to include the amplitudes of the 263 eigenmodes of the linearized model that have e-folding decay timescales of 20 years or more (Supplementary Information). The long decay timescale of these modes would make them difficult to detect in a time-series that is only a few decades long. Furthermore, because these modes are only weakly damped they are more likely to become excited by short term variability[41]. We then jointly re-optimized

the full list of parameters. The inclusion of the extra degrees of freedom had only a modest impact on the optimal values for most of the biogeochemical parameters. The only exceptions were for  $b_P$ , whose value decreased by 16% implying a deeper dissolution of POP, and  $\kappa_{dP}$  whose value increased fivefold, implying a very rapid remineralization of DOP. The impact on the inferred large-scale patterns of  $N_2$  fixation due to these changes was small as were the changes to the basin wide budgets (Tables S3).

### Prognostic CESM model simulations

The prognostic nitrogen cycle simulations were conducted using a modified version of the ocean component of the Community Earth System Model (CESM)[55, 56]. The model includes representations of four phytoplankton functional groups (diatoms, diazotrophs, calcifiers, and smaller pico- to nano-sized phytoplankton). The model also includes multiple potentially growth-limiting nutrients (nitrogen, phosphorus, iron, and silicon). It has been well validated[55,56] and is often a component of CESM climate simulations[57,58]. The version of the model used here was modified to include variable plankton phosphorus cell quotas, in response to ambient phosphate concentrations after Ref.[23], but with a minimum N:P ratio imposed, and to allow for variable N:P in the sinking particulate export. These modifications were included in CESM2. The CESM model results correspond to the average of the last 20 years of a 310-year simulation. More details are given in the Supplementary Methods.

### Comparison of $(N:P)_{exp}$ to N:P of suspended POM

The particulate organic matter data used for the comparison between the N:P of suspended particulate organic matter with the inferred N:P of exported organic matter shown in Fig. 2 is from [Ref. 59].

### Method References.

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## Data Availability Statement

The code for the inverse model is available at [DOI: 10.5281/zenodo.2020377](https://doi.org/10.5281/zenodo.2020377). The optimized tracer transport operator used to run the inverse model is available upon request by contacting F.W.P. ([fprimeau@uci.edu](mailto:fprimeau@uci.edu)). All other data used to constrain the inverse model are publicly available (see Supplementary Materials). The particulate organic matter data used for the comparison between the N:P of suspended particulate organic matter with the inferred N:P of exported organic matter shown in Fig. 2 is from [Ref. 59]. The model output for generating all the other figures is available upon request.