

1 **Metabolic gene clusters, fungal diversity, and the generation of accessory functions**

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17 **Abstract**

18 Ecological interactions are largely determined by adaptive traits deemed "accessory". In
19 plants, fungi, and bacteria, such traits mainly comprise metabolic pathways that produce or
20 transform diverse molecules. While accessory metabolic pathways are pervasive, it is often
21 difficult to identify their genetic bases. Recently, in-depth descriptions of metabolic gene
22 clusters (MGCs), which encode discrete metabolic pathways, have greatly simplified the
23 characterization of genotype-phenotype maps, yet questions of how this genome architecture
24 relates to the evolution of accessory functions remain. Fungi are uniquely positioned to
25 spearhead investigations into these dynamics because they display gradients in clustering
26 across pathways and taxa. This review will focus on the role of MGCs as both agents and
27 consequences of the accessory function evolution that underpins fungal diversification.

45 **MGCs: repositories of accessory metabolic functions**

46 Accessory metabolic functions underpin ecological adaptation and innovation in plants,
47 fungi, and bacteria by determining the outcomes of organismal interactions [1]. Chemical
48 defenses, for example, enable these organisms to overcome competition and resist predation
49 despite their limited mobility. Nutritionally adaptive metabolic pathways can facilitate the
50 breakdown of challenging substrates or protect digested resources from competitors. The
51 accessory metabolites of complex organisms further define the adaptive microbial communities
52 with which they associate [2]. However, there is a general lack of knowledge about the
53 individual genes responsible for these phenotypes. This problem has been partially addressed
54 by investigations at the -omics scale, e.g. inferring and describing networks of accessory genes
55 whose expression or distributions are associated with ecologically important phenotypes [3,4].

56 Genome structure provides an alternative window into accessory metabolic functions.
57 Specifically, accessory metabolisms are frequently encoded by Metabolic Gene Clusters (MGCs)
58 composed of neighboring, coordinately-expressed genes participating in discrete metabolic
59 pathways, often accompanied by supportive functions like regulation, transport, and self-
60 protection from the encoded phenotype [5, 6]. Because genes cluster in response to natural
61 selection, MGCs are effective proxies of adaptive metabolic functions, and can therefore greatly
62 accelerate the discovery and characterization of accessory pathways contributing to ecological
63 phenotypes [7]. Convergent origins and interspecies exchanges of MGCs can also indicate
64 selection on the accessory functions they encode [8, 9, 10]. Further, the ecology and evolution
65 of MGCs can be inferred independently of the genetic mechanisms and traits they encode,
66 enabling large-scale modeling of chemo-diversification processes [11].

67 One way to identify accessory function-encoding MGCs is by conducting targeted
68 searches using probabilistic models trained on previously identified clusters. AntiSMASH and
69 the associated ClusterFinder module are general-purpose tools for mining biosynthetic MGCs
70 by similarities in gene sequence, cluster composition, and the spatial distributions of protein
71 family domains in genomes [11, 12]. These tools can be used to identify the genetic basis of real
72 and predicted natural products [13] and further compare potential chemodiversity across
73 fungal populations and lineages [14, 15]. Because such programs use models trained on known
74 pathways/MGCs from a limited set of fungi, bacteria, and plants, “untargeted” cluster
75 prediction methods that use other evolutionary or ecological criteria for identifying gene
76 clusters may be needed to uncover new types of metabolism. Some innovative untargeted
77 approaches include searching for co-location of genes with similar promoter motifs [16], shared
78 evolutionary histories [17], or linkage shared by unexpectedly divergent species [18, 19, 20].
79 The prediction of biosynthetic MGCs has been the major focus of genome mining initiatives to
80 date, though catabolic MGC discovery is poised to follow suit [18].

81
82 **MGCs interact with the drivers and constraints of accessory function evolution**

83 Genes for accessory functions can arise through vertical gene duplication (VGD-
84 duplication of a locus within a genome, inherited by offspring), horizontal gene transfer (HGT-
85 duplication from a locus in one genome to a locus in another)(Figure 1), or *de novo* gene
86 evolution [21]. Genes that already perform accessory roles, such as dedicated secondary
87 metabolism structural genes (Nonribosomal Peptide Synthetases, Polyketide Synthetases, etc.)
88 may be the main source of novel accessory genes. Truly novel metabolism also emerges

89 through duplication of core metabolic genes [22, 23], but it is difficult to distinguish such
90 accessory genes from the core genes from which they are derived purely based on amino acid
91 sequence. MGCs are hotspots for VGD and HGT [24]. These closely-related information copying
92 processes remove the evolutionary constraints that were imposed on the original genes by
93 genetic networks under natural selection; they facilitate adaptive evolution by allowing
94 partitioning of ancestral functions (subfunctionalization) or the emergence of novel functions
95 [25, 26, 27]. HGT of gene modules and MGCs may further impact organismal fitness by copying
96 environmentally adaptive functions into novel genetic backgrounds [9, 28, 29]. A propensity for
97 either type of gene duplication can increase an organism's latent capacity to evolve and
98 elaborate on accessory functions [30]. HGT of MGCs and multigene modules they contain may
99 further accelerate adaptation by bypassing fitness valleys (e.g. toxic transitional states)
100 encountered during stepwise addition of genes to a genome [5, 31].

101 **Linkage, specialization, and the generation of adaptive accessory functions**

102 MGCs provide a framework for testing outstanding hypotheses in evolutionary biology
103 concerning the origins of adaptive phenotypes. For example, enzyme activity by default is
104 promiscuous, which furnishes important variation for evolution of accessory functions, but
105 carries the burden of pathway inefficiency and the potential for toxic interference among
106 pathways [32]. Specialization, in contrast, entails functional optimization and metabolic
107 efficiency, but decreases the overall evolutionary potential of the specialized genes [32]. MGCs
108 may mediate some of these trade-offs by enabling coordination of enzyme function and
109 regulation, reducing their interference with other metabolic processes, while still maintaining
110 promiscuous functions [8]. By decreasing the fitness costs of functionally linked accessory
111 genes, clustering preserves adaptive gene combinations and facilitates their joint incorporation
112 into new phenotypic contexts, accelerating the emergence of accessory function [33].
113 Differential rates of degeneration and loss among protein functional classes within MGCs lend
114 further insight into the process of adaptive specialization. Recent studies have demonstrated
115 that enzymes are preferentially lost from MGCs while regulators and transporters are
116 selectively retained [34, 35], suggesting specialization could result in enzymatic "dead ends",
117 and that continued innovation requires a regular replenishment of enzymes from conserved or
118 promiscuous functions. The ability to generate and preserve functional linkages in gene clusters
119 would thus impact an organism's ability to generate and incorporate accessory diversity (i.e. its
120 evolvability)[36].
121

122 **Variation in clustering provides insight into the mechanisms generating accessory functions**

123 Fungi are uniquely positioned to elucidate the mechanisms generating accessory
124 functions and MGC diversity because their known genomic diversity captures variation in
125 clustering across metabolic pathways and across lineages in ways that bacteria, with highly
126 clustered genomes, and plants, with few clusters in their genomes, do not (Figure 1).
127 Specifically, there are significant differences in clustering rates between the two filamentous
128 fungal subphyla, Pezizomycotina and Agaricomycotina. While hundreds of MGCs have been
129 identified in Pezizomycotina, there are only five Agaricomycotina pathways deposited in the
130 MIBiG database v1.4 (mibig.secondarymetabolites.org/repository.html), and only a few more
131 can be found in the literature (Table 1). The MGCs identified in Pezizomycotina fungi further

133 differ in compositional complexity and the metabolism encoded compared to those identified
134 in Agaricomycotina (Table 1). Known or predicted Agaricomycotina clusters are small, and
135 contain recently duplicated genes, compared to those in Pezizomycotina, which cover a large
136 range of sizes and are compositionally more diverse [37]. Additionally, Agaricomycete
137 biosynthetic pathways employ parallel processes at specific metabolic steps and in the classes
138 of compounds produced [38]. These distinctions suggest accessory metabolism and MGCs in
139 Agaricomycotina have commonalities with those of plants [39], while the genomic structure of
140 accessory metabolism in Pezizomycotina is more similar to bacteria [11]. This overlap
141 complicates assumptions about cluster evolution, where tandem duplications provide a neutral
142 evolutionary mechanism for cluster formation in eukaryotes, and the tendency for genes to be
143 transcribed in operons offers a regulatory mechanism selecting for clustering in bacteria. By
144 providing a range of compositional complexity, but with limited operon-like transcription, the
145 spectrum of fungal gene clustering forces attention to other evolutionary mechanisms driving
146 gene clustering.

147 To illustrate these trends (Figure 1), certain cluster-encoded metabolisms, such as those
148 based on highly modular nonribosomal peptide synthetase enzymes, along with numerous
149 supporting enzymes, transporters and regulators, are largely restricted to morphologically
150 simple fungi and bacteria [37, 40]. For example, Pezizomycotina have an average of ~10 NRPS
151 containing clusters per genome versus 1.2 in Agaricomycotina. Interestingly, mushroom-
152 forming fungi still produce non-protein peptide metabolites with ribosomally generated
153 oligopeptides encoded in high copy number MSDIN genes, whose products diversify through
154 simple coding mutations [41]. Terpenoid biosynthesis, where biologically meaningful diversity
155 can be readily generated through small modifications and high enzyme promiscuity, so far also
156 seems to be over-represented in morphologically complex fungi and plants [37, 42]. The
157 difference in genome architecture among fungi is especially pronounced in phenolic
158 degradation. While putative phenolic degradation clusters are diverse and complex across
159 Pezizomycotina [18], in Agaricomycotina, most such clustering is the result of tandem
160 duplications and few putative clusters contain more than a single gene family [43].
161 Disentangling the roles of species-specific metabolism and lineage-based differences in genome
162 evolution mechanisms will become more feasible with greater genome sampling and ecological
163 knowledge of fungi.

164

165 **Life history traits and genome architecture theory predict a clustering gradient across fungi**

166 It is not entirely clear whether the absolute numbers of clusters identified in the
167 respective lineages reflect differences in propensity to cluster, or if different cluster
168 compositions in Agaricomycotina leave them less likely to be detected using biased models and
169 methods. Nonetheless, the variation we observe is predicted from complex interactions
170 between migration, recombination rates, and selection [44], which differ between fungal
171 subphyla as a result of contrasting reproductive strategies, generation times, and ecological
172 lifestyles. Under strong selection and sufficient gene flow, clustered architectures are favored,
173 because they prevent the influx of maladaptive alleles and preserve the fitness of locally
174 adapted genotypes [44]. For example, when genes participate in the same specialized pathway,
175 clusters reduce recombination between differently optimized enzymes that would be
176 detrimental to their cooperation in metabolic transformations.

177 The mating strategies of individual organisms may strongly influence genome
178 architecture, the rates of VGD and HGT, and the diversity of accessory functions (Figure 1). For
179 example, many bacteria and fungi participate in large "pan-genomes" containing far greater
180 diversity than can be contained in a single individual. Sharing in pan-genomes is facilitated by
181 mobile elements and unequal recombination mechanisms, and gives access to diverse gene
182 families, gene combinations, and sequence variants. In these types of populations, clusters are
183 more prevalent and they enable the parallel diversification of accessory functions across
184 numerous genomes under diverse environmental selection. In this way, pan-genomes result in
185 varied assortments of discrete pathways in individual genomes. By contrast, fungi and plants
186 that participate in smaller pan-genomes, will have more limited access to gene variants and
187 variable gene combinations, resulting in a smaller number of highly branched and parallel
188 pathways within individual lineages. Pathways in these organisms are constrained to evolve
189 primarily through VGD and intragenomic mutation. Differences in the types of metabolic
190 diversity and in the composition of MGCs found across bacteria, fungi, and plants are consistent
191 with these processes, but specific tests remain to be done.

192 Ecology is similarly seen as a driver of reproductive strategies that impact selection on
193 genome architecture (Figure 2). Tree-decaying Agaricomycotina often have large, long-lived
194 somatic biomasses with rare, periodic meiotic sexual reproduction. In contrast, the typically
195 small units of rapidly sporulating Pezizomycotina commonly engage in clonal reproduction and
196 self-fertilization, in addition to sexual reproduction. Pervasive, non-meiotic recombination
197 (including parasexuality) in Pezizomycotina [45], similar to bacteria, may explain their
198 substantial pan-genomes and elevated gene flow between populations and across species
199 barriers. The increased proclivity for parasexuality may also favor non-homologous
200 recombination and structural rearrangements of large linkage blocks (even entire
201 chromosomes) that repress recombination. Repression of recombination frees nascent gene
202 clusters to accumulate transposed genes and to drift while becoming selected as a block,
203 effectively acting as a superallele in a population's pan-genome [46, 47]. Indeed, a number of
204 recent studies have confirmed the existence of "idiomorphic" MGC loci in Pezizomycotina fungi,
205 where variably distributed MGCs occupy the same genomic region in different individuals [14,
206 48, 49, 50, 51]. Supernumerary (dispensible) chromosomes are an extreme example of
207 parasexual/horizontal inheritance of co-adapted blocks of accessory functions. HGT of
208 supernumerary chromosomes in *Fusarium* has been shown to enable infection of a new host by
209 conferring multiple adaptive functions [52]. Decreased propensity for genomic rearrangements
210 and constraints on gene flow in Agaricomycotina due to sexual recombination may relax the
211 drive to cluster in this lineage compared with Pezizomycotina, as decreased population-level
212 rates of small-scale genomic rearrangements are also associated with decreased rates of
213 clustering [53]. Intriguingly, ecological selection for self-mating appears to drive formation of
214 mating locus clusters, which relaxes some evolutionary constraints imposed on predominantly
215 sexual populations [54, 55].

216 One line of evidence supporting the hypothesis that the spectrum of lifestyles and
217 reproductive strategies of fungi impacts patterns of metabolic diversification is the rate of MGC
218 horizontal duplication. It is notable that to date, while large HGTs of MGCs are more commonly
219 inferred in Pezizomycotina (commensurate with higher HGT in general [24]), the only published
220 case of MGC HGT in Agaricomycotina involves dung-decay mushrooms. Dung fungi are driven to

221 inbreed and reproduce more rapidly due to the patchiness and ephemeral nature of this
222 resource [56]. The case of HGT of the psilocybin cluster among dung-decay fungi [10], contrasts
223 with the other evolutionarily well-characterized Agaricomycotina MGC for luciferin, which
224 evolves through concerted VGD and loss [57]. However, these hypotheses need to be rigorously
225 tested.

226 Competition among osmotrophs is thought to be the main driver of the diversification of
227 accessory pathways [58]. Interestingly the genomes of fungus-like oomycetes (stramenopiles)
228 are structured more similarly to plants, with extensive tandem gene duplication, but few gene
229 clusters and little accessory metabolism [59, 60]. While oomycetes are similar to fungi in
230 physiology, and their range of ecological roles, they differ by having a dominant diploid stage of
231 their life-cycles, suggesting reproductive strategies as well as nutritional mode are important in
232 genome architecture.

233

234 **A single gene family illustrates different evolutionary processes among fungal lineages**

235 Different patterns of accessory enzyme diversification in Pezizomycotina and
236 Agaricomycotina exemplify the interplay between accessory functions and gene clustering. For
237 example, phylogenetic analysis supports the emergence of single-domain MT-33 N-
238 methyltransferases by partial duplication of bifunctional ergothioneine synthases in both
239 lineages [61]. These enzymes alternately participate in ergotamine toxin (Pezizomycotina) and
240 methylated tryptophan biosynthesis (Agaricomycotina). Across Agaricomycotina, there have
241 been many recent origins of these enzymes by VGD, and the only observed ancient paralog has
242 itself been subject to ongoing loss. Furthermore, there are no indications that these accessory
243 paralogs are in MGCs or horizontally transferred in Agaricomycotina. In Pezizomycotina, by
244 contrast, while these N-methyltransferases perform a similar function N-methylating indole
245 alkaloids, they have rarely emerged by VGD, and they appear to disperse by HGT as part of
246 complex MGCs [61, 62]. In short, these novel enzymes appear constrained to evolve simple
247 peripheral functions through VGD in isolated lineages of Agaricomycotina, but participate in
248 complex clustered pathways that are shared across species through HGT in Pezizomycotina.

249

250 **MGC distributions imply ecological functions**

251 The ecological impact of accessory functions in microorganisms can be difficult to
252 discern because species may be opportunistic on the substrate of their isolation, and the genes
253 encoding these functions are often unknown [63]. Equally confounding, sub-populations of a
254 species may be specialized for alternative cryptic niches. MGCs can help disentangle the
255 importance of specific functions for ecological fitness because they enable the rapid
256 identification of genes and gene families of interest contributing to specific phenotypes. For
257 example, the biased distribution and HGT of clustered genes involved in psilocybin production
258 among dung- and insect- associated fungi, coupled with the known effects of psilocybin on
259 serotonin-receptors, led to speculation that this tryptamine alkaloid reduces mycophagy by
260 insects by interfering with neural signaling. Subsequent detection of psilocybin (by a likely a
261 convergent psilocybin pathway) in cicada parasitizing fungi provided additional evidence that
262 this compound is selected for its effects on insects [64]. Similarly, the identification of a MGC
263 found previously in pathogens of woody plants enabled a reverse ecology approach to
264 discovering new hosts for several grass pathogens [7]. And finally, targeted and untargeted

265 searches for MGCs have further revealed previously unexplored dimensions of accessory
266 function evolution and led to the proposal of their ecological associations [18].
267

268 **Summary**

269 Accessory functions are the essence of ecological adaptation and innovation, yet they
270 often resist characterization. Genome architecture-focused approaches, such as MGC mining
271 and characterization, promise a relatively straightforward path to cut through genomic noise
272 and decipher ecological relationships in parallel with molecular functions [63, 65]. Although
273 MGCs are observed across all major Kingdoms of life, the diversity of fungal mating strategies
274 and lifestyles is likely responsible for generating fungal-specific variation in clustering rates,
275 positioning fungi and their MGCs as model systems for linking specific ecological, demographic,
276 and genetic processes with the generation of accessory metabolic functions (Figure 2). In
277 particular, elucidating the relative impact of vertical and horizontal gene family expansion (VGD
278 and HGT) on different strategies for metabolic diversification should greatly improve our ability
279 to explain how, when, and why accessory functions evolve.
280

281 **Figure Legends**

282
283 *Figure 1: The spectrum of accessory metabolic compartmentalization and diversification.*
284 Bacteria, Ascomycetes, Basidiomycetes, and Plants (shown as silhouettes) capture gradients in
285 accessory metabolic diversification and the storage of accessory metabolic functions within and
286 across individuals. Moving from left to right, pan-genomes become progressively closed (i.e.,
287 less variable among individuals), genomic architectures feature fewer metabolic gene clusters
288 encoding accessory functions, and individual genomes transition from encoding many different
289 specialized accessory pathways to few highly diversified pathways. The major candidate
290 mechanisms contributing to the gradients are shown below, and are expected to manifest to
291 different degrees in each of the depicted lineages.
292

293 *Figure 2: The eco-evolutionary dynamics of genome architecture.* Ecological selection is the
294 overarching force shaping the evolution of accessory functions, acting through evolutionary
295 processes operating at multiple scales, as discussed in the text. Ecological selection pressures
296 determine the most adaptive population dynamics, including mating/reproduction strategies,
297 optimal effective population size (N_E), and migration rates. In turn, the spectra of these
298 population dynamics determine the mechanisms of genome evolution and metabolic
299 diversification that are ultimately available for generating adaptive variation. Recombination
300 mechanisms and streamlining vary across different life-history strategies and result in the types
301 of evolvability favored and the size of pan-genomes (Figure 1). These drivers simultaneously
302 favor different modes of metabolic diversification such as the combination of gene functions in
303 novel pathways, and their differential optimization/specialization. Contrasting patterns of
304 genome architecture (e.g., gene clustering vs. gene family expansion) are tangible outcomes of
305 interactions among these processes (Figure 1), but they may also feed back on and influence
306 the impact of the main evolutionary drivers. For example, gene clustering favors HGT and
307 pathway specialization, which can mitigate competition and increase access to resources.
308

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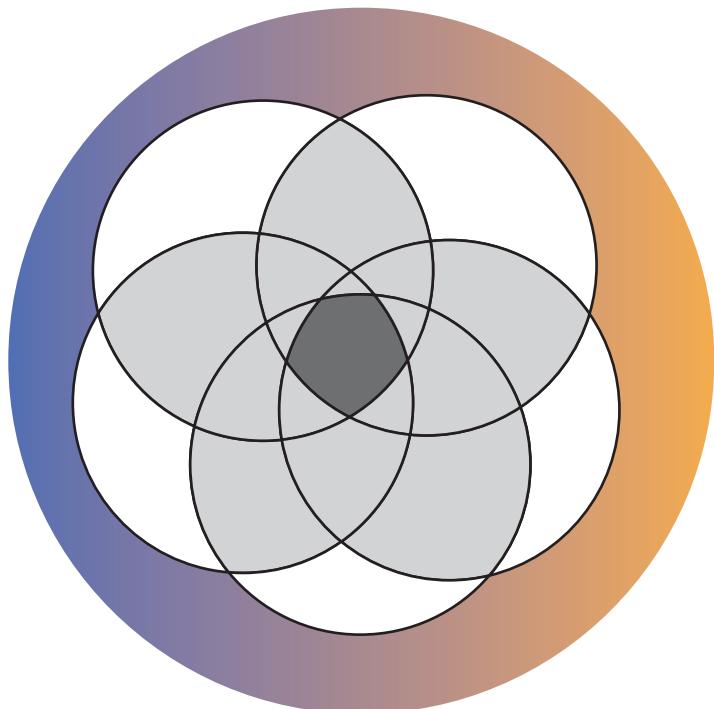
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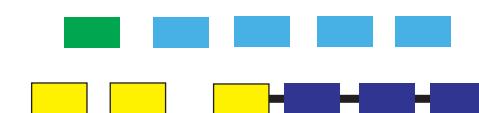
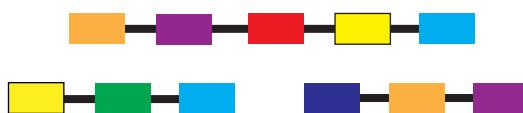
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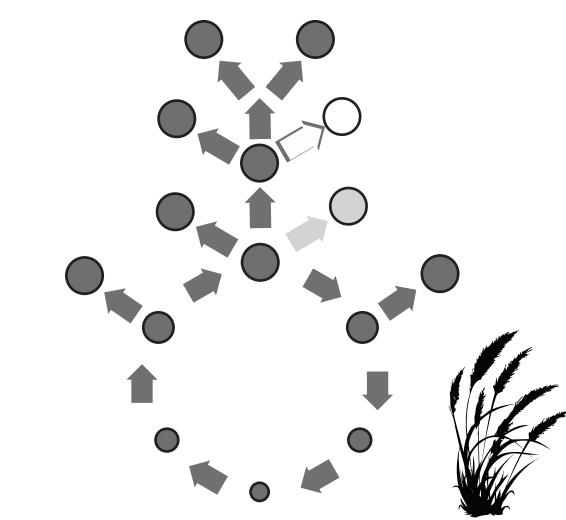
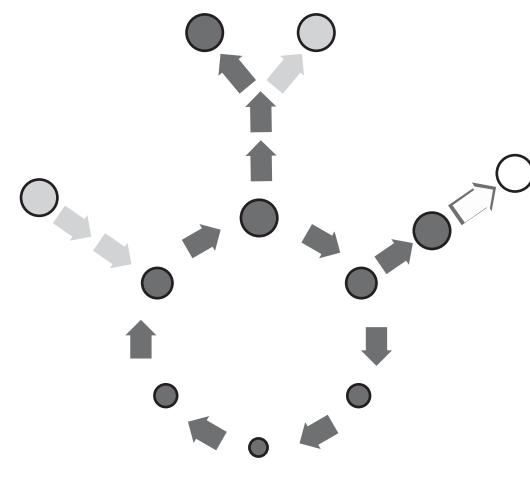
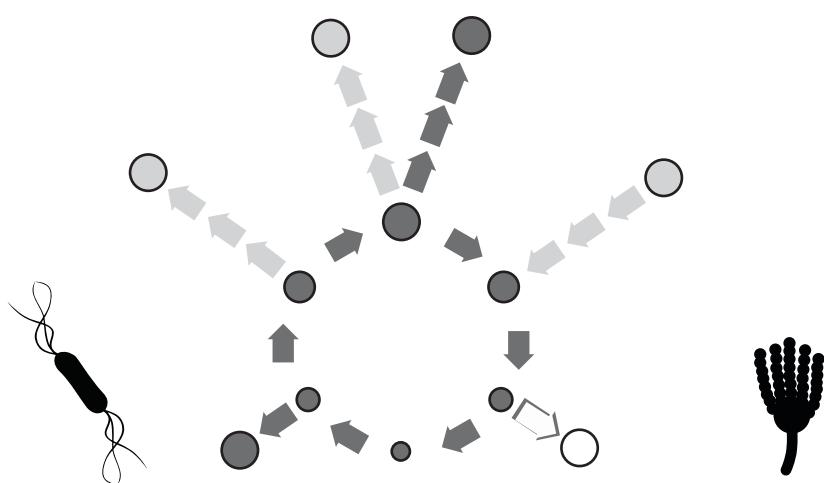
Pan-genome partitioning



Genomic architecture



Accessory metabolism



Mechanisms

Natural Selection

Horizontal Gene Transfer

Vertical Gene Duplication

Meiotic Sex

Non-meiotic Sex

ECOLOGY

predation

resource abundance

POPULATION DYNAMICS

N_E

competition

mating/reproductive
strategies

GENOME EVOLUTION

pan-genome

streamlining

recombination

evolvability

GENOME ARCHITECTURE

combinatorial
specialization

multifunction
promiscuity

migration rate

METABOLIC DIVERSIFICATION

resource distribution

clustering \leftrightarrow gene family
expansion

