

Real Time Control Schemes for Improving Water Quality From Bioretention Cells

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Abstract

11 Extreme weather and the proliferation of impervious areas in urban watersheds increases
12 the frequency of flood events and deepens water quality concerns. Bioretention is a type of green
13 infrastructure practice developed to mitigate these impacts by reducing peak flows, runoff volume,
14 and nutrient loads in stormwater. However, studies have shown inconsistency in the ability of
15 bioretention to manage some pollutants, particularly some forms of nitrogen. Innovative sensor
16 and control technologies are being tested to actively manage urban stormwater, primarily in open
17 water stormwater systems such as wet ponds. Through these cyber-physical controls, it may be
18 possible to optimize storage time and/or soil moisture dynamics within bioretention cells to create
19 more favorable conditions for water quality improvements. A column study testing the influence
20 of active control on bioretention system performance was conducted over a nine-week period.
21 Active control columns were regulated based on either maintaining a specific water level or soil

22 moisture content and were compared to free draining and internal water storage standards. Actively
23 controlled bioretention columns performed similarly, with the soil moisture-based control showing
24 the best performance with over 86% removal of metals and TSS while also exhibiting the highest
25 ammonium removal (43%) and second highest nitrate removal (74%). While all column types
26 showed mostly similar TSS and metal removal trends (median 94 and 98%, respectively),
27 traditionally free draining and internal water storage configurations promoted aerobic and
28 anaerobic processes, respectively, which suggests that actively controlled systems have greater
29 potential for targeting both processes. The results suggest that active controls can improve upon
30 standard bioretention designs, but further optimization is required to balance the water quality
31 benefits gained by retention time against storage needs for impending storms.

32

33 **Keywords:** stormwater, bioretention, biofilter, real time control, water quality

34

35 **Introduction**

36 Degradation of urban waterways has caused poor water quality and a decline in ecosystem
37 services worldwide. Stormwater is one major source of impairment for urban systems, leading
38 watershed managers to seek mitigation strategies (USEPA, 2016). As such, the use of green
39 infrastructure (a principal component of Water Sensitive Urban Design) has become more
40 prevalent for treating stormwater runoff before its release into larger stream systems due to its
41 holistic social, ecological, and hydrological benefits (Fletcher et al., 2015; Larsen et al., 2016). In
42 particular, bioretention cells (also known as bioretention areas or biofilters) have shown promise
43 for reducing the effects of stormwater pollution on urban waterways. Bioretention cells are

44 designed to replicate natural environmental processing. Using permeable soil media and native
45 plant species, they incorporate infiltration and various pollution removal mechanisms to reduce
46 both the volume and pollutant concentrations in stormwater runoff. Bioretention practices have
47 shown the ability to significantly reduce nutrient, metal, and pathogenic bacteria concentrations in
48 urban runoff. (Henderson et al., 2007; Hunt et al., 2008; B. E. Hatt et al., 2009; Hathaway & Hunt,
49 2012).

50 The interactions between media, plants, and microbes are a primary source of research in
51 literature when seeking to understand bioretention function (Hunt et al., 2012; Glaister et al., 2017;
52 Yan et al., 2017). Variations in bioretention design have been used to optimize functionality of
53 these systems by changing these interactions. Free draining (FD) bioretention systems, a common
54 first-generation design, have shown good success, but often are dominated by aerobic conditions
55 and lack the ability to consistently perform both nitrification and denitrification (other than in
56 internal microsites)(Hunt et al., 2012; Laurenson et al., 2013; Tang & Tian, 2016; McPhillips et
57 al., 2018). Implementation of internal water storage (IWS) zones have been used in an attempt to
58 allow both aerobic and anaerobic environments to promote nitrification and denitrification
59 processes, however, there is the potential for lost storage capacity with these systems depending
60 on the underlying soil infiltration rate (Dietz & Clausen, 2005; Li et al., 2014; Waller et al., 2018).
61 Both designs, while generally successful, are static. That is, they cannot adapt to changing
62 conditions, or switch between storing and releasing water to optimize runoff reduction and water
63 quality performance.

64 The optimal operation of bioretention systems is largely site-specific because no two areas
65 are under the same environmental stressors. Further, extreme weather events may necessitate
66 occasional deviations in operation to accommodate large volumes of water. Active control systems

67 have been recently studied to manage stormwater systems using networks of valves and sensors
68 (Mullapudi et al., 2017; Mullapudi et al., 2018). They direct stormwater flows in and out of a
69 watershed network to mitigate flood effects in cities (Parolari et al., 2018), however, research has
70 typically focused on ponds and other storage systems. The effects of active controls on bioretention
71 cells have only recently been considered for the purposes of water harvesting with a focus on
72 indicator bacteria reduction (Shen et al., submitted). Using active control systems to optimize
73 bioretention function has the potential to allow consideration of sometimes conflicting objectives,
74 but also creates a dynamic environment for soils, plants, and microbes that is unexplored in this
75 cyber-physical context and may have unintended consequences.

76 Adding active control to the already dynamic bioretention environment poses some
77 challenges, but also provides opportunities. Drying and wetting cycles are an unavoidable and
78 highly influential component of bioretention cell function and can lead to inefficiencies in
79 performance (Manka et al., 2016). Drying periods affect soil structure and biological processes
80 which can lead to metals export, microbial dormancy, and lowered water holding capacity of a soil
81 (Blecken et al., 2009; Laurenson et al., 2013). When dry periods end and a storm event channels
82 stormwater into a treatment area, a flushing effect is observed. Drying periods cause mineralization
83 and exposure of previously unavailable organic matter in soils which cannot be sufficiently
84 processed by microbes due to their inactivity in dry periods; although some microbial communities
85 have developed resistances to dry climate conditions (Zhou et al., 2016; Salazar et al., 2018). The
86 result is a nutrient export once wet conditions arise (Vangestel et al., 1993; Pulleman & Tietema,
87 1999). Drying and wetting cycles also alter soil respiration rates which can increase or decrease
88 depending on soil type (Fierer & Schimel, 2002). Lowered soil respiration occurs once a
89 bioretention media is constantly inundated with moisture which prohibits plant root access to

90 oxygen or facilitation of nutrient uptake, and leads to die off (Colmer, 2003; Payne et al., 2014).
91 This further reduces the efficiency of bioretention areas. Active controls can both exacerbate these
92 effects, for instance if water is released based on forecasted rainfall that doesn't occur or can
93 improve these conditions if the outlet is managed to maintain a more consistent soil moisture
94 regime.

95 This research aims to improve the understanding of how the performance of bioretention
96 systems can be improved using active control to regulate operating conditions. This work
97 highlights the benefits and tradeoffs of active controls in comparison to traditional bioretention
98 designs. By comparing two standard passive bioretention designs to two active control strategies,
99 the objectives are to (1) quantify and examine metal and nutrient removal from the four treatments,
100 and (2) investigate and compare the performance of the two active control schemes.

101

102 **Methods**

103 **Bioretention Column Design**

104 The experiment was designed to mimic traditional operational conditions of bioretention
105 in the United States whereby impermeable liners are uncommon, thus captured runoff is allowed
106 to exfiltrate the system (i.e. seepage) at a rate consistent with the in-situ soil infiltration capacity.
107 The columns were constructed using 30 cm diameter gray PVC with both a small valve to mimic
108 seepage (seepage outlet) and an underdrain on the bottom of each column to allow drainage.
109 Seepage outlets were adjusted to mimic an infiltration rate of 0.20 cm/hr (in the range of a clay
110 soil type) and were frequently maintained to avoid biological fouling. The interior of each column
111 was sanded to minimize the effects of preferential flow. Columns were filled using layers of gravel

112 (washed #57 stone), washed pea gravel, sand, bioretention media and mulch with a 10-centimeter
113 ponding zone (Figure 1). The composition of the bioretention media was 85-88% sand, 10%
114 clay/fines, and 2-3% organic matter, consistent with design suggestions in the United States for
115 Tennessee and North Carolina (TSM, 2015; NCDEQ, 2018). Each column contained one
116 *Echinacea purpurea* (purple coneflower) and one *Juncus effuses* (common rush). Bioretention
117 columns were kept in a climate-controlled greenhouse where temperatures were maintained at
118 seasonal averages (15-27°C).

119 Four outlet configurations were tested with five replicates being used for each
120 configuration, a total of 20 columns (Figure 1). Configuration one was traditional free drainage
121 (FD), where the underdrain provided unobstructed drainage from the column (i.e. drained via
122 gravity). The second configuration was internal water storage (IWS, also known as a Submerged
123 Zone), where a submerged zone of 45 centimeters was present in the bottom of the column and
124 regulated by an upturned elbow in the piping. The remaining two configurations were actively
125 managed using automated, remotely controlled, ball valves based on two experimental active
126 control schemes. Both configurations relied on historic rainfall data and historic rainfall
127 predictions as described in the Experimental Procedure.

128 For configuration three (SM, Soil Moisture), the system valve was opened and closed as
129 needed to maintain, to the degree possible, field capacity in the column soils based on real time
130 monitoring data and rainfall predictions (See Monitoring Description below). Field capacity was
131 used as a target in this study because it is the optimal moisture level to facilitate microbial activity
132 (Barros et al., 1995). Finally, configuration four (VC, Volume Control) involved use of a level
133 controller to maintain water storage levels at 30 centimeters based on continuous monitoring and
134 rainfall predictions as further described in the Experimental Procedure. A lower water storage level

135 allowed the opportunity to test the ability of the active control to achieve similar water quality
136 performance to IWS despite the smaller internal storage depth.

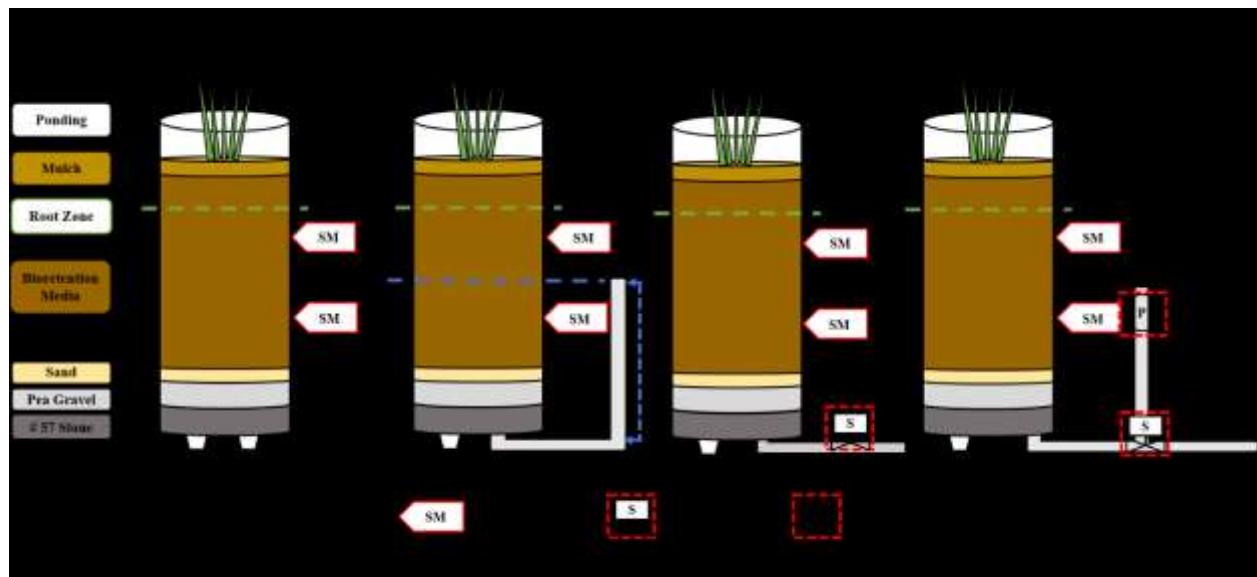


Figure 1. Column design configurations

137

138 **Bioretention Column Monitoring**

139 Decagon GS1 soil moisture sensors were buried in each column at depths of 30.5 and 61
140 cm from the top of the media. The sensors were calibrated in the Department of Civil and
141 Environmental Engineering hydraulics laboratory by incrementally saturating a known volume of
142 bioretention media and recording raw sensor readings (a method consistent with manufacturer
143 suggestions for calibration). Water storage levels were measured in the fourth configuration (VC)
144 using a Stevens pressure transducer. Error estimates for the soil moisture sensors and pressure
145 transducers were +/- 0.03 m³/m³ and +/- 0.02% respectively. Continuous monitoring for each
146 column were stored on an InfluxDB database and visualized using Grafana, including soil moisture
147 readings, pressure transducer depths (configurations 3 and 4), and when active control valves

148 opened or closed. Active control was achieved using photon microcontrollers to trigger valves to
149 drain or retain water consistent with the corresponding management scheme.

150 **Weather Data**

151 Nine weeks of rainfall data recorded by the National Oceanic and Atmospheric
152 Administration (NOAA) from June to July 2017, in Knoxville TN, were mimicked in this study,
153 that is, were used to inform the number and size of applications to the columns. Although this
154 study was carried out in the autumn of 2018, data for the months of June and July 2017 were used
155 due to high density and variety of precipitation events observed over that period. A total of 18
156 events occurred during this period ranging from 0.18 to 3.81 cm, with a median size of 0.56 cm.
157 In addition, the precipitation forecast preceding each storm event (at 12 hours before a given event)
158 was obtained to inform the active control treatments (configurations SM and VC). These historic
159 quantitative precipitation forecasts and events for 2017 were obtained from the National Oceanic
160 and Atmospheric Administration (NOAA). The weather station at the McGhee-Tyson airport in
161 Alcoa, Tennessee, was used as a reference location when obtaining forecast data.

162

163 **Experimental Procedure**

164 *Pre-Event*

165 During each day of the study, weather predictions for the next day of the rainfall time series
166 were observed to determine if a rain event was projected to occur. If so, the predicted rainfall depth
167 was sent out that night via wireless communication to signal the release, if necessary, of stored
168 water from actively controlled columns in accordance with their respective schemes. For treatment
169 three, the runoff produced as a result of the predicted rainfall was quantified and considered along

170 with the current soil moisture conditions at the 30 cm sensor. The amount of predicted runoff that
171 could be captured given the existing soil moisture, without exceeding field capacity, was calculated
172 and any amount in excess of this value was preemptively released from the valve to provide the
173 necessary additional storage. Drainage from the system was still possible despite the system being
174 at field capacity as (1) our measurement of field capacity was likely an overestimate as it was
175 calculated in a laboratory setting (Kirkham, 2005), (2) water was released from deeper in the
176 profile where water was stored in places such as the gravel layer, and (3) opening of the drainage
177 port created a new equilibrium in the system. For treatment four, the amount of predicted runoff
178 that could be captured without exceeding the targeted internal water storage depth was determined,
179 and any excess amount was preemptively released to make room for the predicted event. The
180 influence of weather uncertainty in the control scheme meant that a predicted storm event did not
181 always occur even though the valve opened and released water in preparation. In the same respect,
182 storm events sometimes occurred when there was no forecasted event. Although this type of
183 forecast error added complexity to the experimental method, it was necessary to realistically reflect
184 the function of actively controlled bioretention which are subject to weather uncertainty.

185

186 *During Event*

187 During the event, columns three and four were actively managed to maintain targeted
188 conditions. For instance, during an event for treatment three, once soil moisture readings exceeded
189 field capacity the active control valve drained until field capacity was reached. Likewise, for
190 treatment four, the column was triggered to open as needed to maintain the 30-cm depth. These
191 schemes thus provided both a preemptive and adaptive control to manage internal conditions.

192

193 *Stormwater Application*

194 Storm events smaller than 1mm were excluded from this study as runoff would not be
195 produced from a typical urban catchment for these storms (Guo & Adams, 1998; Le Coustumer et
196 al., 2012). Previous work researching bioretention systems have used local climate data to
197 determine dosing volumes. Chandrasena et al. (2017) reports using a storm size of 5.75 mm per
198 event while Glaister et al. (2017) and Morse et al. (2018) used a local yearly average of 540 mm.
199 Each storm event was applied based on 20:1 sizing ratio for each column (TSM, 2015). Columns
200 were dosed with synthetic stormwater following procedures outlined by Bratieres et al. (2008). In
201 short, tap water in the greenhouse was used to make the stormwater mixture which was
202 supplemented with various chemicals to meet, to the extent possible, target concentrations shown
203 in Table 1. Sediment added to the stormwater mixture was collected from a local concrete-
204 lined detention pond and sieved to 300 μm to remove larger particles and meet a target total
205 suspended solids (TSS) concentration of 150 ppm. The nutrient contributions from the sediment
206 were analyzed prior to mixing and were considered when preparing the final mixture. The
207 stormwater mixture was continuously and vigorously mixed as columns were dosed with the
208 prescribed amount of stormwater for a given event. Each dose was applied in three passes to
209 ensure consistency in the stormwater concentrations received by each column. In the event that a
210 column reached capacity, as evidenced by the column filling to the top and no longer receding, the
211 application was ceased.

212

213 **Table 1. Sediment contributions and stormwater target concentrations**

Constituent	Sediment	Target
	Contribution (mg/L)	Concentration (mg/L)
NO_x - N	0.01879	0.75
NH₄⁺-N	0.00335	0.27
TDP	0.002	0.04
Cu²⁺	0.0055	0.05
Zn²⁺	0.0043	0.25
Pb²⁺	0.0045	0.14
Cr⁶⁺	0.0026	0.025
Mn²⁺	0.0012	0.25
Fe³⁺	0.0151	1
Ni²⁺	0.0003	0.03
Cd²⁺	0.0006	0.0045

214

215 **Water Quality Sampling**

216 Water samples of column discharge were collected 24 hours after each event, allowing
 217 completion of free drainage. An initial water quality sample of the inflow was also taken when
 218 semi-artificial stormwater was applied. Because rainfall predictions signaled opening of active
 219 control valves in preparation for anticipated rainfall events, samples were also occasionally
 220 collected of column discharge due to predicted precipitation that did not occur. That is, the columns
 221 were actively controlled and discharged for an impending event that did not happen. Samples were
 222 analyzed for TSS using standard methods (SM 2540 D), for nutrients (NO₂-N, NO₃-N, and NH₄⁺-
 223 N) using ion chromatography, and for dissolved metals (Cu²⁺, Zn²⁺, and Mn²⁺) using inductively
 224 coupled plasma mass spectrometry (ICP-MS). Prior to sampling for nutrients and metals, samples
 225 were filtered through 0.45 µm Whatman disposable filters. They were also acidified using a 1%
 226 dilution with concentrated nitric acid prior to ICP-MS analysis. Samples were stored in
 227 refrigeration after filtration awaiting analysis.

228

229 **Statistical Analysis**

230 Statistical analysis for this research was conducted using MATLAB R2018a. Percent reduction
231 for each pollutant was calculated by subtracting the outflow from inflow Event Mean
232 Concentration (EMC) then dividing by the inflow EMC. First, a Kolmogorov-Smirnov test was
233 used to confirm the presence or absence of normality on raw data. Data was found to be non-
234 normally distributed, necessitating non-parametric statistical analysis. The Wilcoxon signed-rank
235 test was used to determine statistical differences among the treatments and antecedent rainfall
236 effects on water quality were measured using a Spearman's Rank correlation coefficient. A 0.05
237 significance level was used to indicate statistical significance.

238

239 **Results and Discussion**

240 **Soil Moisture and Active Control**

241 To explain the water quality results from this study, an understanding of how each
242 treatment affects system hydrology is necessary. In particular, soil moisture dynamics are critical
243 to biogeochemical processes in these systems. As noted above, soil moisture readings collected
244 throughout this study were taken at 30 and 60 centimeters below the surface of the bioretention
245 media. Field capacity of the bioretention media was measured to be 28% (v/v) and was used as the
246 marker for active control in the SM treatment. The readings for one storm event are shown in
247 Figure 2 while the average readings for each storm event are shown in Figures 3 and 4, which
248 highlight trends in treatment types. The period for each storm (for the sake of soil moisture
249 summary statistics) was defined as the 24 hours following the start of each storm event. As

250 expected, the IWS treatment has a higher soil moisture content than the other treatments, in
251 particular for the deeper sensor, while the FD was the driest system at the shallow (30 cm) reading.
252 This is a result IWS creating internal storage and promoting wetter conditions, while FD being
253 freely drained and retaining less moisture in the upper soil profile. Comparable soil moisture
254 patterns were observed for the active control treatments. At the 30 cm sensor, both active control
255 treatments operated between IWS and FD, while at the 60 cm depth, VC, SM, and FD all showed
256 similar soil moisture readings and patterns. SM was slightly more wet than FD, while VC was
257 slightly drier than FD at the deeper depth.

258 The difference in control scheme between VC and SM treatments was in the operation of
259 the solenoid valve to store or release water. More sporadic open and close cycles were seen for the
260 VC treatments while SM treatments exhibited a more stable open and close cycle for each storm
261 event (over the course of the study opening an average of 693 and 50 times respectively). Because
262 VC treatments were based on a target storage depth within the column, collection and reaction
263 times between pressure transducer readings and solenoid valves to maintain a 30-centimeter
264 storage depth caused more frequent opening and closing of the solenoid valve. This could be
265 corrected in future studies by allowing depths ranging from 28 to 32 cm, for instance. On the other
266 hand, the SM treatments required the maintenance of a specific soil moisture reading. Soil moisture
267 sensors would trigger release only when field capacity was exceeded. The collection and reaction
268 timing were slower, and solenoids were open and closed for longer periods of time while soil
269 moisture changes occurred at the 30-cm sensor. Essentially, once the solenoid was open, there was
270 a delay in soil moisture changes as water percolated out of the system.

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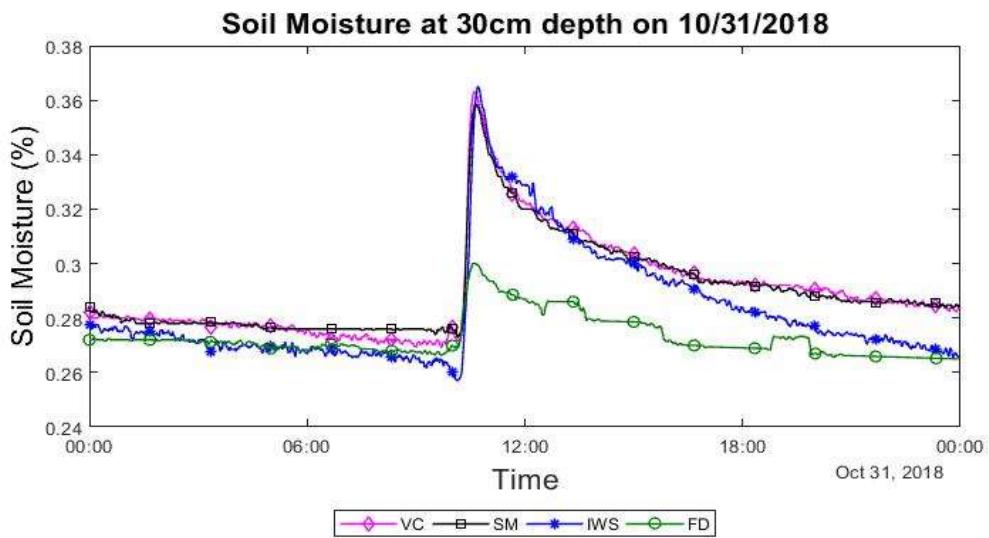


Figure 2. Soil moisture at 30-cm depth for storm on 10/31/2018

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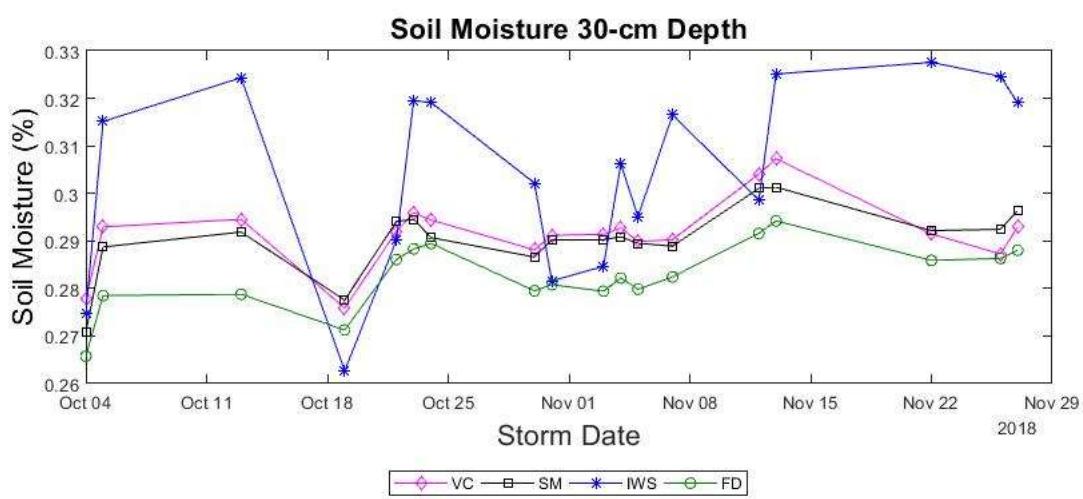


Figure 3. Average soil moisture at 30-cm depth for each storm.
Storms are defined as the 24-hr period following a storm event.

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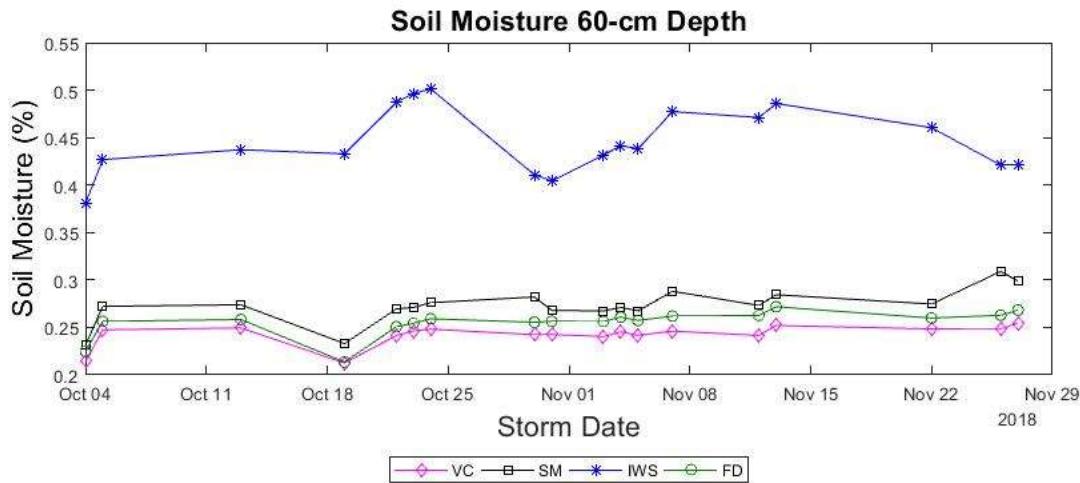


Figure 4. Average soil moisture at 60-cm depth for each storm.
Storms are defined as the 24-hr period following a storm event.

274

275

276 **TSS**

277 TSS removal for all treatments was above 97%, which is unsurprising given that this
278 parameter is typically removed by upper soil layers which are generally not influenced by treatment
279 type (Hunt et al., 2012). This is consistent with previous studies which report TSS reduction
280 between 80% and 98% (E. Hatt et al., 2007; B. E. Hatt et al., 2009; Blecken et al., 2010). Median
281 effluent TSS concentrations were between 1.1 and 1.7 mg/L among the treatments with FD having
282 the highest (1.7 mg/L) and SM (1.1 mg/L) having the lowest values. Similar laboratory studies of
283 bioRetention by Blecken et al. (2010), and Bratieres et al. (2008) reported comparable TSS
284 concentrations of 2 mg/L, and 0.9-7.2 mg/L respectively.

285

286

287

288 **Table 2. Event Mean Concentration (EMC), Median Concentration, Standard Deviation (Std Dev)**
289 **and Relative Standard Deviation (RSD) for each Treatment Type**

Pollutant	Configuration	EMC (mg/L)	Median (mg/L)	Reduction %	Std Dev	RSD %
Cu²⁺	VC ^{*a}	0.012	0.009	64.9	0.007	60.0
	SM ^b	0.004	0.004	86.6	0.001	20.7
	IWS ^c	0.003	0.003	90.4	0.001	23.6
	FD ^b	0.004	0.004	87.2	0.001	18.3
Mn²⁺	VC ^a	0.008	0.005	95.2	0.008	96.3
	SM ^a	0.009	0.007	94.8	0.008	85.5
	IWS ^b	1.961	1.984	-995.6	0.445	22.7
	FD ^a	0.011	0.006	93.5	0.013	113.7
Zn²⁺	VC ^a	0.010	0.006	95.3	0.007	69.1
	SM ^b	0.015	0.012	93.1	0.009	60.1
	IWS ^a	0.013	0.004	94.5	0.025	183.9
	FD ^b	0.014	0.011	93.5	0.007	50.9
NH₄⁺-N	VC ^a	0.008	0.007	41.2	0.004	46.6
	SM ^b	0.013	0.009	43	0.013	107.4
	IWS ^a	0.026	0.028	26.3	0.017	65.0
	FD ^b	0.010	0.011	39.1	0.004	42.5
NO₂⁻-N	VC ^a	0.087	0.041	-19.9	0.167	192.6
	SM	0.100	0.046	-18.6	0.196	196.0
	IWS ^b	0.069	0.049	-87.9	0.102	146.9
	FD ^a	0.062	0.046	-14.7	0.116	185.9
NO₃⁻-N	VC ^a	0.824	0.743	73.6	0.545	66.2
	SM ^b	0.759	0.525	74.3	0.621	81.8
	IWS ^c	0.138	0.096	95.6	0.206	149.6
	FD ^d	1.007	0.977	67.2	0.524	52.1
TSS	VC	2.681	1.6	97.4	2.930	109.3
	SM	2.913	1.1	97	3.929	134.9
	IWS	1.940	1.2	98.2	2.353	121.3
	FD	1.749	1.7	98.1	2.985	170.7

* letters indicate significant difference ($\alpha = 0.05$) per Wilcoxon Sign-Rank test within each pollutant, if no letter is present, there is no significant difference for that configuration

290

291 **Metals**

292 Overall, effective removal of metals was observed across all treatment types, in particular
293 for Zn²⁺ (Figure 5). This is consistent with observations seen in previous studies such as Laurenson

294 et al. (2013) in which over 90% removal was reported for Zn^{2+} . When comparing Zn^{2+} to Cu^{2+} and
295 Mn^{2+} , however, Zn^{2+} has over 93% removal for all treatment types, while more variability is noted
296 for the other constituents. The more variable results for Cu^{2+} and Mn^{2+} are likely due to differences
297 in treatment type and the variable conditions they provide. The overall magnitude of removal
298 observed for Cu^{2+} is in line with previous studies from Blecken et al. (2009) and Laurenson et al.
299 (2013) who showed 70% and >90% removal of Cu^{2+} , respectively, between treatments.

300 Removal of Cu^{2+} between SM, IWS, and FD treatments were all similar, ranging from
301 approximately 87 to 90%. However, there was an observable difference in Cu^{2+} removal by the
302 VC treatment, which could be a result of the more frequent, rapid, small water releases associated
303 with this treatment type (as compared to SM). The more frequent storage and release by the VC
304 scheme may alter the redox potentials within VC treatments by allowing oxygen into the system
305 when active control valves open and close which limits Cu^{2+} sequestration through adsorption.
306 Similar changes in redox potential have led to Cu^{2+} dissolution because of oxic and anoxic
307 variability within a given system (HamiltonTaylor et al., 1996; Chaudry & Zwolsman, 2008). The
308 other treatment types had more stability in transporting water through the columns and were able
309 to remove Cu^{2+} from stormwater influent more effectively. As noted above, these frequent releases
310 may have been mitigated to some degree by utilizing a scheme that allowed an acceptable range
311 of storage depths as opposed to one singular objective (30 cm). This would allow active control
312 systems to better maintain a consistently anaerobic zone by minimizing level fluctuations.

313 In Figure 7, Mn^{2+} is exported from IWS treatments while all other columns showed similar
314 removal trends to other metals. Media descriptions from the bioretention media manufacturer
315 showed high levels of manganese in the media mix (Manganese Index =175). Furthermore,
316 anaerobic heterotrophs within the IWS systems use manganese compounds within soils as electron

317 donors and the reduced metal ions then leach out of the system (Nealson & Saffarini, 1994; Lee et
318 al., 2001; Lovley et al., 2004). Because Mn^{2+} is soluble and mobile it can be transported readily,
319 effectively flushing from the system. The IWS treatment appeared to facilitate anaerobic
320 processing more than the other treatments (which is logical based on the soil moisture data) which
321 likely explains the Mn^{2+} leaching. It should be noted that manganese concentrations are not
322 typically a criterion in design manuals for bioretention media mixtures.

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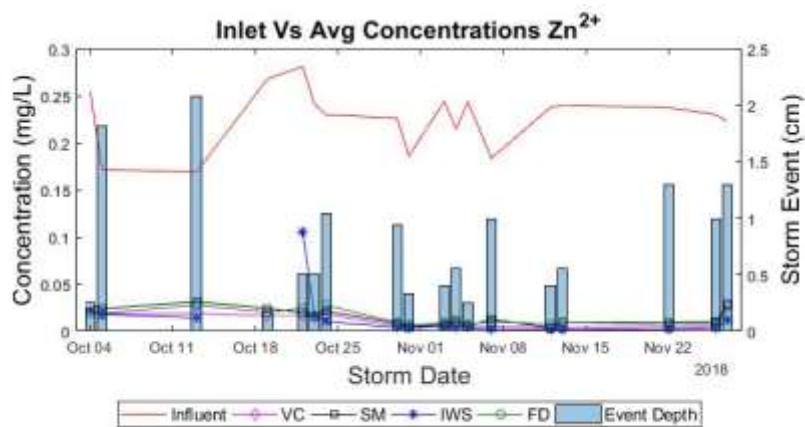


Figure 5. Zn^{2+} outlet concentrations for all treatment types

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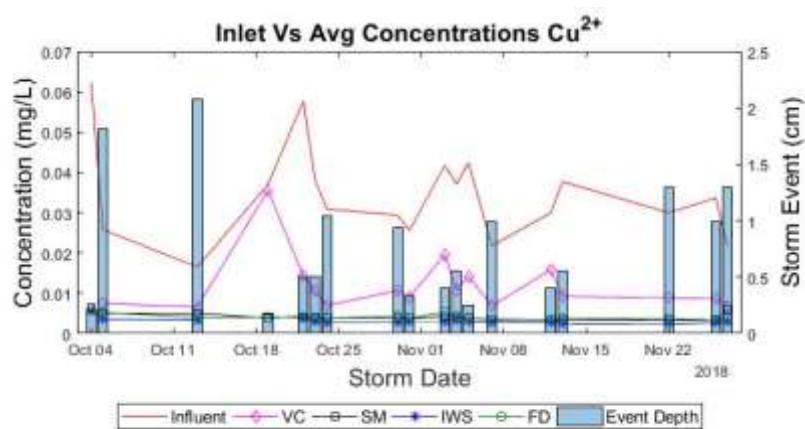
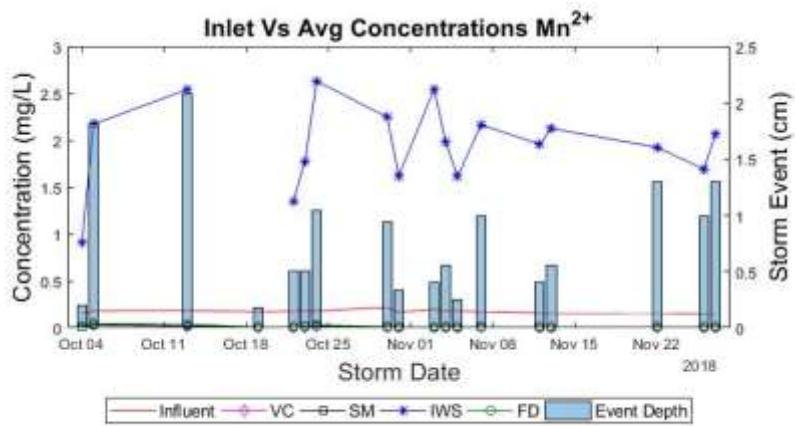


Figure 6. Cu^{2+} outlet concentrations for all treatment types

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326



328

329 **Nutrients**

330 Nitrogen processing within bioretention systems was a focal point of this study, because
 331 export of nitrogen (NO_3^- -N) has been observed in previous studies after long periods of dry
 332 conditions and due to a presumed lack of the necessary anaerobic conditions in some bioretention
 333 designs, a required condition for denitrification (E. Hatt et al., 2007; Hsieh et al., 2007; Blecken et
 334 al., 2010; Manka et al., 2016). As noted above, the SM active control treatment was designed to
 335 target field capacity to bolster microbial activity, specifically aerobic and anaerobic microbial
 336 processes, in an attempt to meet multiple nitrogen processing objectives (Barros et al., 1995;
 337 Schimel, 2018). Nutrient dynamics are described through the lense of microbial activity, which
 338 should be considered as influencing nutrient processing.

339 NO_3^- -N showed high variability in performance between treatment types with mean
 340 effluent concentrations ranging from 0.14 to 1.01 mg/L for the IWS and FD treatments,
 341 respectively (Figure 8). The FD treatment showed the least NO_3^- -N removal (67.2%), which is not
 342 surprising as it is the treatment considered to primarily foster an aerobic environment, resulting in
 343 the most limited conditions to facilitate denitrification (Collins et al., 2010). FD has no designated

344 anaerobic zones to allow conversion, so any denitrification would have to be facilitated within the
345 micropores of the bioretention media. The lack of denitrification as a result of the aerobic
346 environment promoted by FD systems has been noted in studies such as Davis et al. (2006) and Li
347 et al. (2014). IWS shows the greatest removal of all treatments (95.6% removal). This is attributed
348 to a constant anaerobic zone in the IWS columns which facilitates denitrification. The VC and SM
349 treatments showed similar performance with removal percentages between that of FD and IWS
350 (73% and 74% removal, respectively). The VC treatment has a more shallow anaerobic zone than
351 IWS and more frequent release which allows more aerobic processing than the IWS systems. At
352 the same time, SM treatments allow a more stable release and are not dictated by a particular
353 storage depth, but still retain more water than the FD treatment, resulting in aerobic and anaerobic
354 processing.

355 Nitrification is being promoted within all treatment types but most notably the FD
356 treatment. As discussed above, this is expected based on the primarily aerobic environment
357 provided by FD designs. Conversely, the IWS NH_4^+ -N effluent concentrations are indicative of
358 more limited aerobic processing, which is similar to results show in Tang and Tian (2016) where
359 IWS had less NH_4^+ -N reduction than the traditionally free draining column (63% and 71%
360 respectively). The NH_4^+ -N remaining in the IWS system and being exported indicates the issue of
361 incomplete aerobic processing. As noted above, VC and SM treatments both allow for more of an
362 anaerobic zone than the FD treatments and less than that of the IWS treatment. They perform
363 similarly to the FD treatment in regard to NH_4^+ -N reduction because of their presumed greater
364 depth of aerobic zone but perform better than FD in regard to NO_3^- removal. This shows that there
365 is more anaerobic processing facilitated in the actively controlled treatments, and that these
366 systems may allow a balance between the conditions observed in FD and IWS designs.

367 Although NO_2^- -N is a less frequently reported and discussed parameter, it is often lumped
368 with NO_3^- -N and reported as NO_x -N, it provides some insight into the denitrification process in
369 the treatments. Overall, there is a consistent export of NO_2^- -N from all treatments (Figure 9). When
370 coupled with data observed for NO_3^- -N and NH_4^+ -N, NO_2^- -N trends suggest the possibility of
371 incomplete denitrification in the columns. That is, NO_3^- -N is converted to NO_2^- -N and produces
372 N_2O gas (a greenhouse gas of major concern), but full conversion to N_2 gas is not occurring. This
373 is potentially due to an inadequately deep saturated zone (lack of substantial anaerobic conditions)
374 within these systems. This is worthy of further study, as completing the denitrification cycle is of
375 critical importance for nitrogen management in biofilters.

376 A period of particular interest is the storm events and subsequent treatment that occurred
377 in mid-October. Export of NO_2^- -N was noted, and to a smaller degree an increase in NO_3^- -N for
378 some treatments, which follows the largest event during the study period and occurred during the
379 smallest stormwater application of the study. It should also be noted that the upper soil layers for
380 all columns were relatively dry during this event compared to the rest of the study. While the exact
381 cause of this export is unknown, it is likely the result of large shifts in soil moisture between the
382 two events and the subsequent impacts to biogeochemical processes (i.e. Manka et al. 2016). It
383 should be noted that the IWS treatment was able to completely capture this event due to available
384 storage.

385 Although unintentional, this spike in NO_2^- -N does act as a sort of chemical tracer for the
386 system, allowing an understanding of the differences in recovery times for each treatment type,
387 that is, the amount of time required to bring the system back to producing typical NO_2^- -N effluent
388 concentrations. This was generally linked to the amount of flushing provided by each treatment
389 type. The FD treatment recovers after the next applied storm while other treatments required

390 additional storm events before effluent NO_2^- -N concentrations return to a baseline in the system.
 391 This observation is likely due to the speed with which water moves through each treatment type.
 392 The FD treatment has the fastest flow through the system because it freely drains, with the VC,
 393 SM and IWS following in decreasing flow speed and increased water storage. The rate of flushing
 394 also infers differences in detention times between the systems, which likely also influences
 395 performance.

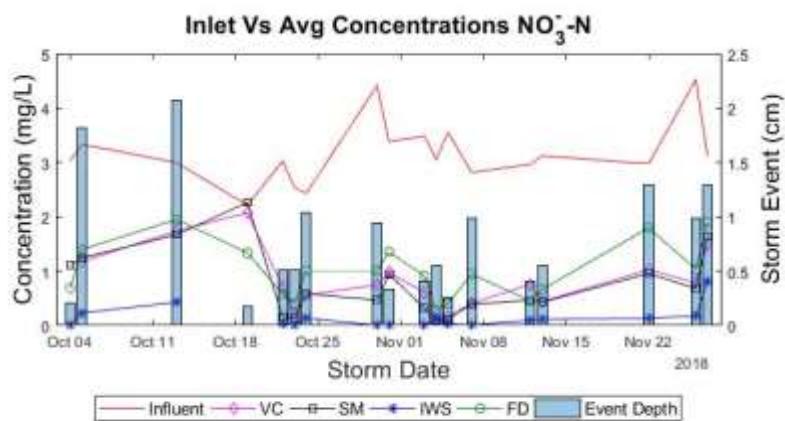


Figure 8. NO_3^- -N outlet concentrations for all treatment types

396

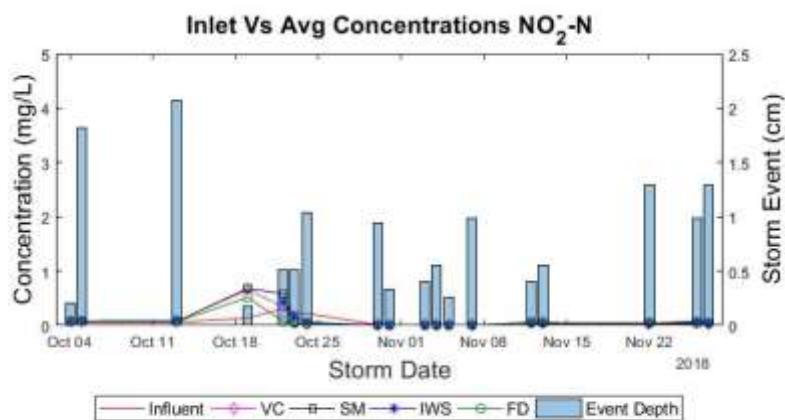


Figure 9. NO_2^- -N outlet concentrations for all treatment types

397

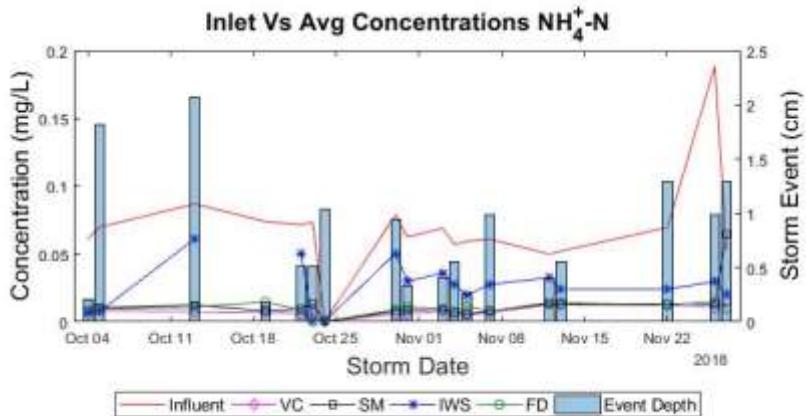


Figure 10. NH_4^+ -N outlet concentrations for all treatment types

398

399

400 **Influence of Antecedent Conditions**

401 Correlations between both 5-day antecedent rainfall and pollutant removal, and the
 402 antecedent number of dry days and pollutant removal (for all pollutants) were analyzed to
 403 determine the influence that wet and dry conditions have on water quality (which has been shown
 404 in studies such as E. Hatt et al. (2007) and Tang and Tian (2016)). Nutrient processing through
 405 physical and microbial interactions have the potential to be overloaded when a system is tasked
 406 with managing frequent storm events. Likewise, periods of drought can affect biogeochemical
 407 processes, causing leaching from bioretention cells during subsequent storms (as was proposed for
 408 the mid-October event).

409 Contrary to results found by Manka et al. (2016) and Hatt et. al (2007), there was typically
 410 no significant correlation between removal and either measure of antecedent conditions. The one
 411 exception was a slightly negative correlation between NO_3 -N removal by IWS treatment and the
 412 5-day antecedent rainfall (Spearman Rank Correlation Coefficient = -0.54). Thus, there is minimal
 413 influence of wetting and drying periods on water quality. However, this study exhibited shorter
 414 dry periods (longest dry period of 9 days) and the lack of correlation is consistent with work done

415 by Blecken et al. (2009) in which no effects were seen for dry periods shorter than 3 weeks. Work
416 done by E. Hatt et al. (2007) also utilized longer dry and wet periods in examining removal
417 performance. Further study on long term hydrologic implications of active control systems should
418 be conducted to determine further correlations between treatment and removal. It is possible that
419 active controls could be used to manage soil moisture more effectively during dry conditions, but
420 this is an untested hypothesis.

421

422 **Overall Comparison of Treatments**

423 Traditional FD and IWS treatments can be considered controls to compare the efficacy of VC
424 and SM treatments. FD and IWS represent the extremes of bioretention function, promoting
425 aerobic and anaerobic conditions, respectively, and differential detention times. VC and SM
426 treatments were actively controlled, leading to more variable patterns of water release compared
427 to FD and IWS, and subsequent differences in storage times and soil moisture patterns. These
428 trends were found to influence water quality, being an explanatory factor for dissimilarities in
429 metal and nutrient effluent concentrations from the treatments.

430 Overall, deeper water storage zones lead to better anaerobic nutrient processing of nitrate
431 (denitrification), while shallower water storage zones allow for greater aerobic treatment and
432 conversion of $\text{NH}_4^+ \text{-N}$ to $\text{NO}_3^- \text{-N}$. In this study, this understanding played out by the FD treatments
433 more effective at performing nitrification (i.e. $\text{NH}_4^+ \text{-N}$ concentration reductions were
434 accomplished), while the IWS treatment showed the most reduction in $\text{NO}_3^- \text{-N}$ concentrations,
435 indicating more denitrification when compared to other treatments. The VC and SM treatments
436 were found to be better at performing nitrification than the FD treatment but not better at
437 performing denitrification than the IWS treatment, that is, they were able to provide both

438 nitrification and denitrification in moderation (compared to other treatments). This provides some
439 hope that continued scheme development for actively controlled bioretention may lead to systems
440 that can balance the conflicting aerobic and anaerobic environments needed for fully processing
441 nitrogen.

442 In terms of metals, the treatments largely performed similarly other than the IWS treatment
443 exported Mn^{2+} , and the VC treatment removing Cu^{2+} with less efficiency. This resulted in a few
444 notable observations as to how active controls could influence metal concentrations (e.g. by
445 effecting redox potential). Similar results are evident with TSS removal being over 97%.

446 Although not a focus of this study, it should be noted that the IWS treatment was able to store
447 runoff from smaller rain events which would result in total runoff reduction. For fewer storms, SM
448 and VC treatments were also able to do the same as the threshold for active release was not reached.
449 The hydrologic implications of the various treatment types should be further studied to understand
450 how active controls can be used to balance volume reduction and water quality improvement. We
451 hypothesize that active controls will be able to meet these multiple objectives more effectively
452 than static systems.

453 **Conclusions**

454 This column study tested the use of active control systems, as compared to static designs,
455 over a 9-week period by observing water quality improvements provided by each treatment.
456 Historic weather predictions were coupled with observed precipitation events to replicate weather
457 conditions from June and July 2017, which amounted to a total of 18 storm events. Most notable
458 was the influence of the treatments on nutrients. For nutrients in the static systems, the largely
459 aerobic free draining performed best for NH_4^+-N , while the more anaerobic environment provided

460 by the internal water storage led to the best performance for NO_3^- -N. Deeper media depths could
461 remedy this issue in future implementation of IWS treatment, that is, a larger aerobic zone above
462 the IWS could be provided. As the optimum IWS depth for water quality has not been explored in
463 literature, these data suggest that balancing nitrification and denitrification is critical and more
464 scientifically informed IWS design is possible. The soil moisture and volume control treatments
465 were able to balance these two environments, removing NH_4^+ -N by more than 40% and NO_3^- -N
466 by more than 73%. Differences between soil moisture and volume control were minimal for
467 nutrients. This suggests that active controlled systems may strike a balance between traditional
468 free draining and internal water storage systems.

469 Numerous factors influenced the results of this research and should be considered in future
470 research. First, using one scheme per configuration targets only one control objective but having
471 multiple control objectives could further improve the effectiveness of active control. Second,
472 having a seepage port allows the columns to better mimic field conditions in a laboratory setting,
473 but also results in biofouling and should be carefully monitored. Finally, Eastern Tennessee is
474 subject to frequent, hard to predict thunderstorms in summer months which could have affected
475 the rainfall forecast data used herein. Using rainfall data from easier to predict seasons may affect
476 the results of the study.

477 Future research into actively controlled bioretention systems should include more
478 hydrologic quantification of bioretention systems outfitted with this technology in both laboratory
479 and field-scale studies. This should be coupled with further development of active control schemes
480 to balance water quality and hydrologic objectives using soil moisture readings at variable media
481 depths and by incorporating depth sensor measurements. The use of weather predictions in
482 designing schemes for active control systems is critical, and additional study should be performed

483 to compare preemptive control based on weather predictions to more adaptive control during storm
484 events able. That is, if retention time is a critical treatment consideration, can it be further
485 optimized by considering uncertainties in weather prediction? Despite these questions, active
486 control systems show promise for the future of designing more efficient bioretention systems that
487 are adaptive to external and internal environmental processes.

488

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495

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