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#### **RESEARCH ARTICLE**

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#### **Key Points:**

- WRF-Chem simulations capture the magnitude and spatiotemporal variations of PM<sub>2.5</sub> and aerosol optical depth fairly well in the fire
- Using a sufficiently high resolution for fire emission and a proper treatment of plume rise is important for a successful fire simulation
- Increased PM<sub>2.5</sub> exposure due to this fire event accounts for 14–42% of the annual total exposure in nearby and downwind regions

#### **Supporting Information:**

· Supporting Information S1

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# Modeling Study of the Air Quality Impact of Record-Breaking Southern California Wildfires in December 2017

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Abstract We investigate the air quality impact of record-breaking wildfires in Southern California during 5-18 December 2017 using the Weather Research and Forecasting model with Chemistry in combination with satellite and surface observations. This wildfire event was driven by dry and strong offshore Santa Ana winds, which played a critical role in fire formation and air pollutant transport. By utilizing fire emissions derived from the high-resolution  $(375 \times 375 \text{ m}^2)$  Visible Infrared Imaging Radiometer Suite active fire detections, the simulated magnitude and temporal evolution of fine particulate matter (PM25) concentrations agree reasonably well with surface observations (normalized mean bias = 4.0%). Meanwhile, the model could generally capture the spatial pattern of aerosol optical depth from satellite observations. Sensitivity tests reveal that using a high spatial resolution for fire emissions and a reasonable treatment of plume rise (a fair split between emissions injected at surface and those lifted to upper levels) is important for achieving decent PM<sub>2.5</sub> simulation results. Biases in PM<sub>2.5</sub> simulation are relatively large (about 50%) during the period with the strongest Santa Ana wind, due to a possible underestimation of burning area and uncertainty in wind field variation. The 2017 December fire event increases the 14-day averaged PM<sub>2.5</sub> concentrations by up to 231.2 µg/m<sup>3</sup> over the downwind regions, which substantially exceeds the U.S. air quality standards, potentially leading to adverse health impacts. The human exposure to fire-induced PM<sub>2.5</sub> accounts for 14-42% of the annual total PM<sub>2.5</sub> exposure in areas impacted by the fire plumes.

#### 1. Introduction

In December 2017, a series of wildfires broke out in Southern California. The fires were exacerbated by powerful and long-lasting Santa Ana winds, as well as large amounts of dry vegetation due to the absence of any significant precipitation this fall/winter (National Wildfire Coordinating Group, 2018). The fires burned over 307,900 acres (1,246 km²), forced 230,000 people to evacuate, and caused traffic disruptions, school closures, hazardous air conditions, and massive power outages (Wikipedia, 2018). The largest fire was the Thomas Fire, which grew to 1,141 km², becoming California's largest modern wildfire at the time (CalFire, 2018; National Wildfire Coordinating Group, 2018).

Southern California's Mediterranean climate, rugged terrain, and shrub-dominated landscape are conducive to wildfire activities that are among the highest in the United States (Kolden & Abatzoglou, 2018). During 1959–2009, an average of 41 large fires (>40 ha each) occurred in Southern California each year, resulting in a mean annual burned area of  $5.33 \times 10^4$  ha (Jin et al., 2014). The burned area in Southern California has been increasing since 1980 (Westerling et al., 2006) and is expected to increase further with future climate change (Jin et al., 2015; Yue et al., 2014). Wildfires can claim lives, destroy homes and properties, and result in hazardous air pollution conditions at local to regional scales (Gupta et al., 2018). Fine particulate matter (PM<sub>2.5</sub>) from wildfires has been associated with cardiorespiratory symptoms (Cascio, 2018; Thelen et al., 2013; Youssouf et al., 2014) and mortality (Cascio, 2018), with varying degree of impacts and uncertainty.



Two distinct wildfire regimes have been distinguished in Southern California: fires occurring in October through April driven by Santa Ana winds, that is, the dry and strong offshore winds blowing out of Southern California's eastern deserts and mountains (Cao & Fovell, 2016) and fires occurring in the hot Mediterranean summer from June to September (Faivre et al., 2016; Jin et al., 2015). While these two fire types contributed almost equally to burned area, the fires driven by Santa Ana winds were responsible for 80% of the cumulative \$3.1 billion in economic losses from 1990 to 2009, because these fires tend to spread much faster, occur closer to urban areas, and burn into areas with greater housing values (Jin et al., 2015). California has the largest number of houses in the urban-wildland interface in the United States, and this number is expected to increase further in the future (Stephens et al., 2009). Due to the proximity of Santa Ana wind-driven fires to populous areas such as urban-wildland interface, it is critically important to improve our understanding and quantification of the air quality impacts of these fires. Chemical transport models can be useful tools to simulate the evolution of smoke from wildfire emissions and to provide early pollution warnings for nearby communities. However, the performance of the model is often limited by the complex weather conditions, rugged terrain, and fast-changing fire emissions.

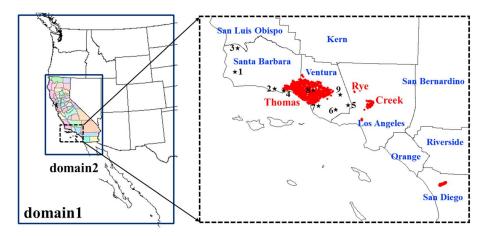
There have been numerous modeling studies investigating the impact of wildfires on the air quality over different scales (Herron-Thorpe et al., 2014; Reid et al., 2016). However, only a few studies (Kochanski et al., 2016; Pfister et al., 2008) have specifically simulated the air quality consequences of Santa Ana wind-driven fires, the most costly and dangerous fire regime over Southern California. For example, using a global chemical transport model and surface O<sub>3</sub> observations, Pfister et al. (2008) found that fire emissions enhanced afternoon 8-hr O<sub>3</sub> concentrations by about 10 ppb during a fall 2007 California wildfire event. Kochanski et al. (2016) developed an integrated forecast system for smoke prediction by coupling a fire/smoke model with a chemical transport model and demonstrated its preliminary application to two Santa Ana wind-driven fire events in 2007 and 2012. Further in-depth modeling studies are still needed to adequately understand the formation, evolution, and air quality impacts of Santa Ana wildfires and to build reliable operational prediction capacity. Moreover, integration of model simulation with satellite and surface observational data sets is critically important for the evaluation and improvement of modeling systems, especially with respect to Santa Ana wind-driven fires, which are associated with intricate meteorological conditions and formation mechanisms.

In this study, we investigate the impact of the December 2017 extreme fires on air quality and human exposure to pollutants using the Weather Research and Forecasting model with Chemistry (WRF-Chem) in combination with satellite and surface observations. To improve the model performance and better quantify the air quality impacts, we use observed fire spots and meteorological reanalysis data rather than forecast-mode data to derive wildfire emissions, but the results from this study inform the improvement of air quality forecast capacity in such fire events.

# 2. Methodology

# 2.1. WRF-Chem Configurations

We simulate the impact of fire emissions on air quality using WRF-Chem version 3.9.1, a fully coupled meteorology-chemistry model. The simulation period is from 1 to 23 December 2017, covering the time range when most wildfires in Southern California occurred (i.e., 5 to 18 December). We apply the model to two domains (Figure 1): Domain 1 covers the western United States and its surrounding areas at a 12 km  $\times$  12 km horizontal resolution; the nested domain 2 covers California with a 4 km  $\times$  4 km resolution. We use 23 vertical model layers from the surface to 100 hPa. The vertical layers are defined on a sigma coordinate. The 24 sigma levels defining the 23 model layers are 1.000, 0.995, 0.988, 0.980, 0.970, 0.956, 0.938, 0.916, 0.893, 0.868, 0.839, 0.808, 0.777, 0.744, 0.702, 0.648, 0.582, 0.500, 0.400, 0.300, 0.200, 0.120, 0.052, and 0.000, with denser layers at lower altitudes to resolve the planetary boundary layer. A sensitivity run with 46 layers is also conducted to examine the impact of higher vertical resolution on simulated surface  $PM_{2.5}$  concentration, column aerosol optical depth (AOD), and vertical distributions of  $PM_{2.5}$  concentrations and cloud fraction (supporting information Figures S6–S8). The simulation results with 23 and 46 layers are generally very similar to each other. An exception is that the cloud fraction between 200 and 300 hPa (about 9.2–11.8 km) shows significant difference. Since this study focuses on surface air quality, the discrepancy in high cloud should not noticeably affect on our results and conclusion. The meteorological initial and



**Figure 1.** Illustration of the modeling domains (left panel), locations of fire spots (red dots, right panel), and nine observational sites (black stars, right panel) managed by the California Air Resources Board in Santa Barbara and Ventura counties. The red texts denote names of the fires, while the blue texts denote names of counties.

boundary conditions of domain 1 are generated from the Final Operational Global Analysis data (ds083.2) of the National Center for Environmental Prediction (NCEP) at a 1.0° × 1.0° and 6-hr resolution. The chemical initial and boundary conditions of domain 1 are extracted from the output of the Model for Ozone and Related Chemical Tracers version 4 (Emmons et al., 2010; University Corporation for Atmospheric Research, 2013). The simulation results of domain 1 provide the meteorological and chemical boundary conditions for domain 2 through two-way (online) nesting. A 6-day spin-up period is used to minimize the influence of initial conditions on simulation results. The physical options used include the NCEP, Oregon State University, Air Force, and Hydrologic Research Lab's land-surface module (Chen & Dudhia, 2001), the Yonsei University planetary boundary layer scheme (Hong et al., 2006), the Grell-Freitas cumulus scheme (Grell & Freitas, 2014), the Morrison double-moment scheme for cloud microphysics (Morrison et al., 2009), and the Fu-Liou-Gu radiative transfer scheme (Fu & Liou, 1992; Gu et al., 2006; B. Zhao et al., 2016). For the chemical scheme, we employ an extended Carbon Bond 2005 scheme (Yarwood et al., 2005) with chlorine chemistry (Sarwar et al., 2008) coupled with the Modal for Aerosol Dynamics in Europe/Volatility Basis Set (VBS; Ahmadov et al., 2012; K. Wang et al., 2015). Modal for Aerosol Dynamics in Europe/VBS uses a modal aerosol size representation and an advanced secondary organic aerosol module based on the VBS approach. The aqueous-phase chemistry is based on the AQChem module used in the Community Multiscale Air Quality model (K. Wang et al., 2015). Our model also considers aerosol direct radiative effects and first and second aerosol indirect effects on grid-scale clouds following our previous study (B. Zhao et al., 2017). The inclusion of aerosol radiative feedback affects both meteorology and chemistry simulations during the fire period, which is discussed through a sensitivity analysis in Supporting Information S1 (J. Wang et al., 2014; Zhou et al., 2019).

For anthropogenic emissions, we use the National Emission Inventory in 2011 (US Environmental Protection Agency, 2018a). We scaled the National Emission Inventory in 2011 inventory to the 2017 levels according to the "NEI trend report" (US Environmental Protection Agency, 2018b). The biogenic emissions are calculated online using the Model of Emissions of Gases and Aerosols from Nature (Guenther et al., 2006). Dust emissions are calculated online following C. Zhao et al. (2010), which is revised based on the Goddard Chemical Aerosol Radiation Transport dust emission scheme (Ginoux et al., 2001). Sea-salt emission calculation follows previous studies (Gong, 2003; C. Zhao, Chen, et al., 2013).

#### 2.2. Estimation of Fire Emissions

We calculate the real-time wildfire emissions employing the Brazilian Biomass Burning Emission Model (Longo et al., 2010), which is based on the active fires detected by satellite. For each fire pixel detected, the mass of the emitted pollutants is calculated by equation (1), which considers the estimated values for

carbon density (the amount of aboveground biomass available for burning per unit area,  $\alpha_{\text{veg}}$ ), the combustion factor ( $\beta_{\text{veg}}$ ), the emission factor ( $EF_{\text{veg}}$ ) for a certain species ( $\eta$ ) from the appropriate type of vegetation, and the burning area ( $a_{\text{fire}}$ ) for each fire pixel.

$$M^{[\eta]} = \alpha_{\text{veg}} \cdot \beta_{\text{veg}} \cdot EF_{\text{veg}}^{[\eta]} \cdot \alpha_{\text{fire}}. \tag{1}$$

For the detection of active fires, we use the Moderate Resolution Imaging Spectroradiometer (MODIS) fire product (Justice et al., 2002) and the Visible Infrared Imaging Radiometer Suite (VIIRS) fire product (Schroeder et al., 2014). The fire detection maps are merged with 1-km resolution land use data (Belward, 1996) to provide the associated emission and combustion factors through a look-up table. The emission and combustion factors for each vegetation (land use) type are based on the work of Ward et al. (1992) and Andreae and Merlet (2001). The corresponding carbon density is derived from Olson et al. (2000) and Houghton et al. (2001). The detailed sources and values of emission factor, combustion factor, and carbon density are described in Longo et al. (2010). The vegetation density changed by less than 10% between 2000 (the year for which the carbon density database was derived) and 2017, so we did not update the carbon density to 2017 in this study (see details in Supporting Information S1). The determination of burning area is detailed in the next paragraph. Then, the emission sources are distributed with the same spatial and temporal resolution as that of the atmospheric transport model. The Gaussian function is applied to convert one time fire detection into the emission diurnal cycle (Longo et al., 2010).

The combustion process of wildfires includes two phases: the flaming phase and the smoldering phase. The emissions in the smoldering phase are released into the first model layer, and those in the flaming phase are released at effective injection heights provided by a plume rise model documented in Freitas et al. (2007, 2010). According to the vegetation types present in Southern California, the fraction of biomass consumed in the flaming phase is prescribed to be 85% following Freitas et al. (2007, 2010), unless otherwise stated below. In the plume rise model, the final height that the plume reaches is controlled by the thermodynamic stability of the atmospheric environment and the surface heat flux release from the fire (Freitas et al., 2007). To estimate the heat fluxes from fires, we aggregate all fires into three categories (forest, woody savanna, and grassland) by merging the fire location with the land use data set. For each category, the lower and upper estimates of heat fluxes, which are used to calculate lower and upper limits of the injection height, are set to prescribed values reported in Freitas et al. (2007), with forest fires releasing more heat than savannah or grassland fires. We compared simulated vertical distribution of primary aerosol emissions from the December 2017 fire event with that retrieved by the Multiangle Imaging SpectroRadiometer (MISR; Martin et al., 2018), as shown in Figure S1. The plume vertical distributions from the model and MISR agree fairly well (correlation coefficient = 0.943), except that the model predicts more fire emissions at 250-500 m and less at 0-250 m compared with MISR. Therefore, our plume rise estimate appears to be reasonable overall (see details in Supporting Information S1; Archer-Nicholls et al., 2015; Martin et al., 2018).

To investigate the impact of real-time wildfire emissions in Brazilian Biomass Burning Emission Model on simulated air quality, six scenario simulations are conducted with WRF-Chem (Table 1). In the first scenario (referred to as V\_MODIS), we use the MODIS fire product (both Terra and Aqua) with 1 km  $\times$  1 km resolution, which has been widely used to estimate wildfire emissions (Justice et al., 2002). We adopt the assumption of Longo et al. (2010) that each active fire pixel corresponds to 0.22 km<sup>2</sup> of burning area, accounting for 22% of the mean area of a MODIS pixel.

The next four scenarios (called V\_VIIRS, V\_VIIRS\_Plu, V\_VIIRS\_100, and V\_VIIRS\_nudging) are conducted with VIIRS fire product with a spatial resolution of 375 m. Compared with MODIS, the higher resolution of VIIRS enables a more accurate detection of the fire location. Therefore, when a fire is detected, the fraction of burning area in the VIIRS pixel ( $\sim$ 0.14 km²) is presumably larger than that in MODIS. In the V\_VIIRS scenario, we assume that 50% of each VIIRS pixel is burnt (personal communication with the VIIRS Science Team, July 1, 2018), resulting in a burning area of about 0.07 km² per fire pixel. The other assumptions of V\_VIIRS are the same as V\_MODIS. Moreover, to evaluate the uncertainty of plume rise calculation on simulated air pollutant concentrations, we design a scenario named V\_VIIRS\_Plu, which is same as V\_VIIRS except that the fraction of biomass consumed in the flaming phase is assumed to be 100% (cf. 85% in V\_VIIRS).



 Table 1

 Summary of the Six Simulation Scenarios Used in This Study

Name	Fire detection	Fire pixel resolution	Fraction of burning area in pixels (%)	Fraction in the flaming phase (%)	Others
V_MODIS	MODIS	1 km	22	85	
V_VIIRS	VIIRS	375 m	50	85	
V_VIIRS_Plu	VIIRS	375 m	50	100	
V_VIIRS_100	VIIRS	375 m	100	85	
V_VIIRS_nudging	VIIRS	375 m	100	85	Wind nudging
V_FINN	FINN algorithm with MODIS	1 km		85	Wiedinmyer et al. (2011)

Note. MODIS = Moderate Resolution Imaging Spectroradiometer; VIIRS = Visible Infrared Imaging Radiometer Suite; FINN = Fire Inventory from NCAR.

As will be shown later, the preceding scenarios significantly underestimate the  $PM_{2.5}$  concentrations and AOD during the beginning stage of the fire event (i.e., before 9 December). To investigate the causes of the underestimation, we develop two more scenarios (referred as V\_VIIRS\_100 and V\_VIIRS\_nudging) on the basis of the V\_VIIRS scenario. Specifically, in the V\_VIIRS\_100 scenario, the burning area is modified to 100% ( $0.14~\rm km^2$  per fire pixel), considering the intense and violent burning during the wildfire's initial lifetime. Because the evolution of fire plumes is strongly affected by the wind field, we also design a scenario called V\_VIIRS\_nudging which employs wind field nudging in the meteorological modeling using the NCEP's operational surface (ds461.0) and upper-air (ds351.0) observation data sets. The objective is to test whether wind nudging could potentially improve the simulation results of meteorological fields and hence air pollutant concentrations.

Finally, in the last scenario (V\_FINN), we use the Fire INventory from NCAR (FINN; Wiedinmyer et al., 2011), a widely used fire emission product in the research community, as input for the WRF-Chem model. FINN uses MODIS observations of active fires and land cover, together with estimated burning area, fuel loadings, and emission factors to provide daily, 1-km resolution open burning emission estimates (Wiedinmyer et al., 2011). For each fire identified, the assumed burned area is 1 km², except that 0.75 km² is assigned for fires located in grasslands/savannas. This burned area is further scaled based on the percent bare cover from the MODIS Vegetation Continuous Fields product at the fire point. The emission factors are compiled from a recent study of Akagi et al. (2011) and a number of other references (e.g., Andreae & Merlet, 2001; McMeeking, 2008).

# 2.3. Surface and Satellite Observations

We use multiple sources of in situ and satellite observations to validate our simulations. First, we compare the meteorological predictions with observational data obtained from the National Climatic Data Center (NCDC), where hourly or 3-hr observations of wind speed at 10 m (WS10), wind direction at 10 m (WD10), temperature at 2 m (T2), and water vapor mixing ratio at 2 m (Q2) are available for 134 sites distributed within the modeling domain.

We obtain hourly surface observations of PM<sub>2.5</sub> in 31 sites in Southern California from the Air Quality System, the Interagency Monitoring of Protected Visual Environments, the Clean Air Status and Trends Network, and the California Air Resources Board. We focus on nine sites located in the Santa Barbara and Ventura counties (Figure 1), which are the areas most significantly affected by the wildfires.

Compared with surface observations, satellite data provide much greater spatial coverage. We use the column AOD retrievals from the level 2 aerosol product (MOD04,  $10~\rm km \times 10~\rm km$  resolution) of MODIS onboard Terra to evaluate the evolution of the simulated plumes. MODIS/Terra overpasses California at 10:30 am local time. The averaged AOD of 10:00 and 11:00 am local time from WRF-Chem is calculated to match the satellite overpass time.

# 3. Results

### 3.1. Evolution of the Wildfire Event

Figure 2 shows the evolution of simulated  $PM_{2.5}$  concentrations and wind field from the V\_VIIRS, which is the scenario that agrees best with observations, as discussed in sections 3.2 and 3.3. This

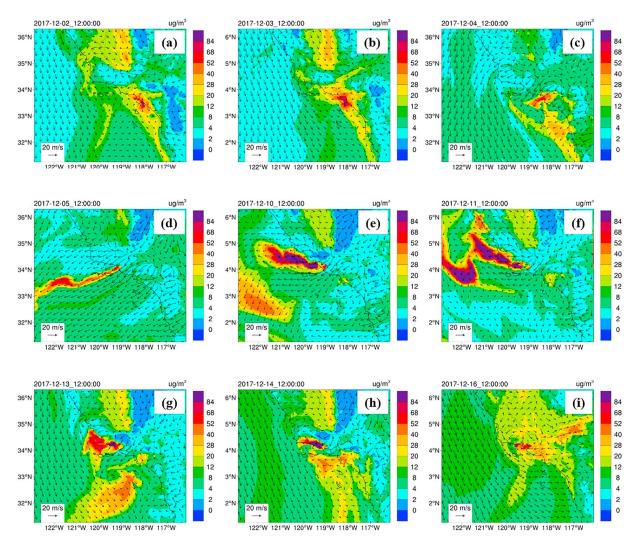


Figure 2. Contours of evolution of simulated PM<sub>2.5</sub> concentrations from V\_VIIRS scenario along with surface wind field (arrows). (a)–(c) for the pre-Santa-Ana wind stage, (d)–(f) for the Santa Ana wind stage, and (g)–(i) for the post-Santa-Ana wind stage.

wildfire event was a typical Santa Ana wind-induced fire. We divide the temporal span of this event into three stages with distinct characteristics of wind fields: the pre-Santa-Ana wind stage (1 to 4 December), the Santa-Ana wind stage (5 to 12 December), and the post-Santa-Ana wind stage (from 13 December onward).

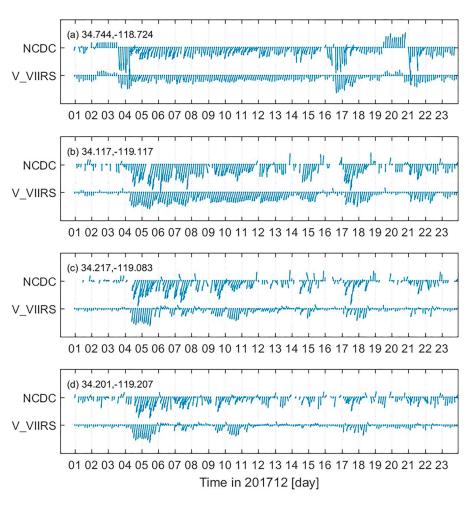
At the first stage, the wind field was weak and inhomogeneous over Southern California, and northern wind was dominant over ocean around 12:00 UTC 2 to 3 December 2017 (Figures 2a and 2b). One day later, the Santa Ana wind—northeast wind blowing out of Southern California's eastern mountains—commenced around 12:00 UTC 4 December 2017 over land (Figure 2c). Subsequently, the northeast winds became dominant over both land and ocean on 5 December, when the Thomas fire broke out (Figure 2d). The very strong northeast offshore wind (~30 m/s) was recorded in the NCDC data over Santa Barbara and Ventura counties. The predominant Santa Ana wind lasted throughout the second stage (Figures 2e and 2f). As a result, the fire plume kept flowing westward along with the wind. While most of the smoke plume flowed to the ocean, some populous areas to the north and west of the fire spots (mainly Santa Barbara and Ventura counties) were affected by the fire plume, especially after 7 December. At the last stage of the fire event, the Santa Ana wind gradually weakened and finally disappeared over land on 12 December (Figure 2h), and the north wind dominated over the ocean again. An interesting finding is that the smoke plume at this stage covers a large area over the coastline zones with intense population, leading to a wide spread deterioration of air

Table 2
Model Performance of Meteorological Parameters as Compared to Observational Data From the National Climatic Data Center

Variable	Index	Value	Ref <sup>a</sup>	Variable	Index	Value	Ref
Wind speed (m/s)	Mean observation	4.17		Temperature (K)	Mean observation	276.53	
	Mean prediction	4.07			Mean prediction	276.52	
	Bias	-0.10	$\leq \pm 0.5$		Bias	-0.02	$\leq \pm 0.5$
	Gross error	1.71	≤2		Gross error	3.36	≤2
	IOA	0.75	≥0.6		IOA	0.89	≥0.8
Wind direction (deg)	Mean observation	286.45		Humidity (g/kg)	Mean observation	2.98	
	Mean prediction	280.24			Mean prediction	2.90	
	Bias	4.52	$\leq \pm 10$		Bias	-0.08	$\leq \pm 1$
	Gross error	48.43	≤30		Gross error	0.68	≤2
					IOA	0.80	≥0.6

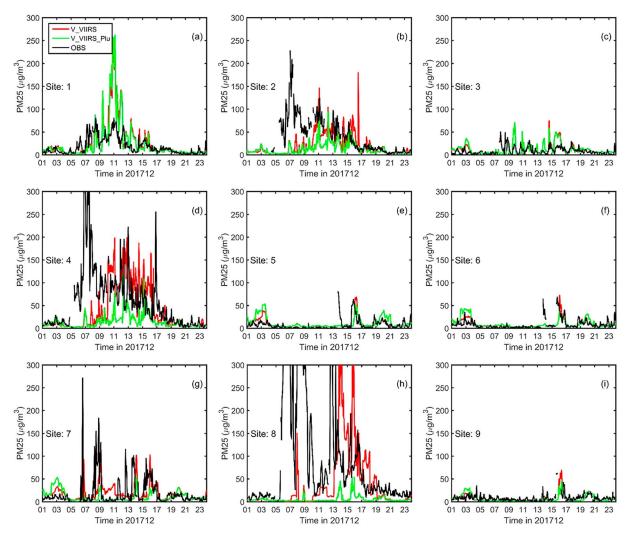
Note. IOA = Index of Agreement.

quality in counties of Los Angeles, Orange, San Luis Obispo, Kern, and parts of Riverside, San Diego, and San Bernardino (Figure 2i). In short, the Santa Ana wind in Southern California played a critical role in the formation and evolution of the December 2017 wildfires and the distribution of fire-induced emissions.



**Figure 3.** Comparison between surface observed wind fields from NCDC and Weather Research and Forecasting model with Chemistry simulations in the V\_VIIRS scenario at four sites near the fires. NCDC = National Climatic Data Center; VIIRS = Visible Infrared Imaging Radiometer Suite.

<sup>&</sup>lt;sup>a</sup>The reference values are taken from Emery et al. (2001).



**Figure 4.** Time series of PM<sub>2.5</sub> concentrations at nine sites around wildfires during 1 to 23 December 2017. The black line is observed hourly PM<sub>2.5</sub> concentration. The red and green lines are simulation results of V\_VIIRS and V\_VIIRS\_Plu, respectively. VIIRS = Visible Infrared Imaging Radiometer Suite.

### 3.2. Evaluation of Simulations

# 3.2.1. Meteorology

We compare the meteorological predictions with observational data obtained from the NCDC. We apply a number of statistical indices to quantitatively evaluate the model performance, as summarized in Table 2. Simulated meteorological fields from different emission scenarios have very small differences, so here we only analyze the results from the V\_VIIRS scenario. In general, model simulations agree fairly well with surface meteorological observations, with the mean biases of wind speed, wind direction, temperature, and specific humidity of -0.10 m/s,  $4.52^{\circ}$ , -0.02 K, and -0.08 g/kg, respectively. The performance statistics for these meteorological parameters are mostly within the benchmark ranges proposed by Emery et al. (2001). Considering the key role of wind fields in the evolution of this fire event, we further compare time series of wind fields from the V\_VIIRS scenario and NCDC observations at four sites near the fire zones, as presented in Figure 3. The simulated wind fields are generally consistent with the observations throughout the simulation period. In the second stage defined in section 3.1, strong northeast wind dominated the area near the fires according to both observations and simulations. In the first and third stages, both simulated and observed wind speeds were weaker, and the wind fields were inhomogeneous. However, there are relatively large errors in simulated wind direction variations with Gross Error of 48.43° (Table 2). Specifically, compared with NCDC observations, the simulated wind direction shift occurs less frequently and has a smaller amplitude, during both strong wind episodes and relatively stagnant episodes (Figure 3).



**Table 3**Evaluation of Hourly PM<sub>2.5</sub> Concentrations From Four Simulations Against Surface Observations at Nine Sites in Santa Barbara and Ventura Counties During the 14-day Fire Event

	NMB_all	S1	S2	S3	S4	S5	S6	S7	S8	S9
V_MODIS	33.8	17.8	-0.02	31.1	24.3	28.8	20.7	77.7	87.5	-22.0
V_VIIRS	4.0	33.9	-19.6	20.9	-8.5	45.3	21.8	27.7	-17.9	-32.9
V_VIIRS_Plu	-17.9	25.2	-51.4	22.3	-57.1	65.2	41.9	12.8	-81.2	-26.6
V_FINN	-43.8	-46.9	-66.8	-35.5	-62.1	14.5	8.8	-4.8	-81.2	-39.0

Note. NMB\_all means normalize mean bias (NMB) averaged across all nine sites. S1 to S9 represent NMB (in %) of each site (Figure 1). MODIS = Moderate Resolution Imaging Spectroradiometer; VIIRS = Visible Infrared Imaging Radiometer Suite; FINN = Fire INventory from NCAR.

#### 3.2.2. Air Quality

To evaluate the model performance with regard to the temporal variations in air pollutants, we compare simulated  $PM_{2.5}$  concentrations with observational data at nine surface sites in Santa Barbara and Ventura counties (Figures 4 and S2 for hourly and daily data, respectively). Sites 2, 4, 7, and 8 are close to the large Thomas fire, and sites 1, 3, 5, 6, and 9 are relatively far away (see in Figure 1b). In general, results from  $V_{VIIRS}$  capture the magnitude and temporal evolution of  $PM_{2.5}$  concentrations reasonably well, with an averaged normalize mean bias (NMB) of 4.0% across all sites (Table 3). Before 9 December, the predicted  $PM_{2.5}$  concentrations show significant underestimation, which will be detailed in section 3.3.

Subsequently, we assess the spatial distribution of simulated  $PM_{2.5}$  concentrations using observations over a larger spatial domain in Southern California. Figure 5 overlays the simulated  $PM_{2.5}$  concentrations from the  $V_{VIIRS}$  and observational data averaged over the three stages discussed in section 3.1. Before the fire began, the simulated distributions of  $PM_{2.5}$  concentrations match the observations well—hot spots occur in Los Angeles and Bakersfield city due to urban air pollution (Figure 5a). After the fire broke out, fire smoke flowed westward due to the strong northeast Santa Ana wind and impacted Santa Barbara and Ventura counties, as indicated by the simulated and observed high  $PM_{2.5}$  concentrations in these two counties (Figure 5b). Both the observations and simulations show that the upwind regions were not polluted by the fire emissions (Figure 5b). At the last stage, the simulations and observations show that the area polluted by the fire emission expanded to most counties around the fire spots (including Los Angeles and San Luis Obispo counties) and the nearby ocean (Figure 5c). However, the simulations overestimate the observations in certain areas to the west of the fire spots.

While surface observations are only available at limited sites, the AOD retrieved from MODIS has extensive spatial coverage. We compare the spatial distribution of AOD from  $V_{VIIRS}$  with the retrievals of MODIS onboard Terra (see section 2.3) on individual days during the three stages (Figure 6). During the first stage, both simulated and retrieved AODs over Southern California were less than 0.2. The values of AOD increased after the fire began. The simulated AOD shows an underestimation with NMB of -13.1% at the second stage. During the early second stage (5 to 9 December), compared to the MODIS AOD, the simulation

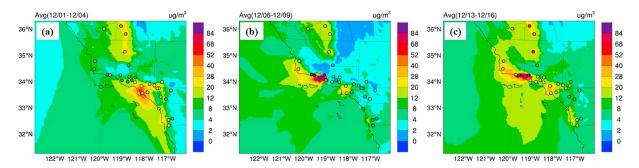
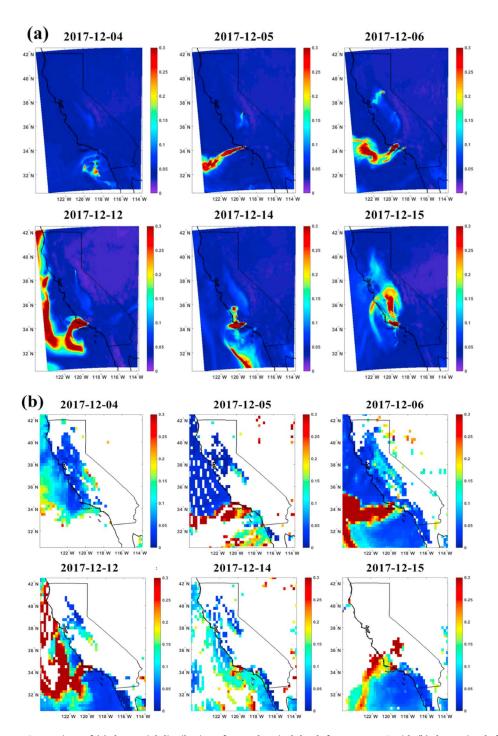


Figure 5. Overlay plots of the simulated (contour) and observed (circles) PM<sub>2.5</sub> concentrations during the three stages: (a) the pre-Santa Ana wind stage, (b) the Santa Ana wind stage, and (c) the post-Santa-Ana wind stage.



**Figure 6.** Comparison of (a) the spatial distribution of aerosol optical depth from V\_VIIRS with (b) the retrievals from Moderate Resolution Imaging Spectroradiometer onboard Terra during the three stages of December 2017 Southern California fire event. The 4 December belongs to the pre-Santa Ana wind stage. The 5 and 12 December belong to the Santa Ana wind stage. The 14 and 15 December belong to the post-Santa-Ana wind stage.

displays a narrower plume with lower AOD. Consequently, more limited coastline zones are influenced by fire emissions in the simulation than in the observations, which is consistent with the apparent underestimations of  $PM_{2.5}$  concentrations during this period, especially along the southern coastline of Santa Barbara and Ventura counties. At the last stage, the AOD plume spread widely, especially to the southeast. The simulated AOD captures the general shape of the smoke plume, though the magnitude is still underestimated

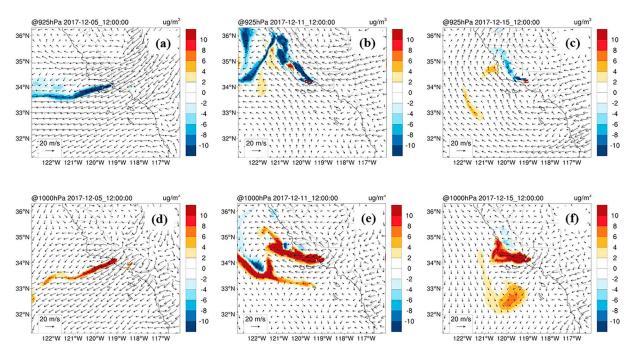


Figure 7. Distribution of PM<sub>2.5</sub> concentration difference between the V\_VIIRS and V\_VIIRS\_Plu scenarios at 925 hPa (a-c) and 1,000 hPa (d-f) levels.

(NMB = -21.3%). It should be noted that the MODIS AOD has a large number of missing values in some days (7, 8, 14, and 15 December) due to the presence of clouds, which hinders a more complete model-observation comparison.

# 3.3. Sensitivity Analysis of Various Control Factors

In this section we explore how various control factors used in the model (plume rise treatment, fire emission inventory, and wind fields) may impact the simulation of air quality. We intercompare the hourly concentrations of  $PM_{2.5}$  from the six scenarios described in section 2.2, as well as observational data at nine sites in Santa Barbara and Ventura counties.

### 3.3.1. Plume Rise Treatment

First, we compare simulated PM2.5 concentrations from V\_VIIRS and V\_VIIRS\_Plu, which involve different treatments of plume rise. Both simulations are conducted with fire emissions from VIIRS products. Unlike the V\_VIIRS scenario, the V\_VIIRS\_Plu scenario assumes all biomass burning is in the flaming phase and all emissions are released to the model with an injection height. Figure 7 shows that the PM<sub>2.5</sub> concentrations from the V\_VIIRS\_Plu at the level of 925 hPa (where peak PM<sub>2.5</sub> concentration occurs) are larger than those from the V\_VIIRS scenario, whereas the results at the surface level are opposite during the entire fire event. As expected, more fire emissions are lifted into the upper air and transported away from the fire in the V\_VIIRS\_Plu scenario. Consequently, the V\_VIIRS\_Plu scenario underestimates surface  $PM_{2.5}$  concentrations significantly (NMB = -17.9%), especially at the sites of 2, 4, and 8 (NMB from -81.2% to -51.4%, see Figure 4 and Table 3). Besides, we have conducted simulations for two additional scenarios, that is, V\_VIIRS\_Plu\_50 and V\_VIIRS\_Plu\_0 (Figure S3). The former scenario assumes that the fraction of biomass burned in the flaming phase is 50%. The latter one assumes no flaming emissions; in other words, all emissions are emitted at the ground level. Figure S3 shows that both V\_VIIRS\_Plu\_50 and V\_VIIRS\_Plu\_0 substantially overestimate surface PM<sub>2.5</sub> concentrations during most of the simulation period except for the initial stage of the fire event (before 9 December). Also, compared to V\_VIIRS, the underestimate before 9 December is not noticeably improved by the high surface emission assumption in V\_VIIRS\_Plu\_50 and V\_VIIRS\_Plu\_0. Therefore, V\_VIIRS with 85% flaming emissions appears to be a more reasonable treatment than the scenarios with either 100% or <50% fraction of flaming emissions.

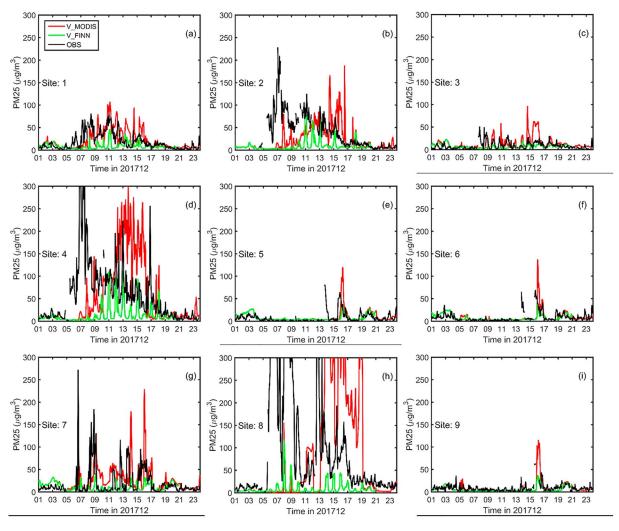


Figure 8. Time series of hourly concentrations of  $PM_{2.5}$  at nine sites around wildfires during the period of 1 to 23 December 2017. The black line is observed hourly  $PM_{2.5}$  concentration. The red and green lines are simulation results of  $V_MODIS$  and  $V_FINN$ , respectively. MODIS = Moderate Resolution Imaging Spectroradiometer; FINN = Fire Inventory from NCAR;

# 3.3.2. Fire Emission Inventory

Figure 8 shows time series of  $PM_{2.5}$  hourly concentrations from two simulations forced with two other fire emission inventories:  $V_{MODIS}$  and  $V_{FINN}$ . In the  $V_{MODIS}$  scenario, the concentrations of  $PM_{2.5}$  are significantly overestimated (NMB = 33.8%, Table 3), except for the beginning stage of the fire event (before 9 December). The overestimation is especially significant for certain sites (sites 4, 7, and 8) close to the wild-fire (NMB from 24.3% to 87.5%). The agreement with observations from this simulation is significantly lower than that from the  $V_{VIIRS}$  scenario, suggesting that the refined spatial resolution of active fires derived from VIIRS 375-m data provides more accurate burning area and emission estimates (Schroeder et al., 2014). This indicates that a sufficiently high resolution of fire emissions is important for a successful simulation of  $PM_{2.5}$  concentrations. For the  $V_{FINN}$  scenario, it is clear that simulated concentrations of  $PM_{2.5}$  are considerably lower than observations (NMB = -43.8%). In addition, the simulated temporal variation of  $PM_{2.5}$  concentrations differs significantly from the observed pattern.

During the beginning stage of the fire (before 9 December), the predicted  $PM_{2.5}$  concentrations from all scenarios above show significant underestimation. One possible reason is an underestimation of burning area per fire pixel. In the V\_VIIRS\_100 sensitivity scenario, we increase the fraction of burning area in a VIIRS pixel to 100%, which means full combustion in each fire pixel of VIIRS. This assumption leads to higher

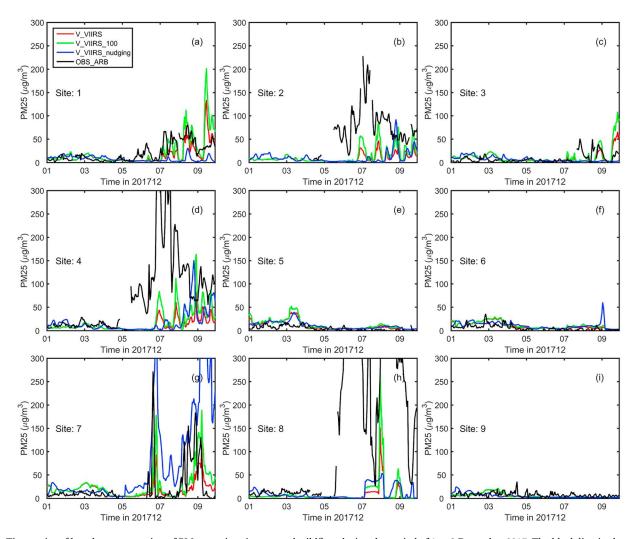


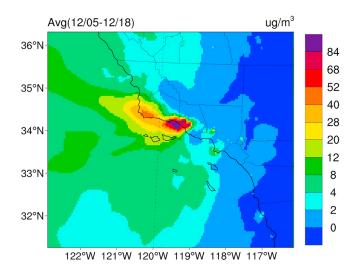
Figure 9. Time series of hourly concentration of  $PM_{2.5}$  at nine sites around wildfires during the period of 1 to 9 December 2017. The black line is observed hourly  $PM_{2.5}$  concentration. The red, green, and blue lines are simulation results from  $V_{VIIRS}$ ,  $V_{VIIRS}$ ,  $V_{VIIRS}$ , and  $V_{VIIRS}$ , respectively.  $V_{VIIRS}$  is Infrared Imaging Radiometer Suite.

PM<sub>2.5</sub> concentrations in the simulation which agrees better with observations, but they are still underestimated in sites 2, 4, and 8 (Figure 9).

Another factor that may lead to an underestimate of fire emission before 9 December is that some fire pixels, especially those at the smoldering phase, might be missed by VIIRS. By comparing the spatial ranges of VIIRS-detected active fire pixels and fire perimeter from Inciweb (https://inciweb.nwcg.gov/incident/maps/5670/), we show that the undetected fire pixels could lead to an underestimate of fire-induced PM<sub>2.5</sub> concentrations but may not be the main cause of the large underestimate before 9 December (see details in Supporting Information S1). In addition, a possible reason for the underestimate is that the fire may have grown extremely quickly during the gap between the 13:30 VIIRS/MODIS-Aqua overpass and the subsequent overpasses (22:30 for MODIS-Terra and 01:30 for VIIRS/MODIS-Aqua). Future studies are needed to investigate the potential impact of this possibility.

#### 3.3.3. Wind Fields

The underestimation before 9 December may also be associated with the bias in simulated spatiotemporal variability of instantaneous wind fields. A large variability of winds could enhance the horizontal dispersion of pollutants and widen the fire plume, which increases the probability for Santa Barbara and Ventura counties (where the observational sites are located) to be affected by the fire plume. In the V\_VIIRS\_nudging scenario, the observed wind field is assimilated with the objective of improving wind



**Figure 10.** Distribution of the simulated fire-induced PM<sub>2.5</sub> concentrations averaged over the entire fire event (5 to 18 December 2017).

simulations. The assimilated wind fields in the V\_VIIRS\_nudging are slightly different from those simulated by the V\_VIIRS\_100 scenario (Figure S5). However, the simulated PM<sub>2.5</sub> concentrations at most sites do not respond strongly to the modified wind field, except site 7. More studies are need in the future to further improve the simulation of Santa Ana wind fields and their impact on surface air pollutant concentrations.

### 3.4. Impact of Wildfire on Air Quality and Human Exposure

To investigate the impact of the wildfire on air quality, we quantify the average PM<sub>2.5</sub> concentrations and human exposure induced by the fire during the 14-day event (5–18 December). The average fire-induced PM<sub>2.5</sub> concentrations are estimated using the difference between two simulations with and without fire emissions. For the simulation with fire, we combine the V\_VIIRS\_100 scenario before 9 December and the V\_VIIRS scenario from 9 December onward. The reason for using V\_VIIRS\_100 (a scenario with larger burning area per pixel) before 9 December is that the Santa Ana wind is extremely strong in this period; thus, the fire can be very intense and violent, presumably leading to a more complete burning within a fire pixel. Also, during this period, the

active fire pixels detected by VIIRS tend to be densely arranged with few gaps (Figure S4). In fact, the V\_VIIRS\_100 improves the PM<sub>2.5</sub> simulations before 9 December, as discussed in section 3.3.2. Figure 10 shows the spatial distribution of the averaged fire-induced PM<sub>2.5</sub> concentrations throughout the 14-day fire event. The heavy polluted regions are around the fire spots and the downwind zones, that is, the Santa Barbara and Ventura counties, where the 14-day averaged fire-induced PM<sub>2.5</sub> concentrations are larger than 30  $\mu$ g/m³, with a maximum of 231.2  $\mu$ g/m³. The San Luis Obispo county and the southwestern part of the Los Angeles County are also noticeably influenced, with 14-day average fire-induced PM<sub>2.5</sub> concentrations of 2–25  $\mu$ g/m³.

Furthermore, we estimate the human exposure to fire-induced  $PM_{2.5}$  and compare with annual total exposure. The human exposure to fire-induced  $PM_{2.5}$  is defined as the integration of fire-induced  $PM_{2.5}$  concentrations with time during the fire event. We subsequently calculate the fraction of fire-induced  $PM_{2.5}$  exposure during the 14-day event with respect to annual total  $PM_{2.5}$  exposure. Due to lack of yearlong  $PM_{2.5}$  concentrations in the whole domain, we focus on the human exposure at nine sites discussed in section 3.2. Figure 11 presents the 14-day average fire-induced  $PM_{2.5}$  concentrations (quantified using the difference between simulations with and without fire emissions as described above) and fraction of the fire-induced exposure relative to the annual total amount for the nine sites. The maximum of fire-induced  $PM_{2.5}$  reaches 68.5  $\mu g/m^3$  at site 4 on the downwind side of the fire spots, and the minimum is 1.9  $\mu g/m^3$ 

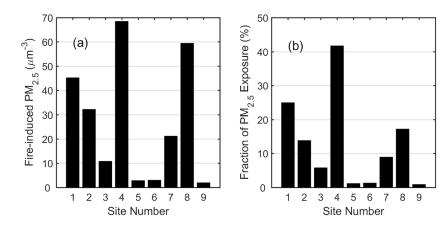


Figure 11. (a) Fire-induced  $PM_{2.5}$  concentrations during the fire event and (b) fraction of the fire-induced exposure relative to the annual total amount for the nine sites (averaged from 5 to 18 December).



at site 9 on the upwind side. Accordingly, the fraction of fire-induced  $PM_{2.5}$  exposure relative to the annual total ranges from 42% at site 4 to 0.9% at site 9. In addition, the fraction reaches 25% in site 1 and exceeds 14% in two other sites, which are mostly in the downwind regions. This indicates that, for the regions influenced by the wildfire, this fire event makes a critically important contribution to the annual total  $PM_{2.5}$  exposure and the associated health impacts. The U.S. Environmental Protection Agency's most recent ambient air quality standards for  $PM_{2.5}$  are 12 and 35  $\mu g/m^3$  for annual and daily average concentrations, respectively (Environmental Protection Agency documents, 2012). The total number of exceedances of the 24-hr average standard (35  $\mu g/m^3$ ) during 2017 ranges from 5 to 13 days in the downwind region, and all of these exceedances occurred within the 14-day fire event. Therefore, the intense wildfire is a leading contributor to both acute and cumulative  $PM_{2.5}$  exposure for this region. Exposure to  $PM_{2.5}$  can cause premature death and harmful cardiovascular effects and is linked to a variety of other significant health problems.

#### 4. Conclusions

In December 2017, a record-breaking wildfire broke out in Southern California. We investigated the impact of this wildfire on air quality and human exposure to pollutants using the WRF-Chem in combination with satellite and surface observations. This wildfire event is driven by a typical Santa Ana wind. The wind field plays a critical role in the formation and evolution of the fire and the distribution of fire-induced emissions.

The predicted meteorological parameters agree fairly well with surface observations. However, there are relatively large errors in simulated wind direction variations. The simulations capture the magnitude and temporal evolution of  $PM_{2.5}$  concentrations reasonably well (NMB = 4.0%) by utilizing the VIIRS fire product. Meanwhile, the spatial patterns of simulated AOD generally agree with satellite observations.

Our sensitivity analysis reveals that a reasonable treatment of plume rise with a fair split between flaming and smoldering phases is important for achieving decent simulation results of  $PM_{2.5}$  concentrations. The V\_VIIRS\_Plu scenario with excessively large fraction of biomass consumed in the flaming phase (100%) underestimated the surface  $PM_{2.5}$  concentrations (NMB = -17.9%), as almost all fire emissions are lifted to upper air and transported far away. A sufficiently high resolution of fire emissions is also critical to a successful and accurate fire simulation. If fire emissions derived from the MODIS fire product at a lower resolution were used, the simulated concentrations of  $PM_{2.5}$  would significantly overestimate observations (NMB = 33.8%).

For all preceding scenarios, there are relatively large biases in  $PM_{2.5}$  simulations during this initial period of the fire (before 9 December). Through the two additional scenarios, we illustrate that this is attributable to a possible underestimation of burning area and uncertainty in the simulation of wind field variation.

This fire event significantly increased the  $PM_{2.5}$  concentrations in nearby and downwind regions with a maximum fire-induced  $PM_{2.5}$  of 231.2  $\mu g/m^3$ , averaged throughout the entire fire event (14 days from 5 to 18 December). In these regions, the  $PM_{2.5}$  concentrations exceed the national ambient air quality standards (i.e., above 35  $\mu g/m^3$ ) for 5 to 13 days, representing all exceedances in the year 2017. In the region downwind of the fires, human exposure to fire-induced  $PM_{2.5}$  accounts for 14–42% of the total  $PM_{2.5}$  exposure.

The results and findings of this study have important implications for the modeling studies and practical early warning of Santa Ana wind-driven wildfires. First, the wildfire has a great impact on the air quality and human health in the regions affected by smoke. Thus, a greater focus should be placed on accurate simulation and early prediction of wildfire in the future to protect public health. Second, a high-resolution real-time fire detection and a precise estimate of burned biomass amount play important roles in an accurate simulation of fire-induced air pollution. Future studies should make the best of available high-resolution fire detections and improve the method and parameters used to calculate the burned biomass. Third, further research could also be conducted to improve the representation of plume rise, particularly the split between flaming and smoldering phases for different vegetation types and weather conditions. Last but not least, the simulated fire-induced PM<sub>2.5</sub> concentrations are very sensitive to the instantaneous Santa Ana wind. This motivates us to further investigate the formation mechanism and improve the model simulation of Santa Ana wind, with the final objective to improve the ability of simulating the impact of wildfire on air quality and human health.



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